

SILICON-CONTAINING POLY(IMIDE-AMIDES) DERIVED FROM ALIPHATIC DIACIDS WITH NAPHTHALIMIDYL SIDE GROUPS. SYNTHESIS AND THERMAL STUDIES

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(Received: August 5, 2013 - Accepted: November 19, 2013)

ABSTRACT

Poly(imide-amides) (PIAs) were obtained from aspartic and glutamic acids containing both a 1,8-naphthlimidyl side group and the diamine bis(4-aminophenyl)diphenylsilane, according to the Yamazaki method and characterized by elemental analysis, optical activity, IR and ^1H , ^{13}C and ^{29}Si NMR spectroscopy. PIAs were soluble at room temperature in common aprotic polar solvents (DMSO, DMAc, DMF, NMP) and in other of lower polarity but low boiling point (CHCl_3 , THF) after heating. The inherent viscosity values were low, which was indicative of low molecular weight species of oligomeric nature. The T_g values were relatively high considering the aliphatic nature of the diacids and the bulky side groups, which cause an impediment to the rotation. It is possible to suppose a weak hydrogen bond interaction between the NH groups of the amide and the carbonyl groups of the imide. When the T_g of both PIAs was compared, it was possible to see a decrease when the main chain was increased in one $-\text{CH}_2-$ group. PIAs were not thermostable but the TDT values were relatively high considering the aliphatic nature of the diacid moiety, being **PIA-As** more thermostable than **PIA-GI** with a longer aliphatic chain. Both PIAs showed a similar optical behavior and high transparency at 450 nm, which can be explained by the aliphatic character of the diacid moiety.

Keywords: poly(imide-amides), silicon-containing polymers, thermal properties

INTRODUCTION

Aromatic poly(amides) are condensation polymers obtained from dicarboxylic acids and diamines, which have excellent thermal and mechanical properties.¹⁻³ However, aromatic poly(amides) are normally insoluble in common organic solvents, show high T_g values and are thermostables. The insolubility has been attributed to dipole-dipole interactions between the polymeric chains caused by hydrogen bonds.⁴

There are several structural changes in order to obtain good properties for the processing of poly(amides), such as the incorporation of flexible units, *meta*-substituted aromatic rings, heteroatoms like Si at the main chain and bulky side groups, which avoid the interactions between the chains.⁵⁻⁷ All of these possibilities have as objective to increase the solubility, to decrease the T_g values and to maintain the thermal stability.⁸ The Si atoms in the main chain and bonded to four organic groups can improve the solubility in organic polar solvents due to the polarity of the Si-C bond caused by the difference of electronegativity. In this sense several works have been described containing Si atoms in the main chain showing an increase of the solubility but maintaining good thermal stability.⁹

In this work we describe the synthesis of poly(imide-amides) derived from two aliphatic diacids: aspartic and glutamic, bonded to a naphthalimidyl side group, and a wholly aromatic diamine containing a Si atom. Polymers were obtained by the Yamazaki procedure and were characterized by spectroscopic methods and the thermal properties were determined.¹⁰ The results were related to the polymeric structure.

EXPERIMENTAL PART

Materials

The diacids 2-amino-butanedioic (aspartic acid), 2-amino-pentanedioic (glutamic acid) and 1,8-naphthalic anhydride were obtained from Aldrich Chemical (Milwaukee, WI). Reagents and solvents were purchased commercially as analytical-grade and their purity checked by ^1H and ^{13}C NMR spectroscopy.

Instrumentation

The IR spectra (KBr pellets) were recorded on a Perkin-Elmer (Fremont CA) 1310 spectrophotometer over the range of 450-4000 cm^{-1} . ^1H , ^{13}C and ^{29}Si NMR spectra were carried out on a 400 MHz instrument (Bruker AC-200) using DMSO- d_6 , CDCl_3 or acetone- d_6 as solvents and TMS as the internal standard. Viscosimetric measurements were made in a Desreux-Bischof type dilution viscosimeter at 25 °C ($c = 0.3$ g/dL). Thermal glass transition (T_g) values were obtained with a Mettler-Toledo (Greifensee, Switzerland) DSC 821 calorimetric system (20 °C min^{-1} under N_2 flow) after the second heating run. Thermogravimetric analyses were carried out in a Mettler (Switzerland)

