

# 1. DEFINITIONS

1.1 In these Rules, unless otherwise specified:

“1st”, “2nd”, “3rd” or “4th” means the horse(s) placed first, second, third or fourth, respectively, by authority of the Stewards when the “Weighed in Signal” is displayed; and in case of an Overseas Race, means the horse(s) placed first, second, third or fourth respectively, as may be determined by the Overseas Stewards or Foreign Operator of the race in accordance with the applicable Rules of Racing or Overseas Betting Rules.

“All Up Betting” means that system of Betting on the Win, Composite Win, Place, Quinella, Quinella Place or Trio Pools under these Rules where a Backer selects a horse or horses, or a Composite or Composites in races of his choice and requests the Operator to process any Dividend entitlement or Refund on subsequent races and selections in accordance with the Backer’s irrevocable instruction and such predetermined formulae which the Operator from time to time may permit.

“Backer” means any person who places a Bet.

“Banker” means a horse or horses nominated by a Backer to be in every permutation of a selected Bet Combination.

“Bet” includes reference to:

- (a) a Valid Bet;
- (b) the tender by a Backer of his selection or selections in a particular horse race bet type either through the medium of a Betting Ticket or through any Facility utilised by the Operator and which tender becomes eligible under these Rules to be processed by the Operator; or
- (c) a Random Bet; or
- (d) an Outbound Commingled Bet.

“Bet Combination” means, in each case where the Bet type requires the selection of two or more horses or Composites in a race or the selection

of the 1st, the 1st or 2nd, the 1st and 2nd or the 1st, 2nd and 3rd horses in each Leg of a multi-race Bet type, each individual Bet (including each Bet resulting from each possible permutation of a Backer's selections) entered by means of a Multiple.

“Betting” is descriptive of a system by which a Backer may submit a Bet with the Operator in respect of horse races conducted by the Club in Hong Kong or in respect of Overseas Race.

“Betting Account” shall have the meaning as defined in the Betting Facilities Rules.

“Betting Day” means the operating hours for a day or days as advertised by the Operator from time to time.

“Betting Facilities Rules” means the Club's Betting Facilities Rules.

“Betting Locations” means the Club's Shatin and Happy Valley racecourses and off-site betting centres and such other locations for Betting approved by the Operator from time to time. Where the context permits, the definition shall include the Club's official website and those websites approved or operated by the Operator which allow for the making of a Bet over the Internet.

“Betting Terminal” shall have the meaning as defined in the Betting Facilities Rules.

“Betting Ticket” means a physical ticket issued to a Backer having been duly validated by a Betting Terminal; and for any Facility utilised by the Operator, where no physical tickets are issued, the part of the Official Record detailing a specific Bet shall be the Betting Ticket.

“Cash” means notes or coins in the Hong Kong currency.

“Cash Voucher” shall have the meaning as defined in the Betting Facilities Rules.

“Club” means The Hong Kong Jockey Club.

“Composite” means a group of one or more Declared Starters under such description and in such race as determined by the Operator.

“Composite Win” means a type of Win Bet in which the Backer has to

select a Composite which contains the 1st horse in that race. The “winning combination” is the selection of such Composite containing the 1st horse in that race. For the avoidance of doubt, the Operator may offer more than one Composite Win Grouping in a race.

“Computer Record” means the record or records produced by the computer system utilised by the Operator.

“Customer Input Terminal” shall have the meaning as defined in the Betting Facilities Rules.

“Declared Starter” means a horse declared to start in a race in accordance with the Rules of Racing.

“Defaced Ticket” means any physical Betting Ticket which has either been torn, damaged or defaced in such a way that in the Club’s opinion the information recorded thereon cannot be deciphered.

“Disqualified” or “Disqualification” shall have the meanings as defined respectively in the Rules of Racing.

“Dividend” means:

- (a) for Pari-mutuel Bets, the amount declared by the Operator to be paid on a Valid Bet in respect of the “winning combination”, “consolation combination” or “bonus combination”, as applied to each type of Pari-mutuel Bet;
- (b) for Fixed Odds Bets, the winning stake of a Valid Bet multiplied by the Fixed Odds recorded in the Operator’s Official Record for such Valid Bet, subject to any maximum Dividend that the Operator may impose pursuant to Rule 2.15; or
- (c) for Outbound Commingled Bets, the amount declared by the Operator to be paid on a Valid Bet with reference to the payout declared by the Foreign Operator to be paid in respect of the “winning combination”, as applied to each type of Bet available for Outbound Commingling; or in the event an incident described in Rule 6.1(h) occurs, and the Operator decides to close the Outbound Commingling for the Bet type affected and conduct a separate Pool in respect of those Bets as Pari-mutuel Bets, the amount calculated and declared by the Operator to be paid on such bets upon becoming a Winning Bet.

