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Title: Physiological drought responses improve predictions of live fuel moisture dynamics in 1 a Mediterranean forest 2 3 Author names and affiliations: Rachael H. Nolan<sup>1</sup>, Javier Hedo<sup>2</sup>, Carles Arteaga<sup>2</sup>, Tetsuto 4 Sugai<sup>3</sup>, Víctor Resco de Dios<sup>2,4</sup>\* 5 <sup>1</sup>Hawkesbury Institute for the Environment, Western Sydney University, 2751 Penrith, NSW, 6 7 Australia. <sup>2</sup> Department of Crop and Forest Sciences and AGROTECNIO Centre, Universitat de Lleida, 8 9 25198 Lleida, Spain. <sup>3</sup> Graduate School of Agriculture Hokkaido University, Sapporo 060-8689, Japan 10 11 \* Corresponding author (Department of Crop and Forest Sciences-AGROTECNIO Center, 12 Universitat de Lleida Rovira Roure 191, 25198 Lleida, Spain Tel.: +34 973 70 26 68; Fax: 13 +34 973 70 26 90; E-mail: v.rescodedios@gmail.com) 14 15 16 **Key words** 17 Wildfire, drought code, KBDI, fire ecophysiology, turgor loss point, resprouting 18

#### Abstract

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The moisture content of live fuels is an important determinant of forest flammability. Current approaches for modelling live fuel moisture content typically focus on the use of drought indices. However, these have mixed success partly because of species-specific differences in drought responses. Here we seek to understand the physiological mechanisms driving changes in live fuel moisture content, and to investigate the potential for incorporating plant physiological traits into live fuel moisture models. We measured the dynamics of leaf moisture content, access to water resources (through stable isotope analyses) and physiological traits (including leaf water potential, stomatal conductance, and cellular osmotic and elastic adjustments) across a fire season in a Mediterranean mixed forest in Catalonia, NE Spain. We found that differences in both seasonal variation and minimum values of live fuel moisture content were a function of access to water resources and plant physiological traits. Specifically, those species with the lowest minimum moisture content and largest seasonal variation in moisture (Cistus albidus: 49-137 % and Rosmarinus officinalis: 47-144 %) were most reliant on shallow soil water and had the lowest values of predawn leaf water potential. Species with the smallest variation in live fuel moisture content (Pinus nigra: 96-116 % and Quercus ilex: 56-91 %) exhibited isohydric behaviour (little variation in midday leaf water potential, and relatively tight regulation of stomata in response to soil drying). Of the traits measured, predawn leaf water potential provided the strongest predictor of live fuel moisture content ( $R^2 = 0.63$ , AIC = 249), outperforming two commonly used drought indices (both with  $R^2 = 0.49$ , AIC = 258). This is the first study to explicitly link fuel moisture with plant physiology and our findings demonstrate the potential and importance of incorporating ecophysiological plant traits to investigating seasonal changes in fuel moisture and, more broadly, forest flammability.

#### 1 Introduction

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The moisture content of fuels is a major determinant of fire ignition and rate of spread

(Bradstock, 2010; Sullivan, 2009). Fuel moisture content is particularly important in driving

wildfires in forest ecosystems where fuel loads do not limit fire activity, except for

immediately following large disturbances such as fire (Bradstock, 2010). Thus, monitoring

and forecasting fuel moisture content is vitally important for undertaking wildfire risk

assessments, assessing conditions for prescribed burns, and modelling fire behaviour (Nolan

et al., 2016; Sullivan, 2009).

Forest fuels consist of both dead and live plant material. Dead fuels, particularly fine fuels (with a diameter <25.4 mm), respond rapidly to atmospheric conditions and can be modelled from inputs such as temperature, humidity or vapour pressure deficit (Matthews, 2013; Resco de Dios et al., 2015). In contrast, live fuel moisture content (LFMC) can be much more difficult to model because moisture content is a function of plant physiological and structural traits, which can differ markedly across species (Jolly and Johnson, 2018; Karavani et al., 2018). For example, some tree and shrub species from Mediterranean environments exhibit little seasonal variation in LFMC, while the LFMC of other cooccurring species can vary by as much as a factor of 6 (Pellizzaro et al., 2007; Soler Martin et al., 2017; Viegas et al., 2001). Current approaches for estimating LFMC focus on either modelling moisture content from drought indices, or modelling moisture content with remotely sensed data (Viegas et al., 2001; Yebra et al., 2013). However, drought indices can be difficult to apply because they do not capture the differing responses to drought across cooccurring species, and current remote sensing approaches cannot be used for forecasting LFMC. An eco-physiological approach to investigating LFMC dynamics may overcome these limitations and lead to improved modelling (Jolly and Johnson, 2018).

There are at least three different but mutually inter-related physiological processes that might explain why leaf moisture varies differentially across species. One of them is access to water resources, a function of rooting patterns and water availability in the soil profile that, in turn, appears to be related to the post-fire regeneration strategy. For example, species that can resprout following high intensity fire typically have greater allocation to roots and deeper root systems than species lacking this capacity (Bell et al., 1996; Verdu, 2000). As a consequence, resprouting species often maintain greater water supply to foliage during dry periods than obligate seeding species (which are fire-killed but regenerate via seed) (Saura-Mas and Lloret, 2007).

The second physiological mechanism potentially explaining inter-specific differences in leaf moisture are dynamics in leaf water potential ( $\Psi_{leaf}$ ). The relationship between  $\Psi_{leaf}$  and moisture status is typically characterised by a curvilinear relationship between  $\Psi_{leaf}$  and relative water content (Tyree and Hammel, 1972), with relative water content exhibiting a perfect relationship with LFMC (i.e.  $R^2$  of 1).  $\Psi_{leaf}$  is largely controlled by stomatal sensitivity to water stress. Traditionally, plants exhibiting little seasonal variation in midday water potential are classified as isohydric, while those with large fluctuations in water potential are classified as anisohydric (Klein, 2014; Tardieu and Simonneau, 1998). Differences in  $\Psi_{leaf}$  regulation strategies are generally attributed to differing degrees of stomatal regulation (Martínez-Vilalta and Garcia-Forner, 2016). Following stomatal closure,  $\Psi_{leaf}$  can continue to decline due to stomatal leakiness and cuticular conductance (Blackman et al., 2016, Martin-StPaul et al., 2017a).

