

1 **Development of canopy vigour maps using UAV for site-specific**
2 **management during vineyard spraying process**

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18
19 **Abstract**

20 Site-specific management of crops represents an important improvement in terms of
21 efficiency and efficacy of the different labours, and its implementation has experienced a
22 large development in the last decades, especially for field crops. The particular case of
23 the spray application process for what are called “specialty crops” (vineyard, orchard
24 fruits, citrus, olive trees, etc.) represents one of the most controversial and influential
25 actions directly related with economical, technical, and environmental aspects. This study

26 was conducted with the main objective to find possible correlations between data obtained
27 from remote sensing technology and the actual canopy characteristics. The potential
28 correlation will be the starting point to develop a variable rate application technology
29 based on prescription maps previously developed. An unmanned aerial vehicle (UAV)
30 equipped with a hyperspectral camera was used to obtain data to build a canopy vigour
31 map of an entire parcel. By applying the specific software DOSAVIÑA®, the canopy
32 map was then transformed into a practical prescription map, which was uploaded into the
33 dedicated software embedded in the sprayer. Adding to this information precise
34 georeferenced placement of the sprayer, the system was able to modify the working
35 parameters (pressure) in real time in order to follow the prescription map. The results
36 indicate that site-specific management for spray application in vineyards result in a 45%
37 reduction of application rate when compared with conventional spray application. This
38 fact leads to a equivalent reduction of the amount of pesticide when concentration is
39 maintained constant, showing once more that new technologies can help to achieve the
40 goal of the European legislative network of safe use of pesticides.

41

42 **Keywords:** Unmanned Aerial Vehicle (UAV), Canopy map, Variable Rate Application,
43 DOSAVIÑA, Spray Map,

44

45 **Introduction**

46 Crop protection issues, and related pesticide application, is one of the most important and
47 critical aspect associated with environmental contamination, safety of operators, and food
48 safety (EFSA, 2018; Carvalho, 2017). Moreover, it represents one of the most influential
49 aspects in the economical balance of crop production (Damalas and Eleftherohorinos,
50 2011). These considerations justify the widespread and intensive research activities
51 carried out in the past and current activities.

52 Considering the specific case of what is recently being called ‘specialty crops’, which
53 include orchard trees, citrus, olive trees, and vineyard crops, the most important factors
54 to control for a better and more efficient spray application process are those associated
55 with the specific and particular canopy characteristics (structure, dimensions, trellis
56 system, etc.) (Solanelles et al., 2006; Balsari et al., 2008; Rosell and Sanz, 2012; Salcedo
57 et al, 2015; Palleja and Landers, 2015). Every crop, in combination with specific
58 characteristics (parcel, variety, zone, etc.) is provided with a particular and very well-
59 defined structure, dimensions, and even foliar area and density. All of these aspects have
60 to be considered during the requested adjusting/calibration process of the sprayer before
61 the spraying process. Furthermore, in most cases, vegetative crops also have a crucial
62 effect on the final shape and structure of the target to be sprayed. Numerous studies have
63 already been conducted with the objective of quantifying the relationship between the
64 quality of the spray application process and differences in canopy characteristics (Balsari
65 et al. 2008; Doruchowski et al., 2009; Gil et al., 2014, Miranda-Fuentes et al., 2016;
66 Garcera et al., 2017).

67 Canopy characteristics and its influence on both the optimal volume rate and the most
68 efficient amount of pesticide to be applied is currently a crucial aspect directly related
69 with the discussion about the best way to express the recommended amount of pesticide
70 (pesticide dose) and the optimal amount of water, as practical information to be included
71 on the pesticide label. For the abovementioned ‘specialty crops’, much discussion and
72 research are also being conducted with the objective of achieving a common agreement
73 among EU zones (EPPO, 2012). Linked to the recommended dose of pesticide, it is clear
74 that the canopy characteristics must be considered. Consequently, in the last decades
75 various methods have been proposed, not only for canopy characterisation, but also with

76 the aim of establishing a proper way to express the intended dose (Walklate and Cross,
77 2012; Codis, et al., 2012; Gil et al., 2014; Toewes and Friessleben, 2012).

78 Target detection has been developed either by using advanced techniques, such as vision
79 systems and laser scanning, or by ultrasonic and spectral systems. Gil et al. (2007)
80 obtained a significant reduction in the total amount of applied volume (57%) using a
81 sprayer prototype with ultrasonic sensors able to measure the crop width variations and
82 to apply a variable dose rate according to the instantaneous measured vine row volume
83 (VRV), in comparison with a conventional and constant application volume rate.
84 However, this reduction did not affect the results in terms of deposit of pesticide, leaf
85 coverage and penetration where similar normalized values were achieved. Solanelles et
86 al (2002) demonstrated that different shapes, sizes and foliar densities in tree crops during
87 the same growing season require a continuous adjustment of the applied dose rate to
88 optimize the spray application efficiency and to reduce environmental contamination.
89 Crop characteristics are directly related to the total amounts of pesticide deposit on leaves
90 and values of leaf area and canopy dimensions (mainly height and width) can widely
91 affect the efficiency values, as a relationship between the expected deposit and the actual
92 one. It seems that any approach to adapt the spraying volume rate to crop characteristics
93 will lead with a general principle that foliar application must results in similar deposits
94 ($\mu\text{g}\cdot\text{cm}^{-2}$), independently of crop size or canopy density. That system would avoid the
95 problem of over dosage of PPP detected as a frequent problem in the early crop growth
96 stages, especially in orchards and vineyards where in most cases pesticide dose rate is
97 expressed in many different ways.

98 Considering the particular case of vineyards, the latest trends (EPPO, 2016) have been
99 focused on the use of the leaf wall area (LWA) method as the most accurate way to
100 establish the relationship between canopy structure and the recommended amount of
101 pesticide and water. This decision is based on important research results that demonstrate
102 that it is beneficial for those types of crops (Gil et al.; 2014; Pergher and Petris, 2008;
103 Walklate and Cross, 2012).

104 However, even if the canopy characteristics can be defined using the methods outlined, it
105 is also clear that a certain amount of variability can be assumed to exist inside the parcel.
106 When a uniform canopy structure is assumed for the whole parcel, differences in the total
107 amount of pesticide arriving at the canopy can occur, which reduces the effectiveness of

108 its application. Numerous studies using different electronic and manual measurement
109 methods have demonstrated the importance of this variability in different types of
110 specialty crops, with one of the most challenging aspects being achievement of variable
111 rate application on specialty crops. Promising results have been obtained using onboard
112 sensors such as LiDAR or ultrasonic sensors (Wei and Salyani, 2005; Lee and Ehsani,
113 2008; Llorens et al., 2011), showing in all cases a very close relationship between
114 electronic and manual measurements. Alternatively, canopy characterization has also
115 been investigated using remote sensing technologies. Grapes, orchards, and citrus trees
116 have been characterized using remote sensors (de Castro et al., 2018). The canopy volume
117 of trees in forests has also been measured (Möttus et al., 2006; Le Maire et al., 2008) with
118 different degrees of success. The use of remote systems in fields organized by parallel
119 row lines has been challenged by Jeon et al. (2011), who argue that the scale of these
120 remote sensing techniques is relatively large and, consequently, the sensing resolution
121 may be insufficient for real-time variable rate application. Another aspect to be
122 considered is the high resolution of these sensors, especially the LiDAR, and the large
123 volume of the data to be processed, which prevents it from being possible to adapt it to
124 be used for variable rate application in real time.

