

NANOTUBULAR ALUMINOSILICATES: A CASE STUDY FOR SCIENCE AND INDUSTRY

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ABSTRACT

The renewed interest in imogolite nanotubes, with structural similarities with carbon nanotubes (CNTs), has led to a new search of the potential of imogolite in the field of nanoscience. The applications of imogolite started being studied at the beginning of the 1970s, but the lack of technological development prevented a detailed study of this aluminosilicate. Furthermore, the strong incursion CNTs, which show exceptional structural characteristics and physical properties, left imogolite as the subject of secondary studies and applications in nanotechnology. This review, revise the scientific interest on imogolite from its discovery until the present, showing the main areas of research and development for this nanotube from a nanotechnological point of view.

Keyword: Imogolite, nanoparticle, nanotechnology, volcanic ash soil, adsorption, surface phenomena.

INTRODUCTION

The imogolite is a typical clay soils of volcanic origin and was discovered by Yoshinaga and Aomine in 1962 in soils derived from vitreous volcanic ash as Andosols and in the B horizon of Spodosols worldwide¹. It is characterized for being a meta-stable state of kaolinite and its predominance depended of factors as temperature, relative humidity, salts concentration, and silica ratio present in the soil^{2,3}. Ten years later from its discovery, Cradwick, et al., 1972, through a detailed XRD analysis, determined imogolite stoichiometry as $(\text{OH})_3\text{Al}_2\text{O}_3\text{SiOH}^4$. The imogolite is characterized as a nanotubular structure with an internal diameter of 2.5 nm and a variable length ranging from 100 nm to several microns⁵⁻⁹ (see Figure 1a).

In the structure and surface features of imogolite highlights its spatial distribution, with three types of pores (see Figure 1b), the intra-unit (A), inter-unit (B) and inter-fibrillar (C)¹⁰. Also has two types of surfaces, an outer surface dominated by aluminol groups ($\equiv\text{Al}_2\text{-OH}$, $\equiv\text{Al-OH}$) (see Figure 1b), which are positively charged over a wide pH range (3.0-10.5) and an inner surface formed by silanol groups ($\equiv\text{Si-OH}$) which is preferably negatively charged¹¹⁻¹⁷.

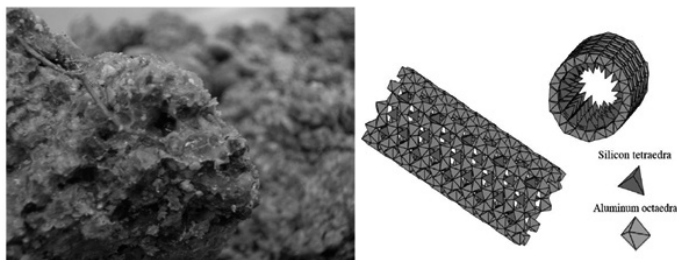


Figure 1. Picture of natural imogolite films in soil (left). Schematic representation of imogolite nanotube structure (right). Adapted from Ookawa 2012 and Levard, et al., 2009.

The formation of imogolite in the soil, involves complex reactions that begin with the total decomposition of primary silicate unit cells or dissolved ions. This decomposition is achieved through intense weathering (hydration, dissolution and hydrolysis), which form an ion-colloidal system, where coexist hydroxides of Al, Fe, and Si of variable composition⁵. These systems are oligopolymers and crosslinked polymers, which in the presence of low organic matter content of imogolite form, so that the increased presence of the aluminosilicate in the soil is deeper horizons^{18,19}. The imogolite formation in natural systems occurs in areas of greater soil depth, where the Al/Si ratio, pH and lower concentration of organic matter favor the synthesis of imogolite²⁰.

In the context of nanotechnology, the imogolite is projected as a nanoparticle with an enormous industrial potential due to their structural characteristics and unique physico-chemical properties. Its main applications have focused on its application as catalyst support, ion exchange, and adsorbent of gases. Its

complex soil extraction and development of other nanostructures with similar functions, easier to obtain, discouraged the study of imogolite^{17,21-23}.

