

Accepted Manuscript

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PII: S0169-8095(18)30123-6
DOI: doi:[10.1016/j.atmosres.2018.08.026](https://doi.org/10.1016/j.atmosres.2018.08.026)
Reference: ATMOS 4356
To appear in: *Atmospheric Research*
Received date: 11 February 2018
Revised date: 4 July 2018
Accepted date: 31 August 2018

Please cite this article as: S.K. Mukkavilli, A.A. Prasad, R.A. Taylor, J. Huang, R.M. Mitchell, A. Troccoli, M.J. Kay, Assessment of atmospheric aerosols from two reanalysis products over Australia. *Atmos* (2018), doi:[10.1016/j.atmosres.2018.08.026](https://doi.org/10.1016/j.atmosres.2018.08.026)

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1 **Assessment of atmospheric aerosols from two reanalysis products over Australia**2 S. K. Mukkavilli^{1,2}, A. A. Prasad³, R. A. Taylor^{1,4}, J. Huang², R. M. Mitchell^{2†}, A. Troccoli^{5,6},
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14 Corresponding author¹: Surya Karthik Mukkavilli (karthik.mukkavilli@student.unsw.edu.au)15 **Abstract**

16 Assessments of atmospheric aerosols from reanalysis are important for understanding
17 uncertainty in model simulations, and ultimately predictions, such as for solar power or air
18 quality forecasts and assessments. This study intercompares total aerosol optical depth
19 (AOD) and dust AOD (DAOD) from two global reanalyses datasets, the European Centre for
20 Medium-Range Weather Forecasts (ECMWF) Monitoring Atmospheric Composition and
21 Climate (MACC) and the NASA Modern-Era Retrospective Analysis for Research-2
22 (MERRA-2). These are evaluated against AeroSpan (Aerosol characterisation via Sun
23 photometry: Australian Network) ground observations which forms part of the Aerosol
24 Robotic Network (AERONET) over the Australian continent for the 2002-2012 period.
25 During dust storms, AeroSpan/AERONET AOD measurements were missing due to cloud
26 screening. To overcome validation limitations in sun photometry for dust events, a
27 nephelometer's scattering coefficient is qualitatively compared against reanalysis of DAOD
28 at a key dust storm activation site, Tinga Tingana in South Australia (~200km east of Lake
29 Eyre). A specific extreme event that occurred in 2009 originating from the Lake Eyre basin, a
30 major dust source covering one-sixth of Australia, was studied. The results show that

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31 MERRA-2 reanalysis overestimates monthly total AOD twice as much compared to
32 AeroSpan/AERONET ground observations but seems better correlated against
33 AeroSpan/AERONET than ECMWF/MACC. Mean data of MERRA-2 time series over 10
34 years provide lower DAOD values and lower dust aerosol estimates than ECMWF/MACC
35 reanalysis (over the Lake Eyre basin with spatial averaging). Specifically at Tinga Tingana,
36 the correlation from MERRA-2 (0.45 correlation) and ECMWF/MACC (0.43 correlation)
37 against AeroSpan/AERONET's AOD were similar. Between MERRA-2 and
38 ECMWF/MACC decade long daily gridded DAOD, the correlation coefficient was high at
39 0.73, again indicating similarity between the datasets. MERRA-2 total AOD correlation is
40 significantly higher (by 0.26) against AeroSpan/AERONET than ECMWF/MACC. MERRA-
41 2 also provides higher AOD values in extreme cases which may correspond to dust storms.
42 During dust storms, a hybrid strategy using nephelometers and hourly reanalysis from
43 MERRA-2 is able to identify dust storms better than AeroSpan/AERONET. Overall, this
44 work can enable and inform better aerosol data assimilation into forecast models such as for
45 solar energy, agriculture or air quality over Australia.

46

47 **Keywords**

48 reanalysis; aerosol optical depth; AERONET; Australian dust AOD; ECMWF/MACC;
49 MERRA-2

50 **1. Introduction**

51 Aerosols have been recognised as a large source of uncertainty in climate change
52 projections (Boucher et al., 2013; Choobari et al., 2014) and they must be known to
53 accurately estimate the amount of solar resource available for solar energy applications (e.g.
54 Perry and Troccoli, 2015; Toll et al., 2016; Alexandri et al., 2017, Mukkavilli et al., 2017;
55 Beegum et al., 2018). Advances in satellite retrievals, models and assimilations have resulted
56 in demonstrably better reanalysis products (Levy et al., 2010; Gillingham et al., 2012; Molod
57 et al., 2015; Qin et al., 2015; Gelaro et al., 2017; Ridley et al., 2016).

58 Australia is the largest source of airborne dust aerosol in the Southern Hemisphere
59 (Tanaka and Chiba, 2006). According to Tanaka and Chiba (2006), Australia's dust
60 contributes 5.7% of 1877 Tg yr⁻¹ global dust emissions. Dust accounts for ~30 % of the total
61 global aerosol mean direct radiative effect (DRE) (Heald et al., 2014). At the same time, there
62 is significant uncertainty in the value and direction of radiative forcing of dust, estimated to
63 be anywhere between -0.3 and +0.1 Wm⁻² (Boucher et al., 2013). Furthermore, observations
64 show that the occurrence and intensity of dust from Australia has substantial variability at
65 seasonal, interannual, and decadal timescales (Goudie and Middleton, 1992; Leys et al.,
66 2008; Strong et al., 2010). Therefore, dust and total aerosol emissions, which includes dust,
67 biomass and other aerosols, from Australia are critical for quantifying the overall uncertainty
68 associated with the Southern Hemisphere aerosol and the global albedo.

69 The significance of Australian dust is also well-recognised for local climate impacts
70 (Evans et al., 2016). Dust from Lake Eyre and its surrounding basin (centered at 28.4°S,
71 137.4°E) has been shown to impact precipitation (Rotstayn et al., 2011) and air quality (Chan
72 et al., 2005; Leys et al., 2011) in Australia, while farther downwind it is important to the
73 productivity of the Tasman Sea and Southern Ocean (Boyd et al., 2004; Gabric et al., 2010).
74 Its accumulation is used as a paleoclimate proxy in New Zealand (Marx et al., 2009) and
75 Antarctica (Revel-Rolland et al., 2006). Dust is a strong driver of regional climate near and
76 downwind from source regions (Shao et al., 2011). Scattering and absorption of radiation by
77 dust in the atmospheric column impacts surface energy fluxes and the stability of the
78 atmosphere, while deposition of dust from the atmosphere to the ocean is important to
79 biogeochemical cycles. Rotstayn et al. (2012) investigated feedbacks related to dust by
80 comparing two 160-year coupled atmosphere-ocean simulations of modern-day climate using
81 the CSIRO Mark 3.6 global climate model (GCM). They found that inclusion of interactive
82 dust in their model amplifies the impact of the El Niño–Southern Oscillation (ENSO) cycle
83 on the Australian climate, with longer and hotter droughts and more intense wet periods.

84 Australian dust sources have been well studied from a topographic perspective (Leys et
85 al., 2008; Bullard et al., 2008), from geological surveys analysing landscape changes and
86 wind erosion (McTainsh et al., 1998; O’Loingsigh et al., 2015b) to characterising aerosol via
87 sun photometry (Mitchell et al., 2017). The CSIRO operates a ground station network, the
88 Aerosol characterisation via Sun photometry: Australian network (AeroSpan), which forms
89 part of the Australian component of AERONET, a worldwide sun photometer network
90 operated by NASA (Holben et al., 1998). In analysing sun photometer data, Mitchell et al.
91 (2017) identified continental aerosol classified in the arid zone has a larger periodic
92 component, with pronounced twin spring-summer peaks, and an increasing episodic
93 component towards active dust source regions. Mitchell et al. (2010) analysed sun
94 photometer and nephelometer measurements from Tinga Tingana (28.80°S, 140.167°E), near
95 the Lake Eyre Basin in the Australian desert and the arid centre of the continent during the
96 Millennium drought (2002-2010). They found an approximate doubling in both column AOD
97 and near-surface aerosol nephelometer readings during the summer months (DJF) over the
98 duration of the drought. Whenever there is a major dust storm from the Lake Eyre Basin (the
99 source of most dust aerosols in the Southern Hemisphere), Tinga Tingana was found to be an
100 active dust site (O’Loingsigh et al., 2015a).

101 With this recent progress in characterising Australian continental columnar AOD via
102 sun photometry, it is now possible to conduct long-term validations of model outputs and
103 reanalysis AOD over regions of the Australian continent. However, AeroSpan/AERONET
104 sites are still quite sparse over the Australian continent although maritime aerosol has been
105 studied extensively over the ocean (Gras and Ayres, 1983; Gras, 1991). Therefore, barely
106 sufficient AOD data is now available to conduct climate and remote sensing climatological
107 validation studies over the continent.

108 1.1 Reanalysis models

109 Reanalysis products are obtained using a fixed data assimilation scheme and a global
110 climate model which ingest all available observations every 6-12 hours. Reanalysis of aerosol

111 over Australia is promising as it assimilates satellite retrievals to provide extensive areal and
112 temporal gridded coverage available over a sparsely-populated continent. Relying only on
113 satellite data can present problems. For example, the dense-dark vegetation (DDV)
114 assumption inherent in the Moderate Resolution Imaging Spectroradiometer (MODIS)
115 aerosol retrieval 15 algorithm does not apply well over most of Australia (Levy et al., 2010;
116 Gillingham et al., 2012). Problems in other sensors and retrieval methods of satellites are
117 discussed by Qin et al. (2015). Reanalysis products can potentially address these issues
118 through assimilating multiple sources. Alternatively, reanalysis can be inaccurate due to
119 various issues including limitations in model physics, resolution and the underlying sources
120 used for assimilation. Therefore, rather than using reanalysis AOD values as the direct truth,
121 reanalysis approaches must also be verified and intercompared.

122 A comprehensive intercomparison study of reanalysis datasets against
123 AeroSpan/AERONET over Australia is currently lacking. There has been one recent global
124 study by Ridley et al. (2016) – a five-year observational assessment of seasonal dust AOD
125 with hybrid satellite, a single reanalysis and model output predictions of dust over Australia
126 was performed. They estimated that the global dust AOD at 550 nm is 0.030 ± 0.005 , higher
127 than the AeroCom model median (0.023) and substantially narrowing the uncertainty
128 (Huneeus et al., 2011). However, differences between these model simulations are
129 substantial, with estimates of global dust aerosol optical depth (AOD) that vary by a factor of
130 over 5. Ridley et al. (2016)'s hybrid dust AOD study is useful to compare against reanalysis
131 datasets since sun photometer sites over Australia are sparse, and these only provide total
132 AOD, filtering out AOD during dust storms and no dust AOD component. Nonetheless, they
133 had particularly large error bars indicating high uncertainty of aerosols over the Australian
134 continent, despite being only a seasonal assessment. Their seasonal dust AOD in South
135 America, South Africa and Australia were close to the model noise, indicating low dust AOD
136 and high uncertainty (because the low dust AOD estimate could just be disguised by noise).
137 However, given Australia's dust contributes substantially to global dust emissions (5.7%, see

138 Tanaka and Chiba, 2006), the uncertainty over Australia from Ridley et al. (2016) is
139 unsatisfactory. One possible reason for this uncertainty is the lower landmass in the Southern
140 Hemisphere and sparser ground measurements for bias corrections over Australia. Therefore,
141 this study further investigates dust AOD with other reanalysis datasets at higher
142 spatiotemporal resolutions than seasonal comparisons in Ridley et al. (2016) against
143 AeroSpan/AERONET sites and with longer decadal time series. Secondly, Ridley et al.
144 (2016) did not consider daily or sub-daily dust aerosol which is typically the time period over
145 which dust storms occur. Exploring dust and total AOD reanalysis at higher resolutions is
146 necessary to providing a more accurate assessment of biases against ground observations.

147 To bridge these gaps, our study explores reanalysis datasets at higher spatial and
148 temporal resolutions by focusing on daily time frames. Secondly, we also intercompare
149 reanalysis datasets in Australia grid-wise rather than with a regional average (as was done in
150 Ridley et al. (2016)) in addition to intercomparing at up to hourly timescales. At present,
151 there is a large uncertainty around how different gridded AOD datasets compare against each
152 other and against ground observations over the Australian continent. Therefore, the key
153 objectives of this paper are as follows:

- 154 • Assessment of decadal aerosol reanalysis datasets over Australia against key dust
155 activation AeroSpan/AERONET site Tinga Tingana in Lake Eyre basin (up to daily
156 time scales)
- 157 • Intercomparisons of time series and spatial differences between ECMWF Monitoring
158 Atmospheric Composition and Climate (MACC) (Inness et al., 2013a) and Modern-
159 Era Retrospective analysis for Research and Applications-2 (MERRA-2) (Buchard et
160 al., 2017; Gelaro et al., 2017; Randles et al., 2017) AOD reanalysis
- 161 • Seasonal comparison of MERRA-2 dust aerosol optical depth (DAOD) reanalysis
162 against global dust source model hybrid (dust source + non-dust model) outputs
163 (Ridley et al., 2016)

164

165 **2. Data**

166 This study utilises datasets from various sources, including hybrid models, reanalysis
167 and ground observations, to analyse dust AOD, total AOD, and extinction coefficient. An
168 overview of datasets used in this study is provided in Table 1. The datasets analysed were
169 over a decade, and correspond to AeroSpan/AERONET sites around Australia. The
170 AeroSpan/AERONET sites of interest are shown in Figure 1, which also shows the modified
171 domain used which is similar to that of Ridley et al. (2016) (35S, 120E to 20S, 150W) to
172 provide the highest coverage of ground sites relevant to dust source regions over Australia.
173 The datasets have been analysed from hourly to seasonal frequencies. As shown in Table 1,
174 the dataset used from Ridley et al. (2016) is a hybrid model over a five-year period with
175 seasonal mean frequency averaged over the domain in Figure 1. MERRA-2 and ECMWF are
176 both gridded reanalysis products with spatiotemporal datasets extracted over the entire
177 domain in Figure 1. We extract data from a single grid point closest to the AERONET site of
178 investigation at the best range of dataset frequencies available. Thus, the reanalysis datasets
179 range from 3-hourly to monthly as required for intercomparisons stated in the objectives of
180 this study – decadal reanalysis versus AeroSpan/AERONET at Tinga Tingana;
181 intercomparison of time series and spatial differences between reanalysis; and seasonal
182 comparison against Ridley et al. (2016). Finally, the AeroSpan/AERONET measurements
183 used monthly (climatology) data for all four sites in Fig. 1 and additionally at key dust
184 activation site Tinga Tingana (see Fig. 1, reproduced from O'Loingsigh et al., 2015a), a
185 higher temporal resolution of daily average AOD was also analysed for over a decade.

