## User Guide Pre-application ID

Last update: 26 June 2024



### Note for the users

This user guide has been updated on 26 June 2024 to take into account the latest system enhancements.

- The layout and look & feel of the Connect.EFSA portal has been aligned with the other EFSA portals and websites, such as OpenEFSA and the EFSA website. Users find new colours and menus, redesigned sections and improved access to useful resources, frequently asked questions and to the Ask a question service.
- Business operators and their third parties/consultants registered in Connect.EFSA find pre-application IDs and the notification of studies database in a new pre-submission activities main page, accessible simply by logging in. The new pre-submission activities main page is also available from any point of the Connect.EFSA by browsing to the top menu bar and selecting "More".
- The pre-submission activities page has been enriched with help texts. Users are therefore guided to the correct section in case they need to create a new pre-application ID, manage existing ones or access the notification of studies database.

Some editorial changes have been introduced to further clarify the existing content.

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## Introduction

**#Connect.EFSA** 



### I - Scope of the pre-application ID

#### **Pre-submission activities**

- ✓ General pre-submission advice, Article 32a(1) of the GFL
- ✓ Notification of studies commissioned or carried out to support an application, Article 32b of the GFL
- ✓ Notification of intended studies for renewal application and renewal pre-submission advice, Article 32c(1) of the GFL



After registration and prior to initiating any pre-submission activity, a potential applicant must create a pre-application ID, which links all pre-submission activities undertaken by a potential applicant to support a future application related to a specific regulated product in a given regulated product area.

#### 1. Actors of the Process

The process for managing the pre-application ID might involve up to **two types of actors**:



For ease of reference through this guide, the two roles are visualised by the respective **colour stripe** on the left-hand side of slides.

#### 1. Actors of the Process



Business operators Potential applicants **Business operators**: these users create and manage their preapplication IDs in Connect.EFSA.



Third parties/ Consultants Third parties/consultants: these users operate on behalf of business operators when authorised to represent one or more entities, shall also register-in (see the section on <u>Account Relationship</u>). They can create and manage pre-application IDs in Connect.EFSA.

#### 1.1 Account qualification



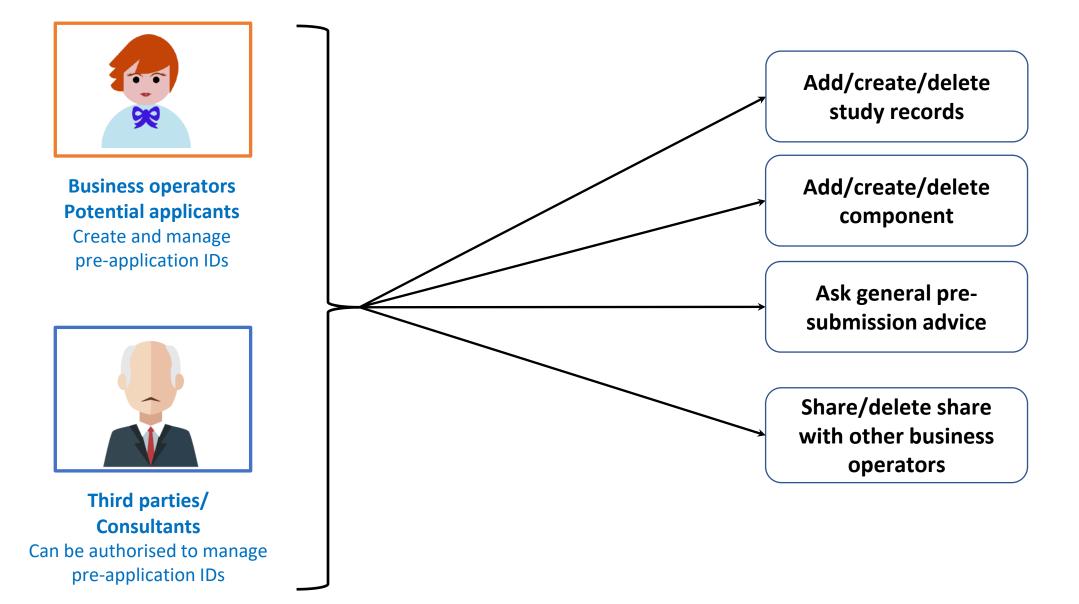
Business operators Potential applicants **This guide applies to users qualified as applicant,** i.e. organisations such as business operators. They act as potential applicant conducting pre-submission activities linked to a future application for a regulated product in a specific regulated area.

These organisations can create pre-application IDs.



Third parties/ Consultants The same qualification is assigned to consultants working on behalf of business operators.

#### 1.2 Pre-application ID activities



### 1.3 List of intended studies for renewal: Process overview



# **Accessing Connect.EFSA**

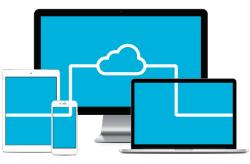
**#Connect.EFSA** 



#### 2. Access the Connect.EFSA portal

**Business operators** and **their third parties/consultants** before starting to conduct pre-submission activities should <u>self-register an account</u> on behalf of their organisation by following the instructions available in the <u>Connect.EFSA registration user manual</u> and identifiable by a **pink banner** on the left-hand side of the slides.

**Registered users from business operator** and/or third party/consultant organisations can access Connect.EFSA portal from their `trusted` devices via the following link: <u>https://connect.efsa.europa.eu/RM</u>



**Updated!** 

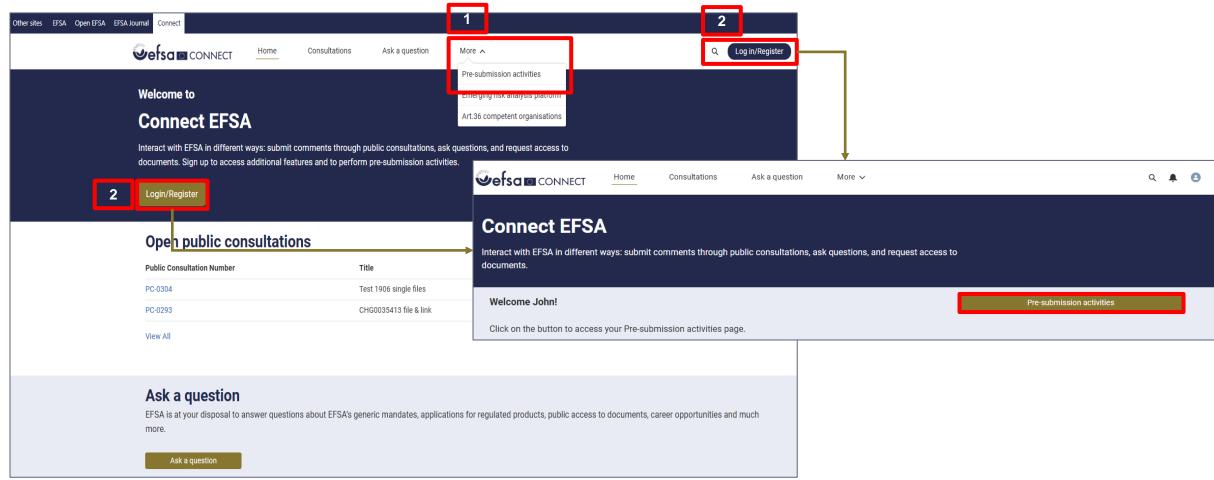
### 2.1 Accessing pre-submission activities

New!

From the home page of Connect.EFSA users can access the pre-submission activities page in two ways:

1. before logging in, by clicking on 'More' and then selecting 'Pre-submission activities'

2. after logging in



### 2.2 The pre-submission activities main page

|  | s Ask a question               | More V   |  | Q 🌲 🕒   |    |
|--|--------------------------------|--|--|---|----|
| Pre-submission activities New pre-application ID Reports   | •                              | s to <b>create a new</b><br>on ID or access the                                |  |   |    |
| Welcome John,<br>In this section you can manage pre-application IDs and stu<br>and have an overview of all your submitted applications.  |                                |  | ssion activities                                 | This page contains <b>help te</b><br><b>links</b> to guide the user acro<br>functionalities.        |    |
| <b>Pre-application IDs</b><br>Create pre-application IDs, request general pre-<br>submission advice, create and submit study notifications,<br>and create and submit a list of intended studies for<br>renewal applications. | -                              | of studies<br>notifications created by your<br>ith you by other organisations. | View your submit                                 | <b>pplications</b><br>tted applications once they have been<br>A and assigned to an EFSA's question |    |
| Access   |                                | Access   |  | Access  |    |
| Frequently asked questions   |                                | Usefu  | l resources                                      |   |    |
| Does EFSA suggest consultancy companies for preparing  | and submitting an              | Connect E  | FSA registration manu                            | ual 🗷   |    |
| application?   |                                | User guide   | on pre-application ID                            | ď   |    |
| Where do I find the DAR (Draft Assessment Reports) applie  | cation tool and related files? |  | on notification of stu                           | idion at  |    |
| I have submitted an application for evaluation by EFSA. Home my application?   | w can I check the status of    | f  | on notification of stu<br>alogue of services for |   | 16 |

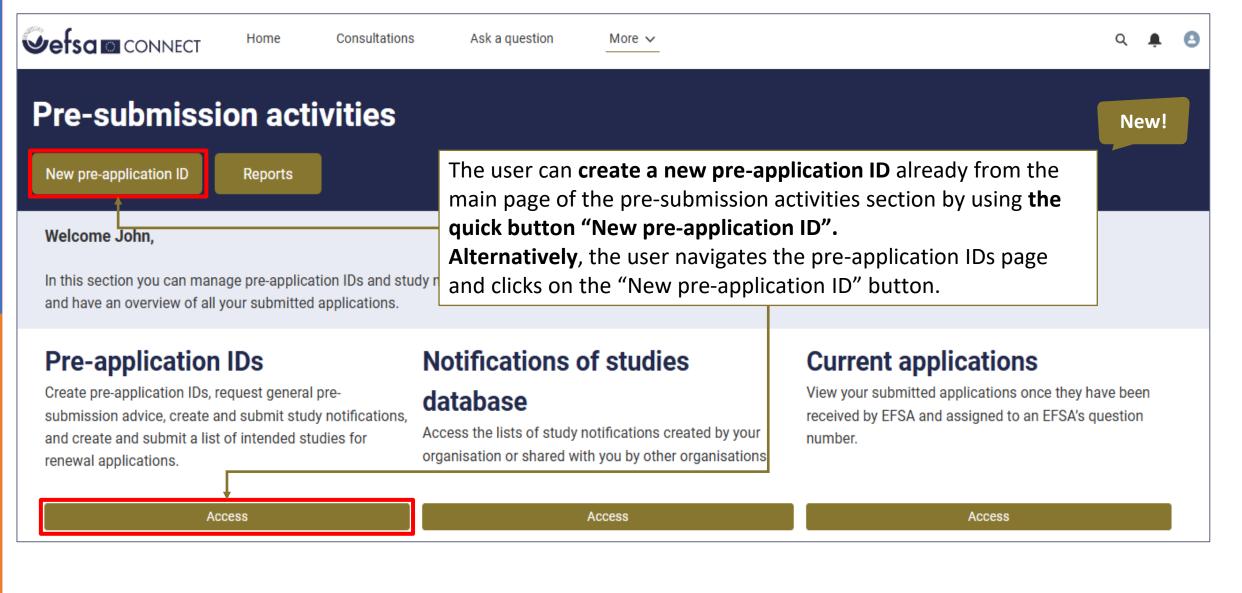
New!

## **Pre-application ID**

**#Connect.EFSA** 



New!



| Home     Consultations     Ask a question     More ~     New!  | ٩                  | <b>≜ 0</b> |
|--|--------------------|------------|
| Pre-application IDTo create a new pre-application ID the user selects the<br>New pre-Application ID button.  |                    |            |
| New Pre-application ID   |                    |            |
| Pre-submission activities / Pre-application ID From every page, users can identify where they are within the portal through this bar.  |                    |            |
| In this page you can see the details of your pre-application ID, its related records and perform the following actions:<br>• Create a pre-application ID to link all your pre-submission activities in support of your future application<br>• Access and review all the pre-submission advice, i.e requests for general pre-submission advice and pre-submission advice on renewal<br>• Access and review all intended studies<br>• Access and review all lists of intended studies for renewal applications<br>• Access and review the components section  |                    |            |
| Pre-application ID Pre-submission advice Intended studies List of Intended Studies Components  |                    |            |
| My Pre-Application IDs   | Q Search this list |            |
| Request <sup>↑</sup> ∨       ID       ∨       Food D       ∨       Authorisati ∨       Contact N ∨       Created D ∨   |                    |            |
| 1       test bu2       EFSA-ID-2023       Feed Addi       Application fo       Feed Additives       Carl Washing       23/08/2023       Image: Carl Washing         2       Test GPSA re       EFSA-ID-2023       Novel Foo       New Novel Fo       Novel Food A       Carl Washing       31/03/2023       Image: Carl Washing         2       Test GPSA re       EFSA-ID-2023       Novel Foo       New Novel Foo       Novel Food A       Carl Washing       31/03/2023       Image: Carl Washing         Use this dropdown menu to       Image: Carl Washing       State Carl Washing       State Carl Washing       State Carl Washing       State Carl Washing |                    |            |
| filter the results of a search.  |                    | 19         |

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**Step 1** – The user indicates the information required to create a new pre-application ID, such as the business operator name and the subject of the application.

|   | Pre-submission activities / Pre-application ID / New Pre-application ID   |
|---|---|
|   | New pre-application ID  |
| The user can fill this field with:<br>Its own organisation name<br>(business operators)   | * Required Information  |
| The name of the business<br>operator for which the <b>third</b><br><b>party/consultant</b> is creating the<br>pre-application ID "On behalf<br>of". | *Business Operator   Search Accounts   *Food Domain   *Food Domain   •   -None-   Authorisation Type   -None-   Application Type   -None- |
| <ul> <li>* this sign means that the field is mandatory</li> <li>this icon displays the help text for that field.</li> </ul>                         | *Subject Of The Application     Note     Save   |

If a **business operator** or a **third party/consultant** tries to create a pre-application ID for another organisation, the system returns the following **error message**, unless a relationship between the two organisation has been previously established.

| Review the errors on this page.   |    |
|---|----|
|   |    |
| *Request Name   |    |
| Paid Test 123   |    |
| *Business Operator  |    |
| Business & Business   | ×  |
| It is not allowed to choose this Business Operator. Please review Organization Relationships and try again. |    |
| * Food Domain   |    |
| Administrative and Technical Support  | •  |
| Authorisation Type  |    |
| None  | •  |
| Application Type  |    |
| None  | •  |
| *Subject Of The Application 🕕   |    |
| subject   |    |
|   |    |
| Note (1)  |    |
|   |    |
|   | // |

Look at the <u>Account Relationship</u> <u>section</u> to understand how to establish a relationship "On behalf of" and enable an organisation to work on behalf of the user's organisation.

**Step 2** - With a given combination of **Food Domain** and **Application Type**, the user can create a pre-application ID to link all presubmission activities supporting a new application or a renewal application.

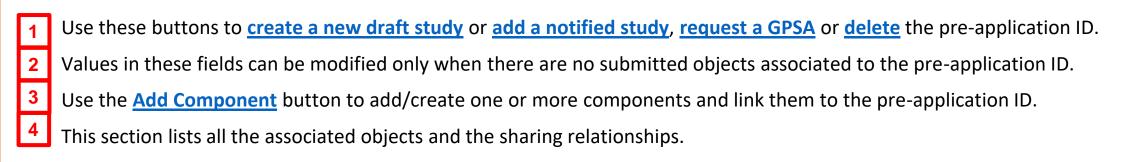
| New Pre-App                        | lication ID |    |  |
|------------------------------------|-------------|----|--|
| *Request Name                      |             |    |  |
| New Application for XYZ            |             |    |  |
| Business Operator                  |             |    |  |
| ABC Company                        |             | ۹  |  |
| Food Domain 🕚                      |             |    |  |
| None                               |             | •  |  |
| Authorisation Type                 |             |    |  |
| None                               |             | •  |  |
| pplication Type                    |             |    |  |
| None                               |             | •  |  |
| Subject Of The Application 🕚       |             |    |  |
| Subject of the application for XYZ |             |    |  |
|                                    |             | // |  |
| lote ()                            |             |    |  |
|                                    |             |    |  |
|                                    |             | 13 |  |
| Save                               |             |    | ed fields are filled in, the<br><b>/e</b> button to proceed. |

#### 3.1.1 Pre-application ID - Applications

| New Pre-Application II  |   |
|---|---|
| *Request Name   |   |
| New Application for of XYZ  |   |
| *Business Operator  |   |
| ABC Company Spa   | ×   |
| *Food Domain 🚯  |   |
| Feed Additives  | In this case, the user creates a                |
| *Authorisation Type   | pre-application ID to link pre-                 |
| Feed Additives  |   |
| *Application Type   | submission activities supportin                 |
| Application for authorisation of a new feed additive (Article 4(1) of Regulation (EC) No 1831/2003) | an application.                                 |
| *Subject Of The Application 🚯   |   |
| Subject of the Application for XYZ  |   |
|   |   |
| Note 🚯  |   |
|   |   |
|   |   |
|   |   |
| Save  | Once all the required fields are filled in, the |
|   | user selects the <b>Save</b> button to proceed. |

#### 3.1.1 Pre-application ID - Applications

| Pre-Application ID<br>New application for JPQ<br>ID<br>EFSA-ID-2024-000949<br>Details History        | 1 Edit New Study Add Studies<br>Ask GPSA<br>Share Wit<br>Delete<br>Printable          | <ul> <li>Use the Add Studies button to add notified and or co-notified studies</li> <li>Use New List button to create a List of Intended Studies for renewal (only for renewal applications)</li> <li>Add additional parties to this Pre-Application ID using the Share</li> </ul>                       |
|--|---|--|
| Under each <b>tab</b> the user can see<br>different information regarding<br>the pre-application ID. | ID<br>EFSA-ID-2024-000949<br>Contact Name<br>Betty Cook                               | <ul> <li>With button</li> <li>Use the Add Component button to add one or more components to this Pre-Application ID</li> <li>Request a General Pre-Submission Advice by using the Ask GPSA button</li> <li>Use the Delete button to delete your Pre-Application ID (certain conditions apply)</li> </ul> |
| New application for JPQ  | Novel Foods Authorisation Type Novel Food Application Application Type New Novel Food | Add Component  Add Component  Subject of the Application: Components (0)   |
| ✓ Creation Details Created Date  | Created By  | Study Notification (0)   |



