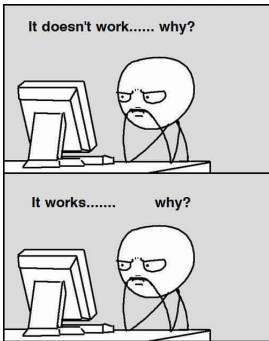


# The dual fibration, part two: partial case

Geoff Cruttwell  
(with Robin Cockett, Jonathan Gallagher, Dorette Pronk)



# Overview

Last time we reviewed how to define the *dual* fibration to any fibration

$$p : \mathbb{E} \rightarrow \mathbb{B}.$$

- This construction produces interesting examples of fibrations, ones which involve maps going both forwards and backwards.
- Many applied situations seem to involve such maps, eg., lenses, learners, open games, reverse derivatives, etc.
- Today the goal is to see how we can work with fibrations and the dual fibration in categories of partial maps, ie., restriction categories.



# Motivation: how can this even be possible?

**How can we construct dual fibrations of restriction categories when you can't take the opposite of a restriction category?**

In particular, in what sense is the partial simple fibration, with maps

$$(A, X) \xrightarrow{(f, f')} (B, Y)$$

where

$$A \xrightarrow{f} B, A \times X \xrightarrow{f'} Y \text{ with } \overline{f'} = \overline{f} \times 1$$

“dual” to the category with maps

$$(A, X) \xrightarrow{(g, g')} (B, Y)$$

where

$$A \xrightarrow{g} B, A \times Y \xrightarrow{g'} X \text{ with } \overline{g'} = \overline{g} \times 1 ?$$

# Restriction categories

## Definition

A **restriction category** (Cockett/Lack 2002) is a category  $\mathbb{C}$  equipped with an operation which takes a map  $f : A \rightarrow B$  in  $\mathbb{C}$  and gives a map  $\bar{f} : A \rightarrow A$  which satisfies four identities:

$$[\text{R.1}] \bar{f}f = f \quad [\text{R.2}] \bar{f} \bar{g} = \bar{g} \bar{f} \quad [\text{R.3}] \bar{f} \bar{g} = \overline{\bar{f}g} \quad [\text{R.4}] f\bar{g} = \overline{fgf}$$

- The prototypical restriction category is the category of sets and partial maps, where  $\bar{f}(x)$  is defined to be  $x$  when  $f(x)$  is defined, and undefined otherwise.
- The category whose objects are  $\mathbb{R}^n$ 's and whose maps are smooth *partial* functions is similarly a restriction category.

**Note:** an arrow  $f : A \rightarrow B$  need not have a “domain object” on which it is fully defined! The partiality of  $f$  is encoded in the arrow  $\bar{f}$  (a “restriction idempotent”) not in an object.

# Partial order and partial inverses

We'll need a few basic concepts from restriction categories.

## Definition

For maps  $f, g : A \rightarrow B$  in a restriction category  $\mathbb{C}$ , write  $f \leq g$  if  $\bar{f}g = f$ .

- This captures the idea that  $f$  is less defined than  $g$ , but they are equal where they are both defined (eg.,  $\frac{x^2-1}{x-1} \leq x+1$ ).
- This gives a partial order on each homset, and these partial orders are compatible with composition.

## Definition

A map  $f : A \rightarrow B$  has a **partial inverse**  $g : B \rightarrow A$  if

$$fg = \bar{f} \text{ and } gf = \bar{g}.$$

- For example,  $\frac{1}{2x}$  does not have an inverse (in the ordinary sense) but it does have a *partial* inverse  $\frac{2}{x}$ .

# Restriction functors and semifunctors

If  $\mathbb{C}$  and  $\mathbb{D}$  are restriction categories:

## Definition

A **restriction functor**  $F : \mathbb{C} \rightarrow \mathbb{D}$  is a functor that preserves restrictions, i.e., for any  $g$  in  $\mathbb{C}$ ,  $F(\overline{g}) = \overline{F(g)}$ .

## Definition

A **restriction semifunctor**  $F : \mathbb{C} \rightarrow \mathbb{D}$  is a map of objects and arrows that preserves composition and restriction (but not necessarily identities).

**Note**, however, that for restriction semifunctors

$$F(1_A) = F(\overline{1_A}) = \overline{F(1_A)},$$

so  $F(1_A)$  is still a restriction idempotent (just not necessarily the identity).

