

**THEOREM 1: PROOF FOR CYCLIC EXTENSIONS**

**Non-degeneracy of the trace in separable extensions.** In this section,  $\mathbf{k}$  may be either a finite field or an algebraic number field. (The result for finite fields is needed in the proof of proposition 4.7.)  $\mathbf{S}_{\mathbf{K}/\mathbf{k}}(xy)$  is a  $\mathbf{k}$ -bilinear form of  $\mathbf{K}$  represented by matrix  $\mathbf{S}_{ij} = \mathbf{S}_{\mathbf{K}/\mathbf{k}}(\alpha_i\alpha_j)$  with respect to basis  $\alpha_1, \dots, \alpha_n$  of  $\mathbf{K}$  over  $\mathbf{k}$ . If  $x = a_1\alpha_1 + \dots + a_n\alpha_n$  and  $y = b_1\alpha_1 + \dots + b_n\alpha_n$ , then

$$\begin{aligned} \mathbf{S}_{\mathbf{K}/\mathbf{k}}(xy) &= \mathbf{S}_{\mathbf{K}/\mathbf{k}}\left(\sum_{i=1}^n \sum_{j=1}^n a_i\alpha_i\alpha_j b_j\right) \\ &= \sum_{i=1}^n \sum_{j=1}^n a_i \mathbf{S}_{\mathbf{K}/\mathbf{k}}(\alpha_i\alpha_j) b_j = \sum_{i=1}^n \sum_{j=1}^n a_i \mathbf{S}_{ij} b_j = (\mathbf{X}^t)\mathbf{S}\mathbf{Y}. \end{aligned}$$

LEMMA 4.1. *If  $\mathbf{K}/\mathbf{k}$  is a finite normal separable extension with Galois group  $G = G(\mathbf{K} : \mathbf{k})$  then*

$$\mathbf{N}_{\mathbf{K}/\mathbf{k}}\alpha = \prod_{\sigma \in G} \alpha^\sigma \quad \text{and} \quad \mathbf{S}_{\mathbf{K}/\mathbf{k}}\alpha = \sum_{\sigma \in G} \alpha^\sigma.$$

PROOF. Let  $[\mathbf{k}(\alpha) : \mathbf{k}] = n$  and  $[\mathbf{K} : \mathbf{k}(\alpha)] = m$ . Let  $G$  be the Galois group of  $\mathbf{K}$  over  $\mathbf{k}$  and  $H$  be the subgroup of  $G$  that fixes  $\mathbf{k}(\alpha)$ . Let  $\{\rho_1, \dots, \rho_n\}$  be a set of representatives for the distinct right cosets of  $H$  in  $G$ . The minimum polynomial  $f(x) = x^n + a_1x^{n-1} + \dots + a_n$  of  $\alpha$  over  $\mathbf{k}$  has factorization  $(x - \alpha^{\rho_1}) \dots (x - \alpha^{\rho_n})$ , so  $a_1 = -\sum_{k=1}^n \alpha^{\rho_k}$  and  $a_n = (-1)^n \prod_{k=1}^n \alpha^{\rho_k}$ . The matrix representing  $T_\alpha$  as a linear transformation of  $\mathbf{k}(\alpha)$  with respect to basis  $1, \alpha, \dots, \alpha^{n-1}$  is

$$\mathbf{T} = \begin{pmatrix} 0 & 0 & \dots & 0 & -a^n \\ 1 & 0 & \dots & 0 & -a_{n-1} \\ 0 & 1 & \dots & 0 & -a_{n-2} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & -a_1 \end{pmatrix}$$

Then  $\mathbf{N}_{\mathbf{k}(\alpha)/\mathbf{k}}\alpha = \det(\mathbf{T}) = (-1)^{n+1}(-a_n) = \prod_{k=1}^n \alpha^{\rho_k}$  and  $\mathbf{S}_{\mathbf{k}(\alpha)/\mathbf{k}}\alpha = \text{trace}(\mathbf{T}) = -a_1 = \sum_{k=1}^n \alpha^{\rho_k}$ . We have

$$\begin{aligned}\mathbf{N}_{\mathbf{K}/\mathbf{k}}\alpha &= \mathbf{N}_{\mathbf{k}(\alpha)/\mathbf{k}}\mathbf{N}_{\mathbf{K}/\mathbf{k}(\alpha)}\alpha = \mathbf{N}_{\mathbf{k}(\alpha)/\mathbf{k}}\alpha^m, \\ \mathbf{S}_{\mathbf{K}/\mathbf{k}}\alpha &= \mathbf{S}_{\mathbf{k}(\alpha)/\mathbf{k}}\mathbf{S}_{\mathbf{K}/\mathbf{k}(\alpha)}\alpha = m\mathbf{S}_{\mathbf{k}(\alpha)/\mathbf{k}}\alpha.\end{aligned}$$