#### 3.1.2 Pre-application ID - Renewal applications

| New Pre-Application ID  | Suggested video tutorial: pre-application                           |
|---|---|
| *Request Name   | ID and list of intended studies.                                    |
| Application for renewal of XYZ  |   |
| *Business Operator  |   |
| ABC Company Spa   | X   |
| *Food Domain 🚯  | In this case, the user creates <b>a pre</b> -                       |
| Feed Additives  | <ul> <li>application ID to link pre-submission</li> </ul>           |
| *Authorisation Type   | activities supporting a renewal                                     |
| Feed Additives  | application.  |
| *Application Type   |   |
| Application for authorisation of a new use and/or modification and/or renewal of an already authorised feed additive (Articles 4(1), 13(3), | 14 of Regul <del>x</del> .  |
| *Subject Of The Application 1   |   |
| Subject of the Application for XYZ  | The system allows the creation and submission of a list of intended |
| Note (1)  | studies.  |
|   |   |
| Save<br>Once all the require<br>are filled in, the use<br>the <b>Confirm</b> buttor   | er selects  |
| proceed.  | 25  |

#### 3.1.2 Pre-application ID - Renewal applications

| Pre-Application ID<br>Renewal application for XYZ<br>U<br>EFSA-ID-2022-000646<br>Details History<br>Request Name<br>Renewal application for XYZ<br>Business Operator<br>ABC Company Spa | Share With   | <ul> <li>Pre-Application Operations</li> <li>Use the New Study button to create new Study records</li> <li>Use the Add Studies button to add notified and or co-notified studies</li> <li>Use New List button to create a List of Intended Studies for renewal (only for renewal applications)</li> <li>Add additional parties to this Pre-Application ID using the Share With button</li> <li>Use the Add Component button to add one or more components to this Pre-Application ID</li> <li>Request a General Pre-Submission Advice by using the Ask GPSA button</li> <li>Use the Delete button to delete your Pre-Application ID (certain conditions apply)</li> </ul> |
|---|--|---|
| Details  Subject Of The Application  Subject of the application for XYZ  Former Application ID  | 2 Food Domain<br>Feed Additives<br>Authorisation Type  | Add Component   |
| 0000001<br>Note   | Feed Additives<br>Application Type<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC) No<br>1831/2003 respectively) | List of Intended Studies (0)  |
|   |  | Pre-Submission Advice (0)  Share With (0)   |

Use these buttons to create a new draft study or add a notified study, request a GPSA, create and submit a list of intended studies or delete the pre-application ID.

Values in these fields can be modified only when there are not submitted objects associated to the pre-application ID.

Use the <u>Add Component</u> button to add/create one or more components and link them to the pre-application ID.

This section lists all the associated objects and the sharing relationships.

| 3.2 Create a new study  | The user selects <b>New Study</b><br>and fill in the fields, then<br>clicks <b>Next</b> to create a new | New Study   |  |
|---|---|---|--|
| New Study   | draft study record and link it to this pre-application ID.  | A new Study has been created in Draft status. You can access by clicking on the button below. |  |
| To create a new study, fill out the mandatory fields (marked with a red asterisk).<br>Please note that you are only creating a <b>draft version</b> of the study and will still be<br>able to edit it later.<br>* Study Title<br>Complete this field.<br>Study Title - English Name |   | Go to New Study To return to your Pre-Application ID, simply click on Next. Next              |  |
| *Business Operator ()<br>Luckystones_test ×   | The study created appears in the  | U Study Notification (4)  |  |
| Laboratory 0<br>Search Accounts Q   | Study Notification  | Study Title EFSA Study Iden Status Study Withdrawn  |  |
| Study Internal Reference ID (may 250 characters)  | section available in  | Test Share wit EFSA-2022-0000 Draft   |  |
|   | the page of the pre-  | Test Share wit EFSA-2022-0000 Draft   |  |
| Next  | application ID.   |   |  |

The user must indicate the business operator carrying out or commissioning the study. By default, it is the same user organisation as indicated in the pre-application ID. When creating the notification (and only at that stage), it is possible to edit the "Business Operator" field and indicate the actual business operator for that specific study notification. To do so, this entity should establish a relationship "on behalf of" with the third party/consultant (see <u>Create an account relationship</u>).

The user can also indicate the laboratory commissioned to conduct the study. This information can be revised also at a later stage.

#### 3.3 Add a study to the pre-application ID

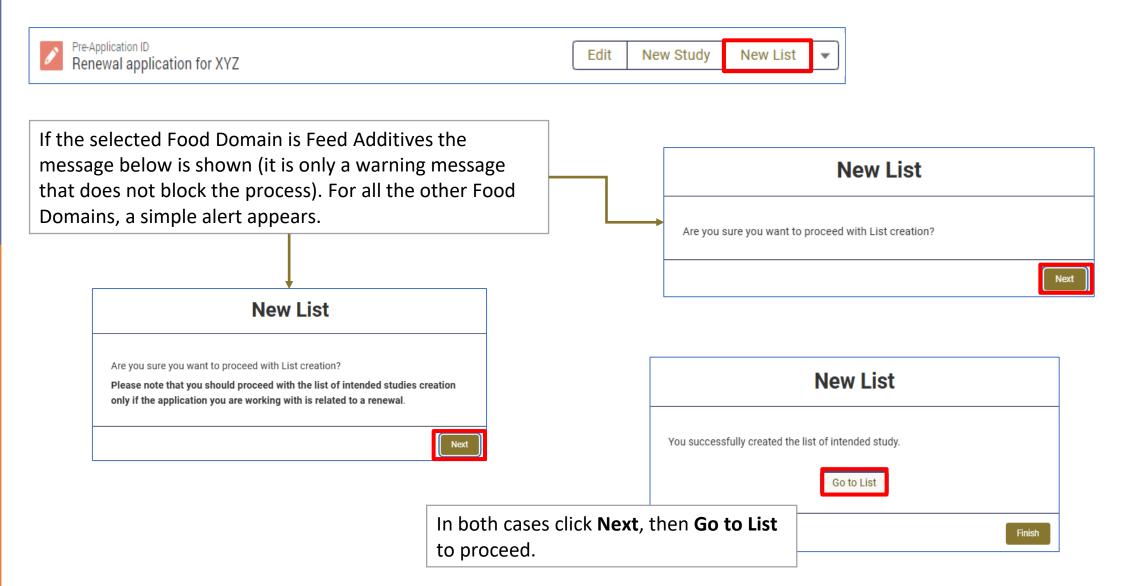
#### Add Studies

Click on **Add Studies** and use the search bar to find a study record. It is possible to select one or more study records the user would like to add to the pre-application ID. To continue click on **Next.** 

| Search Studies          Selected Studies         EFSA-2023-00001617 x  |  | Add Studies to Pre-Ap                                     | olication ID  |   | <b>Only notified and co-notified</b><br><b>studies</b> can be added to the pre-<br>application ID.   |
|--|--|---|---|---|--|
| ■ Study Number         ■ EFSA-2024-00001762         ☑ EFSA-2023-00001617         ☑ EFSA-2023-00001593         □ EFSA-2023-00001593 | <ul> <li>✓ Name</li> <li>Study Title 98955191</li> <li>Study Title 78372026</li> <li>Study Title 24254589</li> <li>Study Title 89219473</li> </ul> | Status       Notified       Co-Notified       Co-Notified | <ul> <li>✓ Food Domain</li> <li>Feed Additives</li> <li>Feed Additives</li> <li>Animal Health</li> <li>Animal Health</li> </ul> | <ul> <li>✓ Created Date</li> <li>8-Feb-2024</li> <li>20-Mar-2023</li> <li>20-Mar-2023</li> <li>20-Mar-2023</li> </ul> | Added studies appear in the <b>Study Notification</b> section available in the page of the pre-application ID.   |
| Next   |  |   |   |   | Study Notification (3)         Study Title       EFSA Study Iden       Status       Study Withdrawn         TR_test2_Stud       EFSA-2022-0000       Notified  |
|  |  | Add to  |   |   | Study 123         EFSA-2022-0000         Notified            Study to co-not         EFSA-2022-0000         Co-Notified   Study to co-not         EFSA-2022-0000         Co-Notified |
|  | Selected Studies: 3  |   | se  |   | View Al  |

#### 3.4 Create a list of intended studies for renewal

From the page of a pre-application ID supporting a renewal application the user can create a new list of intended studies by clicking on New List.



#### 3.4 Create a list of intended studies for renewal

| Pre-submission activities / Pre-application ID / List of intended studies detail page<br>Upon creation, the status of the list<br>of intended studies is set as Draft.<br>Closed   |   |
|--|---|
| List of Intended Studies       New Intended Study       Submit List       Delet <ul> <li>List of Intended Studies for Renewal Operations</li> <li>You have saved this record as a draft. You can perform the following actions:</li> <li>Use the New Intended Studies for Renewal of CPT</li> <li>Use the New Intended Studies for Renewal Operations</li> <li>Use the New Intended Studies for Renewal Operations</li> <li>Vou have saved this record as a draft. You can perform the following actions:</li> <li>Use the New Intended Studies for Renewal is complete, click on the Submit List button</li> <li>You can edit all the records of the intended studies present in your list or intended studies will be excluded from the list of intended studies your list of intended studies or submission</li> <li>Use the Delete button to delete your list of intended studies</li> <li>Under each tab the user can see different information regarding the list of intended studies.</li> </ul> <ul> <li>You may use the Mass Conversion button to select the intended studies to convert into notifiable draft studies</li> <li>You may use the Mass Conversion button to select the intended studies to convert into notifiable draft studies</li> </ul> | In this box, the<br>actions available to<br>the user on the list<br>of intended studies<br>are described. |
| List Details Mass Conversion I Intended Studies (0)  |   |
| Public Consultation (0) Here the user finds the objects associated to the list of intended studies. Pre-Submission Advice on Renewal (0)   | 30  |

#### 3.4.1 Create an intended study

Users can create new intended studies that will be part of the list according to the provisions of Article 32c(1) of the General Food Law and Article 12 of the EFSA Practical Arrangements on pre-submission phase and public consultations.

|   | New Intended Study  |  |
|---|---|--|
| New Intended Study Submit List Delete 🔻   | Please fill in the following information to create a new intended study Study Title  Study Title  |  |
| The New Intended Study form must be completed indicating all the  | Complete this field.<br>Study Title (English Name)  | Upon creation, the intended study is shown in the <b>Intended</b> Studies section of the list. |
| mandatory information. Then the user clicks <b>Next</b> .   | Study Scope         Type the name of the Study Type and click         'Enter' or 'Search all result for' to see all         results for your search. If you want to see all         existing Study Types, type 'All' and click         Enter. | Click on the study title to access it.   |
| If needed, it is possible to <b>edit</b> the information of the new intended study in a second moment before the                | * Study Type Search undefined Q  * Test Item XYZ  | Intended Studies (1)<br>1 item • Updated a few seconds ago                                     |
| submission of the list.   | Study Desing         Type the name of the Study Guideline and click 'Enter' or 'Search all result for' to see all results for your search. If you want to see all existing Study Guidelines, type 'All' and click Enter.                      | Study Title ✓ 1 Intended study 1   |
| Suggested read: <u>Question and Answer on</u><br>the EFSA Practical arrangements, section<br>Intended applications for renewal. | Study Guideline Search undefined Next   | 31   |

#### 3.4.1 Create an intended study

The form for the intended study allows to indicate a study title up to 300 characters long and to search more easily among values of Study Type and Study Guidelines and select the most relevant.

| subject       This field can be used to search and select a specific Study Type or Guidelines.<br>Click on the below message "Show All Results for" to see the search results. Type "All"<br>and press Enter to see the full list.         Study Sope<br>Type the name of the Study Type and click<br>Enter to Search all results for "Tox"       Study Desing<br>Type the name of the Study Guideline and<br>click Enter or Search all results for" to see the search results. Type "All"<br>and press Enter to see the full list.         Study Desing<br>Type the name of the Study Type and click<br>Enter to Search all results for "Tox"       Study Duideline and<br>click Enter or Search all results for" to see<br>all results for your want to see<br>all results for your search (Type want to see<br>all results for "Tox"         Study Guidelines for "Tox"       Study Type<br>Subort Type Shin Initiation Corona.<br>all existing Study Guidelines 501 (Metabolism in  | Please fill in the following information to create<br>Study Title<br>* Study Title<br>Complete this field.  | a new intended study   | Up to 300 characters | s long.  |   |
|---|---|--|----------------------|--|---|
| Type the name of the Study Type and click   Enter   *study Type   *study Type   *study Type   *study Type   *study Type   *study Type   *study Suideline   *study Type   *study Suideline   *study Type   *study Suideline   *study Type   *study Type   *study Type   *study Suideline   *study Type   *study Suideline   *study Type   *study Skin Ensitisation   *study Types   *study Type   *study Type   *study Type   *study Type   *study Skin Ensitisation   *study Type NAME   *study Skin Sensitisation   *study Study Guidelines, type All and click Enter   *study Study Guidelines, type All and click En | Study Title (English Name)  |  | Click on the         | e below message "Show All Result   |   |
| Short-term toxicity to aquatic inverte   Short-term toxicity to fish   Acute Toxicity: Skin Irritation/Corrosi   Acute Toxicity: Skin Irritation/Corrosi   Acute Toxicity: Skin Sensitisation   all existing Study Guidelines, type 'All' and click Enter.   Study Type NAME Study Cuideline 503 (Metabolism in OECD Guideline 417 (Toxicokinetics) OECD Guideline 417 (Toxicokinetics) OECD Guideline 417 (Toxicokinetics) OECD Guideline 451 (Carcinogenicity Studies)  | Type the name of the Study Type and click<br>'Enter' or 'Search all result for' to see all<br>results for your search. If you want to see all<br>existing Study Types, type 'All' and click<br>Enter.<br>* Study Type<br>Tox<br>Q                   |  |                      | Type the name of the Study Guideline and<br>click 'Enter' or 'Search all result for' to see<br>all results for your search. If you want to see<br>all existing Study Guidelines, type 'All' and<br>click Enter.<br>Study Guideline |   |
|   | <ul> <li>Short-term toxicity to aquatic inverte</li> <li>Short-term toxicity to fish</li> <li>Acute Toxicity: Skin Irritation/Corrosi</li> <li>Acute Toxicity: Skin Sensitisation</li> <li>all existing Study Guidelines, type 'All' and</li> </ul> | tox<br>Study Types<br>50+ Results • Sorted by Relevance<br>STUDY TYPE NAME | Q                    | <ul> <li>OECD Guideline 492 (Reconstructed</li> <li>OECD Guideline 501 (Metabolism in</li> <li>OECD Guideline 502 (Metabolism in</li> <li>OECD Guideline 503 (Metabolism in</li> </ul>   | 50+ Results • Sorted by Relevance<br>STUDY GUIDELINE NAME<br>OECD Guideline 301 E (Ready biodegradability: Modified OECD Screening Test)<br>OECD Guideline 417 (Toxicokinetics) |

#### 3.4.1 Create an intended study

In the intended study page, the user can revise the information provided and perform further actions on the intended study record.

| QWERTY_1   | Edit Convert                         | Delete 🔻 🖛 |  |
|--|--------------------------------------|------------|--|
| Intended Study ID Converted List of Intended studies INTS-000125 LIST-07-2022-0059   Study Title   |                                      |            | Intended studies can be edited or deleted<br>only if the status of the list of intended<br>studies is equal to "Draft" or "Clarification<br>Needed". |
| Study Title  |                                      |            |  |
| QWERTY_1   |                                      | _          | Intended studies can be converted into   |
| Study Title (English Name) QWERTY_1  |                                      |            | notifiable draft studies (for notifications  |
| ✓ Study Scope  |                                      |            | according to Article 32b of the General  |
| Study Type<br>Acidity/Alkalinity And Ph Value  | Study Objective<br>QWERTY_1          |            | Food Law) only if the status of the list of intended studies is "Draft", "Clarification  |
| Test Item<br>QWERTY  |                                      |            | Needed" or "Closed".   |
| ✓ Study Design   |                                      |            |  |
| Study Guideline<br>ISO 10708 Water quality - Evaluation in an aqueous medium of the ultimate aerobic<br>biodegradability of organic compounds - Determination of biochemical oxygen demand in a two-<br>phase closed bottle test | Study Design Description<br>QWERTY_1 |            |  |
| Study Detailed Protocol  |                                      |            |  |
| QWERTY_1   |                                      |            |  |
| <ul> <li>Other Information</li> </ul>  |                                      |            |  |
| List of Intended studies <u>LIST-07-2022-0059</u>  |                                      |            |  |

#### 3.4.2 Convert single intended studies

Single intended studies that are going to be commissioned can be converted into notifiable draft studies (for notifications according to Article 32b of the General Food Law) only when the status of the list is "Draft", "Clarification Needed" or "Closed".

| Edit Convert Delete   | Convert   |   |
|---|---|---|
| Edit       Convert       Delete         The user clicks       Convert from the intended study record page. If the status of the list is "Draft" or "Clarification                                 | Do you want to proceed with Study Conversion?<br>If you proceed with the conversion, the intended study record will be deleted by<br>the system from the list of intended studies and a draft notification of study will<br>be created and linked to the Pre-Application ID | Convert   |
| <b>Needed"</b> , a warning message appears, clarifying that the converted notifiable study will not be subject to public consultation (as such, study can no longer be considered as 'intended'). |   | The Intended study has been successfully converted into a study notification.<br>Go to Study Notification |

If the user decides to **convert an intended study when the status of the list is "Closed"** the original copy of the intended study will remain in the Intended Studies section of the list as record history and **marked as converted**.