125 Accurate canopy characterization is linked, in most cases, with the promising concept of
126 variable rate application. Assuming the objective is to maintain a constant application rate
127 per unit of canopy, these developments on canopy measurements have been linked with
128 research developments on modified sprayers that are able to modify the spray parameters
129 (working pressure, nozzle flow rate, number of nozzles, etc.) according to the canopy
130 characteristics, while maintaining a constant application rate per unit of canopy (Escolà
131 et al., 2013; Gil et al., 2013; Du et al., 2008).

132 Canopy characterization becomes then, a crucial aspect for what is defined site-specific
133 management strategies. Especially when georeferenced information about canopy
134 structure and variability at the field scale is required (De Castro et al., 2018), the use of
135 non-destructive and remote sensing technologies become a very useful alternative,
136 offering the possibility of a rapid assessment of large areas (Hall et al., 2002; Johnson et
137 al., 2003). Unmanned Aerial Vehicles (UAVs) have been widely used to carry remote
138 sensing devices due their flexibility for flight scheduling, versatility and affordable
139 management. Spatial information direct or indirectly linked with canopy characteristics
140 or information about designed area as water status (Baluja et al., 2012), disease detection

141 (Albertis et al., 2017) and canopy characterization (Ballesteros et al., 2015; Weiss et al.,
142 2017; Mathews et al., 2013; Poblete-Echevarria et al., 2017) can be recorded in a practical
143 and efficient way. De Castro et al (2018) developed a fully automatic process for vineyard
144 canopy characterization self-adapted to different crop conditions, representing an
145 important improvement in the canopy characterization process, generating a time-
146 efficient, reliable and accurate method, avoiding potential errors inherent to the manual
147 process.

148 UAVs embedded with specific devices for data acquisition have been tested in different
149 conditions and crops with diverse results (Primicerio et al., 2012; Xiongkui et al., 2017;
150 Matese et al., 2015; Patrick and Li, 2017). The potential advantages of UAV for canopy
151 characterization are linked to its capability for characterization of large areas, relatively
152 low cost for functioning, great capability for recording large volumes of data, and
153 potential to obtain a real picture from above, giving complementary information about
154 the crop distribution over the measured area. Remote sensing, and more specifically
155 NDVI (Rouse et al 1974), has been widely studied and correlated with certain structural
156 and physiological characteristics of vines. For example, LAI (leaf area index) was found
157 strongly related to NDVI in vineyards (Johnson 2003, Johnson et al 2003). On the other
158 hand, pruning weight has been established as an indicator of canopy density and vigour
159 to delineate management zones related with vine size by means of vegetation indices
160 (Johnson et al 2001, Dobrowski et al 2003).

161 However, even if it has been largely demonstrated the relationship between canopy
162 characteristics and the optimal amount of pesticide/water volume during spray
163 applications in specialty crops as vineyard, there is still a gap in the research focused in
164 VRA in this kind of crops, where canopy structure and dimensions have been shown as
165 one of the most affecting factors on the efficacy of the process.

166 The overall objective of this paper is to find a good correlation between data obtained
167 from remote sensing technologies and canopy characteristics. The hypothesis is that
168 NDVI is a good indicator of canopy vigor and consequently application volume can be
169 varied by NDVI zones to maintain a roughly constant application coverage. The practical
170 implications of that correlation will be shown in the form of a novel smart spray
171 application device based on the principle of Variable Rate Application (VRA) adapted
172 for vineyard plantations. The new developed technology will be able to follow a

173 georeferenced prescription map obtained by combining the spatial canopy
174 characterization together with the application of the modified method of LWA (Leaf Wall
175 Area) generated by a newly developed Decision Support System (DSS) Dosaviña. The
176 specific steps in this research were:

- 177 • To obtain a canopy map identifying the zones with clear differences in vigour
- 178 • To establish a prescription map (amount of liquid and pesticide) to be applied
179 according to the previously defined canopy characteristic
- 180 • To develop a modified conventional orchard sprayer adapted for automatic site-
181 specific management during spray application
- 182 • To evaluate the accuracy of the proposed method

183 The achieving the above objectives will improve the specific knowledge and available
184 technologies to improve the spray application process in specialty crops as vineyard,
185 including economic and environmental benefits derived from the potential reduction of
186 the amount of pesticides and water.

187 **Materials and methods**

188 Experimental site

189 Trials were conducted in the heart of the viticulture zone of appellation of origin *Penedès*,
190 one of the official wine-producing zones in Spain. A representative parcel of c.a. 5 ha was
191 selected in El Plà del Penedès (X:393.264,99 Y:4.584.840,50 UTM31 ETRS89).
192 Vineyards of variety Cabernet Sauvignon were planted at 1.2 m distance in the row, and
193 2.8 m between rows, resulting in 2976 plants·ha⁻¹. Trellis system *Double Royat* was
194 adopted with two lines of wires, as most representative in the zone. Trials were arranged
195 at canopy between stage 75 (Berries pea-sized, bunches hang) and 77 (Berries beginning
196 to touch) (Meier, 1997).

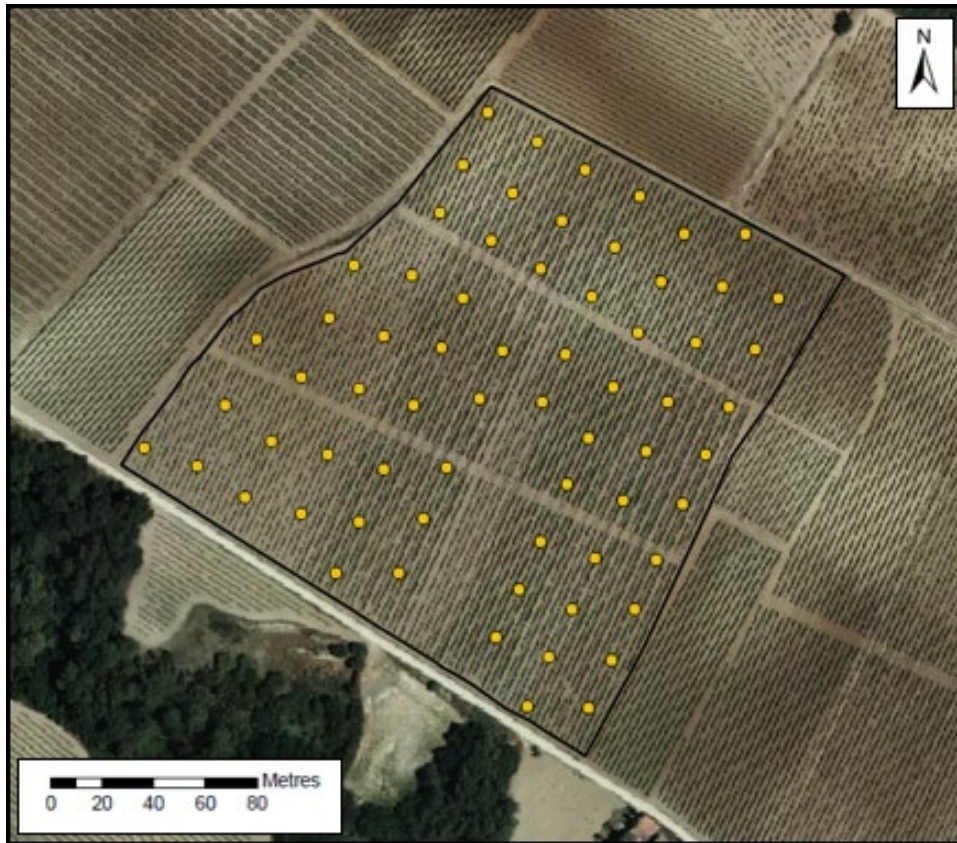
197 Data acquisition for canopy characterization

198 Canopy characterization was done using a UAV that was properly adjusted and managed
199 to conduct stable flight over the parcel. A hexacopter (model: DroneHEXA, Dronetools
200 SL, Sevilla, Spain) was used. The drone was provided with two batteries (4S of 6000

201 mAh) and had a maximum autonomy of 15 min at full load of 2.5 kg and 25 min for the
202 no-load case.