“Dividend Rounding Account” means the account which:

- (a) will be credited with that part of a Net Pool undistributed consequential upon the rounding down of a Dividend and/or Rebate pursuant to Rule 2.19, Rule 3.7 and/or Rule 6.7; and
- (b) will be debited to supplement a Net Pool: (i) when a Dividend and/or Rebate is rounded up pursuant to Rule 2.19 and/or Rule 3.7; or (ii) in order to meet the minimum Dividend requirement pursuant to Rule 6.7.

“Double” means a Bet in which the Backer has to select the 1st horse in each of two nominated races. The “winning combination” is the 1st horse in the 1st Leg coupled with the 1st horse in the 2nd Leg. The “consolation combination” is the 1st horse in the 1st Leg coupled with the 2nd horse in the 2nd Leg.

“Double Trio” means a Bet in which the Backer has to select the 1st, 2nd and 3rd horses, regardless of the finishing order, in each of two nominated races. The “winning combination” is the selection of the 1st, 2nd and 3rd horses, regardless of their finishing order, in both Legs.

“Echo” means the verbal repeat of a Bet by a Staff to a Backer at the time of the Backer making a Bet verbally using Telephone Betting as recorded in the Club’s voice recording system.

“Electronic Funds Transfer” means the transfer of funds electronically using the Electronic Funds Transfer Terminal.

“Electronic Funds Transfer Terminal” shall have the meaning as defined in the Betting Facilities Rules.

“Electronic Shroff Card” shall have the meaning as defined in the Betting Facilities Rules.

“Electronic Wallet” or “eWallet” shall have the meaning as defined in the Betting Facilities Rules.

“Facilities” shall have the meaning as defined in the Betting Facilities Rules. Where the context permits, the Facilities shall include the Betting Locations.

“False Start” means an occasion when a fair start has not been effected and the riders return to the starting post in accordance with the provisions of the Rules of Racing.

“Favourite”:

- (a) Subject to sub-rule (b) below, “Favourite”, “1st Favourite”, “2nd Favourite” and “3rd Favourite” in respect of each race mean:
  - (i) “Favourite” and “1st Favourite”: the horse which at the close of Betting for the race has the highest total of money staked on it in Valid Bets in the Win Pool (or where there are Composite Win Bets, the Merged Pool) as recorded by the Totalisator.
  - (ii) “2nd Favourite”: the horse which has the second highest total of money staked on it in Valid Bets in the Win Pool (or where there are Composite Win Bets, the Merged Pool), as recorded by the Totalisator.
  - (iii) “3rd Favourite”: the horse which has the third highest total of money staked on it in Valid Bets in the Win Pool (or where there are Composite Win Bets, the Merged Pool), as recorded by the Totalisator.
- (b) When pursuant to these Rules it is necessary to determine which horse in a particular race is the Favourite or 1st Favourite or 2nd Favourite or 3rd Favourite and two or more horses have an equal total of money staked on them in Valid Bets in the Win Pool (or where there are Composite Win Bets, the Merged Pool) as recorded by the Totalisator, as between those horses the horse with a number in the official race programme which is lower than the other horse or horses will be deemed to have the higher total of money staked on it.
- (c) When betting information is received from an overseas entity which is licensed by the Operator to accept bets on the equivalent of the Win (and Composite Win) Bet type in the race referred to in this definition, the total of money staked on each horse in the race shall include the total money received and accepted by such entity which corresponds to such betting information transmitted to and not restricted and/or declined or excluded by the Operator.

- (d) When betting information is transmitted to a Foreign Operator by the Operator for Bets accepted on the equivalent of the Win Bet type in the race referred to in this definition for the purpose of Outbound Commingling, the total of money staked on each horse in the race shall include the total money (and where betting information instead of money is received, the total money value of such betting information) received and accepted by such Foreign Operator on the equivalent of the Win Bet type in such race.