Let  $H = \{\tau_1, \dots, \tau^m\}$ . Then the  $nm$  products  $\tau_j\rho_k$  run over  $G$ . We have

$$\begin{aligned}\prod_{\sigma \in G} \alpha^\sigma &= \prod_{j=1}^m \prod_{k=1}^n \alpha^{\tau_j\rho_k} = \left( \prod_{k=1}^n \alpha^{\rho_k} \right)^m = \mathbf{N}_{\mathbf{K}/\mathbf{k}}\alpha \\ \sum_{\sigma \in G} \alpha^\sigma &= \sum_{j=1}^m \sum_{k=1}^n \alpha^{\tau_j\rho_k} = m \sum_{k=1}^n \alpha^{\rho_k} = \mathbf{S}_{\mathbf{K}/\mathbf{k}}\alpha.\end{aligned}$$

LEMMA 4.2. *If  $\mathbf{K}/\mathbf{k}$  is a finite normal separable extension then matrix  $\mathbf{S}$  is non-singular.*

PROOF. Let  $\{\sigma_1, \dots, \sigma_n\}$  be the automorphisms in Galois group  $G(\mathbf{K} : \mathbf{k})$ . By lemma 4.1,  $\mathbf{S}_{ij} = \sum_{k=1}^n \alpha_i^{\sigma_k} \alpha_j^{\sigma_k}$ , so  $\mathbf{S}_{ij} = \mathbf{A}\mathbf{A}^t$  where  $\mathbf{A}_{ik} = \alpha_i^{\sigma_k}$ . With respect to a simple basis  $\{1, \alpha, \alpha^2, \dots, \alpha^{n-1}\}$ ,  $\mathbf{A}$  has the form  $\mathbf{A}_{ik} = (\alpha^{\sigma_k})^{i-1}$ , which is a Vandermonde matrix  $V(\alpha^{\sigma_1}, \dots, \alpha^{\sigma_n})$ . There are  $n$  distinct conjugates of generator  $\alpha$ , so  $\mathbf{A}$  is non-singular and so is  $\mathbf{S}$ .

LEMMA 4.3. *Let  $\mathbf{K}$  be a finite normal extension  $\mathbf{k}$ . Matrix  $(\mathbf{S}_{ij})$  is non-singular if and only if for every non-zero element  $y$  of  $\mathbf{K}$  there exists an element  $x$  of  $\mathbf{K}$  so that  $\mathbf{S}_{\mathbf{K}/\mathbf{k}}(xy) \neq 0$ .*

PROOF.  $\mathbf{S}_{\mathbf{K}/\mathbf{k}}(xy) = (\mathbf{X}^t)\mathbf{S}\mathbf{Y}$ . Suppose  $\mathbf{S}$  non-singular. If  $y \neq 0$  then  $\mathbf{S}\mathbf{Y} \neq 0$ , so there is a vector  $\mathbf{X}$  so that  $(\mathbf{X}^t)\mathbf{S}\mathbf{Y} \neq 0$ . conversely, if  $\mathbf{S}$  is singular then  $\mathbf{S}\mathbf{Y} = 0$  for some non-zero  $y$ , and  $\mathbf{S}_{\mathbf{K}/\mathbf{k}}(xy) = 0$  for every  $x$  in  $\mathbf{K}$ .

PROPOSITION 4.4. *Let  $\mathbf{L}$  be a finite separable (not necessarily normal) extension of  $\mathbf{k}$ . Then the trace  $\mathbf{S}_{\mathbf{L}/\mathbf{k}}(xy)$  is non-degenerate: for every non-zero  $y$  in  $\mathbf{L}$  there is an  $x$  in  $\mathbf{L}$  so that  $\mathbf{S}_{\mathbf{L}/\mathbf{k}}(xy) \neq 0$ .*