203 The hexacopter was loaded with a digital camera (model: RedEDGE, Micasense, Seattle,
204 USA) equipped with a five-sensor matrix (1280 × 960), five lenses (5.5 m focal distance),
205 and their corresponding filters. The function of each filter was to acquire the
206 corresponding narrow band in the spectrum: three in the visible zone (red centred at 668
207 nm (R), green at 560 nm (G), and blue at 475 (B)); one in the RedEdge centred at 717 nm
208 (RE); and the last one in the near infrared centred at 840 nm (NIR). The spectral
209 bandwidths of each filter were 10 nm for R and RE, 20 nm for B and G, and 40 nm for
210 NIR. Flight was conducted at 95 m above ground level (AGL) at a cruise flight speed of
211 6 m·s⁻¹. Overlapping zones were adjusted at 80% in the sense of flight and 60% in the
212 transverse sense.

213 In order to obtain a complete range of data during the whole canopy season, three different
214 flights with the UAV were additionally arranged at three different canopy stages,
215 corresponding to *Beginning of Flowering* (BBCH 61), *Berries Pea size* (BBCH 75) and
216 *Beginning of ripening* (BBCH 81), according Meier (1997). Previous to the first flight, a
217 randomized process was established to identify a total of 69 sample points in the parcel
218 (Fig. 1). Every single sample point, consisted on 1 m canopy row, was properly identified
219 in the parcel in order to arrange a complete manual and remote canopy characterization
220 after every single flight, with the main objective to determine the potential relationship
221 between data obtained with remote sensing technology, and the actual canopy parameters,
222 including Leaf Wall Area (LWA) (m²_{canopy}·ha⁻¹) and TRV (Tree Row Volume)
223 (m³_{canopy}·ha⁻¹).



224

225

Figure 1. Regular sampling points distributed over the parcel

226

227 Adapted sprayer for variable rate application

228 The starting point for the development of the variable rate technology sprayer was a
 229 commercial multi-row orchard sprayer (model: Hardi Iris-2, Ilemo-Hardi, S.A.U., Lleida,
 230 Spain) with a 1500 L tank trailed sprayer equipped with four lateral booms, each having
 231 eight nozzles, and able to spray two rows of vine simultaneously. The hydro-pneumatic
 232 sprayer was provided with a centrifugal fan offering an average air flow rate of 7500
 233 $\text{m}^3 \cdot \text{h}^{-1}$ (Gil et al., 2015). The original sprayer was modified in order to follow a
 234 prescription map. For this purpose, several elements were installed (Fig. 2):

- 235 • One pressure sensor GEMS 1200 series (Gems Sensors & Controls, Plainville,
 236 USA) with the purpose of adjusting the required pressure according to the
 237 prescription map.
- 238 • Two ultrasonic sensors Sonar Bero Compact II (Siemens AG, Munich, Germany)
 239 to detect presence/absence of vegetation along the canopy lines.

- 240 • Four electro valves (Asco model S272, ASCO Neumatics, Rueil Malmaison, France) placed just at the feeding point of each vertical boom; the function of the electro valves was to shut-off the nozzle flow rate when the signal received from the ultrasonic sensors indicated no vegetation.

241

242

243
- 244 • Electronic controller (Topcon Corporation, Tokyo, Japan), including GPS receiver model SGR-1, with a frequency up to 20 Hz, a X25 touchscreen and an automatic section controller ASC-10. The whole system was in charge to determine the exact sprayer position into the parcel, to calculate the desired volume rate, based on the previously uploaded prescription map, and to modify the working pressure in order to obtain the adjusted nozzle flow rate.

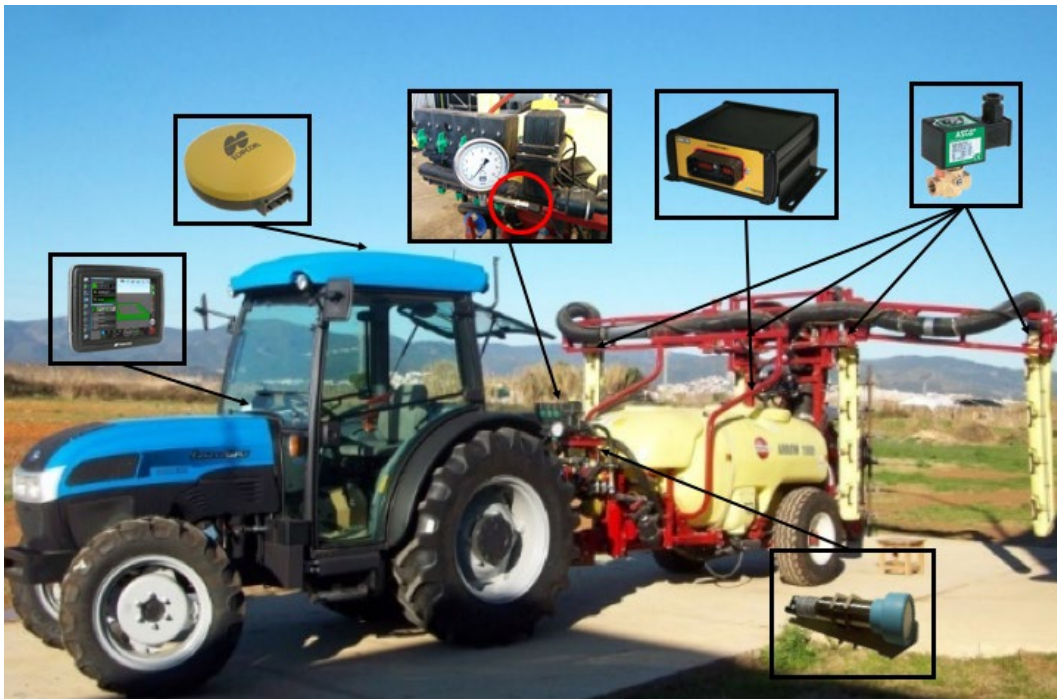
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Fig. 2: Variable rate application prototype.

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253 The modified sprayer operated according to the following two different scenarios:

- 254 a. According to the position in the parcel detected by the GPS receiver, the system
255 identifies the canopy characteristics and, from that, the recommended volume. At
256 this point the embedded controller calculates the required working pressure and
257 sends the order to the pressure controller in order to adjust the nozzle flow rate.
- 258 b. If at a certain point in the parcel, the ultrasonic sensors detect absence of
259 vegetation (end of the canopy row), the corresponding signal is transformed into
260 a shut-off order to the electro valves, which turn off the entire flow rate of the
261 vertical booms.

262

263 Decision Support System to determine optimal volume rate

264 A decision support system (DSS, DOSAVIÑA®) (UMA-UPC, 2018) was used to
265 determine the optimal volume rate based on the canopy characteristics. The system (Gil
266 et al., 2011; Gil and Escolà, 2009) enables determination of the most accurate volume
267 rate based on a modified version of the leaf wall area (LWA) method (Walklate and Cross,
268 2012).