The relationship between  $\Psi$ leaf and relative water content (and thus LFMC) can change through time due to osmotic adjustment (Sinclair et al., 1985). Thus, the third mechanism potentially explaining inter-specific differences in live fuel moisture content are the series of osmotic and elastic adjustments that take place in cells, which lead to differences

in turgor loss point and water storage capacities. As relative water content declines, the inverse of  $\Psi_{leaf}$  declines following a curvilinear function until the point at which cell turgor is lost (the 'turgor loss point',  $\Psi_{TLP}$ ; Tyree and Hammel (1972)). Below the  $\Psi_{TLP}$ , the inverse of  $\Psi_{\text{leaf}}$  potential declines linearly with further declines in relative water content (Tyree and Hammel, 1972). Thus, the  $\Psi_{TLP}$ , is a key parameter affecting the relationship between leaf moisture status and  $\Psi_{leaf}$ . As drought stress increases, the turgor loss point may be altered by (i) increasing the concentration of solutes in the vacuole to increase  $\pi$ , the osmotic potential at full turgor; (ii) increasing the elasticity of the cell walls to avoid collapse under turgor loss (decrease of  $\varepsilon$ , the elasticity modulus); or (iii) redistributing the symplastic water outside of the cell walls towards apoplastic water (Bartlett et al., 2012). The point at which cell turgor is lost (the 'turgor loss point',  $\Psi_{TLP}$ ) is an important eco-physiological parameter and is coordinated with declines in stomatal conductance of 50% (Brodribb and Holbrook, 2003). There is also increasing evidence that the turgor loss point is co-ordinated with access to soil water resources, being higher (less negative) in species with greater access to water (Bartlett et al., 2012).  $\varepsilon$  and  $\pi$  are both related to changes in LFMC although the latter is considered the main driver of  $\Psi_{TLP}$ , and, consequently, more related to drought tolerance (Bartlett et al., 2012).

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Although there is a clear physiological basis for access to water resources, water potential, stomatal regulation and osmotic and elastic adjustments in controlling LFMC dynamics, there has been little research explicitly examining these relationships. On the one hand, as Jolly and Johnson (2018) noted, fire scientists have traditionally considered live fuels as "very wet dead fuels and nothing more". On the other hand, plant physiologists have more often focused on water potential and variations in moisture content have been explored to a lesser extent. We argue that linking plant physiology with LFMC dynamics is important

because a mechanistic understanding of leaf moisture dynamics may lead to enhanced LFMC forecasting capabilities.

Here we seek to understand, for the first time to our knowledge, what are the physiological mechanisms driving changes in LFMC in a Mediterranean forest. We focused our study in Catalonia (NE Spain), a region where forest fires are common. This study is novel because it attempts to bridge research on the relationships between forest fire dynamics, typically focused on fuel moisture content, and drought stress physiology, which has traditionally focused on understanding variation in plant water potential and drought responses. We address two key research questions: (1) what drives differences in LFMC dynamics in co-occurring species? and (2) can plant physiological traits lead to superior performance for models of live fuel moisture content? Regarding the first question, we sought to disentangle the relative roles of (i) access to water resources, (ii) stomatal sensitivity to water stress; and (iii) osmotic and elastic adjustments that take place in cells as drivers of inter-specific differences in LFMC. Although we expected these processes would explain a large portion of the variance in LFMC, we note that this study is not comprehensive and additional factors such as stem capacitance or cuticular conductance (Blackman et al., 2016), among other factors, could also play a role in controlling fuel moisture content. Regarding the second question, we hypothesized that physiologically-based models of LFMC would outperform currently employed drought indices, which ignore divergence in ecophysiological responses under drought among coexisting species.

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#### 2. Methods

#### **2.1 Study area**

The experiment was conducted at the Natural Park of Poblet in Tarragona, NE Spain (41° 21' 6.4728'' latitude and 1° 2' 5.7496'' longitude). The climate is Mediterranean with a mean annual temperature of 13.2 °C and a mean annual precipitation of 600 mm (Bonet et al., 2012). The soil is loamy-sandy, is well drained and originates from decomposed granite (Bonet et al., 2012). The study was conducted on an East-facing slope at an altitude of 700 m.a.s.l. The site was deforested during the 19-20<sup>th</sup> century to obtain firewood and other products and the current stand is the result of forest regrowth during the last few decades. The dominant tree species in the area are different oak (*Quercus ilex, Q. faginea*) and pine (*Pinus nigra, P. sylvestris*) species. The understory is dominated by *Cistus* spp., *Arbutus unedo, Ruscus aculeatus, Viburnum tinus, Cytisus scoparius, Erica arborea*, and *Rosmarinus officinalis*, among others. Meteorological data were obtained from the closest meteorological station available from the Catalan Service of Meteorology, which was about 5 km from the plots.

In this study we chose to focus on: *Pinus nigra* (obligate seeding tree), *Quercus ilex* (resprouting tree), *Arbutus unedo* (resprouting shrub), *Cistus albidus* (obligate seeding shrub), *Erica arborea* (resprouting shrub), and *Rosmarinus officinalis* (obligate seeding shrub) (Table 1). The site is an open forest, meaning that shrub species exposed to full sunlight and not shaded by the trees. We chose these species for two reasons. First, we wanted the same number of seeders and of resprouters. Second, we chose species that, based on previous work, we expected to show contrasting fuel moisture dynamics (Table 1). More specifically, we expected that LFMC in *P. nigra* and *Q. ilex* would be relatively constant through the season, that LFMC in *C. albidus* and in *R. officinalis* would show a sharp decline during the summer drought, and that *A. unedo* and *E. arborea* would show intermediate patterns (Pellizzaro et al., 2007; Viegas et al., 2001).

	Trees			Shr	ubs	
Species	P. nigra	Q. ilex	A. unedo	C. albidus	E. arborea	R. officinalis
Ecological response to	Obligate seeder	Resprouter	Resprouter	Obligate seeder	Resprouter	Obligate seeder
disturbance						
Range in LFMC (%)	NA	54-95 <sup>3</sup>	74-144 <sup>3</sup>	35-134 <sup>3</sup>	42-111 <sup>3</sup>	35-136 <sup>3</sup>
from previous studies	87-145 for <i>Pinus brutia</i> <sup>1</sup>					
	~105-155 for <i>Pinus halepensis</i> <sup>2</sup>					
Range in LFMC (%),	96-116	56-91	91-148	49-137	62-128	47-144
this study						

<sup>&</sup>lt;sup>1</sup>Study over one fire season in Mediterranean region of Crete, Greece (Dimitrskopoulos and Bemmerzouk, 2003).

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<sup>&</sup>lt;sup>2</sup>Study over one fire season in Mediterranean NE Spain, values estimated from Fig. 2 in Viegas et al. (2001).

<sup>&</sup>lt;sup>3</sup> 95% range in LFMC over 20 years of measurements in Mediterranean France (Duché et al., 2017; Martin-StPaul et al., 2018).

#### 2.2 Fuel moisture dynamics

All fuel moisture measurements were undertaken over one full fire season, from late spring (May) to early autumn (September) in 2017. Live fuel was sampled to characterise the moisture content of the crown and shrub strata, in addition to characterising the moisture content of each of the study species. LFMC samples were collected in the early afternoon (between 12:00h and 15:30h local time) when diurnal LFMC was expected to be at a minimum. Live fuel was collected by destructively sampling live foliage and small stems (≤ 3mm). For each strata and for each species fuel was collected in each of five tins, with 10-20 g of dry fuel per tin. Fuel fresh weight was measured then samples oven-dried at 105°C for 48 hours and fuel weight measured again. LFMC was calculated as:

180 LFMC = 
$$\frac{F_{W}-D_{W}}{D_{W}} \times 100$$

where  $F_{\rm W}$  and  $D_{\rm W}$  indicate fresh and dry weight, respectively.