PROOF. Let  $y$  be a non-zero element of  $\mathbf{L}$ . Then  $\mathbf{L}$  is contained in a finite normal extension  $\mathbf{K}$ , and

$$\mathbf{S}_{\mathbf{K}/\mathbf{k}}(xy) = \mathbf{S}_{\mathbf{L}/\mathbf{k}}(\mathbf{S}_{\mathbf{K}/\mathbf{L}}(xy)) = \mathbf{S}_{\mathbf{L}/\mathbf{k}}(\mathbf{S}_{\mathbf{K}/\mathbf{L}}(x)y).$$

Choose  $x$  in  $\mathbf{K}$  so that  $\mathbf{S}_{\mathbf{K}/\mathbf{k}}(xy) \neq 0$ . Then  $\mathbf{S}_{\mathbf{K}/\mathbf{L}}(x)$  is the desired element of  $\mathbf{L}$ .

REMARK. In lemma 4.5, let the images modulo  $\wp$  and  $p$  of elements  $\beta$  in  $\mathbf{O}_\wp$  and  $b$  in  $\mathfrak{o}_p$  be denoted by  $\bar{\beta}$  and  $\bar{b}$ , respectively.

LEMMA 4.5. *Suppose that  $p$ -adic extension  $\mathbf{K}_\varphi/\mathbf{k}_p$  is not ramified. Let  $F(q)$  denote finite field  $\mathfrak{o}_p/p$  where  $q = Np$ ; let  $F(q^f)$  denote finite field  $\mathbf{O}_\varphi/\varphi$  where  $q^f = N\varphi$ . Then*

$$\overline{\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p} \alpha} = \mathbf{N}_{F(q^f)/F(q)} \overline{\alpha} \quad \text{and} \quad \overline{\mathbf{S}_{\mathbf{K}_\varphi/\mathbf{k}_p} \alpha} = \mathbf{S}_{F(q^f)/F(q)} \overline{\alpha}.$$

PROOF. Choose  $w_1, \dots, w_f$  in  $\mathbf{O}_\varphi$  so that  $\overline{w_1}, \dots, \overline{w_f}$  is a basis for  $F(q^f)$  over  $F(q)$ . Let  $p = (\pi)$  for  $\pi \in \mathfrak{o}_p$ . Suppose  $a_1 w_1 + \dots + a_n w_n = 0$  with  $a_i \in \mathbf{k}_p$ . After multiplying by a power of  $\pi$ , we may take the coefficients  $a_i$  in  $\mathfrak{o}_p$ . Then each coefficient  $a_i$  is 0 modulo  $p$ , so  $a_i = \pi a'_i$  with  $a'_i$  in  $\mathfrak{o}_p$ . Dividing by  $\pi$ , we have  $a'_1 w_1 + \dots + a'_n w_n = 0$ . In this fashion we can show that each  $a_i$  is divisible by an arbitrarily large power of  $\pi$ , so each  $a_i = 0$  and  $w_1, \dots, w_f$  must be linearly independent over  $\mathbf{k}_p$ . We have  $[\mathbf{K}_\varphi/\mathbf{k}_p] = f$ , so  $w_1, \dots, w_f$  is a basis of  $\mathbf{K}_\varphi$  over  $\mathbf{k}_p$ . With respect basis  $w_1, \dots, w_f$ , let the matrix representing  $T_\alpha$  be  $(a_{ij})$ . With respect to basis  $\overline{w_1}, \dots, \overline{w_f}$ , the matrix representing  $\overline{T_\alpha}$  as a linear transformation of  $\mathbf{O}_\varphi/\varphi$  over  $\mathfrak{o}_p/p$  will be  $(\overline{a_{ij}})$ . We have  $\det(a_{ij}) = \det(\overline{a_{ij}})$  and  $\text{trace}(a_{ij}) = \text{trace}(\overline{a_{ij}})$ , which proves the lemma.

**Every unit is a norm in unramified  $p$ -adic extensions.** If  $\mathbf{K}/\mathbf{k}$  is a finite extension of algebraic numbers then  $\mathbf{O}_\varphi/\varphi$  is a finite field containing  $N\varphi$  elements;  $\mathfrak{o}_p/p$  is finite field containing  $Np$  elements. Let these finite fields be denoted by  $F(q^f)$  and  $F(q)$ , where  $q = Np$  and  $q^f = N\varphi$ .

