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| POST-GOVERNMENT EMPLOYMENT ADVICE OPINION REQUEST <i>(Read Privacy Act Statement on the last page before completing form.)</i> | | | OMB No. 0704-0467 OMB approval expires: 20250831 |
| The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Washington Headquarters Services, at whs.mc-alex.esd.mbx.dd-dod-informationcollections@mail.mil . Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ORGANIZATION. | | | |
| SECTION I - CONTACT INFORMATION | | | |
| 1. DOD AGENCY WORKED OR WORKING FOR <i>(e.g., Army, Navy, DLA, OSD, JCS, etc.)</i> | 2.a. FIRST NAME | b. MI | c. LAST NAME |
| 3. Opinions will be transmitted via email. Individuals without access to email should make arrangements with their ethics office for alternate means of transmittal. | | 4.a. TELEPHONE NUMBER <i>(Include Area/Country Code)</i> | b. ALTERNATE NUMBER <i>(Include Area/Country Code)</i> |
| 5.a. PRIMARY PERSONAL E-MAIL ADDRESS | | b. ALTERNATE PERSONAL E-MAIL ADDRESS | |
| SECTION II - SERVICE INFORMATION | | | |
| 6. EMPLOYEE STATUS AT TIME OF SEPARATION | | | |
| a. Select one: | <input type="radio"/> CAREER CIVILIAN | <input type="radio"/> MILITARY <i>(Specify Service):</i> | b. RANK/PAY PLAN AND GRADE |
| | <input type="radio"/> OTHER <i>(Specify as Non Career SES, schedule C, IPA, HQE, SGE)</i> | | |
| REQUIRED: IF YOU ARE NOT A MILITARY MEMBER, WHAT IS YOUR CURRENT ANNUAL BASE PAY? <i>(exclude locality & cost of living)</i> | | | |
| c. IF A POLITICAL APPOINTEE, HAVE YOU MADE OTHER ETHICS COMMITMENTS? <i>(If yes, provide detail: did you receive a waiver, or do you anticipate work as a lobbyist)</i> | | | |
| d. DURING YOUR LAST YEAR OF FEDERAL SERVICE, DID YOU SERVE IN A SUPERVISORY OR MANAGERIAL TYPE POSITION <i>(e.g., Performance Reviewer, Team Lead, Program Lead, etc.)? Describe.</i> | | | |
| 7. DOD ORGANIZATION | | 8. DOD SUPERVISOR'S NAME | |
| 9. REASON FOR SEPARATION <input type="radio"/> RETIREMENT <input type="radio"/> RESIGNATION <input type="radio"/> OTHER <i>(Specify)</i> | | | |
| 10. SEPARATION/RETIREMENT DATE <i>(YYYYMMDD)</i> | | 11. TERMINAL/TRANSITION LEAVE DATE <i>(YYYYMMDD)</i> | |
| 12. DO YOU PLAN TO WORK WHILE ON TERMINAL/TRANSITION LEAVE? <input type="radio"/> YES <input type="radio"/> NO | 13. FOR CIVILIAN EMPLOYEES: DID YOU RETIRE FROM THE U.S. ARMED FORCES? <input type="radio"/> YES <input type="radio"/> NO | 14. ARE YOU A RESERVIST? <input type="radio"/> YES <input type="radio"/> NO | |
| SECTION III - PRIOR ETHICS INFORMATION | | | |
| 15. PRIOR ETHICS ADVICE | | | |
| a. HAVE YOU RECEIVED ANY ETHICS ADVICE OR OPINION FROM ANOTHER GOVERNMENT ETHICS OFFICIAL CONCERNING YOUR PROSPECTIVE EMPLOYMENT? | | | |
| | | | <input type="radio"/> YES, PRIOR ADVICE RECEIVED <i>(Complete b.)</i> <input type="radio"/> NO PRIOR ADVICE RECEIVED |
| b. (1) ETHICS OFFICIAL'S NAME | (2) OFFICE | (3) TELEPHONE NUMBER | |