| Intended Studies (4)<br>4 items • Updated a few seconds ago |               |                           |                     |                               |                      |                       |               |   |
|---|---------------|---------------------------|---------------------|-------------------------------|----------------------|-----------------------|---------------|---|
|   | Study Title 🗸 | Study Type 🗸 🗸            | Study Objective 🗸 🗸 | Study Guideline 🗸 Test Item 🗸 | Study Design Descr 🗸 | Study Detailed Prot 🗸 | Converted 🗸 🗸 |   |
| 1   | test uat 6    | Acute Toxicity To Bees    | test uat 6          | ISO 10253 (Water qual         | test uat 6           | test uat 6            | <b>*</b>      | • |
| <br>2   | test uat 5    | Pre-Clinical Data: In Vit | test uat 5          | OECD Guideline 433 dr         | test uat 5           | test uat 5            |               | • |
| 3   | test uat 4    | Repeated dose toxicity    | test uat 4          | OECD Guideline 438 (I         | test uat 4           | test uat 4            | <b>~</b>      | • |
| 4   | test uat 7    | Acute toxicity: other ro  | test uat 7          | Other                         | test uat 7           | test uat 7            | ×             | • |
|   |               |                           |                     |                               |                      |                       |               |   |

#### 3.4.2 Convert single intended studies

Following the conversion, **an intended study is transformed into a draft notifiable study** (for notifications according to Article 32b of the General Food Law). The **draft study record** is moved into the "Study Notification" section of the related pre-application ID. The user can access the draft study and edit it before the notification.

| Pre-Application ID<br>Renewal application TJP  |   | Edit New Study New List 💌  | <ul> <li>Pre-Application Operations</li> <li>Use the New Study button to create new Study record</li> <li>Use the Add Studies button to add notified and or cord</li> <li>Use New List button to create a List of Intended Sturfor renewal applications)</li> <li>Add additional parties to this Pre-Application ID using button</li> <li>Use the Add Component button to add one or more</li> </ul> | o-notified studies<br>Idies for renewal (only<br>ng the <b>Share With</b> |  |  |  |
|--|---|--|--|---|--|--|--|
| Details     History       Request Name     Renewal application TJP       Business Operator     ABC Company Spa |   | ID<br>EFSA-ID-2023-000914<br>Contact Name  | <ul> <li>Ose the Add component button to add one of more components to this<br/>Pre-Application ID</li> <li>Request a General Pre-Submission Advice by using the Ask GPSA button</li> <li>Use the Delete button to delete your Pre-Application ID (certain conditions<br/>apply)</li> </ul>  |   |  |  |  |
| ✓ Details<br>Subject Of The Application<br>Renewal application TJP   |   | Food Domain Feed Additives   | Add Component  |   |  |  |  |
| Former Application ID FSA-Q-XXXXXXX Note   | / | Authorisation Type Feed Additives Application Type   | Subject of the Application: Components (0)   |   |  |  |  |
|  |   | Application rype<br>Application for authorisation of a new use and/or modification and/or renewal of<br>an already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC)<br>No 1831/2003 respectively) | U Study Notification (1)<br>Study Title (S EFSA Study Ide Status S   | Study Withdrawn   |  |  |  |
|  |   |  | Study TJP EFSA-2023-000 Draft  | View All  |  |  |  |
|  |   |  | List of Intended Studies (1)   |   |  |  |  |
|  |   |  | List of Intended studies Name  | Status  |  |  |  |
|  |   |  | LIST-09-2023-0513  | Draft   |  |  |  |

#### 3.4.3 Submit a list of intended studies

When the list of intended studies is ready, the user can submit it by using the function button **Submit List** and then **Next.** 

| List of Intended Studies<br>LIST-06-2024-0523   | New Intended Study                           | Submit List    | Delete                        | •                  |                  |                            |      |
|---|--|----------------|-------------------------------|--------------------|------------------|----------------------------|------|
| Business OperatorDate SubmittedPre-Application IDABC CompanyRenewal of CPT                    |  |                |                               |                    |                  |                            |      |
| List Details  |  |                |                               |                    |                  |                            |      |
|   | Mass Conversion                              | ]              |                               |                    |                  |                            |      |
| Intended Studies (3)<br>3 items • Updated a few seconds ago                                   |  |                |                               |                    |                  |                            |      |
| Study Title V Study Type V Study Object V   | Study Guideline                              | ~              | <ul> <li>Test Item</li> </ul> | ~                  | Study Desig 🗸    | ✓ Study Detail ✓ Converted | ~    |
| 1 Intended study 2 Acute Contact Toxicity Intended study 2                                    | ISO 10707 Water quality - Evaluation in an a | aqueous medium | Subject of the a              | pplication for XYZ | Intended study 2 | Intended study 2           |      |
| 2 Intended study 3 Active Substance Bioconcentration In Prey Of Birds And Ma Intended study 3 | ISO 10707 Water quality - Evaluation in an a | aqueous medium | Subject of the a              | pplication for XYZ | Intended study 3 | Intended study 3           |      |
| 3 Intended study 4 Acute Contact Toxicity Intended study 4                                    | ISO 10253 (Water quality - Marine Algal Gro  | owth           |                               |                    | Submit L         | ist                        |      |
|   |  | To subr        | nit this record to            | ) EFSA, please     | click on Next.   |                            |      |
|   |  |                |                               |                    |                  |                            | Next |

### 3.4.3.1 Submit a list of intended studies - Pesticides

When the pre-application ID for the renewal is related to the Food Domain **Pesticides (AIR)**, the user **must** select the **Member State Country** corresponding to the relevant Competent Authority in the rapporteur Member State/Co-rapporteur Member State for that renewal application.

| Submit List   |  | Submit List   |
|---|--|---|
| Please add the relevant Member State Country that needs to be invo<br>advice for Renewal. Click on Next to continue.<br>*Member State Country<br>None | Ived in this pre-submission  | You have successfully added a Member State to this pre-submission advice for renewal.         Would you like to add a Co-Member State?        None         NB: Please note that if you do not add a Co-Member State now you cannot do it at a later stage.         User can at this stage select the Country of additional Member State (e.g. the co-RMS) |
|   | You have <b>successfully submitted</b> your<br>You can now return to your record and | Studies to EFSA.  |

### 3.4.3.2 Submit a list of intended studies - GMO Directive 2001/18/EC

When the pre-application ID for the renewal is related to GMO Directive 2001/18/EC, the user is asked to select the Member State Country corresponding to the relevant Competent Authority in the Member State for that renewal notification.

| Submit List   |  |      |
|---|--|------|
| Please add the <b>Member State</b> that needs to be involved in this Pre-Application ID for Renewal. First,                                       | Submit List  |      |
| select the country to which the Member State belongs, then click on Next.  Member State Country  Italy  Proceed without adding Member State  Next | You have successfully added a Member State to this pre-submission advi<br>Would you like to add a Co-Member State?<br>None<br>NB: Please note that if you do not add a Co-Member State now you canno | ;    |
| If the Member State is not known, the user can tick the box 'Proceed without adding Member State'.  | The user can select an additional Member<br>State, if needed, or continue without adding it.   | Next |
| Further information might be requested by EFSA during the Administrative Check.   | Submit List  |      |
|   | You have <b>successfully submitted</b> your <i>List of Intended Studies</i> to EFSA.<br>You can now return to your record and <b>refresh the page</b> to view your changes.                          |      |
| Suggested read: <u>Commission Notice on the submission of</u><br>notifications under Articles 13 and 17 of Directive 2001/18/EC                   | Finish   |      |

### 3.4.3 Submit a list of intended studies

### Upon the submission of the list of intended studies its status turns into **Submitted**.

| Draft Clarification Needed Submitt  | Administrative Check Completed Undergoing Public Cons                                  | sultation In Progress Closed  |
|---|--|---|
| List of Intended Studies<br>LIST-07-2022-0049<br>Business Operator Date Submitted Pre-Application ID<br>ABC Company Spa 12/07/2022 Renewal application for XYZ  | New Intended Study Submit List Delete 🔻  | List Of Intended Studies for Renewal Operations<br>You have successfully submitted the List of Intended Studies for Renewal to<br>EFSA. You might be asked to provide clarifications. You will be alerted about<br>any developments via email.<br>When the status is "Submitted" it is not possible to perform further actions on<br>the List of Intended Studies, such as add further intended studies, notify the<br>records present in the list or delete the entire list. |
| List Details List of Intended studies Id LIST-06-2023-0505 Business Operator ABC Company Spa  | Contact Name<br>Pre-Application ID<br>PAID for the renewal of the user guide R8        | Upon submission and after each step, the record information reported in the <b>Details tab</b> is automatically updated.  |
| <ul> <li>✓ List of intended studies submission</li> <li>Date Submitted</li> <li>19/06/2023</li> <li>Status</li> <li>Submitted</li> </ul>  | EFSA Comment Note Closed Reason  | In the <b>Details tab</b> the user finds also the selected Member State(s) information, if required by the type of application for renewal.   |
| Member State Information (Pesticides and GMO Directive 2001/18/EC only)      Member State Country     Austria      Member State Organisation     Österreichische Agentur für Gesundheit und Ernährungssicherheit GmbH | Co-Member State Country<br>Italy<br>Co-Member State Organisation<br>National Authority | 39  |

## 3.4.4 List of intended studies - Clarification Needed

During the administrative check performed by EFSA, there might be the need for clarification on the information submitted with the list. EFSA will set the status of the list to **Clarification Needed**.

To reply to the clarification request, users can **edit** the pre-application ID and the list record. It is also possible to **add, delete or convert** intended studies into notifiable draft studies by using the specific buttons.

| Draft Clarific  | cation Needed Submit                              | tted Administrative Check Completed | Undergoing Public Consultation | In Progress  | Closed   |
|---|---|-------------------------------------|--------------------------------|--|--|
| List of Intended Studies<br>LIST-07-2022-0049         Business Operator       Date Submitted         ABC Company Spa       12/07/2022 | Pre-Application ID<br>Renewal application for XYZ | New Intended Study Submit Lis       | E hh                           | renewal"<br>• You can <b>edit all the records</b> of the int | Intended Studies for Renewal. You<br>s based on the feedback in the EFSA<br>the <b>Notes field</b><br>to create a new intended study. They<br>section "List of Intended Studies for<br>rended studies present in your list or<br>ill be excluded from the list of intended<br>ndments requested by EFSA you have<br>it the list<br>list of intended studies (certain |

Suggested reads: Article 13 of the <u>EFSA Practical Arrangements on pre-submission phase and public consultations</u> Questions 22.B and 24.B of the <u>EFSA Q&A on Practical Arrangements</u>.

### 3.4.4 List of intended studies - Clarification Needed

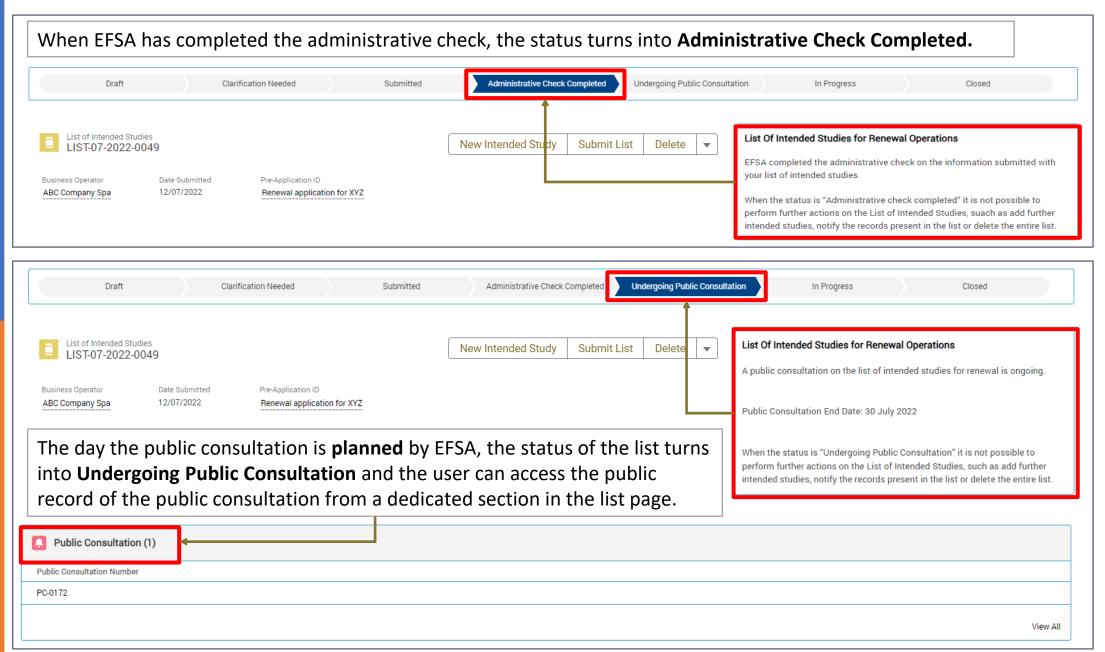
Under the **Details tab** of the list the user finds the section **EFSA comments** containing the request(s) of clarification. A reply can be submitted by the user using the **Note section**.

After the required amendments have been done and the list is ready, the user must **Submit** the **list** again.

| List of Intended Studies<br>LIST-07-2022-004                        |                           |   | New Intended Study | Submit List | Delete 🔻 |  |
|---|---------------------------|---|--------------------|-------------|----------|--|
| usiness Operator<br>3C Company                                      | Date Submitted 12/07/2022 | Pre-Application ID<br>Renewal application f | or XYZ             |             |          |  |
| ist <b>Details</b><br>st of Intended studies Nam<br>ST-07-2022-0049 | ne                        |   |                    |             |          | Contact Name   |
| usiness Operator<br>BC Company Spa                                  |                           |   |                    |             |          | Pre-Application ID<br>Renewal application for XYZ          |
| List of intended stu  | udies submission          |   |                    |             |          |  |
| ate Submitted<br>2/07/2022  |                           |   |                    |             |          | EFSA Comment<br>Request from EFSA to amend the information |
| atus<br>arification Needed  |                           |   |                    |             |          | Note   |
|   |                           |   |                    |             |          | <br>Closed Reason  |
| status turns a  | gain into <b>Subr</b>     | nitted.                                     |                    |             |          |  |

| Draft | Clarification Needed | Submitted | Administrative Check Completed | Undergoing Public Consultation | In Progress | Closed |
|-------|----------------------|-----------|--------------------------------|--------------------------------|-------------|--------|
|       |                      |           |                                |                                |             |        |

### 3.4.5 List of intended studies – Administrative Check Completed and Public Consultation



42

### 3.4.6 List of intended studies – In Progress

After the end of the public consultation the status of the list turns into **In Progress**. This means that EFSA is considering the comments received during the public consultation and will provide the user with the renewal pre-submission advice in 30 working days.

| Draft | Clarification Needed   | Submitted                 | Administrative Check Completed Undergoing Public Consultation In Progress Closed   |
|-------|--|---------------------------|--|
|       | te Submitted Pre-Applicati<br>/07/2022 <mark>Renewal ap</mark> | on ID<br>Dication for XYZ | New Intended Study       Submit List       Delete       Isst of Intended Studies for Renewal Operations         The renewal pre-submission advice related to the submitted list of intended studies is in progress. A written or verbal (meeting) advice will be provided to you within 30 business days. You will be alerted via email.         When the status is "In Progress" it is not possible to perform further actions on the List of Intended Studies, such as add further intended studies, notify the records present in the list or delete the entire list. |



Note: when the status of the List is "Submitted", "Administrative Check Completed", "Undergoing Public Consultation" or "In Progress" it is not possible to perform further actions on the List. However, it is always possible to create and notify studies or add already notified studies by using the function buttons (i.e. New Study, Add studies) in the related pre-application ID page.