In total, seven measurements of LFMC were undertaken that were separated by 2-3 weeks, with the exception of the second measurement which occurred shortly after the first measurement following rain. During this period, LFMC exhibited a similar range to that observed in previous studies, notably, this includes a 20+ year study from Mediterranean France (Table 1).

#### 2.3 Plant physiology measurements

Plant physiology measurements, including access to water resources, leaf water potential and stomatal conductance, were measured within a three-day window corresponding to the LFMC measurements. During each campaign meteorological conditions were similar and there was no rainfall. Due to rain following the first LFMC measurements, there are no physiology

measurements associated with that time period. The exception to this is the measurement of osmotic and elastic adjustments (through the pressure-volume curve method) which was timed to capture key periods of fuel moisture: at the end of a wet period (27<sup>th</sup> July), during a dry period (31<sup>st</sup> August), and at the end of a very dry period (21<sup>st</sup> September).

#### 2.3.1 Access to water resources

Stable isotope analyses of xylem water, soil water and groundwater ( $\delta^{18}$ O and  $\delta^{2}$ H) were undertaken to study plant access to different water sources along the soil profile. Branch samples were collected from each of five plants per species at the same time as LFMC samples. Five replicate soil samples were collected at 0-10 cm ('shallow' soil water) and 10-25 cm ('deep' soil water). Additionally, we also sampled groundwater from a well nearby. Samples were taken at around noon, immediately kept inside glass tubes that were sealed with paraffin and frozen with dry ice in coolers. Once in the lab, samples were stored in a freezer (-4 °C) until analysis. Plant samples were obtained from non-evaporated branch tissues and bark and phloem were removed in the field prior to sample storage.

Water extraction was performed by cryogenic vacuum distillation (Dawson and Ehleringer, 1993) at the Department of Crop and Forest Sciences, Universitat de Lleida (Spain). We placed the sample tubes in a heated silicone oil bath (120 °C) connected to a vacuum system (~10<sup>-2</sup> mbar) in series with the collector tubes, where the sample water was trapped by liquid N<sub>2</sub>. After the extractions (2h for soil and 1.5h for xylem), we transferred the trapped water into 2 ml vials that were stored at 4 °C until analysis. We tested that water extraction was complete by weighing the samples immediately after distillation and then again after oven-drying at 60 °C for 24 h. Over 90% of all samples gained ~1% weight during

drying, indicating that water extraction had been effective as they were dry enough to rehydrate.

The isotope composition of the water samples was analyzed by Cavity Ring-Down Spectroscopy (CRDS) in a Picarro L2120-i isotopic water analyzer (Picarro Inc., Sunnyvale, CA, USA) at the Serveis Científico-Tècnics from the Universitat de Lleida (Lleida, Spain). The samples were vaporized (in the Picarro A0211 vaporizer) and then passed through the analyzer. We injected six replicates of 1  $\mu$ l into the vaporizer, and used the last three for calculations, as they showed homogeneous values that were independent from previous samples (average within-sample standard deviation was 0.16% for  $\delta^{18}$ O and 0.67% for  $\delta^{2}$ H). We repeatedly analyzed four reference water samples and quantified the precision of the L2120-i at 0.10% and 0.40%, for  $\delta^{18}$ O and  $\delta^{2}$ H, respectively. We express isotope composition in per mil notation  $\delta^{18}$ O and  $\delta^{2}$ H relative to Vienna Standard Mean Ocean Water. Residual organic compounds in the distilled water can interfere with the analysis of plant and soil samples using CRDS, and we applied a post-processing correction as described in (Martin-Gomez et al., 2015).

#### 2.3.2 Leaf water potential and stomatal conductance

Leaf water potential ( $\Psi_{leaf}$ ) and stomatal conductance ( $g_s$ ) were measured on each of five plants per species per campaign. On each sampling day,  $\Psi_{leaf}$  was measured at pre-dawn and midday, which typically represent minimum and maximum periods of diurnal water potential respectively. Leaves for  $\Psi_{leaf}$  were cut and then immediately sealed in a plastic bag inside a cooler until measurements, which were performed within less than 15 minutes from collection using a Scholander-type pressure chamber (PMS Instruments, Corvallis, OR,

USA). Stomatal conductance measurements were performed using a steady state porometer (SC-1, Decagon Devices Inc, Pullman, WA, USA) in fully-expanded sun-facing leaves.

#### 2.3.3 Osmotic and elastic adjustments (pressure-volume curves)

Pressure-volume curves were performed following Sack et al. (2011) on three plants per species. Shoots were saturated before bench dehydration, and we sequentially measured  $\Psi_{leaf}$  and leaf weight during dehydration. We calculated relative water content (RWC) as:

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$$RWC = \frac{F_{W} - D_{W}}{T_{W} - D_{W}} \times 100$$
 (2)

where  $F_W$ ,  $D_W$  and  $T_W$  are the fresh, dry and turgid leaf weights respectively. RWC was plotted against  $1/\Psi_{leaf}$  and we calculated  $\Psi_{TLP}$  (osmotic potential at turgor loss point, where the curve transitions from the linear to the curved portion),  $\pi$  (osmotic potential at maximum turgor, the absolute inverse of y-intercept of the linear part of the curve),  $\varepsilon$  (the elasticity modulus, the slope of the curve above  $\Psi_{TLP}$ ) and saturated LFMC (calculated from the intercept of the mass of leaf water with  $\Psi_{leaf}$ , and leaf dry mass). Saturated LFMC is more commonly referred to as saturated water content and expressed as a proportion between 0-1, for consistency, we refer to it here as saturated LFMC and present it in the same units (i.e. %).

#### 2.4 Analyses

All statistical analyses were undertaken in R 3.4.1. (R Development Core Team, 2017). The relative contributions of source water to xylem water were assessed using a Bayesian isotope mixing model. Simulations of contributions of source water (groundwater, shallow soil water, deep soil water) to xylem water were generated using Markov chain Monte Carlo methods,

using the SIAR package (Parnell and Jackson, 2013) following Barbeta et al. (2015). We ran 500 000 iterations, and discarded the first 50 000. The mean contribution of each water source was then calculated for each species and each sampling time. Differences in the relative contributions of source water were then assessed using a two-factor ANOVA, with sampling date and species as factors plus their interaction, and Tukey's honest significant difference post-hoc tests.

Differences in LFMC, contributions of source water, predawn and midday  $\Psi_{leaf}$ ,  $g_s$  and pressure-volume curve parameters ( $\Psi_{TLP}$ ,  $\pi$ ,  $\varepsilon$  and saturated LFMC) between species were assessed using a two-factor ANOVA, with sampling date and species as factors (plus their interaction). Data were checked for normality and homogeneity of variance. If significant differences were found, Tukey's honest significant difference *post-hoc* tests were performed. Note, a repeated measures ANOVA was not considered appropriate as different plants were selected during each sampling campaign (Quinn and Keough, 2002).

