

## Investigating the performance of a greenhouse gas observatory in Hefei, China

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Abstract. A ground-based high-resolution Fourier transform spectrometer (FTS) station has been established in Hefei, China to remotely measure CO<sub>2</sub>, CO and other greenhouse gases based on near-infrared solar absorption spectra. Total column measurements of atmospheric CO2 and CO were successfully obtained from July 2014 to April 2016. The spectra collected with an InSb detector in the first year were compared with those collected by an InGaAs detector from July 2015, demonstrating that InGaAs spectra have better signal-to-noise ratios and rms of spectral fitting residuals relative to InSb spectra. Consequently, the measurement precision of the retrieved XCO<sub>2</sub> and XCO for InGaAs spectra is superior to InSb spectra, with about 0.04 and 0.09%for XCO<sub>2</sub>, and 1.07 and 2.00% for XCO within clear-sky days respectively. Daily and monthly averages of columnaveraged dry air mole fraction of CO<sub>2</sub> show a clear seasonal cycle, while the daily and monthly averages of XCO displayed no seasonal variation. Also, we analysed the relationship of the anomalies of XCO and XCO<sub>2</sub>, found that the correlations are only observable for individual days, and the data under different prevailing wind conditions during the observations displayed weak correlation. The observations based on the high-resolution FTS were also compared with the temporally coinciding measurements taken with a low-resolution solar FTS instrument, the EM27/SUN. Ratioing the daily averaged XCO<sub>2</sub> of EM27 and FTS gives an overall calibration factor of  $0.996 \pm 0.001$ . We also compared ground-based observations from the Tsukuba TCCON station with our observations, the results showing that the variation in phase and seasonal amplitude of XCO<sub>2</sub> are similar to our results, but the variation of XCO in Tsukuba is quite different from our data in Hefei. To further evaluate our retrieved data, we made use of satellite measurements. The direct comparison of our observations with the Greenhouse Gases Observing Satellite (GOSAT) data shows good agreement of daily median  $XCO_2$ , with a bias of -0.52 ppm and standard deviation of 1.63 ppm. The correlation coefficient  $(R^2)$  is 0.79 for daily median XCO<sub>2</sub> between our FTS and GOSAT observations. Daily median Orbiting Carbon Observatory 2 (OCO-2) data produce a positive bias of 0.81 ppm and standard deviation of 1.73 ppm relative to our ground-based data. Our daily median XCO<sub>2</sub> also show strong correlation with OCO-2 data, with correlation coefficient  $(R^2)$  of 0.83. Although there were a limited number of data during the observations due to instrument downtime and adverse weather, the results confirm the suitability of the observatory for ground-based long-term measurements of greenhouse gases with high precision and accuracy, and fulfil the requirements of the Total Carbon Column Observing Network (TCCON).

#### 1 Introduction

Global warming is an important issue facing humankind and is largely due to anthropogenic emissions of greenhouse gases. The most important anthropogenic greenhouse gas, carbon dioxide (CO<sub>2</sub>) continues to increase at a rate of approximately  $2.0 \pm 0.1$  ppm yr<sup>-1</sup> for 2002–2011 despite emission reduction efforts worldwide (IPCC, 2014). The primary sources of the increased atmospheric carbon dioxide are fossil fuel combustion and land-use change due to deforestation. At the present time, the two anthropogenic sources release more than 9 GtC yr<sup>-1</sup> into the atmosphere (IPCC, 2014; Le Quéré et al., 2014). However, the knowledge of CO<sub>2</sub> source and sink distributions is still uncertain. In order to predict future climate change and understand the carbon cycle, more measurements are needed to improve our understanding of the CO<sub>2</sub> sources and sinks.

Atmospheric carbon monoxide (CO), an indirect greenhouse gas, is an ozone precursor and a major pollutant in the troposphere. The main sources for CO in the atmosphere are biomass burning, fossil fuel combustion and oxidation of methane and non-methane hydrocarbons (Clerbaux et al., 2008; Yin et al., 2015). The main sinks of CO in the troposphere are oxidation reaction with the hydroxyl radical (OH). CO plays an important role in atmospheric chemistry because it has an important effect on the oxidizing capacity of the troposphere.

Many techniques and methods have been successfully utilized in surface in situ measurement of atmospheric CO<sub>2</sub>, CO, CH<sub>4</sub> and N<sub>2</sub>O (Newman et al., 2013; Sarangi et al., 2014; Vardag et al., 2014; WMO, 2014; Buchholz et al., 2016; Schibig et al., 2015). Although these in situ measurements made at surface sites show high accuracy and precision, their usefulness in determining the global strengths and distributions of source and sink for greenhouse gases is limited due to their sparse spatial coverage. One way to improve the spatial and temporal sampling of CO<sub>2</sub> and other trace gases is to obtain column abundances from space-based instruments, for example, the Scanning Imaging Absorption Spectrometer for Atmospheric Cartography (SCIAMACHY) on board ENVISAT, the Thermal and Near-infrared Sensor for Carbon Observation Fourier transform spectrometer (TANSO-FTS) on board GOSAT and the spectrometers on board OCO-2 (Bovensmann et al., 1999, 2004; Crisp et al., 2004; Hamazaki et al., 2005; Kuze et al., 2009; Boesch et al., 2011; Frankenberg et al., 2015). The data derived from space have provided useful information which can be used to constrain the carbon cycle, but they still need to be validated and improved in sensitivity and resolution.