Imogolite: The first synthetic inorganic nanotube?

The preparation of the first synthetic inorganic nanotube is usually assigned to Reshef Tenne²²; however, in 1977 (15 years in advance), imogolite was synthesized by first time, by Colin Farmer from Macaulay Institute, being patented in 1981²⁵. Later, Wada (1987) made some modifications in the temperature of procedure, to obtain a product closer to the natural one²⁶, because of the proposed synthesis by Farmer and Fraser, produces imogolite of greater diameter and length than natural one²⁵. It is important to emphasize that simplicity of imogolite synthesis, does possible to think about production at industrial level, but disadvantages existing in process have relation with temporary factors and yield, because is necessary between 1 to 3 days if synthesis is carried out at 100°C and 20 days at 60°C, avoiding the presence of salts, since they favor the formation of allophane or protoimogolite²⁷⁻²⁹.

In general, synthesis of imogolite consists of a first stage of acid hydrolysis of Si and Al precursors and subsequent polymerization, performed at 95 °C for approximately 5 days, resulting in a the tubular structure, similar to product in the soil²⁶. A detailed study by Mukherjee et al., established that the synthesis of imogolite is developed in 5 basic steps²⁹⁻³¹. **Step I.** Hydrolysis of the precursors at mM concentrations. **Step II.** Precursors basification to pH 5 by addition of NaOH. **Step III.** Partial re-acidification of the solution to pH 4.5. **Step IV.** Establishing of equilibrium conditions. **Step V.** Polymerization or aging. (Figure 2)

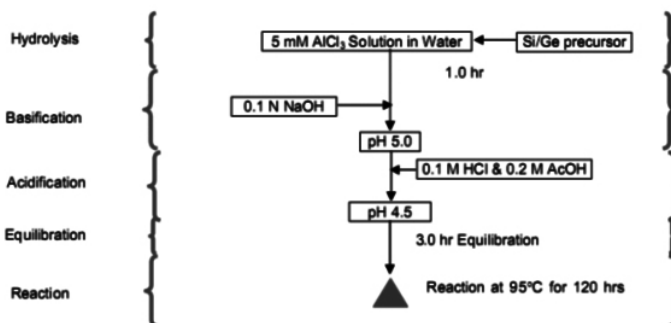


Figure 2. Aluminosilicate/Aluminoorganate nanotube synthesis process.

The temperature is one of the most important factors in the imogolite kinetic synthesis. It has been established that kinetic of growth reaches its maximum over 90 °C, were about 5 days are needed; when the aging is carried out at 60 °C imogolite fibers form after 20 days, and at 25 °C the process takes about 7 years^{26,29-32}. Chemicals concentration and mixing rate are critical; thus, an increase in the Al/Si ratio above 2.0, favors the tendency to form bohemite, whereas a high rate of addition of NaOH generates bayerite³³.

Characterization: Infrared spectroscopy, XRD, Microscopy (TEM, AFM, SEM), and isoelectric point.

Infrared spectroscopy (IR) and X-ray diffraction (XRD) are the fastest and most usual characterization methods used to establish the presence of synthetic imogolite. Farmer et al., 1977 established the most characteristic IR imogolite absorption band located at 348 cm⁻¹ and assigned to Si-O stretching³⁴.