Relative similarities between the six species in LFMC dynamics and physiological traits were assessed through a principal component analysis. The following traits were included: minimum LFMC (measured on 5 September, 2017) and variation in LFMC over time; the relative contributions of shallow soil water, deep soil water and groundwater to xylem water at the time of minimum LFMC; predawn and midday  $\Psi_{leaf}$  and  $g_s$  at the time of minimum LFMC; and each of the pressure-volume curve parameters during the time of minimum LFMC. All trait data were scaled to have unit variance prior to the analysis.

To assess which of the plant physiological traits measured at the same time as LFMC (i.e. all traits excluding the pressure-volume curves parameters) could be used to model LFMC, we undertook a correlation analysis between all traits and LFMC across sampling dates. Correlations were undertaken with species-level averages from each sampling period, and Pearson's correlation coefficient ("R") calculated. Traits which had a significant

correlation (p <0.05) were then selected for multiple linear regression with LFMC. To avoid problems with collinearity, for those traits which had a significant correlation with each other (R >0.5), the trait with the lower correlation with LFMC was excluded from the regression. We also modelled LFMC as a function of drought indices commonly used for fuel moisture and fire behaviour predictions: the Keetch-Byram drought index (KBDI; Keetch and Byram, 1968) and the drought code (DC) in the Canadian Forest Fire Weather Index system (Van Wagner, 1987). We compared the performance of each of the LFMC models using Akaike's information criterion (AIC) (Akaike, 1974).

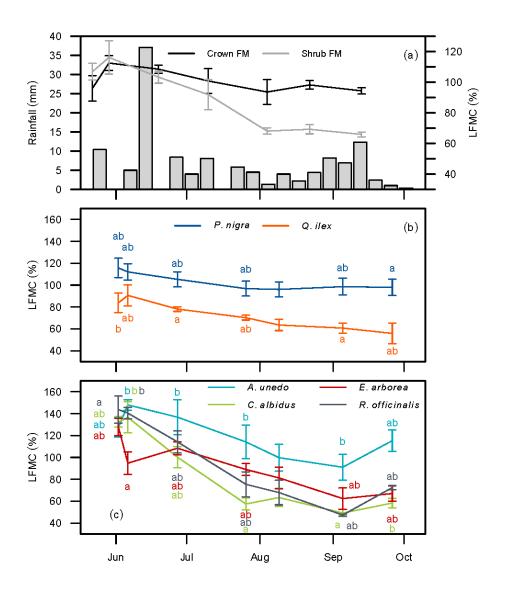
#### 3. Results

#### 3.1 Fuel moisture dynamics

LFMC in tree crown and shrub strata were highest at the start of summer, and lowest in late summer and into early autumn (Fig. 1a). There were significant differences in LFMC between species, with a significant interaction found between species and sampling date ( $F_{30}$ ,  $f_{166} = 2.8$ ,  $f_$ 

Both tree species exhibited the smallest seasonal variation in LFMC values (35% for *Q. ilex* and 20% for *P. nigra*). The shrubs all exhibited much larger variation in seasonal LFMC, particularly *R. officinalis* (97%). These differences between shrub and tree species resulted in crown LFMC exhibiting lower seasonal variation (94-113%) than shrub LFMC (66-116%). We note that the 20-year database from Southern France (Duché et al. 2017) was

able to capture slightly lower LFMC values for the shrubs (~10% lower). This difference may be driven by more extreme conditions being captured in the 20-year dataset, and/or by differences in drying procedure (Matthews, 2010). At any rate, our sampling was able to capture a substantial portion of the variation in LFMC that has been previously documented to occur in these species (Table 1).



**Fig. 1**. (a) Weekly rainfall and temporal trends in composite live fuel moisture content (LFMC) of trees and shrubs (b) LFMC of individual tree species and (c) LFMC of individual shrub species, ±1 SE. For (b) and (c) differing letters above a sampling date indicate significant differences among species, for that sampling date (*p* <0.05). Note, these significant differences refer to all six species sampled.

## 3.2 Access to water resources

Shallow and deep soil layers were the largest contributors of source water to plants, with
significant differences among species and over time (Fig. 2). There was a significant
interaction between species and sampling date for shallow soil water ( $F_{25, 145} = 6.2, p$
<0.001), deep soil water ( $F_{25, 145} = 7.2$ , $p < 0.001$ ) and groundwater ( $F_{25, 145} = 3.4$ , $p < 0.001$ ).
Differences between species were only observed in late summer to early spring (August-
September), where there was a trend of increasing use of shallow soil water, in particular for
the shrubs C. albidus and R. officinalis, where shallow soil water contributed 92% and 96%
respectively to xylem water in late September (Fig. 2b). This is in contrast to <i>P. nigra</i> , where
shallow soil water only contributed 3% to xylem water during this period (Fig. 2a). Uptake of
groundwater resources was highest in early September, particularly for P. nigra (38%), A.
unedo (30%) and E. arborea (31%).

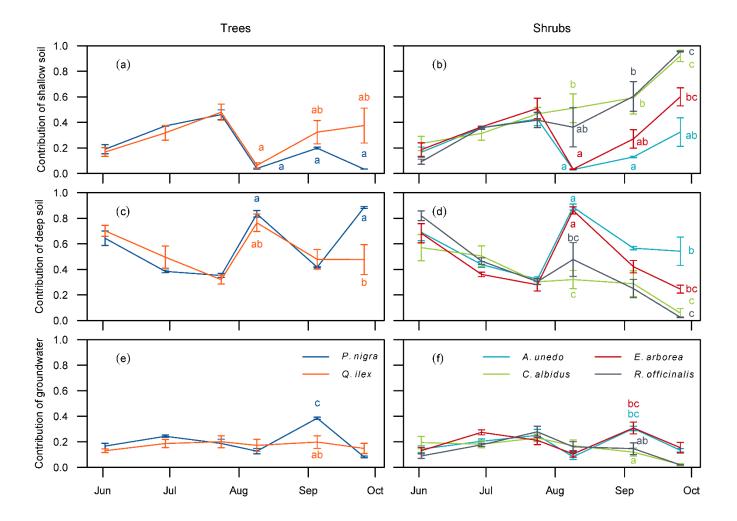
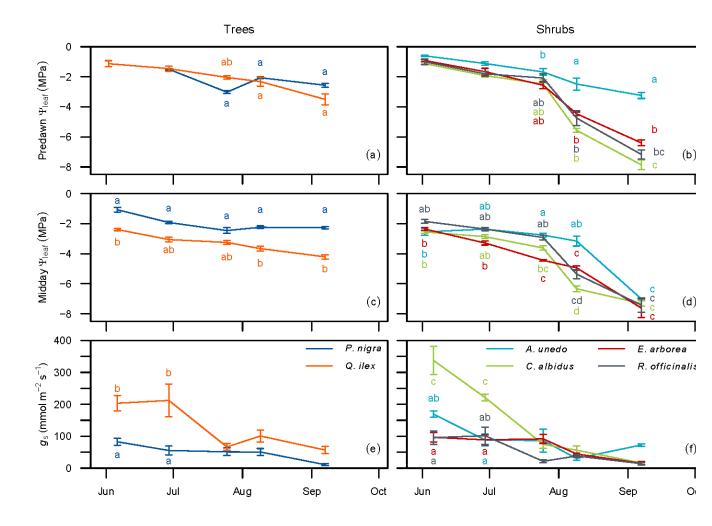


Fig. 2. Mean contributions of (a-b) shallow soil water, (c-d) deep soil water and (e-f) groundwater to plant xylem water,  $\pm 1$  SE. Differing letters above a sampling date indicate significant differences among species for that sampling date (p < 0.05). Note that these significant differences refer to all six species sampled.