TA-3000 calorimetric system equipped with a TC-10A processor, and a TG-50 thermobalance with a Mettler MT5 microbalance. Samples of 6-10 mg were placed in a platinum sample holder and the thermogravimetric measurements were carried out between 30 °C and 800 °C with a heating rate of 20 °C min^{-1} under N_2 flow. Specific rotations were measured in an Optical Activity Automatic polarimeter, Model AA-5 at 25 °C in *N,N*-dimethylformamide (DMF) ($c = 50$ mg/mL). The UV-visible optical transmission spectra were obtained on a UV-3101 UV-vis-NIR scanning spectrophotometer (Shimadzu) at room temperature, using solutions of *N*-methyl-2-pyrrolidone (NMP) ($c = 0.5$ g/L). Elemental analyses were made on a Fisons EA 1108-CHNS-O equipment.

Monomers

The diacids 1,8-naphthalimidyl-aspartic (1,8-naphthalimidyl-butanedioic) (**DA-As**) and 1,8-naphthalimidyl-glutamic (2-(1,8-naphthalimidyl)-pentanedioic) (**DA-GI**) were obtained according to the following general procedure¹¹: 10 mmol of 1,8-naphthyl anhydride are mixed with 15 mmol of the amino acid respective and 20 mL of DMF and the mixture refluxed by one hour. After this time the DMF was distilled and the residue poured in 40 mL of water and 10 mL of HCl. The solid is filtered, washed with dilute HCl and then with water several times, dried under vacuum until constant weight and characterized.

DA-As. Yield: 70 %. $[\alpha]_{589}^{17}$ (DMF) = -4 (deg $^{-1}$ g $^{-1}$ cm 3). M.p.: 232-234 °C. IR (KBr) (n) (cm^{-1}): 3468 (O-H), 3078 (C-H arom.), 2943 (C-H aliph.), 1765 (C=O imide), 1731 (C=O acid), 1694, 1656 (C=O imide), 1588, 1514 (C=C arom.), 1438 (CH aliph.), 781 (naph. 1,8-disubst.). ^1H NMR (DMSO- d_6) (d) (ppm): 2.82-2.88 (q,2H,CH $_2$), 6.10-6.13 (q,1H,CH), 7.86-7.89 (t,2H,arom.), 8.43-8.45 (d,2H,arom.), 8.51-8.53 (d,2H,arom.), 12.49 (s,2H,OH). ^{13}C NMR (DMSO- d_6) (d) (ppm): 34.2 (CH $_2$), 49.3 (CH), 121.5, 127.2, 129.1, 131.1, 132.1, 134.7 (C arom.), 163.1 (C=O imide), 170.6, 172.1 (C=O acid).

DA-GI. Yield: 82 %. $[\alpha]_{589}^{17}$ (DMF) = -5 (deg $^{-1}$ g $^{-1}$ cm 3). M.p.: 262-264 °C. IR (KBr) (n) (cm^{-1}): 3435 (O-H), 3070 (C-H arom.), 2935 (C-H aliph.), 1761 (C=O imide), 1730 (C=O acid), 1699, 1649 (C=O imide), 1589, 1511 (C=C arom.), 1438 (CH aliph.), 779 (naph. 1,8-disubst.). ^1H NMR (DMSO- d_6) (d) (ppm): 2.35-2.54 (m,4H,CH $_2$ -CH $_2$), 5.62-5.65 (q,1H,CH), 7.88-7.92 (t,2H,arom.), 8.48-8.50 (d,2H,arom.), 8.53-8.54 (d,2H,arom.). ^{13}C NMR (DMSO- d_6) (d) (ppm): 23.6 (CH $_2$ CH $_2$), 30.5 (CH $_2$ CO), 52.5 (CH), 121.7, 127.3, 127.4, 131.3, 134.5, 134.8 (C arom.), 163.4 (C=O imide), 170.9, 174.0 (C=O acid).