186 We performed pointwise comparisons against available measurements at the four sites
187 shown in Fig. 1, where AERONET is assumed to provide the true total AOD. The reanalysis
188 grid point closest to the ground site was assessed for the duration of the AERONET datasets.
189 For this assessment, since MERRA-2 AOD outputs are at 550 nm whereas AERONET is 500
190 nm AOD, the monthly averaged angstrom (α) available from AERONET between 440-870

191 nm can be used to approximate the AERONET value at 550 nm AOD as shown in equation
192 2:

$$193 \quad AOD_{550nm} = AOD_{500nm} * (550/500)^{-\alpha} \quad (2)$$

194 Thus, the AOD 550 nm approximation was tested in addition to the 500 nm AOD to
195 validate MERRA-2 at 550 nm at all sites as a monthly time series. The differences in AOD
196 correlation at the AERONET 500 nm wavelength will be compared against the modified
197 angstrom based AOD 550 nm approximation to evaluate the more suitable wavelength for
198 Tinga Tingana based on correlations and biases against reanalysis.

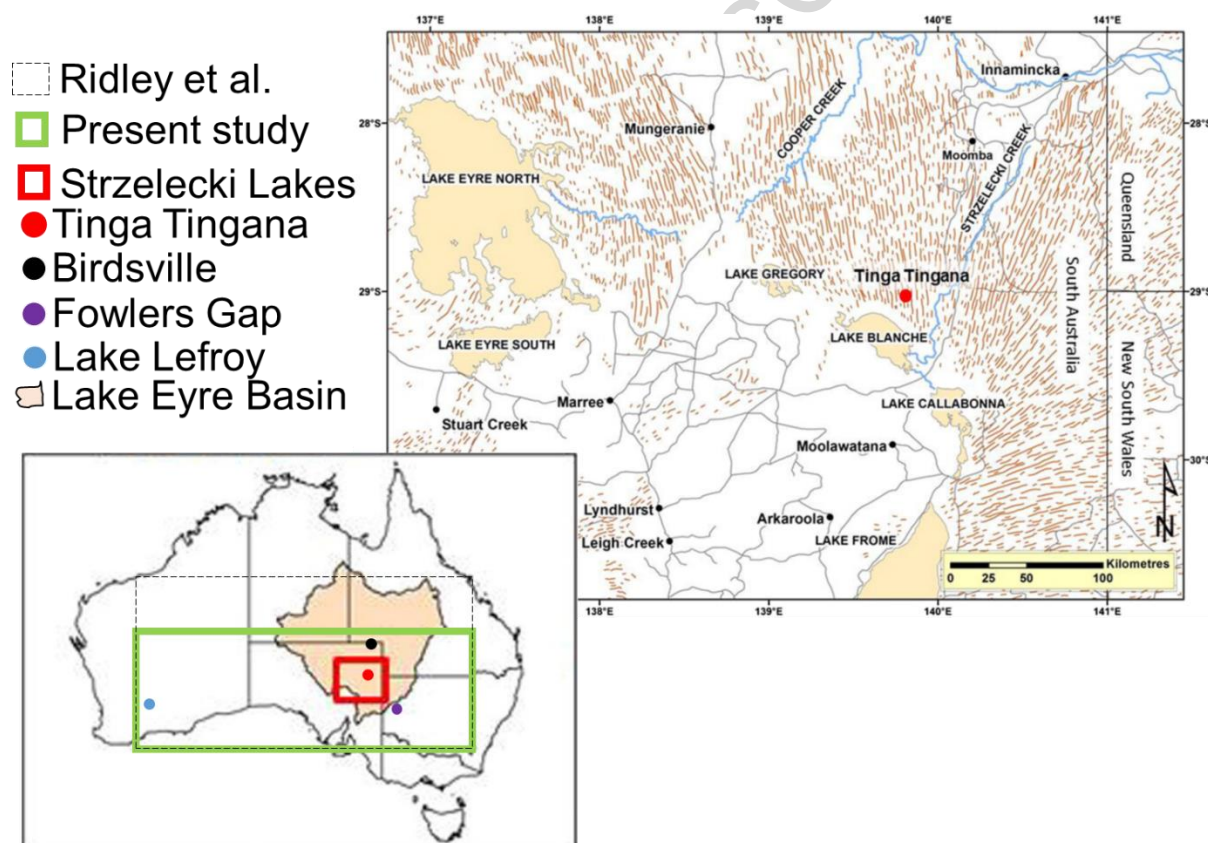
199 Moreover, a unique feature of this AERONET analysis is the ability to extract a
200 continuously operating nephelometer dataset during a major dust storm event over Tinga
201 Tingana. This additional source of data has the potential to alleviate limitations in sun
202 photometry for dust storm detections due to the gaps in ground observations. This
203 nephelometer feature of AeroSpan/AERONET will be further investigated in this study along
204 with its ability to work in conjunction with other reanalysis sources of data to better validate
205 dust storms. Sections 2.1-2.3 will provide further information about the sources presented in
206 Table 1.

207
208
209
210 Table 1. Dataset sources; type and resolution; aerosol variables; frequency of measurements;
211 time period of measurements; and domain or AeroSpan/AERONET sites considered

Source	Type	Variable	Frequency	Period	Domain/Site
Ridley et al. (2016) MIT-UCLA-PNNL study	16 Hybrid dust source + non-dust spatial average Monte Carlo model outputs	DAOD 550 nm	Seasonal mean	2003 Dec-2008 Nov	(35S, 120E to 20S, 150W)
MERRA-2 (GMAO, 2015a, 2015b, 2015c)	Gridded Reanalysis 0.5°x0.625°	DAOD 550 nm	Monthly mean	2003-2008	(35S, 120E to 25S, 150W)
		Total AOD 550 nm	3 hourly instant	2003-2008	(35S, 120E to 25S, 150W)
	Reanalysis grid point 0.5°x0.625° closest to AeroSpan/AERONET site	Total AOD 550 nm	Monthly mean	2002-2012	Tinga Tingana
				2005-2016	Birdsville
				2013-2016	Flowers Gap
		2012-2016	Lake Lefroy		

		Total AOD 550 nm	24h mean	2002-01-01 to 2016-11-30	Tinga Tingana
			3 hourly instant	2002-01-01 to 2016-11-30	Tinga Tingana
ECMWF/ MACC (Inness et al., 2013b)	Gridded Reanalysis 0.125°	DAOD 550 nm	3 hourly instant	2003-2008	(35S, 120E to 25S, 150W)
	Reanalysis grid point 0.125°	DAOD 550 nm	3 hourly instant	2003-2012	Tinga Tingana
		Total AOD 550 nm	3 hourly instant	2003-2012	Tinga Tingana
AeroSpan/ AERONET v2 (2018) (Holben et al., 1998)	Aerosol Observations	Total AOD 500 nm	Monthly mean	2002-2012	Tinga Tingana
				2005-2016	Birdsville
				2013-2016	Flowers Gap
				2012-2016	Lake Lefroy
		Total AOD 500 nm	24h mean	2002-2012	Tinga Tingana
	Nephelometer (2009 Australian dust storm event)	Scattering Coefficient (Mm ⁻¹)	Continuous	Sep 21- Sep 22 2009	Tinga Tingana

212



213

214 Figure 1. Gridded AOD: reanalysis domain from Ridley et al. (2016) global observationally
 215 constrained dust AOD estimate study over Australia (black dotted box: 35S, 120E to 20S,
 216 150W); reduced domain size in this study (green box: 35S, 120E to 25S, 150W) which still
 217 covers all AeroSpan/AERONET ground sites; Strzelecki Lakes region (red box) within the
 218 Lake Eyre Basin (shaded) relative to the Australian continent zoomed in to show
 219 AERONET/AeroSpan station at Tinga Tingana (red dot); AERONET/AeroSpan at Tinga
 220 Tingana (red dot), Birdsville (black dot), Fowlers Gap (violet dot) and Lake Lefroy (blue dot)
 221 stations (reproduced from Fig. 1 in O'Loingsigh et al., 2015a)

222

223 2.1 Ground AOD Measurements

224 Aerosol ground measurement stations on the Australian continent are sparse. Aerosol
225 total optical depth measurements were obtained at four locations across the Australian
226 continent between 2002 and 2016, as indicated in Figure 1. It should be noted that
227 AeroSpan/AERONET cannot provide component-specific optical depth information (e.g.
228 dust AOD). The AeroSpan/AERONET (Table 1) column shows the beginning and end date
229 and the periodicity of measurements at each site considered. The data used is the total AOD
230 daily average level 2, version 2, direct sun algorithm which is cloud screened (Holben et al.,
231 2006). The AeroSpan/AERONET sun photometers are manufactured by Cimel CE-318 and
232 the standardised spectral channel instrument output closest to visible at 500 nm was used.
233 Further information regarding the quality assurance criteria for AERONET's Version 2.0 is
234 provided by Holben et al. (2006).

235 Several major improvements have led to the release of Version 2.0. This includes
236 significant changes to the inversion code, the input data and the criteria for quality assurance
237 that notably depart from Version 1.0. Most significant among the inversion code changes is
238 that the spherical and spheroid model outputs are internally evaluated to produce one set of
239 retrievals rather than two products as in Version 1.0. In that regard Version 2.0 provides a
240 parameterisation of the degree of non-sphericity (Dubovik et al., 2006). Noteworthy among
241 the input changes is the characterisation of surface albedo.

242

243 2.1.1 2009 Australian Dust Storm Observations

244 Dust storms are often associated with large scale synoptic events like frontal systems
245 and storms which create strong winds to blow the dust, which then produce large clouds of
246 dust. These dust clouds make sun photometry inherently unsuitable for detecting dust storms.
247 Moreover, AERONET applies a cloud screening algorithm. However, in Australia the
248 AERONET network also contains a continually operating nephelometer at Tinga Tingana,
249 installed in 1998 to complement the AERONET Cimel sun photometer enabling continuous
250 measurement of near surface scattering. The nephelometer samples the concentration of

251 suspended particulate matter in ambient air 2 meters above the surface. The instrument
252 response is reported as the scattering coefficient σ_{sca} in units of inverse megametres (Mm^{-1}).
253 Application of nephelometer data to dust haze measurements and its relation to other
254 pollution indices such as particulate mass loading is widely applied in air quality monitoring
255 (Kim et al., 2001; Zhu et al., 2015; Szczepanik and Markowicz, 2018).

256 Nephelometer measurements are not impeded by cloud cover and the nocturnal void
257 implicit in sun-photometry used to measure aerosol optical depth (or extinction). The Tinga
258 Tingana instrument is located 40 km north-east to the closest of the Strzelecki lakes, Lake
259 Blanche (Fig. 1). This lack of exact collocation raises the question of the capacity of a single
260 point measurement to represent broad-scale dust mobilisation across the Strzelecki Lakes.
261 Furthermore, the measurement is made at a single height of 2 meters, whereas the satellite
262 images receive scatter radiance from all heights. These issues are addressed by O’Loingsigh
263 et al. (2015a) who noted that any day of visible dust activity in the Lake Eyre Basin,
264 regardless of the number or types of sources involved, is nearly always included the
265 Strzelecki Lakes. Hence, although dust plumes from the lakes may not always pass directly
266 over the nephelometer, the recording of dust by this instrument from any direction is a good
267 indicator of dust mobilization occurring at the lakes. Mitchell et al. (2010) previously
268 compared the nephelometer record with observer-based estimates of dust storms occurring
269 anywhere in the Lake Eyre basin (LEB) on a given day, quantified by Bullard et al. (2008) as
270 ‘dust storm days’ or DSDs. This comparison showed that ~50% of the DSDs listed by
271 Bullard et al. (2008) were associated with ‘significant’ events at Tinga Tingana, suggesting a
272 high level of basin-wide dust mobilization. Since the LEB is ~800 km in both zonal and
273 meridional directions, this result is particularly relevant to the present study, which considers
274 dust mobilisation over a much more local scale – the sub-basin comprising the Strzelecki
275 Lakes – only tens of kilometres distant from Tinga Tingana. Thus, also in this study the
276 scattering coefficient (Mm^{-1}) data was analysed at Tinga Tingana for an extreme dust event.
277 In particular, we investigate the 2009 Australian Dust Storm during the peak of the event

278 between Hour 8 of 20 Sep to Hour 12 of 22 Sep (UTC). Operation of the nephelometer in its
279 outback setting was described in detail by Mitchell et al. (2009).

280 The scattering coefficient definitions also used in this study are provided in
281 O’Loingsigh et al. (2015a) who identified dust events from MODIS “Quick-Look” imagery
282 and compared it with the level of dust mobilisation. To determine the minimum scattering
283 coefficient values associated with the Quick-Look analyses they recorded nephelometer
284 response associated with the three intensity classes. O’Loingsigh et al.’s (2015a) analysis
285 suggested a threshold of 50 Mm^{-1} as indicative of dust deflation just detectable from Quick-
286 Look imagery. Hence this value forms the lower bound for ‘Minor’ events. The correlation of
287 higher intensity dust events between Quick-Look images and the nephelometer response was
288 more straightforward as the degree of local to regional to basin-wide dust mobilization
289 increases with event intensity. Analysis showed that ‘Moderate’ events identified from the
290 Quick-Look images were associated with $300 < \sigma_{\text{sca}} < 3000 \text{ Mm}^{-1}$, with ‘Major’ events
291 exhibiting $\sigma_{\text{sca}} > 3000 \text{ Mm}^{-1}$.

292

293 2.2 Description of aerosol gridded reanalysis Australian dataset

294 2.2.1 ECMWF/MACC reanalysis

295 As part of the “Global and regional Earth-system Monitoring using Satellite and in situ
296 data” (GEMS) project (Hollingsworth et al., 2008), the ECMWF developed its assimilation
297 system to include observations pertaining to greenhouse gases, reactive gases and aerosols.
298 Forecast values are archived for 3, 6, 9, and 12 h lead time. Model resolution is $1.125^\circ \times$
299 1.125° grid.