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| 16. FINANCIAL DISCLOSURE | |
| a. IN THE LAST 2 YEARS, HAVE YOU FILED A FINANCIAL DISCLOSURE REPORT? | |
| <input type="radio"/> NO <input type="radio"/> YES, OGE 450 <input type="radio"/> YES, OGE 278 <i>(If you file a OGE 278, you must file a termination report no later than 30 days after separation.)</i> | |
| b. IF YES, POSITIONS FOR WHICH YOU FILED | |
| | |
| 17. LICENSED ATTORNEY: PLEASE INDICATE IF YOU ARE A LICENSED ATTORNEY, EVEN IF YOU ARE NOT EMPLOYED AS AN ATTORNEY BY DOD. | |
| <input type="radio"/> YES, I AM A LICENSED ATTORNEY <input type="radio"/> NO, I AM NOT A LICENSED ATTORNEY | |
| SECTION IV - DOD POSITION INFORMATION | |
| 18. POSITIONS HELD AND MAJOR DUTIES: IN WHAT AGENCIES OR ORGANIZATIONS HAVE YOU SERVED DURING YOUR LAST 5 YEARS OF DEPARTMENT OF DEFENSE SERVICE? <i>For each position, describe your job or briefly describe your major duties during the last 5 years, focusing on supervisory duties and official responsibility requirements relating to defense contracts, especially related to prospective employers, any aspect of the acquisition process, such as requirements development, acting as program manager, deputy program manager or contracting officer, or otherwise involved in the contracting process. Identify names of projects, program, contractors and subcontractors.)</i> | |
| a. CURRENT/MOST RECENT POSITION | |
| (1) ORGANIZATION OR AGENCY | (2) JOB TITLE |
| (3) DESCRIPTION | |
| | |
| (4) POSITION HELD FROM (YYYYMMDD) | TO (YYYYMMDD) |
| b. PRIOR POSITION 1 | |
| (1) ORGANIZATION OR AGENCY | (2) JOB TITLE |
| (3) DESCRIPTION | |
| | |
| (4) POSITION HELD FROM (YYYYMMDD) | TO (YYYYMMDD) |
| c. PRIOR POSITION 2 | |
| (1) ORGANIZATION OR AGENCY | (2) JOB TITLE |
| (3) DESCRIPTION | |
| | |
| (4) POSITION HELD FROM (YYYYMMDD) | TO (YYYYMMDD) |
| SECTION IV - DOD POSITION INFORMATION <i>(Continued)</i> | |
| 19. HAVE YOU SERVED IN ANY OF THE FOLLOWING POSITIONS OR PERSONALLY TAKEN ONE OF THE FOLLOWING ACTIONS REGARDING AN ACQUISITION OR CONTRACT IN EXCESS OF \$10 MILLION DURING THE LAST 5 YEARS, OR FOR WHICH THE CONTRACT HAS NOT YET EXPIRED? | |
| a. PROCURING OR ADMINISTRATIVE CONTRACTING OFFICER OR SOURCE SELECTION AUTHORITY? | b. A MEMBER OF A SOURCE SELECTION EVALUATION BOARD, OR A CHIEF OF A FINANCIAL OR TECHNICAL EVALUATION TEAM? |
| <input type="radio"/> YES <input type="radio"/> NO | <input type="radio"/> YES <input type="radio"/> NO |
| c. PROGRAM MANAGER OR DEPUTY PROGRAM MANAGER FOR AN ACQUISITION PROGRAM? | d. AWARD OF A CONTRACT, SUBCONTRACT, MODIFICATION, TASK ORDER OR DELIVERY ORDER, OR PAYMENT OF A CONTRACT CLAIM? |
| <input type="radio"/> YES <input type="radio"/> NO | <input type="radio"/> YES <input type="radio"/> NO |