“Field Betting” means the system of Betting where:

- (a) for a Bet on one race: a Backer requires one or more of the Declared Starters whether singly or in permutation to be included in a combination with all other Declared Starters (at the time the Bet is accepted) for that race;
- (b) for a Bet involving multiple Legs: a Backer requires one or more of the Declared Starters in any Leg whether singly or in permutation to be included into a combination with all other Declared Starters (at the time the Bet is accepted) in that Leg.

“First 4” means a Bet in which the Backer has to select the 1st, 2nd, 3rd and 4th horses in a nominated race regardless of their finishing order. The “winning combination” is the selection of the 1st, 2nd, 3rd and 4th horses regardless of their finishing order.

“Fixed Odds” means a multiplier set by the Operator at the time of a Bet being placed or a multiplier recorded in the Operator’s Official Record for a Bet.

“Flexi Bet” means a system of Betting where a Backer specifies the total stake amount in relation to the Bet Combinations on each Betting Ticket and such total stake amount will be evenly divided for each Bet Combination. Where the total stake amount cannot be evenly divided, the resultant amount will be rounded to the nearest 1/10000th. The stake amount on each Bet Combination shall be a Flexi Bet Unit.

“Flexi Bet Unit” means the stake amount on each Bet Combination, acceptance of which shall be subject to any minimum amount the Operator may prescribe from time to time, placed by a Backer using Flexi Bet on a Betting Ticket.

“Forecast” means a Bet in which the Backer has to select the 1st and 2nd horses in a nominated race in the correct finishing order. The “winning combination” is the 1st and 2nd Placed Horses selected in the correct finishing order.

“Foreign Operator” means an overseas entity conducting a pari-mutuel pool on an Overseas Race which has an Outbound Commingling arrangement with the Operator. Where the context permits, the definition shall include such other overseas entities which provide services to facilitate the transmission of betting information from or to the Operator and/or to calculate Dividend.

“Grouping” means a description under which one or more Composites in a race are placed in respect of Composite Win.

“Hong Kong” means the Hong Kong Special Administrative Region of the People’s Republic of China.

“Internet” shall have the meaning as defined in the Betting Facilities Rules.

“Internet Facility” shall have the meaning as defined in the Betting Facilities Rules.

“Jackpot” means such amount deducted from a Jackpot Pool (in respect of single Pools) or a Jackpot Reserve Pool (in respect of Merged Pools) and allocated to and to be aggregated with a Net Pool or a Merged Pool, as the case may be, as determined by the Operator.

“Jackpot Pool” means, in respect of each distinct Bet type, the accumulation of:

- (a) that part of each Net Pool for such distinct Bet type for which there are no Winning Tickets;
- (b) that part of each Net Pool for such distinct Bet type which, pursuant to these Rules, is not paid out or refunded; and
- (c) each Jackpot which has not been aggregated with a specified Net Pool for such distinct Bet type.

“Jackpot Reserve Deduction” means, in respect of each distinct Bet type, deductions from each Pool determined and credited to a Jackpot

Reserve Pool from time to time in accordance with Rules 3.3(a) and (b).

“Jackpot Reserve Pool” means (a) in respect of each distinct Bet type, the accumulated Jackpot Reserve Deduction; or (b) in respect of a Merged Pool, the accumulated Jackpot Reserve Deduction deducted from each Pool forming the Merged Pool.

“Jockey” shall have the meaning as defined in the Rules of Racing.

“Jockey Challenge” means a Bet in which the Backer has to select the Jockey who has collected the most Jockey Challenge Points at a race meeting.

“Jockey Challenge Points” means the points assigned to the Jockeys actually riding the 1st, 2nd and 3rd horses, respectively, on the Jockey’s Scheduled Rides.

“Leg” means each nominated race in those forms of Betting where selections are required in more than one race. The first of the nominated races to be run is the 1st Leg. The second of the nominated races to be run is the 2nd Leg, and so on, depending on the number of races incorporated in a particular Bet type.

“Losing Bet” means any Valid Bet that does not qualify for a Refund or a Dividend. In All Up Betting, the value of the “Losing Bet” shall also include any Dividend entitlement and/or Refund which has been carried forward and tendered as a Bet. For the avoidance of any doubt, when a Composite Win Bet becomes a Winning Bet, that part of the Bet which covers those horses in the Composite which did not come 1st in the race will not be considered a “Losing Bet”.