Ground-based high-resolution Fourier transform spectrometer (FTS) can accurately and precisely measure total columns of CO<sub>2</sub>, CO, CH<sub>4</sub>, N<sub>2</sub>O and other gases (Washenfelder et al., 2006; Deutscher et al., 2010; Wunch et al., 2011a; Dohe, 2013; Rokotyan et al., 2014; Wang et al., 2014). The TCCON is a network of ground-based FTSs dedicated to simultaneous retrieval of column-averaged abundances of atmospheric constituents, by recording direct solar spectra in the near-infrared region. In order to provide insights into the carbon cycle, the CO<sub>2</sub> total column data resulting from the TCCON sites require a precision of better than 0.1 % (Olsen and Randerson, 2004). It has been demonstrated that TCCON measurement can achieve high accuracy and precision; for example, the claimed accuracy and precision of column-averaged dry air mole fraction of CO<sub>2</sub> is better than 0.25 % (1 ppm for CO<sub>2</sub>; Messerschmidt et al., 2011; Wunch et al., 2011a). In addition, the data from TCCON stations have been used to calibrate and validate measurements from space, and also play a role in the validation of atmospheric modelling studies (Morino et al., 2011; Reuter et al., 2011; Schneising et al., 2012; Guerlet et al., 2013; Dils et al., 2014; Lindqvist et al., 2015; Ohyama et al., 2015; Kulawik et al., 2016). However, the present limitation of the TCCON measurements is the sparseness of their spatial coverage for carbon cycle research and validation of satellite measurements, especially in the Asian continental region. So far no TCCON measurements from China have been reported.

In this paper a high-resolution FTS dedicated to nearcontinuous observation of solar spectra deployed in Hefei, China is described. At present the observation project at the Hefei site may be one of the few operations using highresolution FTS to sample solar spectra in China, so our measurements are very important for providing information for constraining regional sources and sinks. An additional research aim is to validate satellite data, such as GOSAT, in orbit since January 2009, OCO-2, in orbit since July 2014, and the Chinese Carbon Dioxide Observation Satellite (TANSAT) launched in late 2016. In this paper, we investigate the potential of ground-based FTS to accurately and precisely determine temporal variability of atmospheric CO<sub>2</sub> and CO at our measurement site in Hefei, China, and assess the ability of our observations to validate satellite data. Methane was also retrieved from the solar spectra and these results will be the subject of a separate publication (Tian et al., 2017).

#### 2 Measurement site and instrumentation

The Hefei site  $(31^{\circ}54' \text{ N}, 117^{\circ}10' \text{ E}, 29 \text{ m} \text{ above sea level}; m a.s.l.)$ , adjacent to a lake in a flat terrain, is located in the north-western rural area of Hefei city in eastern China (Fig. 1). It is part of the Anhui Institute of Optics and Fine Mechanics, operated by Key Laboratory of Environmental Optics and Technology, Chinese Academy of Sciences. We





Figure 1. Map of China and location of the Hefei site.



Figure 2. Bruker IFS 125HR spectrometer (a) and solar tracker (b).



installed the instrument, consisting of a Bruker IFS 125HR spectrometer and solar tracker, in January 2014. The Hefei site currently seeks to establish measurements according to TCCON measurement protocol, and we are making efforts to become a part of the TCCON network.

The FT spectrometer (IFS 125HR, Bruker Optics, Germany) has nine scanner compartments, with a maximum resolution of 0.00096 cm<sup>-1</sup>, as shown in Fig. 2. The solar tracker (A547, Bruker Optics, Germany) is mounted inside a motor-controlled dome 35m a.s.l. on the roof of the laboratory building, and directs the solar beam into the spectrometer situated in the laboratory below. Tracking precision of 0.1° can be achieved with the Camtracker mode. The spectrometer used a liquid-nitrogen-cooled InSb detector (1850– 11 000 cm<sup>-1</sup>) with a CaF<sub>2</sub> beamsplitter to record solar spectra until the end of July 2015. A room-temperature InGaAs detector  $(3800-11\,000\,\text{cm}^{-1})$  has been used since July 2015. A dichroic mirror will be installed to collect near-infrared (NIR) and mid-infrared (MIR) spectra simultaneously with InSb and InGaAs detectors with dual acquisition model, and this set-up is an extension of one of the standard TCCON set-up (Kiel et al., 2016a, b).

Additionally, a weather station (ZENO, Coastal Environmental Systems, USA) monitoring surface pressure, surface temperature, relative humidity, wind speed, wind direction, solar radiation, rain or snow and leaf wetness was mounted near the solar tracker on the roof in September 2015. At the same time pressure, temperature and relative humidity indoors are logged continuously.



Figure 3. ME amplitudes (a) and phase errors (b) retrieved from HCl cell measurements.

#### 3 Instrumental line shape (ILS) monitoring

Knowledge of the ILS is required to diagnose the alignment of the spectrometer and hence to retrieve total columns of gases from measurements accurately (Hase et al., 1999, 2013). HCl cell measurements using a NIR lamp as source were carried out from October 2015 since two calibrated HCl cells provided by Caltech arrived at our site. The cell measurements are performed once a month except during the instrument mechanical failure or NIR source failure. The ILS retrievals are done using LINEFIT 14.5 (Hase et al., 1999). The modulation efficiency (ME) amplitudes and phase errors are shown in Fig. 3. The average loss in ME amplitude at 45 cm optical path difference is  $1.9 \pm 0.8$ %, and the phase errors are lower than 0.01 rad. The ILS results show the alignment and stability of the instrument over the whole period.