269

270 Methodology of the whole process

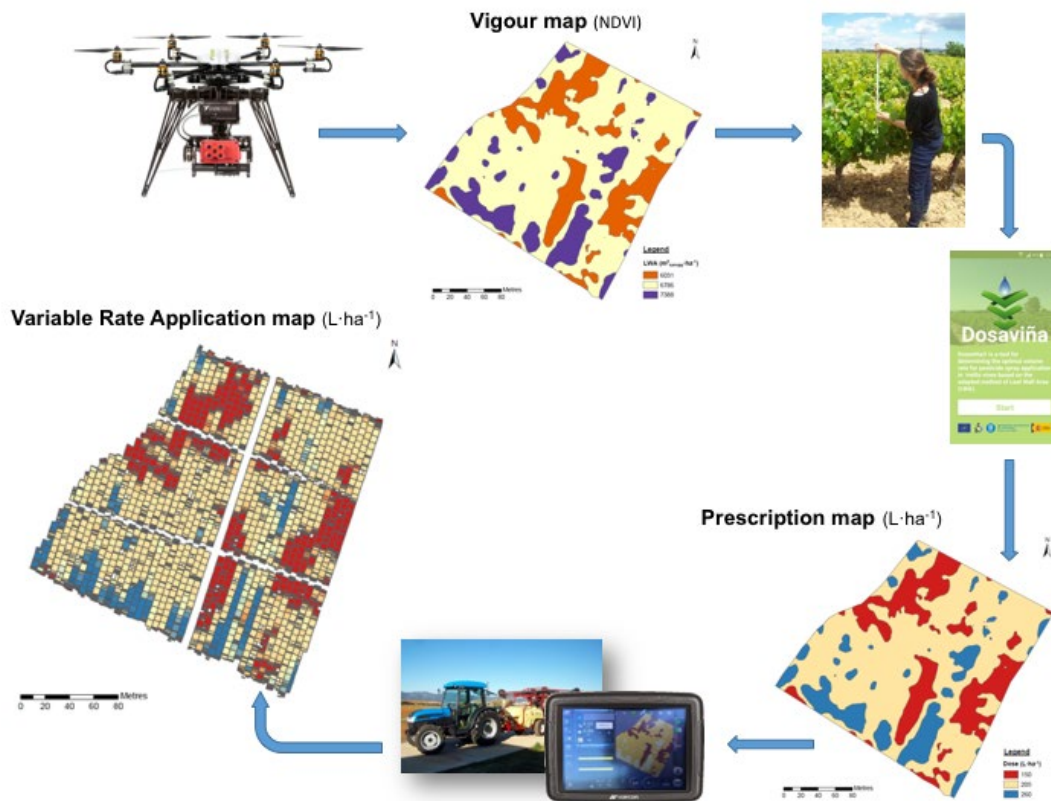
271 The entire process for variable application rate based on canopy vigour maps is illustrated
272 in Fig. 3. Firstly, the orthophotomap created from the high-resolution imagery acquired
273 with the drone, yielded a spatial resolution of 6.33 cm·pixel⁻¹ and was composed of the
274 same five bands offered by the camera (R, G, B, RE, and NIR). The orthophotomap (Fig.
275 4a) was radiometrically calibrated using four grayscale standards placed in the field at the
276 time of flight and visible in the image. Calibration curves were built with 22, 32, 44, and
277 51% grayscale reflectance standards for each of the spectral channels from the
278 multispectral camera. The equations extracted from the calibration process were used to
279 convert grayscale 12-bit digital numbers to reflectance values. The new reflectance
280 images were then combined to calculate the normalized differential vegetation index
281 (NDVI) (Rouse et al. 1973) (Eq. (1))

$$282 \quad \text{NDVI} = \frac{\text{NIR} - \text{RED}}{\text{NIR} + \text{RED}} \quad (1)$$

283

284

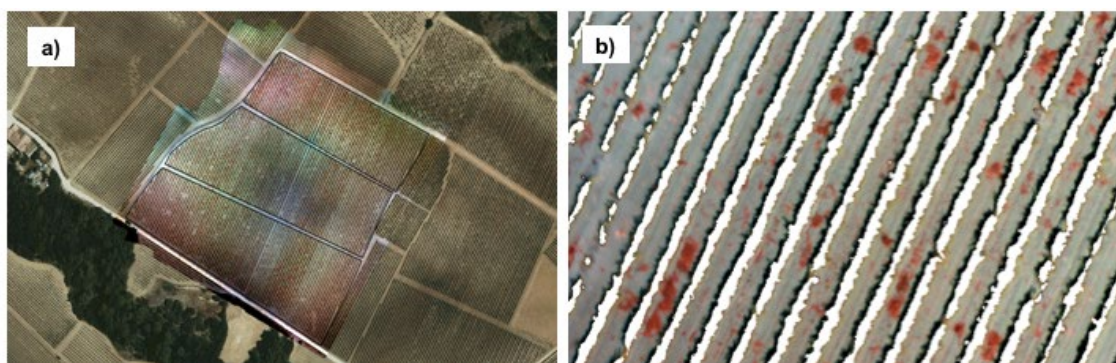
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287 Fig. 3: Scheme of the whole process: From UAV vigour map to actual variable rate
288 application map.

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290

291 Fig. 4: a) Orthophotomap of the parcel; b) Vineyard mask.

292 The NDVI is a normalized index with values from -1 to one, where photosynthetically
293 active vegetation ranges from 0.2 to one (USGS, 2018). As vineyards grow in rows, and

294 weeds, soil, and shadows are not desired, vineyard-only pixels were segmented from the
295 image based on a threshold from NDVI, which could ensure that pure vineyard-only
296 vegetation pixels could be masked out of the image. The NDVI threshold to create the
297 vineyard mask (Fig. 4b) was set to 0.35, and pixels above this threshold were considered
298 vineyard pixels and coded as '1', whereas pixels below the threshold were considered
299 noise (soil, shadows, weeds, etc.) and set to '0'. Once the NDVI threshold was applied,
300 an Inverse Distance Weighting interpolation (IDW) was performed to generate a
301 continuous NDVI map. Final processing consisted on a value clustering in 3 NDVI levels,
302 which was later smoothed by performing a neighbor median filtering to produce the final
303 vigor map.

304 The process was executed using the QGIS software (QGIS Development Team, 2016.
305 QGIS Geographic Information System. Open Source Geospatial Foundation. URL
306 <http://qgis.osgeo.org>).

307 Once the vigour map was created with the three different zones, they were identified in
308 the parcel, corresponding to low, medium, and high canopy vigour. For each of the zones,
309 15 manual measurements were systematically taken in order to have a complete canopy
310 characterization (Table 1). The obtained values were then entered into the dedicated
311 software application DOSAVIÑA® (Gil and Escolà, 2009; Gil et al., 2011) in order to
312 obtain the recommended volume rate. Based on recommendations, the selected applied
313 volumes were 260 L·ha⁻¹, 205 L·ha⁻¹, and 150 L·ha⁻¹, for high, medium and low vigour
314 canopy zones, respectively.