The diamine bis(4-aminophenyl)diphenylsilane was obtained according to a described procedure.¹²

Poly(imide-amides) (PIAs)

Poly(imide-amides) were obtained according to the following general procedure [3]. A mixture of 5 mmol of the diamine, 5 mmol of the diacid, 0.24 g of CaCl_2 , 0.3 mL of triphenylphosphite, 1.2 mL of pyridine and 1.8 mL of NMP was heated at 60 °C for one hour and then at 120 °C for 3 hours. After this time the mixture was poured in 300 mL of methanol, and the solid

was filtered, washed several times with methanol, dried under vacuum until constant weight, and characterized.

PIA-As. IR (KBr) (ν) (cm^{-1}): 3424 (N-H), 3067 (C-H arom.), 2939 (C-H aliph.), 1703 (C= imide), 1664 (C=O amide), 1588, 1509 (C=C arom.), 1458 (CH aliph.), 845 (arom. *p*-subst.), 780 (naph. 1,8-disubst.), 743, 701 (arom. *mono*-subst.). ^1H NMR (DMSO- d_6) (δ) (ppm): 2.76-2.78 (d, 2H, CH_2), 6.27 (t, 1H, CH), 7.39-8.54 (m, 24H, arom.), 9.94 (s, 1H, NH), 10.29 (s, 1H, NH). ^{13}C NMR (DMSO- d_6) (δ) (ppm): 36.3 (CH_2), 51.0 (CH), 118.6, 119.7, 122.6, 127.1, 127.6, 127.7, 128.0, 128.1, 130.1, 131.6, 133.9, 134.4, 135.7, 136.4 (C arom.), 163.5 (C=O imide), 167.6, 169.0 (C=O amide). ^{29}Si NMR (DMSO- d_6) (δ) (ppm): -15.33.

PIA-GI. IR (KBr) (ν) (cm^{-1}): 3423 (N-H), 3066 (CH arom.), 2927 (C-H aliph.), 1751 (C=O imide), 1662 (C=O amide), 1588, 1509 (C=C arom.), 1454 (CH aliph.), 844 (arom. *p*-subst.), 780 (naph. 1,8-subst.), 755, 701 (arom. *mono*-subst.). ^1H NMR (DMSO- d_6) (δ) (ppm): 2.51 (m, 4H, CH_2), 5.73 (t, 1H, CH), 7.21-8.48 (m, 24H, arom.), 9.80 (s, 1H, NH), 9.91 (s, 1H, NH). ^{13}C NMR (DMSO- d_6) (δ) (ppm): 23.4 (CH_2), 33.1 (CH), 53.9 (CH), 118.2, 120.1, 127.0, 127.6, 127.8, 128.1, 128.3, 129.7, 134.3, 134.9, 135.7, 136.0, 136.5, 140.4 (C arom.), 163.7 (C=O imide), 167.9, 170.7 (C=O amide). ^{29}Si NMR (DMSO- d_6) (δ) (ppm): -15.41.

RESULTS AND DISCUSSION

The 1,8-naphthalimidyl diacids **DA-As** and **DA-GI** were obtained from 1,8-naphthalic anhydride and an excess of aspartic or glutamic acid respectively in DMF at reflux temperature according to a described procedure.¹⁰ After the elimination of the solvent, the residue was poured into diluted HCl and the solid diacid derivative filtered, washed with water, dried under vacuum and characterized. The results showed in the experimental part, were in agreement with the proposed structures.

IR spectra showed similar patterns (see Figure 1). Characteristic signals are the broad band at 3400 cm^{-1} approximately for the O-H stretching of both acid groups, imide and carboxylic acid stretching at 1760 cm^{-1} . On the other hand, in the ^1H NMR spectra it was possible to see the pattern corresponding to the aromatic hydrogens of the 1,8-naphthalene disubstituted ring: about 7.8 ppm for the *meta*-hydrogens, about 8.4 ppm for the *ortho*-hydrogens and about 8.5 ppm for the *para*-hydrogens, all of them with respect to the carbonyl groups. ^{13}C NMR results show the signals corresponding to the three kind of C=O groups, one of the imide group and two for the magnetically non-equivalent acids. These last signals appear to lower field respect to the imide carbonyl carbon.