#### 4 Data processing and analysis

A spectral resolution of  $0.02 \text{ cm}^{-1}$  is employed with the maximum optical path difference of 45 cm to record the interferograms. Two successive scans (forward-backward) are collected with an acquisition time of approximately 90 s. These forward and backward scans are processed separately. Figure 4 illustrates typical solar spectra collected by the InSb and InGaAs detectors. The signal-to-noise ratio of typical InSb spectra compared with InGaAs spectra is summarized in Sect. 5.1.

The GFIT retrieval fitting algorithm, which has been commonly used in TCCON, is used to analyse the spectra recorded by the FTS. GFIT is a non-linear least-squares fitting algorithm, developed as a spectral analysis tool for FTS spectra (Wunch et al., 2011a, 2015). The atmospheric forward model is used to calculate synthetic transmittance spectra from molecular absorption coefficients, atmospheric ray paths, a priori vertical profiles for temperature, pressure and water vapour from National Centers for Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR) reanalysis, and a priori vertical profiles for each trace gas. Then an inverse method compares the calculated spectra with the measured spectra, and iteratively scales the gas vertical profiles to minimize the root mean square of fitting residual. The fitting residual is defined as follows (Yang et al., 2002, 2005; Rokotyan et al., 2015):

$$\chi^{2} = \sum_{i=1}^{N_{M}} \frac{(y_{i}^{M} - y_{i}^{C}(\alpha, \beta, v_{i} + \delta, \gamma_{1}x_{1}, \dots, \gamma_{n}x_{n}))^{2}}{\sigma_{i}^{2}}, \qquad (1)$$

where  $y_i^{\text{M}}$  is the measured spectrum,  $y_i^{\text{C}}$  is the calculated spectrum,  $v_i$  is the frequency in the *i*th spectral channel,  $\delta$  is the frequency shift of the measured spectrum,  $\alpha$  and  $\beta$  are the continuum level and tilt,  $\gamma_1, \ldots, \gamma_n$  are scaling factors of target gases  $x_1, \ldots, x_n, n$  is the number of fitted gases,  $\sigma_i$  is the uncertainty of  $y_i^{\text{M}}$  and  $N_M$  is the number of spectral channels.

The column abundance of a target gas is obtained from the scaled gas dry air mole fraction amounts from the best spectral fit. The derived column abundances of gases are converted to column-averaged dry air mole fraction (DMF), using the column abundance of  $O_2$  as a reference:

$$X_{\rm gas} = 0.2095 \times \frac{\rm column_{gas}}{\rm column_{O_2}},\tag{2}$$

where column<sub>gas</sub> and column  $O_2$  are the column abundance of the target gas and  $O_2$  respectively,  $X_{gas}$  is the calculated column-averaged DMF of the target gas. This procedure cancels some systematic errors, such as tracker pointing errors, which affect trace gases and  $O_2$  equally.

The spectral windows for retrieval of column  $CO_2$ , CO and  $O_2$  are listed in Table 1 and are the standard GFIT windows. For  $CO_2$  and CO, the retrieved column abundances from the two spectral windows were averaged and then converted into column-averaged DMF. We used GGG2014 to retrieve the columns of greenhouse gases. The TCCON calibration factors applied for XCO<sub>2</sub> and XCO are 0.989 and 1.067 respectively (Wunch et al., 2010; Messerschmidt et al., 2011). For processing the data collected before installing the weather station (on 18 September 2015), we use meteorological pa-



Figure 4. Typical solar spectra collected by an InSb detector on 24 October 2014 (a) and InGaAs detector on 4 August 2015 (b).

**Table 1.** Spectral windows for retrieval of column of CO<sub>2</sub>, CO and O<sub>2</sub>.

Gas	Centre of spectral window (cm <sup>-1</sup> )	Width (cm <sup>-1</sup> )	Interfering gas
CO <sub>2</sub>	6220.0	80.0	H <sub>2</sub> O, HDO, CH <sub>4</sub>
$CO_2$	6339.5	85.0	H <sub>2</sub> O, HDO
CO	4233.0	48.6	$CH_4$ , $H_2O$ , $HDO$
CO	4290.4	56.8	$CH_4$ , $H_2O$ , $HDO$
O <sub>2</sub>	7885.0	240.0	$H_2O$ , HF, $CO_2$

rameters from a weather station about 1 km away from our laboratory.

#### 5 Results and discussion

#### 5.1 Comparison of InSb and InGaAs spectra

The direct absorption spectra collected under clear-sky weather conditions from July 2014 to April 2016 are analysed here. Spectra from July 2014 to July 2015 were collected with the InSb detector, while the spectra were collected by the InGaAs detector from July 2015 to April 2016. Spectra with solar intensity variation during the scan of more than 5% are removed. The signal-to-noise ratio of a typical InGaAs spectrum compared with an InSb spectrum is summarized in Table 2. Signal-to-noise ratios of InGaAs spectra are 2–4 times higher than those of InSb spectra in the near-infrared region.