LEMMA 4.6. *Every element in  $F(q)$  is the norm of an element in  $F(q^f)$ .*

PROOF. The Galois group of  $F(q^f)$  over  $F(q)$  is generated by  $\sigma$  where  $\alpha^\sigma = \alpha^q$ . Then

$$\mathbf{N}_{F(q^f)/F(q)}(\alpha) = \alpha \alpha^q \dots \alpha^{q^{n-1}} = \alpha^{1+q+\dots+q^{n-1}} = \alpha^{\left(\frac{q^n-1}{q-1}\right)}.$$

$\mathbf{N}_{F(q^f)/F(q)}(0) = 0$ , so we have to show that the  $q-1$  non-zero elements of  $F(q)$  are norms. Take  $\alpha$  to be a generator of  $F(q^f)^*$ . Then

$$\mathbf{N}_{F(q^f)/F(q)}(\alpha^u) = \alpha^{u \left(\frac{q^n-1}{q-1}\right)}.$$

For  $u = 0, 1, \dots, q-2$  we have  $0 \leq u(q^n-1)/(q-1) < q^n-1$ . Since  $\alpha$  has order  $q^n-1$ , there are  $q-1$  distinct values of  $\mathbf{N}_{F(q^f)/F(q)}(\alpha^u)$ .

PROPOSITION 4.7. *If  $\mathbf{K}_\varphi$  is an finite unramified extension of  $p$ -adic field  $\mathbf{k}_p$ , then every unit in  $\mathbf{k}_p$  is the norm of an element in  $\mathbf{K}_\varphi$ .*

PROOF. Let  $\beta$  be a unit in  $\mathbf{k}_p$ . By lemma 4.6, there is an  $\alpha_1$  in  $\mathbf{K}_\varphi$  so that  $\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p} \alpha_1 = \beta \pmod{p}$ . Suppose that we have already found  $\alpha_n$  in  $\mathbf{K}_\varphi$  so that

$\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p}\alpha_n = \beta(\text{mod } p^n)$ . Let  $p = (\pi)$ . The extension  $\mathbf{K}_\varphi/\mathbf{k}_p$  is not ramified, so  $p\mathbf{O}_\varphi = \wp$ , and  $\wp^n = \pi^n\mathbf{O}_\varphi$  for  $n \geq 0$ . Then  $(\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p}\alpha_n)^{-1}\beta = 1 + \delta\pi^n(\text{mod } p^{n+1})$ . Put  $\alpha_{n+1} = \alpha_n(1 + x\pi^n)$ . The condition  $\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p}\alpha_{n+1} = \beta(\text{mod } p^{n+1})$  will be satisfied if we can find  $x$  in  $\mathbf{K}_\varphi$  so that

$$(4.1) \quad \mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p}(1 + x\pi^n) = 1 + \delta\pi^n(\text{mod } p^{n+1}).$$

Let  $(x_{ij})$  be the matrix representing  $T_x$  in  $\mathbf{K}_\varphi$  over  $\mathbf{k}_p$  with respect to some basis. then the matrix representing  $T_{1+x\pi^n}$  is

$$\begin{pmatrix} 1 + x_{11}\pi^n & x_{12}\pi^n & \dots & x_{1f}\pi^n \\ x_{21}\pi^n & 1 + x_{22}\pi^n & \dots & x_{2f}\pi^n \\ \vdots & \vdots & \ddots & \vdots \\ x_{f1}\pi^n & x_{f2}\pi^n & \dots & 1 + x_{ff}\pi^n \end{pmatrix}.$$

We therefore have

$$\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p}(1 + x\pi^n) = 1 + (x_{11} + \dots + x_{ff})\pi^n = 1 + \pi^n \mathbf{S}_{\mathbf{K}_\varphi/\mathbf{k}_p}x(\text{mod } p^{n+1}).$$

Condition (4.1) is therefore

$$1 + \pi^n \mathbf{S}_{\mathbf{K}_\varphi/\mathbf{k}_p}x = 1 + \delta\pi^n(\text{mod } p^{n+1}),$$