300 In the present study Aerosol reanalysis was obtained for total and dust AOD component
301 at 550 nm from ECMWF/MACC dataset (Inness et al., 2013b) for the entire duration
302 available starting from January 2003 to December 2012. The ECMWF Integrated Forecast
303 System (IFS) field outputs at highest spatial (0.125° or 14 km) grids, and temporal resolution
304 (instantaneous 3 hourly) outputs available were extracted over the domain, (35S, 120E to

305 25S,150W), shown in Figure 1. This domain is similar but reduced from Ridley et al. (2016)
306 global study over Australia to increase ground site coverage to spatial area.

307 The simulation assimilates total aerosol optical depth data at 550 nm from MODIS on
308 board of Terra and Aqua satellites (data collection 5). For a general description of MODIS
309 AOD, see Remer et al. (2005), and for a description of the current MODIS AOD collection 5
310 data over land, see Levy et al. (2007). The original MODIS retrievals have a resolution of 10
311 $\times 10 \text{ km}^2$. Since the ECMWF IFS analysis are at approximately $120 \times 120 \text{ km}^2$, MODIS
312 AOD data of a grid of $0.5^\circ \times 0.5^\circ$ are taken. MODIS data are taken at the original time, and at
313 the specific observation location, and model aerosol fields are interpolated to this location.

314 2.2.2 MERRA-2 reanalysis

315 The Modern-Era Retrospective analysis for Research and Applications-2 (MERRA-2)
316 was undertaken by NASA's Global Modeling and Assimilation Office (GMAO) with two
317 primary objectives: to place observations from NASA's Earth Observing System (EOS)
318 satellites into a climate context and to update the MERRA system to include the most recent
319 satellite data. Details of the evaluation of MERRA-2 aerosols, major findings and
320 recommendations to users of the MERRA-2 aerosol products are outlined along with a
321 summary and assessment of data assimilation by Gelaro et al. (2017), Randles (2017), and
322 Buchard et al. (2017).

323 In this study, the second reanalysis dataset used is the NASA MERRA-2 (Gelaro et al.
324 (2017) at 0.5° latitude \times 0.625° longitude ($55.8 \text{ km} \times 69.8 \text{ km}$) with the same temporal
325 resolution and domain settings as ECMWF/MACC dataset for 550 nm total AOD. The dust
326 AOD was obtained every month from Dec 2003 to Nov 2008 to verify against Ridley et al.
327 (2016) dust AOD estimates.

328

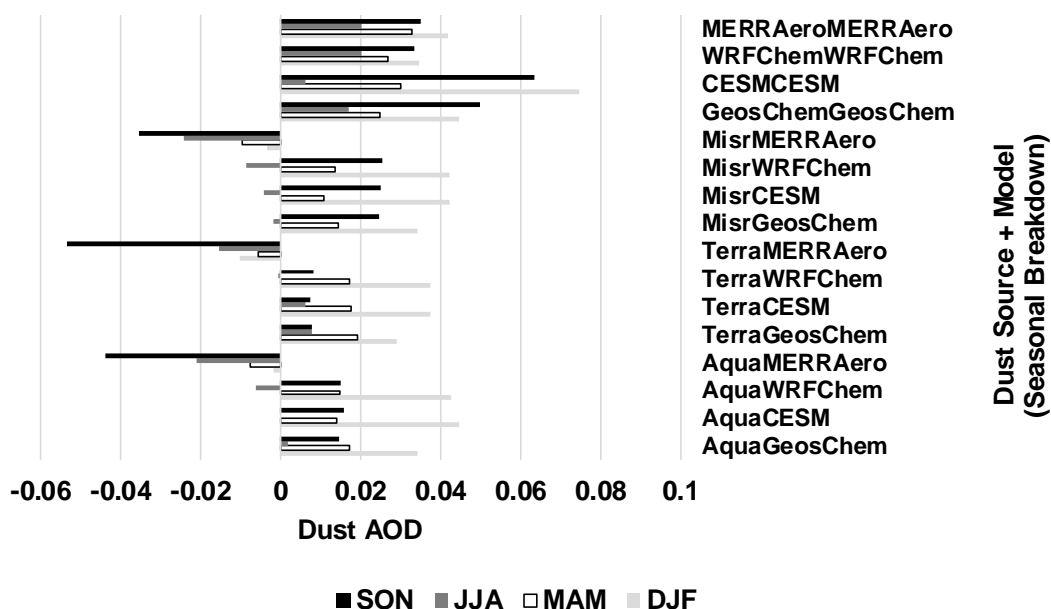
329 2.3 Hybrid (satellite or reanalysis source + model) Dust AOD observation estimates – Ridley
330 et al. model

331 Ridley et al. (2016) used multiple satellite platforms, in situ AOD observations and four
332 state-of-the-science global models to produce sixteen hybrid combinations of different
333 satellite or reanalysis dust data sources plus model outputs to estimate dust AOD globally.
334 The global aerosol models provide a range of estimates for the non-dust aerosol AOD and the
335 spatial distribution of dust aerosol. Their methodology used provides regional, seasonal dust
336 AOD and the associated statistical uncertainty for key dust regions around the globe against
337 which model dust schemes can be evaluated in the present study. Ridley (2016) et al.'s study
338 is a Monte Carlo observationally constrained three monthly estimate of average DAOD
339 between Dec 2003 to Nov 2008 over the four seasons (DJF, MAM, JJA, SON). The DAOD
340 estimate is annually and spatially averaged over the entire domain (adopted for this study)
341 shown in Figure 1. Since ground observations do not contain dust AOD estimates, and they
342 are cloud screened and sparse over Australia, dust AOD information from the Ridley (2016)
343 study for all 16 model configurations was extracted seasonally over Australia from their
344 global study over the five-year period, 2003 Dec–2008 Nov for comparison against our
345 reanalysis and ground observations in Sections 2.1-2.2 (see Figure 2a).

346 For spatial averaging, they simply calculate the mean over the region for each iteration
347 of the Monte Carlo dust AOD calculation. The 200 regional averages that are created by
348 sampling the uncertainty distributions of the parameters are then averaged to give the
349 estimate dust AOD for the region with the uncertainty – which in the case of Australia is
350 often larger than the mean. The uncertainty distribution for each of these three variables, bias
351 correction, satellite $\log_{10}(\text{AOD})$, and model non-dust $\log_{10}(\text{AOD})$, is sampled and the average
352 dust AOD is calculated for each region.

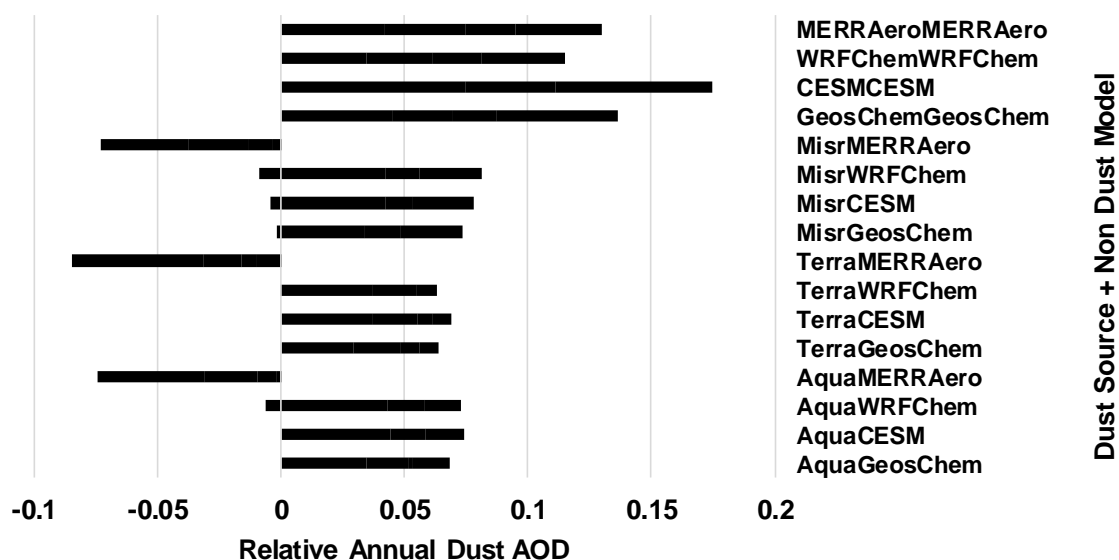
353 Using the same data as in Fig. 2a, Fig. 2b provides an overview of DOAD source plus
354 model by indicating overall DOAD uncertainty through cumulative highest to lowest DAOD
355 estimates annually. Highest DAOD estimates were with CESM+CESM followed by
356 GEOSChem+GEOSChem and lowest was Terra+MERRAero.

a



357

b



358
 359 Figure 2. a. Different satellite/reanalysis dust data sources + model estimates of DAOD seasonal
 360 estimate of 2004-2008 spatial average over Australia (35S, 120E to 20S, 150W) (simplified from
 361 original annual breakdown for brevity) of a. DAOD vs season (summer (DJF); autumn (MAM);
 362 winter (JJA) & spring (SON)) b. Annual dust AOD comparison across the hybrid dust AOD
 363 estimators, y-axis: hybrid (sources + model) name; x-axis average annual (black) dust AOD
 364 estimate. Overall, the bar chart shows uncertainty range of Australian dust AOD from hybrid
 365 estimate (Ridley et al., 2016)

366

367 Table 2 provide the values of the area averaged estimate datasets from Figure 2. Ridley
 368 et al. (2016) on request provided more detailed simulation data over Australia than presented

369 in their manuscript appendix over Australia on a yearly basis, and we averaged the data
 370 provided to us over the five-year period to produce values displayed in Table 2. For Table 2,
 371 in summer (DJF) the range of mean seasonal DAOD is -0.01 to 0.075. The variation across
 372 the years was from -0.0136 (in 2007) to 0.088 (in 2008) (CESM+CESM). Lowest estimates
 373 were with Terra+MERRAero and highest with CESM+CESM in both cases. Over Winter
 374 (JJA) the mean range in Table 2 was from -0.0244 to 0.0202. However, the actual range is
 375 from -0.0229 (in 2006) to 0.021 (in 2008). The lowest range in Table 2 was with
 376 MISR+MERRAero and MISR+MERRAero was the highest. From Figure 2 we can see that
 377 the hybrid observational estimate study allows negative estimates to prevent a positive bias in
 378 the data as shown in Eq. 1. Negative hybrid estimates are generally the result of using
 379 MERRAero as the non-dust AOD model. Non-dust refers to all other aerosol components
 380 than dust which contribute to the total AOD. The negative dust AOD estimate occurs from
 381 the hybrid if the model has higher non-dust AOD predictions than the total AOD from the
 382 source (satellite or reanalysis).

$$383 \quad \text{If } \{ \text{Non-DAOD}_{\text{model}} > \text{Total AOD}_{\text{source}} \} \xrightarrow{\text{Hybrid estimate}} -|\text{DAOD}| \quad (1)$$

384 Obviously, these negative estimates are not ideal, but it is why multiple hybrid
 385 estimates are used to provide a more realistic dust AOD estimate. It is also why their method
 386 is expected to be generally more suited to regions with substantial mean dust loading.

387 Table 2. Five-yearly average data [obtained as annual results on request from Ridley et al.
 388 (2016) from graphs presented in their manuscript's supporting Appendix of Monte Carlo
 389 simulations] providing the dust AOD hybrid estimate values of Australia (35S, 120E, to 20S,
 390 150E) over seasons, summer (DJF), Spring (MAM), Winter (JJA) and Autumn (SON)

Sources + Model	DJF	MAM	JJA	SON
Aqua+GeosChem	0.0344	0.0173	0.0020	0.0148
Aqua+CESM	0.0446	0.0139	0.0000	0.0159
Aqua+WRFChem	0.0428	0.0149	-0.0061	0.0151
Aqua+MERRAero	-0.0020	-0.0076	-0.0212	-0.0438
Terra+GeosChem	0.0292	0.0192	0.0078	0.0077
Terra+CESM	0.0375	0.0176	0.0061	0.0075
Terra+WRFChem	0.0375	0.0172	-0.0007	0.0082
Terra+MERRAero	-0.0102	-0.0057	-0.0155	-0.0533

Misr+GeosChem	0.0342	0.0144	-0.0018	0.0247
Misr+CESM	0.0423	0.0108	-0.0040	0.0249
Misr+WRFChem	0.0422	0.0137	-0.0087	0.0255
Misr+MERRAero	-0.0035	-0.0098	-0.0244	-0.0356
GeosChem+GeosChem	0.0448	0.0248	0.0172	0.0498
CESM+CESM	0.0748	0.0302	0.0064	0.0636
WRFChem+WRFChem	0.0345	0.0270	0.0201	0.0335
MERRAero+MERRAero	0.0419	0.0330	0.0202	0.0351