“Merged Pool” means the combined dollar value of two or more Net Pools as may be selected by the Operator. For the purposes of these Rules, the Net Pool of Win and Composite Win Bets in a race shall be considered a Merged Pool.

“Multiple” means the system provided by the Operator whereby, in lieu of a Backer having to request each Bet he wishes to place, the Backer identifies the horses or Composites with respect to which he requires each possible permutation to constitute a Bet Combination thereby qualifying as a Valid Bet.

“Named Jockey” means a Jockey who has been engaged to ride in a



nominated race at a race meeting and individually named by the Operator for the purposes of offering a Jockey Challenge Bet to the Backers.

“Net Pool” means the relevant Pool less the Percentage Deduction and the Jackpot Reserve Deduction. When the Operator conducts Betting on an Overseas Race for Outbound Commingling, “Net Pool” means the relevant Overseas Pool less the Percentage Deduction and the total amount of bets received by the Foreign Operator and its authorised business partners on all “winning combinations”.

“Official Record” means:

- (a) subject to sub-rule (b) below, when applied to a Betting Ticket, a Cash Voucher or a transaction processed by a Betting Terminal, an Electronic Funds Transfer Terminal, a Customer Input Terminal, or through the use of an Electronic Shroff Card, the Internet Facility, an Electronic Wallet or the Club’s prescribed telephone system, the Computer Record; and
- (b) when applied to a Bet placed verbally by a Backer to a Staff through Telephone Betting, the detail of the Echo confirmed by the Backer as evidenced by the Club’s voice recording system or where there is no such confirmation or voice recording, the Computer Record.

“Operator” means HKJC Horse Race Betting Limited.

“Operator’s Headquarters” means the Operator’s premises situated at No. 1 Sports Road, Happy Valley, Hong Kong.

“Other Jockeys” means any other individual Jockey actually riding in a nominated race at a race meeting, other than a Named Jockey.

“Outbound Commingling” means a type of Betting on an Overseas Race between the Backer and the Operator whereby following the acceptance, processing and inclusion of an Outbound Commingled Bet in the Official Record, the Operator transmits the betting information in relation to such Outbound Commingled Bet to the Foreign Operator as if it were a bet placed with the Foreign Operator for inclusion in the Overseas Pool for the purpose of calculating Dividends.

“Outbound Commingled Bet” means a Pari-mutuel Bet placed by a

Backer on an Overseas Race, the betting information of which will be transmitted to the Foreign Operator for inclusion in the Overseas Pool (if the Bet is accepted and not subsequently restricted and/or declined or excluded) for the purpose of calculating Dividends.

“Overseas Betting Rules” means the rules and/or terms and conditions of the Foreign Operator governing the betting transactions in respect of the types of Bets available for Outbound Commingling, as amended from time to time.

“Overseas Pool” means in respect of each distinct Bet type for Outbound Commingling, the total dollar value of valid bets accepted by the Foreign Operator and its authorised business partners either in accordance with the Overseas Betting Rules or an agreement to aggregate such bets received by its business partners for the purpose of calculating Dividends, which for the avoidance of doubt includes such dollar value of Outbound Commingled Bets received by the Operator and represented by the betting information transmitted by the Operator to the Foreign Operator and which is not subsequently restricted and/or declined or excluded by the Operator or the Foreign Operator.

“Overseas Race” means a horse race held outside Hong Kong and run under the Rules of Racing of an overseas racing authority.

“Overseas Stewards” means the Stewards of the meeting at which the Overseas Race is run as defined by the applicable Rules of Racing.

“Pari-mutuel” means a system of Betting where Backers selecting correctly the “winning combination”, “consolation combination” or “bonus combination” shall share the Net Pool or the Merged Pool as the case may be, in proportion to their stakes or by such formulae as the Operator may determine from time to time, as applied to each type of Betting. Where the context permits, “Pari-mutuel” and “Pari-mutuel Bets” shall include (a) Outbound Commingling and Outbound Commingled Bets, respectively where the winning combination shall share the Overseas Pool after such deductions in proportion to their stakes or by such formulae as the Foreign Operator may determine from time to time, as applied to each type of Outbound Commingled Bets; and (b) Outbound Commingled Bets which are conducted in a separate Pool pursuant to Rule 6.1(h) where the winning combination shall share the separate Pool in accordance with the formulae as the Foreign Operator uses for the same type of Outbound Commingled Bets.

