

Formalization of Recursive Path Orders for Lambda-Free Higher-Order Terms

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Abstract

This Isabelle/HOL formalization defines recursive path orders (RPOs) for higher-order terms without λ -abstraction and proves many useful properties about them. The main order fully coincides with the standard RPO on first-order terms also in the presence of currying, distinguishing it from previous work. An optimized variant is formalized as well. It appears promising as the basis of a higher-order superposition calculus.

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1 Introduction

This Isabelle/HOL formalization defines recursive path orders (RPOs) for higher-order terms without λ -abstraction and proves many useful properties about them. The main order fully coincides with the standard RPO on first-order terms also in the presence of currying, distinguishing it from previous work. An optimized variant is formalized as well. It appears promising as the basis of a higher-order superposition calculus.

We refer to the following conference paper for details:

Jasmin Christian Blanchette, Uwe Waldmann, Daniel Wand:
 A Lambda-Free Higher-Order Recursive Path Order.
 FoSSaCS 2017: 461-479
https://www.cs.vu.nl/~jbe248/lambda_free_rpo_conf.pdf

2 Utilities for Lambda-Free Orders

```
theory Lambda_Free_Util
imports HOL-Library.Extended_Nat HOL-Library.Multiset_Order
begin
```

This theory gathers various lemmas that likely belong elsewhere in Isabelle or the *Archive of Formal Proofs*. Most (but certainly not all) of them are used to formalize orders on λ -free higher-order terms.

2.1 Function Power

```
lemma funpow_lesseq_iter:
  fixes f :: ('a::order)  $\Rightarrow$  'a
  assumes mono:  $\bigwedge k. k \leq f k$  and m_le_n:  $m \leq n$ 
  shows  $(f \hat{\sim} m) k \leq (f \hat{\sim} n) k$ 
  <proof>
```

```
lemma funpow_less_iter:
  fixes f :: ('a::order)  $\Rightarrow$  'a
  assumes mono:  $\bigwedge k. k < f k$  and m_lt_n:  $m < n$ 
  shows  $(f \hat{\sim} m) k < (f \hat{\sim} n) k$ 
  <proof>
```

2.2 Least Operator

lemma *Least_eq[simp]*: $(LEAST\ y.\ y = x) = x$ and $(LEAST\ y.\ x = y) = x$ for $x :: 'a::order$
⟨proof⟩

lemma *Least_in_nonempty_set_imp_ex*:
fixes $f :: 'b \Rightarrow ('a::wellorder)$
assumes
 $A_nemp: A \neq \{\}$ and
 $P_least: P (LEAST\ y.\ \exists x \in A.\ y = f\ x)$
shows $\exists x \in A.\ P (f\ x)$
⟨proof⟩

lemma *Least_eq_0_enat*: $P\ 0 \implies (LEAST\ x :: enat.\ P\ x) = 0$
⟨proof⟩

2.3 Antisymmetric Relations

lemma *irrefl_trans_imp_antisym*: $irrefl\ r \implies trans\ r \implies antisym\ r$
⟨proof⟩

lemma *irreflp_transp_imp_antisymP*: $irreflp\ p \implies transp\ p \implies antisymp\ p$
⟨proof⟩

2.4 Acyclic Relations

lemma *finite_nonempty_ex_succ_imp_cyclic*:
assumes
 $fin: finite\ A$ and
 $nemp: A \neq \{\}$ and
 $ex_y: \forall x \in A.\ \exists y \in A.\ (y, x) \in r$
shows $\neg acyclic\ r$
⟨proof⟩

2.5 Reflexive, Transitive Closure

lemma *relcomp_subset_left_imp_relcomp_trancl_subset_left*:
assumes $sub: R\ O\ S \subseteq R$
shows $R\ O\ S^* \subseteq R$
⟨proof⟩

lemma *f_chain_in_rtrancl*:
assumes $m_le_n: m \leq n$ and $f_chain: \forall i \in \{m..<n\}.\ (f\ i, f\ (Suc\ i)) \in R$
shows $(f\ m, f\ n) \in R^*$
⟨proof⟩

lemma *f_rev_chain_in_rtrancl*:
assumes $m_le_n: m \leq n$ and $f_chain: \forall i \in \{m..<n\}.\ (f\ (Suc\ i), f\ i) \in R$
shows $(f\ n, f\ m) \in R^*$
⟨proof⟩

2.6 Well-Founded Relations

lemma *wf_app*: $wf\ r \implies wf\ \{(x, y).\ (f\ x, f\ y) \in r\}$
⟨proof⟩

lemma *wfP_app*: $wfP\ p \implies wfP\ (\lambda x\ y.\ p\ (f\ x)\ (f\ y))$
⟨proof⟩

lemma *wf_exists_minimal*:
assumes $wf: wf\ r$ and $Q: Q\ x$
shows $\exists x.\ Q\ x \wedge (\forall y.\ (f\ y, f\ x) \in r \longrightarrow \neg Q\ y)$
⟨proof⟩

lemma *wfP_exists_minimal*:
assumes *wf*: $wfP\ p$ **and** *Q*: $Q\ x$
shows $\exists x. Q\ x \wedge (\forall y. p\ (f\ y)\ (f\ x) \longrightarrow \neg Q\ y)$
<proof>

lemma *finite_irrefl_trans_imp_wf*: $finite\ r \implies irrefl\ r \implies trans\ r \implies wf\ r$
<proof>

lemma *finite_irreflp_transp_imp_wfp*:
 $finite\ \{(x, y). p\ x\ y\} \implies irreflp\ p \implies transp\ p \implies wfP\ p$
<proof>

lemma *wf_infinite_down_chain_compatible*:
assumes
wf_R: $wf\ R$ **and**
inf_chain_RS: $\forall i. (f\ (Suc\ i), f\ i) \in R \cup S$ **and**
O_subset: $R\ O\ S \subseteq R$
shows $\exists k. \forall i. (f\ (Suc\ (i + k)), f\ (i + k)) \in S$
<proof>

2.7 Wellorders

lemma (*in_wellorder*) *exists_minimal*:
fixes $x :: 'a$
assumes $P\ x$
shows $\exists x. P\ x \wedge (\forall y. P\ y \longrightarrow y \geq x)$
<proof>

2.8 Lists

lemma *rev_induct2*[*consumes 1, case_names Nil snoc*]:
 $length\ xs = length\ ys \implies P\ []\ [] \implies$
 $(\bigwedge x\ xs\ y\ ys. length\ xs = length\ ys \implies P\ xs\ ys \implies P\ (xs\ @\ [x])\ (ys\ @\ [y])) \implies P\ xs\ ys$
<proof>

lemma *hd_in_set*: $length\ xs \neq 0 \implies hd\ xs \in set\ xs$
<proof>

lemma *in_lists_iff_set*: $xs \in lists\ A \longleftrightarrow set\ xs \subseteq A$
<proof>

lemma *butlast_append_Cons*[*simp*]: $butlast\ (xs\ @\ y\ \# \ ys) = xs\ @\ butlast\ (y\ \# \ ys)$
<proof>

lemma *rev_in_lists*[*simp*]: $rev\ xs \in lists\ A \longleftrightarrow xs \in lists\ A$
<proof>

lemma *hd_le_sum_list*:
fixes $xs :: 'a::ordered_ab_semigroup_monoid_add_imp_le\ list$
assumes $xs \neq []$ **and** $\forall i < length\ xs. xs\ !\ i \geq 0$
shows $hd\ xs \leq sum_list\ xs$
<proof>

lemma *sum_list_ge_length_times*:
fixes $a :: 'a::\{ordered_ab_semigroup_add,semiring_1\}$
assumes $\forall i < length\ xs. xs\ !\ i \geq a$
shows $sum_list\ xs \geq of_nat\ (length\ xs) * a$
<proof>

lemma *prod_list_nonneg*:
fixes $xs :: ('a :: \{ordered_semiring_0,linordered_nonzero_semiring\})\ list$
assumes $\bigwedge x. x \in set\ xs \implies x \geq 0$
shows $prod_list\ xs \geq 0$
<proof>

lemma *zip_append_0_upt*:
 $zip\ (xs\ @\ ys)\ [0..<length\ xs + length\ ys] =$
 $zip\ xs\ [0..<length\ xs]\ @\ zip\ ys\ [length\ xs..<length\ xs + length\ ys]$
 ⟨proof⟩

lemma *zip_eq_butlast_last*:
assumes *len_gt0*: $length\ xs > 0$ **and** *len_eq*: $length\ xs = length\ ys$
shows $zip\ xs\ ys = zip\ (butlast\ xs)\ (butlast\ ys)\ @\ [(last\ xs,\ last\ ys)]$
 ⟨proof⟩

2.9 Extended Natural Numbers

lemma *the_enat_0[simp]*: $the_enat\ 0 = 0$
 ⟨proof⟩

lemma *the_enat_1[simp]*: $the_enat\ 1 = 1$
 ⟨proof⟩

lemma *enat_le_minus_1_imp_lt*: $m \leq n - 1 \implies n \neq \infty \implies n \neq 0 \implies m < n$ **for** $m\ n :: enat$
 ⟨proof⟩

lemma *enat_diff_diff_eq*: $k - m - n = k - (m + n)$ **for** $k\ m\ n :: enat$
 ⟨proof⟩

lemma *enat_sub_add_same[intro]*: $n \leq m \implies m = m - n + n$ **for** $m\ n :: enat$
 ⟨proof⟩

lemma *enat_the_enat_iden[simp]*: $n \neq \infty \implies enat\ (the_enat\ n) = n$
 ⟨proof⟩

lemma *the_enat_minus_nat*: $m \neq \infty \implies the_enat\ (m - enat\ n) = the_enat\ m - n$
 ⟨proof⟩

lemma *enat_the_enat_le*: $enat\ (the_enat\ x) \leq x$
 ⟨proof⟩

lemma *enat_the_enat_minus_le*: $enat\ (the_enat\ (x - y)) \leq x$
 ⟨proof⟩

lemma *enat_le_imp_minus_le*: $k \leq m \implies k - n \leq m$ **for** $k\ m\ n :: enat$
 ⟨proof⟩

lemma *add_diff_assoc2_enat*: $m \geq n \implies m - n + p = m + p - n$ **for** $m\ n\ p :: enat$
 ⟨proof⟩

lemma *enat_mult_minus_distrib*: $enat\ x * (y - z) = enat\ x * y - enat\ x * z$
 ⟨proof⟩

2.10 Multisets

declare
filter_eq_replicate_mset [simp]
image_mset_subseteq_mono [intro]
count_gt_imp_in_mset [intro]

end

3 Lambda-Free Higher-Order Terms

theory *Lambda_Free_Term*
imports *Lambda_Free_Util*
abbrevs $>s = >_s$

```

and >h = >hd
and <=>h = <=>hd
begin

```

This theory defines λ -free higher-order terms and related locales.