315 Once the three different volume rates were calculated, the corresponding values were
316 introduced into the georeferenced canopy vigour map using the specific software QGIS.
317 Following this procedure, it was then possible to generate the georeferenced prescription
318 map. The generated map was transferred via USB to the X25 touch screen previously
319 described and installed into the sprayer. Specific data concerning dedicated working
320 parameters for each vigour zone was also uploaded into the system (Table 1). In all cases
321 forward speed was maintained constant around 6 km·h⁻¹; also, the number and nozzle
322 type were maintained constant in all the cases, using hollow cone nozzles Albuz ATR
323 (Albuz Saint-Gobain, Evreux, France). In order to adapt the requested application rate,
324 only the working pressure was automatically modified, always maintaining the values
325 inside the recommended range provided by nozzle manufacturer.

326 Table 1. Specific working conditions for each vigour zone.

Vigour	Volume (L·ha ⁻¹)	Nozzle type	N° nozzles	Pressure (Bar)	Fwd. speed (Km·h ⁻¹)
Low	154	ATR-Lilac	24	5.0	6
Medium	205	ATR-Lilac	24	9.1	6
High	260	ATR-Lilac	24	15.0	6

327

328 The spraying process began when all the parameters and information (canopy vigour map,
 329 prescription map, and working conditions) were uploaded into the embedded controller.
 330 During the spraying process the system recorded, information concerning the sprayer
 331 position in the parcel, the applied volume rate, and the adjusted working pressure.

332 In order to simplify the process, the system was programmed to apply the same amount
 333 of liquid on the two simultaneously sprayed rows, avoiding differences between left and
 334 right side of the sprayer during the circulation over the rows.

335

336 Developed methodology for comparison of prescription vs actual application map

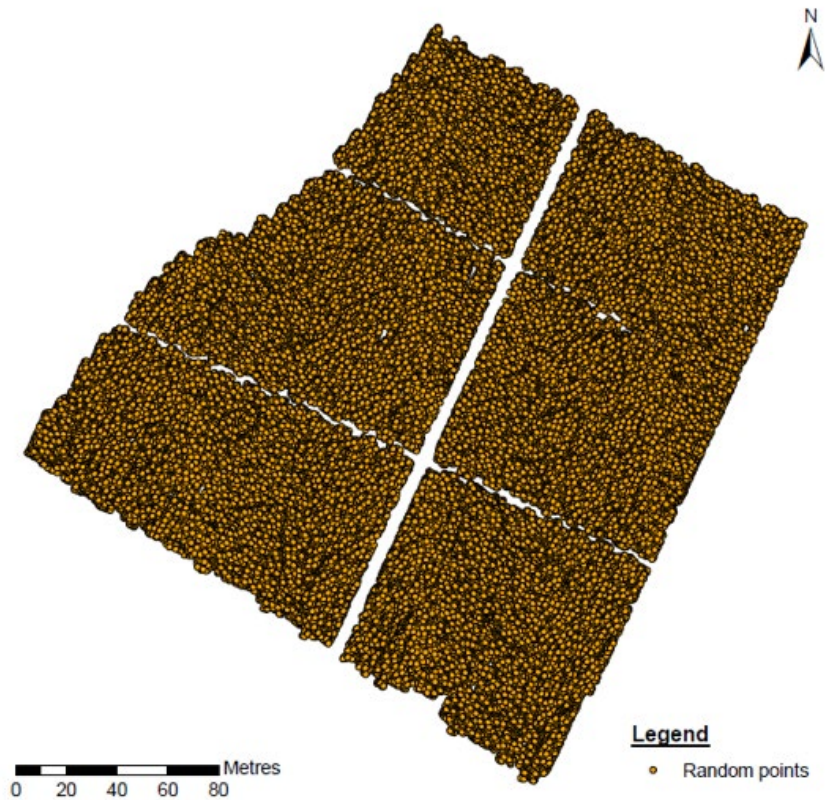
337 On generating the actual application map after the spray pass, the actual and objective
 338 maps were compared in order to evaluate the precision of the system; QGIS software
 339 (QGIS Development Team, 2016) was used for this purpose.

340 For the comparison process, a random net of *circa* 100,000 points were developed (Fig.
 341 5). For every single point, information about prescription value and actual application rate
 342 was compared individually. Then, for the total number of sample points, the RMSE was
 343 calculated according to Eq. (2):

$$344 \quad \text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (r_i - p_i)^2}{n}} \quad (2)$$

345 where r is the expected value; and p is the obtained value (actual).

346



347

348 Fig. 5: Randomized distribution of selected points for comparing actual and intended
 349 spray application maps.

350 Furthermore, a specific comparison process was designed for each of the 100,000
 351 randomly defined points. For every value of expected value ' r ', 11 intervals of tolerance
 352 were assigned (each representing an increase of 5% compared with the previous one).
 353 The defined intervals ranged from zero to 50% deviation. Each point was compared and
 354 quantified for its coincidence between p and r values. In addition, a determination was
 355 made as to whether the p value was inside the calculated range $[r-i, r+i]$. Once all the
 356 points were compared, the percentage of coincidence was also calculated.

357 Finally, in order to visualize the level of accuracy of the actual spray application map, a
 358 specific interpolation process based on the inverse distance weighed (IDW) method was
 359 applied.

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363 **Results and discussion**

364 Correlation between NDVI and canopy characteristics

365 According the overall objective of this research, data obtained with multispectral camera
366 were evaluated in order to find a proper relationship with one or several canopy
367 parameters obtained after an accurate manual characterization of the canopy. Table 2
368 shows the average values of main canopy parameters (including NDVI) for the three
369 canopy stages analysed. A deep analysis of the obtained data from the 69 sample points
370 evaluated in the parcel indicated a good correlation between canopy area, expressed as
371 TRV ($\text{m}^3_{\text{canopy}} \cdot \text{ha}^{-1}$) and a dedicated index generated after the combination of NDVI and
372 the projected area measured by the UAV (Fig. 6). The obtained results after 69 measuring
373 points at the three different crop stages demonstrated that the proposed remote canopy
374 characterization offers interesting results, directly related with the latest proposal to
375 determine a common canopy parameter (EPPO, 2016).

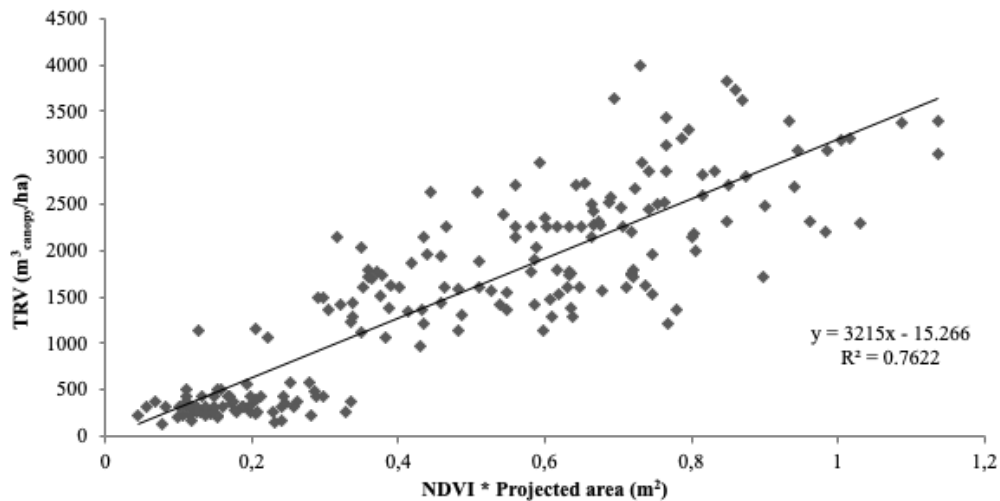
376 Table 2. Average values of NDVI and manual canopy characterization for the three
377 identified zones in the parcel.