“Partial Unit Bet” means that fraction of a Unit Bet which the Operator announces it will accept with respect to certain Bet types when Bets are placed by means of a Multiple or by Banker Betting.

“Percentage Deduction” means the percentage of total value of Valid Bets to be deducted by the Operator from each Pool or any part thereof (or in the case of Outbound Commingling, by the Foreign Operator from each Overseas Pool or any part thereof) from time to time.

“Place” means a Bet in which the Backer has to select at least one of the Placed Horses in a nominated race. The Placed Horses form the “winning combination”.

“Placed Horses” means in respect of each type of Betting, the horses occupying the places which qualify for a Dividend or Dividends.

“Pool” means, in respect of each distinct Bet type, the total dollar value of the Valid Bets made by Backers for each such distinct Bet type. For the avoidance of doubt, the definition includes in respect of:

- (a) Outbound Commingling for each distinct Bet type, the total dollar value of the Outbound Commingled Bets made by Backers for that Bet type, the betting information in respect of which shall be included in the relevant Overseas Pool; and
- (b) Outbound Commingling which is conducted in a separate Pool instead pursuant to Rule 6.1(h), the total dollar value of the Outbound Commingled Bets made by Backers for that Bet type which has been duly processed in accordance with these Rules and which has been included in the Official Record,

and not subsequently restricted and/or declined or excluded.

“Quartet” means a Bet in which the Backer has to select the 1st, 2nd, 3rd and 4th horses in a nominated race in the correct finishing order. The “winning combination” is the 1st, 2nd, 3rd and 4th Placed Horses selected in the correct finishing order.

“Quick Pick Ticket” means a Betting ticket on which each Bet is a Random Bet.

“Quinella” means a Bet in which the Backer has to select the 1st and 2nd horses in a nominated race regardless of the finishing order. The

“winning combination” is the 1st horse coupled with the 2nd horse.

“Quinella Place” means a Bet in which the Backer has to select any two of the first three Placed Horses in a nominated race regardless of the finishing order. The “winning combination” is the selection of any two of the first three Placed Horses.

“Racecourse” means, respectively, the Club’s buildings, enclosures and racetracks situated at Happy Valley and at Sha Tin or any other racecourse at which races take place.

“Random Bet” means a Bet in which the horse selections to comprise the Bet are generated randomly by the computer utilised by the Operator.

“Rebate” means, subject to Rule 2.13, the amount to be paid by the Operator to the Backer in respect of a Losing Bet at the rate prescribed by the Operator.

“Refund” means such amount of stake on each Valid Bet to be returned by the Operator to a Backer pursuant to these Rules, and shall include (a) the amount staked on each Valid Bet but which is not eligible to participate in the Net Pool; (b) in respect of an Outbound Commingled Bet, the dollar value of the Outbound Commingled Bet the betting information of which has been transmitted to the Foreign Operator and which has been subsequently restricted and/or declined or excluded from the Overseas Pool.

“Rules” means the rules herein contained, as amended from time to time.

“Rules of Racing” means those rules of the Club now and hereafter made for the conduct and control of racing and includes Instructions By The Stewards of the Jockey Club. In respect of an Overseas Race, Rules of Racing means the rules of racing and instructions by the Overseas Stewards of the racing authority under whose authority the Overseas Race is run.

“Scheduled Rides” means the rides that a Jockey is engaged to ride in the nominated races at a race meeting at the commencement of Betting on Jockey Challenge.

“Self-Vending Terminal” shall have the meaning as defined in the

## Betting Facilities Rules.

“Six Up” means a Bet in which the Backer has to select the 1st or 2nd horse in each of the races, being not less than 5 nor more than 6, nominated by the Operator as being the Legs to comprise a particular Six Up Bet. The “winning combination” is the selection of the 1st or 2nd horse in each of the nominated races.

“Six Win Bonus” means the amount declared to be paid in respect of each Unit Bet on the Six Up where the “winning combination” is the selection of the 1st horse in each of the nominated races.