#### 3.3 Leaf water potential and stomatal conductance

There were significant interactions between species and sampling date for predawn  $\Psi_{\text{leaf}}$  ( $F_{19}$ , 106 = 18.8, p < 0.001), midday  $\Psi_{\text{leaf}}$  ( $F_{20, 115} = 20.7$ , p < 0.001) and  $g_s$  ( $F_{20, 129} = 5.7$ , p < 0.001). Over time, the largest declines in predawn and midday  $\Psi_{\text{leaf}}$  were exhibited in the shrubs, compared to the trees (Fig. 3 a-d). Within the shrubs, there were no significant differences in minimum values of midday  $\Psi_{\text{leaf}}$  observed during the driest period (early September: -7.01 to -7.62 MPa). At this time, midday  $\Psi_{\text{leaf}}$  in Q. ilex was significantly higher (-4.21 MPa), and

midday  $\Psi_{\text{leaf}}$  in *P. nigra* was also significantly higher (-2.27 MPa). Despite these differences in  $\Psi_{\text{leaf}}$ , during this dry period there were no significant differences in  $g_s$  (Fig. 3e-f). There were however, differences in  $g_s$  during wet periods, with the largest  $g_s$  observed in one of the shrub species (*C. albidus*: 337.1 mmol m<sup>-2</sup> s<sup>-1</sup>). Instrument malfunction prevented us from conducting water potential and stomatal conductance measurements during the last sampling period. Because the last sampling period occurred after the onset of the fall rains, with the consequent rise in saturated LFMC for some species (see next section), it was not considered critical.

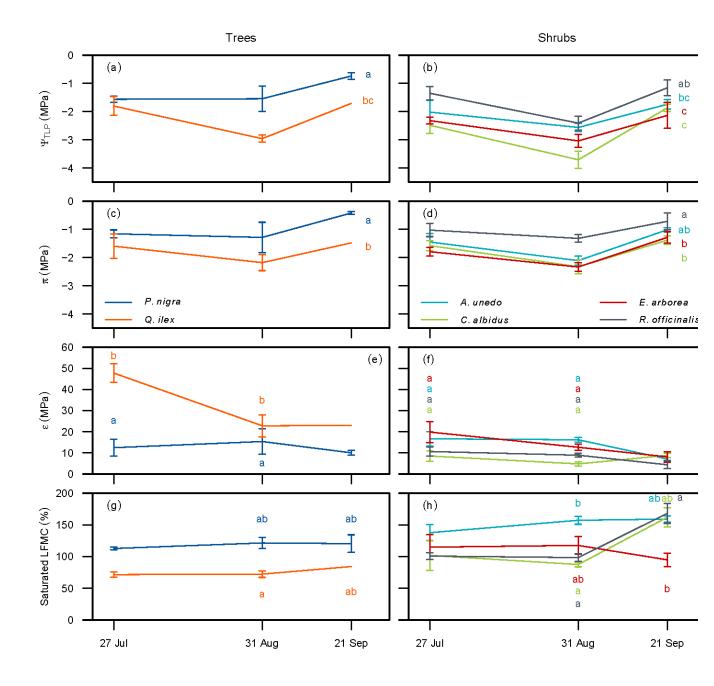


**Fig. 3.** Mean predawn leaf water potential  $(Y_{leaf})$  (a-b), midday  $Y_{leaf}$  (c-d) and stomatal conductance  $(g_s)$ ,  $\pm 1$  SE. Differing letters above a sampling date indicate significant differences among species, for that sampling date (p <0.05). Note, these significant differences refer to all six species sampled.

3.4 Osmotic and elastic adjustments (pressure-volume curves)

There was a significant difference in  $\Psi_{\text{TLP}}$  among species ( $F_{5,31} = 10.2, p < 0.001$ ) and over time  $(F_{2,31} = 24.1, p < 0.001)$ , with no interaction between species and time  $(F_{10,31} = 1.0, p$ =0.482). Across all sampling dates, the species with the highest  $\Psi_{TLP}$  were P. nigra (-1.6 to -0.7 MPa) and R. officinalis (-2.4 to -1.2 MPa), and the species with the lowest  $\Psi_{\text{TLP}}$  were C. albidus (-3.7 to -1.9 MPa) and E. arborea (-3.0 to -2.1 MPa; Figure 4a-b). Similarly, there was a significant difference in  $\pi$  among species ( $F_{5,31} = 6.8, p < 0.001$ ) and over time ( $F_{2,31} =$ 17.6, p < 0.001), with no interaction between species and time ( $F_{10.31} = 0.4$ , p = 0.954). Across all sampling dates, the species with the highest  $\pi$  were P. nigra (-1.3 to -0.4 MPa) and R. officinalis (-1.3 to -0.7 MPa), and the species with the lowest  $\pi$  were C. albidus, E. arborea and *Q. ilex* (-2.3 to -1.3 MPa; Figure 4c-d). 

There were significant interactions between species and sampling date for  $\varepsilon$  ( $F_{10,31}$  = 2.8, p =0.014). Among species, the largest  $\varepsilon$  was observed in Q. *ilex* during the wet sampling period (July 27: 47.7 MPa),  $\varepsilon$  then declined (to 22.8 MPa; Fig. 4e-f). The values of  $\varepsilon$  for Q. *ilex* in late July were abnormally high for unknown reasons. There were no further differences in  $\varepsilon$  among species at any sampling time. There were significant interactions between species and sampling date for saturated LFMC ( $F_{10,31}$  = 3.1, p =0.007; Figure 4g-h). The largest saturated LFMC tended to occur in A. *unedo* (138-159%) and P. *nigra* (113-122%), with the lowest values in Q. *ilex* (72-84%) and E. *arborea* (95-118%). The remaining two species showed relatively large seasonal variations in saturated LFMC: 88-162% for C. *albidus* and 98-168% for R. *officinalis*, with saturated LFMC increasing significantly following spring rain in early September.



**Fig. 4.** Mean turgor loss point  $(\Psi, a-b)$ , osmotic potential at full turgor  $(\pi, c-d)$ , bulk modulus of elasticity  $(\epsilon, e-f)$  and LFMC at saturation (g-h),  $\pm 1$  SE. Where there are letters above points (e-f), these indicate significant differences between species for a given date. Where there are letters to the right (a-d), these indicate significant differences between species across all sampling dates.

