

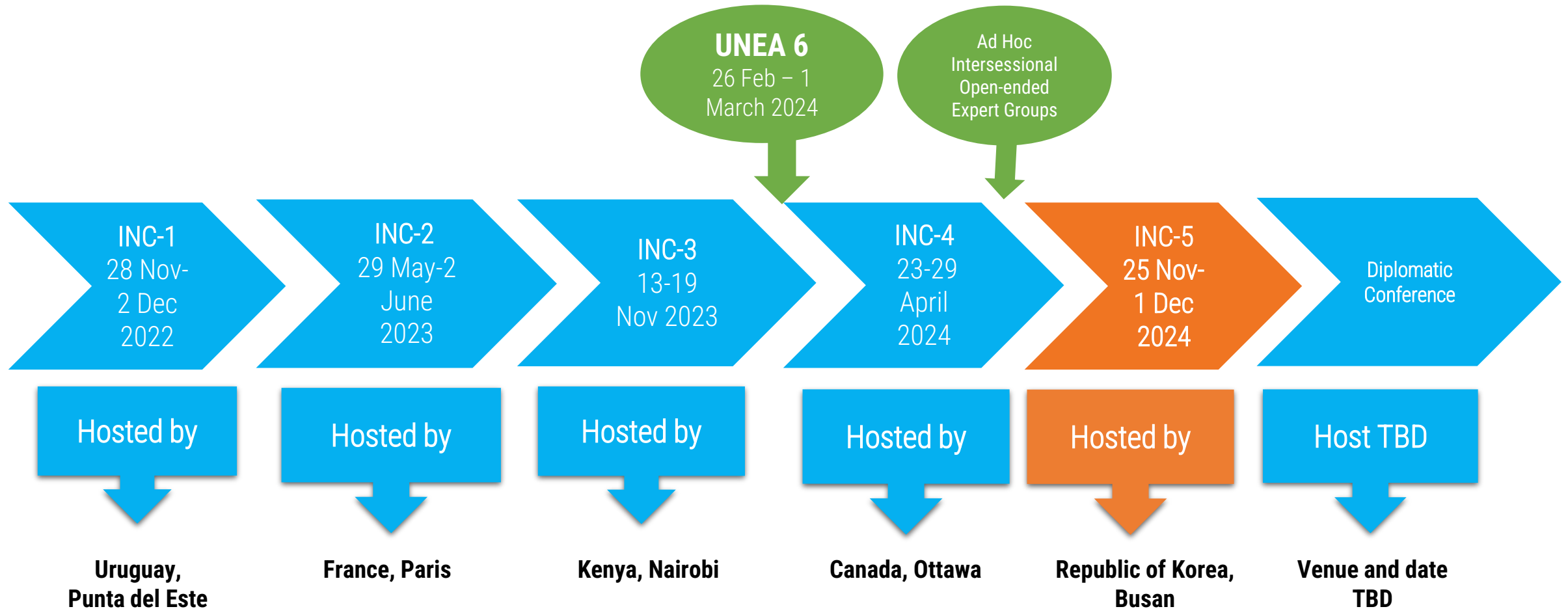
Secretariat of the INC on Plastic Pollution Donors Update

Jyoti Mathur-Filipp, Executive Secretary and Head of the INC Secretariat
05 July 2024, 13:00-15:00 EAT
Virtual meeting

Agenda

- 1. Welcome and introduction**
- 2. Budget update for the INC process**
 - 2.1. Overview of status of contributions and expenditures**
 - 2.2. Update on projections for 2024**
 - 2.3. Fifth session of the INC**
 - 2.4. Update on funding gaps for 2024 and possible funding needs**
- 3. Structure of the Secretariat of the INC**
- 5. Any other business**