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| <p>e. ESTABLISHING OVERHEAD OR OTHER RATES?</p> <p><input type="radio"/> YES <input type="radio"/> NO</p> | <p>f. APPROVAL OF A CONTRACT PAYMENT OR PAYMENTS?</p> <p><input type="radio"/> YES <input type="radio"/> NO</p> |
| <p>g. IF YOU ANSWERED "YES" TO ANY OF THESE QUESTIONS, IDENTIFY THE CONTRACT(S) IN WHICH YOU PERFORMED THAT FUNCTION: 1) DURING THE LAST FIVE YEARS; AND 2) FOR WHICH THE PERFORMANCE PERIOD, INCLUDING ALL OPTIONS/EXTENSIONS, HAS NOT YET EXPIRED. <i>(List contract number(s), title(s) and date(s) of last action and summarize your duties/responsibilities related to that contract.)</i></p> | |
| <p>20.a. DO YOU HOLD A POSITION IN THE EXECUTIVE SERVICE, SENIOR EXECUTIVE SERVICE OR A GENERAL OR FLAG POSITION <i>(Grade O7 and above - but not frocked)?</i></p> <p><input type="radio"/> YES <input type="radio"/> NO</p> | <p>b. DID YOU PARTICIPATE IN AN ACQUISITION WITH A VALUE IN EXCESS OF \$10 MILLION, DURING THE LAST 5 YEARS, OR FOR WHICH THE CONTRACT HAS NOT YET EXPIRED? <i>(See instructions for the definition of "participate.")</i></p> <p><input type="radio"/> YES <input type="radio"/> NO</p> |
| <p>SECTION V - PROSPECTIVE EMPLOYMENT INFORMATION</p> | |
| <p>21.a. HAVE YOU TAKEN ANY ACTION TO RESOLVE A POTENTIAL CONFLICT OF INTEREST RELATED TO SEEKING EMPLOYMENT EFFORTS, INCLUDING ISSUING A WRITTEN DISQUALIFICATION, CHANGING JOBS, HAD YOUR DUTIES CHANGED, OR TAKEN ANY OTHER ACTION TO RESOLVE A POTENTIAL CONFLICT OF INTEREST?</p> <p style="text-align: right;"><input type="radio"/> YES <input type="radio"/> NO</p> | |
| <p>b. IF YES, PLEASE PROVIDE DETAILS, INCLUDING THE NAMES OF THE PROSPECTIVE EMPLOYER(S)/CLIENT(S) INVOLVED:</p> | |
| <p>22.a. ARE YOU PLANNING TO ENGAGE IN COMPENSATED ACTIVITIES WITH ANY NON-FEDERAL ENTITY FOLLOWING YOUR GOVERNMENT SERVICE, INCLUDING ANY BUSINESS ENTITY FORMED BY YOU FOR PURPOSES OF PROVIDING CONSULTING OR OTHER SERVICES?</p> <p style="text-align: right;"><input type="radio"/> YES <i>(continue to Item 24)</i> <input type="radio"/> NO <i>(continue to Item 22b)</i></p> | |
| <p>b. ARE YOU CURRENTLY SEEKING EMPLOYMENT WITH ANY NON-FEDERAL ENTITY(IES)? <i>(i.e., sent a resume within the last 60 days, interviewed, responded to an employment overture other than to decline, etc.)</i></p> <p><input type="radio"/> YES <i>(Please list the entity(ies) here:)</i></p> <p><input type="radio"/> NO</p> | |
| <p>c. DO YOU HAVE A CURRENT AGREEMENT OR ARRANGEMENT FOR EMPLOYMENT OR OTHER COMPENSATED ACTIVITIES WITH ANY NON-FEDERAL ENTITY(IES)?</p> <p><input type="radio"/> YES <i>(Please list the entity(ies) here:)</i></p> <p><input type="radio"/> NO <i>(continue to Item 24)</i></p> | |
| <p>d. DESCRIBE ANY OFFICIAL DUTIES OR INVOLVEMENT YOU HAD DURING YOUR GOVERNMENT SERVICE THAT RELATED TO ANY ENTITY(IES) IDENTIFIED IN THIS SECTION AS PROSPECTIVE EMPLOYERS.</p> | |
| <p>e. IF YOU HAVE AN AGREEMENT OR ARRANGEMENT FOR FUTURE EMPLOYMENT, INCLUDING ANY TENTATIVE OR CONDITIONAL OFFER OF EMPLOYMENT, PLEASE PROVIDE THE BELOW INFORMATION:</p> | |
| <p>(1) EMPLOYER</p> | <p>(2) JOB TITLE</p> |
| <p>(3) DETAILED DESCRIPTION OF DUTIES TO BE PERFORMED <i>(Use "Additional Comments" section on the last page if additional space is needed.) (Include more than "running the government affairs program")</i></p> | <p>(4) EXPECTED START DATE <i>(YYYYMMDD)</i></p> |
| <p>23. WHAT ACTIONS HAVE YOU TAKEN CONCERNING YOUR FUTURE EMPLOYMENT <i>(i.e., sent out resume, interview, filed disqualification, accepted a position)?</i></p> | |
| <p>24. DESCRIBE ANY OFFICIAL INVOLVEMENT WITH, OR DUTIES YOU HAVE HAD RELATED TO, PROSPECTIVE EMPLOYERS LISTED IN BLOCK 22. <i>(Please use Block 29 for additional space, if necessary.)</i></p> | |

CUI (when filled in)

I certify that the information provided on this form is true and accurate to the best of my knowledge.

25. PRINTED FULL NAME

26. SIGNATURE

27. DATE SIGNED (YYYYMMDD)

28. ADDITIONAL COMMENTS

INSTRUCTIONS FOR COMPLETING DD FORM 2945

Please answer all questions as appropriate. Then print your full name, sign and date the form.

SECTION I - CONTACT INFORMATION.

