## User Guide Pre-application ID

Last update: 26 June 2024



### Note for the users

This user guide has been updated on 26 June 2024 to take into account the latest system enhancements.

- The layout and look & feel of the Connect.EFSA portal has been aligned with the other EFSA portals and websites, such as OpenEFSA and the EFSA website. Users find new colours and menus, redesigned sections and improved access to useful resources, frequently asked questions and to the Ask a question service.
- Business operators and their third parties/consultants registered in Connect.EFSA find pre-application IDs and the notification of studies database in a new pre-submission activities main page, accessible simply by logging in. The new pre-submission activities main page is also available from any point of the Connect.EFSA by browsing to the top menu bar and selecting "More".
- The pre-submission activities page has been enriched with help texts. Users are therefore guided to the correct section in case they need to create a new pre-application ID, manage existing ones or access the notification of studies database.

Some editorial changes have been introduced to further clarify the existing content.

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#### **Recommended documents and links**

## Introduction

**#Connect.EFSA** 



### I - Scope of the pre-application ID

#### **Pre-submission activities**

- ✓ General pre-submission advice, Article 32a(1) of the GFL
- ✓ Notification of studies commissioned or carried out to support an application, Article 32b of the GFL
- ✓ Notification of intended studies for renewal application and renewal pre-submission advice, Article 32c(1) of the GFL



After registration and prior to initiating any pre-submission activity, a potential applicant must create a pre-application ID, which links all pre-submission activities undertaken by a potential applicant to support a future application related to a specific regulated product in a given regulated product area.

#### 1. Actors of the Process

The process for managing the pre-application ID might involve up to **two types of actors**:



For ease of reference through this guide, the two roles are visualised by the respective **colour stripe** on the left-hand side of slides.

#### 1. Actors of the Process



Business operators Potential applicants **Business operators**: these users create and manage their preapplication IDs in Connect.EFSA.



Third parties/ Consultants Third parties/consultants: these users operate on behalf of business operators when authorised to represent one or more entities, shall also register-in (see the section on <u>Account Relationship</u>). They can create and manage pre-application IDs in Connect.EFSA.

#### 1.1 Account qualification



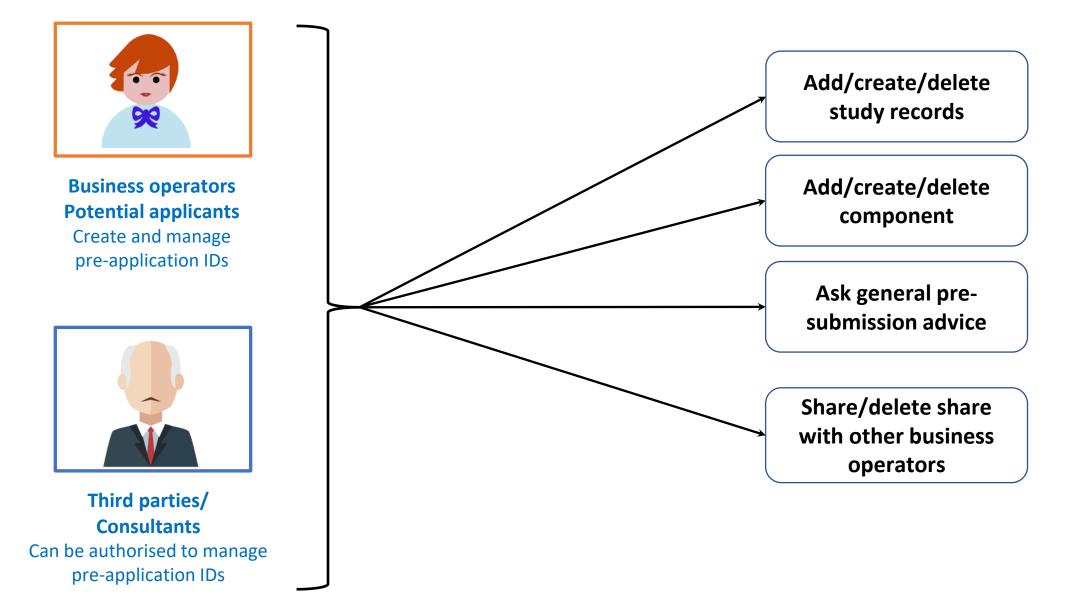
Business operators Potential applicants **This guide applies to users qualified as applicant,** i.e. organisations such as business operators. They act as potential applicant conducting pre-submission activities linked to a future application for a regulated product in a specific regulated area.

These organisations can create pre-application IDs.



Third parties/ Consultants The same qualification is assigned to consultants working on behalf of business operators.

#### 1.2 Pre-application ID activities



### 1.3 List of intended studies for renewal: Process overview



# **Accessing Connect.EFSA**

**#Connect.EFSA** 



#### 2. Access the Connect.EFSA portal

**Business operators** and **their third parties/consultants** before starting to conduct pre-submission activities should <u>self-register an account</u> on behalf of their organisation by following the instructions available in the <u>Connect.EFSA registration user manual</u> and identifiable by a **pink banner** on the left-hand side of the slides.

**Registered users from business operator** and/or third party/consultant organisations can access Connect.EFSA portal from their `trusted` devices via the following link: <u>https://connect.efsa.europa.eu/RM</u>



**Updated!** 

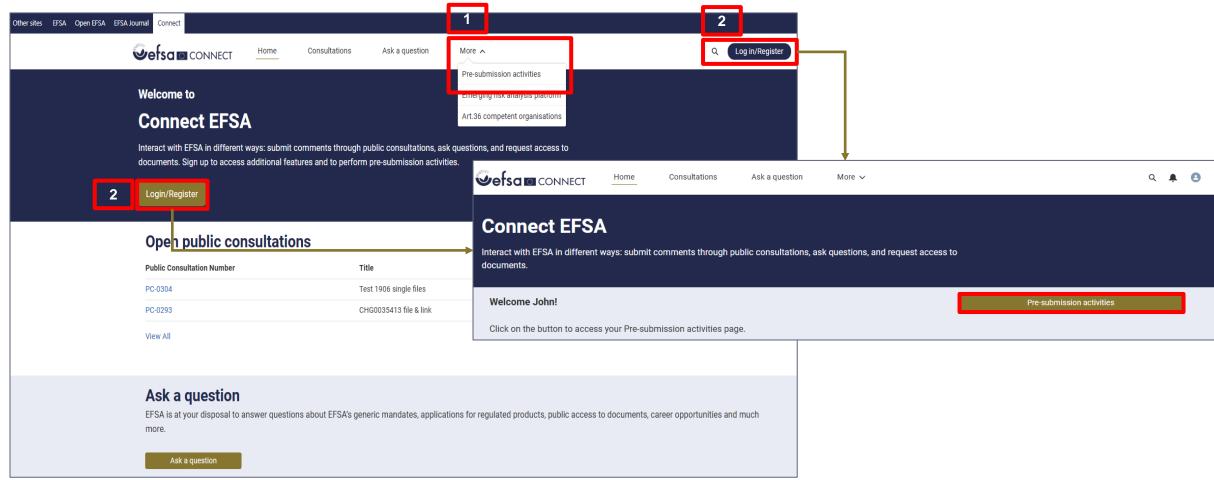
### 2.1 Accessing pre-submission activities

New!

From the home page of Connect.EFSA users can access the pre-submission activities page in two ways:

1. before logging in, by clicking on 'More' and then selecting 'Pre-submission activities'

2. after logging in



### 2.2 The pre-submission activities main page

	s Ask a question	More V		Q 🌲 🕒	
Pre-submission activities New pre-application ID Reports	•	s to <b>create a new</b> on ID or access the			
Welcome John, In this section you can manage pre-application IDs and stu and have an overview of all your submitted applications.			ssion activities	This page contains <b>help te</b> <b>links</b> to guide the user acro functionalities.	
<b>Pre-application IDs</b> Create pre-application IDs, request general pre- submission advice, create and submit study notifications, and create and submit a list of intended studies for renewal applications.	-	of studies notifications created by your ith you by other organisations.	View your submit	<b>pplications</b> tted applications once they have been A and assigned to an EFSA's question	
Access		Access		Access	
Frequently asked questions		Usefu	l resources		
Does EFSA suggest consultancy companies for preparing	and submitting an	Connect E	FSA registration manu	ual 🗷	
application?		User guide	on pre-application ID	ď	
Where do I find the DAR (Draft Assessment Reports) applie	cation tool and related files?		on notification of stu	idion at	
I have submitted an application for evaluation by EFSA. Home my application?	w can I check the status of	f	on notification of stu alogue of services for		16

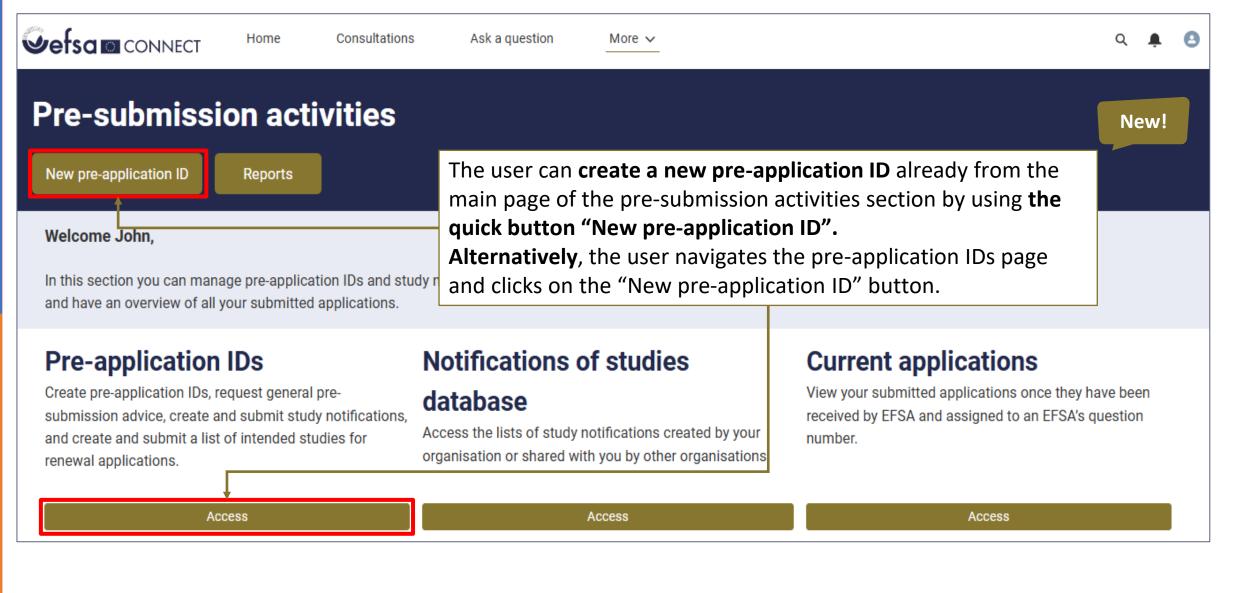
New!

## **Pre-application ID**

**#Connect.EFSA** 



New!



Home     Consultations     Ask a question     More ~     New!	٩	<b>≜ 0</b>
Pre-application IDTo create a new pre-application ID the user selects the New pre-Application ID button.		
New Pre-application ID		
Pre-submission activities / Pre-application ID From every page, users can identify where they are within the portal through this bar.		
In this page you can see the details of your pre-application ID, its related records and perform the following actions: • Create a pre-application ID to link all your pre-submission activities in support of your future application • Access and review all the pre-submission advice, i.e requests for general pre-submission advice and pre-submission advice on renewal • Access and review all intended studies • Access and review all lists of intended studies for renewal applications • Access and review the components section		
Pre-application ID Pre-submission advice Intended studies List of Intended Studies Components		
My Pre-Application IDs	Q Search this list	
Request <sup>↑</sup> ∨       ID       ∨       Food D       ∨       Authorisati ∨       Contact N ∨       Created D ∨		
1       test bu2       EFSA-ID-2023       Feed Addi       Application fo       Feed Additives       Carl Washing       23/08/2023       Image: Carl Washing         2       Test GPSA re       EFSA-ID-2023       Novel Foo       New Novel Fo       Novel Food A       Carl Washing       31/03/2023       Image: Carl Washing         2       Test GPSA re       EFSA-ID-2023       Novel Foo       New Novel Foo       Novel Food A       Carl Washing       31/03/2023       Image: Carl Washing         Use this dropdown menu to       Image: Carl Washing       State Carl Washing       State Carl Washing       State Carl Washing       State Carl Washing		
filter the results of a search.		19

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**Step 1** – The user indicates the information required to create a new pre-application ID, such as the business operator name and the subject of the application.

	Pre-submission activities / Pre-application ID / New Pre-application ID
	New pre-application ID
The user can fill this field with: Its own organisation name (business operators)	* Required Information
The name of the business operator for which the <b>third</b> <b>party/consultant</b> is creating the pre-application ID "On behalf of".	*Business Operator   Search Accounts   *Food Domain   *Food Domain   •   -None-   Authorisation Type   -None-   Application Type   -None-
<ul> <li>* this sign means that the field is mandatory</li> <li>this icon displays the help text for that field.</li> </ul>	*Subject Of The Application     Note     Save

If a **business operator** or a **third party/consultant** tries to create a pre-application ID for another organisation, the system returns the following **error message**, unless a relationship between the two organisation has been previously established.

Review the errors on this page.	
*Request Name	
Paid Test 123	
*Business Operator	
Business & Business	×
It is not allowed to choose this Business Operator. Please review Organization Relationships and try again.	
* Food Domain	
Administrative and Technical Support	•
Authorisation Type	
None	•
Application Type	
None	•
*Subject Of The Application 🕕	
subject	
Note (1)	
	//

Look at the <u>Account Relationship</u> <u>section</u> to understand how to establish a relationship "On behalf of" and enable an organisation to work on behalf of the user's organisation.

**Step 2** - With a given combination of **Food Domain** and **Application Type**, the user can create a pre-application ID to link all presubmission activities supporting a new application or a renewal application.

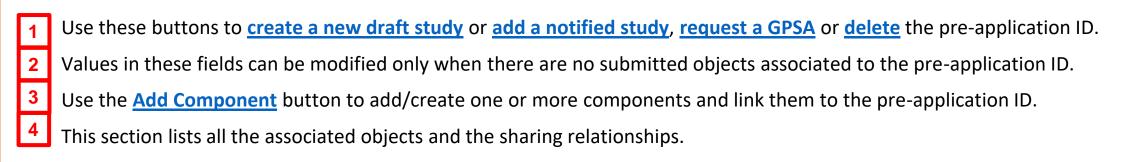
New Pre-App	lication ID		
*Request Name			
New Application for XYZ			
Business Operator			
ABC Company		۹	
Food Domain 🕚			
None		•	
Authorisation Type			
None		•	
pplication Type			
None		•	
Subject Of The Application 🕚			
Subject of the application for XYZ			
		//	
lote ()			
		13	
Save			ed fields are filled in, the <b>/e</b> button to proceed.

#### 3.1.1 Pre-application ID - Applications

New Pre-Application II	
*Request Name	
New Application for of XYZ	
*Business Operator	
ABC Company Spa	×
*Food Domain 🚯	
Feed Additives	In this case, the user creates a
*Authorisation Type	pre-application ID to link pre-
Feed Additives	
*Application Type	submission activities supportin
Application for authorisation of a new feed additive (Article 4(1) of Regulation (EC) No 1831/2003)	an application.
*Subject Of The Application 🚯	
Subject of the Application for XYZ	
Note 🚯	
Save	Once all the required fields are filled in, the
	user selects the <b>Save</b> button to proceed.