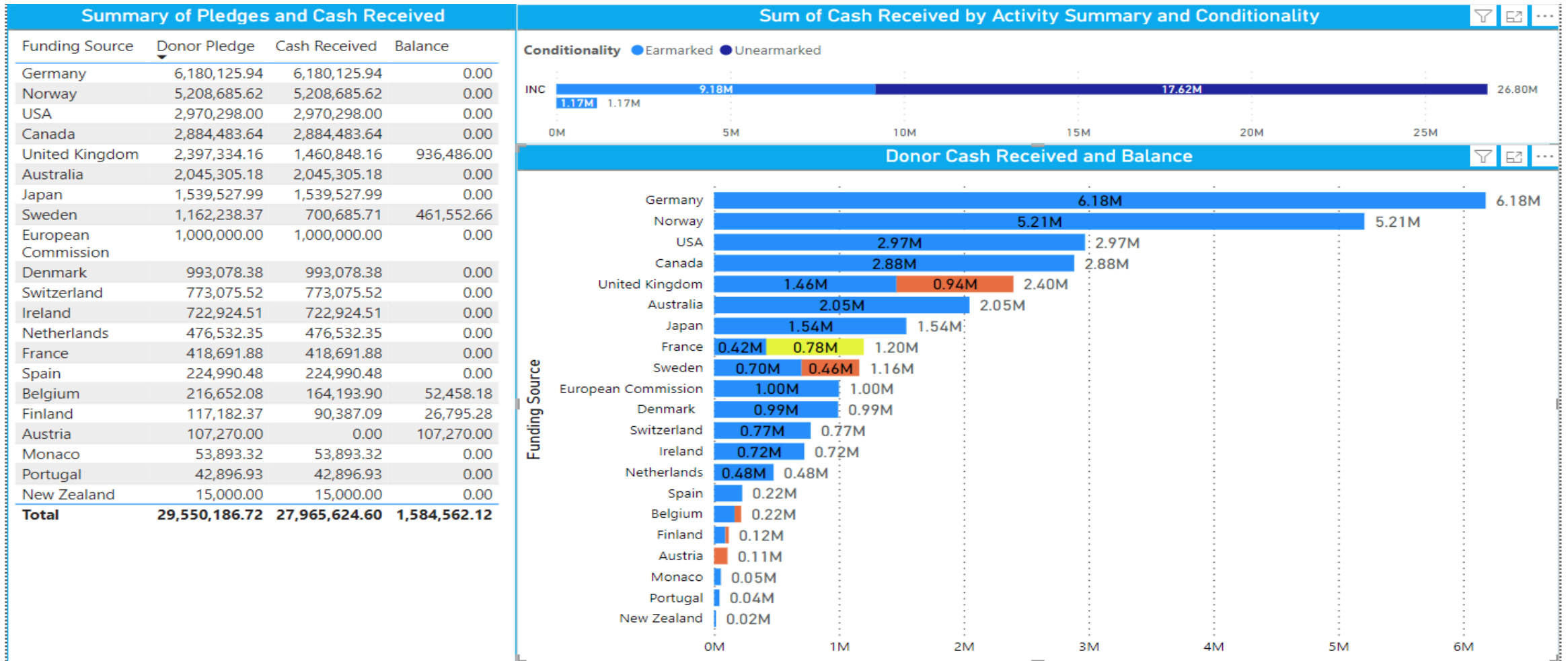
1. Introduction: INC timeline



2.1. Overview of status of contributions

(as of 30 June 2024)

Pledges as of June 2024 are approx. USD 29.6 million, and cash received is USD 28 million.



*Blue represents cash received and Orange represents pledged contributions.

In Yellow is the amount of host country costs for conference services directly borne by France with hosting of INC-2 (~ USD 780,000).