3.1 Precedence on Symbols

```

locale gt_sym =
  fixes
    gt_sym :: 's  $\Rightarrow$  's  $\Rightarrow$  bool (infix >s 50)
  assumes
    gt_sym_irrefl:  $\neg f >_s f$  and
    gt_sym_trans:  $h >_s g \Longrightarrow g >_s f \Longrightarrow h >_s f$  and
    gt_sym_total:  $f >_s g \vee g >_s f \vee g = f$  and
    gt_sym_wf: wfP ( $\lambda f g. g >_s f$ )
begin

```

```

lemma gt_sym_antisym:  $f >_s g \Longrightarrow \neg g >_s f$ 
  <proof>

```

```

end

```

3.2 Heads

```

datatype (plugins del: size) (syms_hd: 's, vars_hd: 'v) hd =
  is_Var: Var (var: 'v)
| Sym (sym: 's)

```

```

abbreviation is_Sym :: ('s, 'v) hd  $\Rightarrow$  bool where
  is_Sym  $\zeta \equiv \neg$  is_Var  $\zeta$ 

```

```

lemma finite_vars_hd[simp]: finite (vars_hd  $\zeta$ )
  <proof>

```

```

lemma finite_syms_hd[simp]: finite (syms_hd  $\zeta$ )
  <proof>

```

3.3 Terms

```

consts head0 :: 'a

```

```

datatype (syms: 's, vars: 'v) tm =
  is_Hd: Hd (head: ('s, 'v) hd)
| App (fun: ('s, 'v) tm) (arg: ('s, 'v) tm)

```

```

where

```

```

  head (App s _) = head0 s
| fun (Hd  $\zeta$ ) = Hd  $\zeta$ 
| arg (Hd  $\zeta$ ) = Hd  $\zeta$ 

```

```

overloading head0  $\equiv$  head0 :: ('s, 'v) tm  $\Rightarrow$  ('s, 'v) hd
begin

```

```

primrec head0 :: ('s, 'v) tm  $\Rightarrow$  ('s, 'v) hd where
  head0 (Hd  $\zeta$ ) =  $\zeta$ 
| head0 (App s _) = head0 s

```

```

end

```

```

lemma head_App[simp]: head (App s t) = head s
  <proof>

```

```

declare tm.sel(2)[simp del]

```

lemma *head_fun[simp]*: $\text{head} (\text{fun } s) = \text{head } s$
(*proof*)

abbreviation *ground* :: $('s, 'v) \text{ tm} \Rightarrow \text{bool}$ **where**
 $\text{ground } t \equiv \text{vars } t = \{\}$

abbreviation *is_App* :: $('s, 'v) \text{ tm} \Rightarrow \text{bool}$ **where**
 $\text{is_App } s \equiv \neg \text{is_Hd } s$

lemma
size_fun_lt: $\text{is_App } s \Longrightarrow \text{size} (\text{fun } s) < \text{size } s$ **and**
size_arg_lt: $\text{is_App } s \Longrightarrow \text{size} (\text{arg } s) < \text{size } s$
(*proof*)

lemma
finite_vars[simp]: $\text{finite} (\text{vars } s)$ **and**
finite_syms[simp]: $\text{finite} (\text{syms } s)$
(*proof*)

lemma
vars_head_subseteq: $\text{vars_hd} (\text{head } s) \subseteq \text{vars } s$ **and**
syms_head_subseteq: $\text{syms_hd} (\text{head } s) \subseteq \text{syms } s$
(*proof*)

fun *args* :: $('s, 'v) \text{ tm} \Rightarrow ('s, 'v) \text{ tm list}$ **where**
 $\text{args} (\text{Hd } _) = []$
| $\text{args} (\text{App } s \ t) = \text{args } s \ @ \ [t]$

lemma *set_args_fun*: $\text{set} (\text{args} (\text{fun } s)) \subseteq \text{set} (\text{args } s)$
(*proof*)

lemma *arg_in_args*: $\text{is_App } s \Longrightarrow \text{arg } s \in \text{set} (\text{args } s)$
(*proof*)

lemma
vars_args_subseteq: $si \in \text{set} (\text{args } s) \Longrightarrow \text{vars } si \subseteq \text{vars } s$ **and**
syms_args_subseteq: $si \in \text{set} (\text{args } s) \Longrightarrow \text{syms } si \subseteq \text{syms } s$
(*proof*)

lemma *args_Nil_iff_is_Hd*: $\text{args } s = [] \longleftrightarrow \text{is_Hd } s$
(*proof*)

abbreviation *num_args* :: $('s, 'v) \text{ tm} \Rightarrow \text{nat}$ **where**
 $\text{num_args } s \equiv \text{length} (\text{args } s)$

lemma *size_ge_num_args*: $\text{size } s \geq \text{num_args } s$
(*proof*)

lemma *Hd_head_id*: $\text{num_args } s = 0 \Longrightarrow \text{Hd} (\text{head } s) = s$
(*proof*)

lemma *one_arg_imp_Hd*: $\text{num_args } s = 1 \Longrightarrow s = \text{App } t \ u \Longrightarrow t = \text{Hd} (\text{head } t)$
(*proof*)

lemma *size_in_args*: $s \in \text{set} (\text{args } t) \Longrightarrow \text{size } s < \text{size } t$
(*proof*)

primrec *apps* :: $('s, 'v) \text{ tm} \Rightarrow ('s, 'v) \text{ tm list} \Rightarrow ('s, 'v) \text{ tm}$ **where**
 $\text{apps } s \ [] = s$
| $\text{apps } s \ (t \ # \ ts) = \text{apps} (\text{App } s \ t) \ ts$

lemma
vars_apps[simp]: $\text{vars} (\text{apps } s \ ss) = \text{vars } s \cup (\bigcup s \in \text{set } ss. \text{vars } s)$ **and**

syms_apps[simp]: $\text{syms } (\text{apps } s \text{ } ss) = \text{syms } s \cup (\bigcup s \in \text{set } ss. \text{syms } s)$ **and**
head_apps[simp]: $\text{head } (\text{apps } s \text{ } ss) = \text{head } s$ **and**
args_apps[simp]: $\text{args } (\text{apps } s \text{ } ss) = \text{args } s @ ss$ **and**
is_App_apps[simp]: $\text{is_App } (\text{apps } s \text{ } ss) \longleftrightarrow \text{args } (\text{apps } s \text{ } ss) \neq []$ **and**
fun_apps_Nil[simp]: $\text{fun } (\text{apps } s \text{ } []) = \text{fun } s$ **and**
fun_apps_Cons[simp]: $\text{fun } (\text{apps } (\text{App } s \text{ } sa) \text{ } ss) = \text{apps } s (\text{butlast } (sa \# ss))$ **and**
arg_apps_Nil[simp]: $\text{arg } (\text{apps } s \text{ } []) = \text{arg } s$ **and**
arg_apps_Cons[simp]: $\text{arg } (\text{apps } (\text{App } s \text{ } sa) \text{ } ss) = \text{last } (sa \# ss)$
 <proof>

lemma *apps_append[simp]*: $\text{apps } s (ss @ ts) = \text{apps } (\text{apps } s \text{ } ss) \text{ } ts$
 <proof>

lemma *App_apps*: $\text{App } (\text{apps } s \text{ } ts) \text{ } t = \text{apps } s (ts @ [t])$
 <proof>

lemma *tm_inject_apps[iff, induct_simp]*: $\text{apps } (\text{Hd } \zeta) \text{ } ss = \text{apps } (\text{Hd } \xi) \text{ } ts \longleftrightarrow \zeta = \xi \wedge ss = ts$
 <proof>

lemma *tm_collapse_apps[simp]*: $\text{apps } (\text{Hd } (\text{head } s)) (\text{args } s) = s$
 <proof>

lemma *tm_expand_apps*: $\text{head } s = \text{head } t \implies \text{args } s = \text{args } t \implies s = t$
 <proof>

lemma *tm_exhaust_apps_sel[case_names apps]*: $(s = \text{apps } (\text{Hd } (\text{head } s)) (\text{args } s) \implies P) \implies P$
 <proof>

lemma *tm_exhaust_apps[case_names apps]*: $(\bigwedge \zeta \text{ } ss. s = \text{apps } (\text{Hd } \zeta) \text{ } ss \implies P) \implies P$
 <proof>

lemma *tm_induct_apps[case_names apps]*:
assumes $\bigwedge \zeta \text{ } ss. (\bigwedge s. s \in \text{set } ss \implies P \text{ } s) \implies P (\text{apps } (\text{Hd } \zeta) \text{ } ss)$
shows $P \text{ } s$
 <proof>

lemma
ground_fun: $\text{ground } s \implies \text{ground } (\text{fun } s)$ **and**
ground_arg: $\text{ground } s \implies \text{ground } (\text{arg } s)$
 <proof>

lemma *ground_head*: $\text{ground } s \implies \text{is_Sym } (\text{head } s)$
 <proof>

lemma *ground_args*: $t \in \text{set } (\text{args } s) \implies \text{ground } s \implies \text{ground } t$
 <proof>

primrec *vars_mset* :: $('s, 'v) \text{tm} \Rightarrow 'v \text{multiset}$ **where**
vars_mset ($\text{Hd } \zeta$) = *mset_set* (*vars_hd* ζ)
 | *vars_mset* ($\text{App } s \text{ } t$) = *vars_mset* s + *vars_mset* t

lemma *set_vars_mset[simp]*: $\text{set_mset } (\text{vars_mset } t) = \text{vars } t$
 <proof>

lemma *vars_mset_empty_iff[iff]*: $\text{vars_mset } s = \{\#\} \longleftrightarrow \text{ground } s$
 <proof>

lemma *vars_mset_fun[intro]*: $\text{vars_mset } (\text{fun } t) \subseteq\# \text{vars_mset } t$
 <proof>

lemma *vars_mset_arg[intro]*: $\text{vars_mset } (\text{arg } t) \subseteq\# \text{vars_mset } t$
 <proof>

3.4 hsize

The hsize of a term is the number of heads (Syms or Vars) in the term.

primrec $hsize :: ('s, 'v) tm \Rightarrow nat$ **where**
 $hsize (Hd \zeta) = 1$
 $| hsize (App s t) = hsize s + hsize t$

lemma $hsize_size: hsize t * 2 = size t + 1$
 $\langle proof \rangle$

lemma $hsize_pos[simp]: hsize t > 0$
 $\langle proof \rangle$

lemma $hsize_fun_lt: is_App s \Longrightarrow hsize (fun s) < hsize s$
 $\langle proof \rangle$

lemma $hsize_arg_lt: is_App s \Longrightarrow hsize (arg s) < hsize s$
 $\langle proof \rangle$

lemma $hsize_ge_num_args: hsize s \geq hsize s$
 $\langle proof \rangle$

lemma $hsize_in_args: s \in set (args t) \Longrightarrow hsize s < hsize t$
 $\langle proof \rangle$

lemma $hsize_apps: hsize (apps t ts) = hsize t + sum_list (map hsize ts)$
 $\langle proof \rangle$

lemma $hsize_args: 1 + sum_list (map hsize (args t)) = hsize t$
 $\langle proof \rangle$

3.5 Substitutions

primrec $subst :: ('v \Rightarrow ('s, 'v) tm) \Rightarrow ('s, 'v) tm \Rightarrow ('s, 'v) tm$ **where**
 $subst \varrho (Hd \zeta) = (case \zeta of Var x \Rightarrow \varrho x | Sym f \Rightarrow Hd (Sym f))$
 $| subst \varrho (App s t) = App (subst \varrho s) (subst \varrho t)$

lemma $subst_apps[simp]: subst \varrho (apps s ts) = apps (subst \varrho s) (map (subst \varrho) ts)$
 $\langle proof \rangle$

lemma $head_subst[simp]: head (subst \varrho s) = head (subst \varrho (Hd (head s)))$
 $\langle proof \rangle$

lemma $args_subst[simp]:$
 $args (subst \varrho s) = (case head s of Var x \Rightarrow args (\varrho x) | Sym f \Rightarrow []) @ map (subst \varrho) (args s)$
 $\langle proof \rangle$

lemma $ground_imp_subst_iden: ground s \Longrightarrow subst \varrho s = s$
 $\langle proof \rangle$

lemma $vars_mset_subst[simp]: vars_mset (subst \varrho s) = (\sum \# \{ \#vars_mset (\varrho x). x \in \# vars_mset s \# \})$
 $\langle proof \rangle$

lemma $vars_mset_subst_subsetq:$
 $vars_mset t \supseteq \# vars_mset s \Longrightarrow vars_mset (subst \varrho t) \supseteq \# vars_mset (subst \varrho s)$
 $\langle proof \rangle$

lemma $vars_subst_subsetq: vars t \supseteq vars s \Longrightarrow vars (subst \varrho t) \supseteq vars (subst \varrho s)$
 $\langle proof \rangle$

3.6 Subterms

inductive $sub :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool$ **where**