# Restriction transformations

## Definition

If  $F, G : \mathbb{C} \rightarrow \mathbb{D}$  are restriction functors,

- a **restriction transformation**  $\alpha : F \Rightarrow G$  is a natural transformation for which each component  $\alpha_C$  is total;
- a **lax restriction transformation**  $\alpha : F \Rightarrow G$  has total components  $\alpha_C : FC \rightarrow GC$  such that for any  $f : A \rightarrow B$ ,

$$F(f)\alpha_B \leq \alpha_A G(f).$$

- These give 2-categories **rCat** and **rCat<sub>l</sub>**.

## Definition

If  $F, G$  are restriction semifunctors, a **lax restriction transformation**  $\alpha : F \Rightarrow G$  has components  $\alpha_C : FC \rightarrow GC$  such that  $\overline{\alpha_C} = F(1_C)$  and for any  $f : A \rightarrow B$ ,  $F(f)\alpha_B \leq \alpha_A G(f)$ .

- This gives a 2-category **rCat<sub>sl</sub>**.

# Limits in restriction categories?

Recall that  $\mathbb{C}$  has limits of shape  $\mathbb{D}$  if the diagonal  $\Delta : \mathbb{C} \rightarrow \mathbb{C}^{\mathbb{D}}$  has a right adjoint in **Cat**. What is the correct notion for restriction categories?

- Asking for a right adjoint in **rCat** is definitely not correct. If  $\mathbb{C}$  has even a terminal object  $T$  in this sense, then it has unique total maps  $t_A : A \rightarrow T$ ; this forces every map  $f : A \rightarrow B$  in  $\mathbb{C}$  to be total:

$$\bar{f} = \overline{f1_B} = \overline{ft_B} = \overline{ft_B} = \overline{t_A} = 1_A.$$



# Limits in restriction categories?

Recall that  $\mathbb{C}$  has limits of shape  $\mathbb{D}$  if the diagonal  $\Delta : \mathbb{C} \rightarrow \mathbb{C}^{\mathbb{D}}$  has a right adjoint in **Cat**. What is the correct notion for restriction categories?

- Asking for a right adjoint in **rCat** is definitely not correct. If  $\mathbb{C}$  has even a terminal object  $T$  in this sense, then it has unique total maps  $t_A : A \rightarrow T$ ; this forces every map  $f : A \rightarrow B$  in  $\mathbb{C}$  to be total:

$$\bar{f} = \overline{f1_B} = \overline{ft_B} = \overline{ft_B} = \overline{t_A} = 1_A.$$

- Asking for a right adjoint in **rCat<sub>l</sub>** gives **restriction limits**. However, these force splittings: eg., the diagram

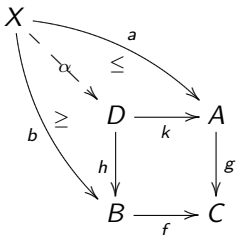
$$A \xrightarrow{f} B$$

has a restriction limit if and only  $\bar{f}$  is a split restriction idempotent (ie.,  $f$  has a “domain”).

- For our purposes, a right adjoint in **rCat<sub>sl</sub>**, which is known as a **latent limit** (Cockett/Hofstra/Guo 2012), is the most useful.

# Latent pullbacks

For example, a **latent pullback** has the following:



with

$$\bar{h} = \bar{k} = \overline{hf} = \overline{kg}$$

and

$$\bar{\alpha} = \overline{bf} = \overline{ag}, \quad \alpha\bar{h} = \alpha\bar{k} = \alpha.$$

**Note:** the projections  $h$  and  $k$  need not be total! (They must be for *restriction* pullbacks).

# Latent pullback example

For example, for an  $f : A \rightarrow B$ , in general the diagram

$$\begin{array}{ccc} & & B \\ & & \downarrow 1_B \\ A & \xrightarrow{f} & B \end{array}$$

need not have a restriction pullback, but it always has a latent pullback:

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \bar{f} \downarrow & & \downarrow 1_B \\ A & \xrightarrow{f} & B \end{array}$$

**Note** that this also means in general the latent pullback of a total arrow need not be total!

# Restriction version of the simple fibration

Recall from last time, we want to consider a restriction version of the simple fibration:

## Definition

For a restriction category  $\mathbb{C}$  with latent products, let  $\mathbb{C}[\mathbb{C}]$  denote the restriction category with:

- objects pairs  $(A, X)$ ;
- morphisms  $(f, f') : (A, X) \rightarrow (B, Y)$  are

$$A \xrightarrow{f} B, A \times X \xrightarrow{f'} Y \text{ with } \overline{f'} = \overline{f} \times 1$$

- composition as before:  $(f, f') \circ (g, g') := (fg, \langle \pi_0 f, f' \rangle g')$ ;
- restriction  $\overline{(f, f')} := (\overline{f}, \overline{f'} \pi_1 = \overline{\pi_0 f})$ .