2.1. Actual expenditure incurred by the INC Secretariat

(from 2022 to June 2024)

INC Secretariat Actual Expenses per Umoja as of 30 June 2024				
INC Umoja Expense Category	2022	2023	2024	Total Expenses as of 30 June 2024
Operating and Other Direct Costs - meetings conf services etc	773,671	616,503	699,073	2,089,247
INC Staff and other personnel costs	362,163	2,030,712	1,320,186	3,713,061
INC Secretariat Operations	35,261	167,522	97,240	300,023
Transfers and Grants Issued to Impl. Partners, INC Meeting	654,093	-	-	654,093
INC Meetings (Travel for delegates and observers)	1,549,977	3,065,648	2,809,962	7,425,587
UN-PSC	338,433	759,778	412,869	1,511,080
Grand Total	3,713,597	6,640,163	5,339,330	15,693,090

The expenses as of 30 June 2024 is approx. USD 15.7 million.

2.2. Overall projections for 2024-2025

The overall **INC estimated budget** is increased to ~USD 34 million due to additional cost for IW and a possible INC-5 Ministerial. Actual expenses of approx. USD 15.6 million have been considered.

Overall Budget Summary Status - US Dollars							
Overall Status of Allotment as of 30 June 2024							
Expenditure Category	2022	2023	2024	2025	Total	Funded	Unfunded
Secretariat Annual Requirements							
Staff and other personnel costs	401,070	1,779,148	2,711,839	3,137,302	8,029,358	8,029,358	-
Contractual services	33,720	167,522	171,000	171,000	543,241	543,241	-
Operational cost	1,541	-	15,512	7,756	24,808	24,808	-
Travel		-	256,342	275,000	531,342	531,342	-
Other costs (Fixed)		-	89,568	89,568	179,136	179,136	-
Sub-total	436,330	1,946,669	3,244,261	3,680,626	9,307,886	9,307,886	-
INC Meetings							
OEWG Dakar	1,085,160				1,085,160	1,085,160	-
INC Meeting -INC1	1,853,674	62,947			1,916,621	1,916,621	-
INC Meeting -INC2		1,494,963			1,494,963	1,494,963	-
INC Meeting -INC3		2,010,127			2,010,127	2,010,127	-
INC Meeting -INC4			3,893,133		3,893,133	3,893,133	-
Intersessional - Meeting 1			2,038,889		2,038,889	2,038,889	-
INC Meeting -INC5			3,516,550		3,516,550	428,122	3,088,428
INC - 5 Ministerial			740,000		740,000	740,000	-
Diplomatic Conference				3,554,874	3,554,874	2,331,198	1,223,676
Regional Consultations		392,852	511,656	-	904,508	904,508	-
Sub-total	2,938,834	3,960,889	10,700,228	3,554,874	21,154,825	16,842,721	4,312,104
PSC	338,433	749,834	1,812,784	940,615	3,841,666	3,399,579	442,087
Total	3,713,598	6,657,392	15,757,272	8,176,115	34,304,378	29,550,187	4,754,191

2.2. Intersessional Expert Group meetings

<i>Intersessional - Meeting 24-28 August 2024</i>	<i>2 Delegates</i>
Conference Services Costs	365,305
Travel	450,788
Sponsored meeting participants - Travel costs	1,222,796
Sub - Total	2,038,889
PSC- 13%	265,056
Overall Total - (incl. PSC)	2,303,945

The total budget estimate for the in-person meeting for the ad hoc Intersessional Expert Group meetings in Bangkok is USD 2.3 Million. The estimates will cover travel of eligible meeting participants, UN staff travel, conference services, including documentation.

2.3. Fifth session of the INC

<i>INC-5, Budget Estimate, 25 November to 2 December 2024, Busan, Republic of Korea</i>	
INC - 5 : Estimate Budget, Republic of Korea, Busan 25 November -2 December 2024	Budget Estimate
	USD
Conference Services	\$ 559,984
Documentation processing costs	\$ 531,930
UN Delegation travel	\$ 702,010
Meeting Participants travel	\$ 1,638,030
UNTV	\$ 30,000
Contingencies (5%) - UNON cost Estimates	\$ 54,596
Sub-Total	\$ 3,516,550
PSC- 13%	\$ 457,151
Overall Total	\$ 3,973,701

The total budget estimate for INC-5 is USD. 3.97 million. The estimates include delegates travel, UN and UNDSS staff travel, conference services and documentation.