$sub_refl: sub\ s\ s$
 $|\ sub_fun: sub\ s\ t \implies sub\ s\ (App\ u\ t)$
 $|\ sub_arg: sub\ s\ t \implies sub\ s\ (App\ t\ u)$

inductive-cases $sub_HdE[simplified, elim]: sub\ s\ (Hd\ \xi)$
inductive-cases $sub_AppE[simplified, elim]: sub\ s\ (App\ t\ u)$
inductive-cases $sub_Hd_HdE[simplified, elim]: sub\ (Hd\ \zeta)\ (Hd\ \xi)$
inductive-cases $sub_Hd_AppE[simplified, elim]: sub\ (Hd\ \zeta)\ (App\ t\ u)$

lemma $in_vars_imp_sub: x \in vars\ s \iff sub\ (Hd\ (Var\ x))\ s$
 $\langle proof \rangle$

lemma $sub_args: s \in set\ (args\ t) \implies sub\ s\ t$
 $\langle proof \rangle$

lemma $sub_size: sub\ s\ t \implies size\ s \leq size\ t$
 $\langle proof \rangle$

lemma $sub_subst: sub\ s\ t \implies sub\ (subst\ \rho\ s)\ (subst\ \rho\ t)$
 $\langle proof \rangle$

abbreviation $proper_sub :: ('s, 'v)\ tm \Rightarrow ('s, 'v)\ tm \Rightarrow bool$ **where**
 $proper_sub\ s\ t \equiv sub\ s\ t \wedge s \neq t$

lemma $proper_sub_Hd[simp]: \neg\ proper_sub\ s\ (Hd\ \zeta)$
 $\langle proof \rangle$

lemma $proper_sub_subst:$
assumes $psub: proper_sub\ s\ t$
shows $proper_sub\ (subst\ \rho\ s)\ (subst\ \rho\ t)$
 $\langle proof \rangle$

3.7 Maximum Arities

locale $arity =$
fixes

$arity_sym :: 's \Rightarrow enat$ **and**
 $arity_var :: 'v \Rightarrow enat$

begin

primrec $arity_hd :: ('s, 'v)\ hd \Rightarrow enat$ **where**
 $arity_hd\ (Var\ x) = arity_var\ x$
 $|\ arity_hd\ (Sym\ f) = arity_sym\ f$

definition $arity :: ('s, 'v)\ tm \Rightarrow enat$ **where**
 $arity\ s = arity_hd\ (head\ s) - num_args\ s$

lemma $arity_simps[simp]:$
 $arity\ (Hd\ \zeta) = arity_hd\ \zeta$
 $arity\ (App\ s\ t) = arity\ s - 1$
 $\langle proof \rangle$

lemma $arity_apps[simp]: arity\ (apps\ s\ ts) = arity\ s - length\ ts$
 $\langle proof \rangle$

inductive $wary :: ('s, 'v)\ tm \Rightarrow bool$ **where**
 $wary_Hd[intro]: wary\ (Hd\ \zeta)$
 $|\ wary_App[intro]: wary\ s \implies wary\ t \implies num_args\ s < arity_hd\ (head\ s) \implies wary\ (App\ s\ t)$

inductive-cases $wary_HdE: wary\ (Hd\ \zeta)$
inductive-cases $wary_AppE: wary\ (App\ s\ t)$
inductive-cases $wary_binaryE[simplified]: wary\ (App\ (App\ s\ t)\ u)$

lemma $wary_fun[intro]: wary\ t \implies wary\ (fun\ t)$

<proof>

lemma *wary_arg*[*intro*]: $wary\ t \implies wary\ (arg\ t)$
<proof>

lemma *wary_args*: $s \in set\ (args\ t) \implies wary\ t \implies wary\ s$
<proof>

lemma *wary_sub*: $sub\ s\ t \implies wary\ t \implies wary\ s$
<proof>

lemma *wary_inf_ary*: $(\bigwedge \zeta. arity_hd\ \zeta = \infty) \implies wary\ s$
<proof>

lemma *wary_num_args_le_arity_head*: $wary\ s \implies num_args\ s \leq arity_hd\ (head\ s)$
<proof>

lemma *wary_apps*:
 $wary\ s \implies (\bigwedge sa. sa \in set\ ss \implies wary\ sa) \implies length\ ss \leq arity\ s \implies wary\ (apps\ s\ ss)$
<proof>

lemma *wary_cases_apps*[*consumes 1, case_names apps*]:
assumes
 wary_t: $wary\ t$ **and**
 apps: $\bigwedge \zeta. t = apps\ (Hd\ \zeta)\ ss \implies (\bigwedge sa. sa \in set\ ss \implies wary\ sa) \implies length\ ss \leq arity_hd\ \zeta \implies P$
shows *P*
<proof>

lemma *arity_hd_head*: $wary\ s \implies arity_hd\ (head\ s) = arity\ s + num_args\ s$
<proof>

lemma *arity_head_ge*: $arity_hd\ (head\ s) \geq arity\ s$
<proof>

inductive *wary_fo* :: $('s, 'v)\ tm \Rightarrow bool$ **where**
wary_foI[*intro*]: $is_Hd\ s \vee is_Sym\ (head\ s) \implies length\ (args\ s) = arity_hd\ (head\ s) \implies$
 $(\forall t \in set\ (args\ s). wary_fo\ t) \implies wary_fo\ s$

lemma *wary_fo_args*: $s \in set\ (args\ t) \implies wary_fo\ t \implies wary_fo\ s$
<proof>

lemma *wary_fo_arg*: $wary_fo\ (App\ s\ t) \implies wary_fo\ t$
<proof>

end

3.8 Potential Heads of Ground Instances of Variables

locale *ground_heads* = *gt_sym* ($>_s$) + *arity_arity_sym* *arity_var*
for
 gt_sym :: $'s \Rightarrow 's \Rightarrow bool$ (**infix** $>_s\ 50$) **and**
 arity_sym :: $'s \Rightarrow enat$ **and**
 arity_var :: $'v \Rightarrow enat$ +
fixes
 ground_heads_var :: $'v \Rightarrow 's\ set$
assumes
 ground_heads_var_arity: $f \in ground_heads_var\ x \implies arity_sym\ f \geq arity_var\ x$ **and**
 ground_heads_var_nonempty: $ground_heads_var\ x \neq \{\}$
begin

primrec *ground_heads* :: $('s, 'v)\ hd \Rightarrow 's\ set$ **where**
 ground_heads (Var *x*) = *ground_heads_var* *x*
 | *ground_heads* (Sym *f*) = {*f*}

lemma *ground_heads_arity*: $f \in \text{ground_heads } \zeta \implies \text{arity_sym } f \geq \text{arity_hd } \zeta$
 ⟨proof⟩

lemma *ground_heads_nonempty[simp]*: $\text{ground_heads } \zeta \neq \{\}$
 ⟨proof⟩

lemma *sym_in_ground_heads*: $\text{is_Sym } \zeta \implies \text{sym } \zeta \in \text{ground_heads } \zeta$
 ⟨proof⟩

lemma *ground_hd_in_ground_heads*: $\text{ground } s \implies \text{sym } (\text{head } s) \in \text{ground_heads } (\text{head } s)$
 ⟨proof⟩

lemma *some_ground_head_arity*: $\text{arity_sym } (\text{SOME } f. f \in \text{ground_heads } (\text{Var } x)) \geq \text{arity_var } x$
 ⟨proof⟩

definition *wary_subst* :: $('v \Rightarrow ('s, 'v) \text{tm}) \Rightarrow \text{bool}$ **where**
 $\text{wary_subst } \varrho \longleftrightarrow$
 $(\forall x. \text{wary } (\varrho x) \wedge \text{arity } (\varrho x) \geq \text{arity_var } x \wedge \text{ground_heads } (\text{head } (\varrho x)) \subseteq \text{ground_heads_var } x)$

definition *strict_wary_subst* :: $('v \Rightarrow ('s, 'v) \text{tm}) \Rightarrow \text{bool}$ **where**
 $\text{strict_wary_subst } \varrho \longleftrightarrow$
 $(\forall x. \text{wary } (\varrho x) \wedge \text{arity } (\varrho x) \in \{\text{arity_var } x, \infty\}$
 $\wedge \text{ground_heads } (\text{head } (\varrho x)) \subseteq \text{ground_heads_var } x)$

lemma *strict_imp_wary_subst*: $\text{strict_wary_subst } \varrho \implies \text{wary_subst } \varrho$
 ⟨proof⟩

lemma *wary_subst_wary*:
assumes $\text{wary_}\varrho$: $\text{wary_subst } \varrho$ **and** $\text{wary_}s$: $\text{wary } s$
shows $\text{wary } (\text{subst } \varrho s)$
 ⟨proof⟩

lemmas $\text{strict_wary_subst_wary} = \text{wary_subst_wary}[\text{OF } \text{strict_imp_wary_subst}]$

lemma *wary_subst_ground_heads*:
assumes $\text{wary_}\varrho$: $\text{wary_subst } \varrho$
shows $\text{ground_heads } (\text{head } (\text{subst } \varrho s)) \subseteq \text{ground_heads } (\text{head } s)$
 ⟨proof⟩

lemmas $\text{strict_wary_subst_ground_heads} = \text{wary_subst_ground_heads}[\text{OF } \text{strict_imp_wary_subst}]$

definition *grounding_* ϱ :: $'v \Rightarrow ('s, 'v) \text{tm}$ **where**
 $\text{grounding_}\varrho x = (\text{let } s = \text{Hd } (\text{Sym } (\text{SOME } f. f \in \text{ground_heads_var } x)) \text{ in}$
 $\text{apps } s (\text{replicate } (\text{the_enat } (\text{arity } s - \text{arity_var } x)) s))$

lemma *ground_grounding_* ϱ : $\text{ground } (\text{subst } \text{grounding_}\varrho s)$
 ⟨proof⟩

lemma *strict_wary_grounding_* ϱ : $\text{strict_wary_subst } \text{grounding_}\varrho$
 ⟨proof⟩

lemmas $\text{wary_grounding_}\varrho = \text{strict_wary_grounding_}\varrho[\text{THEN } \text{strict_imp_wary_subst}]$

definition *gt_hd* :: $('s, 'v) \text{hd} \Rightarrow ('s, 'v) \text{hd} \Rightarrow \text{bool}$ (**infix** $>_{hd}$ 50) **where**
 $\xi >_{hd} \zeta \longleftrightarrow (\forall g \in \text{ground_heads } \xi. \forall f \in \text{ground_heads } \zeta. g >_s f)$

definition *comp_hd* :: $('s, 'v) \text{hd} \Rightarrow ('s, 'v) \text{hd} \Rightarrow \text{bool}$ (**infix** \leq_{hd} 50) **where**
 $\xi \leq_{hd} \zeta \longleftrightarrow \xi = \zeta \vee \xi >_{hd} \zeta \vee \zeta >_{hd} \xi$

lemma *gt_hd_irrefl*: $\neg \zeta >_{hd} \zeta$
 ⟨proof⟩

lemma *gt_hd_trans*: $\chi >_{hd} \xi \implies \xi >_{hd} \zeta \implies \chi >_{hd} \zeta$

<proof>

lemma *gt_sym_imp_hd*: $g >_s f \implies \text{Sym } g >_{hd} \text{Sym } f$
<proof>

lemma *not_comp_hd_imp_Var*: $\neg \xi \leq_{hd} \zeta \implies \text{is_Var } \zeta \vee \text{is_Var } \xi$
<proof>

end

end

4 Infinite (Non-Well-Founded) Chains

theory *Infinite_Chain*

imports *Lambda_Free_Util*

begin

This theory defines the concept of a minimal bad (or non-well-founded) infinite chain, as found in the term rewriting literature to prove the well-foundedness of syntactic term orders.