Crop stage¹	NDVI	Canopy height (m)	Canopy width (m)	TRV² ($\text{m}^3_{\text{canopy}} \cdot \text{ha}^{-1}$)	LWA² ($\text{m}^2_{\text{canopy}} \cdot \text{ha}^{-1}$)
BBCH 61	0.4903	0.32	0.29	334	2289
BBCH 75	0.7812	1.02	0.72	2583	7185
BBCH 81	0.4617	0.91	0.49	1580	6471

378 ¹ According Meier (1997)

379 ² LWA calculated for a row distance of 2.8 m

380



381

382 Figure 6. Relationship between TRV (manual measurements) and NDVI*Projected area
 383 (remote sensing determination). Values obtained after measurements at three different
 384 crop stages (BBCH 61, 75 and 81) at the 69 defined measuring points

385

386 Once the relationship between NDVI and canopy characteristic was established, the three
 387 different identified zones in the parcel were quantified and classified according their main
 388 characteristics (table 3).

389 Table 3. Average values of NDVI and manual canopy characterization for the three
 390 identified zones in the parcel.

Vigour	NDVI	Canopy height (m)	Canopy width (m)	TRV* (m ³ canopy·ha ⁻¹)	LWA* (m ² canopy·ha ⁻¹)
Low	0.550	0.84	0.51	1530	6031
Medium	0.605	0.95	0.46	1560	6786
High	0.643	1.03	0.57	2096	7388

391

* LWA calculated for a row distance of 2.8 m

392 Considering the previous relationship, the intended procedure of development of canopy
 393 vigour map, prescription map and actual application map was developed in order to
 394 achieve the variable application rate global procedure.

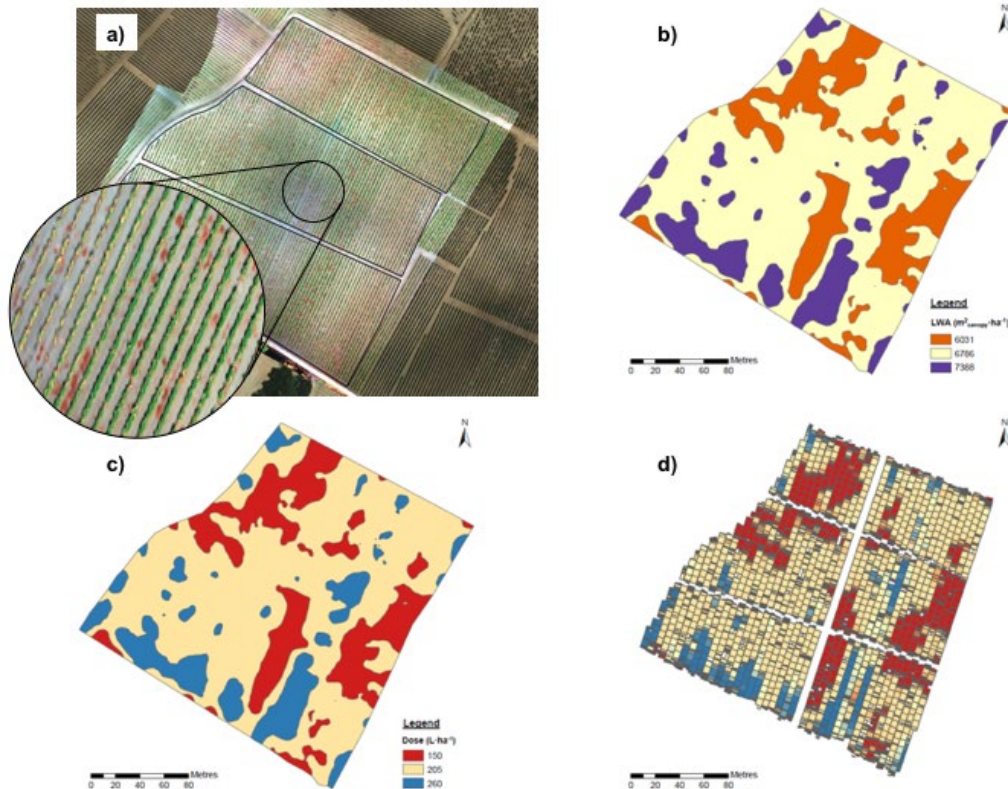
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397 Developed maps

398 This subsection presents and discusses the maps generated during the process. The
399 sequence of the obtained maps was as follows: (1) NDVI map, (2) canopy vigour map,
400 (3) prescription map, and (4) actual application map (Fig. 7).

401



402

403 Fig. 7: Obtained maps: a) Raw NDVI map; b) Canopy vigour map; c) Prescription map;
404 d) Actual variable application map.

405

406 *NDVI map*

407 Data obtained from the multispectral camera embedded in the UAV was used to generate
408 the NDVI map (Fig. 7a). This map shows how the intensity of colour was captured by the
409 camera, being the first step for determining the different canopy vigour zones.

410

411 *Canopy vigour map*

412 Once the NDVI map was developed, all the data were appropriately managed and
413 classified in order to distinguish the three clearly different zones in the parcel. The three
414 zones were plotted on the map (Fig. 7b) with three different colours, assigning specific
415 canopy parameter to each zone (Table 1). Taking the NDVI map as the starting point, 15
416 complete manual characterization of the canopy were made in each zone, establishing the
417 corresponding correlation between NDVI and canopy parameters (canopy height, canopy
418 width) and the subsequent values of LWA ($\text{m}^2 \text{canopy} \cdot \text{ha}^{-1}$). Table 1 shows the obtained
419 results per zone. According to the obtained values, the total area of the parcel (5.05 ha)
420 was distributed as follows: 21.5% (1.09 ha) for low canopy vigour, 63.9% (3.23 ha) for
421 medium canopy vigour, and 14.6% (0.73 ha) for high canopy vigour (Fig 7b).

422 *Prescription map*

423 The three defined zones identified in the canopy vigour map were used as the starting
424 point to determine the optimal volume rate to be sprayed. For the process to transform
425 LWA into the corresponding $\text{L} \cdot \text{ha}^{-1}$, the special DSS DOSAVIÑA® was used (UMA-
426 UPC, 2018). The functioning principle of DOSAVIÑA for calculating the optimal
427 volume rate (Gil and Escolà, 2009.; Gil et al., 2011) is based on a modified method of the
428 Leaf Wall Area (LWA) principle, which has been recently proposed by EPPO (EPPO,
429 2016) as the recommended and harmonized method for dose expression in uniform wall
430 3D crops. Modifications from the original LWA method consisted in the introduction of
431 important canopy parameters as canopy width and canopy density. Additionally, the
432 dedicated DSS introduces a quantification of the efficacy of the spraying process
433 considering the type of sprayer. This new developed tool was used during the research to
434 determine the optimal volume rate for the different identified zones in the parcel.

435 From that, the intended prescription map was generated (Fig. 7c). In this case, the
436 corresponding obtained values were $150 \text{ l} \cdot \text{ha}^{-1}$ for low canopy vigour, 206 for medium
437 canopy vigour, and $260 \text{ l} \cdot \text{ha}^{-1}$ for high canopy vigour.