391

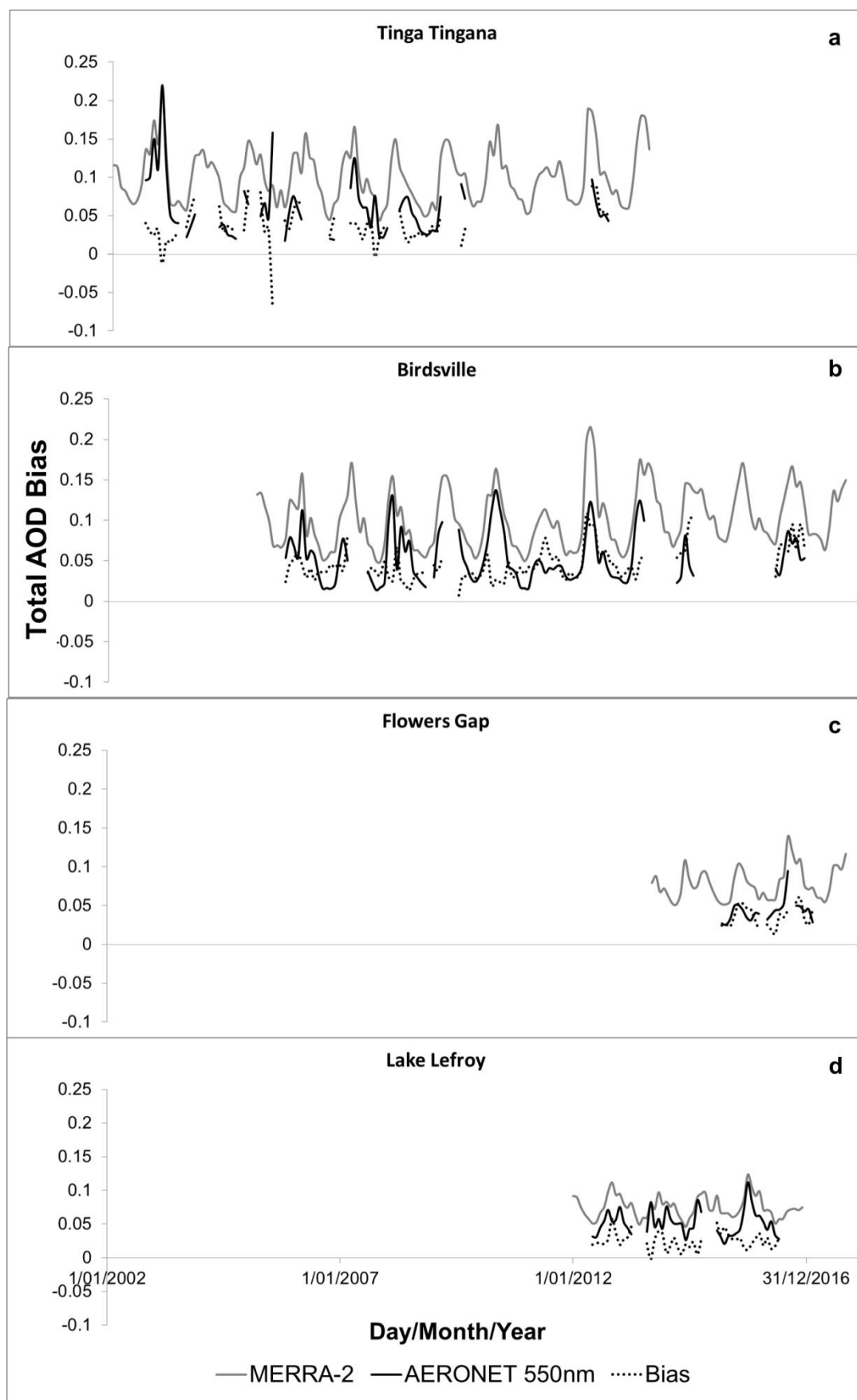
392 **3. Results**

393 3.1 Intercomparing reanalysis against ground observations

394 We performed a monthly analysis of total MERRA-2 reanalysis AOD across all sites in
 395 Fig. 1 against ground observations. Figure 3a-d show the associated bias between the
 396 reanalysis and ground measurement at the corresponding time at the relevant site. As can be
 397 seen the availability of data at each site is highly variable.

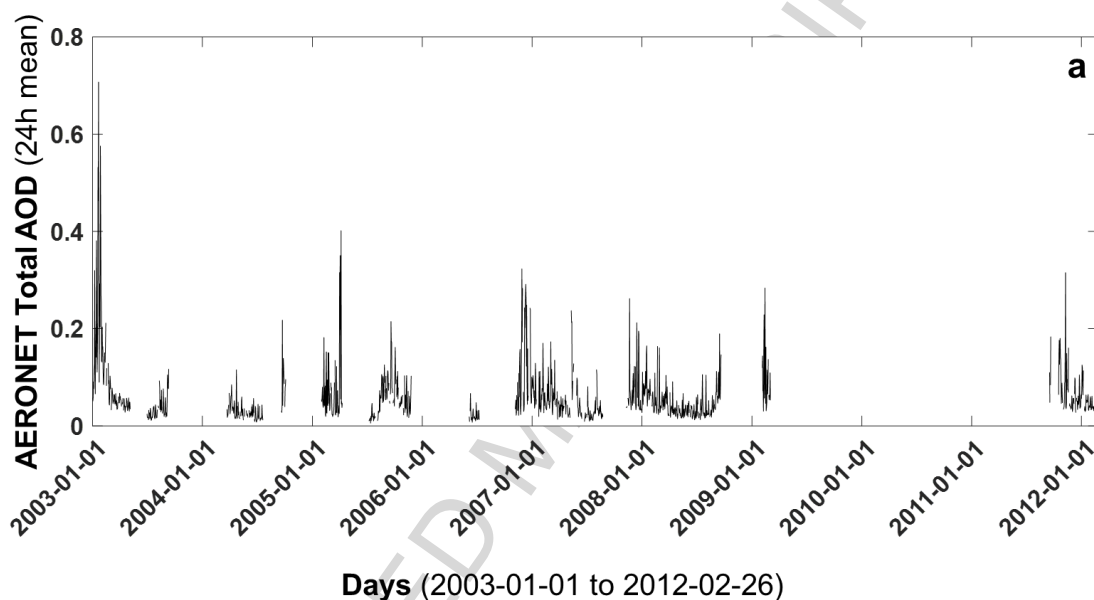
398 The longest datasets were available at Birdsville and Tinga Tingana. These two sites
 399 also showed the highest range of total AOD biases out of the time series considered.
 400 However, this may be because of the longer duration of datasets allowing scope for more
 401 extreme values. Based on the generally positive biases, with the exception of a few months at
 402 Tinga Tingana, MERRA-2 overestimates the amount of total AOD at all sites compared to
 403 AERONET by twice as much. Over the respective months evaluated at each site, for Tinga
 404 Tingana, the monthly mean AeroSpan/AERONET AOD was highest out of the sites at
 405 0.0595 (550 nm)-0.066 (500 nm), its lowest monthly AOD over the period evaluated was
 406 0.019 and highest recorded monthly AOD was 0.23. Birdsville had a total AOD monthly
 407 mean of 0.057 (500 nm)-0.055 (550 nm) with a range of 0.015-0.143 whereas with MERRA-
 408 2 (550 nm) AOD the mean was higher at 0.102 (range 0.049-0.216). Lake Lefroy had a
 409 similar mean AOD of 0.056 (500 nm)-0.058 (550 nm) with a range of 0.023-0.125 and again
 410 MERRA-2 grid at this site was twice as much at 0.093 total AOD (range, 0.046-0.216).
 411 Fowlers gap had the lowest AOD mean out of the sites 0.047 (500 nm)-0.053 (550 nm) with a

412 range of 0.027-0.107 and at this site also MERRA-2 had higher total 550 nm AOD estimates
413 (mean, 0.096 and range 0.050-0.216).

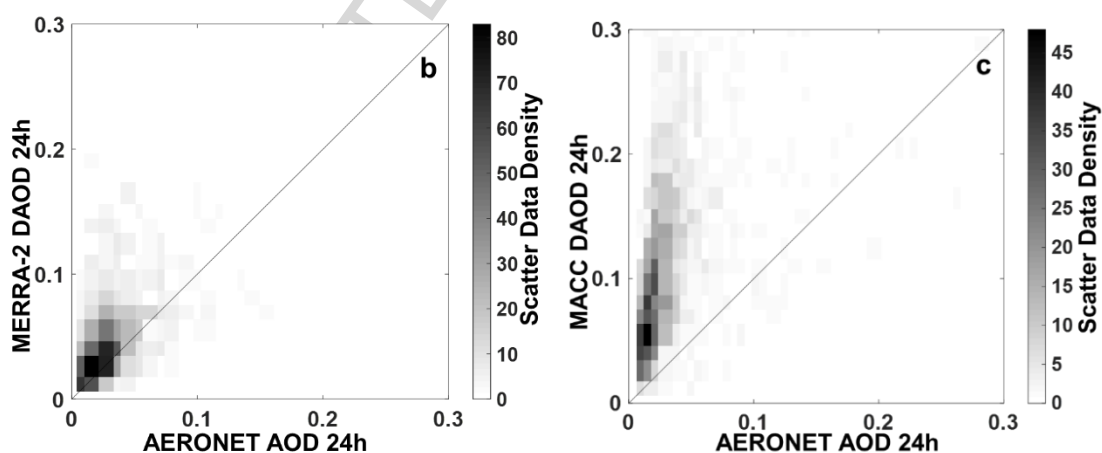


415 Figure 3. Monthly total AOD bias assessment between MERRA-2 and AERONET at 550 nm
416 at: a. Tinga Tingana for 2002-2012 b. Birdsville 2005-2016 c. Flowers Gap 2013-2016 and d.
417 Lake Lefroy 2012-2016

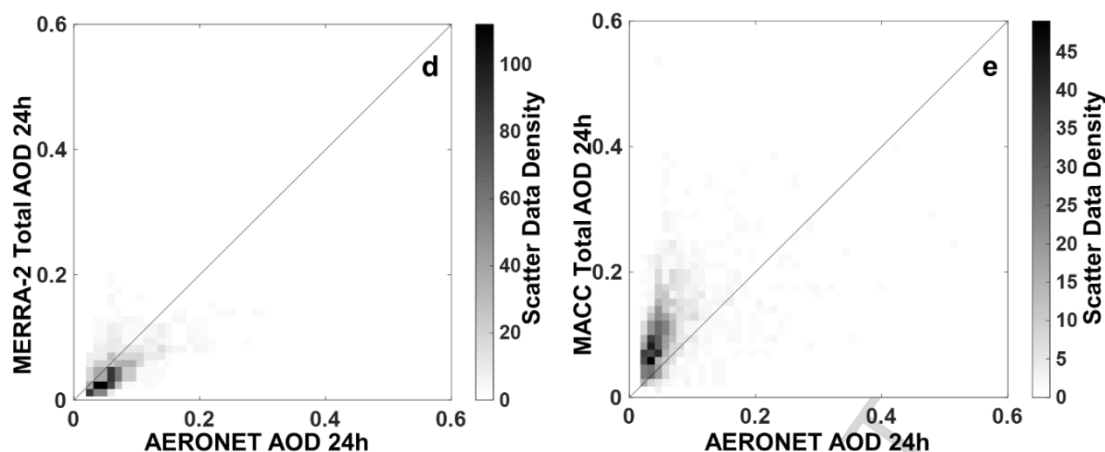
418 The time series plot in Fig. 4a shows large gaps in AeroSpan/AERONET daily mean
419 total AOD observations with the level 2 dataset at Tinga Tingana for the years 2003 to 2012.
420 The AOD values are low to moderate and the highest observed peak was at 0.71 (minimum
421 0.006 and mean 0.06 at 500 nm and 0.052 at 550 nm). The scatter plots in Fig. 4b-c are
422 comparisons against the dust AOD between the reanalysis products against AERONET.



423



424



425
 426 Figure 4. At Tinga Tingana: a. AERONET Total AOD (24h mean) (2003-01-01 to 2012-12-
 427 31) b. MERRA-2 dust extinction vs. AeroSpan/AERONET total AOD (2003-01-01 to 2012-
 428 02-26), with Pearson correlation ($R = 0.46$) c. same as b except for ECMWF/MACC dust
 429 AOD, ($R = 0.44$) d. same as b except MERRA-2 total AOD ($R = 0.73$) e. same as c except
 430 ECMWF/MACC total AOD ($R = 0.46$)

431
 432 Correlation between dust AOD of MERRA-2 and AERONET was $R = 0.455$ (Fig. 4b).

433 The dust AOD correlation between MACC and AeroSpan/AERONET (Fig. 4c) was $R =$
 434 0.437 , which is slightly lower than between MERRA-2 and AeroSpan/AERONET. MERRA-
 435 2 total AOD correlation however, was much higher at 0.725 (Fig. 4d) against ground
 436 observations than MACC ($R=0.463$, Fig. 4e).

437 Table 3 outlines the differences between 550 nm and 500 nm based
 438 AeroSpan/AERONET estimates, for computed Pearson correlation coefficient (R) and mean
 439 biases for the MERRA-2 and ECMWF/MACC datasets at Tinga Tingana. Using the
 440 angstrom exponent AOD estimate of 550 nm instead of 500 nm lowers the correlation
 441 significantly with MACC ($R=0.213$) and MERRA-2 ($R=0.194$) for dust AOD versus
 442 AeroSpan/AERONET AOD at Tinga Tingana. For total AOD as well, MACC ($R=0.392$) and
 443 MERRA-2 ($R=0.586$) were lower than with the original 500 nm dataset. The mean bias
 444 between MERRA-2 550 nm total AOD and AeroSpan/AERONET 500 nm total AOD was
 445 0.02 (0.028 with 550 nm AeroSpan/AERONET) for the 24-hour mean datasets at Tinga
 446 Tingana. The mean bias of MERRA-2 dust AOD was -0.013 (550 nm) and -0.021 (500 nm).
 447 The bias for MACC total AOD was 0.049 (500 nm)- 0.057 (550 nm). Thus, MACC total

448 AOD is more biased than MERRA-2. MACC dust AOD of -9.1×10^{-5} (500 nm)-0.008 (550
449 nm) was less biased than MERRA-2 dust AOD compared to observations.

450 The mean angstrom exponent at Tinga Tingana was 0.8594 (range, -0.14-3.67). To
451 reduce errors associated with angstrom based AOD approximation of 550 nm, we use the
452 unmodified 500 nm dataset in the rest of this study to compare against 550 nm reanalysis
453 datasets as it seems to be more accurate over the main site of interest, Tinga Tingana.

454

455 Table 3. Pearson correlation (R) and mean bias due to angstrom exponent based
456 AeroSpan/AERONET AOD estimate for 550 nm and 500 nm with ECMWF/MACC and
457 MERRA-2 total and dust AOD.

	550 nm AERONET		500 nm AERONET	
	MACC	MERRA-2	MACC	MERRA-2
R with Dust AOD	0.213	0.194	0.437	0.455
R with Total AOD	0.392	0.586	0.463	0.725
Mean bias with Dust AOD	0.008	-0.013	-9.10E-05	-0.021
Mean bias with Total AOD	0.057	0.028	0.049	0.02

458

459

460 The differences in correlation between the reanalysis datasets could be due to the
461 variations in ECMWF/MACC and MERRA-2 model physics and assimilation schemes. For
462 the computation of the trajectory forecast used in the ECMWF/MACC assimilation, the
463 Integrated Forecast System (IFS) includes a number of tracers, which are advected by the
464 model dynamics and interact with the various physical processes. With respect to the
465 aerosols, sources are added to the model, and a representation of the aerosol physical
466 processes is part of the package of physical parameterizations of the ECMWF IFS model. A
467 prognostic representation of aerosols is a feature of numerous climate models (see, Textor et
468 al. (2006), and Kinne et al. (2006) for reviews of how various aspects of aerosol physics are
469 represented in recent general circulation models). However, it is more of a novelty in global
470 weather forecast models, given the requirements on the assimilation system to deal properly
471 with the aerosol-relevant observations and the time constraint for producing an analysis and
472 subsequent forecast in a near-real-time environment. Aerosol-related observations (i.e., either
473 aerosol optical depth retrievals or more directly, aerosol-sensitive radiances) are thus

474 assimilated together with all the other observations in a fully interactive way (Benedetti et al.,
475 2009).