### 3.4.7 List of intended studies – Closed

When the renewal pre-submission advice is sent to the potential applicant, the status of the list turns into **Closed**.

| Draft  | Clarification Needed   | Submitted                            | Administrative Check Completed | Undergoing Public Consultati | ion In Progress  | Closed  |
|--|--|--------------------------------------|--------------------------------|------------------------------|--|---|
| List of Intended S<br>LIST-07-2022<br>Business Operator<br>ABC Company Spa |  | tion for XYZ                         | New Intended Study Sub         | omit List Delete 💌           | List Of Intended Studies for Renewal O<br>The process of the renewal pre-submission<br>of intended studies is closed.<br>To comply with provisions of Article 32b o<br>you are requested to notify all the studies<br>future application for renewal, before their<br>• Use the Convert button to transform the<br>notifiable draft study.<br>• You may use the Mass Conversion but<br>convert into notifiable draft studies | n advice related to the submitted list<br>f the General Food Law Regulation<br>in this list that will support your<br>starting date.<br>re intended study record in a |
|  | When the renewal pre<br>user can access the ad<br><b>request number</b> in the | vice and its summar                  | y <b>by clicking on the</b>    | 2                            |  |   |
| List   |  | Mass Co                              | onversion                      |                              |  |   |
| Pre-Submission Ad  | vice on Renewal (1)  | Subject                              |                                |                              |  |   |
| 00001817   |  | PSA on Renewal for LIST-07-2022-0049 |                                |                              | View All   | 44  |

### 3.5 Renewal pre-submission advice and summary of the advice

| PSA on Renewal for LIST-07-2022-0049            | Printable View   | Pre-Submission Advice Guidance<br>Your Pre-Submission Advice request is now closed and can no longer be modified. |
|---|--|---|
| Status Request Number<br>Closed 00001817        |  | Open Activities (0)   |
| Details History                                 |  |   |
| ✓ Request Information                           |  | Request Team (0)  |
| Request Number<br>00001817                      | Account Name ABC Company Spa Contact Name  | Member Name v Team Role Name v  |
| ✓ PSA Details                                   |  |   |
| Subject<br>PSA on Renewal for LIST-07-2022-0049 | Food Domain<br>Feed Additives  |   |
| Old Application ID<br>0000001                   | Authorisation Type<br>Feed Additives   |   |
| List of Intended Studies LIST-07-2022-0049      | Application Type<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC) No 1831/2003<br>respectively) | The advice and its summary can be found in the <b>PSA Submission</b>  |
|   | Test Item<br>Subject of the application for XYZ  | Outcome section.  |
| ✓ PSA Submission Outcome                        |  | L]  |
| PSA Summary Test written advice                 | Written Advice Test written advice   |   |

### 3.6 Mass conversion of intended studies

Intended studies that are going to be commissioned can be converted into draft notifiable studies (for notifications according to Article 32b of the General Food Law) when the status of the list is "Draft", "Clarification Needed" and "Closed". Users can use the Mass Conversion button from the List tab to select which studies need to be converted. The same rules of the conversion of single intended studies apply.

| List Details     |        |  |                              |              |   | The user clicks or selection window |                      | nversion and a dedicated         |
|------------------|--------|--|------------------------------|--------------|---|-------------------------------------|----------------------|----------------------------------|
|                  |        |  |                              |              | Mass Conversion   |                                     |                      |                                  |
| List Details     |        |  |                              |              |   |                                     |                      |                                  |
| Intended Studies |        |  |                              |              | 1   |                                     |                      |                                  |
| Study Title      | $\sim$ | Study Type   | <ul> <li>Study Ob</li> </ul> | jective 🗸    | Study Guideline   | Test Item 🗸                         | Study Design Descrip | tion 🗸 Study Detailed Protocol 🗸 |
| Intended study 2 |        | Acute Contact Toxicity   | Intended                     | study 2      | ISO 10707 Water quality - Evaluatio<br>in an aqueous medium of the 'ulti-<br>mate' aerobic biodegradability of or<br>ganic compounds - Method by anal<br>sis of biochemical oxygen demand<br>(closed bottle test) | Subject of the application for XVZ  | Intended study 2     | Intended study 2                 |
| Intended study 3 |        | Active Substance Bioconcentratio<br>In Prey Of Birds And Mammals | n Intended                   | study 3      | ISO 10707 Water quality - Evaluatio<br>in an aqueous medium of the 'ulti-<br>mate' aerobic biodegradability of or<br>ganic compounds - Method by anal<br>sis of biochemical oxygen demand<br>(closed bottle test) | Subject of the application for YV7  | Intended study 3     | Intended study 3                 |
| Intended study 4 |        | Acute Contact Toxicity   | Intended                     | study 4      | ISO 10253 (Water quality - Marine<br>Algal Growth Inhibition Test with<br>Skeletonema costatum and<br>Phaeodactylum tricornutum)  | Subject of the application for XYZ  | Intended study 4     | Intended study 4                 |
| Select           | the    | intended studie  | s by tio                     | cking the bo | xes and then clic   | k on <b>Convert</b> to co           | ontinue.             | Convert                          |

### 3.6 Mass conversion of intended studies

| ABC Company Spa<br>> Details<br>Subject of The Application O<br>Subject of The Application for XYZ<br>Former Application IDO<br>000001<br>Note O<br>Note O<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation for a new use and/or modification and/or renewal of an<br>Application for authorisation for a new use and/or modification and/or renewal of an<br>Application for authorisation for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application for a new use and/or modification and/or renewal of an<br>Application fo | Convert Once the users c          | clicks on <b>Convert</b> , a message appears.   |   |
|--|-----------------------------------|---|---|
| Click again on Convert to continue or Cancel to go back.  Click again on Convert to continue or Cancel to go back.  Click again on Convert to continue or Cancel to go back.  Click again on Convert to continue or Cancel to go back.  Converted studies (in draft) can be found in the Study Notification section of the pre- application ID page. Click on View All for a complete view.  Converted studies (in draft) can be found in the Study Notification section of the pre- application ID page. Click on View All for a complete view.  Converted studies (in draft) can be found in the Study Notification section of the pre- application ID page. Click on View All for a complete view.  Converted studies (in draft) can be found in the Study Notification section of the pre- application ID page. Click on View All for a complete view.  Click on View II for a complete view.  Click  |                                   | elected intended study a draft notification will be created and linked to the Pre-Applic  | ication ID.   |
| Renewal application for XYZ     io   EfSA-ID-2022-000646        etails   History           equest Name   enewal application for XYZ                    equest Name   enewal application for XYZ               enewal application for XYZ                       enewal application for XYZ            Converted studies (in draft) can be found in the Study     Notification section of the pre-    application for XYZ        Contact Name    Click on View All for a complete  view.      Output: The Application for XYZ   Peed Additives  Application Type  Application Type  Application for authorisation of a new use and/or modification and/or renewal of an and/or renewal of an application and/or renewal of an and/or   |                                   | Click again on <b>Convert</b> to continue or <b>Car</b>   |   |
| Request Name       D         Request Name       D         Renewal application for XYZ       EFSA-ID-2022-000646         Subject Of The Application O       Contact Name         > Details       Food Domain O         Subject Of The Application for XYZ       Food Domain O         Subject Of The Application ID O       Authorisation Type         Food Domain O       Feed Additives         Authorisation Type       Application of a new use and/or modification and/or renewal of an         Note O       Application of a new use and/or modification and/or renewal of an   | Renewal application for XYZ       | Edit New Study New List 💌   | be found in the <b>Study</b><br><b>Notification</b> section of the pre- |
| ABC Company Spa<br>> Details<br>Subject Of The Application for XYZ<br>Subject Of The Application for XYZ<br>Feed Additives<br>Authorisation Type<br>Feed Additives<br>Application Type<br>Application Type<br>Application Type<br>Application Type<br>Application Type<br>Application of a new use and/or modification and/or renewal of an<br>Application of a new use and/or modification and/or renewal of an<br>Application of a new use and/or modification and/or renewal of an<br>Application of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an<br>Application for authorisation of a new use and/or modification and/or renewal of an   | Request Name                      |   |   |
| Subject of the application for XYZ       Feed Additives       Study Title       EFSA Study Iden       Study Withdrawn         000001       Authorisation Type       Feed Additives       Intended study 1       EFSA-2022-0000       Draft       Image: Comparison of the study 2         Note       Application for authorisation of a new use and/or modification and/or renewal of an       Intended study 2       EFSA-2022-0000       Draft       Image: Comparison of the study 2  | Business Operator ABC Company Spa | Contact Name  | view.   |
| Authorisation Type       Study Title       EFSA Study Iden       Status       Study Withdrawn         0000001       Feed Additives       Intended study 1       EFSA-2022-0000       Draft       Image: Study Withdrawn         Note@       Application Type       Application of a new use and/or modification and/or renewal of an       Intended study 2       EFSA-2022-0000       Draft       Image: Study Withdrawn  | Subject Of The Application        | -   | U Study Notification (3)  |
| Application Type     Intended study 1     EFSA-2022-0000     Draft     Image: Comparison of a new use and/or modification and/or renewal of an other study 2       Mote()     Application for authorisation of a new use and/or modification and/or renewal of an other study 2     EFSA-2022-0000     Draft     Image: Comparison of a new use and/or modification and/or renewal of an other study 2   | Former Application ID             |   |   |
| 1831/2003 respectively)  | Note                              | Application for authorisation of a new use and/or modification and/or renewal of an<br>already authorised feed additive (Articles 4(1), 13(3), 14 of Regulation (EC) No | Intended study 2 EFSA-2022-0000 Draft                                   |

## 3.7 Delete a pre-application ID

Users can delete a pre-application ID only when there are no records associated, such as notified studies, list of intended studies or general pre-submission advice.

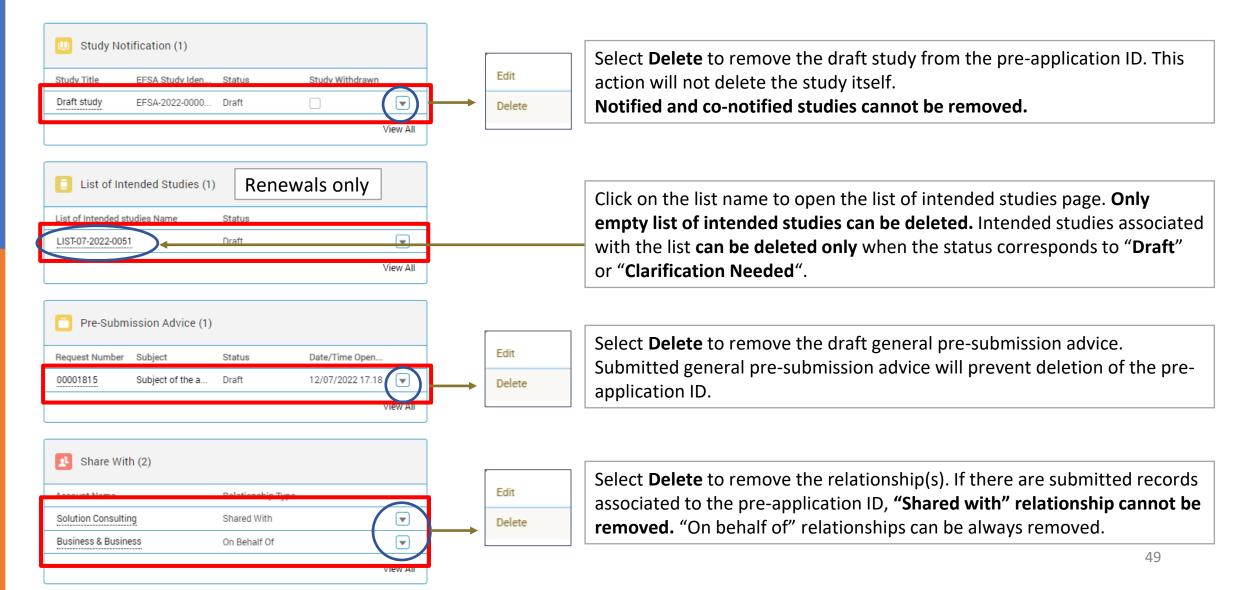
If the above conditions are not fulfilled, the system will return an **error message**.

| U Study Notification (0)                       |
|--|
|  |
| <br>List of Intended Studies (0) Renewals only |
|  |
| Pre-Submission Advice (0)                      |
|  |
| Share With (0)                                 |

| Pre-A<br>Rene    | pplication ID<br>ewal application for XYZ               | Edit | New Study | New List                              | •  |        |               |                             |                                    |         |
|------------------|---|------|-----------|---------------------------------------|----|--------|---------------|-----------------------------|------------------------------------|---------|
| ID<br>EFSA-ID-20 | 24-000950   |      |           | Add Studies<br>Share With<br>Ask GPSA | 3  |        |               |                             |                                    |         |
| Details H        | listory   |      |           | Delete<br>Printatile Vi               |    |        |               | ore-applicat<br>ction butto | ion ID page, c<br>n <b>Delete.</b> | lick on |
| Request Name     | ID  |      |           |                                       | ew |        |               |                             |                                    |         |
|                  | Delete  |      |           |                                       |    |        |               |                             |                                    |         |
|                  | Are you sure you wish to delete the Pre-Application-ID? |      | _         |                                       |    |        |               |                             | _                                  |         |
|                  | Ne  | kt < |           | Jsers mus<br>the deletic              |    | lick o | n <b>Next</b> | to confirm                  |                                    | 48      |

### 3.7.1 Delete a pre-application ID and/or remove relationships and draft objects

If a pre-application ID is associated with **draft objects**, such as **studies or general pre-submission advice** request(s), the user must first remove all the associations to be able to delete the pre-application ID record.



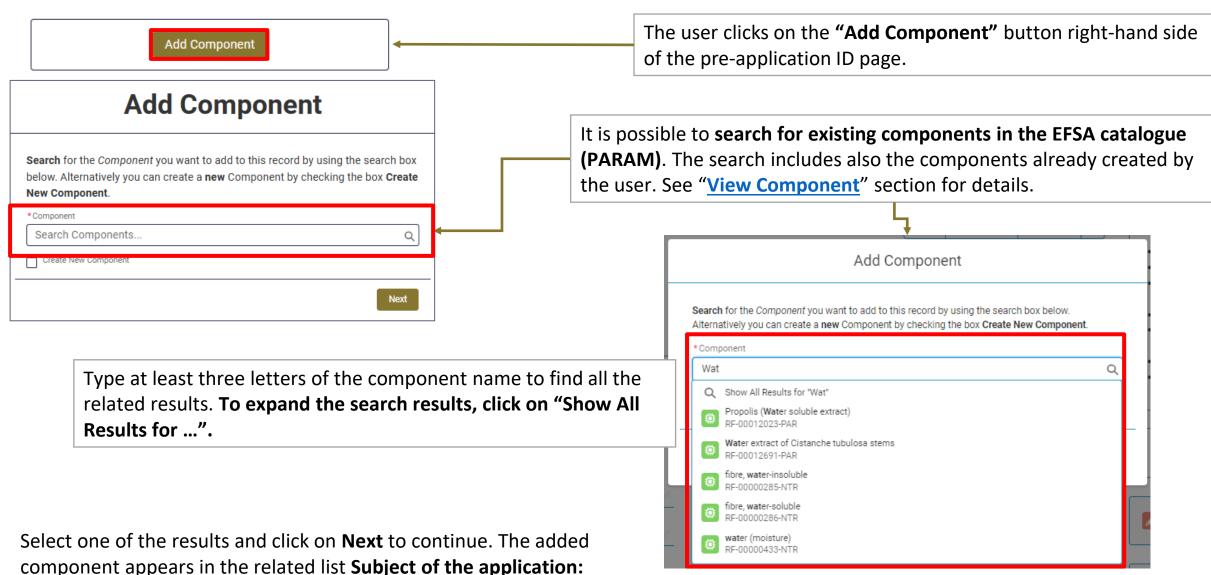
## Components

**#Connect.EFSA** 



## 3.8 Add a component

Component(s) can be added to a pre-application ID to give more information about the subject of the application.



**Components** in the pre-application ID page.

## 3.8.1 Create a new component

**If a component is not retrievable using the search function**, the user checks the box "Create New Component" in the "Add Component" pop-up window.

| A                             | dd Component   |
|-------------------------------|--|
|                               | nt you want to add to this record by using the search box<br>can create a <b>new</b> Component by checking the box <b>Create</b> |
| New Component.<br>* Component |  |
|                               | م  |
| * Component                   | م]   |

Fill in the "Component Details" form with the corresponding information. The fields "Type of Term" and "Name" are mandatory. More details on the information required by a certain field are showed by passing over the 
 icons. Click **Next** to continue.

The newly created component appears in the related list **Subject of the application: Components** in the pre-application ID page.

| nent   | Add Component  |  |  |  |  |
|--|--|--|--|--|--|
| c <b>tion</b> , the user checks<br>ent" pop-up window. | Search for the <i>Component</i> you want to add to this record by using the search box<br>below. Alternatively you can create a <b>new</b> Component by checking the box <b>Create</b><br><b>New Component</b> . |  |  |  |  |
|  | <ul> <li>Component Details</li> </ul>  |  |  |  |  |
|  | *Type of Term  None *Name  |  |  |  |  |
| arch box<br>ox <b>Create</b>                           |  |  |  |  |  |
| ٩  | Common Names Other Names   |  |  |  |  |
| Next   | CAS O  |  |  |  |  |
| nding  | IUPAC 💿  |  |  |  |  |
| e mandatory.   | InChi 💿  |  |  |  |  |
|  | Additional Information   |  |  |  |  |
|  |  |  |  |  |  |
| ct of the  | Next   |  |  |  |  |

## 3.8.2 Related list "Subject of the Application: Components"

Users find the components associated to a preapplication ID in the related list **"Subject of the Application: Components"**. For easier identification of the listed components, additional fields (e.g. Name, Type of Term, Origin) are available.

Click on the name of the component to open the corresponding <u>details page</u>.

|                         | Add Compor  | nent       |     |          |
|-------------------------|---|------------|-----|----------|
| Subject of              | of the Application: Compo   | onents (2) |     |          |
| Name (short)            | Type of Term  | Origin     |     |          |
| Water                   |   | ParamT     | erm |          |
| RTY                     | Chemical elements   | Manual     |     |          |
|                         |   |            |     | View All |
|                         | inting down arrow to Ec<br>component from the lis   |            |     |          |
| of entries, to expand t | l list shows a limited nu<br>users can click on "View<br>the related list box and<br>ciated components. | All"       |     |          |

### 3.8.3 Note field and Other Components

The "Other Components" field was discontinued, the data previously contained, if any, is now available in the "Note" field. Users can modify such data or decide to <u>create a component</u> to be linked to the pre-application ID.

| Pre-Application ID<br>TEST PAID INTEGRATION TESTS   |   |                                      | Edit | New Study | New List | - |
|---|---|--------------------------------------|------|-----------|----------|---|
| ID<br>EFSA-ID-2023-000899   |   |                                      |      |           |          |   |
| Details History   |   |                                      |      |           |          |   |
| Request Name<br>TEST PAID INTEGRATION TESTS   |   | ID<br>EFSA-ID-2023-000899            |      |           |          |   |
| Business Operator   |   | Contact Name                         |      |           |          |   |
| This field can be used to indicate any additional in-<br>formation you may want to include in the pre-appli-<br>cation ID. Previously recorded information from the |   | Satya Nadella                        |      |           |          |   |
| "Other Components" field, which has been discon-<br>tinued, is displayed here as well.  |   | Food Domain                          |      |           |          |   |
| Note<br>Note<br>Other components  | 1 | Animal Welfare<br>Authorisation Type |      |           |          | - |
|   |   | Application Type                     |      |           |          |   |

## 3.8.4 Delete link to components

The user can **always** remove Components from the pre-application ID. By performing this action, the user will delete only the link between the pre-application ID and the Component, **not the Component itself.** 

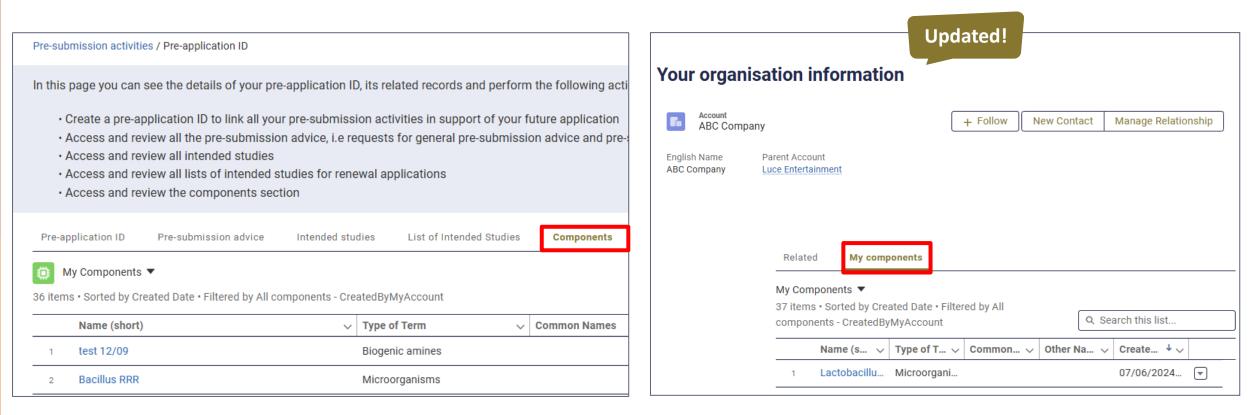
| Subject of   | the Application: Cor | mponents (2) |          |   |   |
|--------------|----------------------|--------------|----------|---|---|
| Name (short) | Type of Term         | Origin       |          |   |   |
| Bacillus RRR | Microorganisms       | Manual       | <b>_</b> |   | Delete Link te Component                                |
| Water        |                      | ParamTerm    | Edit     |   | Delete Link to Component                                |
|              |                      |              | Delete   |   | Are you sure you want to delete this Link to Component? |
|              |                      |              |          | J | Cancel  |

As a result, **the Component is removed from the related list** "Subject of the Application: Components" on the preapplication ID page.