438 *Actual variable application map*

439 Once the prescription map was embedded into the controller installed on the sprayer, the
440 spray application process started. During the process, data associated with the

441 georeferenced position of the sprayer, actual working pressure, and forward speed were
442 automatically recorded and saved in the dedicated software. Following further processing
443 of the saved data the actual application map was generated (Fig. 7d). A detailed analysis
444 of this map facilitates explanation of certain characteristics. The actual application map
445 is divided into small and irregular rectangles. The common dimension was the width of
446 the rectangle, and was assigned to 5.6 m. This length corresponds to the working width
447 of the sprayer (two simultaneous rows at 2.8 m row distance). The decision was made to
448 maintain the same application rate on both sides of the sprayer in order to simplify the
449 process. The length of the rectangles varied depending on the detected changes on canopy
450 vigour, with a maximum length of 5.6 m, as programmed in the software.

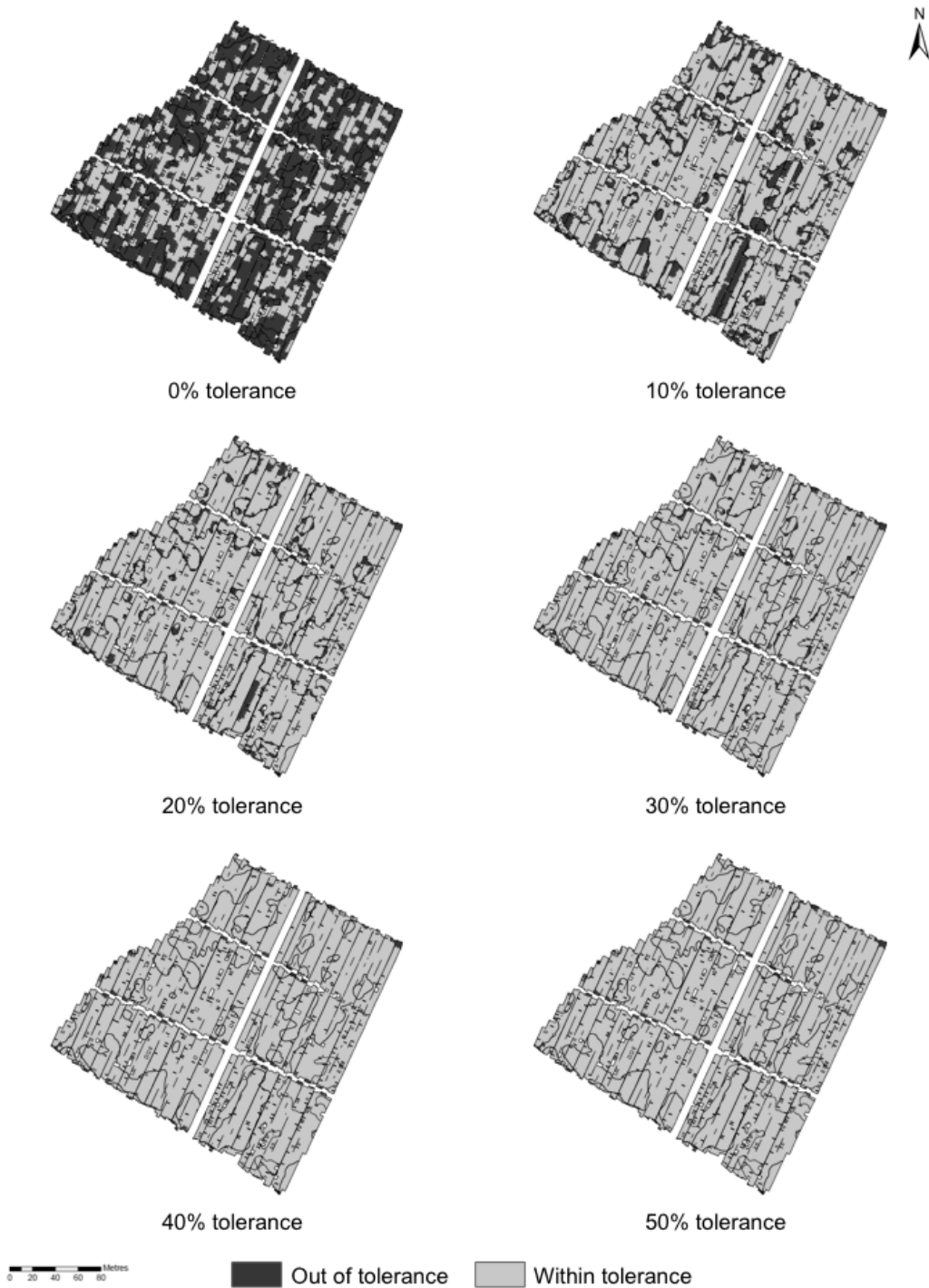
451 The white lines observed in the actual application map (Fig. 7d) correspond to internal
452 roads in the parcel. As the spraying process was continuous, in those zones without the
453 presence of canopy, the spraying process was automatically turned-off according to the
454 signal detected by the ultrasonic sensors installed on both sides of the sprayer.

455 Quantification of the accuracy of the system

456 Following generation of the actual application map, the mathematical procedure outlined
457 below was used to evaluate and quantify the accuracy of the process. As will be seen, the
458 results obtained indicated that the developed system had exceptionally good accuracy,
459 quantified by the comparison between the actual spray application rate and the intended
460 application rate.

461 The obtained value of RMSE for the whole group of 100,000 sample points was 24.4.
462 RMSE is a good statistical tool for comparison analysis. However, in this case there is no
463 previous research where similar procedure has been applied, being difficult to evaluate the
464 goodness of the obtained value. For this reason, an alternative method to quantify the
465 correspondence between prescription and actual map was proposed. A range of eleven
466 different thresholds was established, from 0% to 50% tolerance. The most restrictive
467 threshold (0%) measured the percentage of points (out of 100,000) where there was no
468 difference between the intended and actual application rate. On the opposite extreme, the
469 highest tolerance (50%) quantified the percentage of points where variations of $\pm 50\%$ of
470 applied volume was detected. This last case is explained as follows: for the intended value
471 of $150 \text{ l}\cdot\text{ha}^{-1}$ (low case), the areas where the actual spray application rate ranged from 75

472 to 225 l·ha⁻¹ were counted; for medium application rate (206 l·ha⁻¹), the counted range
473 was from 103 l·ha⁻¹ to 309 l·ha⁻¹; and, for the highest intended spray application rate (260
474 l·ha⁻¹) the measured range was from 130 l·ha⁻¹ to 390 l·ha⁻¹. Table 4 shows the complete
475 range of thresholds applied during the accuracy evaluation process.



476

477 Fig. 8: Spatial distribution of accuracy for different degrees of tolerance (intended vs.
478 actual application).

479

480 Table 4. Range of values ($L \cdot ha^{-1}$) applied for each established threshold used for the comparison between actual and intended spray application
 481 maps

	Percentage of accepted difference between actual and intended application maps											
	0%		10%		20%		30%		40%		50%	
	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max
Low ($150 L \cdot ha^{-1}$)	150	150	135	165	120	180	105	195	90	210	75	225
Medium ($206 L \cdot ha^{-1}$)	206	206	185	226	164	247	144	267	123	288	103	309
High ($260 L \cdot ha^{-1}$)	260	260	234	286	208	312	182	338	156	364	130	390