476 The aerosol model contained in the ECMWF/MACC reanalysis (Morcrette et al., 2009)
477 contains five aerosol types: sea salt (SS), desert dust (DD), organic matter (OM), black
478 carbon (BC), and a sulfate-related variable (SU) at 550 nm. Sources of SS and DD are
479 interactive and calculated with surface and near-surface variables of the model (Morcrette et
480 al., 2008). The source function for the emission of desert dust is adapted from work
481 by Ginoux et al. (2001), and is based on a limited number of climatological parameters
482 (fraction of bare soil, vegetation cover, orography), some observations (MODIS component
483 of UV-VIS surface albedo), and model prognostic variables (soil moisture, 10 m wind speed,
484 snow cover, surface and top layer temperature). The aerosol assimilation scheme is part of the
485 meteorological 4D-variational assimilation system employed operationally at ECMWF. The
486 assimilation output, represents the best statistical compromise between the background
487 information (i.e., the output of a short, 15 h forecast of the aerosol model) and the
488 observations (Benedetti et al., 2009).

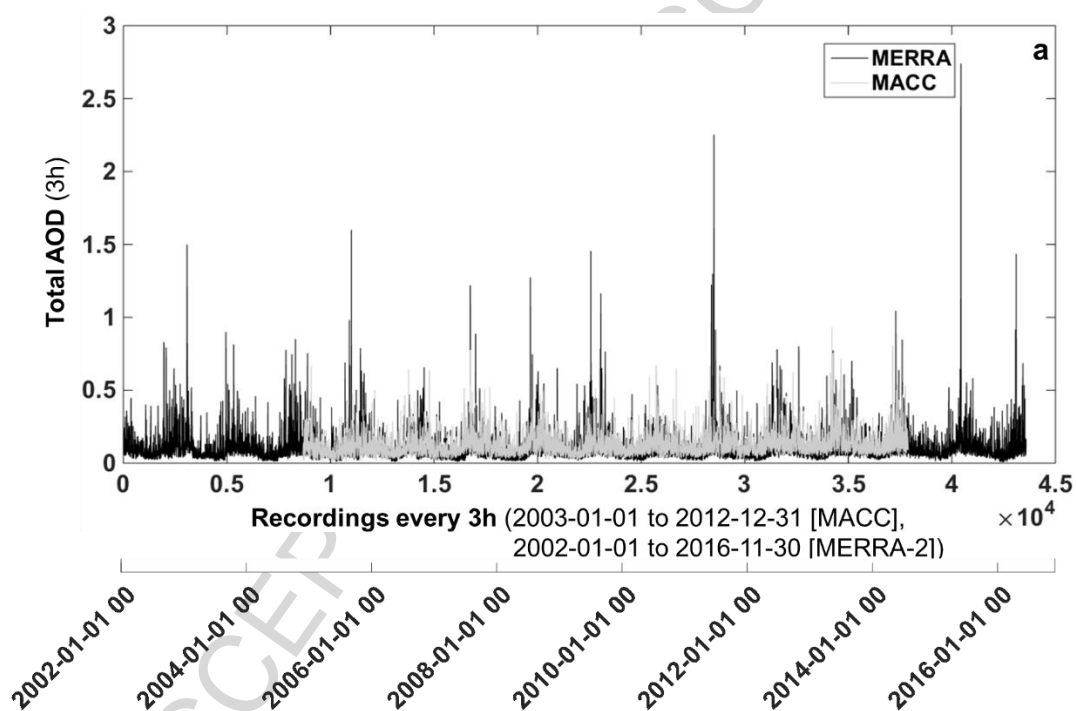
489 However, MERRA-2 includes an online implementation of the Goddard Chemistry,
490 Aerosol, Radiation, and Transport model (GOCART) integrated into the Goddard Earth
491 Observing System Model, Version 5 (GEOS-5) modeling system. GOCART simulates
492 organic carbon, black carbon, sea salt, dust, and sulfate aerosols as well as sulfate aerosol
493 precursors (dimethyl sulfide, sulfur dioxide), carbon monoxide and carbon dioxide. MERRA-
494 2 has been extended to include assimilation of bias-corrected aerosol optical depth (AOD)
495 from Advanced Very High Resolution Radiometer (AVHRR) and MODIS, Multi-angle
496 Imaging SpectroRadiometer (MISR) AOD over bright surfaces, and AERONET AOD.

497

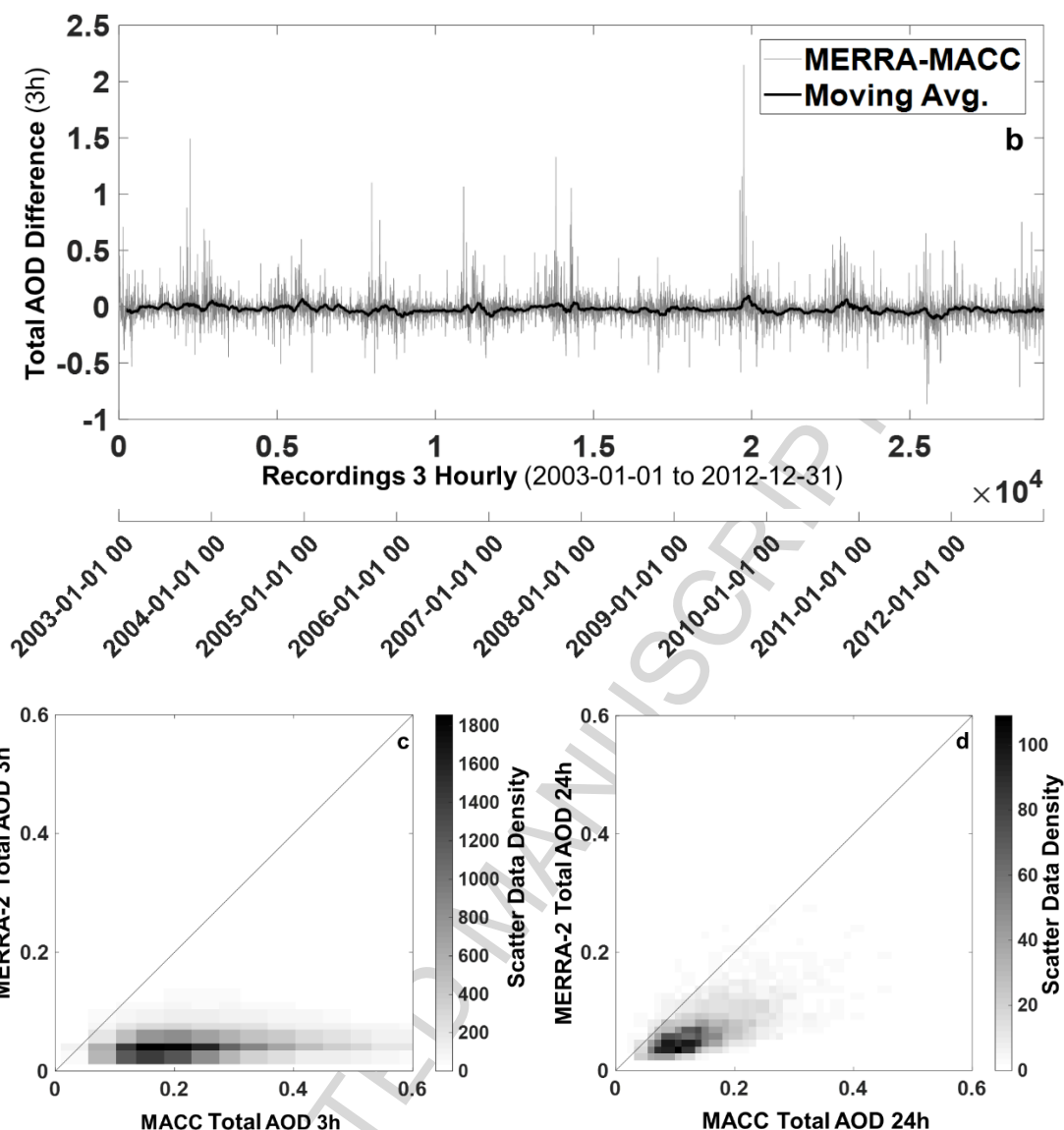
498 3.2 Direct comparison of reanalysis datasets (ECMWF/MACC vs. MERRA-2)

499 In the case of direct comparisons between ECMWF/MACC and MERRA-2, the higher
500 resolution ECMWF/MACC dataset was regrided to match the coarser MERRA-2 latitudes
501 and longitudes.

502 First a daily time series over a decade long analysis was performed focusing on the
503 Tinga Tingana site as an intercomparison between ECMWF/MACC and MERRA-2 to
504 evaluate biases between the two datasets for total AOD. In Fig. 5a on the longer Tinga
505 Tingana site time series of MERRA-2 total AOD 24h mean between 2002-01-01 to 2016-11-
506 30 we overlaid the corresponding ECMWF/MACC 24h dataset from 2003-01-01 to 2012-12-
507 31.



508



509

510

511 Figure 5. Time series at Tinga Tingana: a. of MERRA-2 Total AOD 3h (2002-01-01 to 2016-
 512 11-30) and ECMWF/MACC 3h (2003-01-01 to 2012-12-31) b. Difference between a) and b)
 513 2003-01-01 to 2012-12-31 with 30-day moving average c. correlation at Tinga Tingana from
 514 scatter plot of MERRA AOD vs MACC AOD over 2003-01-01 to 2012-12-31 3 hourly with
 515 Pearson correlation ($R = 0.4$) d. Similar to c but daily average MACC and MERRA-2
 516 ($R=0.7$)

517

518 Figure 5b shows significant differences between Fig. 5a MERRA and MACC Total

519 AOD datasets for the period considered over the duration of ECMWF/MACC (2003-01-01 to

520 2012-12-31) during high AOD cases. The mean ECMWF/MACC 24-hour total AOD at

521 Tinga Tingana was 0.12. This was a slightly higher estimate than the mean total AOD of

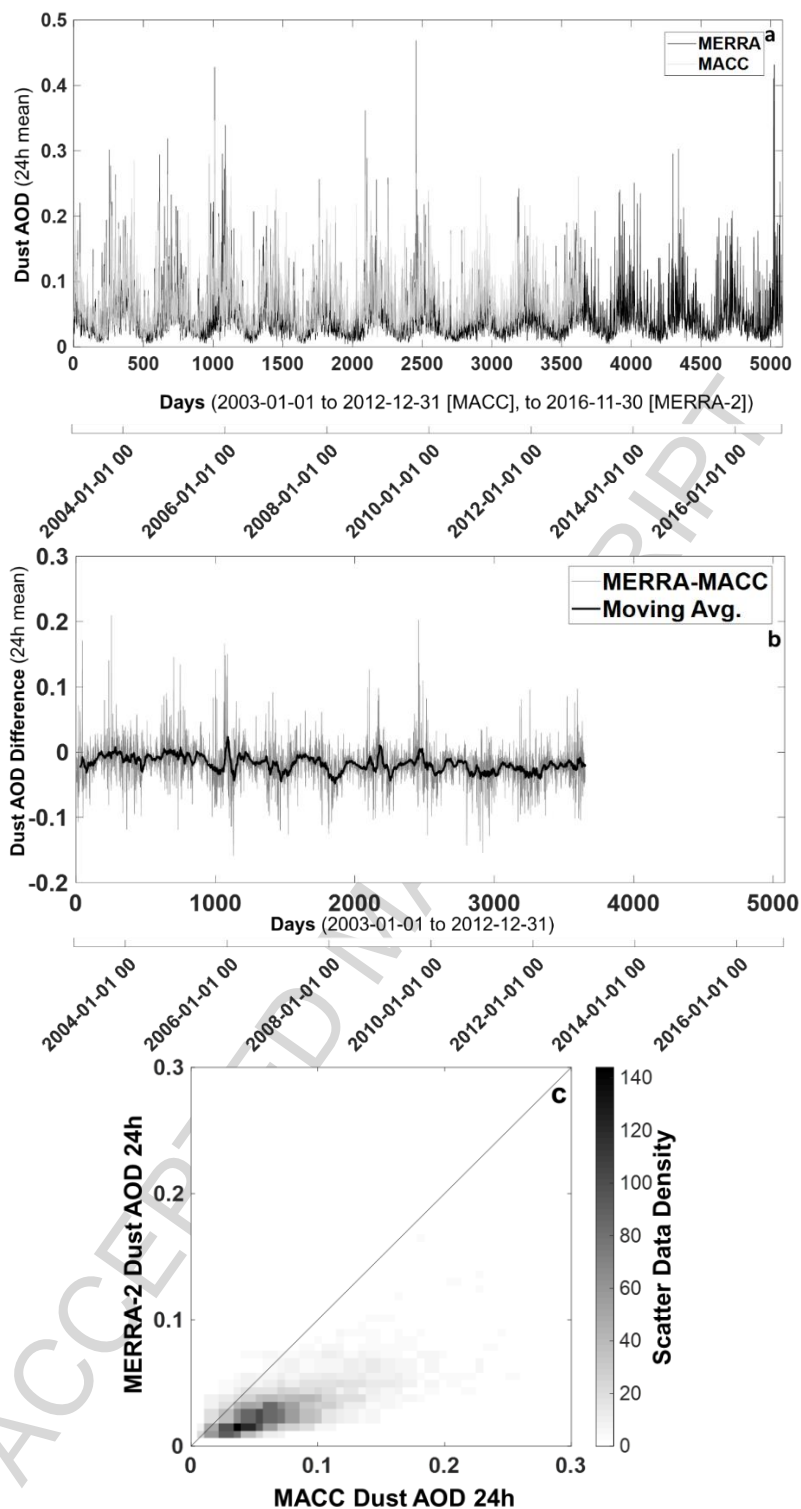
522 MERRA-2 (over the time period of ECMWF/ MACC), at 0.097 and over the slightly longer

523 period of MERRA-2 this was 0.098. MERRA-2 seems to provide higher total AOD estimates

524 when the aerosols are high. However, looking at a 30-day moving average the differences
525 between the two datasets do not seem significant and is close to zero.