#### 3.1.1 Pre-application ID - Applications

Pre-Application ID New application for JPQ ID EFSA-ID-2024-000949 Details History	1 Edit New Study Add Studies Ask GPSA Share Wit Delete Printable	<ul> <li>Use the Add Studies button to add notified and or co-notified studies</li> <li>Use New List button to create a List of Intended Studies for renewal (only for renewal applications)</li> <li>Add additional parties to this Pre-Application ID using the Share</li> </ul>
Under each <b>tab</b> the user can see different information regarding the pre-application ID.	ID EFSA-ID-2024-000949 Contact Name Betty Cook	<ul> <li>With button</li> <li>Use the Add Component button to add one or more components to this Pre-Application ID</li> <li>Request a General Pre-Submission Advice by using the Ask GPSA button</li> <li>Use the Delete button to delete your Pre-Application ID (certain conditions apply)</li> </ul>
New application for JPQ	Novel Foods Authorisation Type Novel Food Application Application Type New Novel Food	Add Component  Add Component  Subject of the Application: Components (0)
✓ Creation Details Created Date	Created By	Study Notification (0)



#### 3.1.2 Pre-application ID - Renewal applications

New Pre-Application ID	Suggested video tutorial: pre-application
*Request Name	ID and list of intended studies.
Application for renewal of XYZ	
*Business Operator	
ABC Company Spa	X
*Food Domain 🚯	In this case, the user creates <b>a pre</b> -
Feed Additives	<ul> <li>application ID to link pre-submission</li> </ul>
*Authorisation Type	activities supporting a renewal
Feed Additives	application.
*Application Type	
Application for authorisation of a new use and/or modification and/or renewal of an already authorised feed additive (Articles 4(1), 13(3),	14 of Regul <del>x</del> .
*Subject Of The Application 1	
Subject of the Application for XYZ	The system allows the creation and submission of a list of intended
Note (1)	studies.
Save Once all the require are filled in, the use the <b>Confirm</b> buttor	er selects
proceed.	25

#### 3.1.2 Pre-application ID - Renewal applications

Pre-Application ID Renewal application for XYZ U EFSA-ID-2022-000646 Details History Request Name Renewal application for XYZ Business Operator ABC Company Spa	Share With	<ul> <li>Pre-Application Operations</li> <li>Use the New Study button to create new Study records</li> <li>Use the Add Studies button to add notified and or co-notified studies</li> <li>Use New List button to create a List of Intended Studies for renewal (only for renewal applications)</li> <li>Add additional parties to this Pre-Application ID using the Share With button</li> <li>Use the Add Component button to add one or more components to this Pre-Application ID</li> <li>Request a General Pre-Submission Advice by using the Ask GPSA button</li> <li>Use the Delete button to delete your Pre-Application ID (certain conditions apply)</li> </ul>
Details  Subject Of The Application  Subject of the application for XYZ  Former Application ID	2 Food Domain Feed Additives Authorisation Type	Add Component
0000001 Note	Feed Additives Application Type Application for authorisation of a new use and/or modification and/or renewal of an already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC) No 1831/2003 respectively)	List of Intended Studies (0)
		Pre-Submission Advice (0)  Share With (0)

Use these buttons to create a new draft study or add a notified study, request a GPSA, create and submit a list of intended studies or delete the pre-application ID.

Values in these fields can be modified only when there are not submitted objects associated to the pre-application ID.

Use the <u>Add Component</u> button to add/create one or more components and link them to the pre-application ID.

This section lists all the associated objects and the sharing relationships.

3.2 Create a new study	The user selects <b>New Study</b> and fill in the fields, then clicks <b>Next</b> to create a new	New Study	
New Study	draft study record and link it to this pre-application ID.	A new Study has been created in Draft status. You can access by clicking on the button below.	
To create a new study, fill out the mandatory fields (marked with a red asterisk). Please note that you are only creating a <b>draft version</b> of the study and will still be able to edit it later. * Study Title Complete this field. Study Title - English Name		Go to New Study To return to your Pre-Application ID, simply click on Next. Next	
*Business Operator () Luckystones_test ×	The study created appears in the	U Study Notification (4)	
Laboratory 0 Search Accounts Q	Study Notification	Study Title EFSA Study Iden Status Study Withdrawn	
Study Internal Reference ID (may 250 characters)	section available in	Test Share wit EFSA-2022-0000 Draft	
	the page of the pre-	Test Share wit EFSA-2022-0000 Draft	
Next	application ID.		

The user must indicate the business operator carrying out or commissioning the study. By default, it is the same user organisation as indicated in the pre-application ID. When creating the notification (and only at that stage), it is possible to edit the "Business Operator" field and indicate the actual business operator for that specific study notification. To do so, this entity should establish a relationship "on behalf of" with the third party/consultant (see <u>Create an account relationship</u>).

The user can also indicate the laboratory commissioned to conduct the study. This information can be revised also at a later stage.

#### 3.3 Add a study to the pre-application ID

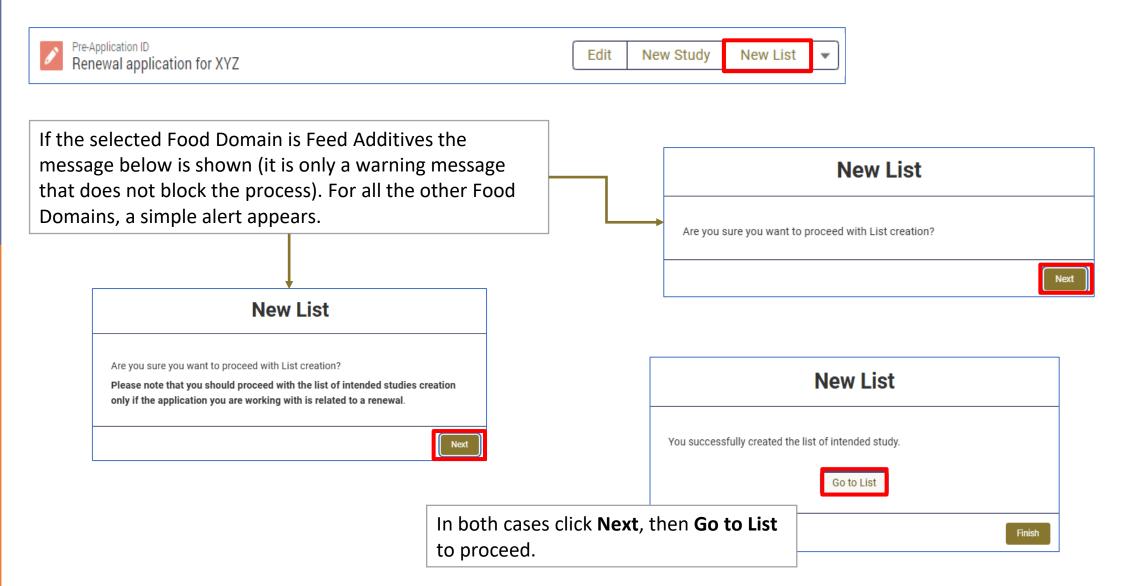
#### Add Studies

Click on **Add Studies** and use the search bar to find a study record. It is possible to select one or more study records the user would like to add to the pre-application ID. To continue click on **Next.** 

Search Studies          Selected Studies         EFSA-2023-00001617 x		Add Studies to Pre-Ap	olication ID		<b>Only notified and co-notified</b> <b>studies</b> can be added to the pre- application ID.
■ Study Number         ■ EFSA-2024-00001762         ☑ EFSA-2023-00001617         ☑ EFSA-2023-00001593         □ EFSA-2023-00001593	<ul> <li>✓ Name</li> <li>Study Title 98955191</li> <li>Study Title 78372026</li> <li>Study Title 24254589</li> <li>Study Title 89219473</li> </ul>	Status       Notified       Co-Notified       Co-Notified	<ul> <li>✓ Food Domain</li> <li>Feed Additives</li> <li>Feed Additives</li> <li>Animal Health</li> <li>Animal Health</li> </ul>	<ul> <li>✓ Created Date</li> <li>8-Feb-2024</li> <li>20-Mar-2023</li> <li>20-Mar-2023</li> <li>20-Mar-2023</li> </ul>	Added studies appear in the <b>Study Notification</b> section available in the page of the pre-application ID.
Next					Study Notification (3)         Study Title       EFSA Study Iden       Status       Study Withdrawn         TR_test2_Stud       EFSA-2022-0000       Notified
		Add to			Study 123         EFSA-2022-0000         Notified            Study to co-not         EFSA-2022-0000         Co-Notified   Study to co-not         EFSA-2022-0000         Co-Notified
	Selected Studies: 3		se		View Al

#### 3.4 Create a list of intended studies for renewal

From the page of a pre-application ID supporting a renewal application the user can create a new list of intended studies by clicking on New List.



#### 3.4 Create a list of intended studies for renewal

Pre-submission activities / Pre-application ID / List of intended studies detail page Upon creation, the status of the list of intended studies is set as Draft. Closed	
List of Intended Studies       New Intended Study       Submit List       Delet <ul> <li>List of Intended Studies for Renewal Operations</li> <li>You have saved this record as a draft. You can perform the following actions:</li> <li>Use the New Intended Studies for Renewal of CPT</li> <li>Use the New Intended Studies for Renewal Operations</li> <li>Use the New Intended Studies for Renewal Operations</li> <li>Vou have saved this record as a draft. You can perform the following actions:</li> <li>Use the New Intended Studies for Renewal is complete, click on the Submit List button</li> <li>You can edit all the records of the intended studies present in your list or intended studies will be excluded from the list of intended studies your list of intended studies or submission</li> <li>Use the Delete button to delete your list of intended studies</li> <li>Under each tab the user can see different information regarding the list of intended studies.</li> </ul> <ul> <li>You may use the Mass Conversion button to select the intended studies to convert into notifiable draft studies</li> <li>You may use the Mass Conversion button to select the intended studies to convert into notifiable draft studies</li> </ul>	In this box, the actions available to the user on the list of intended studies are described.
List Details Mass Conversion I Intended Studies (0)	
Public Consultation (0) Here the user finds the objects associated to the list of intended studies. Pre-Submission Advice on Renewal (0)	30

#### 3.4.1 Create an intended study

Users can create new intended studies that will be part of the list according to the provisions of Article 32c(1) of the General Food Law and Article 12 of the EFSA Practical Arrangements on pre-submission phase and public consultations.

	New Intended Study	
New Intended Study Submit List Delete 🔻	Please fill in the following information to create a new intended study Study Title  Study Title	
The New Intended Study form must be completed indicating all the	Complete this field. Study Title (English Name)	Upon creation, the intended study is shown in the <b>Intended</b> Studies section of the list.
mandatory information. Then the user clicks <b>Next</b> .	Study Scope         Type the name of the Study Type and click         'Enter' or 'Search all result for' to see all         results for your search. If you want to see all         existing Study Types, type 'All' and click         Enter.	Click on the study title to access it.
If needed, it is possible to <b>edit</b> the information of the new intended study in a second moment before the	* Study Type Search undefined Q  * Test Item XYZ	Intended Studies (1) 1 item • Updated a few seconds ago
submission of the list.	Study Desing         Type the name of the Study Guideline and click 'Enter' or 'Search all result for' to see all results for your search. If you want to see all existing Study Guidelines, type 'All' and click Enter.	Study Title ✓ 1 Intended study 1
Suggested read: <u>Question and Answer on</u> the EFSA Practical arrangements, section Intended applications for renewal.	Study Guideline Search undefined Next	31

#### 3.4.1 Create an intended study

The form for the intended study allows to indicate a study title up to 300 characters long and to search more easily among values of Study Type and Study Guidelines and select the most relevant.

subject       This field can be used to search and select a specific Study Type or Guidelines. Click on the below message "Show All Results for" to see the search results. Type "All" and press Enter to see the full list.         Study Sope Type the name of the Study Type and click Enter to Search all results for "Tox"       Study Desing Type the name of the Study Guideline and click Enter or Search all results for" to see the search results. Type "All" and press Enter to see the full list.         Study Desing Type the name of the Study Type and click Enter to Search all results for "Tox"       Study Duideline and click Enter or Search all results for" to see all results for your want to see all results for your search (Type want to see all results for "Tox"         Study Guidelines for "Tox"       Study Type Subort Type Shin Initiation Corona. all existing Study Guidelines 501 (Metabolism in	Please fill in the following information to create Study Title * Study Title Complete this field.	a new intended study	Up to 300 characters	s long.	
Type the name of the Study Type and click   Enter   *study Type   *study Type   *study Type   *study Type   *study Type   *study Type   *study Suideline   *study Type   *study Suideline   *study Type   *study Suideline   *study Type   *study Type   *study Type   *study Suideline   *study Type   *study Suideline   *study Type   *study Skin Ensitisation   *study Types   *study Type   *study Type   *study Type   *study Type   *study Skin Ensitisation   *study Type NAME   *study Skin Sensitisation   *study Study Guidelines, type All and click Enter   *study Study Guidelines, type All and click En	Study Title (English Name)		Click on the	e below message "Show All Result	
Short-term toxicity to aquatic inverte   Short-term toxicity to fish   Acute Toxicity: Skin Irritation/Corrosi   Acute Toxicity: Skin Irritation/Corrosi   Acute Toxicity: Skin Sensitisation   all existing Study Guidelines, type 'All' and click Enter.   Study Type NAME Study Cuideline 503 (Metabolism in OECD Guideline 417 (Toxicokinetics) OECD Guideline 417 (Toxicokinetics) OECD Guideline 417 (Toxicokinetics) OECD Guideline 451 (Carcinogenicity Studies)	Type the name of the Study Type and click 'Enter' or 'Search all result for' to see all results for your search. If you want to see all existing Study Types, type 'All' and click Enter. * Study Type Tox Q			Type the name of the Study Guideline and click 'Enter' or 'Search all result for' to see all results for your search. If you want to see all existing Study Guidelines, type 'All' and click Enter. Study Guideline	
	<ul> <li>Short-term toxicity to aquatic inverte</li> <li>Short-term toxicity to fish</li> <li>Acute Toxicity: Skin Irritation/Corrosi</li> <li>Acute Toxicity: Skin Sensitisation</li> <li>all existing Study Guidelines, type 'All' and</li> </ul>	tox Study Types 50+ Results • Sorted by Relevance STUDY TYPE NAME	Q	<ul> <li>OECD Guideline 492 (Reconstructed</li> <li>OECD Guideline 501 (Metabolism in</li> <li>OECD Guideline 502 (Metabolism in</li> <li>OECD Guideline 503 (Metabolism in</li> </ul>	50+ Results • Sorted by Relevance STUDY GUIDELINE NAME OECD Guideline 301 E (Ready biodegradability: Modified OECD Screening Test) OECD Guideline 417 (Toxicokinetics)

#### 3.4.1 Create an intended study

In the intended study page, the user can revise the information provided and perform further actions on the intended study record.

QWERTY_1	Edit Convert	Delete 🔻 🖛	
Intended Study ID Converted List of Intended studies INTS-000125 LIST-07-2022-0059   Study Title			Intended studies can be edited or deleted only if the status of the list of intended studies is equal to "Draft" or "Clarification Needed".
Study Title			
QWERTY_1		_	Intended studies can be converted into
Study Title (English Name) QWERTY_1			notifiable draft studies (for notifications
✓ Study Scope			according to Article 32b of the General
Study Type Acidity/Alkalinity And Ph Value	Study Objective QWERTY_1		Food Law) only if the status of the list of intended studies is "Draft", "Clarification
Test Item QWERTY			Needed" or "Closed".
✓ Study Design			
Study Guideline ISO 10708 Water quality - Evaluation in an aqueous medium of the ultimate aerobic biodegradability of organic compounds - Determination of biochemical oxygen demand in a two- phase closed bottle test	Study Design Description QWERTY_1		
Study Detailed Protocol			
QWERTY_1			
<ul> <li>Other Information</li> </ul>			
List of Intended studies <u>LIST-07-2022-0059</u>			

#### 3.4.2 Convert single intended studies

Single intended studies that are going to be commissioned can be converted into notifiable draft studies (for notifications according to Article 32b of the General Food Law) only when the status of the list is "Draft", "Clarification Needed" or "Closed".