Recall that one motivation for the condition  $\overline{f'} = \overline{f} \times 1$  comes from derivatives:

$$\overline{D[f]} = \overline{f} \times 1.$$

# The restriction simple fibration is not a fibration

As noted last time, this is **not** a fibration over  $\mathbb{C}$ !

$$\begin{array}{ccc}
 (C, Y) & \xrightarrow{(g, g')} & (B, X) \\
 \downarrow (h, g') & & \\
 (A, X) & \xrightarrow{(f, \pi_0)} & (B, X)
 \end{array}
 \quad \mapsto \quad
 \begin{array}{ccc}
 C & & \\
 \downarrow h & \searrow g & \\
 A & \xrightarrow{f} & B
 \end{array}$$

- We need  $\overline{g'} = \overline{h} \times 1$ , but only have  $\overline{g'} = \overline{g} \times 1$ .
- There is no reason why  $\overline{g} = \overline{h}$ .

# Latent fibration definition (Nester 2017)

## Definition

For a restriction functor  $p : \mathbb{E} \rightarrow \mathbb{B}$ , a **prone arrow** is a map  $f : X \rightarrow Y$  in  $\mathbb{E}$  so that for any  $\overline{g} : \overline{Z} \rightarrow \overline{Y}$  in  $\mathbb{E}$  and  $h : p(Z) \rightarrow p(X)$  in  $\mathbb{B}$  so that  $hp(f) = p(g)$  **and**  $\overline{h} = \overline{p(g)}$  there is a unique  $h' : Z \rightarrow X$  so that  $p(h') = h$ ,  $h'f = g$  **and**  $\overline{h'} = \overline{g}$ :

$$\begin{array}{ccc} Z & \xrightarrow{g} & Y \\ \text{\scriptsize } h' \downarrow \text{\scriptsize } \vdots & & \\ X & \xrightarrow{f} & Y \end{array} \quad \mapsto \quad \begin{array}{ccc} p(Z) & \xrightarrow{p(g)} & p(Y) \\ \text{\scriptsize } h \downarrow & & \\ p(X) & \xrightarrow{p(f)} & p(Y) \end{array}$$

# Latent fibration definition (Nester 2017)

## Definition

For a restriction functor  $p : \mathbb{E} \rightarrow \mathbb{B}$ , a **prone arrow** is a map  $f : X \rightarrow Y$  in  $\mathbb{E}$  so that for any  $\overline{g} : \overline{Z} \rightarrow \overline{Y}$  in  $\mathbb{E}$  and  $h : p(Z) \rightarrow p(X)$  in  $\mathbb{B}$  so that  $hp(f) = p(g)$  **and**  $\overline{h} = p(\overline{g})$  there is a unique  $h' : Z \rightarrow X$  so that  $p(h') = h$ ,  $h'f = g$  **and**  $h' = \overline{g}$ :

$$\begin{array}{ccc}
 Z & & \\
 \vdots & \searrow g & \\
 h' \downarrow & & \\
 X & \xrightarrow{f} & Y
 \end{array}
 \quad \mapsto \quad
 \begin{array}{ccc}
 p(Z) & & \\
 h \downarrow & \searrow p(g) & \\
 p(X) & \xrightarrow{p(f)} & p(Y)
 \end{array}$$

## Definition

A restriction functor  $p : \mathbb{E} \rightarrow \mathbb{B}$  is said to be a **latent fibration** if for any  $\alpha : A \rightarrow B$  in  $\mathbb{B}$ , and any  $Y$  such that  $p(Y) = B$ , there is a prone arrow  $\alpha^* : X \rightarrow Y$  over  $\alpha$ , i.e., such that  $p(\alpha^*) = \alpha$ .