526 These biases were further explored by evaluating correlations between the two datasets.
527 The scatter plots in Fig. 5c are of three hourly recordings of MERRA AOD against MACC
528 AOD over 2003-01-01 to 2012-12-31. The low correlation is obvious from the spread.
529 However, when compared against the daily average in Fig. 5d, the correlation improves. This
530 can be related to the increased stochasticity at shorter time frames but when averaged over an
531 entire day, as the variability in the data is reduced, the MACC and ECMWF datasets are more
532 representative of each other.

533 Furthermore, the dust aerosol optical depth was also analysed. Figure 6a shows both times
534 series of MACC and MERRA-2 together. While the datasets tend to follow one another,
535 exploring differences between the two reanalysis in Fig. 6b shows high differences in the dust
536 AOD component again, particularly when the AOD's are high between the two reanalysis
537 products similar to Fig 5b. MERRA-2 provides higher dust approximations during the extreme
538 aerosol cases ($AOD > 1$). In contrast, under normal cases for background AOD, looking at the 30-
539 day moving average, the average difference was below zero indicating that MERRA-2 generally
540 has lower dust AOD predictions than MACC. The range was much smaller in the case of dust
541 AOD than total AOD comparing 5a-b with 6a-b. The mean dust AOD at Tinga Tingana from
542 ECMWF/MACC was 0.06 and ranged from 0.006 to 0.347. For MERRA-2, mean dust AOD was
543 0.0467 (range, 0.004-0.468) and with the longer dataset around the same (0.0468).



544

545

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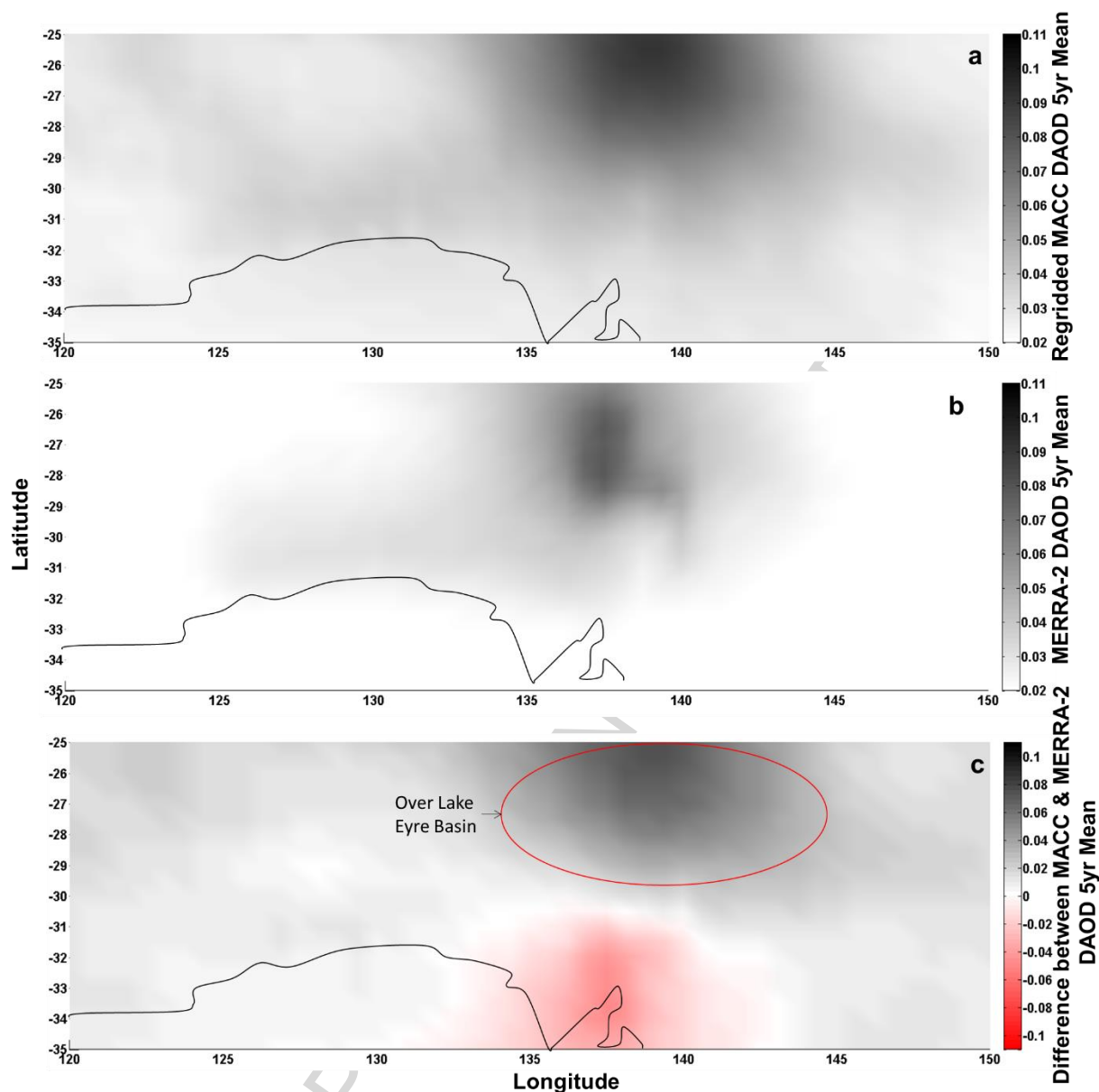
547 Figure 6. At Tinga Tingana: a. MERRA-2 (2003-01-01 to 2016-11-30) dust aerosol
 548 extinction and ECMWF/MACC (2003-01-01 to 2012-12-31) daily mean dust AOD 550 nm
 549 b. Difference between MERRA-2 and ECMWF/MACC in a with 30-dat moving average c.
 550 MERRA-2 vs MACC Dust AOD scatter plot of times series in a. with Pearson correlation, R
 551 = 0.73

552 The scatter plots in Fig. 6c are comparisons against the dust AOD between the
 553 reanalysis products. Between MERRA-2 dust AOD and MACC dust AOD (as in Fig. 6c) the
 554 correlation coefficient was $R = 0.728$. The dust AOD was only slightly higher than the total

555 AOD correlation between MACC and MERRA-2 ($R=0.697$). Correlation between reanalysis
556 dust datasets and AeroSpan/AERONET in section 3.1 above was much less ranging from $R =$
557 0.437 to 0.455 . This correlation difference could be due to various reasons such as model
558 resolution, model physics, chemical transport and assimilation limitations of current
559 reanalysis, and cloud screening of dust in evaluating AeroSpan/AERONET AOD.

560 Next, we spatially assessed ECMWF/MACC and MERRA-2 datasets over the entire
561 domain shown in Fig. 1 at each reanalysis grid point in Fig. 7a-c. The analysis shows both
562 reanalysis datasets to be concentrated over Lake Eyre from Fig. 7a-c. From Fig. 7c however,
563 we saw that MACC estimates dust AOD over the Lake Eyre Basin to be higher (by up to
564 40%) than MERRA-2 reanalysis over the five-year mean. In other regions with low dust
565 AOD, MACC seems to provide lower dust estimates (shown in red) than MERRA-2 based on
566 the difference between both reanalysis datasets in Fig. 7c.

567



568
 569 Figure 7. Spatial distribution of total AOD from reanalysis datasets over Australia between
 570 2003 to 2008 a. ECMW/MACC at 0.125° five year mean from 3 hourly data regridded to 0.5
 571 $\times 0.625$ (same as MERRA-2 resolution) b. MERRA-2 five-year mean from seasonal
 572 estimates c. Difference between a and b

573 3.3 Seasonal analysis

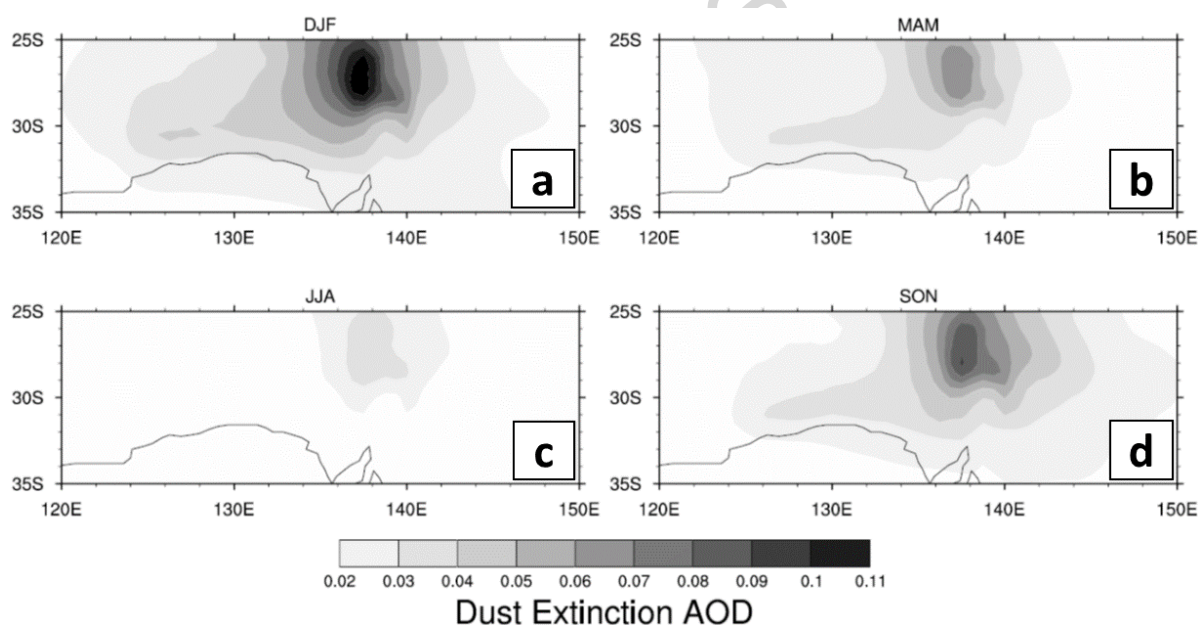
574 Beyond pointwise ground measurements and reanalysis intercomparisons in this study,
 575 the first analysis here involves a seasonal spatial comparison of MERRA-2 reanalysis area
 576 averaged over Australia for the domain shown in Fig 1 against the reference global study by
 577 Ridley et. al (2016). We use this reference study which relies on hybrid model estimates to
 578 check spatially averaged dust AOD estimates, because at present AERONET is too sparse to
 579 provide information about spatial or gridded distribution of aerosols around the Australian

580 continent. While Ridley et al. (2016) estimates have an associated uncertainty; they are
581 currently the best spatial estimate of dust AOD over Australia, especially when considering
582 the entire range of hybrid estimates. The seasonal dust AOD of MERRA-2 mean gridded
583 (Fig. 8a-d) is compared against Ridley et al., 2016 shown above in Table 2. The comparison
584 against MERRA-2 is presented over the same time periods and seasons in 2003-2008 as
585 Ridley et al. (2016).

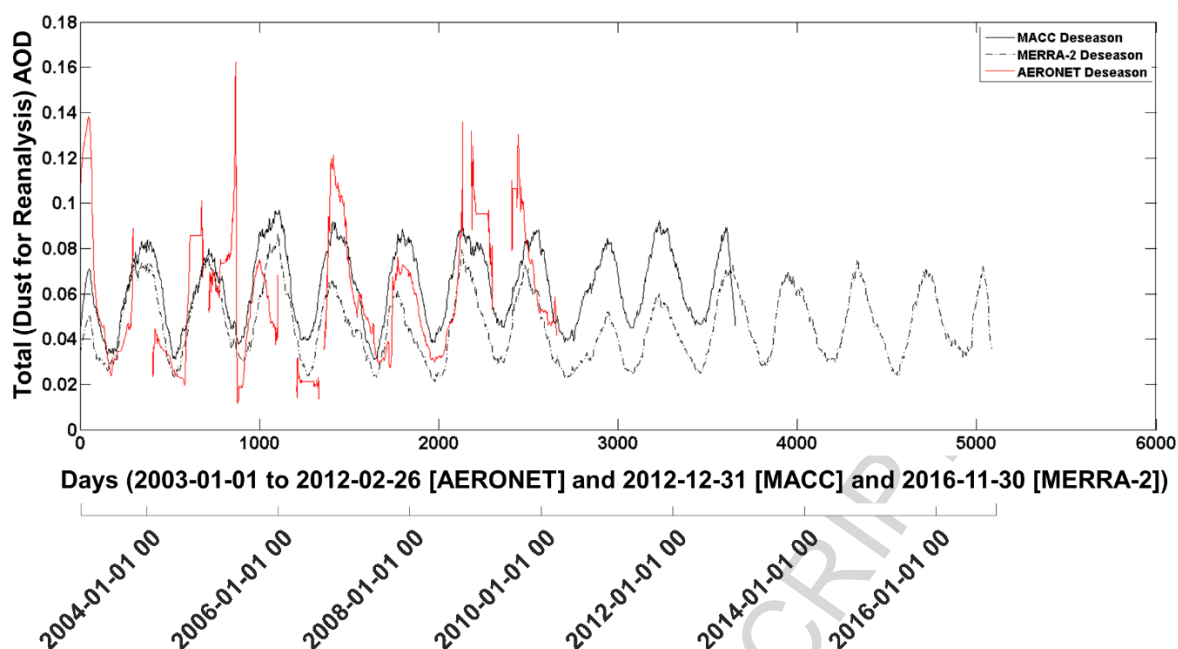
586 In MERRA-2 the spatial distribution of dust AOD across the Australian continent varies
587 comparing different seasons in Fig. 8a-d although they all are concentrated over the Lake
588 Eyre Basin. The mean spatial variance and intensity of dust aerosol optical depth was highest
589 in Southern hemisphere summer (Dec, Jan & Feb or DJF for brevity from here on) 0.001-0.11
590 DAOD and lowest in Southern hemisphere winter 0.005-0.04 (June, July & Aug or JJA from
591 here on). The spatial distribution of dust was most expansive in DJF followed by SON and
592 MAM, the extent of dust distribution in JJA is very low.