Edit Convert Delete	Convert	
Edit       Convert       Delete         The user clicks       Convert from the intended study record page. If the status of the list is "Draft" or "Clarification	Do you want to proceed with Study Conversion? If you proceed with the conversion, the intended study record will be deleted by the system from the list of intended studies and a draft notification of study will be created and linked to the Pre-Application ID	Convert
<b>Needed"</b> , a warning message appears, clarifying that the converted notifiable study will not be subject to public consultation (as such, study can no longer be considered as 'intended').		The Intended study has been successfully converted into a study notification. Go to Study Notification

If the user decides to **convert an intended study when the status of the list is "Closed"** the original copy of the intended study will remain in the Intended Studies section of the list as record history and **marked as converted**.

Intended Studies (4) 4 items • Updated a few seconds ago								
	Study Title 🗸	Study Type 🗸 🗸	Study Objective 🗸 🗸	Study Guideline 🗸 Test Item 🗸	Study Design Descr 🗸	Study Detailed Prot 🗸	Converted 🗸 🗸	
1	test uat 6	Acute Toxicity To Bees	test uat 6	ISO 10253 (Water qual	test uat 6	test uat 6	<b>*</b>	•
 2	test uat 5	Pre-Clinical Data: In Vit	test uat 5	OECD Guideline 433 dr	test uat 5	test uat 5		•
3	test uat 4	Repeated dose toxicity	test uat 4	OECD Guideline 438 (I	test uat 4	test uat 4	<b>~</b>	•
4	test uat 7	Acute toxicity: other ro	test uat 7	Other	test uat 7	test uat 7	×	•

#### 3.4.2 Convert single intended studies

Following the conversion, **an intended study is transformed into a draft notifiable study** (for notifications according to Article 32b of the General Food Law). The **draft study record** is moved into the "Study Notification" section of the related pre-application ID. The user can access the draft study and edit it before the notification.

Pre-Application ID Renewal application TJP		Edit New Study New List 💌	<ul> <li>Pre-Application Operations</li> <li>Use the New Study button to create new Study record</li> <li>Use the Add Studies button to add notified and or cord</li> <li>Use New List button to create a List of Intended Sturfor renewal applications)</li> <li>Add additional parties to this Pre-Application ID using button</li> <li>Use the Add Component button to add one or more</li> </ul>	o-notified studies Idies for renewal (only ng the <b>Share With</b>			
Details     History       Request Name     Renewal application TJP       Business Operator     ABC Company Spa		ID EFSA-ID-2023-000914 Contact Name	<ul> <li>Ose the Add component button to add one of more components to this Pre-Application ID</li> <li>Request a General Pre-Submission Advice by using the Ask GPSA button</li> <li>Use the Delete button to delete your Pre-Application ID (certain conditions apply)</li> </ul>				
✓ Details Subject Of The Application Renewal application TJP		Food Domain Feed Additives	Add Component				
Former Application ID FSA-Q-XXXXXXX Note	/	Authorisation Type Feed Additives Application Type	Subject of the Application: Components (0)				
		Application rype Application for authorisation of a new use and/or modification and/or renewal of an already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC) No 1831/2003 respectively)	U Study Notification (1) Study Title (S EFSA Study Ide Status S	Study Withdrawn			
			Study TJP EFSA-2023-000 Draft	View All			
			List of Intended Studies (1)				
			List of Intended studies Name	Status			
			LIST-09-2023-0513	Draft			

#### 3.4.3 Submit a list of intended studies

When the list of intended studies is ready, the user can submit it by using the function button **Submit List** and then **Next.** 

List of Intended Studies LIST-06-2024-0523	New Intended Study	Submit List	Delete	•			
Business OperatorDate SubmittedPre-Application IDABC CompanyRenewal of CPT							
List Details							
	Mass Conversion	]					
Intended Studies (3) 3 items • Updated a few seconds ago							
Study Title V Study Type V Study Object V	Study Guideline	~	<ul> <li>Test Item</li> </ul>	~	Study Desig 🗸	✓ Study Detail ✓ Converted	~
1 Intended study 2 Acute Contact Toxicity Intended study 2	ISO 10707 Water quality - Evaluation in an a	aqueous medium	Subject of the a	pplication for XYZ	Intended study 2	Intended study 2	
2 Intended study 3 Active Substance Bioconcentration In Prey Of Birds And Ma Intended study 3	ISO 10707 Water quality - Evaluation in an a	aqueous medium	Subject of the a	pplication for XYZ	Intended study 3	Intended study 3	
3 Intended study 4 Acute Contact Toxicity Intended study 4	ISO 10253 (Water quality - Marine Algal Gro	owth			Submit L	ist	
		To subr	nit this record to	) EFSA, please	click on Next.		
							Next

### 3.4.3.1 Submit a list of intended studies - Pesticides

When the pre-application ID for the renewal is related to the Food Domain **Pesticides (AIR)**, the user **must** select the **Member State Country** corresponding to the relevant Competent Authority in the rapporteur Member State/Co-rapporteur Member State for that renewal application.

Submit List		Submit List
Please add the relevant Member State Country that needs to be invo advice for Renewal. Click on Next to continue. *Member State Country None	Ived in this pre-submission	You have successfully added a Member State to this pre-submission advice for renewal.         Would you like to add a Co-Member State?        None         NB: Please note that if you do not add a Co-Member State now you cannot do it at a later stage.         User can at this stage select the Country of additional Member State (e.g. the co-RMS)
	You have <b>successfully submitted</b> your You can now return to your record and	Studies to EFSA.

### 3.4.3.2 Submit a list of intended studies - GMO Directive 2001/18/EC

When the pre-application ID for the renewal is related to GMO Directive 2001/18/EC, the user is asked to select the Member State Country corresponding to the relevant Competent Authority in the Member State for that renewal notification.

Submit List		
Please add the <b>Member State</b> that needs to be involved in this Pre-Application ID for Renewal. First,	Submit List	
select the country to which the Member State belongs, then click on Next.  Member State Country  Italy  Proceed without adding Member State  Next	You have successfully added a Member State to this pre-submission advi Would you like to add a Co-Member State? None NB: Please note that if you do not add a Co-Member State now you canno	;
If the Member State is not known, the user can tick the box 'Proceed without adding Member State'.	The user can select an additional Member State, if needed, or continue without adding it.	Next
Further information might be requested by EFSA during the Administrative Check.	Submit List	
	You have <b>successfully submitted</b> your <i>List of Intended Studies</i> to EFSA. You can now return to your record and <b>refresh the page</b> to view your changes.	
Suggested read: <u>Commission Notice on the submission of</u> notifications under Articles 13 and 17 of Directive 2001/18/EC	Finish	

### 3.4.3 Submit a list of intended studies

### Upon the submission of the list of intended studies its status turns into **Submitted**.

Draft Clarification Needed Submitt	Administrative Check Completed Undergoing Public Cons	sultation In Progress Closed
List of Intended Studies LIST-07-2022-0049 Business Operator Date Submitted Pre-Application ID ABC Company Spa 12/07/2022 Renewal application for XYZ	New Intended Study Submit List Delete 🔻	List Of Intended Studies for Renewal Operations You have successfully submitted the List of Intended Studies for Renewal to EFSA. You might be asked to provide clarifications. You will be alerted about any developments via email. When the status is "Submitted" it is not possible to perform further actions on the List of Intended Studies, such as add further intended studies, notify the records present in the list or delete the entire list.
List Details List of Intended studies Id LIST-06-2023-0505 Business Operator ABC Company Spa	Contact Name Pre-Application ID PAID for the renewal of the user guide R8	Upon submission and after each step, the record information reported in the <b>Details tab</b> is automatically updated.
<ul> <li>✓ List of intended studies submission</li> <li>Date Submitted</li> <li>19/06/2023</li> <li>Status</li> <li>Submitted</li> </ul>	EFSA Comment Note Closed Reason	In the <b>Details tab</b> the user finds also the selected Member State(s) information, if required by the type of application for renewal.
Member State Information (Pesticides and GMO Directive 2001/18/EC only)      Member State Country     Austria      Member State Organisation     Österreichische Agentur für Gesundheit und Ernährungssicherheit GmbH	Co-Member State Country Italy Co-Member State Organisation National Authority	39

## 3.4.4 List of intended studies - Clarification Needed

During the administrative check performed by EFSA, there might be the need for clarification on the information submitted with the list. EFSA will set the status of the list to **Clarification Needed**.

To reply to the clarification request, users can **edit** the pre-application ID and the list record. It is also possible to **add, delete or convert** intended studies into notifiable draft studies by using the specific buttons.

Draft Clarific	cation Needed Submit	tted Administrative Check Completed	Undergoing Public Consultation	In Progress	Closed
List of Intended Studies LIST-07-2022-0049         Business Operator       Date Submitted         ABC Company Spa       12/07/2022	Pre-Application ID Renewal application for XYZ	New Intended Study Submit Lis	E hh	renewal" • You can <b>edit all the records</b> of the int	Intended Studies for Renewal. You s based on the feedback in the EFSA the <b>Notes field</b> to create a new intended study. They section "List of Intended Studies for rended studies present in your list or ill be excluded from the list of intended ndments requested by EFSA you have it the list list of intended studies (certain

Suggested reads: Article 13 of the <u>EFSA Practical Arrangements on pre-submission phase and public consultations</u> Questions 22.B and 24.B of the <u>EFSA Q&A on Practical Arrangements</u>.

### 3.4.4 List of intended studies - Clarification Needed

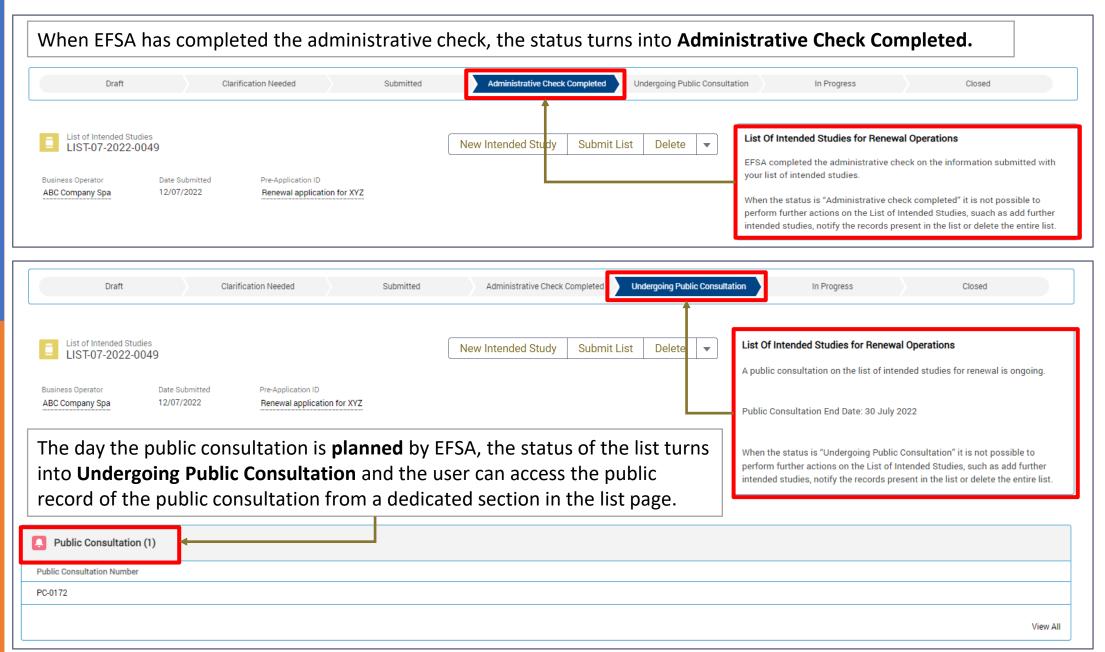
Under the **Details tab** of the list the user finds the section **EFSA comments** containing the request(s) of clarification. A reply can be submitted by the user using the **Note section**.

After the required amendments have been done and the list is ready, the user must **Submit** the **list** again.

List of Intended Studies LIST-07-2022-004			New Intended Study	Submit List	Delete 🔻	
usiness Operator 3C Company	Date Submitted 12/07/2022	Pre-Application ID Renewal application f	or XYZ			
ist <b>Details</b> st of Intended studies Nam ST-07-2022-0049	ne					Contact Name
usiness Operator BC Company Spa						Pre-Application ID Renewal application for XYZ
List of intended stu	udies submission					
ate Submitted 2/07/2022						EFSA Comment Request from EFSA to amend the information
atus arification Needed						Note
						 Closed Reason
status turns a	gain into <b>Subr</b>	nitted.				

Draft	Clarification Needed	Submitted	Administrative Check Completed	Undergoing Public Consultation	In Progress	Closed

### 3.4.5 List of intended studies – Administrative Check Completed and Public Consultation



42

### 3.4.6 List of intended studies – In Progress

After the end of the public consultation the status of the list turns into **In Progress**. This means that EFSA is considering the comments received during the public consultation and will provide the user with the renewal pre-submission advice in 30 working days.

Draft	Clarification Needed	Submitted	Administrative Check Completed Undergoing Public Consultation In Progress Closed
	te Submitted Pre-Applicati /07/2022 <mark>Renewal ap</mark>	on ID Dication for XYZ	New Intended Study       Submit List       Delete       Isst of Intended Studies for Renewal Operations         The renewal pre-submission advice related to the submitted list of intended studies is in progress. A written or verbal (meeting) advice will be provided to you within 30 business days. You will be alerted via email.         When the status is "In Progress" it is not possible to perform further actions on the List of Intended Studies, such as add further intended studies, notify the records present in the list or delete the entire list.



Note: when the status of the List is "Submitted", "Administrative Check Completed", "Undergoing Public Consultation" or "In Progress" it is not possible to perform further actions on the List. However, it is always possible to create and notify studies or add already notified studies by using the function buttons (i.e. New Study, Add studies) in the related pre-application ID page.

### 3.4.7 List of intended studies – Closed

When the renewal pre-submission advice is sent to the potential applicant, the status of the list turns into **Closed**.

Draft	Clarification Needed	Submitted	Administrative Check Completed	Undergoing Public Consultati	ion In Progress	Closed
List of Intended S LIST-07-2022 Business Operator ABC Company Spa		tion for XYZ	New Intended Study Sub	omit List Delete 💌	List Of Intended Studies for Renewal O The process of the renewal pre-submission of intended studies is closed. To comply with provisions of Article 32b o you are requested to notify all the studies future application for renewal, before their • Use the Convert button to transform the notifiable draft study. • You may use the Mass Conversion but convert into notifiable draft studies	n advice related to the submitted list f the General Food Law Regulation in this list that will support your starting date. re intended study record in a
	When the renewal pre user can access the ad <b>request number</b> in the	vice and its summar	y <b>by clicking on the</b>	2		
List		Mass Co	onversion			
Pre-Submission Ad	vice on Renewal (1)	Subject				
00001817		PSA on Renewal for LIST-07-2022-0049			View All	44

### 3.5 Renewal pre-submission advice and summary of the advice

PSA on Renewal for LIST-07-2022-0049	Printable View	Pre-Submission Advice Guidance Your Pre-Submission Advice request is now closed and can no longer be modified.
Status Request Number Closed 00001817		Open Activities (0)
Details History		
✓ Request Information		Request Team (0)
Request Number 00001817	Account Name ABC Company Spa Contact Name	Member Name v Team Role Name v
✓ PSA Details		
Subject PSA on Renewal for LIST-07-2022-0049	Food Domain Feed Additives	
Old Application ID 0000001	Authorisation Type Feed Additives	
List of Intended Studies LIST-07-2022-0049	Application Type Application for authorisation of a new use and/or modification and/or renewal of an already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC) No 1831/2003 respectively)	The advice and its summary can be found in the <b>PSA Submission</b>
	Test Item Subject of the application for XYZ	Outcome section.
✓ PSA Submission Outcome		L]
PSA Summary Test written advice	Written Advice Test written advice	

### 3.6 Mass conversion of intended studies

Intended studies that are going to be commissioned can be converted into draft notifiable studies (for notifications according to Article 32b of the General Food Law) when the status of the list is "Draft", "Clarification Needed" and "Closed". Users can use the Mass Conversion button from the List tab to select which studies need to be converted. The same rules of the conversion of single intended studies apply.