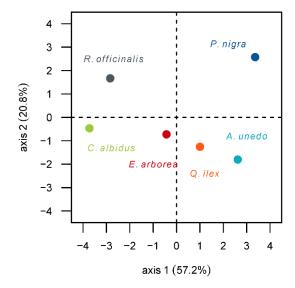
#### 3.5 Principal component analysis

Two principal component axes accounted for the majority of the variation among species, with axis one accounting for 57.3% and axis two accounting for 20.8% (Table 2). Axis one had strong loadings for most of the 12 traits included in the analysis, except for  $\pi$  and  $g_s$ . The strongest loadings for axis one were for predawn  $\Psi_{leaf}$ , the relative contribution of shallow soil water to xylem water, minimum LFMC and seasonal variation in LFMC. For axis two, the strongest loadings were for  $\pi$ ,  $g_s$  and  $\Psi_{TLP}$ . Axis three (which contributed to 13.9% of variance) had strong loadings for saturated LFMC, midday  $\Psi_{leaf}$  and  $\varepsilon$ . Along axis one P. *nigra* was most dissimilar to P. *nigra* and P. *nigra* was most dissimilar to P. *nigra* was

Table 2. Loading scores (correlations) of traits in the principal component analysis among six species. Traits are arranged in descending order of their loading on component 1.

Abbreviations:  $\Psi_{leaf}$  (leaf water potential), LFMC (live fuel moisture content), ε (bulk modulus of elasticity),  $\Psi_{TLP}$  (turgor loss point),  $g_s$  (stomatal conductance), π (osmotic potential at full turgor).

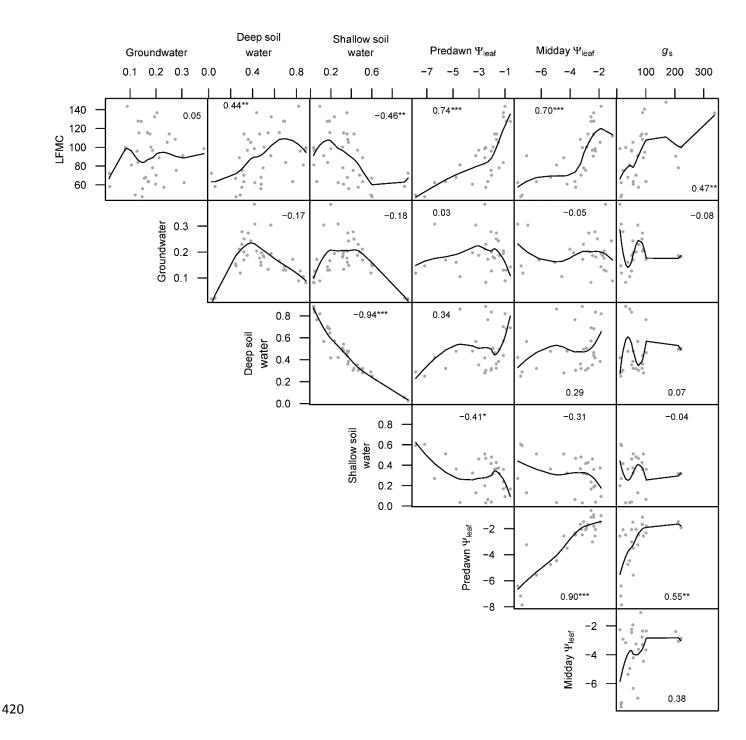
Variable	Component 1 (57.3% of variance)	Component 2 (20.8% of variance)	Component 3 (13.9% of variance)
Predawn Ψ <sub>leaf</sub>	-0.37	0.00	-0.15
Contribution of shallow soil	0.26	0.15	0.14
water	0.36	0.15	-0.14
Minimum LFMC	-0.34	0.08	0.28
Variation in LFMC	0.34	-0.06	0.29
Contribution of groundwater	-0.33	0.11	0.24
Contribution of deep soil water	-0.32	-0.35	0.03
ε	-0.29	-0.17	-0.38
$\Psi_{TLP}$	-0.26	0.43	0.08
$Midday \ \Psi_{leaf}$	-0.26	0.28	-0.42
Saturated LFMC	-0.21	-0.06	0.63
$g_{s}$	-0.18	-0.46	-0.07
π	-0.07	0.57	0.03



**Fig. 5**. Principal component analysis of twelve traits, incorporating live fuel moisture content, access to water, and ecophysiological traits for six species.

## 3.6 Developing a physiologically based LFMC model

Correlation analysis revealed that five of the six continuously measured traits (i.e. excluding pressure-volume curve parameters) were significantly correlated with LFMC (p <0.05; Fig. 6). The only trait not significantly correlated with LFMC was the relative contribution of groundwater to xylem water. Of the five traits significantly correlated with LFMC, there was significant collinearity (R >0.5) among many of the traits. Excluding traits with significant collinearity (and retaining the trait with the highest correlation with LFMC) left two traits for developing a physiologically based LFMC model. These were predawn  $\Psi_{\text{leaf}}$  and the relative contribution of shallow soil water to xylem water.



**Fig. 6.** Correlation matrix of LFMC and ecophysiological traits measured through time. Stars represent level of significance of the correlations (\* p <0.05, \*\* p <0.01, \*\*\* p <0.001)

Linear and multiple linear regressions of these two traits against LFMC resulted in significant models for all combinations of the traits (p < 0.05, Table 3). Of these models, the linear regression of predawn  $\Psi_{\text{leaf}}$  (log-10 transformed) had the lowest AIC (249) and highest

adj.  $R^2$  (0.63; Fig. 7a). Linear regression of the drought indices (KBDI and the drought code) against LFMC resulted in a poorer fit than the leaf water potential model (Table 3; AIC = 258 and adj.  $R^2 = 0.49$  for both models; Fig. 7b-c). 

 
 Table 3. Summary of LFMC models developed using physiological traits and drought indices (the Keetch-Byram
 Drought Index, KBDI, and the drought code in the Canadian Forest Fire Weather Index system).

Model	adj. $R^2$	Р	AIC
LFMC = $118.76 - 77.86 \log 10(-\Psi_{leaf})$	0.63	<0.001	249
LFMC = $122.68 - 73.80 \log 10(-\Psi_{leaf}) - 17.58$ (shallow soil	0.62	< 0.001	250
water)			
LFMC = 108.27 -54.89(shallow soil water)	0.19	0.005	335
LFMC = 125.08 - 0.61(KBDI)	0.49	< 0.001	258
LFMC = 143.82 -0.11(Drought code)	0.49	< 0.001	258

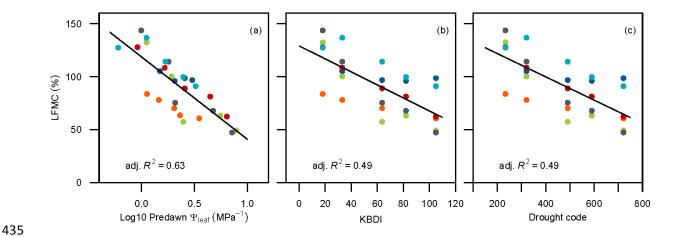


Fig. 7. Linear regression of seasonal live fuel moisture content (LFMC) as a function of (a) predawn leaf water potential ( $\Psi_{leaf}$ ), (b) the Keetch-Byram drought index (KBDI) and (c) the drought code in the Canadian Forest Fire Weather Index system. Different colours represent different species, as in previous figures. Data represent mean values of five plants at each of five sampling times.