Delete

### 3.8.5 View Components

All Components created by the user are listed under the tab "**Components**" in the pre-application ID main page, and in the "My profile" page under "your organization information" section.



## 3.8.6 Component details page

The detail page of the component appears as in the image below. Information on the component can be added/modified directly from this page only for components created by the user.

| Component<br>Bacillus RRR                          |                             |   |                        | Printable View Delete         |
|--|-----------------------------|---|------------------------|-------------------------------|
| Term Code Term Status Term Valid From<br>Submitted |                             |   |                        |                               |
| ✓ Information                                      |                             |   | Component History      | (1)                           |
| Name Bacillus RRR                                  | Type of Term Microorganisms |   | Date Field             | User Original Value New Value |
| Common Names                                       | Other Names                 |   | 12/09/202 Created.     | . 💌                           |
| /  |                             | / |                        | View All                      |
| CAS  | IUPAC                       |   |                        |                               |
| EC Number  | Flavis Number               |   | PAIDs with this con    | nponent (1)                   |
| Molecular Formula                                  | Smiles Notation             |   | ID                     | Request Name                  |
| /  |                             | / | EFSA-ID-2023-000914    | Renewal application TJP       |
| Zoo Label  | Level of Details            | / |                        | View All                      |
| InChi  |                             |   | U Studies with this co | omponent (1)                  |
| Name (short)<br>Bacillus RRR                       |                             |   | Ctudy                  |                               |
|  |                             |   | Study<br>Study RRR     |                               |
| ✓ Additional Information                           |                             |   |                        |                               |
| Additional Information                             |                             |   |                        | View All                      |

**Related lists of the component page:** inform the user about the history of the component record (e.g. creation, editing actions), and whether the component is associated to a pre-application ID or other study notifications.

### 3.8.7 Delete Components

From the detail page My Components the user can delete a component record by using the **Delete** function button.

|        | Delete   |  |
|--------|--|--|
| Delete | Component can be deleted only when it's private (i.e. entry previously inserted by you) and not used in any other records. | This <b>error message</b> appears if the<br>component is used in any other<br>record (i.e. Pre-Application IDs,<br>Studies records). |
|        | Delete   | To delete the component, the user<br>must firstly <u>remove all the existing</u><br>links with the other records as                  |
|        | Are you sure you wish to delete the Component?   | explained in the previous slides.  |
|        | Next   |  |

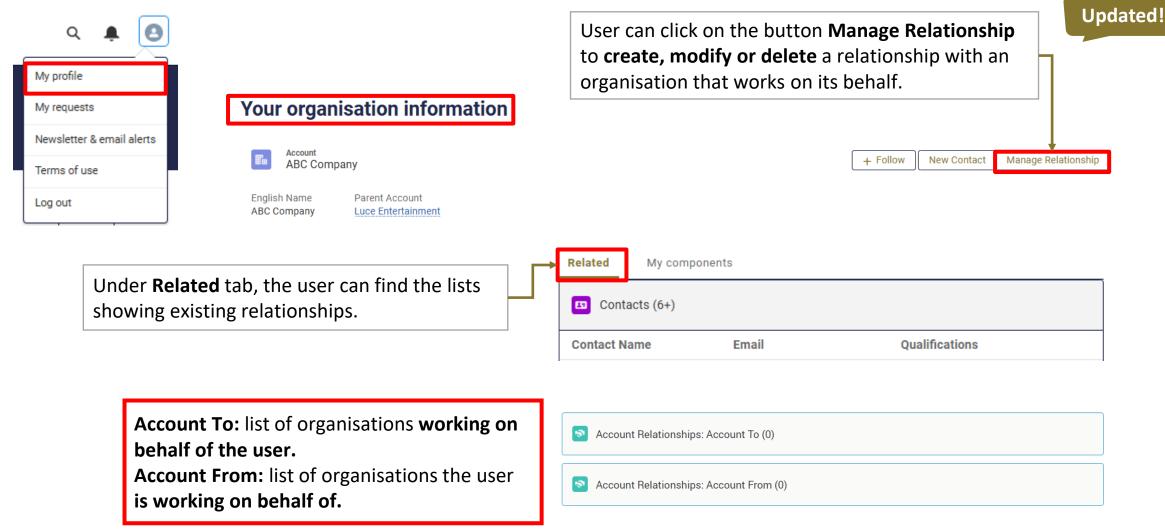
# Account relationships and sharing functions

**#Connect.EFSA** 



## 3.9 Account relationship(s)

When a **business operator** wants to commission a **third-party/consultant** to work on its behalf, a relationship "on behalf of" must be established at the account level from the **My profile** page under "**Your organization information**" section.

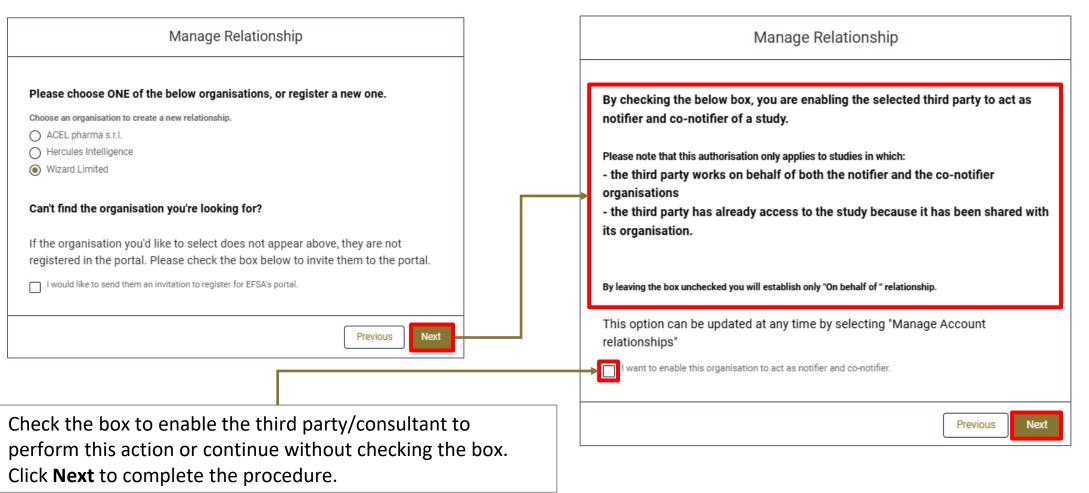


### 3.9.1 Create an account relationship

| Manage Relationship   |          | Manage Relationsh   | iip   |
|---|----------|---|---|
| Manage Relationship         You can either establish a new relationship (or invite a third party to register in the portal), or update or delete a relationship that you have previously established.   | The use  | Select the country in which the third party resides.<br>*Country<br>Italy<br>r selects the Country and<br>ganisation to be added as   | Then click on Next.   |
| Please choose only one of the following options.         Create a new account relationship         Modify an existing account relationship         Delete an existing account relationship         Next | Consulta |   |   |
| Select <b>Next</b> to continue with the guided<br>system will give the user the possibility to<br><b>feature, see next slide.</b>   |          | Can't find the organisation you're lookin If the organisation you'd like to select de registered in the portal. Please check th I would like to send them an invitation to register | bes not appear above, they are not<br>e box below to invite them to the portal. |

### 3.9.1 Create an account relationship

**OPTIONAL FEATURE** - During the creation of an account relationship, **business operators and laboratories can agree on enabling a selected third party/consultant to act as Notifier and Co-notifier**, at the same time, of one or more studies. It is possible to modify this choice at any time (see <u>Modify account relationship(s)</u> to know more details).



### 3.9.1 Create an account relationship

### Actors of the process:

- A business operator, e.g. "Business Operator"
- **A laboratory**, e.g. "Laboratory"
- A third party/consultant, e.g. "Consultant"

### Manage Relationship

By checking the below box, you are enabling the selected third party to act as notifier and co-notifier of a study.

Please note that this authorisation only applies to studies in which:

- the third party works on behalf of both the notifier and the co-notifier organisations

- the third party has already access to the study because it has been shared with its organisation.

### By leaving the box unchecked you will establish only "On behalf of " relationship.

This option can be updated at any time by selecting "Manage Account relationships"

I want to enable this organisation to act as notifier and co-notifier.

### Previous Next

**Scenario:** "Business Operator" commissions a study to "Laboratory". **The two parties decide to delegate to** "Consultant" part or the entire process of notification of studies.

### How it works:

- "Business Operator" and "Laboratory" create an account relationship with "Consultant", and both enable this organisation to act as notifier and co-notifier.
- 2. "Consultant" creates and notifies a new study record on behalf of "Business Operator".
- 3. "Consultant" co-notifies the study on behalf of "Laboratory".

The process works also if "Laboratory" starts the notification process.

## 3.9.2 Manage account relationship(s)

Created relationship will appear in the related list **Account Relationships: Account To** as shown below.

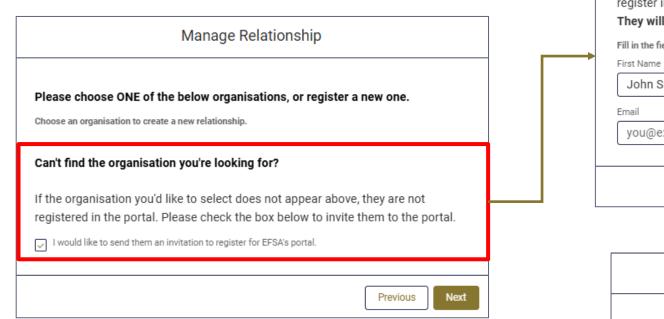
| Account Relationships: Account To (1)              |                           |          |
|--|---------------------------|----------|
| Name   | Account Relationship Type |          |
| Solution Consulting works on behalf of ABC Company | On Behalf Of              |          |
|  |                           | View All |
| Account Relationships: Account From (0)            |                           |          |

Once relationship has been established at the account level:

- 1. The business operator can **share single records** with its third party/consultant (to know more see <u>Share pre-application ID "On behalf of"</u>)
- 2. The third party/consultant can create pre-application IDs and perform all associated actions for the business operator.

### 3.9.2 Manage account relationship(s)

If the organisation that the user wants to create a relationship with is not registered in the system, it is possible to send an invitation to register by following these steps.



Please note that the relationship with this organisation is not automatically created upon its registration. The user needs to create the relationship once the organisation is registered.

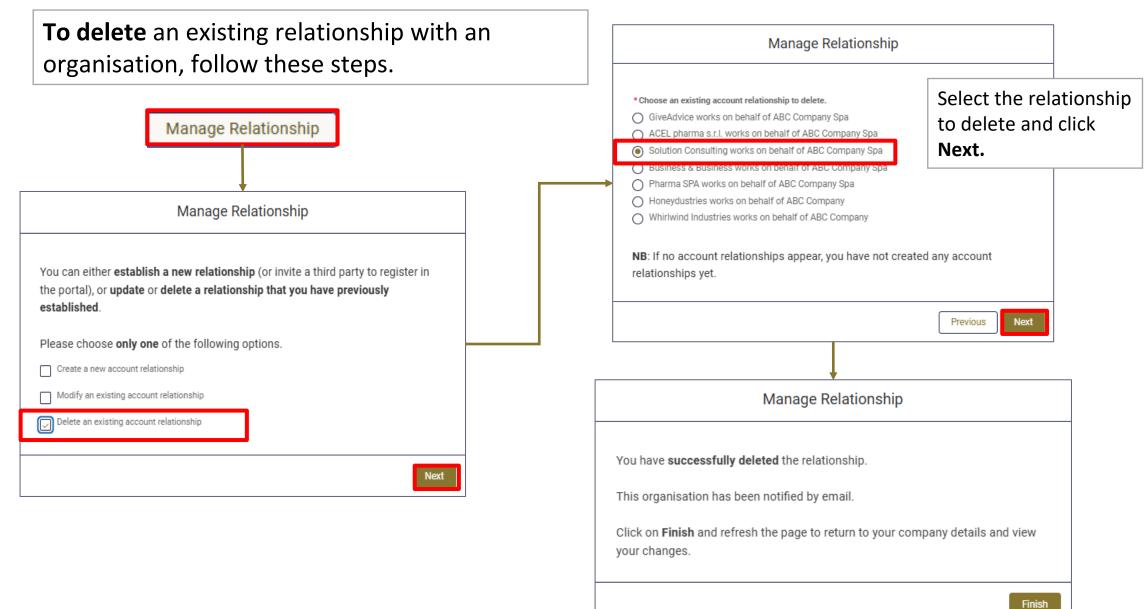
| Manage Relationship  |  |
|--|--|
| Please enter a name and an email address for the organisation register in the portal.<br>They will subsequently receive an email notification with a reg   |  |
| Fill in the fields First Name  |  |
| John Smith   | <ul> <li>Fill in the informatio</li> </ul> |
| John Shith   | and click <b>Next.</b>                     |
| Email  |  |
| you@example.com  |  |
|  |  |
| [  | Previous                                   |
|  |  |
| Manage Relationship  |  |
| <b>Success!</b> You have sent the organisation an invitation to register portal.   | for EFSA's                                 |
| <b>IMPORTANT</b> : Please note that the relationship to your organisati<br>automatically created when it has registered. Instead, you will ne<br>add this relationship via the <b>Manage Relationship</b> button (the thi<br>available in the list of organisations after they have registered). | eed to manually                            |
|  | Finish 65                                  |

### 3.9.3 Modify an account relationship

Business operators and Laboratories **can modify** the option that enables a selected third party/consultant to act as Notifier and Co-notifier at any time.

| Manage Relationship | nage Relationship  |                | Consulta  | xisting account relationship to edit.<br>ncy Spa works on behalf of ABC Company Spa<br>ce works on behalf of ABC Company Spa<br>arma s.r.l. works on behalf of ABC Company Spa<br>Consulting works on behalf of ABC Company Spa  | Select the third pa   |       |
|---------------------|--|----------------|---|--|---|-------|
|                     | ip   |                | <ul> <li>Busines:</li> <li>Pharma</li> <li>Honeydu</li> </ul> | SPA works on behalf of ABC Company Spa<br>SPA works on behalf of ABC Company Spa<br>stries works on behalf of ABC Company<br>ccount relationships appear, you have not created<br>ps yet.  | Previous  | Next. |
|                     | It is possible to grant or rev<br>permission by checking or u<br>box. Click on <b>Next</b> to contin | unchecking thi | is  | Manage Rel<br>By checking (unchecking) this box, you ar<br>third party to act as notifier and co-notifie<br>Please note that this authorisation only applies to s<br>- the third party works on behalf of both the notifier<br>- the third party has already access to the study bed | re enabling (preventing) the select<br>er of a study.<br>studies in which:<br>r and the co-notifier organisations<br>cause it has been shared with its organisa |       |

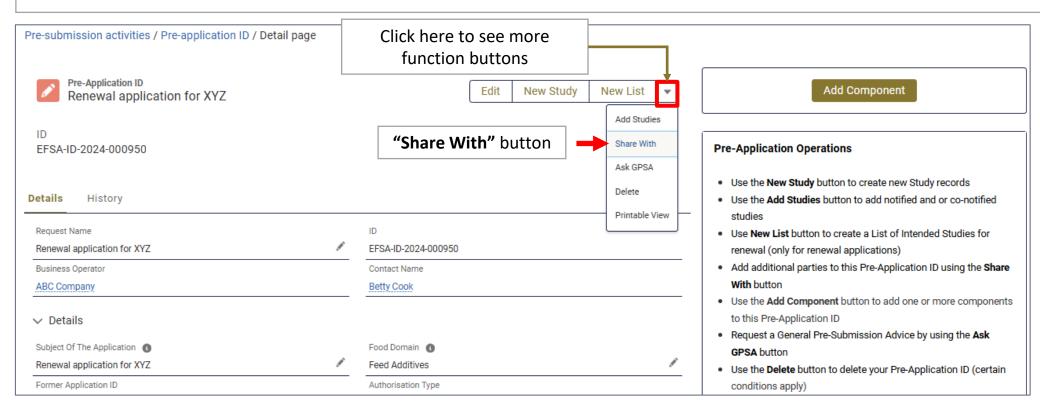
### 3.9.4 Delete an account relationship



## 3.10 Share a pre-application ID

**Business operators** or **third parties/consultants** can share pre-application ID(s) with other organisations using the button **"Share With"**. The pre-application ID(s) can be shared in two different ways:

- Relationship type: **"On behalf of"**. With the sharing type "On behalf of" users can decide to share with **third parties/consultants** only the pre-application ID or the pre-application ID along with some/all the study records already linked to it. In order to be able to perform this type of sharing, the user must establish an **account relationship** with this organisation beforehand (see <u>Account</u> Relationship).
- Relationship type: "Shared with". In this case the user involves another organisation in the pre-submission activities and provides read-only access to the shared pre-application ID. No previous actions are required to perform this sharing.