1. Please provide the Department of Defense Agency of your last assignment. (Example: US Army, US Air Force, Defense Intelligence Agency.) Do not abbreviate.
2. Enter your first name, middle initial and last name.
3. Opinions will be transmitted by email unless requested otherwise.
4. Provide a primary telephone number to include the area code or country code if appropriate. Provide an alternate telephone number to include the area code or country code if appropriate.
5. Provide a primary valid e-mail address. Provide an alternate e-mail address if appropriate.

SECTION II - SERVICE INFORMATION.

6. Employee Status.
 - a. X Military, Civilian, or Other. If other, specify.
 - b. Provide military rank or civilian pay grade.
 - c. If a political appointee, provide details of any other ethics commitments.
 - d. Indicate if you were a supervisor
7. Provide the name of the last organization you were assigned to as a member of DoD. Do not abbreviate.
8. Provide the name of your immediate supervisor of your last position in DoD.
9. Reason for Separation. X one. Mark Retirement if you retired or are retiring from DoD. Mark Resignation if you resigned or are resigning from DoD. Mark Other if neither retirement nor resignation applies.
10. Separation/Retirement Date. Enter date in MM/DD/YYYY format.
11. Terminal/Transition Leave Date(s). Enter date(s) in MM/DD/YYYY format. Provide both dates if applicable.
12. Do you plan to work while on terminal/transition leave? X one.
13. U.S. Armed Forces retirement. X one. If your employee status is a civilian and you previously retired from the U.S. Armed Forces before becoming a civilian employee of DoD, X Yes. X No if you are a civilian employee who did not previously retire from the U.S. Armed Forces.
14. Are you a reservist? X Yes or No.

SECTION III - PRIOR ETHICS INFORMATION.

15. Prior Ethics Advice. X one. Mark Yes if you received any ethics advice (oral or written) from an attorney concerning employment with a civilian company. If so, provide the name, office or organization and telephone number of the attorney. Mark No if you did not receive any prior ethics advice concerning employment by a civilian company.
16. Financial Disclosure. X one. Mark No if you have not filed an OGE 450 or OGE 278 report within the last two years. Mark Yes if you have filed either an OGE 450 or OGE 278 financial disclosure report within the last two years. If either the OGE 450 or OGE 278 is selected, provide the position title you were assigned to when you filed the report.

17. Licensed Attorney. X one. Self explanatory.

SECTION IV - DOD POSITION INFORMATION

18. Positions Held and Major Duties. Self explanatory. If more than three, continue in block 29, "Additional Comments".
19. Have you served in any of the following positions or personally taken one of the following actions regarding an acquisition or contract in excess of \$10 million during the last 5 years, or for which the contract has not yet expired?
 - a. Duties and Actions if involved in a Contract in Excess of \$10 Million. X one. Self explanatory.
 - b. X one. Self explanatory.
 - c. X one. Self explanatory.
 - d. X one. Self explanatory.
 - e. X one. Self explanatory.
 - f. X one. Self explanatory.
 - g. If Yes was answered to any of questions 20.a. - f., provide the contract number(s), contract title(s), and date(s) you last acted on those contracts and provide a summary of your duties and responsibilities.
20. Senior Executive Service, General or Flag Officer Position.
 - a. X one. Self explanatory.
 - b. X one. Self explanatory.

SECTION V - PROSPECTIVE EMPLOYER INFORMATION.

- 21.a. X one. Self explanatory.
 - b. Self explanatory.
- 22.a. Self explanatory.
 - b. Self explanatory.
 - c. Self explanatory.
 - d. Describe any official involvement or duties you have previously had with the company listed in 22b?
 - e.(1) Self explanatory.
 - e.(2) Future job title. Provide the specific position/job title in which you anticipate being employed.
 - e.(3) Provide specific duties and responsibilities of the position. Include information on how this may relate to your Government duties, e.g. more detail than "provide Government Affairs" advice or "will work in "Government affairs."
23. Have you recused yourself from matters involving prospective employer(s) including whether you sent a resume or interviewed or accepted a position?
24. Have you worked with the prospective employer(s) listed in 22b during your government service, and, if so, what did you do?
- 25.-27. Name, Signature and Date. Self explanatory.
28. Additional Comments. Provide any additional information about your pending employment with a civilian employer that you want your ethics attorney to know

DEFINITIONS.

"Participate" means to participate personally and substantially.
 "Personal" means direct, and "substantial" means of significance to the matter. This definition is not exclusive.