# Latent fibration examples

- 1 The restriction version of the simple fibration is a latent fibration over  $\mathbb{C}$ .
- 2 There is a lax version of the simple fibration (arrows  $(f, f')$  have  $\bar{f}' \leq \bar{f} \times 1$ ); this is also a latent fibration over  $\mathbb{C}$ .

For any restriction category  $\mathbb{C}$ , let  $\mathbb{C}^{\rightarrow}$  be the restriction category whose objects are arrows of  $\mathbb{C}$ , with morphisms “semi-precise squares”:  
commutative squares

$$\begin{array}{ccc} C & \xrightarrow{f} & D \\ x \downarrow & & \downarrow y \\ X & \xrightarrow{g} & Y \end{array}$$

such that  $f = f\bar{y}$ . Let  $\mathbb{C}^{\rightsquigarrow}$  similar to  $\mathbb{C}^{\rightarrow}$  but with  $xg \geq fy$  rather than equality.

- 3 For any  $\mathbb{C}$  with latent pullbacks, the codomain functors  $\mathbb{C}^{\rightarrow} \rightarrow \mathbb{C}$  and  $\mathbb{C}^{\rightsquigarrow} \rightarrow \mathbb{C}$  are latent fibrations. (In fact, these are latent fibrations if and only if  $\mathbb{C}$  has latent pullbacks!)



# Latent fibration examples continued

- 4 For any restriction category  $\mathbb{C}$ , let  $\mathcal{O}(\mathbb{C})$  denote the restriction category whose objects are pairs  $(A, e)$  where  $e$  is a restriction idempotent on  $A$  and whose morphisms  $f : (A, e) \rightarrow (A', e')$  are maps  $f : A \rightarrow A'$  such that  $e \leq \overline{fe'}$ ; this is a latent fibration over  $\mathbb{C}$ .
- 5 More generally, if we let  $\mathbb{C}^{\leq}$  be the arrow category with now  $xg \leq fy$  (with no “semi-precise” requirement), the domain functor  $\mathbb{C}^{\leq} \rightarrow \mathbb{C}$  is a latent fibration. (There is a faithful functor from the previous category to this one).
- 6 (Nester, 2017) Builds a category of “assemblies”  $\text{Asm}(F)$  out of any restriction functor  $F : \mathbb{C} \rightarrow \mathbb{X}$  such that  $\mathbb{X}$  has restriction products (generalizing a construction of assemblies from a partial combinatory algebra); this also gives a latent fibration over  $\mathbb{X}$ .

# Theory of latent fibrations

Much of the theory of fibrations works for a latent fibration  $p : \mathbb{E} \rightarrow \mathbb{B}$ !

- The composite of two prone maps is again prone.
- Every map in  $\mathbb{E}$  factors as a **sub**vertical map followed by a prone map ( $g$  is subvertical if  $p(g)$  is a restriction idempotent).
- More generally, factorization systems in  $\mathbb{B}$  lift to factorization systems in  $\mathbb{E}$  (though one has to define factorization systems in a restriction category first...)

# Theory of latent fibrations

Much of the theory of fibrations works for a latent fibration  $p : \mathbb{E} \rightarrow \mathbb{B}$ !

- The composite of two prone maps is again prone.
- Every map in  $\mathbb{E}$  factors as a **sub**vertical map followed by a prone map ( $g$  is subvertical if  $p(g)$  is a restriction idempotent).
- More generally, factorization systems in  $\mathbb{B}$  lift to factorization systems in  $\mathbb{E}$  (though one has to define factorization systems in a restriction category first...)

Also:

- The composite of two latent fibrations is a latent fibration.
- The pullback of a latent fibration along any restriction functor is a latent fibration.

# Indexed version

While there is an indexed category version of latent fibrations, it is much more complicated.

- For a latent fibration  $p : \mathbb{E} \rightarrow \mathbb{B}$ , we take the fibre over  $A$  to be objects over  $A$  and *subvertical* maps over  $A$  (the usual fibre will not work).
- This produces a pseudofunctor

$$P : \mathbb{B}^{op} \rightarrow \text{SRest},$$

where  $\text{SRest}$  is the 2-category of restriction categories, semifunctors, and semifunctor transformations.

- Unfortunately, to go back from this to a latent fibration, we need more data (“bounding maps”).

# Warning one: restriction idempotents need not pronely lift

If  $e : A \rightarrow A$  is a restriction idempotent in  $\mathbb{B}$ , then there may not be a prone restriction idempotent in  $\mathbb{E}$  over  $e$ !