2.4. Possible funding needs for INC-5

- If Member States decide to *exceptionally* fund an additional delegate for INC-5, the additional cost will be approximately **USD 800,000**.
- Should an INC-5 ministerial be convened, the additional cost will be approximately **USD 740,000** which includes funding travel of 1 Minister from eligible countries for the entire INC-5, a roundtable breakfast and an evening reception.
- Partial costs for ENB services, approximately **USD 32,000**.

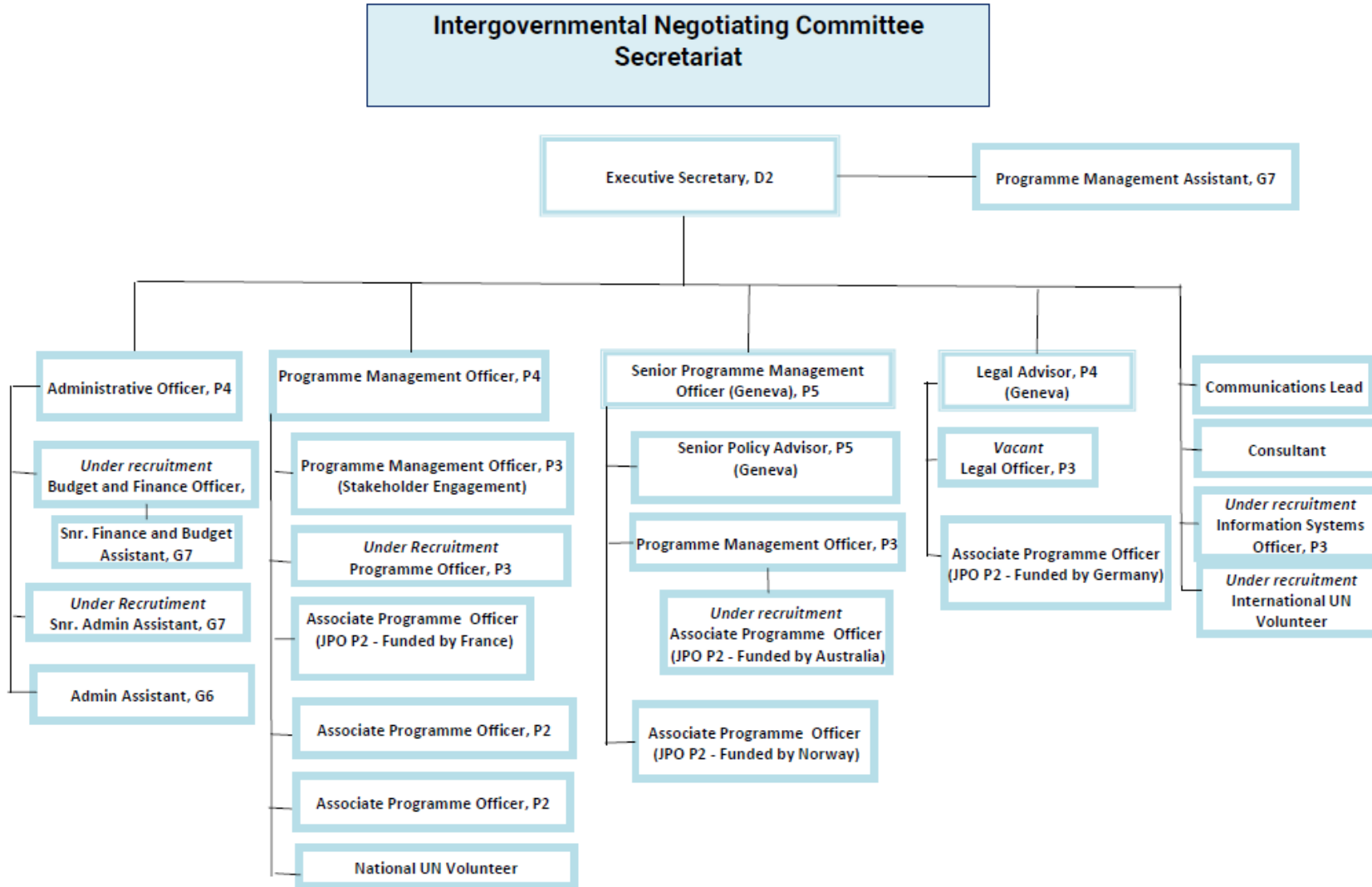
2.4. Update on funding gaps for 2024

INC Expenses Category	Actual	Budget	Funded	Unfunded
INC Meeting -INC5		3,516,550	428,122	3,088,428
Diplomatic Conference		3,554,874	2,331,198	1,223,676
Sub - Total		7,071,424	2,759,320	4,312,104
PSC		813,799	358,712	442,087
Total		7,818,829	3,118,032	4,754,191

Which expense categories remain unfunded?

- INC-5 - in anticipation that a financial contribution will be made by the host country.
- Diplomatic conference - once the date and venue are agreed upon there may be gaps in funding.

3. Structure of the Secretariat of the INC



4.AOB

Possible in-person Heads of Delegation meeting

<i>In-person Heads of Delegation meeting Nairobi, Kenya</i>	<i>1 Delegates</i>	<i>2 Delegates</i>
Conference Services	20,206	20,206
Equipment Services, ICTS, JMS and FMST	43,073	43,073
Contingencies (5%) - Per UNON Estimates	3,164	3,164
UN Delegation team Travel	22,407	22,407
Sponsored meeting participants - Travel costs	563,225	1,114,811
Sub Total	652,075	1,203,661
PSC- 13%	84,770	156,476
Overall Total - (incl. PSC)	736,845	1,360,137

The estimates above are based on an average estimate from previous INC sessions on the venue being Nairobi.

4. AOB

Interim secretariat staffing after the diplomatic conference

INC Budget Proposal	
Activity	One Year
Secretariat Requirements	