PRIVACY ACT STATEMENT

AUTHORITY: 41 U.S.C. 2104, Prohibition on Former Official's Acceptance of Compensation from Contractor (OF THE PROCUREMENT INTEGRITY ACT); 5 C.F.R. 2635.107, Ethics Advice (OF THE STANDARDS OF CONDUCT FOR EMPLOYEES OF THE EXECUTIVE BRANCH); DoD 5500.07-R, Joint Ethics Regulation.

PRINCIPAL PURPOSE(S): To enable ethics officials to render ethics advice to military and civilian employees leaving Government service. When completed, records are covered by OGE/GOVT-1, Executive Branch Personnel Public Financial Disclosure Reports and Other Name-Retrieved Ethics Program Records, <https://www.oge.gov/Web/OGE.nsf/Resources/Governmentwide+System+of+Records+Notices>.

ROUTINE USE(S): To disclose the information furnished by the reporting official, in accordance with provisions of section 105 of the Ethics in Government Act of 1978, as amended, to any requesting person.

- a. To disclose the information furnished by the reporting official, in accordance with sections 105 and 402(b)(1) of the Ethics in Government Act of 1978, 5 U.S.C. app. 101, as amended, to any requesting person.
- b. To disclose, in accordance with section 105 of the Ethics in Government Act, as amended, and subject to the limitations contained in section 208(d)(1) of title 18, U.S.C., any determination granting an exemption pursuant to 208(b)(1) or 208(b)(3) of title 18, U.S.C., to any requesting person.
- c. To disclose pertinent information to the appropriate Federal, State, or local agency responsible for investigating, prosecuting, enforcing, or implementing a statute, rule, regulation, or order, where the disclosing agency becomes aware of an indication of violation or potential violation of civil or criminal law or regulation.
- d. To disclose information to another Federal agency, to a court, or a party in litigation before a court or in an administrative proceeding being conducted by a Federal agency, either when the Government is a party to a judicial or administrative proceeding or in order to comply with a subpoena issued by a judge of a court of competent jurisdiction.
- e. To disclose information to any source when necessary to obtain information relevant to a conflict-of-interest investigation or determination.
- f. By the National Archives and Records Administration or the General Services Administration in records management inspections conducted under authority of 44 U.S.C. 2904 and 2906.
- g. To disclose information to the Office of Management and Budget at any stage in the legislative coordination and clearance process in connection with private relief legislation as set forth in OMB Circular No. A-19.
- h. To disclose information to the Department of Justice, or in a proceeding before a court, adjudicative body, or other administrative body before which OGE is authorized to appear, when: OGE, or an employee of OGE in his or her official capacity, or any employee of OGE in his or her individual capacity (where the Department of Justice or OGE has agreed to represent the employee); or the United States (when OGE determines that litigation is likely to affect OGE), is a party to litigation or has an interest in such litigation, and the use of such records by the Department of Justice or OGE is deemed by OGE to be relevant and necessary to the litigation provided, however, that the disclosure is compatible with the purpose for which such records were collected.
- i. To disclose the public financial disclosure report and any accompanying documents to reviewing officials in a new office, department or agency when an employee transfers or is detailed from a covered position in one office, department or agency to a covered position in another office, department or agency.
- j. To disclose information to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record.
- k. To disclose information to contractors, grantees, experts, consultants, detailees, and other non-Government employees performing or working on a contract, service, or other assignment for the Federal Government, when necessary to accomplish an agency function related to this system of records.
- l. To disclose on the OGE Web site and to otherwise disclose to any person, including other departments and agencies, any written ethics agreements filed with the Office of Government Ethics, pursuant to 5 CFR 2634.803, by an individual nominated by the President to a position requiring Senate confirmation when the position also requires the individual to file a public financial disclosure report.

NOTE: When DoD is requested to furnish such records to the Director or other authorized officials of the Office of Government Ethics (OGE), such a disclosure is to be considered as made to those officers and employees of the agency which co-maintains the records who have a need for the records in the performance of their official duties in accordance with the Ethics in Government Act of 1978, 5 U.S.C. app., and other ethics-related laws, Executive Orders and regulations conferring pertinent authority on OGE, pursuant to the provision of the Privacy Act at 5 U.S.C. 552a(b)(1).

A complete list of the routine uses for OGE/GOVT-1 can be found at <https://www.oge.gov/Web/OGE.nsf/Resources/Governmentwide+System+of+Records+Notices>.

DISCLOSURE: Voluntary; however, failure to fully disclose information requested may result in receipt of incomplete advice or inability to provide written advice. This may result in a delay in being hired by a Department of Defense contractor or receipt of compensation from such contractor. Failure to comply may also result in the imposition of administrative penalties in accordance with 41 U.S.C. 2105(c).