- The latent fibration property guarantees a prone map over  $e$ , but it may not necessarily be a restriction idempotent.
- For example, in  $\mathcal{O}(C)$ , the prone lift of  $e : A \rightarrow A$  over  $(A, e')$  is

$$(A, \overline{ee'}) \xrightarrow{e} (A, e')$$

which is no longer even an endomorphism!

- In fact, unless  $e' \geq e$ , it is not possible to find *any* restriction idempotent over  $e$  to  $(A, e')$ , let alone a prone one.
- There is a similar problem with the domain latent fibration.

# Admissible latent fibrations

## Definition

Say that a latent fibration  $p : \mathbb{E} \rightarrow \mathbb{B}$  is **admissible** if for any restriction idempotent  $e : A \rightarrow A$  in  $\mathbb{B}$  and any  $X$  in  $\mathbb{E}$  over  $A$ , there is a prone restriction idempotent  $e^* : X \rightarrow X$  in  $\mathbb{E}$  over  $e$ .

- All the previous examples except the propositions example and the domain example are admissible.
- In general, the splitting of a latent fibration  $p$  is a latent fibration only if  $p$  is admissible.

## Warning two: partials isos need not be prone

In an (ordinary) fibration, every isomorphism is Cartesian. Unfortunately, in a latent fibration, every partial isomorphism need not be prone.

### Definition

Say a latent fibration  $p : \mathbb{E} \rightarrow \mathbb{B}$  is a **hyperfibration** if it is admissible and if every partial isomorphism in  $\mathbb{E}$  is prone.

- The lax versions of the simple and codomain fibrations are not hyperfibrations.
- The strict versions of the simple and codomain fibrations **are** hyperfibrations.
- The assemblies fibration is a hyperfibration.

# Hyperconnections

## Definition

(Cockett/Garner 2014) A restriction functor  $F : \mathbb{E} \rightarrow \mathbb{B}$  is a **hyperconnection** if for each  $X \in \mathbb{E}$ , the restriction of  $F$  to the restriction idempotents  $\mathcal{O}(X)$  of  $X$  is an isomorphism; that is,

$$F|_{\mathcal{O}(X)} : \mathcal{O}(X) \rightarrow \mathcal{O}(FX) \text{ is invertible.}$$

For example, the codomain functor  $\mathbb{C}^{\rightarrow} \rightarrow \mathbb{C}$  is a hyperconnection: if  $(e', e)$  is a restriction idempotent on  $x : C \rightarrow C$ :

$$\begin{array}{ccc} C & \xrightarrow{e'} & C \\ x \downarrow & & \downarrow x \\ X & \xrightarrow{e} & X \end{array}$$

then since the square commutes and is semi-precise,

$$e' = \overline{e'} = \overline{e'x} = \overline{e'}x = \overline{xe},$$

so  $e'$  is entirely determined by  $e$ .



# Hyperconnections and hyperfibrations

## Theorem

*An admissible latent fibration  $p : \mathbb{E} \rightarrow \mathbb{B}$  is a latent hyperfibration if and only if  $p$  is a hyperconnection.*

- This shows why the simple strict latent fibration and the strict codomain fibration are hyperfibrations.
- Similarly, it can also be used to show that their lax versions are *not* latent hyperfibrations.
- As we shall see, we can build duals to latent hyperfibrations.

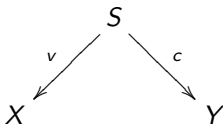
# Overview of latent fibrations

In summation:

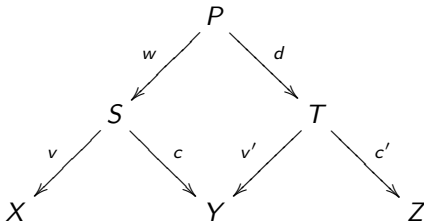
- There is a restriction version of fibrations, with many examples, including some particular to restriction categories.
- Many results for fibrations hold for latent fibrations.
- There is an indexed version of latent fibrations, but it is complex.
- There are strengthenings of the notion of latent fibration which have useful properties.