### 3.10.1a Share a pre-application ID "On behalf of" – without studies

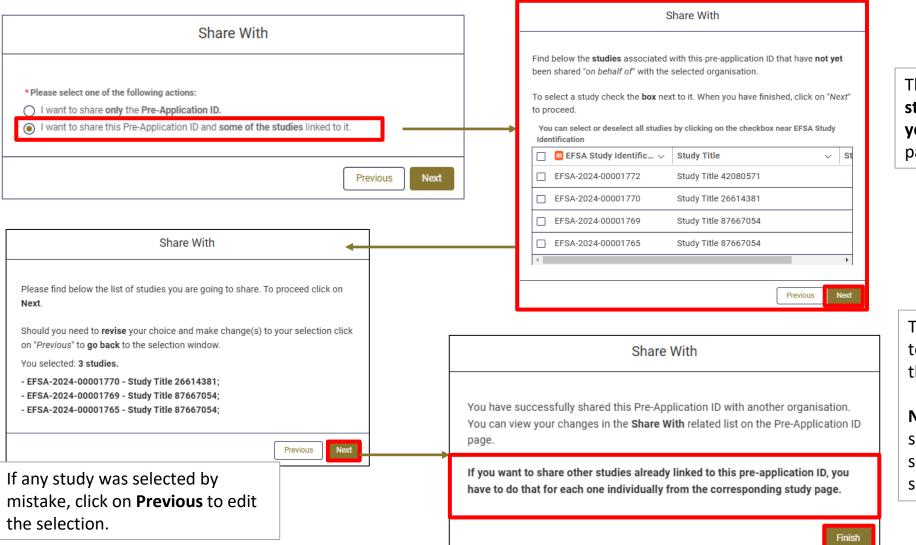
To share only the pre-application ID (without any of the linked studies), the user chooses the sharing type "On behalf of" and the name of the third party/consultant, then checks the box corresponding to "I want to share only the pre-application ID".

| Share With   | Share With   |  |  |                          |
|--|--|--|--|--------------------------|
| <ul> <li>Please indicate the type of relationship you want to establish with another organisation (e.g. On behalf of or Shared With).</li> <li>On Behalf of - you'll enable another organisation see and perform actions on this Pre-Application ID record. Note that in order to be able to perform this action it is necessary to establish the relationship with your third party at organisation level. To do so, click on My profile and use the button Manage Relationship.</li> </ul> | Please select one of the following actions: I want to share only the Pre-Application ID. I want to share this Pre-Application ID and some of the studies linked to it. Previous Next |  |  |                          |
| • Shared With - you'll enable another organisation to see this Pre-Application ID record.  |  |  | 5  | Share With               |
| You can only create one relationship at the time. * Relationship Type On Behalf Of   |  |  | You are sharing <b>only</b> the Pre-Applic | cation ID. Are you sure? |
| Use the field below to search for the organisation's name. *Organisation  Luckystones  |  | Previous       Previous       Next         You have successfully shared this Pre-Application ID with another organisation.       You can view your changes in the Share With related list on the Pre-Application ID page.       If you want to share other studies already linked to this pre-application ID, you have to do that for each one individually from the corresponding study page. |  | Previous Next            |
| Next   |  |  |  |                          |
|  |  |  |  |                          |
| The third par  | list "Share  |  |  |                          |

With" in the pre-application ID page.

## 3.10.1b Share a pre-application ID "On behalf of" – with studies

To share both **pre-application ID and also some/all the studies already linked to it**, the user chooses the sharing type "On behalf of" and the name of the **third party/consultant**, as showed in the previous slide, then checks the box corresponding to "I want to share this pre-application ID and some of the studies linked to it".

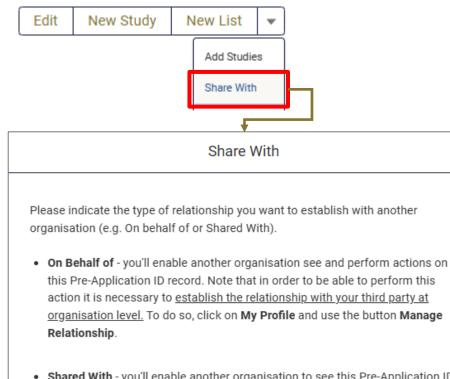


The system displays **only the studies that have not been shared yet** with the selected third party/consultant.

The **third party/consultant** is added to the related list "**Share With**" in the pre-application ID page.

**Note**: The user cannot repeat the sharing procedure by selecting the same **third party/consultant** to share additional studies.

## 3.10.1 Share a pre-application ID "On behalf of"



Shared With - you'll enable another organisation to see this Pre-Application ID record.

#### You can only create one relationship at the time.

\*Relationship Type

On Behalf Of

Use the field below to search for the organisation's name.

Organisation

💼 Wizard Limited

If the account relationship with the **third party/consultant** has not been established beforehand, the system returns an **error message** when the user tries to share a record with the relationship type "On behalf of".



Share With

You cannot do the sharing "on behalf of" with this organisation, because you did not establish a relationship with it.

Please, either select:

- relationship type 'Shared With' (in this way the organisation selected will be able to only view, but not edit the record), or
- Enable a relationship with a third party. To do so click on My profile in the navigation menu, click the button Manage Relationship and follow the instruction

×

## 3.10.1 Share a pre-application ID "On behalf of"

Actions allowed to **business operator** or a **third party/consultant** for a pre-application ID shared using the relationship type **"On behalf of":** 

- 1. View and edit the pre-application ID information\*
- 2. Create new studies or add already existing studies to the pre-application ID
- 3. View and edit the studies that have been shared with the pre-application ID\*\*
- 4. Create, edit and submit a list of intended studies (for renewals only)
- 5. Manage the intended studies associated to a list (for renewals only)
- 6. View and add components
- 7. Share the pre-application ID with other business operators

\*if the pre-application ID contains already a list of intended studies, this will also be shared and editable by the consultant who will be able to submit it as well.

\*\*studies previously created/added need to be shared following the procedure described in <u>Section 3.10.1b</u>.

### 3.10.2 Share a pre-application ID "Shared with"

| Edit | New Study   | New List              | •                       |                  |   |                        |  |                   |   |
|------|---|-----------------------|-------------------------|------------------|---|------------------------|--|-------------------|---|
|      |   | Add Studi             | es                      |                  |   |                        |  |                   |   |
|      |   | Share Wit             | h                       |                  | user chooses the relati   | •                      |  | -                 | is added to the related<br>n the pre-application IE |
|      |   | Share W               | ith                     | orga             | e <b>"Shared with"</b> to enat<br>anisation to <b>only view</b> t<br>lication ID. |                        | Share With (2)   | page.             |   |
|      | Please indicate the type  |                       |                         | another          |   |                        | Account Name   | Relationship Type |   |
|      | organisation (e.g. On bel   |                       |                         |                  |   |                        | Solution Consulting  | On Behalf Of      |   |
|      | <ul> <li>On Behalf of - you'll e<br/>this Pre-Application I</li> </ul>    | D record. Note that i | n order to be able to p | perform this     |   |                        | Business & Business  | Shared With       |   |
|      | action it is necessary<br>organisation level. To<br><b>Relationship</b> . |                       |                         |                  |   |                        |  |                   | View All  |
| L    | <ul> <li>Shared With - you'll e record.</li> </ul>                        | nable another organ   | isation to see this Pre | e-Application ID |   |                        |  |                   | Î   |
|      | You can only create one   | relationship at the t | lime.                   |                  |   |                        | Share With   |                   |   |
| (    | * Relationship Type<br>Shared With  |                       |                         | *<br>*           |   | Ver here ere fulle     |  |                   |   |
|      | Use the field below to se   | earch for the organis | sation's name.          |                  |   | You can view your chan | shared this Pre-Application ID with ano<br>ges in the <b>Share With</b> related list on th | _                 |   |
| (    | 🜇 Business & Business   |                       |                         | ×                |   | page.                  |  |                   |   |
|      |   |                       |                         | Next             |   | -                      | ner studies already linked to this pre-a<br>n one individually from the correspond         |                   |   |
| The  | user searche  | s and select          | ts the organ            | isation          |   |                        |  | Finish            |   |
|      | e to share th   | e pre-appli           | cation ID wi            | th and clic      | cks   |                        |  | للمحمها           |   |
| Nex  | t.  |                       |                         |                  |   |                        |  |                   | 73  |

## 3.10.2 Share a pre-application ID "Shared with"

Actions allowed to **business operator** or a **third party/consultant** for a pre-application ID shared using the relationship type **"Shared with"**:

- 1. See the pre-application ID information
- 2. View the list of intended studies and all the information contained in its page (renewals only)
- 3. View components added to the pre-application ID
- 4. View **only** studies created/added after the record was shared\*

\*studies previously created/added need to be shared one by one.

# General pre-submission advice



**#Connect.EFSA** 

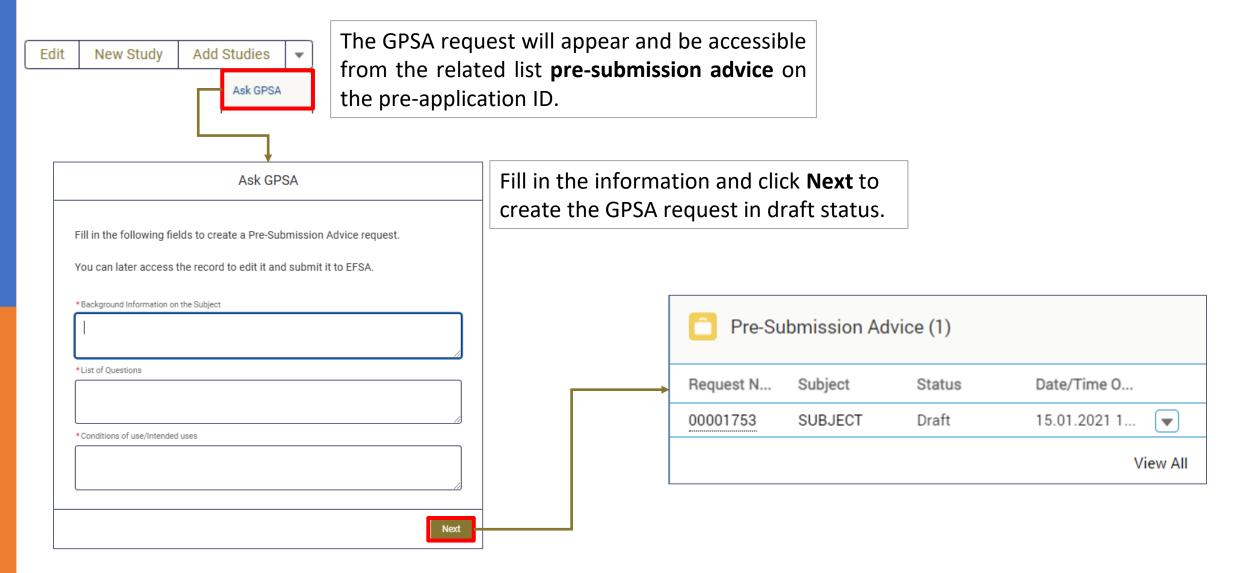
### 3.11 General pre-submission advice (GPSA)

Users can request a general pre-submission advice from the pre-application ID by using the dedicated button **Ask GPSA**, at any moment prior the submission of the application. This action is the same for new and renewal applications.

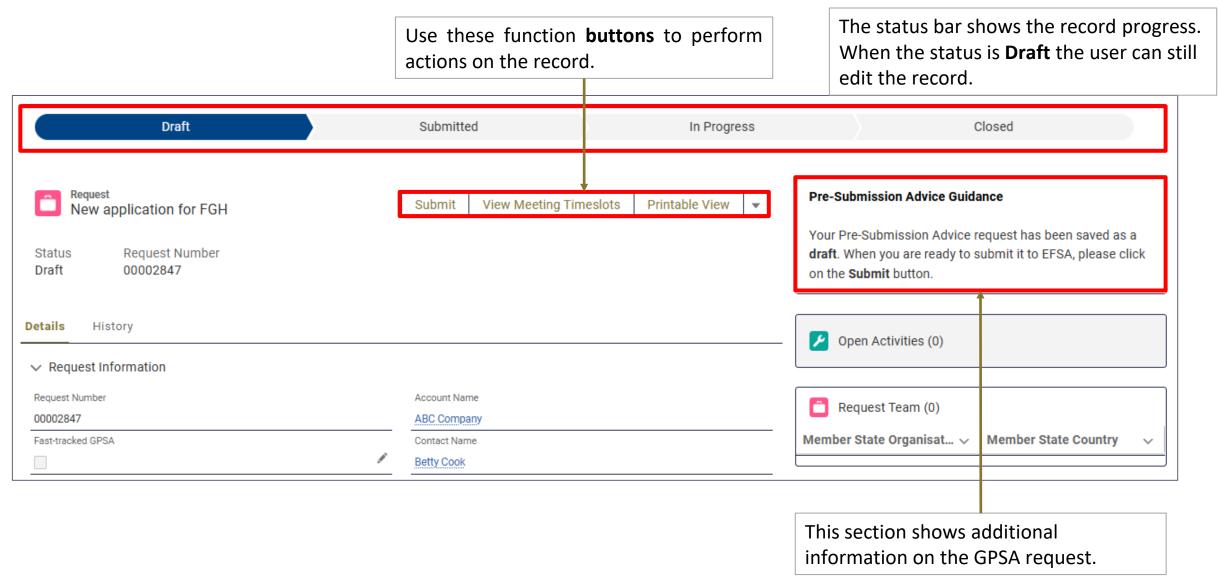
Pre-submission activities / Pre-application ID / Pre-application ID detail page

| Pre-Application ID<br>New application for FGH                      |   | Edi                | t New Study | Add Studi               | es 💌      | Pre-Application Operations  |
|--|---|--------------------|-------------|-------------------------|-----------|---|
| ID<br>EFSA-ID-2024-000951  |   |                    | -           | Ask (<br>Share<br>Delet | e With    | <ul> <li>Use the New Study button to create new Study records</li> <li>Use the Add Studies button to add notified and or co-notified studies</li> <li>Use New List button to create a List of Intended Studies for</li> </ul> |
| etails History   |   |                    |             | Print                   | able View | <ul><li>renewal (only for renewal applications)</li><li>Add additional parties to this Pre-Application ID using the Share</li></ul>   |
| Request Name New application for FGH Business Operator ABC Company | ID<br>EFSA-ID-20<br>Contact Nar<br>Betty Cook |                    |             |                         |           | <ul> <li>With button</li> <li>Use the Add Component button to add one or more components to this Pre-Application ID</li> <li>Request a General Pre-Submission Advice by using the Ask GPSA button</li> </ul>                  |
| ✓ Details  |   |                    |             |                         |           | <ul> <li>Use the <b>Delete</b> button to delete your Pre-Application ID (certain conditions apply)</li> </ul>   |
| Subject Of The Application  O New application for FGH              | Food Domai                                    | 0                  |             |                         | 1         |   |
| Note   | Authorisatio                                  | Authorisation Type |             |                         | 0         | Add Component   |
| The user can access the dedicated section in th                    | ,   | •                  | 2.          | the _                   |           | Pre-Submission Advice (1)<br>Request Number Subject Status Date/Time Open   |
| Suggested tutorial: <u>How to rec</u>                              | wast a CDSA in thre                           | simple             | New!        |                         |           | 00001815 Subject of the a Draft 12/07/2022 17.18 View Al  |

#### 3.11.1 Request a GPSA



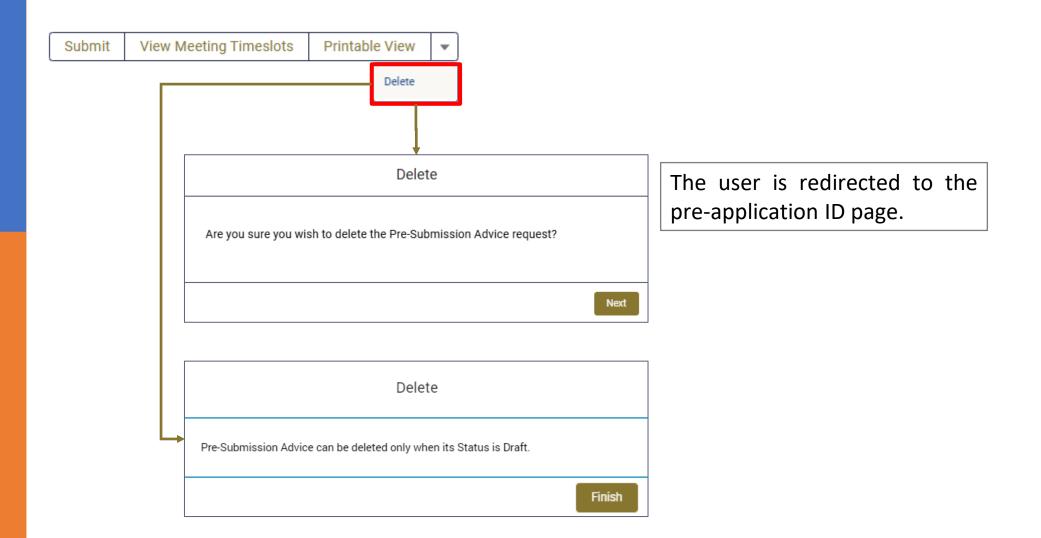
#### 3.11.1 Request a GPSA



| 3.11.1 Request  |  | nder the <b>Detail t</b><br>ser can find the c<br>ne record dividec | details of |   |                                       |              |
|---|--|---|------------|---|---------------------------------------|--------------|
| Details       History <ul> <li>Request Information</li> </ul> Request Number <ul> <li>O0001753</li> </ul> <ul> <li>PSA Details</li> </ul> Subject             SUBJECT           List of Questions           QUESTION 1           QUESTION 2           QUESTION 3           Background Information on the Subject           PSA ON XXX           Conditions of use/Intended uses | Account Name ABC company Spa Contact Name Werner Baumann Food Domain Pesticides Authorisation Type Application Type Test Item SUBJECT Pre-Application ID | ections.  |            | The following fields<br>from the pre-applica<br>• Food Domain<br>• Authorisation Ty<br>• Application Type<br>• Test Item<br>These fields cannot | ation ID informat<br>pe<br>be edited. | ion:         |
| ✓ PSA Submission Outcome  | PAID YYY   |   |            | changes made to the   | record on Requ                        | est History  |
| PSA Summary<br>Written Advice   | Details History  | <   |            | and the past activitie meetings).   | s in Activity Histo                   | ory (such as |
|   |  |   |            |   |                                       |              |
|   | Date<br>15.01.2021 15:45   | Field<br>Created.   | User       | Original Value  | New Value                             | <b></b>      |
|   | 15.01.2021 15.45   | Created.  |            |   |                                       | View All     |
|   | Activity History   | (0)   |            |   |                                       | 79           |

#### 3.11.2 Deletion of a request for GPSA

It is possible to delete the GPSA request only when its status is equal to Draft, otherwise an error message will appear.