It is also important to assess the spectral fitting. Figures 5– 9 depict typical spectral fittings for InSb and InGaAs spectra. The measured spectra are shown in black, the fitted spectra in red and the residual in dark cyan. Figure 5 compares the typical spectral fittings of  $CO_2$  in the spectral window centred at  $6220 \text{ cm}^{-1}$ . The rms spectral fitting residuals are about 0.32 and 0.19% for InSb and InGaAs spectra respectively. Figure 6 is a plot of typical spectral fittings of  $CO_2$  in the window centred at  $6335 \text{ cm}^{-1}$ , showing the rms fitting residuals of 0.31 and 0.21 % for InSb and InGaAs spectra. Figure 7 plots typical spectral fittings of CO in one spectral window centred at 4233 cm<sup>-1</sup> using two detectors. The rms spectral fitting residuals are about 0.52 and 0.50 % for InSb and In-GaAs spectra. Figure 8 compares typical spectral fittings of CO in the other window centred at 4290 cm<sup>-1</sup>, with the rms error of fitting residuals about 0.54 and 0.46 %. Also, the typical spectral fittings of O<sub>2</sub> in spectral regions between 7765 and 8005 cm<sup>-1</sup> give 0.37 and 0.29 % rms for fitting residual, as plotted in Fig. 9. We conclude that all the rms errors of fitting residuals of InGaAs spectra are small relative to those of InSb spectra, as listed in Table 2.

Further, the measurement precision (repeatability) of the total columns are compared. The standard deviation of the retrieved column-averaged DMF from spectra sampled in 1 h around noon on a clear-sky day (cloud free) is calculated as a measure of precision. The data of 24 October 2014 and 4 August 2015 are used here. The measurement precisions of  $X_{gas}$  for typical InGaAs spectra compared to InSb spectra are listed in Table 3. For both CO<sub>2</sub> and CO, the InGaAs precision is about two times better than the InSb precision.

Recent TCCON measurements have shown that the precision of the resulting mole fractions is about 0.15% for CO<sub>2</sub> and 0.5% for CO (Toon et al., 2009; Messerschmidt et al., 2010; Wunch et al., 2010). Thus our results for CO<sub>2</sub> are comparable to other TCCON stations, whereas the results of CO show poorer precision. From the comparison of SNR, rms error of fitting residuals and measurement precision for In-GaAs and InSb spectra, it is preferable to use the InGaAs detector to collect the near-infrared solar spectra rather than the InSb detector.

#### 5.2 Variation of XCO<sub>2</sub> and XCO

Time series of total column amounts of  $CO_2$  and CO from July 2014 to April 2016 are retrieved. The data are not continuous, with gaps due to instrument mechanical failure or adverse weather conditions, especially in February and March 2015. Figure 10 shows a time series of  $X_{air}$  (the column-

Gas	Spectral window (cm <sup>-1</sup> )	SNR of InGaAs	SNR of InSb	rms fitting residual of InGaAs	rms fitting residual of InSb
$CO_2$	6180-6260	1050	320	0.19%	0.32 %
$CO_2$	6297-6382	997	320	0.21 %	0.31 %
CO	4208-4257	1060	233	0.50%	0.52%
CO	4242-4318	1170	240	0.46%	0.54~%
O <sub>2</sub>	7765-8005	460	260	0.29%	0.37 %

Table 2. The signal-to-noise ratio and spectral fitting of InGaAs spectra compared with InSb spectra for different spectral windows.



Figure 5. Spectral fitting of  $CO_2$  in spectral window of 6180–6260 cm<sup>-1</sup> using an InSb detector (a) and InGaAs detector (b).

**Table 3.** The measurement precision of typical InGaAs spectra compared to InSb spectra for XCO<sub>2</sub> and XCO.

Detectors	Mean	Standard deviation	Precision
XCO2_InGaAs	398.17 ppm	0.17 ppm	0.04 %
XCO2_InSb	397.15 ppm	0.34 ppm	0.09%
XCO_InGaAs	87.79 ppb	0.94 ppb	1.07~%
XCO_InSb	94.85 ppb	1.86 ppb	2.00%

abundance of dry air in a ratio to that of  $O_2$  as in equation 2), with the value in the range between 0.96 and 1.02. The mean value is 0.98 with a standard deviation of 0.005 (0.49%), consistent with other TCCON sites. Time series of individual measurements, daily averages and monthly averages of column-averaged DMF of CO<sub>2</sub> are plotted in Fig. 11. The sampling days with a number of data points less than 10 are not considered due to a lack of representativeness. Figure 11 suggests that variation of XCO<sub>2</sub> showed a clear seasonal cycle. XCO<sub>2</sub> reaches a minimum in late summer, then slowly increases to the highest value in spring. The daily average of XCO<sub>2</sub> ranges from  $392.33 \pm 0.86$  to  $411.62 \pm 0.90$  ppm, and the monthly average value shows a seasonal amplitude of 8.31 and 13.56 ppm from 2014 to 2015 and from 2015 to 2016 respectively. The seasonal cycle is driven by biosphereatmosphere exchange. Photosynthesis results in the decrease of CO<sub>2</sub> in the local growing season, whereas photosynthesis gradually ceases and  $CO_2$  builds up in winter and spring. However, the XCO<sub>2</sub> measurements are sensitive to variations in exchange at the spatial scale of hundreds to thousands of kilometres; thus photosynthesis in the local growing season is not the only driver of the seasonal cycle. The site is influenced by regional anthropogenic emissions under the southeast wind directions, because it is about 10 km north-west of the Hefei urban area (population 7.7 million). Variations in CO / CO<sub>2</sub> with prevailing wind directions are used to discuss the influence of the regional anthropogenic emissions later in this section.