List Details						The user clicks or selection window		nversion and a dedicated
					Mass Conversion			
List Details								
Intended Studies					1			
Study Title	$\sim$	Study Type	<ul> <li>Study Ob</li> </ul>	jective 🗸	Study Guideline	Test Item 🗸	Study Design Descrip	tion 🗸 Study Detailed Protocol 🗸
Intended study 2		Acute Contact Toxicity	Intended	study 2	ISO 10707 Water quality - Evaluatio in an aqueous medium of the 'ulti- mate' aerobic biodegradability of or ganic compounds - Method by anal sis of biochemical oxygen demand (closed bottle test)	Subject of the application for XVZ	Intended study 2	Intended study 2
Intended study 3		Active Substance Bioconcentratio In Prey Of Birds And Mammals	n Intended	study 3	ISO 10707 Water quality - Evaluatio in an aqueous medium of the 'ulti- mate' aerobic biodegradability of or ganic compounds - Method by anal sis of biochemical oxygen demand (closed bottle test)	Subject of the application for YV7	Intended study 3	Intended study 3
Intended study 4		Acute Contact Toxicity	Intended	study 4	ISO 10253 (Water quality - Marine Algal Growth Inhibition Test with Skeletonema costatum and Phaeodactylum tricornutum)	Subject of the application for XYZ	Intended study 4	Intended study 4
Select	the	intended studie	s by tio	cking the bo	xes and then clic	k on <b>Convert</b> to co	ontinue.	Convert

### 3.6 Mass conversion of intended studies

ABC Company Spa > Details Subject of The Application O Subject of The Application for XYZ Former Application IDO 000001 Note O Note O Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation for a new use and/or modification and/or renewal of an Application for authorisation for a new use and/or modification and/or renewal of an Application for authorisation for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application for a new use and/or modification and/or renewal of an Application fo	Convert Once the users c	clicks on <b>Convert</b> , a message appears.	
Click again on Convert to continue or Cancel to go back.  Click again on Convert to continue or Cancel to go back.  Click again on Convert to continue or Cancel to go back.  Click again on Convert to continue or Cancel to go back.  Converted studies (in draft) can be found in the Study Notification section of the pre- application ID page. Click on View All for a complete view.  Converted studies (in draft) can be found in the Study Notification section of the pre- application ID page. Click on View All for a complete view.  Converted studies (in draft) can be found in the Study Notification section of the pre- application ID page. Click on View All for a complete view.  Converted studies (in draft) can be found in the Study Notification section of the pre- application ID page. Click on View All for a complete view.  Click on View II for a complete view.  Click		elected intended study a draft notification will be created and linked to the Pre-Applic	ication ID.
Renewal application for XYZ     io   EfSA-ID-2022-000646        etails   History           equest Name   enewal application for XYZ                    equest Name   enewal application for XYZ               enewal application for XYZ                       enewal application for XYZ            Converted studies (in draft) can be found in the Study     Notification section of the pre-    application for XYZ        Contact Name    Click on View All for a complete  view.      Output: The Application for XYZ   Peed Additives  Application Type  Application Type  Application for authorisation of a new use and/or modification and/or renewal of an and/or renewal of an application and/or renewal of an and/or		Click again on <b>Convert</b> to continue or <b>Car</b>	
Request Name       D         Request Name       D         Renewal application for XYZ       EFSA-ID-2022-000646         Subject Of The Application O       Contact Name         > Details       Food Domain O         Subject Of The Application for XYZ       Food Domain O         Subject Of The Application ID O       Authorisation Type         Food Domain O       Feed Additives         Authorisation Type       Application of a new use and/or modification and/or renewal of an         Note O       Application of a new use and/or modification and/or renewal of an	Renewal application for XYZ	Edit New Study New List 💌	be found in the <b>Study</b> <b>Notification</b> section of the pre-
ABC Company Spa > Details Subject Of The Application for XYZ Subject Of The Application for XYZ Feed Additives Authorisation Type Feed Additives Application Type Application Type Application Type Application Type Application Type Application of a new use and/or modification and/or renewal of an Application of a new use and/or modification and/or renewal of an Application of a new use and/or modification and/or renewal of an Application of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an Application for authorisation of a new use and/or modification and/or renewal of an	Request Name		
Subject of the application for XYZ       Feed Additives       Study Title       EFSA Study Iden       Study Withdrawn         000001       Authorisation Type       Feed Additives       Intended study 1       EFSA-2022-0000       Draft       Image: Comparison of the study 2         Note       Application for authorisation of a new use and/or modification and/or renewal of an       Intended study 2       EFSA-2022-0000       Draft       Image: Comparison of the study 2	Business Operator ABC Company Spa	Contact Name	view.
Authorisation Type       Study Title       EFSA Study Iden       Status       Study Withdrawn         0000001       Feed Additives       Intended study 1       EFSA-2022-0000       Draft       Image: Study Withdrawn         Note@       Application Type       Application of a new use and/or modification and/or renewal of an       Intended study 2       EFSA-2022-0000       Draft       Image: Study Withdrawn	Subject Of The Application	-	U Study Notification (3)
Application Type     Intended study 1     EFSA-2022-0000     Draft     Image: Comparison of a new use and/or modification and/or renewal of an other study 2       Mote()     Application for authorisation of a new use and/or modification and/or renewal of an other study 2     EFSA-2022-0000     Draft     Image: Comparison of a new use and/or modification and/or renewal of an other study 2	Former Application ID		
1831/2003 respectively)	Note	Application for authorisation of a new use and/or modification and/or renewal of an already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC) No	Intended study 2 EFSA-2022-0000 Draft

## 3.7 Delete a pre-application ID

Users can delete a pre-application ID only when there are no records associated, such as notified studies, list of intended studies or general pre-submission advice.

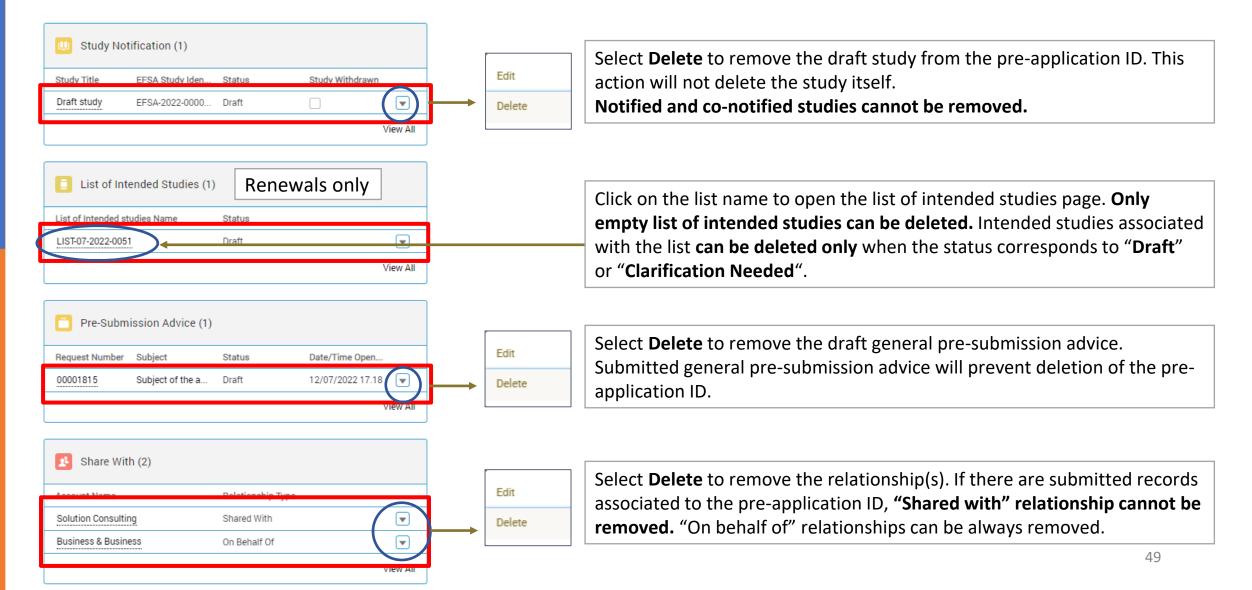
If the above conditions are not fulfilled, the system will return an **error message**.

U Study Notification (0)
 List of Intended Studies (0) Renewals only
Pre-Submission Advice (0)
Share With (0)

Pre-A Rene	pplication ID ewal application for XYZ	Edit	New Study	New List	•					
ID EFSA-ID-20	24-000950			Add Studies Share With Ask GPSA	3					
Details H	listory			Delete Printatile Vi				ore-applicat ction butto	ion ID page, c n <b>Delete.</b>	lick on
Request Name	ID				ew					
	Delete									
	Are you sure you wish to delete the Pre-Application-ID?		_						_	
	Ne	kt <		Jsers mus the deletic		lick o	n <b>Next</b>	to confirm		48

### 3.7.1 Delete a pre-application ID and/or remove relationships and draft objects

If a pre-application ID is associated with **draft objects**, such as **studies or general pre-submission advice** request(s), the user must first remove all the associations to be able to delete the pre-application ID record.



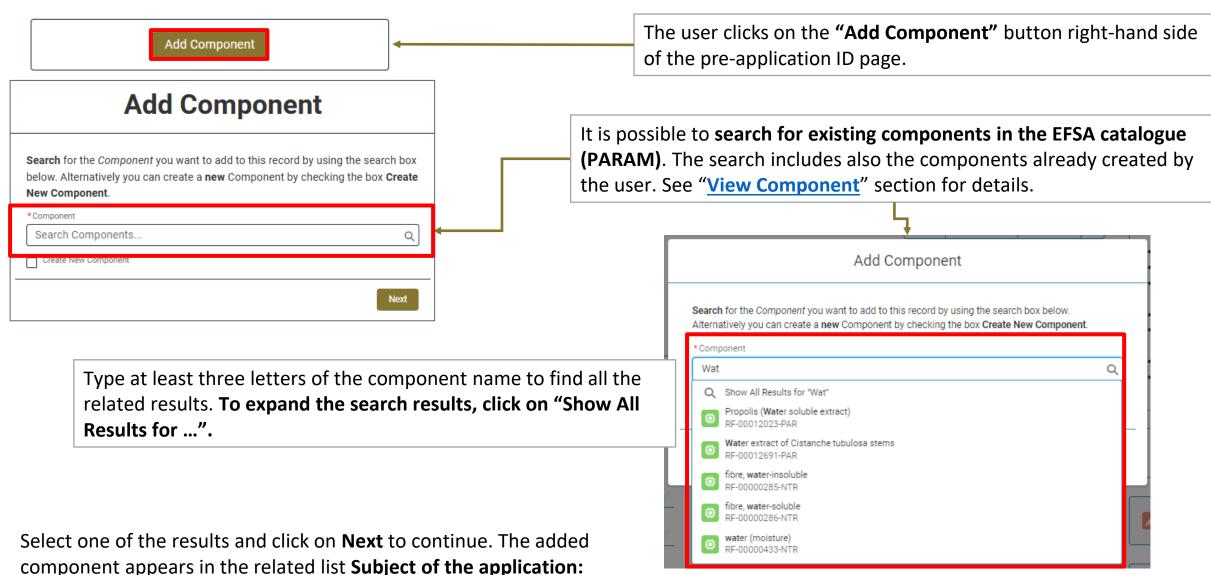
## Components

**#Connect.EFSA** 



## 3.8 Add a component

Component(s) can be added to a pre-application ID to give more information about the subject of the application.



**Components** in the pre-application ID page.

## 3.8.1 Create a new component

**If a component is not retrievable using the search function**, the user checks the box "Create New Component" in the "Add Component" pop-up window.

A	dd Component
	nt you want to add to this record by using the search box can create a <b>new</b> Component by checking the box <b>Create</b>
New Component. * Component	
	م
* Component	م]

Fill in the "Component Details" form with the corresponding information. The fields "Type of Term" and "Name" are mandatory. More details on the information required by a certain field are showed by passing over the 
 icons. Click **Next** to continue.

The newly created component appears in the related list **Subject of the application: Components** in the pre-application ID page.

nent	Add Component				
c <b>tion</b> , the user checks ent" pop-up window.	Search for the <i>Component</i> you want to add to this record by using the search box below. Alternatively you can create a <b>new</b> Component by checking the box <b>Create</b> <b>New Component</b> .				
	<ul> <li>Component Details</li> </ul>				
	*Type of Term  None *Name				
arch box ox <b>Create</b>					
٩	Common Names Other Names				
Next	CAS O				
nding	IUPAC 💿				
e mandatory.	InChi 💿				
	Additional Information				
ct of the	Next				

## 3.8.2 Related list "Subject of the Application: Components"

Users find the components associated to a preapplication ID in the related list **"Subject of the Application: Components"**. For easier identification of the listed components, additional fields (e.g. Name, Type of Term, Origin) are available.

Click on the name of the component to open the corresponding <u>details page</u>.

	Add Compor	nent		
Subject of	of the Application: Compo	onents (2)		
Name (short)	Type of Term	Origin		
Water		ParamT	erm	
RTY	Chemical elements	Manual		
				View All
	inting down arrow to Ec component from the lis			
of entries, to expand t	l list shows a limited nu users can click on "View the related list box and ciated components.	All"		

### 3.8.3 Note field and Other Components

The "Other Components" field was discontinued, the data previously contained, if any, is now available in the "Note" field. Users can modify such data or decide to <u>create a component</u> to be linked to the pre-application ID.

Pre-Application ID TEST PAID INTEGRATION TESTS			Edit	New Study	New List	-
ID EFSA-ID-2023-000899						
Details History						
Request Name TEST PAID INTEGRATION TESTS		ID EFSA-ID-2023-000899				
Business Operator		Contact Name				
This field can be used to indicate any additional in- formation you may want to include in the pre-appli- cation ID. Previously recorded information from the		Satya Nadella				
"Other Components" field, which has been discon- tinued, is displayed here as well.		Food Domain				
Note Note Other components	1	Animal Welfare Authorisation Type				-
		Application Type				

## 3.8.4 Delete link to components

The user can **always** remove Components from the pre-application ID. By performing this action, the user will delete only the link between the pre-application ID and the Component, **not the Component itself.** 

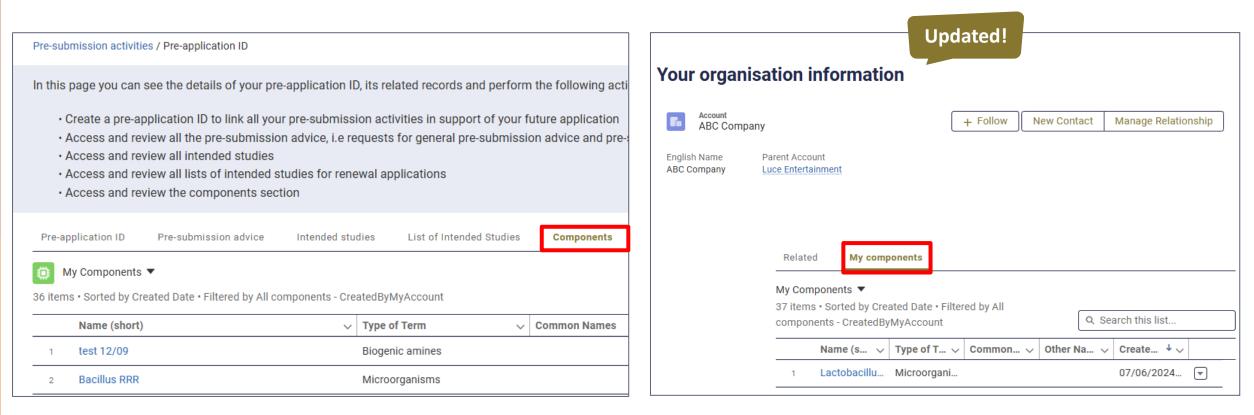
Subject of	the Application: Cor	mponents (2)			
Name (short)	Type of Term	Origin			
Bacillus RRR	Microorganisms	Manual	<b>_</b>		Delete Link te Component
Water		ParamTerm	Edit		Delete Link to Component
			Delete		Are you sure you want to delete this Link to Component?
				J	Cancel

As a result, **the Component is removed from the related list** "Subject of the Application: Components" on the preapplication ID page.