# Idea of the dual fibration

Following the idea for how to define the dual fibration, we would hope that given a latent fibration  $p : \mathbb{E} \rightarrow \mathbb{B}$ , we define the dual  $\mathbb{E}^*$  to have objects those of  $\mathbb{E}$ , arrows spans

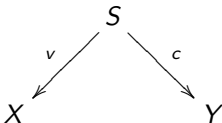


(with  $v$  *subvertical* and  $c$  *prone*) and composition by (latent?) pullback

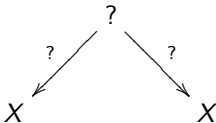


# Restriction structure?

*But how can this be a restriction category? Given*



its restriction  $\overline{(v, c)}$  would have to be a span of the form

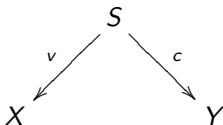


which doesn't affect the original span when composed with it.

**Note:**  $\bar{v} : C \rightarrow C$  not of the right type.

# Restriction structure

However, suppose  $p : \mathbb{E} \rightarrow \mathbb{B}$  is a latent *hyperfibration*. Then given a span



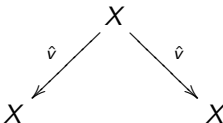
with  $v$  subvertical,  $p(v)$  is a restriction idempotent in  $\mathbb{B}$

$$p(X) = p(S) \xrightarrow{p(v)} p(S) = p(X)$$

so since  $p$  is a hyperconnection, there is a corresponding unique restriction idempotent on  $X$

$$X \xrightarrow{\hat{v}} X$$

so we can define  $\overline{(v, c)}$  to be the span



# Cospan of a subvertical/prone pair

Moreover, hyperfibrations do have the necessary latent pullbacks:

## Lemma

Suppose  $p : \mathbb{E} \rightarrow \mathbb{B}$  is a latent hyperfibration. Then every cospan  $c : B \rightarrow C$ ,  $v : A \rightarrow C$  with  $v$  subvertical and  $c$  prone has a corresponding latent pullback:

$$\begin{array}{ccc} U & \xrightarrow{c'} & A \\ w \downarrow & & \downarrow v \\ B & \xrightarrow{c} & C \end{array}$$

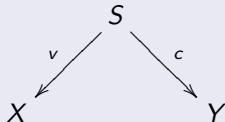
where  $w$  is subvertical and  $c'$  is prone.

# Dual of latent hyperfibration

## Theorem

If  $p : \mathbb{E} \rightarrow \mathbb{B}$  is a latent hyperfibration, then there is a latent hyperfibration  $p^* : \mathbb{E}^* \rightarrow \mathbb{B}^*$  where

- $\mathbb{E}^*$  has the same objects as  $\mathbb{E}$ ;
- arrows  $(v, c) : X \rightarrow Y$  are equivalence classes of spans



with  $v$  subvertical and  $c$  prone (equivalence is up to vertical partial isomorphism);

- restriction is defined as above:  $\overline{(v, c)} := (\hat{v}, \hat{v})$ ;
- composition is by latent pullback.

# Examples

- The strict simple latent fibration is a hyperfibration, and so has a dual, with maps

$$(A, X) \xrightarrow{(f, f')} (B, Y)$$

of the form

$$A \xrightarrow{f} B, A \times Y \xrightarrow{f'} X \text{ such that } \overline{f'} = \overline{f} \times 1$$

One could think of think of this as a “category of partial lenses”.

- The strict codomain fibration is a hyperfibration, and so has a dual, which one could think of as a “category of partial dependent lenses”.
- The assemblies fibration is a hyperfibration, and so has a dual (though not sure of a good description of it yet).



# Concluding thoughts

We began this story simply trying to understand how the dual to the simple fibration worked in restriction categories. This has led to quite a journey, and there's still lots more to understand and do:

- Are categories of partial lenses practically useful? I imagine so, but this needs testing...
- Other examples of latent hyperfibrations and their duals?
- Need a better theoretical understanding of the indexed version of things (in particular, what is the indexed version of this dual?)
- How do latent fibrations relate to fibrations in the various 2-categories of restriction categories?

# References

- Cockett, R. and Garner, R. **Restriction categories as enriched categories**. Theoretical computer science 523 (2014), pgs. 37–55.
- Cockett, R., Hofstra, P. and Guo, X. **Range categories II: towards regularity**. Theory and applications of categories 26 (2012), pgs. 453–500.
- Cockett, R. and Lack, S. **Restriction categories I: categories of partial maps**. Theoretical computer science 270 (2002), pgs. 223–259.
- Nester, C. **Turing categories and realizability**. PhD thesis.