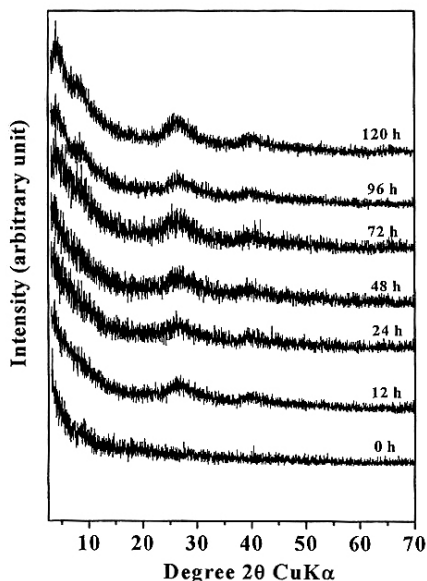
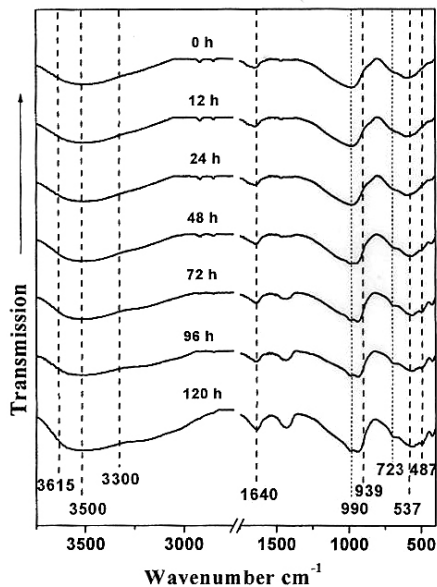


Figure 3. FTIR spectra (left) and XRD patterns (right) of the synthetic products at different aging times: (a) 0 h, (b) 12 h, (c) 24 h, (d) 48 h, (e) 72 h, (f) 96 h, and (g) 120 h. Adapted from Arancibia-Miranda et al., 2013

Figure 3 shows other IR imogolite absorption signals located at 428, 506, 577, and 967 cm⁻¹; in addition, close to 3500 cm⁻¹ a band associated to -OH stretching can be observed. In general terms, imogolite IR spectra are more acute than those of allophane being the doublet at 577 and 967 cm⁻¹ the most significant difference (see Figure 3, left)^{12,34,35}.

The XRD studies allow to establish a type C2_∞ or C2_n tubular ordering. The presence and intensity of XRD peaks of this nanotube are close related to the condition of sample^{35,36}. The shape and intensity of the first peak (at 20 nm) are always related to the external diameter and the tubular structure characteristic peaks (d = 2.00, 0.90, 0.63 and 0.39 nm), are assigned to (010), (020), (030), and (032), respectively⁴ (see Figure 3, right). However, IR and XRD result in

identification uncertainty related to the presence of imogolite because during aging process proto-imogolite is formed which is hard to differentiate from imogolite through IR and XRD, signals considering that both aluminosilicates have the same functional groups and similar crystalline ordering^{11,12,35}.

Different electronic microscopy techniques facilitate the identification and characterization of imogolite, helping in the determination of its dimension and aggregate conditions. Transmission electronic microscopy (TEM) has allowed a more precise identification of imogolite since its discovery in the imogo soils in Japan^{1,34,37,38}. From these analysis the diameter and length of imogolite nanotubes has been established.

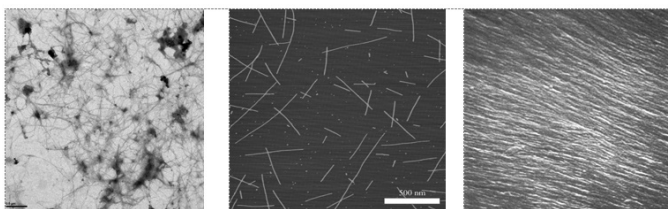


Figure 4. a) TEM (this work), b) AFM, (Ookawa, 2012) c) SEM of synthetic imogolite (Ackerman, et al., 1993).

However, scanning electronic microscopy (SEM) and atomic force microscopy (AFM) have been also used (see Figure 4). The SEM allowed to establish the presence of imogolite and its aggregation condition in different soils^{7,10}, while AFM was used to characterize the imogolite nanotube diameter, ranging from 10 to 15 nm, 3 to 6 times wider than those estimated from TEM studies^{39,40}.