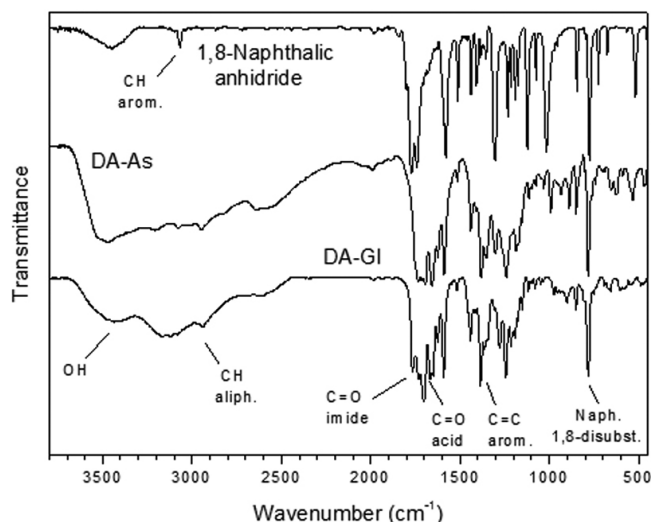
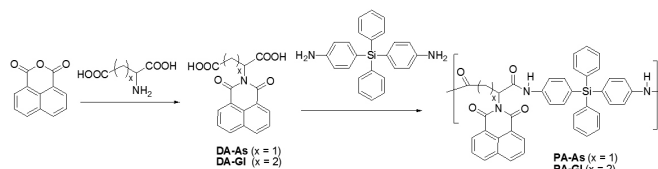


Figure 1. IR-FT spectra of **DA-As**, **DA-GI** and the 1,8-naphthalic anhydride precursor.

PIAs were obtained from the dicarboxylic acids containing the naphthalimidyl side group (**DA-As** and **DA-GI**) and the diamine bis(4-aminophenyl)diphenylsilane according to the Yamazaki method, in which the diacid and the diamine (1:1 mol/mol) are mixed with triphenylphosphite, anhydrous CaCl_2 , pyridine and NMP¹⁰ (see Scheme 1). The mixture was

vigorously stirred and heated at $120\text{ }^\circ\text{C}$. Polymerization time and isolation technique were the commonly employed for these reactions. After three hours the system was poured into methanol, filtered, washed, dried under vacuum, and characterized.



Scheme 1. Synthesis of monomers and **PIAs**

For both **PIAs** it was possible to see in the IR the replacement of the broad band corresponding to the OH groups of the diacids for a narrower signal attributed to the N-H amide stretching. ^{13}C NMR spectra showed three signals corresponding to the carbonyl groups: one for the C=O stretching of the naphthalimidyl group and two for the diamides, which are not equivalent. ^{29}Si NMR showed the signal corresponding to the Si atom bonded to four aromatic rings at about -15 ppm, which has been described in previous works for silylated compounds.¹³⁻¹⁴

Table 1. Solubility of **PIAs**.

Polymer	DMSO	DMF	DMAc	NMP	CHCl_3	THF
PIA-As	+	+	+	+	+/-	+/-
PIA-GI	+	+	+	+	+/-	+/-

+: soluble at room temperature, +/-: soluble with heating

Table 1 shows that the **PIAs** were soluble in aprotic polar solvents such as DMSO, DMF, *N,N*-dimethylacetamide (DMAc) and NMP, and soluble with heating in solvent of lower polarity (CHCl_3 and THF). Other **PIAs** studied by us containing the naphthalimidyl side group, were soluble only in aprotic polar solvents in spite of to have aliphatic amino acids residues in the side chain, but only aromatic groups in the main chain.¹⁵ In our case, the methylenic groups contributed by the amino acids residues, and the presence of the silicon atoms which are located in the main chain promoted an increase of the solubility in common organic solvents like CHCl_3 and THF. Probably, the higher flexibility of the chains promoted by sp^3 carbons and the partial polarity of the C-Si bond due to the difference of electronegativity between these atoms,¹⁶ are the reasons that explain the obtained results. In this sense, the difference between the aminoacidic residues incorporated with one or two methylene groups, does not influence in the behaviour of **PIAs**.