#### 3.11.3 Submission of a request for GPSA

#### When the information required by the GPSA form are complete the user clicks **Submit** and follows the procedure.

| Draft   | Submitted In Progres                           | Subm   | it  |
|---|--|--|---|
| Request<br>New application for FGH  | Submit View Meeting Timeslots Printable View 💌 | To submit this general pre-submission advis          | se to EFSA, please click on <b>Next</b> . |
| Status Request Number<br>Draft 00002869   |  |  | Next                                      |
| Details History   |  | Subm   | it 🗸                                      |
| <ul> <li>Request Information</li> <li>Request Number</li> </ul>   | Account Name                                   | You have successfully submitted your gene EFSA.      | ral pre-submission advice request to      |
| 00002869  | ABC Company                                    |  |   |
| Fast-tracked GPSA   | Contact Name                                   | Click on <b>Next</b> to return to the page of your r | equest.                                   |
|   | Betty Cook                                     |  |   |
| ✓ PSA Details<br>Subject  | Food Domain                                    | The status of the GPSA                               | changes to                                |
| New application for FGH   | Novel Foods                                    | Submitted  |   |
| List of Questions  Question 1 Question 2 Question 3 Question n  | Authorisation Type<br>Novel Food Application   | Draft  | Submitted                                 |
| Background Information on the Subject<br>Lorem ipsum dolor sit amet, consectetur adipiscing elit. Donec<br>consectetur porttitor mi, non rhoncus risus blandit sed. Nunc<br>accumsan nec lectus sit amet elementum. Aliquam consectetur lectus<br>eget quam semper condimentum. | Application Type<br>New Novel Food             | Request<br>New application for FGH                   | Submit View Meeting Tin                   |
| Conditions of use/Intended uses<br>Lorem ipsum dolor sit amet, consectetur adipiscing elit. Donec<br>consectetur porttitor mi, non rhoncus risus blandit sed. Nunc<br>accumsan nec lectus sit amet elementum. Aliquam consectetur lectus  | Test Item<br>New application for FGH           | atus Request Number<br>bmitted 00002869              |   |
| eget guam semper condimentum  |  |  | 81  |

#### 3.11.4 Submission of a request for GPSA – Pesticides

When submitting a GPSA requests linked to future applications with Food Domain: **Pesticides Peer Review (NAS), Pesticides MRL, Pesticides Peer Review (AIR) and Pesticides Peer Review - Other Areas**, the user is requested to indicate **the country** of the Rapporteur Member State (RMS) and the Co-Rapporteur Member State (Co-RMS).

| Submit View Meeting Timeslots Printable View 💌 |
|--|
|--|

Depending on the Food Domain, the system will display a different window for the selection of the Member State(s), to clarify when the selection of the RMS and co-RMS is mandatory.

| Pesticides Peer Review (NAS) & Other Areas  | Pesticides MRL   | Pesticides Peer Review (AIR)  |
|---|--|---|
| Submit  | Submit   | Submit  |
| Please indicate the relevant Member State Country to be involved in this general pre-submission<br>advice request. Click on Next to continue.<br>Member State Country<br>Austria :<br>If you do not want to add a member state at this time, please check the box below and click on<br>Next. Please note that you will be able to add a Member State post-submission as well.<br>Proceed without adding Member State | Please indicate the relevant Member State Country to be involved in this general pre-submission<br>advice request. Click on Next to continue.<br>Member State Country<br>Austria<br>For Pesticides MRL application on import tolerance you must indicate the member state and the co-<br>member state before the submission. | Please indicate the relevant Member State Country to be involved in this general pre-submission<br>advice request. Click on Next to continue.<br>Member State Country<br>Austria<br>You must indicate the member state and the co-member state before proceeding with the<br>submission |
| Next  | Next   | Next  |

Note: more details on the submission workflow of a GPSA request for each Pesticides Food Domain are presented in the next slides.

# 3.11.4.1 Submission of a request for GPSA – Pesticides Peer Review (NAS) & Other Areas

| Submit View Meeting Timeslots Printable View 💌  |                           | Submit   |  |  |  |  |  |
|---|---------------------------|--|--|--|--|--|--|
| Submit Please indicate the relevant Member State Country to be involved in this general pre-submission advice request. Click on Next to continue. Member State Country Austria  If you do not want to add a member state at this time, please check the box below and click on Next. Please note that you will be able to add a Member State post-submission as well. | inte<br>If n<br>Check t   | Vou have successfully added a Member State to this general pre-submission advice request. If you intend to add another Member State, please check the box "Add another Member State".<br>If not, simply click on Next to submit your request.<br>Add another Member State<br>Add another Member State<br>Check the box "Add Another<br>Member State" to add the Co-RMS |  |  |  |  |  |
| Proceed without adding Member State   | <b>Membe</b><br>if releva | aber State" to add the Co-RMS,<br>evant.   |  |  |  |  |  |
| In case of <b>Pesticides Peer Review (NAS) &amp; Pesticides</b><br><b>Review Other Areas</b> the user must indicate the RMS a   | and                       | You have successfully submitted your <i>general pre-submission advice</i> request to EFSA.<br>Click on <b>Next</b> to return to the page of your request.  |  |  |  |  |  |
| where relevant the Co-RMS. If not known yet, the use<br>can tick the box 'Proceed without adding Member Sta   | <b>–</b> 1                | The RMS and Co-RMS Countries and the corresponding<br>Competent Authorities are showed in the Request Team<br>section of the GPSA page.  |  |  |  |  |  |

Suggested reads: Article 10(3) of the <u>EFSA Practical Arrangements on pre-submission phase and public consultations</u> Questions 20.B of the <u>EFSA Q&A on Practical Arrangements</u>.

#### 3.11.4.2 Submission of a request for GPSA – Pesticides MRL

In case of Pesticides MRL, the user must indicate the evaluating Member State (EMS). If not known yet, the user can tick the box 'Proceed without adding Member State'. For **Pesticide MRL applications on import tolerance**, the information on **RMS and Co-RMS is mandatory**, therefore the box must not be ticked.

| Submit View Meeting Timeslots Printable View 💌   | Submit  |
|--|---|
| member state before the submission. State  | You have successfully added a Member State to this general pre-submission advice request. If you<br>intend to add another Member State, please check the box "Add another Member State".<br>If not, simply click on Next to submit your request.<br>Add another Member State<br>Add another Member State<br>Rext the box "Add Another Member<br>te" to add the Co-RMS in case of an<br>Dication for import tolerance. |
| Next   | Submit         You have successfully submitted your general pre-submission advice request to EFSA.         Click on Next to return to the page of your request.   |
| Suggested reads: Article 10(3) of the <u>EFSA Practical Arrangements</u><br>on pre-submission phase and public consultations | The EMS Country (or, for import tolerance, the RMS and the Co-<br>RMS Countries ) and the corresponding Competent Authority are<br>showed in the Bequest Team section of the GPSA page  |

Questions 20.B of the EFSA Q&A on Practical Arrangements.

#### 3.11.4.3 Submission of a request for GPSA – Pesticides Peer Review (AIR)

| Submit View Meeting Timeslots Printable View   |                     | Submit  |   |                               |               |
|--|---------------------|---|---|-------------------------------|---------------|
| Submit   |                     | sfully added a <b>Member State</b> to this genera<br>other Member State, please check the box |   |                               |               |
| Please indicate the relevant <b>Member State Country</b> to be involved in this <i>general pre-submission advice</i> request. Click on <b>Next</b> to continue.  | If not, simply clic | k on <b>Next</b> to submit your request.<br>Vember State                                      |   |                               |               |
| Austria Country  | heck the hox        | "Add Another  | Next  |                               |               |
|  |                     | e" to add the Co-RMS  | 5.  | Ļ                             |               |
| with the submission  |                     |   |   | Submit                        |               |
| In case of Pesticides Peer Review (AIR), the information on <b>RMS and co-RMS is mandatory.</b>  |                     |   | Please indicate the relevant <b>Membe</b><br>pre-submission advice request. Click<br>Member State Country<br>Italy<br>You must indicate the member state<br>with the submission | a on <b>Next</b> to continue. | ;             |
|  |                     |   | Submit  |                               | Previous Next |
|  |                     | You have successfully submitted yo<br>Click on <b>Next</b> to return to the page o            | our general pre-submission advice request to E<br>of your request.  | FSA.                          | <b></b>       |
| Suggested reads: Article 10(3) of the <u>EFSA Practical Arrangements on pre-</u><br>submission phase and public consultations<br>Questions 20.B of the <u>EFSA Q&amp;A on Practical Arrangements</u> . | correspo            | S and Co-RMS Countrie<br>onding Competent Aut<br>uest Team section of t                       | thorities are showed in   | Next                          | 85            |

#### 3.11.5 Submission of a request for GPSA – GMO Directive

When submitting a GPSA requests linked to future notification under Articles 13 and 17 of Directive 2001/18/EC, the user is requested to indicate the **Country of the Member State** that will be notified.

| Submit View Meeting Timeslots Printable View  | ſ     |  | Submit                                 |  |        |            |
|---|-------|--|--|--|--------|------------|
| Submit Please indicate the relevant Member State Country to be involved in this general pre-submission advice request. Click on Next to continue. Member State Country Austria Proceed without adding Member State Next | Check | If not, simply click on Next to<br>Add another Member State<br>Add another Member State<br>the box "Add A<br>to add an add | o submit your request.<br>Another Memb |  |        |            |
|   | 1     |  |  |  | Submit |            |
| If the Member State is not known yet, the user can tick the box 'Proceed without adding Member State'.  |       |  |  | You have successfully submitted your <i>gene</i><br>Click on <b>Next</b> to return to the page of your |        | t to EFSA. |
|   |       |  |  | tate Country and the corre<br>thority are showed in the I<br>GPSA page.                                |        | Next       |

#### 3.11.6 Submitted request for GPSA – Pesticides and GMO Directive

| Submit   |   |  |  |   |
|--|---|--|--|---|
| You have successfully submitted your <i>general pre-submission advice</i> request t<br>Click on <b>Next</b> to return to the page of your request. | o EFSA.<br>Next                                   | The Status turns into<br><b>Submitted</b> and the N<br>State contact is adde<br><b>Request Team</b> relate | /lember<br>ed to the   | The Member State contact<br>will be alerted by email and<br>will be able to see and read<br>the GPSA request. |
| Draft  | Submitted   | In Progre  | Clos   | ed  |
| Request         Subject 68225618         Status       Request Number         Submitted       00001501  | Submit View Meeting                               | g Timeslots Printable View 💌   | Pre-Submission Advice Guidance<br>Your request for Pre-Submission A<br>successfully <b>submitted</b> to EFSA, a<br>15 working days. You can no long  | Advice has been<br>and will be reviewed within  |
| ▶ Petails History  |   |  | Open Activities (0)  |   |
| Request Number 00001501 Fast-tracked GPSA  | Account Name ABC Company Contact Name Scott Lopez |  | ☐ Request Team (0) Member State Organisat ∨ | ember State Country 🗸   |

#### 3.11.7 Receiving a written GPSA

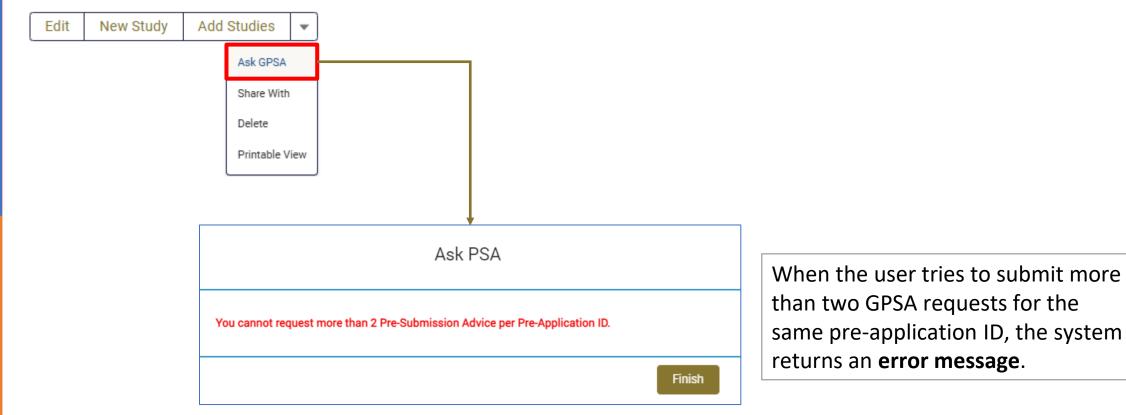
The user sees the record in Closed status and can read the **written advice** and the **GPSA summary** under the **PSA Submission Outcome** section.

| Draft  | Submitted                         | In Progress              | Closed   |
|--|-----------------------------------|--------------------------|--|
| Request<br>Subject 01895253<br>Status Request Number     | View Meeting Timeslots Add Member | r State Printable View 👻 | Pre-Submission Advice Guidance<br>Your Pre-Submission Advice request is now closed and can<br>no longer be modified. |
| Closed 00001541<br>PSA on Protein XYZ<br>Details History |                                   |                          | Dpen Activities (0)  |
| Request Information                                      | Account Name                      |                          | Request Team (0)   |
| 00001541<br>Fast-tracked GPSA                            | ABC Company<br>Contact Name       |                          | Member State Organisat 🗸 Member State Country 🗸  |

| ✓ PSA Submission Outcome               |                                    |
|--|------------------------------------|
| PSA Summary<br>PSA summary information | Written Advice written advice text |
|  |                                    |

#### 3.11.8 Limit number of GPSA requests

Each registered **business operator** or **third party/consultant** can submit **up to two GPSA requests** per pre-application ID.

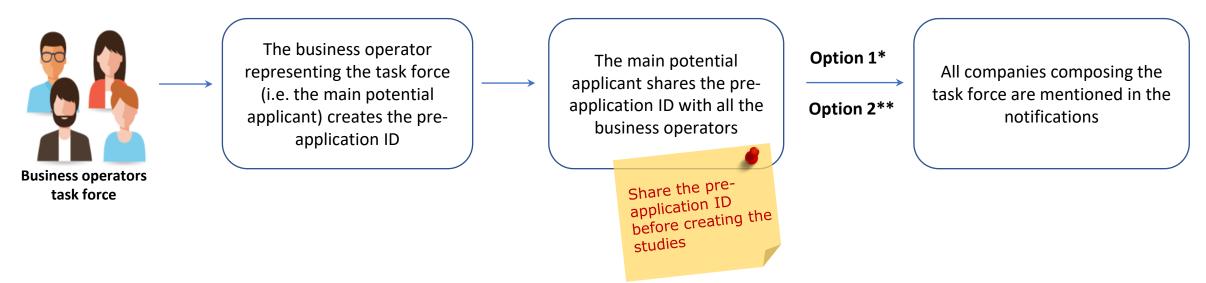


# Joint pre-submission activities (task force)



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### 4 Task force scenario – no third party/consultant involved



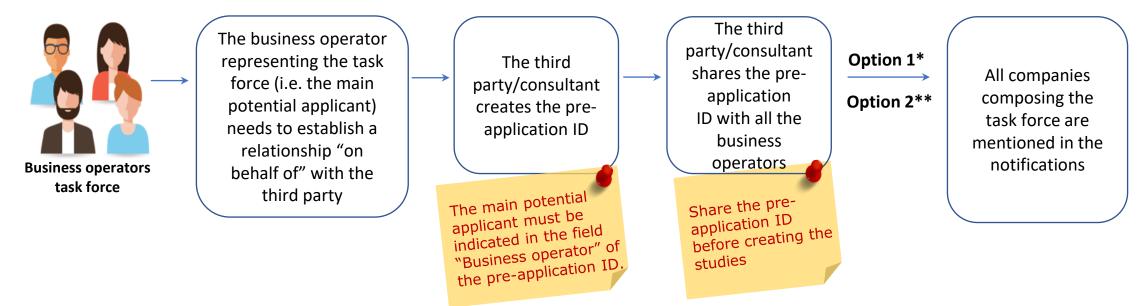
By default, the main potential applicant appears in the field 'Business Operator' of the pre-application ID and of all the studies linked therein.

\*Option 1 - Pre-application ID shared with relationship type "Share With": the companies composing the taskforce, other than the main potential applicant, can only view the studies created and notified that are linked to the pre-application ID.

\*\*Option 2 – Pre-application ID shared with relationship type "On behalf of": when creating the notification (and only at that stage), the Business Operator may be changed to reflect the actual organisation in the task force commissioning the study/ies, as showed in <u>Section 3.2</u>. To do so, the main potential applicant should establish an additional relationship "on behalf of" with such organisation(s).

Both options are adequate to describe a task force scenario. Potential applicants can choose according to their needs.

#### 4.1 Task force scenario – with a third party/consultant involved



By default, the main potential applicant appears in the field 'Business Operator' of the pre-application ID and of all the studies linked therein.

\*Option 1 - Pre-application ID shared with relationship type "Share With": the companies composing the taskforce, other than the main potential applicant, can only view the studies created and notified that are linked to the pre-application ID.