482

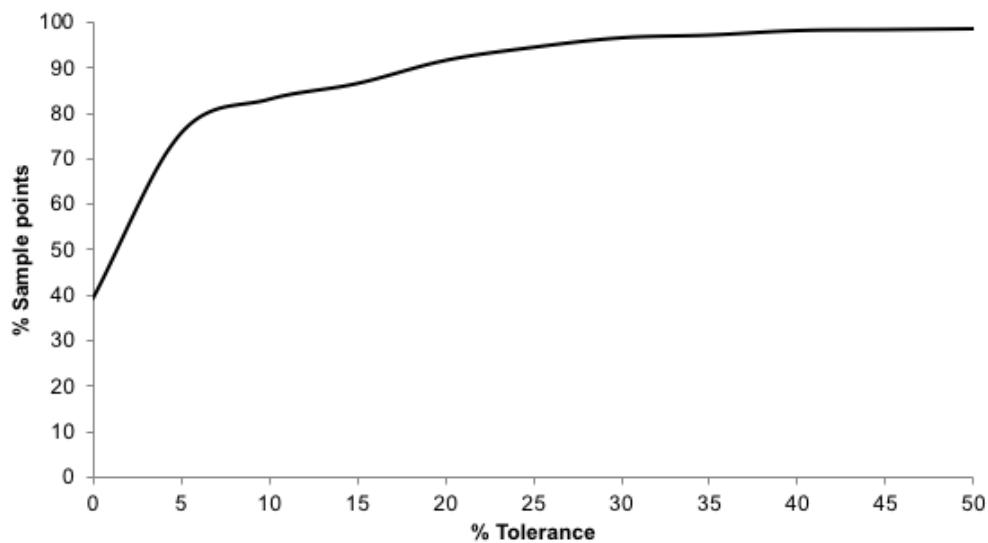
483 Table 5. Quantification of potential savings of the site-specific sprayer for conventional application, variable rate application (VRA) and variable
 484 rate application without ultrasonic sensors

	Volume ($L \cdot ha^{-1}$)	Total volume (L)	Volume savings (%)	N° of filling tanks	Time (h)	Time savings (%)	a.i. (Kg)	a.i. savings (%)
Conventional	325	1641	0.0	2	3.2	0.0	6.6	0.0
VRA without sensors	150/206/260	914	44.3	1	2.4	23.7	3.7	44.3
VRA	150/206/260	866	47.3	1	2.4	23.7	3.5	47.3

485

486 Figure 8 shows the spatial distribution of the accuracy in the parcel, classified according
 487 the established threshold level, ranging from 0% to 50%. The dark zones on the maps
 488 indicate the areas where the accuracy of the system exceeded the established thresholds.
 489 The main percentage of dark zones corresponds to transition zones, where the variable
 490 application sprayer was forced to modify the working parameters (working pressure)
 491 while maintaining the forward speed. During the data processing, some outsider cases
 492 were also detected. In a small number of points, differences greater than 50% between
 493 intended and actual spray application rate were detected. A small percentage of the total
 494 measured area (1.2%) was identified as the worst cases. Those zones correspond to values
 495 where the spray application rate (table 3) fell lower than $75 \text{ l}\cdot\text{ha}^{-1}$ (less than 50% of the
 496 lower recommended application rate of $150 \text{ l}\cdot\text{ha}^{-1}$) and were higher than $390 \text{ l}\cdot\text{ha}^{-1}$ (50%
 497 over the highest recommended value of $260 \text{ l}\cdot\text{ha}^{-1}$). Those extreme values correspond to
 498 the zones where sudden and important changes in the forward speed of the tractor were
 499 necessary for manoeuvrability (such as changing rows and driving direction).

500



501

502 Fig. 9: Percentage of points according to the tolerance (intended vs. actual application).

503

504 Figure 9 presents the percentage of established points for comparison included on each
 505 threshold. Assuming as the highest requested accuracy from the practical point of view a
 506 maximum deviation of $\pm 10\%$, 83.2% of the total of 100,000 comparative points (see Fig.

507 5) were classified as successful points, whereas when the requested accuracy fell to 30%,
508 96.8% of the measured points were classified as successful points.

509 Quantification of savings

510 The actual spraying application map obtained following the variable rate application
511 procedure, was compared with the standard application map based on a constant volume
512 rate of $325 \text{ l}\cdot\text{ha}^{-1}$, the normal volume rate selected by the farmer for conventional spray
513 application. For those two scenarios, the total time for the spray process, the amount of
514 water, and the number of tanks to be filled were calculated, and the hypothetical amount
515 of active ingredient (a.i) were compared in order to quantify the savings. The potential
516 savings in terms of active ingredient were calculated assuming 0.4% copper concentration
517 ($400 \text{ g}\cdot\text{hL}^{-1}$) as the common dose recommendation in viticulture. Time saving was
518 calculated assuming an average time of 45 min for the filling and mixing process of every
519 tank. Table 5 shows the absolute and relative values for the following cases: conventional
520 spray application, variable rate spray application, and variable rate spray application with
521 ultrasonic sensors. In this last case, savings were also calculated for the specific zones
522 where the sprayer was turned-off (internal rows in the parcel) according the received
523 signal from the sensors.

524 The results clearly show the positive effect of the variable rate application process. The
525 total amount of liquid applied in the 5 ha parcel was reduced by 44.3% and 47.3% using
526 the developed site-specific management sprayer, without and with US sensors,
527 respectively. The corresponding saving in terms of time was approximately 45 min for
528 both cases, equivalent to circa $9 \text{ min}\cdot\text{ha}^{-1}$. Finally, the potential savings on active
529 ingredient were 3.1 Kg and 2.9 Kg, with and without ultrasonic sensors, respectively.

530

531 **Conclusions**

532 The results obtained in this study indicate that a bright future is ahead with the application
533 of new remote techniques for canopy characterization. Further, they demonstrate the
534 interesting possibilities of the variable application rate for specialty crops as vineyard,
535 allowing to improve the use of plant protection products. The obtained results can be
536 directly linked with the objectives established in the European Directive for Sustainable

537 Use of Pesticides (EU, 2009). For the overall study, the following conclusions can be
538 drawn:

- 539 • The research showed potential savings in pesticide, water and time, by adapting a
540 variable rate application over a vineyard parcel based on canopy maps. This fact has
541 been largely developed in the past for field crop sprayers, but it represents a clear
542 improvement in the spray application process in specialty crops.
- 543 • The use of a multispectral camera embedded in a UAV enabled the acquisition of an
544 accurate canopy vigour map of a parcel, with a potential capability for distinguishing
545 zonal differences.
- 546 • Interesting correlation was observed between TRV and a combination of NDVI and
547 the projected area of the canopy obtained by the UAV. However, it is interesting to
548 remark the differences between the different crop stages in terms of estimation of
549 vegetation. Early crop stages seem more difficult to predict than large canopy
550 densities.
- 551 • The canopy vigour map was easily transformed into a prescription map by using the
552 dedicated decision support system DOSAVIÑA®
- 553 • It was possible to develop a specific software application to upload the prescription
554 map for a certain parcel of vineyard into a modified sprayer for the variable
555 application process. This will enable improved spray application for field crops,
556 which are widely disseminated, and is a novelty for 3D crops such as vineyard crops.
- 557 • Excellent accuracy was obtained with the system (demonstrated by comparing the
558 intended and actual application maps), with assumed tolerances of around 10%
559 deviation.
- 560 • The proposed method for accuracy quantification resulted in an objective, practical,
561 and useful procedure for those types of data.
- 562 • Savings on water and pesticide of over 40% were quantified. However, the saving
563 concerning the total amount of pesticide can be expected only for the cases where
564 dose recommendation on the pesticide label is based on concentration.

565 Overall, this study demonstrated that improvements arise from the combination of canopy
566 characteristics, intra-parcel variability, new technologies for variable application rate, and
567 the latest developments linked with the use of UAV in agriculture.

568

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575

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