593 A similar seasonal trend was observed visually in MERRA-2, see Fig. 8a-d to the Table
594 2 reference values. Thus MERRA-2 seasonal trend from our study which reveals the highest
595 DAOD during summer (DJF), reduction over MAM, lowest over Winter (JJA), and increase
596 from SON, is consistently comparable and validated against Ridley et al. (2016) reference
597 models. The only exceptions to this trend are when their hybrid DOAD source + non-dust
598 model have negative DAOD estimates. Our MERRA-2 results do not compare against their
599 negative bias (explained in Section 2.3) because the reference study produces errors when
600 other non-MERRAero sources (Terra, Aqua and MISR) are assimilated with a MERRAero
601 model. However, validating MERRA-2 against MERRAero+MERRAero did not have the
602 same issues since the hybrid reference MERRAero+MERRAero is well assimilated and did
603 not have negative biases. The effects of seasonality can impact the results we see by affecting
604 the correlation due to seasonal cycle which constitutes a more predictable component of the
605 system. It is important to know how much of the correlation is due to the seasonal cycle,

606 therefore it must be removed before computing the correlation. Thus, Fig. 9 shows the
 607 modified time series for reanalysis datasets ECMWF MACC, MERRA-2 and ground
 608 observations AeroSpan/AERONET at Tinga Tingana over a decade. The modified time series
 609 is a 91-day (approximate number of days per season) moving average using convolution of
 610 the original time series signals for reanalysis. The original daily dust AOD time series
 611 against AeroSpan/AERONET provided a correlation of 0.44 for MACC/ECMWF and 0.46
 612 for MERRA-2 at Tinga Tingana whereas the modified time series (91-day moving average)
 613 gave an increased correlation of 0.56 for MACC/ECMWF and increased but lower
 614 correlation of 0.51 for MERRA-2.



615 **Dust Extinction AOD**
 616 Figure 8. MERRA-2 gridded 0.5 x 0.625 degrees dust extinction aerosol optical depth (AOD)
 617 550 nm monthly dataset seasonal mean from 2003-2008 over domain in Fig. 1 (35S, 120E to
 618 25S, 150W) a. Dec, Jan, Feb 03-08 b. Mar, Apr, May 04-08 c. Jun, Jul, Aug 04-08 d. Sep,
 619 Oct, Nov 04-08. Seasons with missing months discarded.
 620



621
622 Figure 9. Reanalysis and AeroSpan/AERONET AOD modified time series (91-day moving
623 average deseasonal) comparisons at Tinga Tingana
624

625 3.4 Detecting dust storms

626 Since the network is sparse over Australia, although one cannot expect
627 AeroSpan/AERONET to provide information about transport, to explore suitability of
628 detecting dust storms which are directly collocated, we analysed the daily average AOD data.
629 The total AOD dataset showed large gaps in daily recordings in Fig. 4a and (reproduced
630 overlaid with dust storm and AOD levels in) Fig. 10a below. Therefore, while AERONET
631 Level 2 is suitable for monthly climatological assessments with slow changing background
632 aerosol, it is not useful for dust storms at daily to sub-daily time scales even if the dust storm
633 is co-located with the station, due to cloud screening. In Fig. 10a the Level 1.5 dataset
634 without cloud screening seems to retain higher peaks which in some instances correspond
635 with extreme dust events but the background AOD calculated is less accurate than Level 2.
636 Around 6 peaks exceeding AOD 0.75 were identified in Level 1.5 whereas none were found
637 in Level 2. To investigate the suitability for the reanalysis products to capture the dust storm
638 events (e.g. for subsequent model assimilation in future studies), taking this analysis even
639 further to a specific case study such as the 2009 Australian dust storm, we see that the
640 reanalysis products show high total AOD (Fig. 10b) peaks which are clearly visible from the

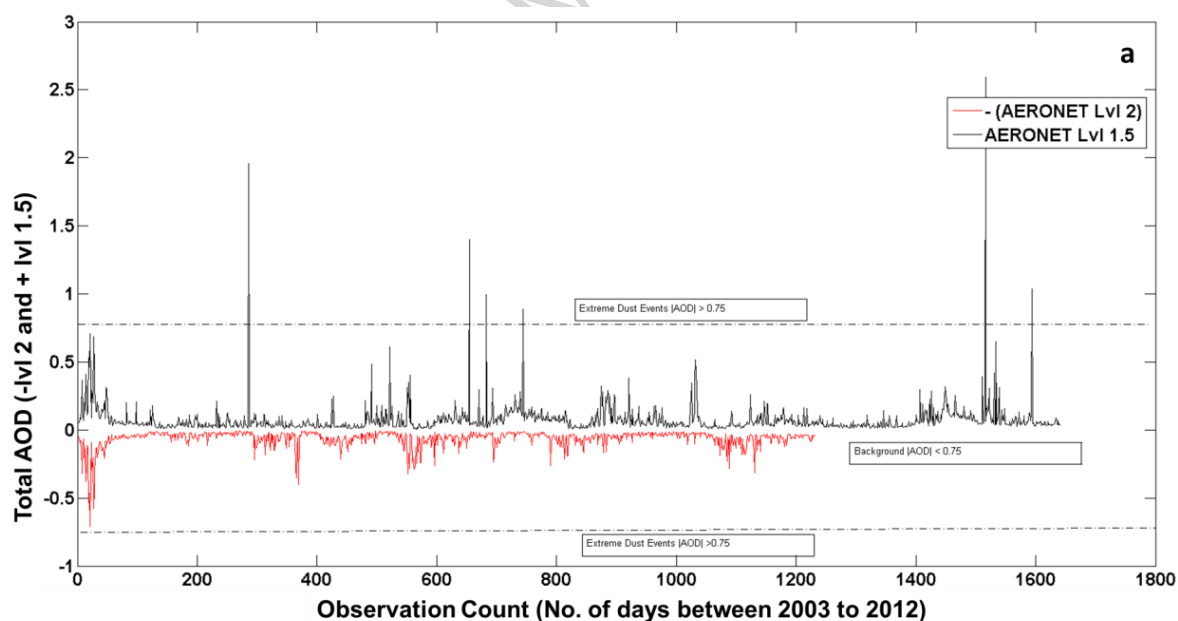
641 3 hourly datasets. MERRA-2 seems to provide higher dust AOD estimates than
642 ECMWF/MACC reanalysis product during dust storms but when averaged over the day it
643 provides lower AOD estimates as we found even in the yearly average comparisons in Fig. 5d
644 over Lake Eyre basin.

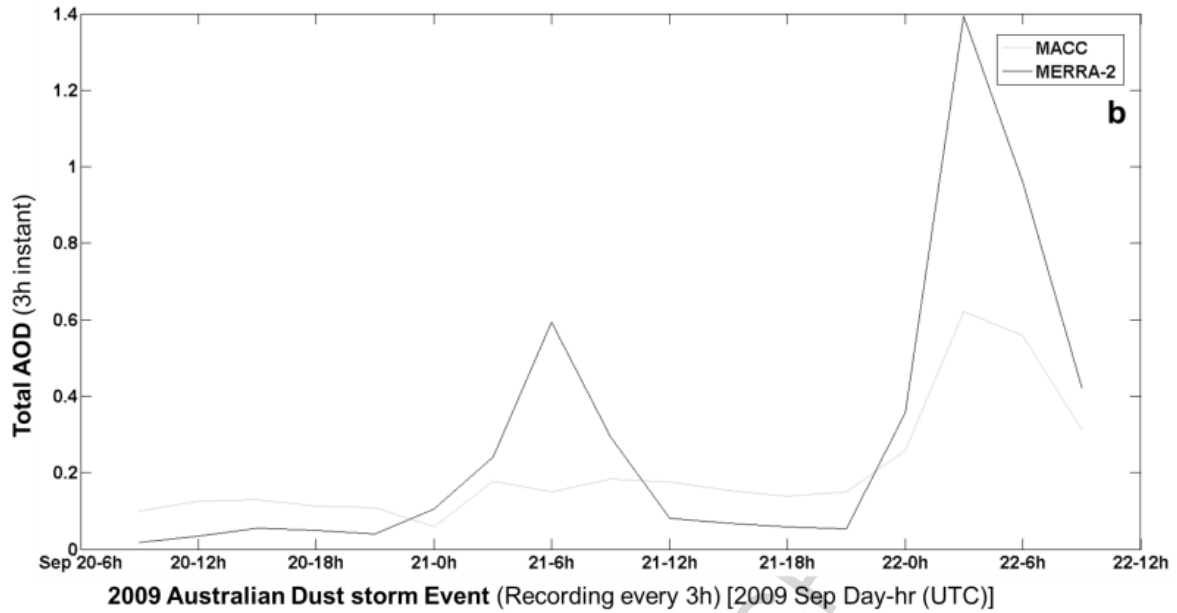
645 AeroSpan/AERONET Level 2 does not offer any useful information about the event
646 from the low daily total AOD (Fig. 10c) and also when we explored the sub-daily raw data
647 (outside what is shown in this study). Thus, from Figure 10 during the 2009 Australian dust
648 storm it was apparent that the dust AOD cannot be detected by AERONET due to missing
649 datasets and limitations in sun photometry.

650 Based on our analysis in Sections 3.1-3.3, reanalysis datasets of dust AOD at monthly,
651 daily and even three-hourly resolution, did not provide sufficient information to detect dust
652 storms. The daily MERRA-2 datasets analysed earlier only ranged from 0.004 to 0.468 and
653 MACC ranged from 0.006 to 0.347, thus they have insufficient temporal resolution to detect
654 extreme events (defined here as $0.75 > \text{AOD}$). Using the three hourly MACC dataset the AOD
655 range was between 0.004 to 0.728. At present, even MACC 3 hourly dataset seems unsuitable
656 for detecting extreme events over Australia. Therefore, if reanalysis datasets must be used to
657 detect dust storms over Australia, this study shows that the highest temporal resolution
658 available (up to hourly from MERRA-2) must be analysed. Figure 10d thus shows the highest
659 resolution of 1 hour from MERRA-2. Here we observe that, the dust AOD ranges from 0.001
660 to 1.503. Using the higher resolution MERRA-2, around nine extreme aerosol events were
661 detected including the extreme 2009 Australian dust storm.

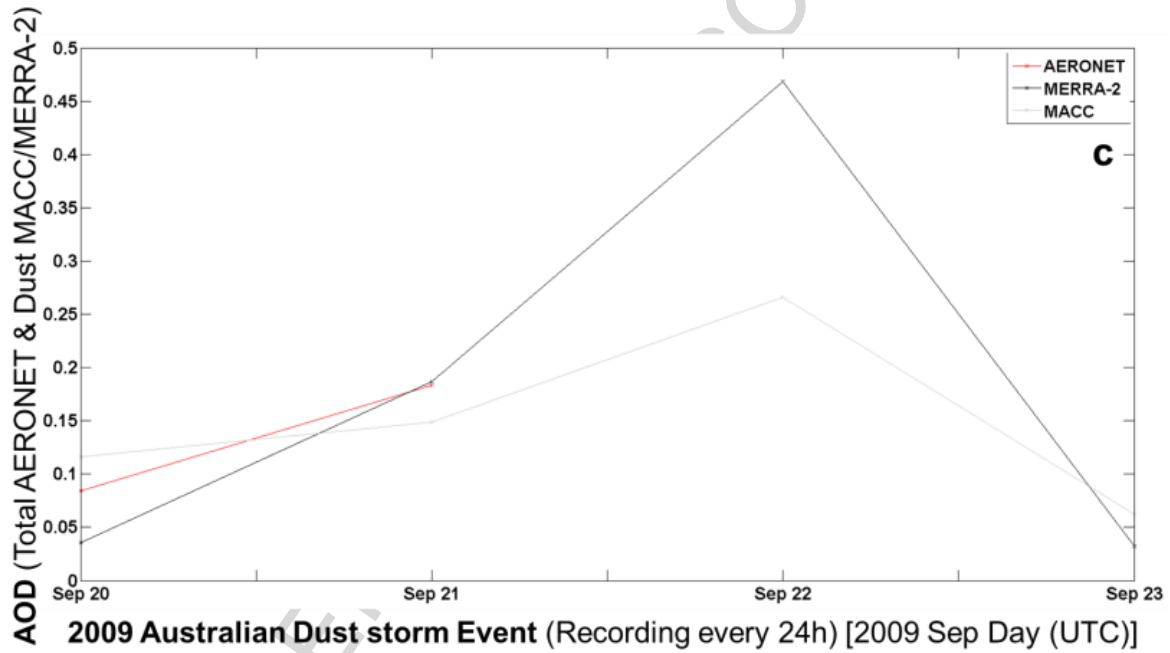
662 And finally, since AeroSpan/AERONET Level 2 cloud screens major dust storms, we
663 also qualitatively verify reanalysis estimates against a continuously operating nephelometer
664 using data recording during the 2009 Australian Dust Storm event as a case study. The
665 nephelometer datasets show high scattering during the dust event from 21-09-09 UTC
666 onwards, which coincides with the high dust AOD estimates of the reanalysis products. The
667 scattering coefficient (mM^{-1}) before the peak of the event 20th Sep 8 UTC to 21st Sep 8 UTC

668 was quite low (up to 100mM^{-1}). There was high scatter (up to 5000mM^{-1}) due to the dust
669 storm from 21st Sep 12 UTC to 22nd Sep 12 UTC. Mitchell et al. (2010), showed that the
670 background scattering coefficient at Tinga Tingana was $\sigma_{\text{sca}} \sim 10 \text{ Mm}^{-1}$, with significantly
671 elevated levels above that, as also identified in this study, corresponding to dust mobilization.
672 Mitchell et al. (2010) quantified the relationship between nephelometer signal and dust
673 mobilization, referring to events with $100 < \sigma_{\text{sca}} < 1000 \text{ Mm}^{-1}$ as ‘significant’ events, and
674 those with σ_{sca} greater than 1000 Mm^{-1} as ‘major’ events. Thus, analysing the extreme 2009
675 Australian dust storm event nephelometer data peaks in this study is consistent with Mitchell
676 et al. (2010) event definitions. Moreover, this adds weight to the central assumption inherent
677 in Mitchell et al. (2010), O’Loingsigh et al. (2015a), and ours - that dust measured at Tinga
678 Tingana can be used to characterize dust mobilisation over the Strzelecki Lakes. Future work
679 for dust storm analysis could consider integrated nephelometer assessments with
680 AeroSpan/AERONET, high resolution reanalysis and satellite observations.