In the recent study of Wunch et al. (2011b), the ACOS-GOSAT data and FTS observation in 2009-2010 indicated that XCO<sub>2</sub> at the Japanese Tsukuba station had clear seasonal cycle, with a maximum in winter and a minimum in summer. In Butz et al. (2011), the observations from GOSAT and the co-located ground-based measurements captured the seasonal cycle of XCO<sub>2</sub> with the late summer minimum and the spring maximum for four TCCON stations in the Northern Hemisphere. In the paper by Schneising et al. (2014), XCO<sub>2</sub> determined by SCIAMACHY and CarbonTracker for the Northern Hemisphere (30 to 60° N) based on monthly means exhibited distinct seasonal cycles, with peak-to-peak amplitude of  $7.15 \pm 0.22$  and  $6.27 \pm 0.21$  ppm respectively. Nguyen et al. (2014) showed that XCO<sub>2</sub> estimated by CarbonTracker in the Northern Hemisphere has a seasonal variability with an amplitude of 3.2 ppm from 2009 to 2011. Further comparisons between our station and other TCCON sta-



Figure 6. Spectral fitting of  $CO_2$  in spectral window of 6297–6382 cm<sup>-1</sup> using an InSb detector (a) and InGaAs detector (b).



Figure 7. Spectral fitting of CO in spectral window of 4208–4257 cm<sup>-1</sup> using an InSb detector (a) and InGaAs detector (b).

tions, GOSAT and OCO-2 data are described below. We conclude that the variation of  $XCO_2$  in the Hefei area is in accord with the variation in other midlatitude Northern Hemisphere locations, both in the trend and phase of the seasonal cycle.

In the case of XCO, the individual values and daily averages showed no obvious seasonal variations (Fig. 12). Dayto-day variations were considerable in the daily average of XCO, ranging from  $78.35 \pm 2.03$  to  $171.60 \pm 3.00$  ppb. The seasonal cycle of monthly average XCO is not clearly discernible, because it is concealed by the large daily variability due to local influences. There is variability on a seasonal timescale, showing a late autumn minimum and spring maximum from September 2015 to March 2016. The main source for CO in this area is incomplete combustion of fossil fuels, so the seasonal behaviour of XCO may reveal the variability of CO emission from vehicle exhaust.

In recent publications of Liu et al. (2011), satellite measurements and model simulations showed that monthly mean of CO vertical column density had a maximum in winter and minimum in summer in the eastern area of China (20 to  $40^{\circ}$  N, 107 to  $123^{\circ}$  E) in 2004 and 2005. Angelbratt et al. (2011) estimated the trends of the CO partial columns from four European ground-based FTS stations, obtaining obvious seasonal variation during the year from 1996 to 2006. In de Laat et al. (2010), ground-based observations indicated that the time series of CO total column in the Northern Hemisphere midlatitude area had clear seasonal variations, with a wintertime maximum and summertime minimum due to photochemical reaction with OH radical for the 2003–2007 time period. However, in our observations, time series of individual XCO and their daily and monthly mean values showed no seasonal variation. The pattern may be due to the complicated emission of CO sources in the Hefei area.

Diurnal variation can be obtained by analysing data on a daily timescale. 24 October 2014 is selected because the data sampled on this clear-sky day cover long daylight hours and are continuous. Figure 13 documents that the total column of both species were higher at noon (UT + 8), displaying similar behaviour on this day.  $XCO_2$  and XCO climbed to maximum at noon, then dropped slowly until sunset. The prevailing wind direction on this day was from the south-east, resulting in urban regional emission superimposed on background emissions. The midday peaks for each gas reflect the influence of anthropogenic emissions.

We calculate the daily anomalies by subtracting the morning DMF value at a particular solar zenith angle (SZA) from its afternoon counterpart using the method of Wunch et al. (2009). This method eliminates the spurious correlations caused by SZA- or air mass-dependent errors. Figure 14 presents the relationship between the anomalies of XCO and XCO<sub>2</sub> on daily scale, the linear regression line shows the good correlation (correlation coefficient  $R^2 = 0.58$ ) between them on 24 October. Atmospheric CO and CO<sub>2</sub> are generated from common combustion sources. The strong correla-



Figure 8. Spectral fitting of CO in spectral window of 4242–4318 cm<sup>-1</sup> using an InSb detector (a) and InGaAs detector (b).



Figure 9. Spectral fitting of  $O_2$  in spectral window of 7765–8005 cm<sup>-1</sup> using an InSb detector (a) and InGaAs detector (b).



Figure 10. Time series of retrieved Xair.

tion between CO and CO<sub>2</sub> indicates that there are strong influences of combustion emissions on XCO<sub>2</sub>. The correlation slope gives the emission ratio of CO to CO<sub>2</sub>, which varies with the sources of CO<sub>2</sub>, depending on different combustion types and biospheric activity. So the correlation slope of the anomalies of XCO to XCO<sub>2</sub> provides a characteristic signature of source regions and source type (Suntharalingam et al., 2004; Wang et al., 2010). In our case, the correlation slope of CO to CO<sub>2</sub> was 5.66 ppb ppm<sup>-1</sup> (0.56 %) on 24 October 2014.



**Figure 11.** Time series of  $XCO_2$  from July 2014 to April 2016 at Hefei. The green circles indicate individual  $XCO_2$ , the red circles represent daily averages of  $XCO_2$ , the black lines with blue squares represent monthly averages of  $XCO_2$ .

However, both gases varied considerably between days, and weak correlation in the variation of  $CO_2$  and CO appeared in some days. So the entire data during the observations displayed weak correlation (not shown). The prevailing wind was from south-east in winter and spring, while the wind was predominantly from south-west or north-west in summer and autumn during the measurement period. For our site, the urban area is in the south-east, and the instrument site is surrounded by croplands and wetlands. We analysed



**Figure 12.** Time series of XCO from July 2014 to April 2016 at Hefei. The green circles indicate individual XCO, the red circles represent daily averages of XCO, the black lines with blue squares represent monthly averages of XCO.