context

fixes $p :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

begin

definition *inf_chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$ **where**
 $\text{inf_chain } f \longleftrightarrow (\forall i. p (f i) (f (\text{Suc } i)))$

lemma *wfP_iff_no_inf_chain*: $\text{wfP } (\lambda x y. p y x) \longleftrightarrow (\nexists f. \text{inf_chain } f)$
<proof>

lemma *inf_chain_offset*: $\text{inf_chain } f \implies \text{inf_chain } (\lambda j. f (j + i))$
<proof>

definition *bad* :: $'a \Rightarrow \text{bool}$ **where**
 $\text{bad } x \longleftrightarrow (\exists f. \text{inf_chain } f \wedge f 0 = x)$

lemma *inf_chain_bad*:
assumes $\text{bad_f}: \text{inf_chain } f$
shows $\text{bad } (f i)$
<proof>

context

fixes $gt :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

assumes $\text{wf}: \text{wf } \{(x, y). gt y x\}$

begin

primrec *worst_chain* :: $\text{nat} \Rightarrow 'a$ **where**
 $\text{worst_chain } 0 = (\text{SOME } x. \text{bad } x \wedge (\forall y. \text{bad } y \longrightarrow \neg gt x y))$
 $|\ \text{worst_chain } (\text{Suc } i) = (\text{SOME } x. \text{bad } x \wedge p (\text{worst_chain } i) x \wedge$
 $(\forall y. \text{bad } y \wedge p (\text{worst_chain } i) y \longrightarrow \neg gt x y))$

declare *worst_chain.simps*[*simp del*]

context

fixes $x :: 'a$

assumes $x_bad: \text{bad } x$

begin

lemma

$\text{bad_worst_chain_0}: \text{bad } (\text{worst_chain } 0)$ **and**
 $\text{min_worst_chain_0}: \neg gt (\text{worst_chain } 0) x$
<proof>

lemma
bad_worst_chain_Suc: *bad* (*worst_chain* (*Suc* *i*)) **and**
worst_chain_pred: *p* (*worst_chain* *i*) (*worst_chain* (*Suc* *i*)) **and**
min_worst_chain_Suc: *p* (*worst_chain* *i*) *x* $\implies \neg$ *gt* (*worst_chain* (*Suc* *i*)) *x*
⟨*proof*⟩

lemma *bad_worst_chain*: *bad* (*worst_chain* *i*)
⟨*proof*⟩

lemma *worst_chain_bad*: *inf_chain* *worst_chain*
⟨*proof*⟩

end

context
fixes *x* :: 'a
assumes
x_bad: *bad* *x* **and**
p_trans: $\bigwedge z y x. p z y \implies p y x \implies p z x$
begin

lemma *worst_chain_not_gt*: \neg *gt* (*worst_chain* *i*) (*worst_chain* (*Suc* *i*)) **for** *i*
⟨*proof*⟩

end

end

end

lemma *inf_chain_subset*: *inf_chain* *p* *f* $\implies p \leq q \implies$ *inf_chain* *q* *f*
⟨*proof*⟩

hide-fact (**open**) *bad_worst_chain_0* *bad_worst_chain_Suc*

end

5 Extension Orders

theory *Extension_Orders*
imports *Lambda_Free_Util* *Infinite_Chain* *HOL-Cardinals*.*Wellorder_Extension*
begin

This theory defines locales for categorizing extension orders used for orders on λ -free higher-order terms and defines variants of the lexicographic and multiset orders.

5.1 Locales

locale *ext* =
fixes *ext* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool
assumes
mono_strong: $(\forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y x \longrightarrow \text{gt}' y x) \implies \text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt}' \ ys \ xs$ **and**
map: *finite* *A* $\implies ys \in \text{lists } A \implies xs \in \text{lists } A \implies (\forall x \in A. \neg \text{gt } (f x) (f x)) \implies$
 $(\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } (f z) (f y) \longrightarrow \text{gt } (f y) (f x) \longrightarrow \text{gt } (f z) (f x)) \implies$
 $(\forall y \in A. \forall x \in A. \text{gt } y x \longrightarrow \text{gt } (f y) (f x)) \implies \text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt } (\text{map } f \ ys) (\text{map } f \ xs)$
begin

lemma *mono[mono]*: *gt* \leq *gt'* $\implies \text{ext } \text{gt} \leq \text{ext } \text{gt}'$
⟨*proof*⟩

end

locale *ext_irrefl* = *ext* +

assumes *irrefl*: $(\forall x \in \text{set } xs. \neg \text{gt } x x) \implies \neg \text{ext } \text{gt } xs \text{ } xs$

locale *ext_trans* = *ext* +
assumes *trans*: $zs \in \text{lists } A \implies ys \in \text{lists } A \implies xs \in \text{lists } A \implies$
 $(\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z y \longrightarrow \text{gt } y x \longrightarrow \text{gt } z x) \implies \text{ext } \text{gt } zs \text{ } ys \implies \text{ext } \text{gt } ys \text{ } xs \implies$
 $\text{ext } \text{gt } zs \text{ } xs$

locale *ext_irrefl_before_trans* = *ext_irrefl* +
assumes *trans_from_irrefl*: $\text{finite } A \implies zs \in \text{lists } A \implies ys \in \text{lists } A \implies xs \in \text{lists } A \implies$
 $(\forall x \in A. \neg \text{gt } x x) \implies (\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z y \longrightarrow \text{gt } y x \longrightarrow \text{gt } z x) \implies \text{ext } \text{gt } zs \text{ } ys \implies$
 $\text{ext } \text{gt } ys \text{ } xs \implies \text{ext } \text{gt } zs \text{ } xs$

locale *ext_trans_before_irrefl* = *ext_trans* +
assumes *irrefl_from_trans*: $(\forall z \in \text{set } xs. \forall y \in \text{set } xs. \forall x \in \text{set } xs. \text{gt } z y \longrightarrow \text{gt } y x \longrightarrow \text{gt } z x) \implies$
 $(\forall x \in \text{set } xs. \neg \text{gt } x x) \implies \neg \text{ext } \text{gt } xs \text{ } xs$

locale *ext_irrefl_trans_strong* = *ext_irrefl* +
assumes *trans_strong*: $(\forall z \in \text{set } zs. \forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } z y \longrightarrow \text{gt } y x \longrightarrow \text{gt } z x) \implies$
 $\text{ext } \text{gt } zs \text{ } ys \implies \text{ext } \text{gt } ys \text{ } xs \implies \text{ext } \text{gt } zs \text{ } xs$

sublocale *ext_irrefl_trans_strong* < *ext_irrefl_before_trans*
<proof>

sublocale *ext_irrefl_trans_strong* < *ext_trans*
<proof>

sublocale *ext_irrefl_trans_strong* < *ext_trans_before_irrefl*
<proof>

locale *ext_snoc* = *ext* +
assumes *snoc*: $\text{ext } \text{gt } (xs @ [x]) \text{ } xs$

locale *ext_compat_cons* = *ext* +
assumes *compat_cons*: $\text{ext } \text{gt } ys \text{ } xs \implies \text{ext } \text{gt } (x \# ys) (x \# xs)$
begin

lemma *compat_append_left*: $\text{ext } \text{gt } ys \text{ } xs \implies \text{ext } \text{gt } (zs @ ys) (zs @ xs)$
<proof>

end

locale *ext_compat_snoc* = *ext* +
assumes *compat_snoc*: $\text{ext } \text{gt } ys \text{ } xs \implies \text{ext } \text{gt } (ys @ [x]) (xs @ [x])$
begin

lemma *compat_append_right*: $\text{ext } \text{gt } ys \text{ } xs \implies \text{ext } \text{gt } (ys @ zs) (xs @ zs)$
<proof>

end

locale *ext_compat_list* = *ext* +
assumes *compat_list*: $y \neq x \implies \text{gt } y x \implies \text{ext } \text{gt } (xs @ y \# xs') (xs @ x \# xs')$

locale *ext_singleton* = *ext* +
assumes *singleton*: $y \neq x \implies \text{ext } \text{gt } [y] [x] \longleftrightarrow \text{gt } y x$

locale *ext_compat_list_strong* = *ext_compat_cons* + *ext_compat_snoc* + *ext_singleton*
begin

lemma *compat_list*: $y \neq x \implies \text{gt } y x \implies \text{ext } \text{gt } (xs @ y \# xs') (xs @ x \# xs')$
<proof>

end

sublocale *ext_compat_list_strong* < *ext_compat_list*
 ⟨*proof*⟩

locale *ext_total* = *ext* +
assumes *total*: $(\forall y \in A. \forall x \in A. \text{gt } y \ x \vee \text{gt } x \ y \vee y = x) \implies ys \in \text{lists } A \implies xs \in \text{lists } A \implies$
 $\text{ext } \text{gt } ys \ xs \vee \text{ext } \text{gt } xs \ ys \vee ys = xs$

locale *ext_wf* = *ext* +
assumes *wf*: $\text{wfP } (\lambda x \ y. \text{gt } y \ x) \implies \text{wfP } (\lambda xs \ ys. \text{ext } \text{gt } ys \ xs)$

locale *ext_hd_or_tl* = *ext* +
assumes *hd_or_tl*: $(\forall z \ y \ x. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x) \implies (\forall y \ x. \text{gt } y \ x \vee \text{gt } x \ y \vee y = x) \implies$
 $\text{length } ys = \text{length } xs \implies \text{ext } \text{gt } (y \ \# \ ys) \ (x \ \# \ xs) \implies \text{gt } y \ x \vee \text{ext } \text{gt } ys \ xs$

locale *ext_wf_bounded* = *ext_irrefl_before_trans* + *ext_hd_or_tl*
begin

context

fixes *gt* :: 'a \Rightarrow 'a \Rightarrow bool

assumes

gt_irrefl: $\bigwedge z. \neg \text{gt } z \ z$ **and**

gt_trans: $\bigwedge z \ y \ x. \text{gt } z \ y \implies \text{gt } y \ x \implies \text{gt } z \ x$ **and**

gt_total: $\bigwedge y \ x. \text{gt } y \ x \vee \text{gt } x \ y \vee y = x$ **and**

gt_wf: $\text{wfP } (\lambda x \ y. \text{gt } y \ x)$

begin

lemma *irrefl_gt*: $\neg \text{ext } \text{gt } xs \ xs$
 ⟨*proof*⟩

lemma *trans_gt*: $\text{ext } \text{gt } zs \ ys \implies \text{ext } \text{gt } ys \ xs \implies \text{ext } \text{gt } zs \ xs$
 ⟨*proof*⟩

lemma *hd_or_tl_gt*: $\text{length } ys = \text{length } xs \implies \text{ext } \text{gt } (y \ \# \ ys) \ (x \ \# \ xs) \implies \text{gt } y \ x \vee \text{ext } \text{gt } ys \ xs$
 ⟨*proof*⟩

lemma *wf_same_length_if_total*: $\text{wfP } (\lambda xs \ ys. \text{length } ys = n \wedge \text{length } xs = n \wedge \text{ext } \text{gt } ys \ xs)$
 ⟨*proof*⟩

lemma *wf_bounded_if_total*: $\text{wfP } (\lambda xs \ ys. \text{length } ys \leq n \wedge \text{length } xs \leq n \wedge \text{ext } \text{gt } ys \ xs)$
 ⟨*proof*⟩

end

context

fixes *gt* :: 'a \Rightarrow 'a \Rightarrow bool

assumes

gt_irrefl: $\bigwedge z. \neg \text{gt } z \ z$ **and**

gt_wf: $\text{wfP } (\lambda x \ y. \text{gt } y \ x)$

begin

lemma *wf_bounded*: $\text{wfP } (\lambda xs \ ys. \text{length } ys \leq n \wedge \text{length } xs \leq n \wedge \text{ext } \text{gt } ys \ xs)$
 ⟨*proof*⟩

end

end

5.2 Lexicographic Extension

inductive *lexext* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool **for** *gt* **where**

lexext_Nil: *lexext* *gt* (*y* # *ys*) []

| *lexext_Cons*: $\text{gt } y \ x \implies \text{lexext } \text{gt} \ (y \ \# \ ys) \ (x \ \# \ xs)$

| *lexext_Cons_eq*: $\text{lexext } \text{gt} \ ys \ xs \implies \text{lexext } \text{gt} \ (x \ \# \ ys) \ (x \ \# \ xs)$

lemma *lexext_simps[simp]*:
 $lexext\ gt\ ys\ [] \longleftrightarrow ys \neq []$
 $\neg\ lexext\ gt\ []\ xs$
 $lexext\ gt\ (y\ \#\ ys)\ (x\ \#\ xs) \longleftrightarrow gt\ y\ x \vee x = y \wedge lexext\ gt\ ys\ xs$
<proof>

lemma *lexext_mono_strong*:
assumes
 $\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x$ **and**
 $lexext\ gt\ ys\ xs$
shows $lexext\ gt'\ ys\ xs$
<proof>

lemma *lexext_map_strong*:
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt\ (f\ y)\ (f\ x)) \implies lexext\ gt\ ys\ xs \implies$
 $lexext\ gt\ (map\ f\ ys)\ (map\ f\ xs)$
<proof>

lemma *lexext_irrefl*:
assumes $\forall x \in set\ xs. \neg\ gt\ x\ x$
shows $\neg\ lexext\ gt\ xs\ xs$
<proof>