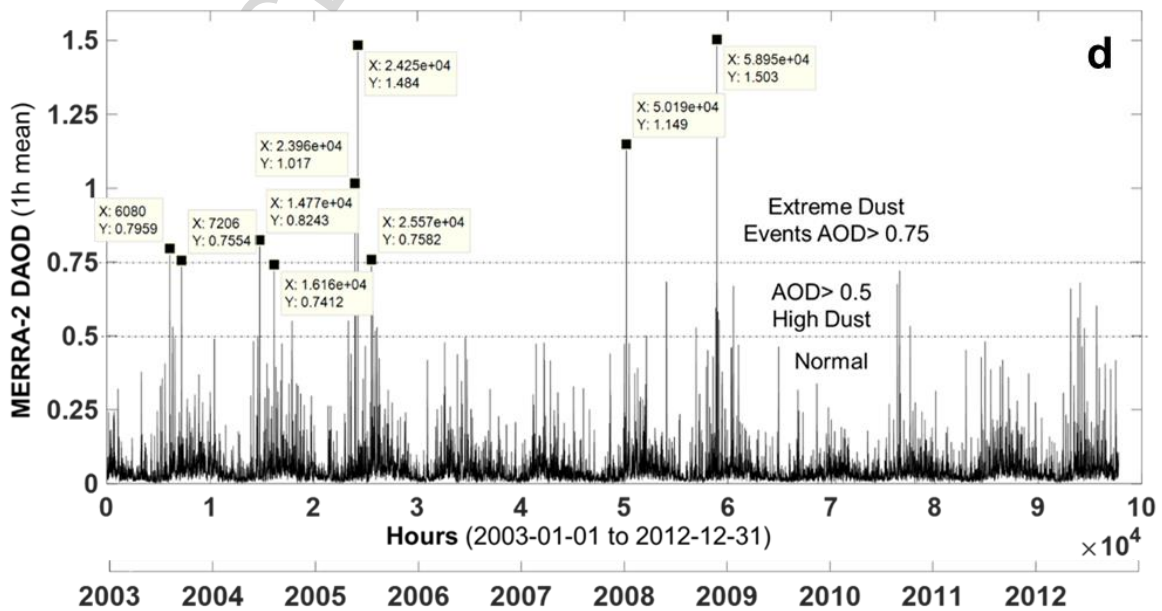




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685 Figure 10. Total AOD and Dust AOD (DAOD) at Tinga Tingana – comparison between long
686 term record and 2009 dust storm event: a. AeroSpan/AERONET data Version 2.0 Level 1.5
687 and Level 2, 24 hour mean observation count over the period 2003-01-01 to 2012-02-26 b.
688 MERRA-2 and MACC (3h inst) Total AOD 550 nm during Australian dust storm during
689 2009-09-20:9h UTC to 2009-09-22:9h UTC where y- axis: Total AOD (3h instantaneous); x-
690 axis: 3 hourly time recordings (between Sep 20th at 6 UTC to Sep 22nd at 12 UTC) c. Daily
691 mean for ECMWF/MACC and MERRA-2 AOD 2009-09-20 to 2009-09-23 including
692 AeroSpan/AERONET recordings where y-axis: Total AOD (24h mean); x-axis: Day of the
693 month (Sep 20th to Sep 23rd). d. MERRA-2 1 hour dust AOD shows extreme events

694

695 **4. Conclusions**

696 In this study, atmospheric total and dust aerosol optical depth (AOD) from reanalysis
697 datasets were analysed over Australia. The first objective of this study was to assess aerosol
698 reanalysis against ground measurements. We studied the decadal aerosol reanalysis over
699 Australia, focusing on key dust activation AERONET/AeroSpan site Tinga Tingana in the
700 Lake Eyre basin. This analysis showed that the correlation between MERRA-2 dust AOD and
701 AeroSpan/AERONET total AOD was low and comparable to ECMWF/MACC. However,
702 MERRA-2 total AOD had much higher correlation against AeroSpan/AERONET total AOD
703 than ECMWF/MACC. Differences between the reanalysis dataset assimilation inputs and
704 model resolution were discussed which may contribute to different estimates between
705 ECMWF/MACC and MERRA-2 over Australia. Time series revealed MERRA-2 to be
706 generally positively biased compared to AeroSpan/AERONET at all sites. Large gaps were
707 found in AeroSpan/AERONET datasets and limited observation sites at high resolutions to
708 validate reanalysis with high confidence over the entire Australian continent. While both
709 ECMWF/MACC and MERRA-2 provided dust estimates during normal conditions and an
710 extreme event, the MERRA-2 seems to be more sensitive with higher dust estimates during
711 extreme events. Spatial analysis over a new domain based on Ridley et al. (2016), showed
712 ECMWF/MACC had up to 40% higher AOD over the Lake Eyre Basin than MERRA-2 from
713 annual averages. In MERRA-2 the spatial distribution of dust AOD across Australia varies
714 with seasons although they are all concentrated over the Lake Eyre Basin. The spatial

715 distribution of dust is most expansive in summer and the extent of dust distribution in winter
716 was very low.

717 In addition, our study extends Ridley et al. (2016) and other literature by further
718 investigating detection of extreme dust events over Australia using observations and
719 reanalysis. The study linked nephelometer comparisons during the 2009 Australian dust
720 storm with AOD. Differences between level 1.5 and level 2 products were found, which
721 showed that level 1.5 without cloud screening might be more suitable for dust storm detection
722 than level 2. From the higher temporal resolution MERRA-2 1 hourly reanalysis, up to 11
723 extreme dust events were detected providing further insight about temporal resolution of dust
724 storms in reanalysis datasets. Overall, this study highlights that high-resolution reanalysis
725 datasets (e.g. MERRA-2) must be considered in addition to AeroSpan/AERONET level 1.5
726 unscreened data to help improve the simulation (i.e. reconstruction) of aerosols with
727 numerical weather prediction models (also used for reanalyses) over Australia along with
728 nephelometer signals.

729 **Acknowledgements**

730 The authors would like to thank David A. Ridley at MIT for useful contributions,
731 feedback and data from their manuscript (Ridley et al., 2016) of dust aerosol optical depth
732 (AOD) observationally constrained hybrid satellite and model output estimates over Australia
733 from their global study. Thanks also extend to AERONET managed by project leader Brent
734 N. Holben in conjunction with CSIRO's AeroSpan network for AOD and nephelometer data,
735 NASA Global Modelling and Assimilation Office (GMAO) Goddard Earth Sciences Data
736 Information Services Center (GES DISC) for MERRA-2 product, ECMWF MACC II
737 Products and Consortium for AOD reanalysis. This research did not receive any specific
738 grant from funding agencies in the public, commercial, or not-for-profit sectors.

739 This publication is dedicated to Dr Ross Mitchell, who established the AeroSpan
 740 network to monitor and characterise the continental dust and smoke aerosols that affect
 741 Australia's climate.

742

Source	Type	Variable	Frequency	Period	Domain/Site
Ridley et al. (2016) MIT-UCLA-PNNL study	16 Hybrid dust source + non-dust spatial average Monte Carlo model outputs	DAOD 550nm	Seasonal mean	2003 Dec-2008 Nov	(35S, 120E to 20S, 150W)
MERRA-2 (GMAO, 2015a, 2015b, 2015c)	Gridded Reanalysis 0.5°x0.625°	DAOD 550nm	Monthly mean	2003-2008	(35S, 120E to 25S, 150W)
		Total AOD 550nm	3 hourly instant	2003-2008	(35S, 120E to 25S, 150W)
	Reanalysis grid point 0.5°x0.625° closest to AeroSpan/AERONET site	Total AOD 550nm	Monthly mean	2002-2012	Tinga Tingana
				2005-2016	Birdsville
				2013-2016	Flowers Gap
				2012-2016	Lake Lefroy
Total AOD 550nm	24h mean	2002-01-01 to 2016-11-30	Tinga Tingana		
	3 hourly instant	2002-01-01 to 2016-11-30	Tinga Tingana		
ECMWF/MACC (Inness et al., 2013b)	Gridded Reanalysis 0.125°	DAOD 550 nm	3 hourly instant	2003-2008	(35S, 120E to 25S, 150W)
	Reanalysis grid point 0.125°	DAOD 550 nm	3 hourly instant	2003-2012	Tinga Tingana
		Total AOD 550 nm	3 hourly instant	2003-2012	Tinga Tingana
AeroSpan/AERONET v2 (2018) (Holben et al., 1998)	Aerosol Observations	Total AOD 500 nm	Monthly mean	2002-2012	Tinga Tingana
				2005-2016	Birdsville
				2013-2016	Flowers Gap
				2012-2016	Lake Lefroy
	Total AOD 500nm	24h mean	2002-2012	Tinga Tingana	
				Tinga Tingana	
Nephelometer (2009 Australian dust storm event)	Scattering Coefficient (Mm ⁻¹)	Continuous	Sep 21- Sep 22 2009	Tinga Tingana	

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Sources + Model	DJF	MAM	JJA	SON
Aqua+GeosChem	0.0344	0.0173	0.0020	0.0148
Aqua+CESM	0.0446	0.0139	0.0000	0.0159
Aqua+WRFChem	0.0428	0.0149	-0.0061	0.0151
Aqua+MERRAero	-0.0020	-0.0076	-0.0212	-0.0438
Terra+GeosChem	0.0292	0.0192	0.0078	0.0077
Terra+CESM	0.0375	0.0176	0.0061	0.0075
Terra+WRFChem	0.0375	0.0172	-0.0007	0.0082
Terra+MERRAero	-0.0102	-0.0057	-0.0155	-0.0533
Misr+GeosChem	0.0342	0.0144	-0.0018	0.0247
Misr+CESM	0.0423	0.0108	-0.0040	0.0249
Misr+WRFChem	0.0422	0.0137	-0.0087	0.0255
Misr+MERRAero	-0.0035	-0.0098	-0.0244	-0.0356
GeosChem+GeosChem	0.0448	0.0248	0.0172	0.0498
CESM+CESM	0.0748	0.0302	0.0064	0.0636
WRFChem+WRFChem	0.0345	0.0270	0.0201	0.0335
MERRAero+MERRAero	0.0419	0.0330	0.0202	0.0351

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746

	550 nm AERONET		500 nm AERONET	
	MACC	MERRA-2	MACC	MERRA-2
R with Dust AOD	0.213	0.194	0.437	0.455
R with Total AOD	0.392	0.586	0.463	0.725
Mean bias with Dust AOD	0.008	-0.013	-9.10E-05	-0.021
Mean bias with Total AOD	0.057	0.028	0.049	0.02

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754 [bin/webtool_opera_v2_new?stage=2&place_code=10®ion=Australia_and_Pacific&](https://aeronet.gsfc.nasa.gov/cgi-bin/webtool_opera_v2_new?stage=2&place_code=10®ion=Australia_and_Pacific&tate=Australia&submit=Get+AERONET+Sites)
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970

971 **Abstract**

972 Assessments of atmospheric aerosols from reanalysis are important for understanding
973 uncertainty in model simulations, and ultimately predictions, such as for solar power or air
974 quality forecasts and assessments. This study intercompares total aerosol optical depth
975 (AOD) and dust AOD (DAOD) from two global reanalyses datasets, the European Centre for
976 Medium-Range Weather Forecasts (ECMWF) Monitoring Atmospheric Composition and
977 Climate (MACC) and the NASA Modern-Era Retrospective Analysis for Research-2
978 (MERRA-2). These are evaluated against AeroSpan (Aerosol characterisation via Sun
979 photometry: Australian Network) ground observations which forms part of the Aerosol
980 Robotic Network (AERONET) over the Australian continent for the 2002-2012 period.
981 During dust storms, AeroSpan/AERONET AOD measurements were missing due to cloud
982 screening. To overcome validation limitations in sun photometry for dust events, a
983 nephelometer's scattering coefficient is qualitatively compared against reanalysis of DAOD
984 at a key dust storm activation site, Tinga Tingana in South Australia (~200km east of Lake
985 Eyre). A specific extreme event that occurred in 2009 originating from the Lake Eyre basin, a
986 major dust source covering one-sixth of Australia, was studied. The results show that
987 MERRA-2 reanalysis overestimates monthly total AOD twice as much compared to
988 AeroSpan/AERONET ground observations but seems better correlated against
989 AeroSpan/AERONET than ECMWF/MACC. Mean data of MERRA-2 time series over 10
990 years provide lower DAOD values and lower dust aerosol estimates than ECMWF/MACC
991 reanalysis (over the Lake Eyre basin with spatial averaging). Specifically at Tinga Tingana,
992 the correlation from MERRA-2 (0.45 correlation) and ECMWF/MACC (0.43 correlation)
993 against AeroSpan/AERONET's AOD were similar. Between MERRA-2 and
994 ECMWF/MACC decade long daily gridded DAOD, the correlation coefficient was high at
995 0.73, again indicating similarity between the datasets. MERRA-2 total AOD correlation is
996 significantly higher (by 0.26) against AeroSpan/AERONET than ECMWF/MACC. MERRA-
997 2 also provides higher AOD values in extreme cases which may correspond to dust storms.

998 During dust storms, a hybrid strategy using nephelometers and hourly reanalysis from
999 MERRA-2 is able to identify dust storms better than AeroSpan/AERONET. Overall, this
1000 work can enable and inform better aerosol data assimilation into forecast models such as for
1001 solar energy, agriculture or air quality over Australia.

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ACCEPTED MANUSCRIPT

1004 **Highlights**

- 1005 • Total and dust MERRA-2 and MACC reanalyses AOD analysed against Australian
1006 AERONET
- 1007 • Dust AOD highest in summer; concentrated over Lake Eyre basin; seasonal differences
- 1008 • Moderate 10-yr reanalyses dust AOD correlation ~ 0.44 ; monthly AOD two times
1009 AERONET
- 1010 • MERRA-2 and MACC show significant total AOD differences by up to 0.26 with
1011 AERONET
- 1012 • To detect dust storms recommend MERRA-2 hourly; nephelometer plus AERONET
1013 level 1.5