Figure 13. Time series of XCO<sub>2</sub> (a) and XCO (b) on 24 October 2014.

the relationship of the daily average of anomalies of XCO and XCO<sub>2</sub> under different prevailing wind conditions and found that the correlations in winter and spring as well as in summer and autumn are also weak. So correlations are only observable for individual days, e.g. 24 October 2014. In the study of Wunch et al. (2009), the slope of the anomaly correlations of XCO to XCO<sub>2</sub> was  $11 \pm 2 \text{ ppb ppm}^{-1}$  in a densely populated urban region (the South Coast Air Basin around Los Angeles). Wang et al. (2010) calculated the overall  $CO_2$  / CO correlation slope at a rural site near Beijing in China during 2007-2008 excluding the summer data, and the corresponding CO / CO<sub>2</sub> ratio was 41.7 ppb ppm<sup>-1</sup>. The correlation slopes calculated on daily scale at our site are usually smaller than the reported values in Beijing (Wang et al., 2010 and references therein), which are primarily attributed to the smaller emission in CO.

#### 5.3 Comparison with the observations of EM27/SUN

A portable low-resolution  $(0.5 \text{ cm}^{-1})$  FTS (EM27/SUN) has been used for observation of XCO<sub>2</sub> and XCH<sub>4</sub> since Oc-



**Figure 14.** Correlation between the anomalies of XCO<sub>2</sub> and XCO on 24 October 2014.

tober 2016. GFIT is also used for trace gas retrieval from the EM27 spectra, with the same parameters as used for high-resolution FTS spectra. The observation results from the high-resolution 125HR are compared with the temporally coinciding measurements taken with EM27/SUN in Fig. 15, which presents the comparison of the XCO<sub>2</sub> values for 4 sample days. The intraday variability is clearly observed by the two spectrometers. There are systematic differences between the two measurements due to different spectral resolutions, different averaging kernels and spectroscopic inadequacies (Gisi et al., 2012; Hedelius et al., 2017). The differences are about 1.35 ppm (0.33 %)–1.55 ppm (0.38 %) for XCO<sub>2</sub>. Also, we calculated the daily averages of XCO<sub>2</sub> to compare the results during the period from November 2016 to February 2017. Ratioing the daily averaged XCO<sub>2</sub> of EM27and FTS gives an overall calibration factor of  $0.996 \pm 0.001$  (mean  $\pm$  standard deviation of the ratios of EM27 to FTS  $XCO_2$ ), as illustrated in Fig. 16. We will use the EM27/SUN as a travel standard to compare our measurements to TCCON in the future (and if necessary calibrate our measurements), especially when taking aircraft and AirCore profile measurements is difficult.

#### 5.4 Comparison with nearby TCCON observations

We compare our data with similar ground-based highresolution observations at Tsukuba TCCON station  $(36^{\circ}5' \text{ N}, 140^{\circ}7' \text{ E})$  in Japan, because Tsukuba station is the nearest TCCON station to our site and at a similar latitude (Morino et al., 2014). In order to show the comparison clearly, we also depict the daily averaged XCO<sub>2</sub> of two sites from July 2014 to April 2016 in Fig. 17. As can be seen, variations of XCO<sub>2</sub> at the Tsukuba site agreed well with those at the Hefei site. The daily averaged XCO<sub>2</sub> exhibited the same seasonal cycle as that of our site. The lowest XCO<sub>2</sub> appeared in late summer (August and September), and the highest value was in spring (April). The seasonal amplitudes are 8.74 and 9.27 ppm in the years 2014–2015 and 2015–2016 from monthly average



Figure 15. Retrieved XCO<sub>2</sub> values based on EM27 and IFS125HR on 4 sample days.



Figure 16. Daily averages of  $XCO_2$  measured by the EM27/SUN plotted against the corresponding values of the FTS. The line shows the best fit to the ratio of EM27 to FTS  $XCO_2$ .

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**Figure 17.** Comparison of daily averaged XCO<sub>2</sub> at the Hefei and Tsukuba sites. The black and red circles indicate values at Hefei and Tsukuba respectively.

respectively, which is comparable to the corresponding values at our site.

Similarly, daily averages of XCO at Tsukuba station are plotted with those at our Hefei site in Fig. 18. Trends of XCO at Tsukuba were broadly consistent with those at the Hefei site, but XCO varied substantially between days, and the daily average showed no obvious seasonal variation. The daily average of XCO at Tsukuba station spanned from 71.69 to 144.57 ppb, falling in the range of our daily average value. The largest XCO was in spring (April) and the lowest value in autumn (October), and the seasonal amplitude is 27.21 ppb at Tsukuba. The CO variability is driven by local effects (for ex-



**Figure 18.** Comparison of daily averaged XCO at the Hefei and Tsukuba sites. The black and red circles indicate values at Hefei and Tsukuba respectively.

Table 4. Satellite data filtering criteria for GOSAT and OCO-2.

Variable (OCO-2 version 7 Br )	Criteria
XCO2_quality_flag	0
warn_level	$\leq 14$
Variable (NIES-GOSAT)	Criteria
Data/retrievalQuality/totalPostScreeningResult	0
scanAttribute/qualityInformation/totalPreScreeningResult	0

ample combustion) rather than global-scale effects for  $CO_2$ , so the variation of CO in Tsukuba appears to be different from that in Hefei.