or

$$\mathbf{S}_{\mathbf{K}_\varphi/\mathbf{k}_p}x = \delta(\text{mod } p).$$

By lemma 4.3, the trace  $\mathbf{S} : \mathbf{O}_\varphi/\wp \rightarrow \mathfrak{o}_p/p$  is non-degenerate; there exists an element  $\gamma \in \mathbf{O}_\varphi$  so that  $\mathbf{S}_{\mathbf{K}_\varphi/\mathbf{k}_p}\gamma = \epsilon \neq 0(\text{mod } p)$ . Then  $\mathbf{S}_{\mathbf{K}_\varphi/\mathbf{k}_p}\gamma\epsilon^{-1} = 1(\text{mod } p)$ , and  $\mathbf{S}_{\mathbf{K}_\varphi/\mathbf{k}_p}\gamma\epsilon^{-1}\delta = \delta(\text{mod } p)$ . Therefore  $\alpha_{n+1} = \alpha_n(1 + \gamma\epsilon^{-1}\delta\pi^n)$  satisfies (4.1). The sequence  $\{\alpha_n\}$  converges to a limit  $\alpha$  in  $\mathbf{K}_\varphi$  satisfying  $\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p}\alpha = \beta$ .

**Exponential and logarithm functions.** In the following discussion of exponential and logarithm functions, let  $\wp$  denote a prime of  $\mathbf{k}$  and  $(p) = \wp \cap \mathbf{Z}$  the rational prime that  $\wp$  divides, with  $p > 0$ .

LEMMA 4.8. *Let  $\wp$  be a finite prime of  $\mathbf{k}$ . The series*

$$(4.2) \quad \exp(x) = 1 + x + \frac{x^2}{2!} + \dots + \frac{x^k}{k!} + \dots$$

*converges for  $x$  in  $\mathbf{k}_\wp$  if  $\text{ord}_\wp(x) > \frac{b}{p-1}$  where  $b = \text{ord}_\wp(p)$ .*

PROOF. The series converges if and only if  $\lim_{k \rightarrow \infty} |x^k/k!|_\wp = 0$ . The exact power to which rational prime  $p$  divides  $k!$  is

$$\text{ord}_p(k!) = \left[ \frac{k}{p} \right] + \left[ \frac{k}{p^2} \right] + \left[ \frac{k}{p^3} \right] + \dots$$

Let  $k = a_0 + a_1p + a_2p^2 + \dots + a_rp^r$  where  $0 \leq a_i < p$ . Then

$$\begin{aligned} \left[ \frac{k}{p} \right] &= a_1 + a_2p + \dots + a_rp^{r-1} \\ \left[ \frac{k}{p^2} \right] &= a_2 + \dots + a_rp^{r-2} \\ &\vdots \end{aligned}$$

Summing each column, we have

$$\text{ord}_p(k!) = a_0 \frac{p^0 - 1}{p - 1} + a_1 \frac{p^1 - 1}{p - 1} + a_2 \frac{p^2 - 1}{p - 1} + \dots + a_r \frac{p^r - 1}{p - 1},$$

or

$$\text{ord}_p(k!) = \frac{k - (a_0 + a_1 + \dots + a_r)}{p - 1} \leq \frac{k - 1}{p - 1}.$$

Since  $b = \text{ord}_\varphi(p)$ , we have

$$(4.3) \quad \text{ord}_\varphi(k!) = b \left( \frac{k - (a_0 + a_1 + \dots + a_r)}{p - 1} \right) \leq b \left( \frac{k - 1}{p - 1} \right).$$

Then

$$\begin{aligned} \text{ord}_\varphi(x^k/k!) &= k \text{ord}_\varphi(x) - \text{ord}_\varphi(k!) \\ &\geq k \text{ord}_\varphi(x) - b \left( \frac{k - 1}{p - 1} \right) = k \left( \text{ord}_\varphi(x) - \frac{b}{p - 1} \right) + \frac{b}{p - 1}, \end{aligned}$$

so  $\text{ord}_\varphi(x^k/k!) \rightarrow \infty$  if  $\text{ord}_\varphi(x) - b/(p - 1) > 0$ .

LEMMA 4.9. *If  $\text{ord}_\varphi(x) > \frac{b}{p-1}$  then  $\text{ord}_\varphi(\exp(x) - 1) = \text{ord}_\varphi(x)$ .*

PROOF. We have

$$\exp(x) - 1 = x + \frac{x^2}{2!} + \dots + \frac{x^k}{k!} + \dots$$

We need to show  $|x^k/k!|_\varphi < |x|_\varphi$ , or  $|x^{k-1}/k!|_\varphi < 1$  for  $k \geq 2$ . We have  $\text{ord}_\varphi(k!) \leq b \left( \frac{k-1}{p-1} \right)$ , so if  $\text{ord}_\varphi(x) > \frac{b}{p-1}$  and  $k \geq 2$  then

$$\text{ord}_\varphi \left( \frac{x^{k-1}}{k!} \right) = (k-1) \text{ord}_\varphi(x) - \text{ord}_\varphi(k!) > (k-1) \frac{b}{p-1} - b \frac{k-1}{p-1} = 0.$$