Delete

### 3.8.5 View Components

All Components created by the user are listed under the tab "**Components**" in the pre-application ID main page, and in the "My profile" page under "your organization information" section.



## 3.8.6 Component details page

The detail page of the component appears as in the image below. Information on the component can be added/modified directly from this page only for components created by the user.

Component Bacillus RRR				Printable View Delete
Term Code Term Status Term Valid From Submitted				
✓ Information			Component History	(1)
Name Bacillus RRR	Type of Term Microorganisms		Date Field	User Original Value New Value
Common Names	Other Names		12/09/202 Created.	. 💌
/		/		View All
CAS	IUPAC			
EC Number	Flavis Number		PAIDs with this con	nponent (1)
Molecular Formula	Smiles Notation		ID	Request Name
/		/	EFSA-ID-2023-000914	Renewal application TJP
Zoo Label	Level of Details	/		View All
InChi			U Studies with this co	omponent (1)
Name (short) Bacillus RRR			Ctudy	
			Study Study RRR	
✓ Additional Information				
Additional Information				View All

**Related lists of the component page:** inform the user about the history of the component record (e.g. creation, editing actions), and whether the component is associated to a pre-application ID or other study notifications.

### 3.8.7 Delete Components

From the detail page My Components the user can delete a component record by using the **Delete** function button.

	Delete	
Delete	Component can be deleted only when it's private (i.e. entry previously inserted by you) and not used in any other records.	This <b>error message</b> appears if the component is used in any other record (i.e. Pre-Application IDs, Studies records).
	Delete	To delete the component, the user must firstly <u>remove all the existing</u> links with the other records as
	Are you sure you wish to delete the Component?	explained in the previous slides.
	Next	

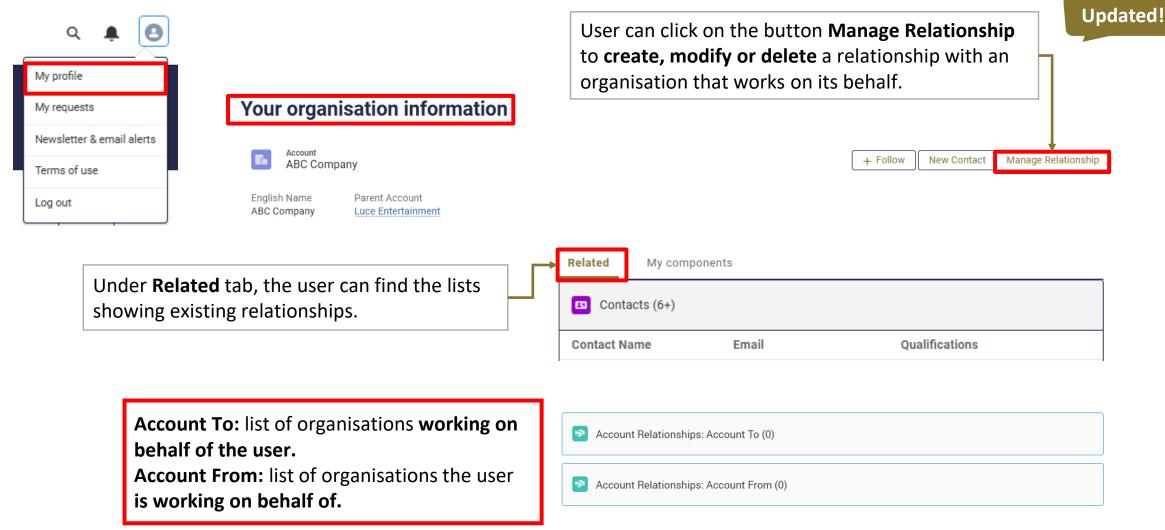
# Account relationships and sharing functions

**#Connect.EFSA** 



## 3.9 Account relationship(s)

When a **business operator** wants to commission a **third-party/consultant** to work on its behalf, a relationship "on behalf of" must be established at the account level from the **My profile** page under "**Your organization information**" section.

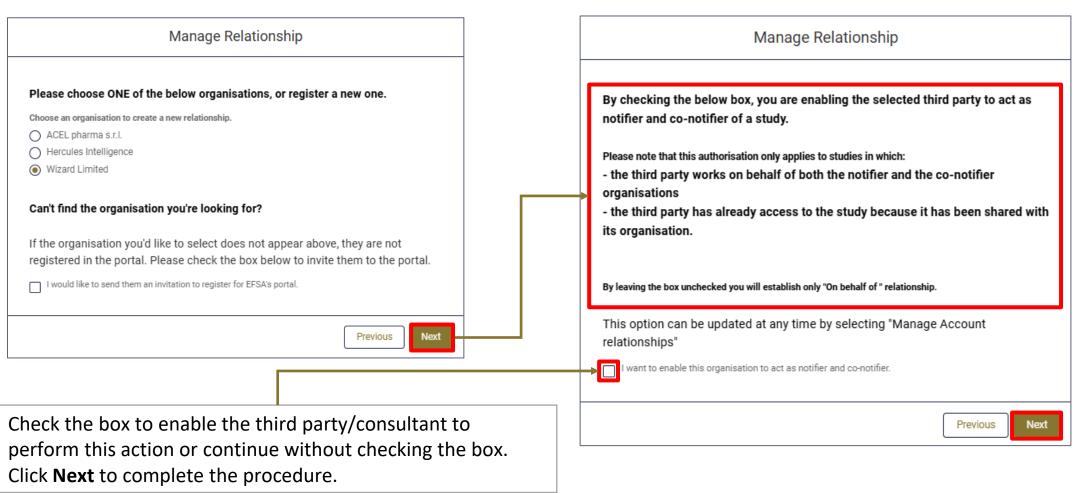


### 3.9.1 Create an account relationship

Manage Relationship		Manage Relationsh	iip
Manage Relationship         You can either establish a new relationship (or invite a third party to register in the portal), or update or delete a relationship that you have previously established.	The use	Select the country in which the third party resides. *Country Italy r selects the Country and ganisation to be added as	Then click on Next.
Please choose only one of the following options.         Create a new account relationship         Modify an existing account relationship         Delete an existing account relationship         Next	Consulta		
Select <b>Next</b> to continue with the guided system will give the user the possibility to <b>feature, see next slide.</b>		Can't find the organisation you're lookin If the organisation you'd like to select de registered in the portal. Please check th I would like to send them an invitation to register	bes not appear above, they are not e box below to invite them to the portal.

### 3.9.1 Create an account relationship

**OPTIONAL FEATURE** - During the creation of an account relationship, **business operators and laboratories can agree on enabling a selected third party/consultant to act as Notifier and Co-notifier**, at the same time, of one or more studies. It is possible to modify this choice at any time (see <u>Modify account relationship(s)</u> to know more details).



### 3.9.1 Create an account relationship

### Actors of the process:

- A business operator, e.g. "Business Operator"
- **A laboratory**, e.g. "Laboratory"
- A third party/consultant, e.g. "Consultant"

### Manage Relationship

By checking the below box, you are enabling the selected third party to act as notifier and co-notifier of a study.

Please note that this authorisation only applies to studies in which:

- the third party works on behalf of both the notifier and the co-notifier organisations

- the third party has already access to the study because it has been shared with its organisation.

### By leaving the box unchecked you will establish only "On behalf of " relationship.

This option can be updated at any time by selecting "Manage Account relationships"

I want to enable this organisation to act as notifier and co-notifier.

### Previous Next

**Scenario:** "Business Operator" commissions a study to "Laboratory". **The two parties decide to delegate to** "Consultant" part or the entire process of notification of studies.

### How it works:

- "Business Operator" and "Laboratory" create an account relationship with "Consultant", and both enable this organisation to act as notifier and co-notifier.
- 2. "Consultant" creates and notifies a new study record on behalf of "Business Operator".
- 3. "Consultant" co-notifies the study on behalf of "Laboratory".

The process works also if "Laboratory" starts the notification process.

## 3.9.2 Manage account relationship(s)

Created relationship will appear in the related list **Account Relationships: Account To** as shown below.

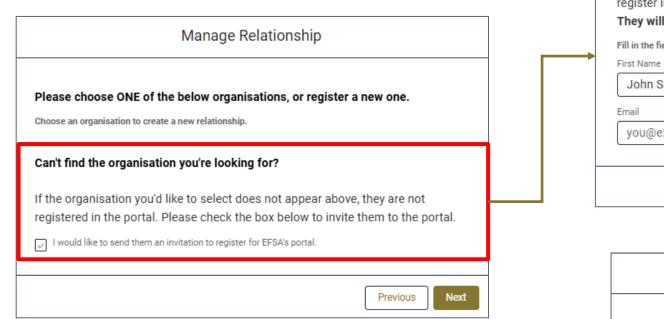
Account Relationships: Account To (1)		
Name	Account Relationship Type	
Solution Consulting works on behalf of ABC Company	On Behalf Of	
		View All
Account Relationships: Account From (0)		

Once relationship has been established at the account level:

- 1. The business operator can **share single records** with its third party/consultant (to know more see <u>Share pre-application ID "On behalf of"</u>)
- 2. The third party/consultant can create pre-application IDs and perform all associated actions for the business operator.

### 3.9.2 Manage account relationship(s)

If the organisation that the user wants to create a relationship with is not registered in the system, it is possible to send an invitation to register by following these steps.



Please note that the relationship with this organisation is not automatically created upon its registration. The user needs to create the relationship once the organisation is registered.

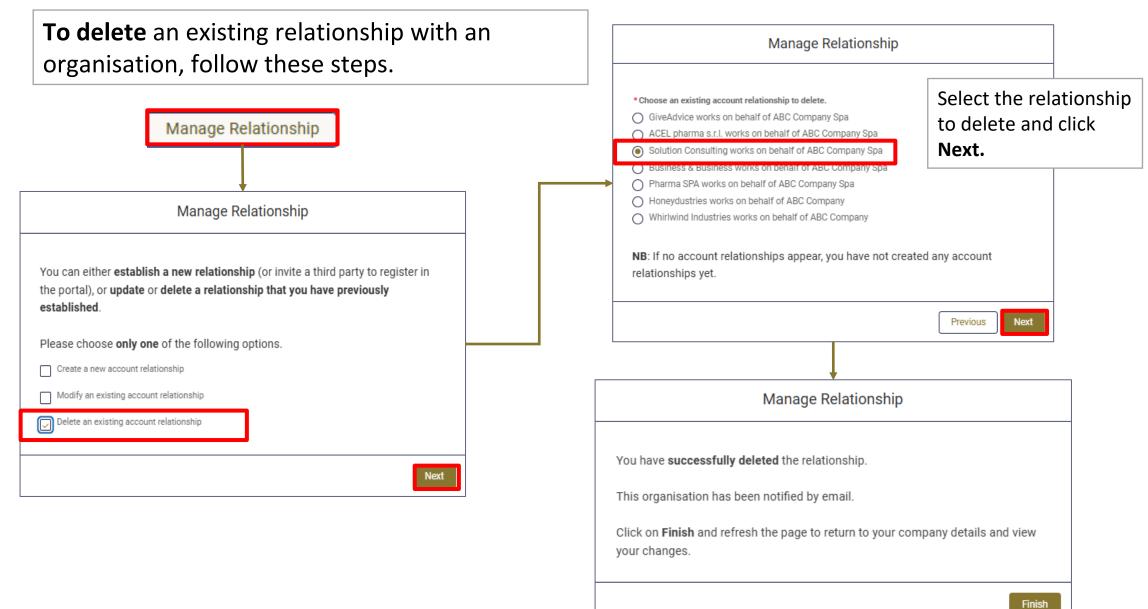
Manage Relationship	
Please enter a name and an email address for the organisation register in the portal. They will subsequently receive an email notification with a reg	
Fill in the fields First Name	
John Smith	<ul> <li>Fill in the informatio</li> </ul>
John Shith	and click <b>Next.</b>
Email	
you@example.com	
[	Previous
Manage Relationship	
<b>Success!</b> You have sent the organisation an invitation to register portal.	for EFSA's
<b>IMPORTANT</b> : Please note that the relationship to your organisati automatically created when it has registered. Instead, you will ne add this relationship via the <b>Manage Relationship</b> button (the thi available in the list of organisations after they have registered).	eed to manually
	Finish 65

### 3.9.3 Modify an account relationship

Business operators and Laboratories **can modify** the option that enables a selected third party/consultant to act as Notifier and Co-notifier at any time.

Manage Relationship	nage Relationship		Consulta	xisting account relationship to edit. ncy Spa works on behalf of ABC Company Spa ce works on behalf of ABC Company Spa arma s.r.l. works on behalf of ABC Company Spa Consulting works on behalf of ABC Company Spa	Select the third pa	
	ip		<ul> <li>Busines:</li> <li>Pharma</li> <li>Honeydu</li> </ul>	SPA works on behalf of ABC Company Spa SPA works on behalf of ABC Company Spa stries works on behalf of ABC Company ccount relationships appear, you have not created ps yet.	Previous	Next.
	It is possible to grant or rev permission by checking or u box. Click on <b>Next</b> to contin	unchecking thi	is	Manage Rel By checking (unchecking) this box, you ar third party to act as notifier and co-notifie Please note that this authorisation only applies to s - the third party works on behalf of both the notifier - the third party has already access to the study bed	re enabling (preventing) the select er of a study. studies in which: r and the co-notifier organisations cause it has been shared with its organisa	

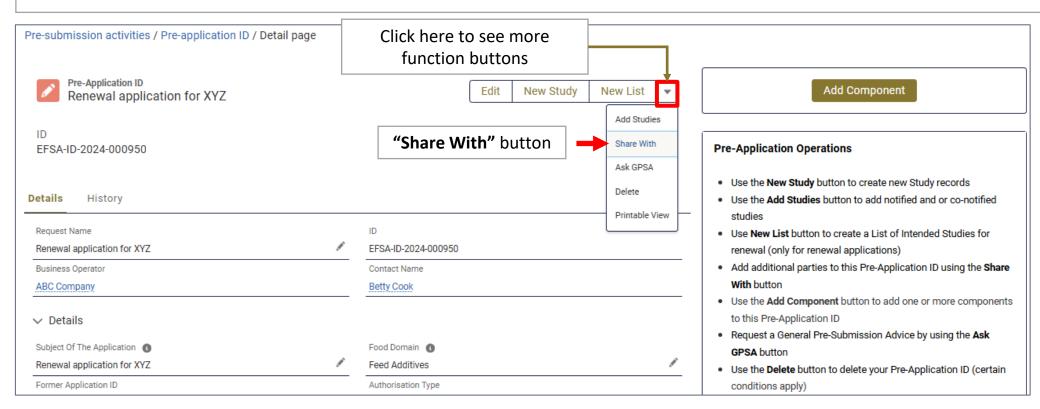
### 3.9.4 Delete an account relationship



## 3.10 Share a pre-application ID

**Business operators** or **third parties/consultants** can share pre-application ID(s) with other organisations using the button **"Share With"**. The pre-application ID(s) can be shared in two different ways:

- Relationship type: **"On behalf of"**. With the sharing type "On behalf of" users can decide to share with **third parties/consultants** only the pre-application ID or the pre-application ID along with some/all the study records already linked to it. In order to be able to perform this type of sharing, the user must establish an **account relationship** with this organisation beforehand (see <u>Account</u> Relationship).
- Relationship type: "Shared with". In this case the user involves another organisation in the pre-submission activities and provides read-only access to the shared pre-application ID. No previous actions are required to perform this sharing.