lemma *lexext_trans_strong*:
assumes
 $\forall z \in set\ zs. \forall y \in set\ ys. \forall x \in set\ xs. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x$ **and**
 $lexext\ gt\ zs\ ys$ **and** $lexext\ gt\ ys\ xs$
shows $lexext\ gt\ zs\ xs$
<proof>

lemma *lexext_snoc*: $lexext\ gt\ (xs\ @\ [x])\ xs$
<proof>

lemmas *lexext_compat_cons = lexext_Cons_eq*

lemma *lexext_compat_snoc_if_same_length*:
assumes $length\ ys = length\ xs$ **and** $lexext\ gt\ ys\ xs$
shows $lexext\ gt\ (ys\ @\ [x])\ (xs\ @\ [x])$
<proof>

lemma *lexext_compat_list*: $gt\ y\ x \implies lexext\ gt\ (xs\ @\ y\ \#\ xs')\ (xs\ @\ x\ \#\ xs')$
<proof>

lemma *lexext_singleton*: $lexext\ gt\ [y]\ [x] \longleftrightarrow gt\ y\ x$
<proof>

lemma *lexext_total*: $(\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \implies ys \in lists\ B \implies xs \in lists\ A \implies$
 $lexext\ gt\ ys\ xs \vee lexext\ gt\ xs\ ys \vee ys = xs$
<proof>

lemma *lexext_hd_or_tl*: $lexext\ gt\ (y\ \#\ ys)\ (x\ \#\ xs) \implies gt\ y\ x \vee lexext\ gt\ ys\ xs$
<proof>

interpretation *lexext*: *ext lexext*
<proof>

interpretation *lexext*: *ext_irrefl_trans_strong lexext*
<proof>

interpretation *lexext*: *ext_snoc lexext*
<proof>

interpretation *lexext*: *ext_compat_cons lexext*
<proof>

interpretation *lexext*: *ext_compat_list lexext*
<proof>

interpretation *lexext*: *ext_singleton lexext*
<proof>

interpretation *lexext*: *ext_total lexext*
<proof>

interpretation *lexext*: *ext_hd_or_tl lexext*
<proof>

interpretation *lexext*: *ext_wf_bounded lexext*
<proof>

5.3 Reverse (Right-to-Left) Lexicographic Extension

abbreviation *lexext_rev* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list ⇒ bool **where**
lexext_rev gt ys xs ≡ *lexext gt (rev ys) (rev xs)*

lemma *lexext_rev_simps[simp]*:
lexext_rev gt ys [] ↔ *ys* ≠ []
¬ *lexext_rev gt [] xs*
lexext_rev gt (ys @ [y]) (xs @ [x]) ↔ *gt y x* ∨ *x = y* ∧ *lexext_rev gt ys xs*
<proof>

lemma *lexext_rev_cons_cons*:
assumes *length ys = length xs*
shows *lexext_rev gt (y # ys) (x # xs)* ↔ *lexext_rev gt ys xs* ∨ *ys = xs* ∧ *gt y x*
<proof>

lemma *lexext_rev_mono_strong*:
assumes
 ∀ y ∈ set ys. ∀ x ∈ set xs. gt y x → *gt' y x* **and**
 lexext_rev gt ys xs
shows *lexext_rev gt' ys xs*
<proof>

lemma *lexext_rev_map_strong*:
(*∀ y ∈ set ys. ∀ x ∈ set xs. gt y x* → *gt (f y) (f x)*) ⇒ *lexext_rev gt ys xs* ⇒
lexext_rev gt (map f ys) (map f xs)
<proof>

lemma *lexext_rev_irrefl*:
assumes *∀ x ∈ set xs. ¬ gt x x*
shows ¬ *lexext_rev gt xs xs*
<proof>

lemma *lexext_rev_trans_strong*:
assumes
 ∀ z ∈ set zs. ∀ y ∈ set ys. ∀ x ∈ set xs. gt z y → *gt y x* → *gt z x* **and**
 lexext_rev gt zs ys **and** *lexext_rev gt ys xs*
shows *lexext_rev gt zs xs*
<proof>

lemma *lexext_rev_compat_cons_if_same_length*:
assumes *length ys = length xs* **and** *lexext_rev gt ys xs*
shows *lexext_rev gt (x # ys) (x # xs)*
<proof>

lemma *lexext_rev_compat_snoc*: *lexext_rev gt ys xs* ⇒ *lexext_rev gt (ys @ [x]) (xs @ [x])*

<proof>

lemma *lexext_rev_compat_list*: $gt\ y\ x \implies lexext_rev\ gt\ (xs\ @\ y\ \# \ xs')\ (xs\ @\ x\ \# \ xs')$
<proof>

lemma *lexext_rev_singleton*: $lexext_rev\ gt\ [y]\ [x] \longleftrightarrow gt\ y\ x$
<proof>

lemma *lexext_rev_total*:
 $(\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \implies ys \in lists\ B \implies xs \in lists\ A \implies$
 $lexext_rev\ gt\ ys\ xs \vee lexext_rev\ gt\ xs\ ys \vee ys = xs$
<proof>

lemma *lexext_rev_hd_or_tl*:
assumes
 $length\ ys = length\ xs$ **and**
 $lexext_rev\ gt\ (y\ \# \ ys)\ (x\ \# \ xs)$
shows $gt\ y\ x \vee lexext_rev\ gt\ ys\ xs$
<proof>

interpretation *lexext_rev*: *ext lexext_rev*
<proof>

interpretation *lexext_rev*: *ext_irrefl_trans_strong lexext_rev*
<proof>

interpretation *lexext_rev*: *ext_compat_snoc lexext_rev*
<proof>

interpretation *lexext_rev*: *ext_compat_list lexext_rev*
<proof>

interpretation *lexext_rev*: *ext_singleton lexext_rev*
<proof>

interpretation *lexext_rev*: *ext_total lexext_rev*
<proof>

interpretation *lexext_rev*: *ext_hd_or_tl lexext_rev*
<proof>

interpretation *lexext_rev*: *ext_wf_bounded lexext_rev*
<proof>

5.4 Generic Length Extension

definition *lenext* :: $('a\ list \Rightarrow 'a\ list \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$ **where**
 $lenext\ gts\ ys\ xs \longleftrightarrow length\ ys > length\ xs \vee length\ ys = length\ xs \wedge gts\ ys\ xs$

lemma
lenext_mono_strong: $(gts\ ys\ xs \implies gts'\ ys\ xs) \implies lenext\ gts\ ys\ xs \implies lenext\ gts'\ ys\ xs$ **and**
lenext_map_strong: $(length\ ys = length\ xs \implies gts\ ys\ xs \implies gts\ (map\ f\ ys)\ (map\ f\ xs)) \implies$
 $lenext\ gts\ ys\ xs \implies lenext\ gts\ (map\ f\ ys)\ (map\ f\ xs)$ **and**
lenext_irrefl: $\neg gts\ xs\ xs \implies \neg lenext\ gts\ xs\ xs$ **and**
lenext_trans: $(gts\ zs\ ys \implies gts\ ys\ xs \implies gts\ zs\ xs) \implies lenext\ gts\ zs\ ys \implies lenext\ gts\ ys\ xs \implies$
 $lenext\ gts\ zs\ xs$ **and**
lenext_snoc: $lenext\ gts\ (xs\ @\ [x])\ xs$ **and**
lenext_compat_cons: $(length\ ys = length\ xs \implies gts\ ys\ xs \implies gts\ (x\ \# \ ys)\ (x\ \# \ xs)) \implies$
 $lenext\ gts\ ys\ xs \implies lenext\ gts\ (x\ \# \ ys)\ (x\ \# \ xs)$ **and**
lenext_compat_snoc: $(length\ ys = length\ xs \implies gts\ ys\ xs \implies gts\ (ys\ @\ [x])\ (xs\ @\ [x])) \implies$
 $lenext\ gts\ ys\ xs \implies lenext\ gts\ (ys\ @\ [x])\ (xs\ @\ [x])$ **and**
lenext_compat_list: $gts\ (xs\ @\ y\ \# \ xs')\ (xs\ @\ x\ \# \ xs') \implies$
 $lenext\ gts\ (xs\ @\ y\ \# \ xs')\ (xs\ @\ x\ \# \ xs')$ **and**
lenext_singleton: $lenext\ gts\ [y]\ [x] \longleftrightarrow gts\ [y]\ [x]$ **and**

$lenext_total: (gts\ ys\ xs \vee gts\ xs\ ys \vee ys = xs) \implies$
 $lenext\ gts\ ys\ xs \vee lenext\ gts\ xs\ ys \vee ys = xs$ **and**
 $lenext_hd_or_tl: (length\ ys = length\ xs \implies gts\ (y \# ys)\ (x \# xs) \implies gt\ y\ x \vee gts\ ys\ xs) \implies$
 $lenext\ gts\ (y \# ys)\ (x \# xs) \implies gt\ y\ x \vee lenext\ gts\ ys\ xs$
 ⟨proof⟩

5.5 Length-Lexicographic Extension

abbreviation $len_lexext :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$ **where**
 $len_lexext\ gt \equiv lenext\ (lexext\ gt)$

lemma $len_lexext_mono_strong:$

$(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x) \implies len_lexext\ gt\ ys\ xs \implies len_lexext\ gt'\ ys\ xs$
 ⟨proof⟩

lemma $len_lexext_map_strong:$

$(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt\ (f\ y)\ (f\ x)) \implies len_lexext\ gt\ ys\ xs \implies$
 $len_lexext\ gt\ (map\ f\ ys)\ (map\ f\ xs)$
 ⟨proof⟩

lemma $len_lexext_irrefl: (\forall x \in set\ xs. \neg gt\ x\ x) \implies \neg len_lexext\ gt\ xs\ xs$

⟨proof⟩

lemma $len_lexext_trans_strong:$

$(\forall z \in set\ zs. \forall y \in set\ ys. \forall x \in set\ xs. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x) \implies len_lexext\ gt\ zs\ ys \implies$
 $len_lexext\ gt\ ys\ xs \implies len_lexext\ gt\ zs\ xs$
 ⟨proof⟩

lemma $len_lexext_snoc: len_lexext\ gt\ (xs @ [x])\ xs$

⟨proof⟩

lemma $len_lexext_compat_cons: len_lexext\ gt\ ys\ xs \implies len_lexext\ gt\ (x \# ys)\ (x \# xs)$

⟨proof⟩

lemma $len_lexext_compat_snoc: len_lexext\ gt\ ys\ xs \implies len_lexext\ gt\ (ys @ [x])\ (xs @ [x])$

⟨proof⟩

lemma $len_lexext_compat_list: gt\ y\ x \implies len_lexext\ gt\ (xs @ y \# xs')\ (xs @ x \# xs')$

⟨proof⟩

lemma $len_lexext_singleton[simp]: len_lexext\ gt\ [y]\ [x] \longleftrightarrow gt\ y\ x$

⟨proof⟩

lemma $len_lexext_total: (\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \implies ys \in lists\ B \implies xs \in lists\ A \implies$

$len_lexext\ gt\ ys\ xs \vee len_lexext\ gt\ xs\ ys \vee ys = xs$
 ⟨proof⟩

lemma $len_lexext_iff_lenlex: len_lexext\ gt\ ys\ xs \longleftrightarrow (xs, ys) \in lenlex\ \{(x, y). gt\ y\ x\}$

⟨proof⟩

lemma $len_lexext_wf: wfP\ (\lambda x\ y. gt\ y\ x) \implies wfP\ (\lambda xs\ ys. len_lexext\ gt\ ys\ xs)$

⟨proof⟩

lemma $len_lexext_hd_or_tl: len_lexext\ gt\ (y \# ys)\ (x \# xs) \implies gt\ y\ x \vee len_lexext\ gt\ ys\ xs$