The surface and structural changes as consequence of the aging process can be detected through surface charge measurements carried out by electrophoretic migration (ME)^{11,41}, which allows the determination of the isoelectric point (IEP) of samples. The IEP changes during the synthesis and aging processes, allowing identifying the product changes while reaction takes place^{11,12}. In the case of imogolite, the results indicate that IEP changes can be associated to surface changes during its formation. In early stages of imogolite synthesis the IEP of the amorphous solid present similar amount of ≡Si-OH and ≡Al-OH active sites, resulting in low IEP values⁴².

During the aging process the originally flat surface become curved (tile shaped structure) as a consequence of restructuring of ≡Si-OH and ≡Al-OH groups, changing the Al-Si ratio at the external surface being now the ≡Si-OH groups mainly part of the inner surface and the ≡Al-OH mainly part of the external surface, resulting in a significant change of more than two pH units in the IEP of solid^{11,12}.

The external active surface groups mostly define the observed and quantified surface charge through EM. Toward the end of the aging process the final morphology is tubular, showing unusually high IEP values for an aluminosilicates which can be explained only if a total dominance of ≡Al-OH on the external surface of imogolite is considered, in addition to a slight structural charge of imogolite¹¹⁻¹³.

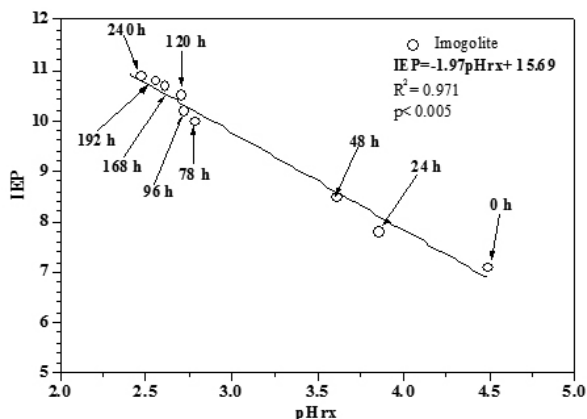


Figure 5. Relationship between isoelectric point (IEP) and reaction pH (pHrx) as a function of synthesis time.

It has been demonstrated that equilibrium pH and IEP during synthesis and aging processes present a highly significant linear correlation as $\text{IEP} = -1.97 \cdot (\text{pH}_{\text{rx}}) + 15.69$, giving a very simple tool for characterization and identification of imogolite¹¹.

Imogolite formation mechanism

New imogolite synthetic processes have been of interest considering the potential applications of these nanostructures in areas such as nanotechnology^{22, 23, 43-45}.

In addition to IR, XRD, TEM, SEM, and AFM, the formation and characterization of imogolite has been studied by techniques such as FTIR spectroscopy, X-ray absorption spectroscopy (XAS), nuclear magnetic resonance (NMR), electrospray ionization mass spectrometry (ESI-MS), and small-angle X-ray scattering (SAXS)^{46, 47}. However, the experimental conditions of the synthesis and the particular limitations of each characterization technique have hindered the elucidation of the growth mechanism for this aluminosilicate. Research on structures analogous to imogolite, such as aluminogermanates ((OH)₃Al₂O₃GeOH) have led to significant progress on a proposal for a general mechanism that explains the formation of imogolite^{11, 46, 47}.

Recent publications pointed out on two critical factors in imogolite synthesis: concentration of the reagents in solution at the beginning of the synthesis (which must have an Al/Si ratio of 2 and must be in the order of mM) and temperature (which determines the formation kinetic of the nanoparticles)^{12, 26, 46, 47}. These factors play an important role in the growth, dissolution, aggregation, and aging of imogolite.

The first stage is the formation of precursors that will evolve into imogolite, and, according to Maillot *et al.*, they correspond to short-range amorphous sub-nanometric species. However, according to Yucelen *et al.*, the precursors formed are of Keggin-ion type, and both precursors originate from the hydrolysis of the starting reagents^{46, 47}.

