GAP ANALYSIS REQUEST FORM

Include spouse retirement values?	☐ Yes ☐ No

CONTACT	Client	Spouse
Last Name		
First Name		
Date of Birth		
Phone		
E-mail (Client)		
E-mail (Spouse)		

INCOME	Client	Spouse
Number of Pay Periods per Year		
Gross Income per Pay Period		
Net Income per Pay Period		
Anticipated Annual Raises		
Raise Check Number		

RETIREMENT	Client	Spouse
Retirement Age		
Estimated Retirement Date		

LIFE EXPECTANCY METHOD	Client	Spouse
Anticipated Life Expectancy	☐ Below Average ☐ At Average ☐ Above Average	☐ Below Average ☐ At Average ☐ Above Average

SOCIAL SECURITY	Client	Spouse
Eligible for Social Security	☐ Yes ☐ No	☐ Yes ☐ No
Projected Social Security value or 'N/A'		

LONG TERM CARE INSURANCE	Client	Spouse
Do you have long term care insurance?	☐ Yes ☐ No	☐ Yes ☐ No

RETIREMENT PLAN INFORMATION	Client	Spouse
Type of Retirement Plan	☐ Defined Benefit Plan ☐ Defined Contribution Plan	☐ Defined Benefit Plan ☐ Defined Contribution Plan

DEFINED BENEFIT PLAN	Client	Spouse
Name		
Date Entered Plan		
Addition years of service*		
Additional funds for deposit at retirement*		
Other retirement plan details		

DEFINED CONTRIBUTION PLAN	Client	Spouse
Name		
Current Account Balance		
Enter Rate of Return*		
Percent of income to contribute or dollar amount		
Employer Matching	Employer matches __% of my contribution up to __% of my income.	Employer matches __% of my contribution up to __% of my income.
Other Details:		

RETIREMENT INVESTMENTS

Please List Any Additional Retirement Investment Accounts

Account #1 Name	
Owner	☐ Client ☐ Spouse
Current Account Balance	
Account Type	☐ Qualified ☐ Non-Qualified ☐ Tax-Free ☐ Tax Deferred
Number of Deposits per Year	
Deposits per Month	
Deposit Value	
Annual Increase in Deposit	
Rate of Return	
Special Notes	

REETIREMENT INCOME	Client	Spouse
Percent of net income needed in retirement (leave blank if needed to discuss with advisor).		

LUMP SUM

Account Name	
Distribution Type of Event	☐ Client Age/ ☐ Date/ ☐ Years after Client Retirement/ ☐ Client Life Expectancy/ ☐ Spouse Life Expectancy
Distribution Event Value	
Current Value	
Annual Increase	

KNOWN INCOMES

Know income are sources of income that you know a value for, such as part time work or the net income from a rental house.

Account Name	
Owner	☐ Client ☐ Spouse
Number of Payments per year	☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 6 ☐ 12
Current Value	
Rate of Increase/Inflation before Retirement	
Rate of Increase/Inflation after Retirement	
Period during which income occurs	
Income Starts	☐ Owner Retirement/ ☐ Today/ ☐ First Retirement/ ☐ Both Retired/ ☐ First Deceased/ ☐ Owners Age:_____/ ☐ Date:_____