#### 5.5 Comparison with satellite data

To further evaluate our retrieved data, we compared satellite measurements with the results. GOSAT, OCO-2 and TANSAT are currently the dedicated satellites that map global atmospheric column amounts of  $CO_2$ . Common targets of the satellite missions are observations of  $XCO_2$ . For the comparison with ground-based FTS measurements, GOSAT Level 2 and OCO-2 Lite File product data within 4° latitude/longitude radius of Hefei station were adopted. We set the collocation time to 1 day. The data-filtering criteria are summarized in Table 4.

In order to directly compare two measurements made by different remote-sensing instruments, their different a priori profiles and averaging kernels must be taken into account (Rodgers and Connor, 2003). Nguyen et al. (2014) found that TCCON data were corrected by the column averaging kernel of GOSAT retrievals, showing that the standard deviation of the difference between non-corrected and corrected TCCON data is 0.24 ppm. Wunch et al. (2011b) showed smoothing the TCCON profiles with the ACOS-GOSAT averaging kernel at Lamont results in a bias of about 0.6 ppm. Zhou et al. (2016) applied the a priori profile of TCCON data to correct the satellite retrievals and found that the difference be-

tween a priori-corrected and original satellite XCO<sub>2</sub> ranged from -0.6 to 0.3 ppm. Ohyama et al. (2015) used a common a priori profile and column averaging kernel corrections, found that the effects of differences in a priori profile and column averaging kernel are small. The average differences between the adjusted and the original GOSAT XCO<sub>2</sub> data is  $-0.02 \pm 0.17$  ppm, and the average difference between the smoothed and original TCCON  $XCO_2$  data is -0.08 $\pm 0.12$  ppm. The results indicate that the impact of applying or not applying the a priori profiles and averaging kernels for XCO<sub>2</sub> comparisons is small compared to difference between satellite and FTS data. However, it is not trivial to consider averaging kernels for a comparison of different remote sensing observations, and this requires actual variability of CO<sub>2</sub> profiles, which is unknown for our site at present. Therefore, we compared the satellite and FTS data directly, without considering the effect of different a priori profiles and averaging kernels.

Comparisons of daily median XCO<sub>2</sub> were carried out, because the temporal coverage is substantially different between our ground-based measurements and space-based observations. Figure 19 provides the direct comparison of our data with respect to the co-located GOSAT data. Although there are not a lot of data according to this coincidence criteria, it is found that our daily median XCO<sub>2</sub> data are in broad agreement with the GOSAT data. The mean difference between satellite XCO<sub>2</sub> and ground-based FTS XCO<sub>2</sub> is computed as a bias (satellite data minus FTS data), and the standard deviation of the difference is also calculated. There are 40 pairs of data for daily median XCO<sub>2</sub>, giving a negative bias of -0.52 ppm and standard deviation of 1.63 ppm. The correlation coefficients  $(R^2)$  are 0.79 for daily median values. The scatter graphs of the retrieval results of GOSAT and FTS in Fig. 19 show a good linear relationship.

Morino et al. (2011) validated GOSAT XCO<sub>2</sub> and XCH<sub>4</sub> data with ground-based FTS data from nine TCCON stations and showed that the mean difference between the satellite XCO<sub>2</sub> data and FTS data was  $-8.85 \pm 4.75$  ppm, with a correlation coefficient of 0.378. Using a newer GOSAT retrieval algorithm, Yoshida et al. (2013) improved the  $XCO_2$  retrieval from GOSAT, achieving negative biases of -1.48 ppm and standard deviations of 2.09 ppm compared to TCCON data. Guerlet et al. (2013) compared GOSAT XCO<sub>2</sub> data using cloud and aerosol filters in the retrieval with colocated TCCON measurements, displaying a mean bias of  $-1.4 \pm 2.5$  ppm. Dils et al. (2014) showed that the satellite XCO<sub>2</sub> data retrieved by two different algorithms relative to FTS data produced a mean bias of  $-0.76 \pm 2.37$  and  $-0.57 \pm 2.50$  ppm respectively. Nguyen et al. (2014) found that XCO<sub>2</sub> data from GOSAT retrievals compared to groundbased TCCON XCO<sub>2</sub> measurements using three co-location methodologies displayed a positive bias in the range of 0.39 to 4.07 ppm, with standard deviations of 0.39 to 2.37 ppm, and correlation coefficient from 0 to 0.90. In recent studies of Heymann et al. (2015), Ohyama et al. (2015) and Kulawik et



Figure 19. Comparison of ground-based observations with retrieved data from GOSAT, including daily median XCO<sub>2</sub> (a) and scatter graphs of daily median XCO<sub>2</sub> (b).



Figure 20. Comparison of ground-based observations with retrieved data from OCO2, including daily median XCO<sub>2</sub> (a) and scatter graphs of daily mean XCO<sub>2</sub> (b).

al. (2016), the comparison results also demonstrate the consistency between GOSAT XCO<sub>2</sub> and TCCON XCO<sub>2</sub>. They found that the average differences between TANSO-FTS and ground-based FTS data were  $-0.34 \pm 1.37$ ,  $0.40 \pm 2.51$  and  $0.48 \pm 1.68$  ppm, with correlation coefficients of 0.86, 0.87 and 0.74. So the average differences between TANSO-FTS and our FTS data and standard deviations of the differences are within the range of comparison results from other TC-CON site data, and the correlation coefficients are comparable to that of other comparison results.