LEMMA 4.10. *Let  $\wp$  be a finite prime of  $\mathbf{k}$ . The infinite series*

$$\log(1-x) = -x - \frac{x^2}{2} - \frac{x^3}{3} - \dots - \frac{x^k}{k} - \dots$$

*converges for  $x$  in  $\mathbf{k}_\wp$  if  $|x|_\wp < 1$ .*

PROOF. If  $\text{ord}_\wp(x) > 0$  we show that  $|x^k/k|_\wp \rightarrow 0$ , or  $k \text{ord}_\wp(x) - \text{ord}_\wp(k) \rightarrow \infty$ . Let  $k = up^v$  where  $(u, p) = 1$ . Then  $k = p^{\log_p(k)}$ , so  $\text{ord}_\wp(k) = bv \leq b \log_p(k)$ . If  $\text{ord}_\wp(x) > 0$  then for large  $k$  we have  $\frac{\log_p(k)}{k} < \frac{1}{2b} \text{ord}_\wp(x)$ , and

$$\begin{aligned} k \text{ord}_\wp(x) - \text{ord}_\wp(k) &= k \left( \text{ord}_\wp(x) - \frac{\text{ord}_\wp(k)}{k} \right) \\ &\geq k \left( \text{ord}_\wp(x) - \frac{b \log_p(k)}{k} \right) > \frac{k}{2} \text{ord}_\wp(x) \rightarrow \infty. \end{aligned}$$

LEMMA 4.11. *If  $\text{ord}_\wp(x) > \frac{b}{p-1}$  then  $\text{ord}_\wp(\log(1-x)) = \text{ord}_\wp(x)$ .*

PROOF. If  $\text{ord}_\wp(x) > \frac{b}{p-1}$ , we need to show

$$\left| \frac{x^2}{2} + \frac{x^3}{3} + \dots + \frac{x^k}{k} + \dots \right|_\wp < |x|_\wp.$$

It is enough to show  $|x^k/k|_\wp < |x|_\wp$ , or

$$k \text{ord}_\wp(x) - \text{ord}_\wp(k) > \text{ord}_\wp(x) \quad \text{for } k \geq 2.$$

Put  $k = up^v$ , where  $(u, p) = 1$ . We need  $up^v \text{ord}_\wp(x) - bv > \text{ord}_\wp(x)$ , or

$$(up^v - 1) \text{ord}_\wp(x) - bv > 0$$

Since  $u \geq 1$ , we need  $(p^v - 1) \text{ord}_\wp(x) - bv > 0$ , or

$$\left( \frac{p^v - 1}{p - 1} \right) \text{ord}_\wp(x) - \frac{bv}{p - 1} > 0.$$

If  $\text{ord}_\wp(x) > \frac{b}{p-1}$  then we need

$$\left( \frac{p^v - 1}{p - 1} \right) \left( \frac{b}{p - 1} \right) - \frac{bv}{p - 1} \geq 0,$$

or

$$\frac{p^v - 1}{p - 1} - v = (1 + p + \dots + p^{v-1}) - v \geq 0.$$

The last inequality is certainly valid, since  $p \geq 2$  and  $v \geq 0$ .

LEMMA 4.12. For  $s$  and  $t$  in  $\mathbf{k}_\varphi$ , if  $\text{ord}_\varphi(s) > \frac{b}{p-1}$  and  $\text{ord}_\varphi(t) > \frac{b}{p-1}$  then

$$\begin{aligned}\log((1-s)(1-t)) &= \log(1-s) + \log(1-t) \\ \exp(\log(1-s)) &= 1-s \\ \exp(s)\exp(t) &= \exp(s+t) \\ \log(\exp(s)) &= s\end{aligned}$$

PROOF. That each of the above series converges follows from the four previous lemmas.

LEMMA 4.13. If  $n > 0$  and  $\text{ord}_\varphi(n) = a$ , then every element in the set

$$\left\{ y \in \mathbf{k}_\varphi^* \mid \text{ord}_\varphi(y-1) > \frac{b}{p-1} + a \right\}$$

is the  $n$ -th power of an element in  $\left\{ x \in \mathbf{k}_\varphi^* \mid \text{ord}_\varphi(x-1) > \frac{b}{p-1} \right\}$ .