The growth and development of these precursors into more complex structures (i.e., raft and ring structures) occurs through a self-assembly mechanism that originates during Ostwald ripening⁴⁸⁻⁵⁰, a process associated with reactions that involve thermal aging, such as the case of imogolite synthesis^{12, 46, 47}. These processes are those that result in the most significant changes in the precursors at the structural and surface level, as indicated by the IEP and equilibrium pH values (see Figure 5).

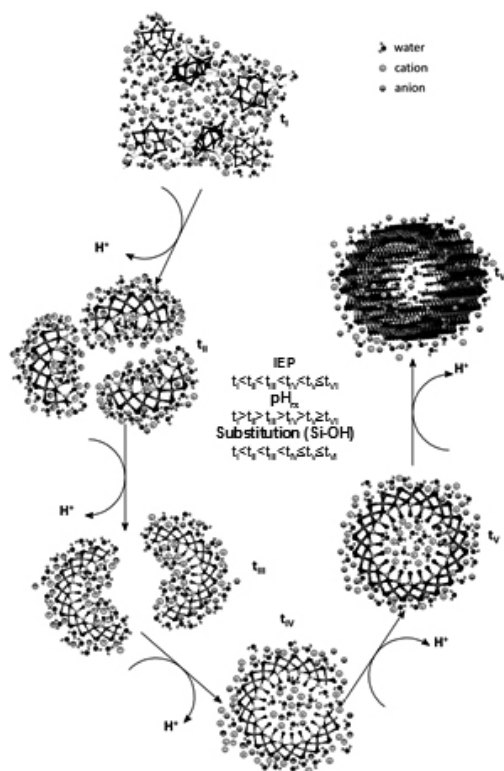


Figure 6. Structural evolution of the precursors formed during the synthesis of imogolite. (Adapted from Arancibia-Miranda *et al.*, 2013).

Independently of the specific mechanism through which imogolite is obtained^{30, 46, 47}, it should be stressed that the magnitude of the structural changes and the marked differences in the chemical reactivity of the groups that are formed during the aging process ($\equiv\text{Al}_2\text{-OH}$, $\equiv\text{Al-OH}$ and $\equiv\text{Si-OH}$), allow the use of surface characterization techniques like electrophoretic migration (EM). The high sensitivity of EM technique to small surface changes allows the evolution of the precursors and their later assembly and growth to be followed⁴¹. The linear relationship between IEP and equilibrium pH previously described, can be used to follow the synthesis and aging process¹¹.

Table 1. Isoelectric point (IEP), reaction pH (pH_{rx}), $\Delta\text{IEP}/\Delta t$, and $\Delta\text{pH}_{\text{rx}}/\Delta t$ as a function of the synthesis time.

Time (h)	IEP	$\Delta\text{IEP}/\Delta t$	pH_{rx}	$\Delta\text{pH}_{\text{rx}}/\Delta t$
0	6.6a	0	4.49a	0
12	7.1b	4.2	4.26b	1.90
24	7.8c	5.8	3.85c	3.40
48	8.5d	2.9	3.61d	1.80
72	10.0e	6.3	2.78e	3.50
96	10.3ef	1.3	2.72f	0.03
120	10.6fg	1.3	2.70fg	0.01

Within columns, values followed by the same letter are not significantly different according to Tukey's test ($p < 0.01$). The $\Delta\text{IEP}/\Delta t$ and $\Delta\text{pH}_{\text{rx}}/\Delta t$ values are amplified by a factor of 10.

While IEP values increases the pH of the reaction decreases with the evolution and condensation of the precursors during aging. Integration of all the results obtained the structural and surface characterization allows an overall understanding of the different processes that occur and the products that are formed during the synthesis of imogolite. A more significant way of observing the effect of structural changes on reaction pH is to represent the variation of this parameter as a function of aging time (see Table 1 and Figure 7)^{11, 12}.