We used OCO-2 version 7Br data (bias-corrected Lite File product) for comparison with our site. Figure 20 presents the comparison of our data with respect to OCO-2 data. There are 62 daily median OCO-2 data that can be compared to the corresponding ground-based FTS data, yielding a positive bias of 0.81 ppm and standard deviation of 1.73 ppm respectively. The correlation coefficient ( $R^2$ ) is 0.83 for the daily median XCO<sub>2</sub>. The differences between FTS and OCO-2 data are slightly larger than those of FTS and GOSAT. The right panel of Fig. 20 shows the scatter graphs for retrieval results of OCO-2 and FTS, displaying a fairly good linear relationship.

Recent studies of Wunch et al. (2017) compared the OCO-2 version 7Br data with ground-based TCCON data, showing that the median differences between OCO-2 and TCCON data were less than 0.5 ppm, with the rms differences below 1.5 ppm and correlation coefficients from 0.50 to 0.75 for ocean glint mode, land glint mode and nadir mode. For our comparison, the correlations are slightly better than that of other comparison results, while the differences of biascorrected OCO-2 data from our FTS data and standard deviations are larger than those of other TCCON site data. The comparison results demonstrated that our ground-based FTS measurements are broadly consistent with the OCO-2 observations.

#### 6 Conclusions

A solar observatory deployed at Hefei China has collected near-infrared solar spectra since July 2014. Total columns of atmospheric  $CO_2$  and CO have been successfully retrieved from high-resolution ground-based FTS measurements. The spectra collected using an InSb detector in the first year were compared with those collected by an InGaAs detector from

### the correlations are only observable for individual days, and the data under different prevailing wind conditions during the observations displayed weak correlation. The correlation slopes calculated in individual days are usually smaller than the reported values in Beijing, China. Our observations were compared with the temporally coinciding measurements taken with a portable low-resolution FTS (EM27/SUN). The systematic differences between the two measurements are about 1.35 ppm (0.33 %)–1.55 ppm (0.38 %) for XCO<sub>2</sub>. Ratioing the daily averaged XCO<sub>2</sub> of EM27 and FTS gives an overall calibration factor of 0.996 ± 0.001 (mean ± standard deviation of the ratios of EM27 to FTS XCO<sub>2</sub>). Also, ground-based observations at Tsukuba TCCON station in Japan were compared with our

July 2015, showing that InGaAs spectra have approximately

two times better signal-to-noise ratios, and correspondingly

smaller rms spectral fitting residuals compared to InSb spec-

tra. Consequently, the measurement precision of retrieved

XCO<sub>2</sub> and XCO for InGaAs spectra is superior to InSb spec-

tra, with about 0.04 and 0.09% for XCO<sub>2</sub>, and 1.07 and

2.00% for XCO within clear-sky days respectively. Daily

and monthly averaged values for  $XCO_2$  showed an obvious seasonal cycle, while daily and monthly averages of XCO

displayed no clear seasonal variation. We analysed the re-

lationship of the anomalies of XCO and XCO<sub>2</sub>, found that

Tsukuba TCCON station in Japan were compared with our observations. The results showed that the variation phase and seasonal amplitude of XCO<sub>2</sub> are similar to our results, but the variation of XCO in Tsukuba looks different from our data in Hefei. To further evaluate the quality of our retrieved data, we made use of satellite measurements by comparing them with the results. The direct comparison of GOSAT data with our FTS results suggests that daily median  $XCO_2$  are in good agreement, giving biases of -0.52 ppm and standard deviation of 1.63 ppm respectively. The correlation coefficient  $(R^2)$  is 0.79 for the daily median XCO<sub>2</sub> between our FTS measurement and GOSAT observations respectively. Daily median OCO-2 data produce a positive bias of 0.81 ppm and standard deviation of 1.73 ppm relative to ground-based data. Our daily median XCO<sub>2</sub> show a strong correlation with OCO-2 observations, with correlation coefficient  $(R^2)$  of 0.83. The results show that our observations using ground-based FTS are very consistent with the GOSAT and OCO-2 observations. The comparison results have demonstrated the ability of our ground-based FTS to detect daily variations and reveal seasonal changes of atmospheric CO<sub>2</sub> and CO, as well as the ability to validate the satellite observations. It is important that the Hefei site can discern the Northern Hemisphere seasonal cycle of CO2 with the late summer minimum.

The observations described here present a means of precise remote sensing of atmospheric constituents in the Hefei area. Column values obtained from this site will help to determine the  $CO_2$  and CO sources and sinks in eastern China, where measurements are currently scarce. However, the results derived here need to be compared to in situ measurements based on the World Meteorological Organization's (WMO) gas scale. We have not yet compared our data to vertical profile measurements of instruments aboard aircraft or a balloon-borne AirCore system. The improvements will enhance the level of accuracy in the near future. Therefore, further research should utilize in situ measurements or model simulations to verify the observations.

*Data availability.* The GFIT algorithm is obtained from TC-CON website (https://tccon-wiki.caltech.edu). The LINE-FIT14.5 software is obtained on request from KIT Karlsruhe (http://www.imk-asf.kit.edu/english/897.php). The OCO2 satellite data are downloaded from the website (http://disc.sci.gsfc.nasa.gov/uui/datasets/OCO2\_L2\_Lite\_FP\_ V7r/summary?keywords=OCOACOS). The GOSAT satellite data are downloaded from the website (GDAS site: https://data2.gosat.nies.go.jp/). The used data are attached as a Supplement (data set).

# The Supplement related to this article is available online at https://doi.org/10.5194/amt-10-2627-2017-supplement.

*Competing interests.* The authors declare that they have no conflict of interest.

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