⟨proof⟩

interpretation $len_lexext: ext\ len_lexext$

⟨proof⟩

interpretation $len_lexext: ext_irrefl_trans_strong\ len_lexext$

⟨proof⟩

interpretation $len_lexext: ext_snoc\ len_lexext$

⟨proof⟩

interpretation $len_lexext: ext_compat_cons\ len_lexext$
 $\langle proof \rangle$

interpretation $len_lexext: ext_compat_snoc\ len_lexext$
 $\langle proof \rangle$

interpretation $len_lexext: ext_compat_list\ len_lexext$
 $\langle proof \rangle$

interpretation $len_lexext: ext_singleton\ len_lexext$
 $\langle proof \rangle$

interpretation $len_lexext: ext_total\ len_lexext$
 $\langle proof \rangle$

interpretation $len_lexext: ext_wf\ len_lexext$
 $\langle proof \rangle$

interpretation $len_lexext: ext_hd_or_tl\ len_lexext$
 $\langle proof \rangle$

interpretation $len_lexext: ext_wf_bounded\ len_lexext$
 $\langle proof \rangle$

5.6 Reverse (Right-to-Left) Length-Lexicographic Extension

abbreviation $len_lexext_rev :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow 'a\ list \Rightarrow bool$ **where**
 $len_lexext_rev\ gt \equiv lenext\ (lexext_rev\ gt)$

lemma $len_lexext_rev_mono_strong:$
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt'\ y\ x) \Longrightarrow len_lexext_rev\ gt\ ys\ xs \Longrightarrow len_lexext_rev\ gt'\ ys\ xs$
 $\langle proof \rangle$

lemma $len_lexext_rev_map_strong:$
 $(\forall y \in set\ ys. \forall x \in set\ xs. gt\ y\ x \longrightarrow gt\ (f\ y)\ (f\ x)) \Longrightarrow len_lexext_rev\ gt\ ys\ xs \Longrightarrow$
 $len_lexext_rev\ gt\ (map\ f\ ys)\ (map\ f\ xs)$
 $\langle proof \rangle$

lemma $len_lexext_rev_irrefl: (\forall x \in set\ xs. \neg\ gt\ x\ x) \Longrightarrow \neg\ len_lexext_rev\ gt\ xs\ xs$
 $\langle proof \rangle$

lemma $len_lexext_rev_trans_strong:$
 $(\forall z \in set\ zs. \forall y \in set\ ys. \forall x \in set\ xs. gt\ z\ y \longrightarrow gt\ y\ x \longrightarrow gt\ z\ x) \Longrightarrow len_lexext_rev\ gt\ zs\ ys \Longrightarrow$
 $len_lexext_rev\ gt\ ys\ xs \Longrightarrow len_lexext_rev\ gt\ zs\ xs$
 $\langle proof \rangle$

lemma $len_lexext_rev_snoc: len_lexext_rev\ gt\ (xs\ @\ [x])\ xs$
 $\langle proof \rangle$

lemma $len_lexext_rev_compat_cons: len_lexext_rev\ gt\ ys\ xs \Longrightarrow len_lexext_rev\ gt\ (x\ \#\ ys)\ (x\ \#\ xs)$
 $\langle proof \rangle$

lemma $len_lexext_rev_compat_snoc: len_lexext_rev\ gt\ ys\ xs \Longrightarrow len_lexext_rev\ gt\ (ys\ @\ [x])\ (xs\ @\ [x])$
 $\langle proof \rangle$

lemma $len_lexext_rev_compat_list: gt\ y\ x \Longrightarrow len_lexext_rev\ gt\ (xs\ @\ y\ \#\ xs')\ (xs\ @\ x\ \#\ xs')$
 $\langle proof \rangle$

lemma $len_lexext_rev_singleton[simp]: len_lexext_rev\ gt\ [y]\ [x] \longleftrightarrow gt\ y\ x$
 $\langle proof \rangle$

lemma $len_lexext_rev_total: (\forall y \in B. \forall x \in A. gt\ y\ x \vee gt\ x\ y \vee y = x) \Longrightarrow ys \in lists\ B \Longrightarrow$
 $xs \in lists\ A \Longrightarrow len_lexext_rev\ gt\ ys\ xs \vee len_lexext_rev\ gt\ xs\ ys \vee ys = xs$

<proof>

lemma *len_lexext_rev_iff_len_lexext*: $\text{len_lexext_rev } gt \ ys \ xs \longleftrightarrow \text{len_lexext } gt \ (\text{rev } ys) \ (\text{rev } xs)$
<proof>

lemma *len_lexext_rev_wf*: $\text{wfP } (\lambda x \ y. \text{gt } y \ x) \implies \text{wfP } (\lambda xs \ ys. \text{len_lexext_rev } gt \ ys \ xs)$
<proof>

lemma *len_lexext_rev_hd_or_tl*:
 $\text{len_lexext_rev } gt \ (y \# \ ys) \ (x \# \ xs) \implies \text{gt } y \ x \vee \text{len_lexext_rev } gt \ ys \ xs$
<proof>

interpretation *len_lexext_rev*: *ext len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_irrefl_trans_strong len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_snoc len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_compat_cons len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_compat_snoc len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_compat_list len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_singleton len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_total len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_wf len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_hd_or_tl len_lexext_rev*
<proof>

interpretation *len_lexext_rev*: *ext_wf_bounded len_lexext_rev*
<proof>

5.7 Dershowitz–Manna Multiset Extension

definition *msetext_dersh* **where**

$\text{msetext_dersh } gt \ ys \ xs = (\text{let } N = \text{mset } ys; M = \text{mset } xs \text{ in}$
 $(\exists Y \ X. Y \neq \{\#\} \wedge Y \subseteq \# N \wedge M = (N - Y) + X \wedge (\forall x. x \in \# X \longrightarrow (\exists y. y \in \# Y \wedge \text{gt } y \ x))))$

The following proof is based on that of *less_multiset_{DM}_imp_mult*.

lemma *msetext_dersh_imp_mult_rel*:

assumes

ys_a: *ys* \in *lists* *A* **and** *xs_a*: *xs* \in *lists* *A* **and**

ys_gt_xs: *msetext_dersh* *gt* *ys* *xs*

shows $(\text{mset } xs, \text{mset } ys) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge \text{gt } y \ x\}$
<proof>

lemma *msetext_dersh_imp_mult*: $\text{msetext_dersh } gt \ ys \ xs \implies (\text{mset } xs, \text{mset } ys) \in \text{mult } \{(x, y). \text{gt } y \ x\}$
<proof>

lemma *mult_imp_msetext_dersh_rel*:
assumes

$ys_a: \text{set_mset } (\text{mset } ys) \subseteq A$ **and** $xs_a: \text{set_mset } (\text{mset } xs) \subseteq A$ **and**
 $\text{in_mult}: (\text{mset } xs, \text{mset } ys) \in \text{mult } \{(x, y). x \in A \wedge y \in A \wedge \text{gt } y \ x\}$ **and**
 $\text{trans}: \forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$
shows $\text{msetext_dersh } \text{gt } ys \ xs$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_mono_strong}$:
 $(\forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y \ x \longrightarrow \text{gt}' \ y \ x) \implies \text{msetext_dersh } \text{gt } ys \ xs \implies$
 $\text{msetext_dersh } \text{gt}' \ ys \ xs$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_map_strong}$:
assumes
 $\text{compat_f}: \forall y \in \text{set } ys. \forall x \in \text{set } xs. \text{gt } y \ x \longrightarrow \text{gt } (f \ y) \ (f \ x)$ **and**
 $ys_gt_xs: \text{msetext_dersh } \text{gt } ys \ xs$
shows $\text{msetext_dersh } \text{gt } (\text{map } f \ ys) \ (\text{map } f \ xs)$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_trans}$:
assumes
 $zs_a: zs \in \text{lists } A$ **and**
 $ys_a: ys \in \text{lists } A$ **and**
 $xs_a: xs \in \text{lists } A$ **and**
 $\text{trans}: \forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$ **and**
 $zs_gt_ys: \text{msetext_dersh } \text{gt } zs \ ys$ **and**
 $ys_gt_xs: \text{msetext_dersh } \text{gt } ys \ xs$
shows $\text{msetext_dersh } \text{gt } zs \ xs$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_irrefl_from_trans}$:
assumes
 $\text{trans}: \forall z \in \text{set } xs. \forall y \in \text{set } xs. \forall x \in \text{set } xs. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$ **and**
 $\text{irrefl}: \forall x \in \text{set } xs. \neg \text{gt } x \ x$
shows $\neg \text{msetext_dersh } \text{gt } xs \ xs$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_snoc}$: $\text{msetext_dersh } \text{gt } (xs \ @ \ [x]) \ xs$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_compat_cons}$:
assumes $ys_gt_xs: \text{msetext_dersh } \text{gt } ys \ xs$
shows $\text{msetext_dersh } \text{gt } (x \ # \ ys) \ (x \ # \ xs)$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_compat_snoc}$: $\text{msetext_dersh } \text{gt } ys \ xs \implies \text{msetext_dersh } \text{gt } (ys \ @ \ [x]) \ (xs \ @ \ [x])$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_compat_list}$:
assumes $y_gt_x: \text{gt } y \ x$
shows $\text{msetext_dersh } \text{gt } (xs \ @ \ y \ # \ xs') \ (xs \ @ \ x \ # \ xs')$
 $\langle \text{proof} \rangle$

lemma $\text{msetext_dersh_singleton}$: $\text{msetext_dersh } \text{gt } [y] \ [x] \longleftrightarrow \text{gt } y \ x$
 $\langle \text{proof} \rangle$

lemma msetext_dersh_wf :
assumes $\text{wf_gt}: \text{wfP } (\lambda x \ y. \text{gt } y \ x)$
shows $\text{wfP } (\lambda xs \ ys. \text{msetext_dersh } \text{gt } ys \ xs)$
 $\langle \text{proof} \rangle$

interpretation msetext_dersh : $\text{ext } \text{msetext_dersh}$
 $\langle \text{proof} \rangle$

interpretation *msetext_dersh*: *ext_trans_before_irrefl msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_snoc msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_compat_cons msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_compat_snoc msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_compat_list msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_singleton msetext_dersh*
(*proof*)

interpretation *msetext_dersh*: *ext_wf msetext_dersh*
(*proof*)

5.8 Huet–Oppen Multiset Extension

definition *msetext_huet* **where**

msetext_huet *gt* *ys* *xs* = (*let* *N* = *mset* *ys*; *M* = *mset* *xs* *in*
 $M \neq N \wedge (\forall x. \text{count } M \ x > \text{count } N \ x \longrightarrow (\exists y. \text{gt } y \ x \wedge \text{count } N \ y > \text{count } M \ y))$)

lemma *msetext_huet_imp_count_gt*:

assumes *ys_gt_xs*: *msetext_huet* *gt* *ys* *xs*

shows $\exists x. \text{count } (mset \ ys) \ x > \text{count } (mset \ xs) \ x$

(*proof*)

lemma *msetext_huet_imp_dersh*:

assumes *huet*: *msetext_huet* *gt* *ys* *xs*

shows *msetext_dersh* *gt* *ys* *xs*

(*proof*)

The following proof is based on that of *mult_imp_less_multiset_{HO}*.

lemma *mult_imp_msetext_huet*:

assumes

irrefl: *irreflp* *gt* **and** *trans*: *transp* *gt* **and**

in_mult: $(mset \ xs, mset \ ys) \in mult \ \{(x, y). \text{gt } y \ x\}$

shows *msetext_huet* *gt* *ys* *xs*

(*proof*)

theorem *msetext_huet_eq_dersh*: *irreflp* *gt* \implies *transp* *gt* \implies *msetext_dersh* *gt* = *msetext_huet* *gt*

(*proof*)

lemma *msetext_huet_mono_strong*:

$(\forall y \in set \ ys. \forall x \in set \ xs. \text{gt } y \ x \longrightarrow \text{gt}' \ y \ x) \implies msetext_huet \ gt \ ys \ xs \implies msetext_huet \ \text{gt}' \ ys \ xs$