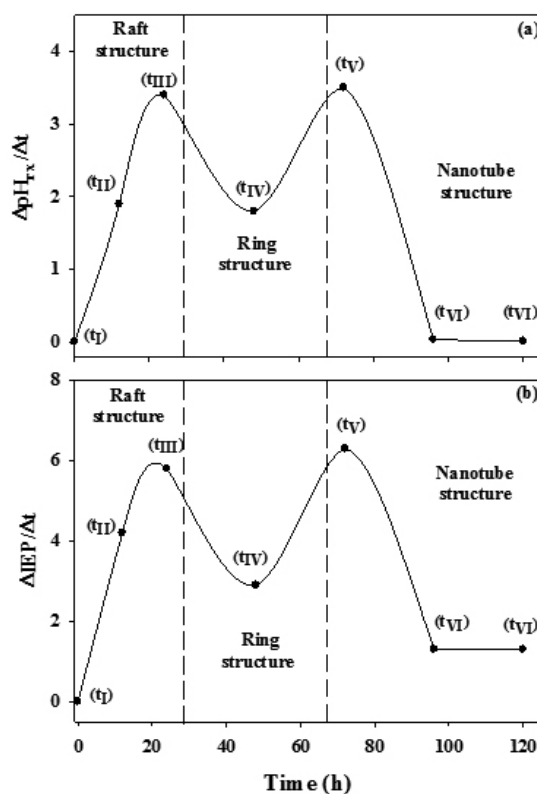


Figure 7. Changes with time of reaction pH ($\Delta\text{pH}_{\text{rx}}/\Delta t$) and isoelectric point ($\Delta\text{IEP}/\Delta t$), as a function of aging time. (Adapted from Arancibia-Miranda *et al.*, 2013).

This graph shows clearly that the most significant structural changes result in the most important variation of pH. It is seen that three important variations occur: the first is that from the monomeric and oligomeric precursors (t_I , Figure 6) to the raft type structure (t_{III} , Figure 6); the second corresponds to the path from the raft type structures to rings ($t_{III} \rightarrow t_V$, Figure 6); and the third accounts for the one-dimensional growth of the ring-type structure until it forms the imogolite nanotube ($t_V \rightarrow t_{VI}$, Figure 6). A similar association can be made when the change in the IEP as a function of the change of time ($\Delta IEP/\Delta t$) is evaluated (see Figure 6)¹².

Imogolite and its potential in nanotechnology

From the perspective of nanotechnology, its structure, physical, and chemical properties and high flexibility to achieve surface modifications, position the imogolite as an excellent candidate for nanotechnology applications^{6, 22, 51-55}. One of the first modifications was proposed by Wada and Wada (1982), consisting of partial substitution of Si by Ge, forming aluminogermanates, which have a diameter of 3.3 nm, 50% wider than that determined for imogolite, while its length is 15 nm, 7 times shorter than that obtained for imogolite^{10, 30, 38, 56}. Further research on these aluminogermanates indicates that this type of nanotube formation processes are faster and their packing is monoclinic and hexagonal as imogolite³⁰. Its applications have focused on the possibility of developing bio-devices able to detect biomolecules such as DNA, functioning as nanowires^{53, 57}.

Different theoretical studies estimated that the *band gap* of these aluminosilicates can vary between 3.67 to 10eV, depending on the calculation method used, which suggests that imogolite has insulating properties as semiconductor^{7, 53, 57}. In a recent research Kuc and Heine (2009) raised the idea of a coaxial nanowire carbon nanotube (CNT) coated with an insulating sheath made of imogolite, which would broaden the scope of this nanomaterial to be used in nanoelectronic devices (see Figure 8)⁵⁴.