\*\*Option 2 – Pre-application ID shared with relationship type "On behalf of": when creating the notification (and only at that stage), the Business Operator field may be changed to reflect the actual organisation in the task force commissioning the study/ies, as showed in <u>Section 3.2</u>. To do so, this entity should establish a relationship "on behalf of" with the third party/consultant.

Both options are adequate to describe a task force scenario. Potential applicants can choose according to their needs.

## 4.2 Highlights of the task force scenario

- The main potential applicant must be indicated in the field "Business operator" of the pre-application ID.
- If a third party/consultant is involved, the main potential applicant must establish firstly an account relationship "on behalf of" with this organisation.
- The pre-application ID may be shared with relationship type "share with" or "on behalf of" with the other companies composing the task force.
- It is possible to include, at a later stage, additional potential applicants under an already created preapplication ID by creating a relationship before sharing the pre-application ID with them.
- Should one of the joint potential applicants wish to seek general pre-submission advice separately or notify studies without sharing them with the other potential applicants of the task force (to avoid sharing confidential issues), they could request an additional individual pre-application ID. When the joint application will be submitted, all the pre-application IDs need to be reported.



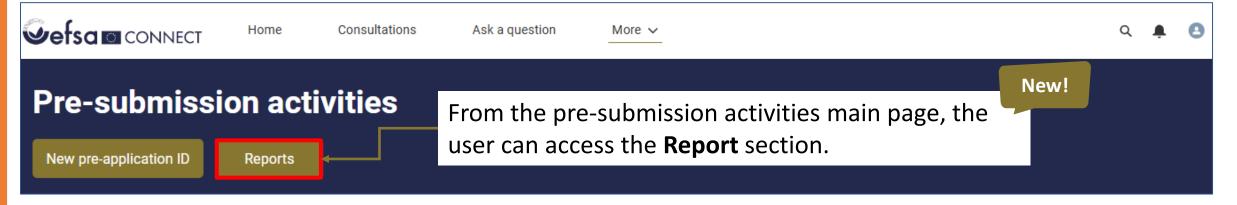
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# **Reporting features**

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#### 5. Reporting features



#### Important notes about reports:

- The user entering the Report section finds an overview of all the **Reports** available.
- Reports are collected in two main folders: "Records owned by my organisation", "Records shared with my
  organisation". Hence it is not possible to see records belonging to another organisation unless they have
  been shared. An additional folder "Study Types and Study Guidelines" contains the already available reports
  on study type and study guidelines.
  - All reports and folders available on the portal are predefined by EFSA and in **read-only mode**. This means that changes done by the user will not be saved. When the page is refreshed, the system will restore the original version of the report. The user cannot create new folders.
  - It is possible to (temporarily) apply some changes to the online reports. They can also be exported in an editable Excel or CSV file.

#### 5.1 Reporting features – Overview

The user can access the reports form the REPORTS (All Reports) view, or from the FOLDERS (All Folders) view.

| Home / Repo<br>Reports<br>All Reports | rts                        | •                           |   | ers can identify<br>n the portal through  | New!                                       |                 |                               | search bar it is possible<br>for a specific report. |
|---------------------------------------|----------------------------|-----------------------------|---|---|--|-----------------|-------------------------------|---|
| 13 items<br>REPORTS<br>Recent         | Report Name                |                             |   | Description V<br>This report shows the components created by<br>your organisation   | Folder<br>Records owned by my organisation | Created By      | Created On<br>1/2/2023, 16:18 | ✓ Subscribed  |
| Created by Me<br>Private Reports      | My Components with Studies |                             |   | This report shows the components linked with studies owned by your organisation Records owned by my organisation            |  | 1/2/2023, 16:18 |                               |   |
| All Reports                           | My GPSA                    |                             |   | This report shows the general pre-submission<br>advice requests owned by your organisation Records owned by my organisation |  | 1/2/2023, 16:18 |                               |   |
| FOLDERS<br>All Folders                | My list of inte            | My list of intended studies |   | This report shows the pre-application IDs and<br>the related list of intended studies created by<br>your organisation       | Records owned by my organisation           |                 | 1/2/2023, 16:18               |   |
| Created by Me<br>Shared with Me       | My PSA on Renewal a        |                             | This report shows the list of intended studies<br>and the related renewal pre-subsmission ad-<br>rice owned by your organisation. |   |  | 1/2/2023, 16:18 |                               |   |
| FAVORITES<br>All Favorites            | My Studies                 |                             |   | This report shows the studies and the linked  | Records owr ed by my organisation          |                 | 1/2/2023, 16:18               | •   |
|                                       |                            | Click on the<br>name to acc | -   | A short deso<br>the report is   | cription of the conte<br>s provided.       | ent of          |                               | 96  |

#### 5.2 Reporting features - Folders

#### All the reports available to the user are saved in three distinct folders.

| Reports<br>All Folders<br>3 items   |                                     |   |                   |                    |                    |   | Q Search all folders |
|---|-------------------------------------|---|-------------------|--------------------|--------------------|---|----------------------|
| REPORTS   | Name                                | Created By                                | Created On        | ✓ Last Modified By | Last Modified Date | ~ |                      |
| Recent  | Records owned by my organisation    | •   | 31/1/2023, 18:07  |                    | 31/1/2023, 18:07   | • |                      |
| Created by Me<br>Private Reports  | Records shared with my organisation |   | 31/1/2023, 18:08  |                    | 31/1/2023, 18:08   | - |                      |
| All Reports   | Study Types and Study Guidelines    |   | 12/10/2022, 14:18 |                    | 1/2/2023, 20:18    | • |                      |
| FOLDERS<br>All Folders<br>Created by Me<br>Shared with Me<br>FAVORITES<br>All Favorites |                                     | Click on the folder<br>name to access it. |                   |                    |                    |   |                      |

#### 5.3 Reporting features – Actions allowed on a report

The user can perform actions on the report using these buttons. It is possible to: search for a specific value in the table add a chart \_ apply filters refresh the values in table \_ export the report in Excel or CSV formats -Report: Pre-Application IDs with Lists of Intended studies with Intended Studies C 🚷 Add Chart **T** Q Export My list of intended studies Report showing all Pre-Application IDs with associated List of Intended Studies and Studies owned by your own organisation Total Converted Total Records 18 202 -Study Title • Study Title (English Name) 🔻 Study Objective Study Guideline Study List of Intended studies Id ↑ 🔻 Request Name Test Item Study Type LIST-01-2023-0476 (1) Test member state AIR giga ff Renewal Sediment toxicity OECD Guideline 105 (Water Solubility) ff ↑ Sort Ascending Study Test LAT 09.01.23 PL LIST-01-2023-0478 (1) Test UAT 09.01.23 PLR 2 Study obj.Test UAT 09.01.23 PLR 2 Test UAT 09.01.23 PLR 2 Allergenicity Study [ ↓ Sort Descending LIST-06-2022-0001 (2) Paid 9/6 12.13 Test Feder Test Federico Test Federico Acidity/Alkalinity And Ph Value Test Fe ISO 10707 Water quality - Evaluation in an aqueous medium of the 'ultimate' Group Rows by This Field aerobic biodegradability of organic compounds - Method by analysis of biochemical oxygen demand (closed bottle test) Group Columns by This Field Paid 9/6 12.13 test gloria asdasd hhasdasd Acute toxicity: inhalation ISO 10156 (Gases and gas mixtures - Determination of fire potential and oxidizing × Remove Column ability for the selection of cylinder valve outlets)

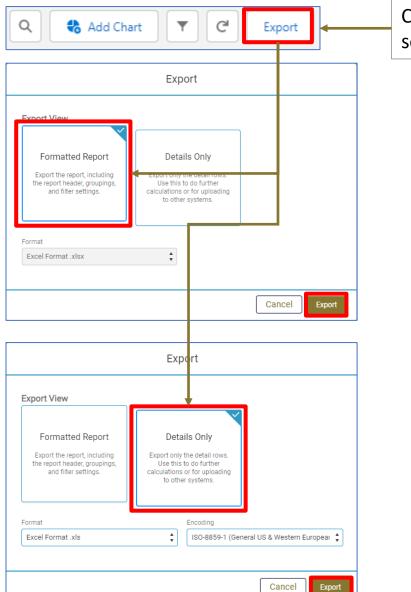
Click on one of the pointing down arrows to perform actions on the report table. The user can:

sort the values -

il.

- group/ungroup values
- remove columns

#### 5.4 Reporting features – Export a report



Click on **Export** button and select the preferred format.

#### **Formatted Report**

Reports can be exported in a format similar to the online version, e.g., keeping the grouping and the other settings. This option exports the report as Excel file only.

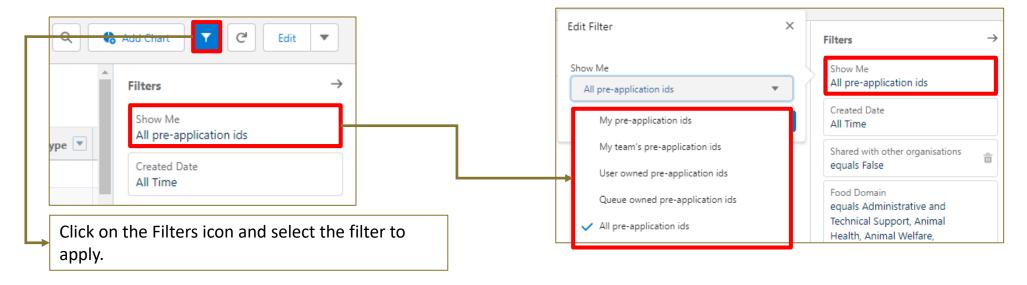
Reports can be exported as Excel or CSV file showing only the detail rows.

|        |   |                                 |         |  | _ |  |  |
|--------|---|---------------------------------|---------|--|---|--|--|
|        | Α | В                               | с       | D  |   |  |  |
| 1      |   |                                 |         |  |   |  |  |
| 2      |   | My Studies with Pre-            | Appli   | cation IDs                                     |   |  |  |
| 3      |   | As of 2023-01-06 17:10:54 Ora   | standar | d dell'Europa centrale/CET • Generated by User |   |  |  |
| 4      |   |                                 |         |  |   |  |  |
| 5      |   |                                 |         |  |   |  |  |
| 6      |   | Filtered By                     |         |  | г |  |  |
| 7      |   | Show: All pre-application ids   |         |  |   |  |  |
| 8<br>9 |   | Shared with other organisations | equals  | False  |   |  |  |
| 10     |   | EFSA Study Identification ↑     |         | Study Title                                    |   |  |  |
| 11     |   | EFSA-2021-00000522              |         | Study - test notify to lab                     |   |  |  |
| 12     |   | Subtotal                        | Sum     |  |   |  |  |
| 13     |   |                                 | Count   |  | 1 |  |  |
| 14     |   | EFSA-2021-00000523              |         | Test 2 - test lab                              |   |  |  |
| 15     |   | Subtotal                        | Sum     |  |   |  |  |
| 16     |   |                                 | Count   |  | 1 |  |  |
| 17     |   | EFSA-2021-00000543              |         | test relationship                              |   |  |  |
| 18     |   | Subtotal                        | Sum     |  |   |  |  |
| 19     |   |                                 | Count   |  | 1 |  |  |
| 20     |   | EFSA-2021-00000545              |         | test internal testing facility                 |   |  |  |
| ~      |   | 6 I I                           |         |  |   |  |  |

|   | А                                  |   |
|---|------------------------------------|---|
| 1 | Study Title                        | 4 |
| 2 | Draft study                        |   |
| 3 | test                               | t |
| 4 | rr                                 | I |
| 5 | test                               |   |
| 6 | new study test shared with         |   |
| 7 | test on behalf solution consulting |   |
| 8 | Study as Solution consulting       |   |
| ~ | a l'anna                           |   |

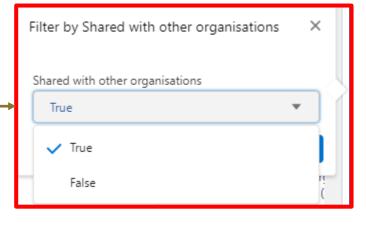
#### 5.5 Reporting features – Filters functionality

Depending on the type of data showed in the report, predefined filters are available. Once the user refreshes the page the default filtering rules set by EFSA will be restored.



Some filters will allow to restrict the view to records on the basis of their **creation date**, while others allow to view only the records **shared with the user's organisation**.

|   | Filters $\rightarrow$                       |  |  |  |  |  |
|---|---|--|--|--|--|--|
|   | Show Me<br>All pre-application ids          |  |  |  |  |  |
|   | Created Date<br>All Time                    |  |  |  |  |  |
| > | Shared with other organisations aquals True |  |  |  |  |  |



| My Studies                       | Rapporto: Pre-Application IDs with Link to Studies and Studies My Studies This report is showing your studies which are linked to pre-application IDs. The same study is reported more than once if linked to multiple pre-application IDs. |                                    |  |  |  |  |
|----------------------------------|---|------------------------------------|--|--|--|--|
| Total records Totale Stu<br>11 1 | idy: Submitted to Internal  |                                    |  |  |  |  |
|                                  | Pre-Application ID: Request Name 1  | Study: EFSA Study Identification 💌 | Study: Study Title   | Study: Study Title   | Study: Study Title (English Name)  |  |
| EFSA-ID-2022-001294 (3)          | application on monodextrine aminotransferase (3)  | EFSA-2023-00017494                 | Study cStudy cSt | Study cStudy cSt | Study c  |  |
|                                  |   | EFSA-2023-00017493                 | Study b  | Study b  | Study b  |  |
|                                  |   | EFSA-2023-00017492                 | Study a  | Study a  | Study a  |  |
| EFSA-ID-2022-001330 (1)          | Workshop on EFSA tools_1dd (1)  | EFSA-2022-00013462                 | This study is a test by FDP and IDATA to check the edit function after study not   | This study is a test by FDP and IDATA to check the edit function after study<br>notification.<br>1_edit test 18/11/2022_   | This study is a test by FDP and IDATA to check the edit fun notification |  |
| EFSA-ID-2022-001331 (2)          | Workshop on EFSA tools_new application (2)  | EFSA-2023-00016774                 | test2  | test2  | •  |  |
|                                  |   | EFSA-2022-00013462                 | This study is a test by FDP and IDATA to check the edit function after study not   | This study is a test by FDP and IDATA to check the edit function after study notification.<br>1_edit test 18/11/2022_  | This study is a test by FDP and IDATA to check the edit fun notification |  |

This report shows all the studies owned by the users organisation which are linked to pre-application IDs. The user finds:

- 1. The ID and the Request Name of the pre-application ID and all the studies linked therein.
- 2. The **Study Title information** comprehensive of "Study Title" with direct link to the study record page, "Study Title" (i.e. the full length version) and "Study Title (English Name)".
- 3. Other available information includes: Status, Study Objective, Business Operator name and email, Laboratory name and email, etc.

#### 5.7 Reporting features – All my Studies reports

| Rapporto: Studies<br>All my Studies<br>This Report shows all your studies | s regardless of a link to one or several Pre-Application IDs.   |  | C   | Ado         | I chart               | C <sup>I</sup> Export |
|---|---|--|---|-------------|-----------------------|-----------------------|
| Record totali Totale Submitted to<br>13 1                                 | Internal Testin   |  |   |             |                       |                       |
| EFSA Study Identification   | Study: Study Title  | Study Title  | Study Title (English Name)  | Status 💌    | Study Objective 💌     | Business Operato      |
| 1 EFSA-2022-00014929  | Test_studyType_duplicates   | Test_studyType_duplicates  | -   | Draft       | test                  | FDP Team Advice       |
| 2 EFSA-2022-00015871  | Test study typeff   | Test study typeff  | Test study type   | Draft       | test                  | FDP Team Advice       |
| B EFSA-2023-00016774  | test2   | test2  | -   | Draft       | -                     | FDP Team Advice       |
| 4 EFSA-2023-00017492  | Study a   | Study a  | Study a   | Draft       | dd                    | FDP Team Advice       |
| 5 EFSA-2023-00017493  | Study b   | Study b  | Study b   | Draft       | -                     | FDP Team Advice       |
| 5 EFSA-2023-00017494  | Study cStudy cStu | Study cStudy cSt | Study c   | Draft       | -                     | FDP Team Advice       |
| 7 EFSA-2023-00018347  | Study XYZ   | Study XYZ  | -   | Draft       | -                     | FDP Team Advice       |
| EFSA-2023-00018348  | Study ABC   | Study ABC  | -   | Draft       |                       | FDP Team Advice       |
| EFSA-2023-00018349  | Study CBD   | Study CBD  | -   | Draft       | -                     | FDP Team Advice       |
| 0 EFSA-2023-00018350  | Study FGI   | Study FGI  | -   | Draft       | -                     | FDP Team Advice       |
| 1 EFSA-2023-00018351  | Study EPO   | Study EPO  | -   | Draft       | -                     | FDP Team Advice       |
| 2 EFSA-2022-00013462  | This study is a test by FDP and IDATA to check the edit function after study not                      | This study is a test by FDP and IDATA to check the edit function after study notification  | This study is a test by FDP and IDATA to check the edit function after study notification | Co-Notified | investigate acute tox | FDP Team Advice       |

This report shows all the studies owned by the user organisation, regardless they are linked or not to a pre-application ID. The user finds:

- 1. The EFSA Study IDs.
- 2. The **Study Title information** comprehensive of "Study Title" with direct link to the study record page, "Study Title" (i.e. the full length version) and "Study Title (English Name)".
- 3. Other available information includes: Status, Study Objective, Business Operator name and email, Laboratory name and email, etc.

## **Recommended documents and links**

| Applicants Toolkit            | https://www.efsa.europa.eu/en/applications/toolkit  |
|-------------------------------|---|
| Transparency                  | <u>https://eur-lex.europa.eu/legal-</u>   |
| Regulation                    | <u>content/EN/TXT/?uri=CELEX:32019R1381</u>   |
| Practical                     | <u>https://www.efsa.europa.eu/en/corporate-pubs/transparency-</u>   |
| Arrangements                  | <u>regulation-practical-arrangements</u>  |
| Q&A on Practical arrangements | <u>https://www.efsa.europa.eu/en/corporate-pubs/questions-and-</u><br>answers-efsa-practical-arrangements |