### 3.10.1a Share a pre-application ID "On behalf of" – without studies

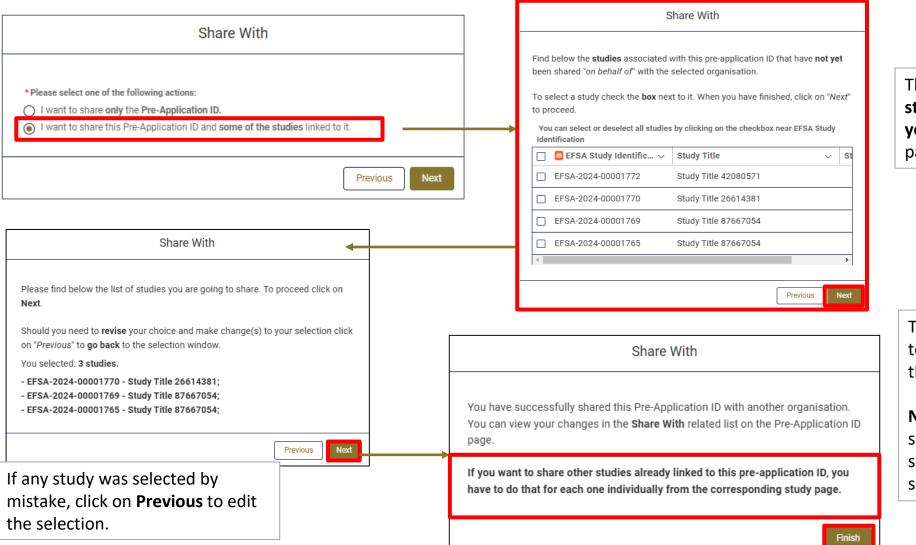
To share only the pre-application ID (without any of the linked studies), the user chooses the sharing type "On behalf of" and the name of the third party/consultant, then checks the box corresponding to "I want to share only the pre-application ID".

Share With	Share With			
<ul> <li>Please indicate the type of relationship you want to establish with another organisation (e.g. On behalf of or Shared With).</li> <li>On Behalf of - you'll enable another organisation see and perform actions on this Pre-Application ID record. Note that in order to be able to perform this action it is necessary to establish the relationship with your third party at organisation level. To do so, click on My profile and use the button Manage Relationship.</li> </ul>	Please select one of the following actions: I want to share only the Pre-Application ID. I want to share this Pre-Application ID and some of the studies linked to it. Previous Next			
• Shared With - you'll enable another organisation to see this Pre-Application ID record.			5	Share With
You can only create one relationship at the time. * Relationship Type On Behalf Of			You are sharing <b>only</b> the Pre-Applic	cation ID. Are you sure?
Use the field below to search for the organisation's name. *Organisation  Luckystones		Previous       Previous       Next         You have successfully shared this Pre-Application ID with another organisation.       You can view your changes in the Share With related list on the Pre-Application ID page.       If you want to share other studies already linked to this pre-application ID, you have to do that for each one individually from the corresponding study page.		Previous Next
Next				
The third par	list "Share			

With" in the pre-application ID page.

## 3.10.1b Share a pre-application ID "On behalf of" – with studies

To share both **pre-application ID and also some/all the studies already linked to it**, the user chooses the sharing type "On behalf of" and the name of the **third party/consultant**, as showed in the previous slide, then checks the box corresponding to "I want to share this pre-application ID and some of the studies linked to it".

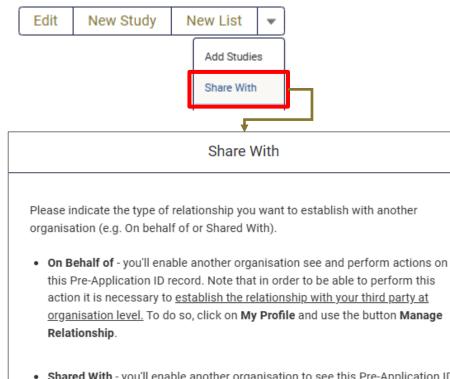


The system displays **only the studies that have not been shared yet** with the selected third party/consultant.

The **third party/consultant** is added to the related list "**Share With**" in the pre-application ID page.

**Note**: The user cannot repeat the sharing procedure by selecting the same **third party/consultant** to share additional studies.

## 3.10.1 Share a pre-application ID "On behalf of"



Shared With - you'll enable another organisation to see this Pre-Application ID record.

#### You can only create one relationship at the time.

\*Relationship Type

On Behalf Of

Use the field below to search for the organisation's name.

Organisation

💼 Wizard Limited

If the account relationship with the **third party/consultant** has not been established beforehand, the system returns an **error message** when the user tries to share a record with the relationship type "On behalf of".



Share With

You cannot do the sharing "on behalf of" with this organisation, because you did not establish a relationship with it.

Please, either select:

- relationship type 'Shared With' (in this way the organisation selected will be able to only view, but not edit the record), or
- Enable a relationship with a third party. To do so click on My profile in the navigation menu, click the button Manage Relationship and follow the instruction

×

## 3.10.1 Share a pre-application ID "On behalf of"

Actions allowed to **business operator** or a **third party/consultant** for a pre-application ID shared using the relationship type **"On behalf of":** 

- 1. View and edit the pre-application ID information\*
- 2. Create new studies or add already existing studies to the pre-application ID
- 3. View and edit the studies that have been shared with the pre-application ID\*\*
- 4. Create, edit and submit a list of intended studies (for renewals only)
- 5. Manage the intended studies associated to a list (for renewals only)
- 6. View and add components
- 7. Share the pre-application ID with other business operators

\*if the pre-application ID contains already a list of intended studies, this will also be shared and editable by the consultant who will be able to submit it as well.

\*\*studies previously created/added need to be shared following the procedure described in <u>Section 3.10.1b</u>.

### 3.10.2 Share a pre-application ID "Shared with"

Edit	New Study	New List	•						
		Add Studi	es						
		Share Wit	h		user chooses the relati	•		-	is added to the related n the pre-application IE
		Share W	ith	orga	e <b>"Shared with"</b> to enat anisation to <b>only view</b> t lication ID.		Share With (2)	page.	
	Please indicate the type			another			Account Name	Relationship Type	
	organisation (e.g. On bel						Solution Consulting	On Behalf Of	
	<ul> <li>On Behalf of - you'll e this Pre-Application I</li> </ul>	D record. Note that i	n order to be able to p	perform this			Business & Business	Shared With	
	action it is necessary organisation level. To <b>Relationship</b> .								View All
L	<ul> <li>Shared With - you'll e record.</li> </ul>	nable another organ	isation to see this Pre	e-Application ID					Î
	You can only create one	relationship at the t	lime.				Share With		
(	* Relationship Type Shared With			* *		Ver here ere fulle			
	Use the field below to se	earch for the organis	sation's name.			You can view your chan	shared this Pre-Application ID with ano ges in the <b>Share With</b> related list on th	_	
(	🜇 Business & Business			×		page.			
				Next		-	ner studies already linked to this pre-a n one individually from the correspond		
The	user searche	s and select	ts the organ	isation				Finish	
	e to share th	e pre-appli	cation ID wi	th and clic	cks			للمحمها	
Nex	t.								73

## 3.10.2 Share a pre-application ID "Shared with"

Actions allowed to **business operator** or a **third party/consultant** for a pre-application ID shared using the relationship type **"Shared with"**:

- 1. See the pre-application ID information
- 2. View the list of intended studies and all the information contained in its page (renewals only)
- 3. View components added to the pre-application ID
- 4. View **only** studies created/added after the record was shared\*

\*studies previously created/added need to be shared one by one.

# General pre-submission advice



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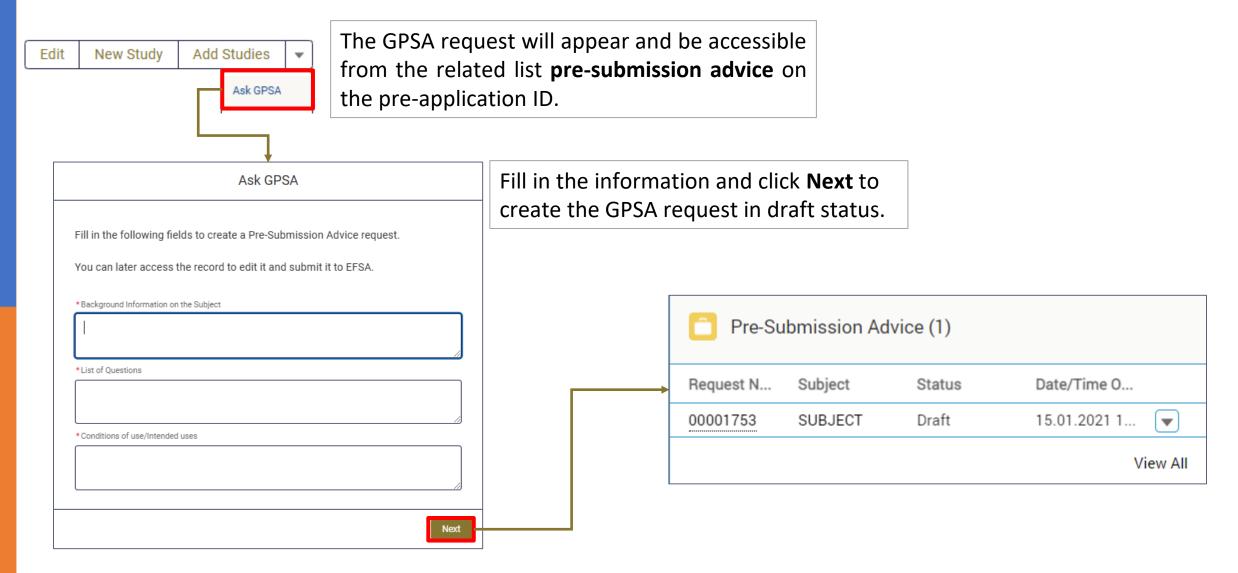
### 3.11 General pre-submission advice (GPSA)

Users can request a general pre-submission advice from the pre-application ID by using the dedicated button **Ask GPSA**, at any moment prior the submission of the application. This action is the same for new and renewal applications.

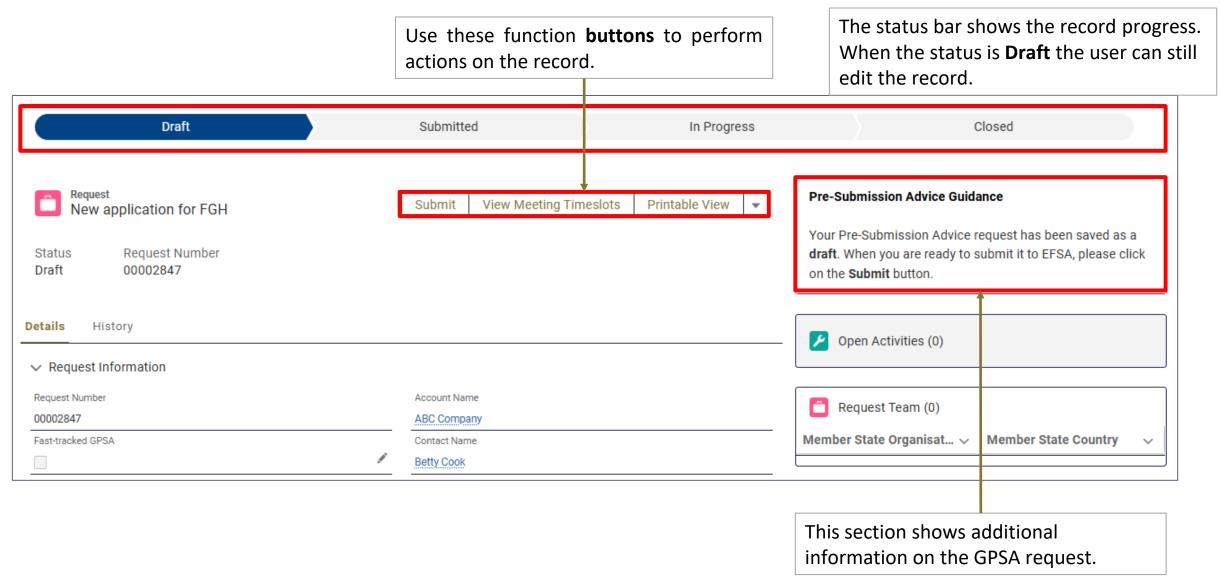
Pre-submission activities / Pre-application ID / Pre-application ID detail page

Pre-Application ID New application for FGH		Edi	t New Study	Add Studi	es 💌	Pre-Application Operations
ID EFSA-ID-2024-000951			-	Ask ( Share Delet	e With	<ul> <li>Use the New Study button to create new Study records</li> <li>Use the Add Studies button to add notified and or co-notified studies</li> <li>Use New List button to create a List of Intended Studies for</li> </ul>
etails History				Print	able View	<ul><li>renewal (only for renewal applications)</li><li>Add additional parties to this Pre-Application ID using the Share</li></ul>
Request Name New application for FGH Business Operator ABC Company	ID EFSA-ID-20 Contact Nar Betty Cook					<ul> <li>With button</li> <li>Use the Add Component button to add one or more components to this Pre-Application ID</li> <li>Request a General Pre-Submission Advice by using the Ask GPSA button</li> </ul>
✓ Details						<ul> <li>Use the <b>Delete</b> button to delete your Pre-Application ID (certain conditions apply)</li> </ul>
Subject Of The Application  O New application for FGH	Food Domai	0			1	
Note	Authorisatio	Authorisation Type			0	Add Component
The user can access the dedicated section in th	,	•	2.	the _		Pre-Submission Advice (1) Request Number Subject Status Date/Time Open
Suggested tutorial: <u>How to rec</u>	wast a CDSA in thre	simple	New!			00001815 Subject of the a Draft 12/07/2022 17.18 View Al

#### 3.11.1 Request a GPSA



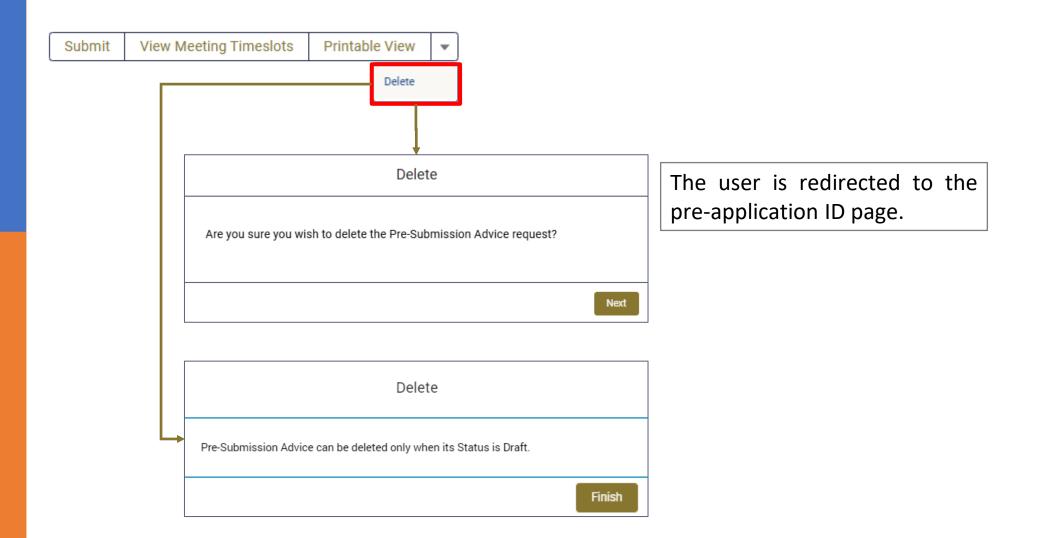
#### 3.11.1 Request a GPSA



3.11.1 Request		nder the <b>Detail t</b> ser can find the c ne record dividec	details of			
Details       History <ul> <li>Request Information</li> </ul> Request Number <ul> <li>O0001753</li> </ul> <ul> <li>PSA Details</li> </ul> Subject             SUBJECT           List of Questions           QUESTION 1           QUESTION 2           QUESTION 3           Background Information on the Subject           PSA ON XXX           Conditions of use/Intended uses	Account Name ABC company Spa Contact Name Werner Baumann Food Domain Pesticides Authorisation Type Application Type Test Item SUBJECT Pre-Application ID	ections.		The following fields from the pre-applica • Food Domain • Authorisation Ty • Application Type • Test Item These fields cannot	ation ID informat pe be edited.	ion:
✓ PSA Submission Outcome	PAID YYY			changes made to the	record on Requ	est History
PSA Summary Written Advice	Details History	<		and the past activitie meetings).	s in Activity Histo	ory (such as
	Date 15.01.2021 15:45	Field Created.	User	Original Value	New Value	<b></b>
	15.01.2021 15.45	Created.				View All
	Activity History	(0)				79

#### 3.11.2 Deletion of a request for GPSA

It is possible to delete the GPSA request only when its status is equal to Draft, otherwise an error message will appear.