“Staff” means employees of the Club and/or the Operator and includes an employee of any independent contractor having contractual arrangements with the Club and/or the Operator.

“Starter” means a horse declared to start in a race and which is not subsequently declared a non-starter or non-runner or otherwise withdrawn from a race pursuant to the Rules of Racing.

“Stewards” shall have the meaning as defined in the Rules of Racing.

“Stewards of the Jockey Club” shall have the meaning as defined in the Club's Articles of Association.

“Substitute Horse” means the Favourite, the 2nd Favourite or the 3rd Favourite, as these Rules may require.

“Suspension” shall have the meaning as defined in the Rules of Racing.

“Telephone Betting” shall have the meaning as defined in the Betting Facilities Rules.

“Tierce” means a Bet in which the Backer has to select the 1st, 2nd and 3rd horses in a nominated race in the correct finishing order. The “winning combination” is the 1st, 2nd and 3rd Placed Horses selected in the correct finishing order.

“Totalisator” means the automatic machine for Betting and related services operated by the Club.

“Trainer” shall have the meaning as defined in the Rules of Racing.

“Treble” means a Bet in which the Backer has to select the 1st horse in each of three nominated races. The “winning combination” is the selection of the 1st horse in each of the three Legs. The “consolation combination” is the selection of the 1st horse in the 1st two Legs with the 2nd horse in the 3rd Leg.

“Trio” means a Bet in which the Backer has to select the 1st, 2nd and 3rd horses in a nominated race regardless of their finishing order. The “winning combination” is the 1st, 2nd and 3rd Placed Horses selected regardless of their finishing order.

“Triple Trio” means a Bet in which the Backer has to select the 1st, 2nd and 3rd horses, regardless of the finishing order, in each of the three nominated races. The “winning combination” is the selection of the 1st, 2nd and 3rd horses, regardless of their finishing order, in each of the three Legs. The “consolation combination” is the selection of the 1st, 2nd and 3rd horses, regardless of their finishing order, in each of the first two Legs.

“Unit Bet” means in respect of each Bet type the minimum stake amount as the Operator may prescribe from time to time as the amount on which Dividends will be calculated, subject to a Flexi Bet Unit and a Partial Unit Bet.

“Valid Bet” means each Bet which has been duly processed in accordance with these Rules and which has been included in the Official Record and (a) in the case of a Pari-mutuel Bet, which has been included in the Pool or Pools to which the Pari-mutuel Bet relates and which has not been subsequently restricted and/or declined or excluded; and (b) in the case of an Outbound Commingled Bet, the betting information of which has been included in the Overseas Pool and has not been subsequently restricted and/or declined or excluded by the Operator or the Foreign Operator.

“Void Race” means a race that:

- (a) is declared void under the Rules of Racing; or
- (b) is abandoned either before or after the horses have been declared to start; or
- (c) is not run; or

- (d) no horses are placed in accordance with the Rules of Racing; or
- (e) is declared void by the Operator in respect of an Overseas Race.

“Void Ticket” means any Betting Ticket which is declared void.

“Weighed in Signal” shall have the meaning as defined in the Rules of Racing.

“Win” means a Bet in which the Backer has to select the 1st horse in a nominated race. The “winning combination” is the selection of the 1st horse in a race. Where Win and Composite Win Bets form a Merged Pool, reference to a Win Bet includes a Composite Win Bet.

“Winning Bet” means any Valid Bet which qualifies for a Dividend in accordance with these Rules.

“Winning Ticket” means any Betting Ticket which qualifies for a Dividend.

“Withdrawal” means a horse declared a non-starter or non-runner or otherwise withdrawn from a race pursuant to the Rules of Racing.

- 1.2 Unless the context expressly states otherwise, references to 1st, 2nd, 3rd and following positions of horses and references to the finishing order are references to the positions and finishing order as declared by the Club when the results are displayed on the Weighed in Signal and in respect of Overseas Races, the positions and finishing order as determined by the Overseas Stewards or Foreign Operator of the race in accordance with the applicable Rules of Racing or Overseas Betting Rules.
- 1.3 Words importing one gender include all other genders and words importing the singular include the plural and vice versa.
- 1.4 The heading of each Rule (and sub-rules) shall not be taken into account in the construction or interpretation of the Rule. Cross-references may be made to any other Rule in the interpretation of any Rule.