(*proof*)

lemma *msetext_huet_map*:

assumes

fin: *finite* *A* **and**

ys_a: *ys* \in *lists* *A* **and** *xs_a*: *xs* \in *lists* *A* **and**

irrefl_f: $\forall x \in A. \neg \text{gt} \ (f \ x) \ (f \ x)$ **and**

trans_f: $\forall z \in A. \forall y \in A. \forall x \in A. \text{gt} \ (f \ z) \ (f \ y) \longrightarrow \text{gt} \ (f \ y) \ (f \ x) \longrightarrow \text{gt} \ (f \ z) \ (f \ x)$ **and**

compat_f: $\forall y \in A. \forall x \in A. \text{gt} \ y \ x \longrightarrow \text{gt} \ (f \ y) \ (f \ x)$ **and**

ys_gt_xs: *msetext_huet* *gt* *ys* *xs*

shows *msetext_huet* *gt* (*map* *f* *ys*) (*map* *f* *xs*) (**is** *msetext_huet* $_ \ ?fys \ ?fxs$)

(*proof*)

lemma *msetext_huet_irrefl*: $(\forall x \in set \ xs. \neg \text{gt} \ x \ x) \implies \neg msetext_huet \ gt \ xs \ xs$

<proof>

lemma *msetext_huet_trans_from_irrefl*:

assumes

fin: *finite A* **and**

zs_a: *zs ∈ lists A* **and** *ys_a*: *ys ∈ lists A* **and** *xs_a*: *xs ∈ lists A* **and**

irrefl: $\forall x \in A. \neg \text{gt } x \ x$ **and**

trans: $\forall z \in A. \forall y \in A. \forall x \in A. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$ **and**

zs_gt_ys: *msetext_huet gt zs ys* **and**

ys_gt_xs: *msetext_huet gt ys xs*

shows *msetext_huet gt zs xs*

<proof>

lemma *msetext_huet_snoc*: *msetext_huet gt (xs @ [x]) xs*

<proof>

lemma *msetext_huet_compat_cons*: *msetext_huet gt ys xs \implies msetext_huet gt (x # ys) (x # xs)*

<proof>

lemma *msetext_huet_compat_snoc*: *msetext_huet gt ys xs \implies msetext_huet gt (ys @ [x]) (xs @ [x])*

<proof>

lemma *msetext_huet_compat_list*: *y \neq x \implies gt y x \implies msetext_huet gt (xs @ y # xs') (xs @ x # xs')*

<proof>

lemma *msetext_huet_singleton*: *y \neq x \implies msetext_huet gt [y] [x] \longleftrightarrow gt y x*

<proof>

lemma *msetext_huet_wf*: *wfP ($\lambda x y. \text{gt } y \ x$) \implies wfP ($\lambda xs ys. \text{msetext_huet } \text{gt } ys \ xs$)*

<proof>

lemma *msetext_huet_hd_or_tl*:

assumes

trans: $\forall z y x. \text{gt } z \ y \longrightarrow \text{gt } y \ x \longrightarrow \text{gt } z \ x$ **and**

total: $\forall y x. \text{gt } y \ x \vee \text{gt } x \ y \vee y = x$ **and**

len_eq: *length ys = length xs* **and**

yys_gt_xxs: *msetext_huet gt (y # ys) (x # xs)*

shows *gt y x \vee msetext_huet gt ys xs*

<proof>

interpretation *msetext_huet*: *ext msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_irrefl_before_trans msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_snoc msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_compat_cons msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_compat_snoc msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_compat_list msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_singleton msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_wf msetext_huet*

<proof>

interpretation *msetext_huet*: *ext_hd_or_tl msetext_huet*
<proof>

interpretation *msetext_huet*: *ext_wf_bounded msetext_huet*
<proof>

5.9 Componentwise Extension

definition *wiseext* :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list ⇒ bool **where**
wiseext gt ys xs ↔ *length ys = length xs*
∧ (∀ i < *length ys*. *gt (ys ! i) (xs ! i)* ∨ *ys ! i = xs ! i*)
∧ (∃ i < *length ys*. *gt (ys ! i) (xs ! i)*)

lemma *wiseext_imp_len_lexext*:
assumes *cw*: *wiseext gt ys xs*
shows *len_lexext gt ys xs*
<proof>

lemma *wiseext_mono_strong*:
(∀ y ∈ *set ys*. ∀ x ∈ *set xs*. *gt y x* → *gt' y x*) ⇒ *wiseext gt ys xs* ⇒ *wiseext gt' ys xs*
<proof>

lemma *wiseext_map_strong*:
(∀ y ∈ *set ys*. ∀ x ∈ *set xs*. *gt y x* → *gt (f y) (f x)*) ⇒ *wiseext gt ys xs* ⇒
wiseext gt (map f ys) (map f xs)
<proof>

lemma *wiseext_irrefl*: (∀ x ∈ *set xs*. ¬ *gt x x*) ⇒ ¬ *wiseext gt xs xs*
<proof>

lemma *wiseext_trans_strong*:
assumes
∀ z ∈ *set zs*. ∀ y ∈ *set ys*. ∀ x ∈ *set xs*. *gt z y* → *gt y x* → *gt z x* **and**
wiseext gt zs ys **and** *wiseext gt ys xs*
shows *wiseext gt zs xs*
<proof>

lemma *wiseext_compat_cons*: *wiseext gt ys xs* ⇒ *wiseext gt (x # ys) (x # xs)*
<proof>

lemma *wiseext_compat_snoc*: *wiseext gt ys xs* ⇒ *wiseext gt (ys @ [x]) (xs @ [x])*
<proof>

lemma *wiseext_compat_list*:
assumes *y_gt_x*: *gt y x*
shows *wiseext gt (xs @ y # xs')* (*xs @ x # xs'*)
<proof>

lemma *wiseext_singleton*: *wiseext gt [y] [x]* ↔ *gt y x*
<proof>

lemma *wiseext_wf*: *wfP (λx y. gt y x)* ⇒ *wfP (λxs ys. wiseext gt ys xs)*
<proof>

lemma *wiseext_hd_or_tl*: *wiseext gt (y # ys) (x # xs)* ⇒ *gt y x* ∨ *wiseext gt ys xs*
<proof>

locale *ext_wiseext* = *ext_compat_list* + *ext_compat_cons*
begin

context
fixes *gt* :: 'a ⇒ 'a ⇒ bool
assumes

```

  gt_irrefl:  $\neg$  gt x x and
  trans_gt: ext gt zs ys  $\implies$  ext gt ys xs  $\implies$  ext gt zs xs
begin

lemma
  assumes ys_gtcw_xs: wiseext gt ys xs
  shows ext gt ys xs
  <proof>

end

end

interpretation wiseext: ext wiseext
  <proof>

interpretation wiseext: ext_irrefl_trans_strong wiseext
  <proof>

interpretation wiseext: ext_compat_cons wiseext
  <proof>

interpretation wiseext: ext_compat_snoc wiseext
  <proof>

interpretation wiseext: ext_compat_list wiseext
  <proof>

interpretation wiseext: ext_singleton wiseext
  <proof>

interpretation wiseext: ext_wf wiseext
  <proof>

interpretation wiseext: ext_hd_or_tl wiseext
  <proof>

interpretation wiseext: ext_wf_bounded wiseext
  <proof>

end

```

6 The Applicative Recursive Path Order for Lambda-Free Higher-Order Terms

```

theory Lambda_Free_RPO_App
imports Lambda_Free_Term_Extension_Orders
abbrevs >t = >t
  and  $\geq$ t =  $\geq$ t
begin

```

This theory defines the applicative recursive path order (RPO), a variant of RPO for λ -free higher-order terms. It corresponds to the order obtained by applying the standard first-order RPO on the applicative encoding of higher-order terms and assigning the lowest precedence to the application symbol.

```

locale rpo_app = gt_sym (>s)
  for gt_sym :: 's  $\Rightarrow$  's  $\Rightarrow$  bool (infix >s 50) +
  fixes ext :: (('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool)  $\Rightarrow$  ('s, 'v) tm list  $\Rightarrow$  ('s, 'v) tm list  $\Rightarrow$  bool
  assumes
    ext_ext_trans_before_irrefl: ext_trans_before_irrefl ext and
    ext_ext_compat_list: ext_compat_list ext
begin

```

lemma *ext_mono*[*mono*]: $gt \leq gt' \implies ext\ gt \leq ext\ gt'$
 ⟨*proof*⟩

inductive *gt* :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool (**infix** >_t 50) **where**
 | *gt_sub*: $is_App\ t \implies (fun\ t\ >_t\ s \vee fun\ t = s) \vee (arg\ t\ >_t\ s \vee arg\ t = s) \implies t >_t\ s$
 | *gt_sym_sym*: $g >_s\ f \implies Hd\ (Sym\ g) >_t\ Hd\ (Sym\ f)$
 | *gt_sym_app*: $Hd\ (Sym\ g) >_t\ s1 \implies Hd\ (Sym\ g) >_t\ s2 \implies Hd\ (Sym\ g) >_t\ App\ s1\ s2$
 | *gt_app_app*: $ext\ (>_t)\ [t1,\ t2]\ [s1,\ s2] \implies App\ t1\ t2 >_t\ s1 \implies App\ t1\ t2 >_t\ s2 \implies$
 $App\ t1\ t2 >_t\ App\ s1\ s2$

abbreviation *ge* :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool (**infix** ≥_t 50) **where**
 $t \geq_t\ s \equiv t >_t\ s \vee t = s$

end

end

7 The Graceful Recursive Path Order for Lambda-Free Higher-Order Terms

theory *Lambda_Free_RPO_Std*
imports *Lambda_Free_Term_Extension_Orders_Nested_Multisets_Ordinals.Multiset_More*
abbrevs >_t = >_t
 and ≥_t = ≥_t
begin

This theory defines the graceful recursive path order (RPO) for λ-free higher-order terms.

7.1 Setup

locale *rpo_basis* = *ground_heads* (>_s) *arity_sym* *arity_var*
for
 | *gt_sym* :: 's ⇒ 's ⇒ bool (**infix** >_s 50) **and**
 | *arity_sym* :: 's ⇒ enat **and**
 | *arity_var* :: 'v ⇒ enat +
fixes
 | *extf* :: 's ⇒ (('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool) ⇒ ('s, 'v) tm list ⇒ ('s, 'v) tm list ⇒ bool
assumes
 | *extf_ext_trans_before_irrefl*: *ext_trans_before_irrefl* (*extf* *f*) **and**
 | *extf_ext_compat_cons*: *ext_compat_cons* (*extf* *f*) **and**
 | *extf_ext_compat_list*: *ext_compat_list* (*extf* *f*)
begin

lemma *extf_ext_trans*: *ext_trans* (*extf* *f*)
 ⟨*proof*⟩

lemma *extf_ext*: *ext* (*extf* *f*)
 ⟨*proof*⟩

lemmas *extf_mono_strong* = *ext_mono_strong*[*OF* *extf_ext*]

lemmas *extf_mono* = *ext_mono*[*OF* *extf_ext*, *mono*]

lemmas *extf_map* = *ext_map*[*OF* *extf_ext*]

lemmas *extf_trans* = *ext_trans.trans*[*OF* *extf_ext_trans*]

lemmas *extf_irrefl_from_trans* =
ext_trans_before_irrefl.irrefl_from_trans[*OF* *extf_ext_trans_before_irrefl*]

lemmas *extf_compat_append_left* = *ext_compat_cons.compat_append_left*[*OF* *extf_ext_compat_cons*]

lemmas *extf_compat_list* = *ext_compat_list.compat_list*[*OF* *extf_ext_compat_list*]

definition *chkvar* :: ('s, 'v) tm ⇒ ('s, 'v) tm ⇒ bool **where**
 [*simp*]: *chkvar* *t* *s* ↔ *vars_hd* (*head* *s*) ⊆ *vars* *t*

end

```

locale rpo = rpo_basis __ arity_sym arity_var
for
  arity_sym :: 's  $\Rightarrow$  enat and
  arity_var :: 'v  $\Rightarrow$  enat
begin

```

7.2 Inductive Definitions

definition

```

chksubs :: (('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool)  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool
where
[simp]: chksubs gt t s  $\iff$  (case s of App s1 s2  $\Rightarrow$  gt t s1  $\wedge$  gt t s2 | _  $\Rightarrow$  True)