#### 3.11.3 Submission of a request for GPSA

#### When the information required by the GPSA form are complete the user clicks **Submit** and follows the procedure.

Draft	Submitted In Progres	Subm	it
Request New application for FGH	Submit View Meeting Timeslots Printable View 💌	To submit this general pre-submission advis	se to EFSA, please click on <b>Next</b> .
Status Request Number Draft 00002869			Next
Details History		Subm	it 🗸
<ul> <li>Request Information</li> <li>Request Number</li> </ul>	Account Name	You have successfully submitted your gene EFSA.	ral pre-submission advice request to
00002869	ABC Company		
Fast-tracked GPSA	Contact Name	Click on <b>Next</b> to return to the page of your r	equest.
	Betty Cook		
✓ PSA Details Subject	Food Domain	The status of the GPSA	changes to
New application for FGH	Novel Foods	Submitted	
List of Questions  Question 1 Question 2 Question 3 Question n	Authorisation Type Novel Food Application	Draft	Submitted
Background Information on the Subject Lorem ipsum dolor sit amet, consectetur adipiscing elit. Donec consectetur porttitor mi, non rhoncus risus blandit sed. Nunc accumsan nec lectus sit amet elementum. Aliquam consectetur lectus eget quam semper condimentum.	Application Type New Novel Food	Request New application for FGH	Submit View Meeting Tin
Conditions of use/Intended uses Lorem ipsum dolor sit amet, consectetur adipiscing elit. Donec consectetur porttitor mi, non rhoncus risus blandit sed. Nunc accumsan nec lectus sit amet elementum. Aliquam consectetur lectus	Test Item New application for FGH	atus Request Number bmitted 00002869	
eget guam semper condimentum			81

#### 3.11.4 Submission of a request for GPSA – Pesticides

When submitting a GPSA requests linked to future applications with Food Domain: **Pesticides Peer Review (NAS), Pesticides MRL, Pesticides Peer Review (AIR) and Pesticides Peer Review - Other Areas**, the user is requested to indicate **the country** of the Rapporteur Member State (RMS) and the Co-Rapporteur Member State (Co-RMS).

Submit View Meeting Timeslots Printable View 💌
--

Depending on the Food Domain, the system will display a different window for the selection of the Member State(s), to clarify when the selection of the RMS and co-RMS is mandatory.

Pesticides Peer Review (NAS) & Other Areas	Pesticides MRL	Pesticides Peer Review (AIR)
Submit	Submit	Submit
Please indicate the relevant Member State Country to be involved in this general pre-submission advice request. Click on Next to continue. Member State Country Austria : If you do not want to add a member state at this time, please check the box below and click on Next. Please note that you will be able to add a Member State post-submission as well. Proceed without adding Member State	Please indicate the relevant Member State Country to be involved in this general pre-submission advice request. Click on Next to continue. Member State Country Austria For Pesticides MRL application on import tolerance you must indicate the member state and the co- member state before the submission.	Please indicate the relevant Member State Country to be involved in this general pre-submission advice request. Click on Next to continue. Member State Country Austria You must indicate the member state and the co-member state before proceeding with the submission
Next	Next	Next

Note: more details on the submission workflow of a GPSA request for each Pesticides Food Domain are presented in the next slides.

# 3.11.4.1 Submission of a request for GPSA – Pesticides Peer Review (NAS) & Other Areas

Submit View Meeting Timeslots Printable View 💌		Submit					
Submit Please indicate the relevant Member State Country to be involved in this general pre-submission advice request. Click on Next to continue. Member State Country Austria  If you do not want to add a member state at this time, please check the box below and click on Next. Please note that you will be able to add a Member State post-submission as well.	inte If n Check t	Vou have successfully added a Member State to this general pre-submission advice request. If you intend to add another Member State, please check the box "Add another Member State". If not, simply click on Next to submit your request. Add another Member State Add another Member State Check the box "Add Another Member State" to add the Co-RMS					
Proceed without adding Member State	<b>Membe</b> if releva	aber State" to add the Co-RMS, evant.					
In case of <b>Pesticides Peer Review (NAS) &amp; Pesticides</b> <b>Review Other Areas</b> the user must indicate the RMS a	and	You have successfully submitted your <i>general pre-submission advice</i> request to EFSA. Click on <b>Next</b> to return to the page of your request.					
where relevant the Co-RMS. If not known yet, the use can tick the box 'Proceed without adding Member Sta	<b>–</b> 1	The RMS and Co-RMS Countries and the corresponding Competent Authorities are showed in the Request Team section of the GPSA page.					

Suggested reads: Article 10(3) of the <u>EFSA Practical Arrangements on pre-submission phase and public consultations</u> Questions 20.B of the <u>EFSA Q&A on Practical Arrangements</u>.

#### 3.11.4.2 Submission of a request for GPSA – Pesticides MRL

In case of Pesticides MRL, the user must indicate the evaluating Member State (EMS). If not known yet, the user can tick the box 'Proceed without adding Member State'. For **Pesticide MRL applications on import tolerance**, the information on **RMS and Co-RMS is mandatory**, therefore the box must not be ticked.

Submit View Meeting Timeslots Printable View 💌	Submit
member state before the submission. State	You have successfully added a Member State to this general pre-submission advice request. If you intend to add another Member State, please check the box "Add another Member State". If not, simply click on Next to submit your request. Add another Member State Add another Member State Rext the box "Add Another Member te" to add the Co-RMS in case of an Dication for import tolerance.
Next	Submit         You have successfully submitted your general pre-submission advice request to EFSA.         Click on Next to return to the page of your request.
Suggested reads: Article 10(3) of the <u>EFSA Practical Arrangements</u> on pre-submission phase and public consultations	The EMS Country (or, for import tolerance, the RMS and the Co- RMS Countries ) and the corresponding Competent Authority are showed in the Bequest Team section of the GPSA page

Questions 20.B of the EFSA Q&A on Practical Arrangements.

#### 3.11.4.3 Submission of a request for GPSA – Pesticides Peer Review (AIR)

Submit View Meeting Timeslots Printable View		Submit			
Submit		sfully added a <b>Member State</b> to this genera other Member State, please check the box			
Please indicate the relevant <b>Member State Country</b> to be involved in this <i>general pre-submission advice</i> request. Click on <b>Next</b> to continue.	If not, simply clic	k on <b>Next</b> to submit your request. Vember State			
Austria Country	heck the hox	"Add Another	Next		
		e" to add the Co-RMS	5.	Ļ	
with the submission				Submit	
In case of Pesticides Peer Review (AIR), the information on <b>RMS and co-RMS is mandatory.</b>			Please indicate the relevant <b>Membe</b> pre-submission advice request. Click Member State Country Italy You must indicate the member state with the submission	a on <b>Next</b> to continue.	;
			Submit		Previous Next
		You have successfully submitted yo Click on <b>Next</b> to return to the page o	our general pre-submission advice request to E of your request.	FSA.	<b></b>
Suggested reads: Article 10(3) of the <u>EFSA Practical Arrangements on pre-</u> submission phase and public consultations Questions 20.B of the <u>EFSA Q&amp;A on Practical Arrangements</u> .	correspo	S and Co-RMS Countrie onding Competent Aut uest Team section of t	thorities are showed in	Next	85

#### 3.11.5 Submission of a request for GPSA – GMO Directive

When submitting a GPSA requests linked to future notification under Articles 13 and 17 of Directive 2001/18/EC, the user is requested to indicate the **Country of the Member State** that will be notified.

Submit View Meeting Timeslots Printable View	ſ		Submit			
Submit Please indicate the relevant Member State Country to be involved in this general pre-submission advice request. Click on Next to continue. Member State Country Austria Proceed without adding Member State Next	Check	If not, simply click on Next to Add another Member State Add another Member State the box "Add A to add an add	o submit your request. Another Memb			
	1				Submit	
If the Member State is not known yet, the user can tick the box 'Proceed without adding Member State'.				You have successfully submitted your <i>gene</i> Click on <b>Next</b> to return to the page of your		t to EFSA.
				tate Country and the corre thority are showed in the I GPSA page.		Next

#### 3.11.6 Submitted request for GPSA – Pesticides and GMO Directive

Submit				
You have successfully submitted your <i>general pre-submission advice</i> request t Click on <b>Next</b> to return to the page of your request.	o EFSA. Next	The Status turns into <b>Submitted</b> and the N State contact is adde <b>Request Team</b> relate	/lember ed to the	The Member State contact will be alerted by email and will be able to see and read the GPSA request.
Draft	Submitted	In Progre	Clos	ed
Request         Subject 68225618         Status       Request Number         Submitted       00001501	Submit View Meeting	g Timeslots Printable View 💌	Pre-Submission Advice Guidance Your request for Pre-Submission A successfully <b>submitted</b> to EFSA, a 15 working days. You can no long	Advice has been and will be reviewed within
▶ Petails History			Open Activities (0)	
Request Number 00001501 Fast-tracked GPSA	Account Name ABC Company Contact Name Scott Lopez		☐ Request Team (0) Member State Organisat ∨	ember State Country 🗸

#### 3.11.7 Receiving a written GPSA

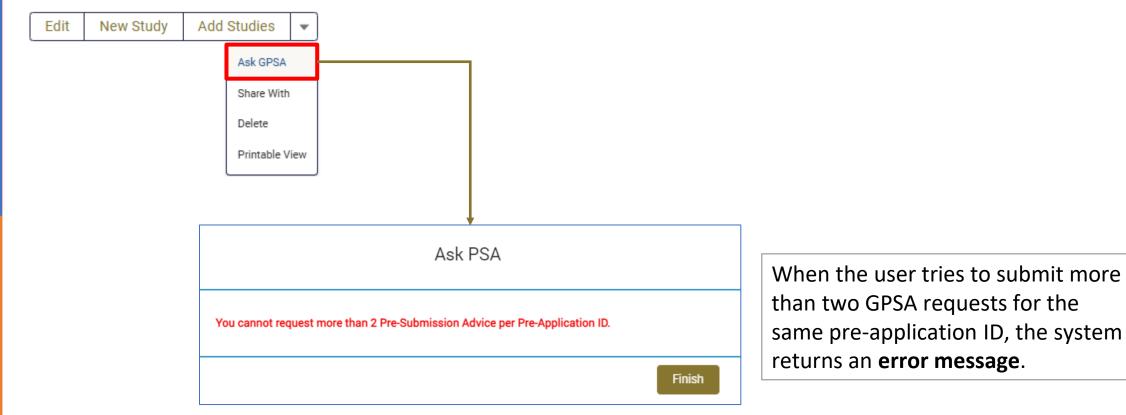
The user sees the record in Closed status and can read the **written advice** and the **GPSA summary** under the **PSA Submission Outcome** section.

Draft	Submitted	In Progress	Closed
Request Subject 01895253 Status Request Number	View Meeting Timeslots Add Member	r State Printable View 👻	Pre-Submission Advice Guidance Your Pre-Submission Advice request is now closed and can no longer be modified.
Closed 00001541 PSA on Protein XYZ Details History			Dpen Activities (0)
Request Information	Account Name		Request Team (0)
00001541 Fast-tracked GPSA	ABC Company Contact Name		Member State Organisat 🗸 Member State Country 🗸

✓ PSA Submission Outcome	
PSA Summary PSA summary information	Written Advice written advice text

#### 3.11.8 Limit number of GPSA requests

Each registered **business operator** or **third party/consultant** can submit **up to two GPSA requests** per pre-application ID.

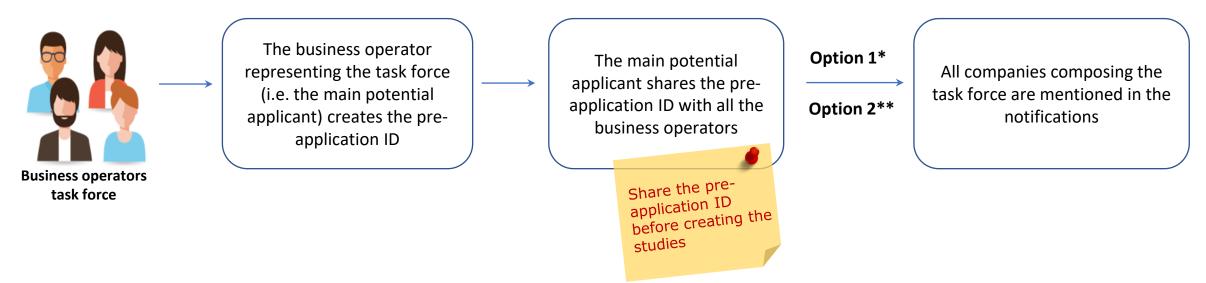


# Joint pre-submission activities (task force)



**#Connect.EFSA** 

### 4 Task force scenario – no third party/consultant involved



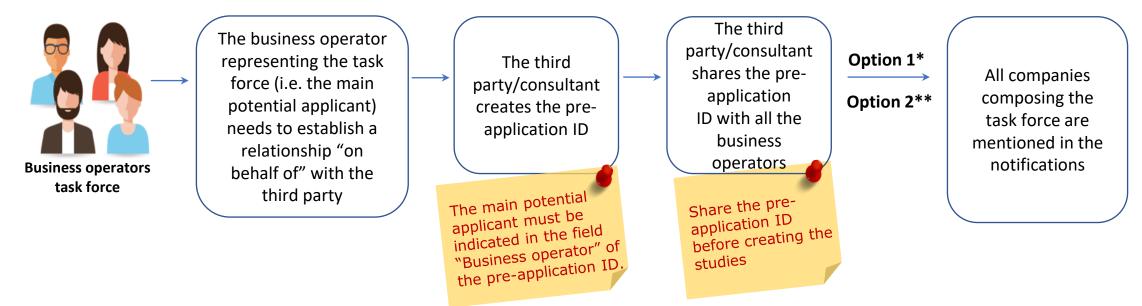
By default, the main potential applicant appears in the field 'Business Operator' of the pre-application ID and of all the studies linked therein.

\*Option 1 - Pre-application ID shared with relationship type "Share With": the companies composing the taskforce, other than the main potential applicant, can only view the studies created and notified that are linked to the pre-application ID.