Table 2 shows the good yields obtained for both **PIAs**, and the low inherent viscosity values obtained. This last parameter is indicative of the oligomeric nature of both **PIAs**, probably due to the insolubility of the growing chains in the reaction media. Also in this Table the values of the optical rotation obtained from DMF solution are shown, being the two **PIAs** of levorotatory nature, the same that the amino acids and the monomers.

Table 2. Yields, $[\alpha]_D$, η_{inh} , and Tg of the **PIAs**.

	Yield (%)	$[\alpha]_D$ ($^\circ$)*	η_{inh} (dL/g)**	Tg ($^\circ\text{C}$)
PIA-As	91	-12	0.12	163
PIA-GI	97	-30	0.10	158

* In *N,N*-dimethylformamide at $25\text{ }^\circ\text{C}$ ($c = 50\text{ mg/mL}$)

** Inherent viscosity, in DMSO at $25\text{ }^\circ\text{C}$ ($c = 0.3\text{ g/dL}$)

Table 2 also shows the Tg values of both **PIAs**, which were relatively high considering the aliphatic nature of the diacids moieties. Also, the bulky side naphthalimidyl group can cause a high Tg value due to an impediment of the rotation which can diminish the flexibility of the chain. If we consider that the acid residue is aliphatic and for this reason has some flexibility, it is possible to suppose a weak hydrogen bond interaction between the NH group of the

main chain with the carbonyl one of the side group. When the length of the main chain was increased in one $-CH_2-$ group (**PIA-GI**), the T_g value decreased due to the lower possibility of internal interaction which promotes a higher flexibility of the main chain.

Thermal decomposition temperature (TDT) values for both **PIAs** are summarized in Table 3. A polymer is considered as thermostable if at 400 °C the weight lost is lower than 10 %. In this sense, **PIAs** were not thermostable, however, they showed high TDT values (> 310 °C). In particular, **PIA-GI** showed a decrease of 25 °C in relation to **PIA-As** due to their higher aliphatic content in the main chain. On the other hand, the residue content was similar when the samples were heated at 600 °C under N_2 gas. Probably silicon oxides and similar derivatives are important components of the final mixture.

Table 3. Thermal degradation temperatures (TDT) of the **PIAs**

	TDT (10 %)* (°C)	Residue** (%)
PIA-As	340	36
PIA-GI	315	40

* Temperature at which a 10 % weight loss was recorded by TG (20 °C/min in nitrogen atmosphere).

** Residual weight (%) at 600 °C (in nitrogen atmosphere).

Optical transmittance of the polymers was studied in NMP at 0.5 g/L. Table 4 shows these values for both **PIAs**. At 400 nm only **PIA-As** derived from aspartic acid showed high transparency in the UV-vis region. However, at 450 nm both **PIAs** showed a similar optical behavior. The high transparency of these **PIAs** at 450 nm can be explained by their aliphatic character, which implies a higher flexibility and lower molecular packing forces. The cutoff wavelength (λ_{cutoff}) is a value that is reported for each polymer. From that value the polymer begins to see some transparency, while below the value the material is completely opaque. In this case both **PIAs** showed very similar values.

Table 4. Transmittance values of the **PIAs**.

Polymer	T_{400} * (%)	T_{450} * (%)	λ_{cutoff} ** (nm)
PIA-As	87	94	362
PIA-GI	20	91	361

* Transmittance at 400 and 450 nm; respectively.

** Cutoff wavelength

CONCLUSIONS

Two optically active **PIAs** derived from aliphatic diacids, aspartic and glutamic, containing a bulky naphthalimidyl side group, and an aromatic diamine containing a Si atom were synthesized according to the Yamazaki method. Polymers, obtained with good yields, were of low molecular weight and probably of oligomeric nature. The T_g values were relatively high due to the volume of the side groups, but **PIA-b** with a more aliphatic character showed a lower value. In spite of **PIAs** were not thermostable according to the definition, they showed good TDT values and depending of the aliphatic character. The transparency properties of the **PIAs** were good but at 450 nm, and at 400 nm only **PIA-a** derived from aspartic acid showed good transparency properties.

ACKNOWLEDGEMENTS

Authors acknowledge “Fondo Nacional de Investigación Científica y Tecnológica”, FONDECYT, through Project No 1100015.

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