#### 4. Discussion

Both seasonal variation and minimum values of LFMC were clearly a function of plant physiology in this Mediterranean forest ecosystem. We observed that (i) those species with the lowest minimum LFMC and largest seasonal variation in LFMC (*C. albidus* and *R. officinalis*) were most reliant on shallow soil water (Fig. 2) and had the lowest values of predawn  $\Psi_{leaf}$  (Fig. 3); and (ii) species with the smallest variation in LFMC (*P. nigra* and *Q. ilex*) exhibited relatively less variation in  $\Psi_{leaf}$  than co-occurring species (Fig. 4). Of the physiological traits measured, predawn  $\Psi_{leaf}$  provided the strongest predictor of LFMC, outperforming two drought indices commonly used in LFMC modelling (Table 3, Fig. 7). We have known for decades that  $\Psi_{leaf}$  and RWC are strongly related within one species (Scholander et al. 1965), but with important differences occurring across species (Bartlett et al. 2012, Ramírez et al. 2012). It is thus remarkable that a single regression line between predawn  $\Psi_{leaf}$  and LFMC across six different species, belonging to highly contrasting

functional and phylogenetic groups, already improved LFMC predictions beyond current approaches based on fire danger indices. Further research will be necessary to understand the generality of this claim, and we expect additional improvements in prediction may occur after considering species-specific responses. Overall, our findings demonstrate the importance of plant traits in driving LFMC dynamics and the potential for incorporating an ecophysiological approach to investigating forest flammability and live fuel moisture content.

# 4.1 What drives differences in live fuel moisture content dynamics in co-occurring species?

There are three inter-related physiological processes that we hypothesised would contribute to varying LFMC dynamics in co-occurring species: (i) differential access to soil water pools, (ii) stomatal sensitivity to water stress; and (iii) osmotic and elastic adjustments that take place in cells, leading to different water storage capacities. Our results provide support for all three hypotheses, which we now discuss in detail.

The pattern of access to soil water resources was similar across plant species until August, but important differences arose after that date. For the obligate seeding shrub species, C. albidus and R. officinalis, there was greater reliance on shallow soil moisture during periods of water stress compared to tree species (Fig. 2). We note that the apparent increasing reliance of shrubs on shallow soil water towards the end of the dry season, may be an artefact of isotopic enrichment due to declines in transpiration, and may not represent increased access to shallow soil water (Martin-Gomez et al., 2017). However, the large seasonal variability in LFMC and predawn  $\Psi_{leaf}$  observed in the shrub species is consistent with a large reliance on shallow soil water. Further, these results are consistent with previous LFMC observations in the Mediterranean Basin, where shrubs were observed to be more responsive

to seasonal dryness than trees (Viegas et al., 2001). For the tree species studied here, there was relatively little seasonal variation in LFMC and this was coincident with a relatively larger dependence on deep soil water. Finally, the resprouting shrub species, *A. unedo* and *E. arborea*, showed a dependence on shallow and deep water intermediate between that of the obligate seeder shrubs and the trees. The resprouting shrubs also exhibited an intermediate level of seasonal variation in LFMC (Fig. 2).

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The time at which water extraction patterns began to diverge across species (August) was coincident with marked declines in predawn  $\Psi_{leaf}$ . Those species which exhibited a large degree of variation in LFMC (shrubs), also exhibited a large degree of variation in  $\Psi_{leaf}$ . Changes in water availability also triggered differences in  $g_s$  among species. Across species  $g_s$  declined as  $\Psi_{\text{leaf}}$  declined (Fig. 3), indicating close connection between  $\Psi_{\text{leaf}}$  and  $g_s$ . For Q. ilex, there were only small declines in  $\Psi_{leaf}$  and LFMC following a large decline in  $g_s$  (from 212 to 57 mmol m-2 s-1). For the shrubs, large declines in  $\Psi_{leaf}$  and LFMC were still observed following  $g_s$  approaching zero (from mid-August to September). Continued declines in Ψ<sub>leaf</sub> may have been due to stomatal leakiness and/or cuticular conductance (Blackman et al., 2016, Martin-StPaul et al., 2017a). During drought periods  $\Psi_{leaf}$  is also likely to be affected by xylem cavitation, in addition to stomatal and cuticular conductance. For species with high vulnerability to cavitation, water supply to foliage may be disrupted earlier during drought (Choat et al., 2018), resulting in faster declines in LFMC. However, most species operate close to their hydraulic safety margins and may therefore be at similar risk of cavitation during drought (Choat et al., 2012). This is likely to be the case for the Mediterranean species studied here, with the trees exhibiting higher (less negative) values of minimum  $\Psi_{leaf}$  compared to the shrubs (Fig. 3c-d), and also generally exhibiting greater vulnerability to cavitation (Martin-StPaul et al., 2017a; Martin-StPaul et al., 2017b; Lens et

al., 2016). Leaf shedding strategies will also affect the moisture content of foliage (Choat et al., 2018) and the occurrence of dead fine fuels.

Differences in cell wall elasticity may also account for the different amplitudes of LFMC observed among species. High cell wall elasticity (i.e. low bulk modulus of elasticity) likely plays a role in preventing cell dehydration during drought (Bartlett et al., 2012). This is consistent with our observations of high cell wall elasticity in those species with large seasonal variation in LFMC, and low cell wall elasticity in Q. ilex, which had low seasonal variation in LFMC (Fig. 4e-f). The low LFMC observed in Q. ilex is likely a function of low saturated LFMC (72-84%), particularly in comparison to A. unedo (138-159%), although these differences were not significant. Leaf water storage has not been well studied compared to stem water storage, but is important in maintaining water supply to meet transpiration demand during periods of water stress (Himeno et al., 2017). Thus, the larger saturated LFMC of A. unedo foliage may particularly benefit this anisohydric species in maintaining water supply for transpiration during seasonal dry periods, which is not required for the relatively isohydric *Q. ilex*. The significant increase in saturated LFMC for the two obligate seeding shrubs (C. albidus and R. officinalis) at the end of the dry season (Figure 4h) may have been a consequence of new shoot production. Thus, understanding shoot phenology and the timing of the production of new shoots could also affect LFMC (Fares et al. 2017).