Staff and other personnel costs	5,053,399
Operational and Others	310,234
Travel	380,000
PSC- 13%	746,672
Total	6,490,304

Estimates are based on the Nairobi location, for 20 staff positions. It also includes estimates for 3 consultants.

Operational and other costs respect to office operational costs, including common services, rent and utilities, and others, including website.

Travel costs include the travel for purposes of advocacy to promote ratification.

This is without prejudice to any decision to be taken by the diplomatic conference.

4.AOB

Preparatory meetings for entry into force of the instrument

Activity	2 Delegates
Preparatory Meeting for the Entry into Force of the Instrument 1	2,770,000
Preparatory Meeting for the Entry into Force of the Instrument 2	2,770,000
Preparatory Meeting for the Entry into Force of the Instrument 3	2,770,000
PSC - 13%	1,080,300
Total	9,390,300

Based on an average estimate from previous INC sessions cost estimates have been prepared. Costs will vary depending on location. Estimates include conference services, travel for eligible countries and venue in Nairobi

The estimates for travel support are based on 2 funded delegates per eligible country.

This is without prejudice to any decision to be taken by the diplomatic conference.

4. AOB

- Status of contributions and budgetary update available on the INC website: <https://www.unep.org/inc-plastic-pollution>
- UN Board of Auditors;
<https://www.un.org/en/auditors/board/auditors-reports.shtml>
- Ref. to UNEP audited 2022 financial statements: <https://documents-dds-ny.un.org/doc/UNDOC/GEN/N23/148/92/PDF/N2314892.pdf?OpenElement>
- Internal Audit, oversight and investigations: <https://oios.un.org/inspection-and-evaluation>



Thank you for your continued support!

For questions and queries, please write to:

unep-incplastic.secretariat@un.org