```

lemma *chksubs_mono*[*mono*]: $gt \leq gt' \implies \text{chksubs } gt \leq \text{chksubs } gt'$
<proof>

inductive *gt* :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool (**infix** $>_t$ 50) **where**
gt_sub: $\text{is_App } t \implies (\text{fun } t >_t s \vee \text{fun } t = s) \vee (\text{arg } t >_t s \vee \text{arg } t = s) \implies t >_t s$
| *gt_diff*: $\text{head } t >_{hd} \text{head } s \implies \text{chkvar } t s \implies \text{chksubs } (>_t) t s \implies t >_t s$
| *gt_same*: $\text{head } t = \text{head } s \implies \text{chksubs } (>_t) t s \implies$
 $(\forall f \in \text{ground_heads } (\text{head } t). \text{extf } f (>_t) (\text{args } t) (\text{args } s)) \implies t >_t s$

abbreviation *ge* :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool (**infix** \geq_t 50) **where**
 $t \geq_t s \equiv t >_t s \vee t = s$

inductive *gt_sub* :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool **where**
gt_subI: $\text{is_App } t \implies \text{fun } t \geq_t s \vee \text{arg } t \geq_t s \implies \text{gt_sub } t s$

inductive *gt_diff* :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool **where**
gt_diffI: $\text{head } t >_{hd} \text{head } s \implies \text{chkvar } t s \implies \text{chksubs } (>_t) t s \implies \text{gt_diff } t s$

inductive *gt_same* :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool **where**
gt_sameI: $\text{head } t = \text{head } s \implies \text{chksubs } (>_t) t s \implies$
 $(\forall f \in \text{ground_heads } (\text{head } t). \text{extf } f (>_t) (\text{args } t) (\text{args } s)) \implies \text{gt_same } t s$

lemma *gt_iff_sub_diff_same*: $t >_t s \iff \text{gt_sub } t s \vee \text{gt_diff } t s \vee \text{gt_same } t s$
<proof>

7.3 Transitivity

lemma *gt_fun_imp*: $\text{fun } t >_t s \implies t >_t s$
<proof>

lemma *gt_arg_imp*: $\text{arg } t >_t s \implies t >_t s$
<proof>

lemma *gt_imp_vars*: $t >_t s \implies \text{vars } t \supseteq \text{vars } s$
<proof>

theorem *gt_trans*: $u >_t t \implies t >_t s \implies u >_t s$
<proof>

7.4 Irreflexivity

theorem *gt_irrefl*: $\neg s >_t s$
<proof>

lemma *gt_antisym*: $t >_t s \implies \neg s >_t t$
<proof>

7.5 Subterm Property

lemma

gt_sub_fun: $App\ s\ t\ >_t\ s$ and
gt_sub_arg: $App\ s\ t\ >_t\ t$
 ⟨proof⟩

theorem *gt_proper_sub*: $proper_sub\ s\ t \implies t\ >_t\ s$
 ⟨proof⟩

7.6 Compatibility with Functions

lemma *gt_compat_fun*:
 assumes t'_gt_t : $t'\ >_t\ t$
 shows $App\ s\ t'\ >_t\ App\ s\ t$
 ⟨proof⟩

theorem *gt_compat_fun_strong*:
 assumes t'_gt_t : $t'\ >_t\ t$
 shows $apps\ s\ (t'\ \#\ us)\ >_t\ apps\ s\ (t\ \#\ us)$
 ⟨proof⟩

7.7 Compatibility with Arguments

theorem *gt_diff_same_compat_arg*:
 assumes
 extf_compat_snoc: $\bigwedge f. ext_compat_snoc\ (extf\ f)$ and
 diff_same: $gt_diff\ s'\ s \vee gt_same\ s'\ s$
 shows $App\ s'\ t\ >_t\ App\ s\ t$
 ⟨proof⟩

7.8 Stability under Substitution

lemma *gt_imp_chksubs_gt*:
 assumes t_gt_s : $t\ >_t\ s$
 shows $chksubs\ (>_t)\ t\ s$
 ⟨proof⟩

theorem *gt_subst*:
 assumes *wary_ρ*: $wary_subst\ \rho$
 shows $t\ >_t\ s \implies subst\ \rho\ t\ >_t\ subst\ \rho\ s$
 ⟨proof⟩

7.9 Totality on Ground Terms

theorem *gt_total_ground*:
 assumes *extf_total*: $\bigwedge f. ext_total\ (extf\ f)$
 shows $ground\ t \implies ground\ s \implies t\ >_t\ s \vee s\ >_t\ t \vee t = s$
 ⟨proof⟩

7.10 Well-foundedness

abbreviation *gtg* :: $(s, v)\ tm \Rightarrow (s, v)\ tm \Rightarrow bool$ (**infix** $>_{tg}$ 50) **where**
 $(>_{tg}) \equiv \lambda t\ s. ground\ t \wedge t\ >_t\ s$

theorem *gt_wf*:
 assumes *extf_wf*: $\bigwedge f. ext_wf\ (extf\ f)$
 shows $wfP\ (\lambda s\ t. t\ >_t\ s)$
 ⟨proof⟩

end

end

8 The Optimized Graceful Recursive Path Order for Lambda-Free Higher-Order Terms

```
theory Lambda_Free_RPO_Optim
imports Lambda_Free_RPO_Std
begin
```

This theory defines the optimized variant of the graceful recursive path order (RPO) for λ -free higher-order terms.

8.1 Setup

```
locale rpo_optim = rpo_basis __ arity_sym arity_var
  for
    arity_sym :: 's  $\Rightarrow$  enat and
    arity_var :: 'v  $\Rightarrow$  enat +
  assumes extf_ext_snoc: ext_snoc (extf f)
begin
```

```
lemmas extf_snoc = ext_snoc.snoc[OF extf_ext_snoc]
```

8.2 Definition of the Order

definition

```
chkargs :: (('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool)  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  ('s, 'v) tm  $\Rightarrow$  bool
```

where

```
[simp]: chkargs gt t s  $\iff$  ( $\forall s' \in \text{set}(\text{args } s). \text{gt } t s'$ )
```

```
lemma chkargs_mono[mono]: gt  $\leq$  gt'  $\implies$  chkargs gt  $\leq$  chkargs gt'
  <proof>
```

inductive gt :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool (**infix** $>_t$ 50) **where**

```
gt_arg: ti  $\in$  set (args t)  $\implies$  ti  $>_t$  s  $\vee$  ti = s  $\implies$  t  $>_t$  s
| gt_diff: head t  $>_{hd}$  head s  $\implies$  chkvar t s  $\implies$  chkargs ( $>_t$ ) t s  $\implies$  t  $>_t$  s
| gt_same: head t = head s  $\implies$  chkargs ( $>_t$ ) t s  $\implies$ 
  ( $\forall f \in \text{ground\_heads}(\text{head } t). \text{extf } f (\mathbf>>_t) (\text{args } t) (\text{args } s)) \implies$  t  $>_t$  s
```

abbreviation ge :: ('s, 'v) tm \Rightarrow ('s, 'v) tm \Rightarrow bool (**infix** \geq_t 50) **where**
 $t \geq_t s \equiv t >_t s \vee t = s$

8.3 Transitivity

```
lemma gt_in_args_imp: ti  $\in$  set (args t)  $\implies$  ti  $>_t$  s  $\implies$  t  $>_t$  s
  <proof>
```

```
lemma gt_imp_vars: t  $>_t$  s  $\implies$  vars t  $\supseteq$  vars s
  <proof>
```

```
lemma gt_trans: u  $>_t$  t  $\implies$  t  $>_t$  s  $\implies$  u  $>_t$  s
  <proof>
```

```
lemma gt_sub_fun: App s t  $>_t$  s
  <proof>
```

end

8.4 Conditional Equivalence with Unoptimized Version

```
context rpo
begin
```

context

```
assumes extf_ext_snoc:  $\bigwedge f. \text{ext\_snoc}(\text{extf } f)$ 
```

begin

lemma *rpo_optim*: *rpo_optim ground_heads_var* ($>_s$) *extf arity_sym arity_var*
<proof>

abbreviation

chkargs :: ($(\lambda s, \lambda v) tm \Rightarrow (\lambda s, \lambda v) tm \Rightarrow bool$) $\Rightarrow (\lambda s, \lambda v) tm \Rightarrow (\lambda s, \lambda v) tm \Rightarrow bool$

where

chkargs \equiv *rpo_optim.chkargs*

abbreviation *gt_optim* :: ($\lambda s, \lambda v) tm \Rightarrow (\lambda s, \lambda v) tm \Rightarrow bool$ (**infix** $>_{to}$ 50) **where**
 $(>_{to}) \equiv$ *rpo_optim.gt ground_heads_var* ($>_s$) *extf*

abbreviation *ge_optim* :: ($\lambda s, \lambda v) tm \Rightarrow (\lambda s, \lambda v) tm \Rightarrow bool$ (**infix** \geq_{to} 50) **where**
 $(\geq_{to}) \equiv$ *rpo_optim.ge ground_heads_var* ($>_s$) *extf*

theorem *gt_iff_optim*: $t >_t s \iff t >_{to} s$
<proof>

end

end

end

9 An Encoding of Lambdas in Lambda-Free Higher-Order Logic

theory *Lambda_Encoding*

imports *Lambda_Free_Term*

begin

This theory defines an encoding of λ -expressions as λ -free higher-order terms.

locale *lambda_encoding* =

fixes

lam :: λs **and**

db :: $nat \Rightarrow \lambda s$

begin

definition *is_db* :: $\lambda s \Rightarrow bool$ **where**

is_db *f* $\iff (\exists i. f = db\ i)$

fun *subst_db* :: $nat \Rightarrow \lambda v \Rightarrow (\lambda s, \lambda v) tm \Rightarrow (\lambda s, \lambda v) tm$ **where**

subst_db *i* *x* (*Hd* ζ) = *Hd* (if $\zeta = Var\ x$ then *Sym* (*db* *i*) else ζ)

| *subst_db* *i* *x* (*App* *s* *t*) =

App (*subst_db* *i* *x* *s*) (*subst_db* (if head *s* = *Sym lam* then *i* + 1 else *i*) *x* *t*)

definition *raw_db_subst* :: $nat \Rightarrow \lambda v \Rightarrow \lambda v \Rightarrow (\lambda s, \lambda v) tm$ **where**

raw_db_subst *i* *x* = ($\lambda y. Hd$ (if $y = x$ then *Sym* (*db* *i*) else *Var* *y*))

lemma *vars_mset_subst_db*: $vars_mset$ (*subst_db* *i* *x* *s*) = $\{\#y \in \# vars_mset\ s. y \neq x\#$
<proof>

lemma *head_subst_db*: $head$ (*subst_db* *i* *x* *s*) = $head$ (*subst* (*raw_db_subst* *i* *x*) *s*)
<proof>

lemma *args_subst_db*:

args (*subst_db* *i* *x* *s*) = *map* (*subst_db* (if head *s* = *Sym lam* then *i* + 1 else *i*) *x*) (*args* *s*)

<proof>

lemma *var_mset_subst_db_subseteq*:

$vars_mset\ s \subseteq \# vars_mset\ t \implies vars_mset$ (*subst_db* *i* *x* *s*) $\subseteq \# vars_mset$ (*subst_db* *i* *x* *t*)

<proof>

end

end

10 Recursive Path Orders for Lambda-Free Higher-Order Terms

theory *Lambda_Free_RPOs*

imports *Lambda_Free_RPO_App Lambda_Free_RPO_Optim Lambda_Encoding*

begin

locale *simple_rpo_instances*

begin

definition *arity_sym* :: *nat* \Rightarrow *enat* **where**

arity_sym *n* = ∞

definition *arity_var* :: *nat* \Rightarrow *enat* **where**

arity_var *n* = ∞

definition *ground_head_var* :: *nat* \Rightarrow *nat set* **where**

ground_head_var *x* = *UNIV*

definition *gt_sym* :: *nat* \Rightarrow *nat* \Rightarrow *bool* **where**

gt_sym *g* *f* \longleftrightarrow *g* > *f*

sublocale *app*: *rpo_app* *gt_sym* *len_lexext*

<proof>

sublocale *std*: *rpo* *ground_head_var* *gt_sym* $\lambda f.$ *len_lexext* *arity_sym* *arity_var*

<proof>

sublocale *optim*: *rpo_optim* *ground_head_var* *gt_sym* $\lambda f.$ *len_lexext* *arity_sym* *arity_var*

<proof>

end

end