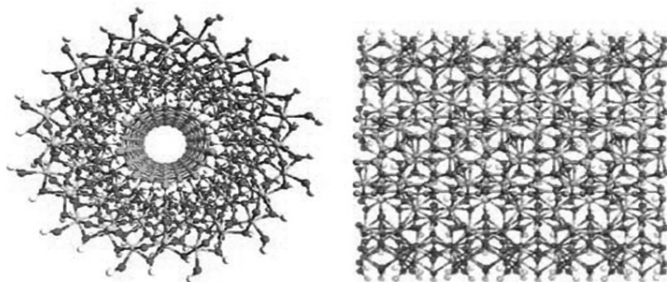


Figure 8: Schematic representation of a nanowire formed by CNT@imogolite (Adapted from Kuc and Heine, 2009).

In terms of nanotechnological applications of aluminogermanates, there is no experimental evidence to clarify how the Si and Ge atoms locate themselves in the unit cell. The addition of Fe (III) replacing structurally Al^{3+} , is another of the changes that have taken place in the synthesis of imogolite⁵⁸⁻⁶⁰. This change results in a functionalized imogolite which can be used to catalyze different reactions, mainly in the oxidation of organic compounds such as cyclohexane, toluene, benzaldehyde, and chlorobenzenes, generating epoxy derivatives, ketones and alcohols, highlighting the conversion of benzene to phenol.^{59, 60}

Other imogolite surface-level alternative is the substitution of OH functional groups. The imogolite can exchange one or more hydroxyl belonging to $\equiv Al-OH$ groups, for molecules derived from alkyl phosphonic acids, giving products with different optical and electrical properties related to the starting materials⁶¹⁻⁶⁵.

The use of imogolite as a support in nanohybrids has been an important development in the field of physics, because it strengthens the conductive properties of light and electricity, and the mechanical and optical properties of organic polymers as a consequence of birefringence, which is a very important phenomenon in the use of optical fibers (see Figure 6)^{61, 66}.

Recently, Geraldo et al. (2012) used single-imogolite as a one-dimensional template for the in situ growth of mercaptopropionic acid-capped CdTe quantum dots (QDs). This new nanohybrid hydrogel was synthesized by a simple reaction pathway and their enhanced optical properties were monitored by fluorescence and UV-Vis spectroscopy, confirming that the use of these nanotubes favors the confinement effects of net CdTe QDs. In addition, studies of FT-IR spectroscopy and transmission electron microscopy confirmed the non-covalent

functionalization of imogolite. Finally, the antimicrobial activity of imogolite coated with CdTe QDs toward three opportunistic multi-resistant pathogens such as *Salmonellatyphimurium*, *Acinetobacterbaumannii*, and *Pseudomonas aeruginosa* were tested. Growth inhibition tests were conducted by exposing growing bacteria to CdTe QDs/ imogolite hybrid compound, showing that the new nano-structured composite is a potential antimicrobial agent for heavy metal-resistant bacteria²².

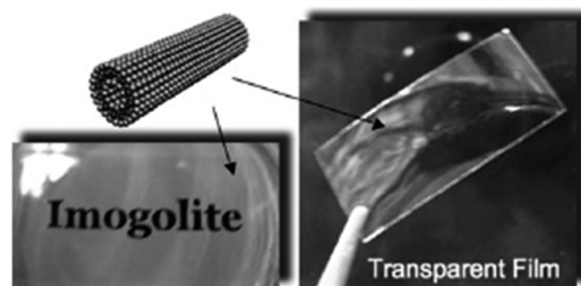


Figure 9: Picture of imogolite/poly (vinyl alcohol) (PVA). The incorporation of imogolite improves the mechanical and optical properties of PVA (Adapted from Yamamoto et al., 2001, 2005a, 2005b, 2007).