The seasonal changes in saturated LFMC observed here (Fig. 4g-h) may also contribute to differing relationships between LFMC and soil moisture content. In a study of Mediterranean Basin species, including four of the species studied here, Viegas et al. (2001) observed a different relationship between LFMC and the drought code during phases of soil wetting and drying. This is consistent with our results of larger saturated LFMC values at the end of the dry season.

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#### 4.2 Importance of plant functional type and ecological response to disturbance

Among species, the largest differences in LFMC were due to plant functional type, specifically, tree species had less seasonal variation in moisture content compared to shrub species (Fig. 1). Within tree species, ecological response to disturbance, i.e. whether the species was a resprouter or obligate seeder, did not affect seasonal variation in LFMC, with both species exhibiting similarly low variability (20 and 35%). However, within shrub species there was a trend of resprouting species exhibiting less variability (57 and 66%) in LFMC than the obligate seeders (88 and 97%). These differences are consistent with previous observations in the Mediterranean Basin, where relative water content was higher in resprouting species, suggesting greater water supply to resprouters compared to obligate seeders (Saura-Mas and Lloret, 2007). Importantly, these differences in LFMC variability did not translate to significant differences in minimum LFMC between resprouting and obligate seeding shrub species (Fig. 1c).

## 4. 3 Can plant physiological traits be incorporated into models of live fuel moisture

### content?

Our results clearly demonstrate that LFMC dynamics are not just a function of rainfall, with plant structural and physiological traits also affecting LFMC. In particular, we found that predawn  $\Psi_{leaf}$  provides a strong predictor of LFMC dynamics, as expected from the tight curvilinear relationship between  $\Psi_{leaf}$  and relative water content (Tyree and Hammel, 1972). Predawn  $\Psi_{leaf}$  generally reflects differences in root water potential, and hence soil water potential close to the roots (Hinckley et al., 1978). Thus, there is a mechanistic basis for why predawn  $\Psi_{leaf}$  would provide a better predictor of LFMC than drought indices, which do not account for gradients in moisture content with depth, and cannot account for differences in

rooting depth between co-occurring species. We note that comparing the predictive performance of  $\Psi_{leaf}$  against that of drought indices as performed here is not a fair comparison because, while  $\Psi_{leaf}$  was measured *in situ*, data for drought indices came from a meteorological station that was 5 km away. However, the correlations that we obtained between drought indices and LFMC are similar to those in other studies where both datasets were collected concurrently (Viegas et al., 2001). Thus, the correlations obtained here between  $\Psi_{leaf}$  and LFMC are higher than those obtained between drought indices and LFMC here or elsewhere (Castro et al., 2003; Dimitrskopoulos and Bemmerzouk, 2003; Pellizzaro et al., 2007; Viegas et al., 2001).

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Pre-dawn  $\Psi_{leaf}$  is co-ordinated with many of the plant traits that influence LFMC, including midday  $\Psi_{leaf}$  and stomatal conductance (Fig. 6). Thus, we suggest that predawn  $\Psi_{\text{leaf}}$  may provide improved predictions of LFMC than currently applied drought indices (e.g. the KBDI and drought code). The development of a single model of LFMC as a function of  $\Psi_{\text{leaf}}$  is desirable, because it avoids the need for species-specific calibration. However, incorporating inter-specific differences has the potential to improve LFMC predictions even further. In the Mediterranean species studied here we found that all species except *Q. ilex* exhibited statistically similar relationships between pre-dawn  $\Psi_{\text{leaf}}$  and LFMC (see supplementary material). For *O. ilex* the elevation of this regression was significantly lower, i.e. for a given value of  $\Psi_{leaf}$ , LFMC was lower. As previously discussed, the lower LFMC of O. ilex is likely a function of the lower saturated LFMC in this species. Leaf water potential is relatively quick to measure (minutes) in comparison to the time required for empirical LFMC measurements (48 hours), and may thus provide a useful tool for assessing fuel moisture content at small spatial scales, e.g. for management burns. Measuring  $\Psi_{leaf}$  at midday is more practical than at predawn, for instance, when one wants to get a quick estimate of LFMC before conducting a prescribed burn. However, for the purpose of

regional/continental LFMC modelling, predawn  $\Psi_{leaf}$  is desirable because, in principle, it is affected by less parameters than midday water potential and, consequently, it is easier to model.

We note that predawn  $\Psi_{leaf}$  is not solely a physiological trait *per se*. As noted above, it reflects the availability of water in the rhizosphere in the absence of significant nocturnal transpiration (Hinckley et al., 1978). Consequently, predawn  $\Psi_{leaf}$  results from the interaction between soil water availability at different depths and the root profile. The development of regional predictions in LFMC based upon predawn  $\Psi_{leaf}$  would thus depend on coupling a soil water balance model with information on rooting depths. There are more simplistic approaches that rely on incorporating climatic variables such as precipitation and potential evapotranspiration (Taylor et al., 2012). Further developments on regional predictions of predawn  $\Psi_{leaf}$  should thus be at the forefront of our research efforts to render superior LFMC modelling.

#### 4.4 Conclusions

We suggest that incorporating plant physiological parameters into LFMC modelling provides a way forward for improving estimates of LFMC. Here, we demonstrate that a simple parameter, predawn leaf water potential, can provide improved estimates of LFMC that account for the differing responses of co-occurring species to drought. Further investigation of the use of predawn leaf water potential, or other plant traits, in modelling LFMC is warranted. A challenge in developing a universal model of LFMC based on predawn leaf water potential will be accounting for differing rooting patterns between co-occurring species, particularly in ecosystems with deep soils. A further challenge is that not all species

maintain an equilibrium between pre-dawn and soil water potential, with solute accumulation 599 in the leaf and nocturnal transpiration modifying this relationship (Donovan et al., 2001). 600 601 Acknowledgements 602 This study was made possible thanks to the collaboration of and the staff from the Natural 603 Park of Poblet, P Sopeña, and the technical staff from MedForLab. This study was funded by 604 the Spanish Government (RYC-2012-10970, AGL2015-69151-R). R. H. Nolan was 605 supported with funding from the New South Wales Office of Environment and Heritage, via 606 the Bushfire Risk Management Research Hub. We benefitted from critical comments from J 607 Voltas, JM Moreno and L Serrano and instrument loans from R Savín. 608 609 References 610 Akaike, H., 1974. A new look at statistical model identification. IEEE Transactions on 611 Automatic Control, AC19(6): 716-723. 612 613 Barbeta, A. et al., 2015. The combined effects of a long-term experimental drought and an extreme drought on the use of plant-water sources in a Mediterranean forest. Global 614 Change Biol., 21(3): 1213-1225. 615 Bartlett, M.K., Scoffoni, C. and Sack, L., 2012. The determinants of leaf turgor loss point and 616 prediction of drought tolerance of species and biomes: a global meta-analysis. Ecol. 617 Lett., 15(5): 393-405. 618 Bell, T.L., Pate, J.S. and Dixon, K.W., 1996. Relationships between fire response, 619 morphology, root anatomy and starch distribution in south-west Australian 620 Epacridaceae. Ann. Bot., 77(4): 357-364. 621

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