\*\*Option 2 – Pre-application ID shared with relationship type "On behalf of": when creating the notification (and only at that stage), the Business Operator may be changed to reflect the actual organisation in the task force commissioning the study/ies, as showed in <u>Section 3.2</u>. To do so, the main potential applicant should establish an additional relationship "on behalf of" with such organisation(s).

Both options are adequate to describe a task force scenario. Potential applicants can choose according to their needs.

#### 4.1 Task force scenario – with a third party/consultant involved



By default, the main potential applicant appears in the field 'Business Operator' of the pre-application ID and of all the studies linked therein.

\*Option 1 - Pre-application ID shared with relationship type "Share With": the companies composing the taskforce, other than the main potential applicant, can only view the studies created and notified that are linked to the pre-application ID.

\*\*Option 2 – Pre-application ID shared with relationship type "On behalf of": when creating the notification (and only at that stage), the Business Operator field may be changed to reflect the actual organisation in the task force commissioning the study/ies, as showed in <u>Section 3.2</u>. To do so, this entity should establish a relationship "on behalf of" with the third party/consultant.

Both options are adequate to describe a task force scenario. Potential applicants can choose according to their needs.

## 4.2 Highlights of the task force scenario

- The main potential applicant must be indicated in the field "Business operator" of the pre-application ID.
- If a third party/consultant is involved, the main potential applicant must establish firstly an account relationship "on behalf of" with this organisation.
- The pre-application ID may be shared with relationship type "share with" or "on behalf of" with the other companies composing the task force.
- It is possible to include, at a later stage, additional potential applicants under an already created preapplication ID by creating a relationship before sharing the pre-application ID with them.
- Should one of the joint potential applicants wish to seek general pre-submission advice separately or notify studies without sharing them with the other potential applicants of the task force (to avoid sharing confidential issues), they could request an additional individual pre-application ID. When the joint application will be submitted, all the pre-application IDs need to be reported.



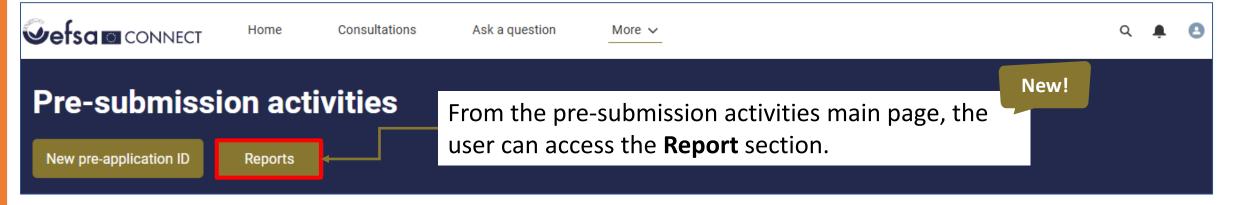
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# **Reporting features**

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#### 5. Reporting features



#### Important notes about reports:

- The user entering the Report section finds an overview of all the **Reports** available.
- Reports are collected in two main folders: "Records owned by my organisation", "Records shared with my
  organisation". Hence it is not possible to see records belonging to another organisation unless they have
  been shared. An additional folder "Study Types and Study Guidelines" contains the already available reports
  on study type and study guidelines.
  - All reports and folders available on the portal are predefined by EFSA and in **read-only mode**. This means that changes done by the user will not be saved. When the page is refreshed, the system will restore the original version of the report. The user cannot create new folders.
  - It is possible to (temporarily) apply some changes to the online reports. They can also be exported in an editable Excel or CSV file.

#### 5.1 Reporting features – Overview

The user can access the reports form the REPORTS (All Reports) view, or from the FOLDERS (All Folders) view.

Home / Repo Reports All Reports	rts	•		ers can identify n the portal through	New!			search bar it is possible for a specific report.
13 items REPORTS Recent	Report Name			Description V This report shows the components created by your organisation	Folder Records owned by my organisation	Created By	Created On 1/2/2023, 16:18	✓ Subscribed
Created by Me Private Reports	My Components with Studies			This report shows the components linked with studies owned by your organisation Records owned by my organisation		1/2/2023, 16:18		
All Reports	My GPSA			This report shows the general pre-submission advice requests owned by your organisation Records owned by my organisation		1/2/2023, 16:18		
FOLDERS All Folders	My list of inte	My list of intended studies		This report shows the pre-application IDs and the related list of intended studies created by your organisation	Records owned by my organisation		1/2/2023, 16:18	
Created by Me Shared with Me	My PSA on Renewal a		This report shows the list of intended studies and the related renewal pre-subsmission ad- rice owned by your organisation.			1/2/2023, 16:18		
FAVORITES All Favorites	My Studies			This report shows the studies and the linked	Records owr ed by my organisation		1/2/2023, 16:18	•
		Click on the name to acc	-	A short deso the report is	cription of the conte s provided.	ent of		96

#### 5.2 Reporting features - Folders

#### All the reports available to the user are saved in three distinct folders.

Reports All Folders 3 items							Q Search all folders
REPORTS	Name	Created By	Created On	✓ Last Modified By	Last Modified Date	~	
Recent	Records owned by my organisation	•	31/1/2023, 18:07		31/1/2023, 18:07	•	
Created by Me Private Reports	Records shared with my organisation		31/1/2023, 18:08		31/1/2023, 18:08	-	
All Reports	Study Types and Study Guidelines		12/10/2022, 14:18		1/2/2023, 20:18	•	
FOLDERS All Folders Created by Me Shared with Me FAVORITES All Favorites		Click on the folder name to access it.					

#### 5.3 Reporting features – Actions allowed on a report

The user can perform actions on the report using these buttons. It is possible to: search for a specific value in the table add a chart \_ apply filters refresh the values in table \_ export the report in Excel or CSV formats -Report: Pre-Application IDs with Lists of Intended studies with Intended Studies C 🚷 Add Chart **T** Q Export My list of intended studies Report showing all Pre-Application IDs with associated List of Intended Studies and Studies owned by your own organisation Total Converted Total Records 18 202 -Study Title • Study Title (English Name) 🔻 Study Objective Study Guideline Study List of Intended studies Id ↑ 🔻 Request Name Test Item Study Type LIST-01-2023-0476 (1) Test member state AIR giga ff Renewal Sediment toxicity OECD Guideline 105 (Water Solubility) ff ↑ Sort Ascending Study Test LAT 09.01.23 PL LIST-01-2023-0478 (1) Test UAT 09.01.23 PLR 2 Study obj.Test UAT 09.01.23 PLR 2 Test UAT 09.01.23 PLR 2 Allergenicity Study [ ↓ Sort Descending LIST-06-2022-0001 (2) Paid 9/6 12.13 Test Feder Test Federico Test Federico Acidity/Alkalinity And Ph Value Test Fe ISO 10707 Water quality - Evaluation in an aqueous medium of the 'ultimate' Group Rows by This Field aerobic biodegradability of organic compounds - Method by analysis of biochemical oxygen demand (closed bottle test) Group Columns by This Field Paid 9/6 12.13 test gloria asdasd hhasdasd Acute toxicity: inhalation ISO 10156 (Gases and gas mixtures - Determination of fire potential and oxidizing × Remove Column ability for the selection of cylinder valve outlets)

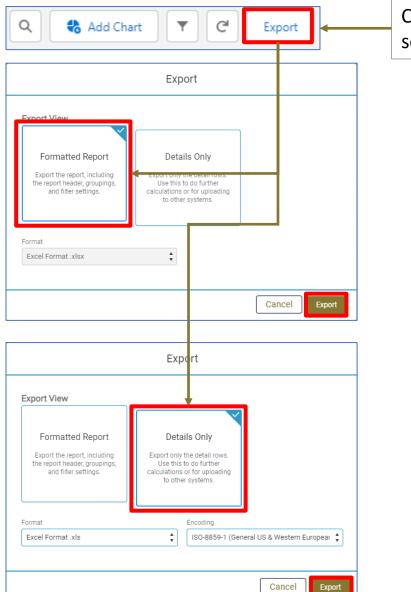
Click on one of the pointing down arrows to perform actions on the report table. The user can:

sort the values -

il.

- group/ungroup values
- remove columns

#### 5.4 Reporting features – Export a report



Click on **Export** button and select the preferred format.

#### **Formatted Report**

Reports can be exported in a format similar to the online version, e.g., keeping the grouping and the other settings. This option exports the report as Excel file only.

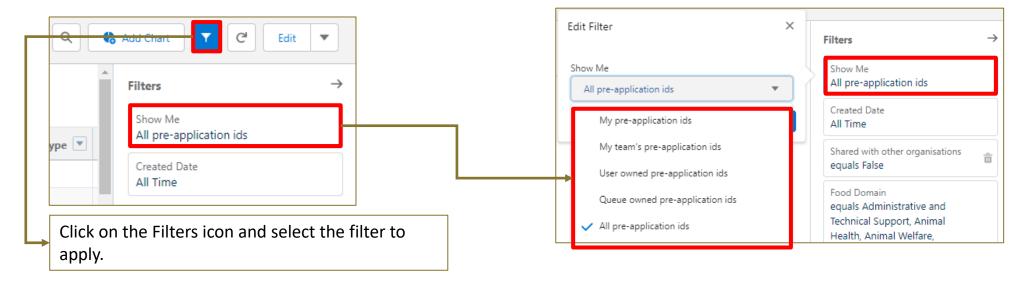
Reports can be exported as Excel or CSV file showing only the detail rows.

					_		
	Α	В	с	D			
1							
2		My Studies with Pre-	Appli	cation IDs			
3		As of 2023-01-06 17:10:54 Ora	standar	d dell'Europa centrale/CET • Generated by User			
4							
5							
6		Filtered By			г		
7		Show: All pre-application ids					
8 9		Shared with other organisations	equals	False			
10		EFSA Study Identification ↑		Study Title			
11		EFSA-2021-00000522		Study - test notify to lab			
12		Subtotal	Sum				
13			Count		1		
14		EFSA-2021-00000523		Test 2 - test lab			
15		Subtotal	Sum				
16			Count		1		
17		EFSA-2021-00000543		test relationship			
18		Subtotal	Sum				
19			Count		1		
20		EFSA-2021-00000545		test internal testing facility			
~		6 I I					

	А	
1	Study Title	4
2	Draft study	
3	test	t
4	rr	I
5	test	
6	new study test shared with	
7	test on behalf solution consulting	
8	Study as Solution consulting	
~	a l'anna	

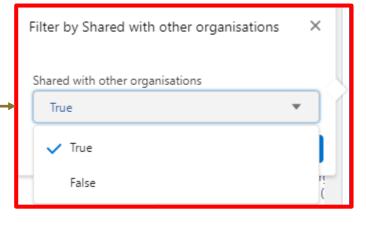
#### 5.5 Reporting features – Filters functionality

Depending on the type of data showed in the report, predefined filters are available. Once the user refreshes the page the default filtering rules set by EFSA will be restored.



Some filters will allow to restrict the view to records on the basis of their **creation date**, while others allow to view only the records **shared with the user's organisation**.

	Filters $\rightarrow$					
	Show Me All pre-application ids					
	Created Date All Time					
>	Shared with other organisations aquals True					



My Studies	Rapporto: Pre-Application IDs with Link to Studies and Studies My Studies This report is showing your studies which are linked to pre-application IDs. The same study is reported more than once if linked to multiple pre-application IDs.					
Total records Totale Stu 11 1	idy: Submitted to Internal					
	Pre-Application ID: Request Name 1	Study: EFSA Study Identification 💌	Study: Study Title	Study: Study Title	Study: Study Title (English Name)	
EFSA-ID-2022-001294 (3)	application on monodextrine aminotransferase (3)	EFSA-2023-00017494	Study cStudy cSt	Study cStudy cSt	Study c	
		EFSA-2023-00017493	Study b	Study b	Study b	
		EFSA-2023-00017492	Study a	Study a	Study a	
EFSA-ID-2022-001330 (1)	Workshop on EFSA tools_1dd (1)	EFSA-2022-00013462	This study is a test by FDP and IDATA to check the edit function after study not	This study is a test by FDP and IDATA to check the edit function after study notification. 1_edit test 18/11/2022_	This study is a test by FDP and IDATA to check the edit fun notification	
EFSA-ID-2022-001331 (2)	Workshop on EFSA tools_new application (2)	EFSA-2023-00016774	test2	test2	•	
		EFSA-2022-00013462	This study is a test by FDP and IDATA to check the edit function after study not	This study is a test by FDP and IDATA to check the edit function after study notification. 1_edit test 18/11/2022_	This study is a test by FDP and IDATA to check the edit fun notification	

This report shows all the studies owned by the users organisation which are linked to pre-application IDs. The user finds:

- 1. The ID and the Request Name of the pre-application ID and all the studies linked therein.
- 2. The **Study Title information** comprehensive of "Study Title" with direct link to the study record page, "Study Title" (i.e. the full length version) and "Study Title (English Name)".
- 3. Other available information includes: Status, Study Objective, Business Operator name and email, Laboratory name and email, etc.

#### 5.7 Reporting features – All my Studies reports

Rapporto: Studies All my Studies This Report shows all your studies	s regardless of a link to one or several Pre-Application IDs.		C	Ado	I chart	C <sup>I</sup> Export
Record totali Totale Submitted to 13 1	Internal Testin					
EFSA Study Identification	Study: Study Title	Study Title	Study Title (English Name)	Status 💌	Study Objective 💌	Business Operato
1 EFSA-2022-00014929	Test_studyType_duplicates	Test_studyType_duplicates	-	Draft	test	FDP Team Advice
2 EFSA-2022-00015871	Test study typeff	Test study typeff	Test study type	Draft	test	FDP Team Advice
B EFSA-2023-00016774	test2	test2	-	Draft	-	FDP Team Advice
4 EFSA-2023-00017492	Study a	Study a	Study a	Draft	dd	FDP Team Advice
5 EFSA-2023-00017493	Study b	Study b	Study b	Draft	-	FDP Team Advice
5 EFSA-2023-00017494	Study cStudy cStu	Study cStudy cSt	Study c	Draft	-	FDP Team Advice
7 EFSA-2023-00018347	Study XYZ	Study XYZ	-	Draft	-	FDP Team Advice
EFSA-2023-00018348	Study ABC	Study ABC	-	Draft		FDP Team Advice
EFSA-2023-00018349	Study CBD	Study CBD	-	Draft	-	FDP Team Advice
0 EFSA-2023-00018350	Study FGI	Study FGI	-	Draft	-	FDP Team Advice
1 EFSA-2023-00018351	Study EPO	Study EPO	-	Draft	-	FDP Team Advice
2 EFSA-2022-00013462	This study is a test by FDP and IDATA to check the edit function after study not	This study is a test by FDP and IDATA to check the edit function after study notification	This study is a test by FDP and IDATA to check the edit function after study notification	Co-Notified	investigate acute tox	FDP Team Advice

This report shows all the studies owned by the user organisation, regardless they are linked or not to a pre-application ID. The user finds:

- 1. The EFSA Study IDs.
- 2. The **Study Title information** comprehensive of "Study Title" with direct link to the study record page, "Study Title" (i.e. the full length version) and "Study Title (English Name)".
- 3. Other available information includes: Status, Study Objective, Business Operator name and email, Laboratory name and email, etc.

## **Recommended documents and links**

Applicants Toolkit	https://www.efsa.europa.eu/en/applications/toolkit
Transparency	<u>https://eur-lex.europa.eu/legal-</u>
Regulation	<u>content/EN/TXT/?uri=CELEX:32019R1381</u>
Practical	<u>https://www.efsa.europa.eu/en/corporate-pubs/transparency-</u>
Arrangements	<u>regulation-practical-arrangements</u>
Q&A on Practical arrangements	<u>https://www.efsa.europa.eu/en/corporate-pubs/questions-and-</u> answers-efsa-practical-arrangements