Studies carried out by culture in vitro to determine the compatibility of the imogolite with biological systems, suggests that this nanoparticle does not cause toxicity in cells that constitute the immune system; additionally, imogolite was able to form a complex with yeast RNA, attenuating tumor cells^{67, 68}. Ishikawa et al. (2010), reported that the presence of imogolite in cultured bone cells increases osteoblastic activity, which opens potential uses of this nanoparticle to help in the generation of this type of tissue damaged by fractures. The use of nanoparticles and specifically of imogolite, in these types of applications, still requires more specific research, before get the real dimension of its potential⁶⁹.

The imogolite in the scientific community

To overview the interest of the scientific community and its development with time, a bibliometric imogolite analysis since 1998 was carried out. The analysis considers all the articles indexed in the Web of Knowledge-ISI data base. To collect them, all the articles involving the keyword "imogolite" in title, abstract or as keyword set were considered. Under those criteria 450 items were found and analyzed.

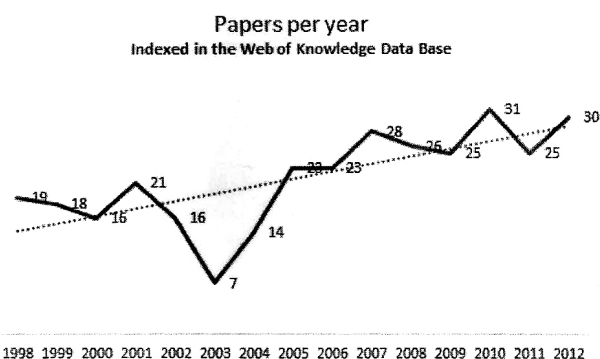


Figure 10: Publication rate at the Web of Knowledge-ISI data base including "imogolite" keyword since 1998.

As observed in Figure 10, in 2012 the highest publication intensity was reached, with 31 papers but with a regular growing tendency on the time since 2005. In relation with publication areas, the most significant figures involve Soil Science (mainly since 1998 to 2005), Physical-chemistry, and Material Sciences. Very often publications are categorized as "multidisciplinary", and Materials Science classification is the most significant (38%) in the last three years. When publication network in the last three years is analyzed, Japan, France and USA are the countries with more interest in synthesis, functionalization, technological application, and properties of imogolite nanotubes.

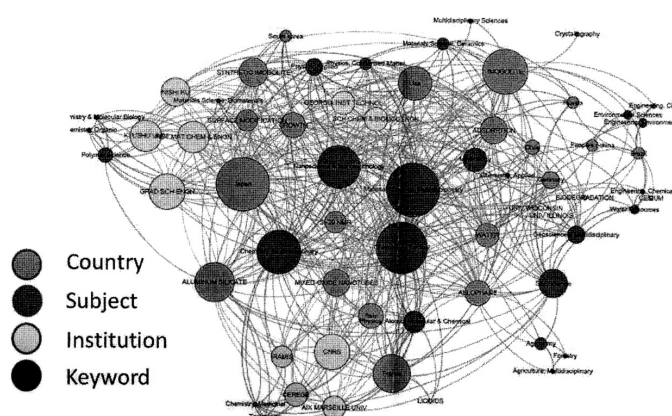


Figure 11. Publication network distribution, considering Subject (Science area) country, keyword and institution.

CONCLUSIONS

The surface behavior of imogolite, their nanoscale dimensions, high surface area, and its synthesis greatly developed, allow to project this aluminosilicate, as a nanoparticle that meets several requirements for use in the field of nanotechnology, which has had interesting results, mainly due to:

- The high flexibility of structural and active surface sites modifications: It is possible to synthesize imogolite with different elements mainly Fe, Ge or modify it with alkyl phosphonic acids, enhancing the qualities of this aluminosilicate and multiplying its functions. Applications to oxidation of organic compounds and its electrical, optical, mechanical and magnetic properties, open up a huge potential for application in priority areas of science such as biotechnology and nanotechnology.

- The broader nanotechnology field and the lack of information on studies of imogolite in many areas, the low requirements in infrastructure and its unlimited projections, positioning this nanoparticle in a future, advanced stalls in nanoscience and nanotechnology.

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