PROOF. If  $\text{ord}_\varphi(y-1) > b/(p-1) + a$  then  $\log(1 - (y-1)) = \log(y)$  is defined, and  $\text{ord}_\varphi(\log(y)) = \text{ord}_\varphi(y-1)$ . Then  $\text{ord}_\varphi(\log(y)/n) > b/(p-1)$ , so  $x = \exp(\log(y)/n)$  and  $\exp(\log(y))$  are defined. We have

$$x^n = \left( \exp\left(\frac{\log(y)}{n}\right) \right)^n = \exp(\log(y)) = y,$$

and

$$\text{ord}_\varphi(x-1) = \text{ord}_\varphi\left(\exp\left(\frac{\log(y)}{n}\right) - 1\right) = \text{ord}_\varphi\left(\frac{\log(y)}{n}\right) > \frac{b}{p-1}.$$

REMARK. We revert to the usual notation:  $p$  is a prime of  $\mathbf{k}$  and  $\varphi$  a prime of finite extension field  $\mathbf{K}$ .

LEMMA 4.14.  $\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p} \mathbf{K}_\varphi^*$  is an open subgroup of  $\mathbf{k}_p^*$ .

PROOF. Let  $[\mathbf{K}_\varphi : \mathbf{k}_p] = n$ . If  $\alpha$  is in  $\mathbf{k}_p^*$  then  $\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p} \alpha = \alpha^n$ , so Every  $n$ -th power of an element in  $\mathbf{k}_p^*$  is in  $\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p} \mathbf{K}_\varphi^*$ . If  $\text{ord}_p(n) = a$  then every element in open set  $\{\alpha \mid \text{ord}_p(\alpha-1) > \frac{b}{p-1} + a\}$  is an  $n$ -th power by lemma 4.13. Subgroup  $\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p} \mathbf{K}_\varphi^*$  contains an open set, so  $\mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p} \mathbf{K}_\varphi^*$  is open.

PROPOSITION 4.15. *If  $E$  is a finite set of primes of  $\mathbf{k}$  containing all infinite primes and all primes that are ramified in  $\mathbf{K}$ , then*

$$\mathbf{I}_{\mathbf{k}}\{E\}\mathbf{k}^*\mathbf{N}_{\mathbf{K}/\mathbf{k}}\mathbf{I}_{\mathbf{K}} = \mathbf{I}_{\mathbf{k}}.$$

PROOF. Given  $\mathbf{i}$  in  $\mathbf{I}_{\mathbf{k}}$ , let  $F$  be the set of prime for which  $|\mathbf{i}_p|_p \neq 1$ . By lemma 2.4, there exists an element  $\alpha$  in  $\mathbf{k}_p^*$  so that  $\alpha^{-1}\mathbf{i}_p$  is arbitrarily close to 1 at primes  $p$  in  $E \cup F$ . In particular, we want  $\alpha^{-1}\mathbf{i}_p \in \mathbf{N}_{\mathbf{K}_\varphi/\mathbf{k}_p}\mathbf{K}_\varphi^*$  for the finite primes in  $E \cup F$  and  $\alpha^{-1}\mathbf{i}_p \in \mathbf{R}^+$  for the real infinite primes of  $\mathbf{k}$ . Define  $\mathbf{i}_1$  and  $\mathbf{i}_2$  so that

$$\mathbf{i}_1 = \begin{cases} 1 & \text{for } p \notin E \cup F \\ \alpha^{-1}\mathbf{i}_p & \text{for } p \in E \cup F \end{cases} \quad \mathbf{i}_2 = \begin{cases} \alpha^{-1}\mathbf{i}_p & \text{for } p \notin E \cup F \\ 1 & \text{for } p \in E \cup F \end{cases}.$$

Then  $\mathbf{i} = \alpha\mathbf{i}_1\mathbf{i}_2$  where  $\alpha \in \mathbf{k}^*$ ,  $\mathbf{i}_1 \in \mathbf{N}_{\mathbf{K}/\mathbf{k}}\mathbf{I}_{\mathbf{K}}$ , and  $\mathbf{i}_2 \in \mathbf{I}_{\mathbf{k}}\{E \cup F\} \subset \mathbf{I}_{\mathbf{k}}\{E\}$ .

**Two number-theoretic lemmas.** Put  $T_r = (a^{v^r} - 1)/(a^{v^{r-1}} - 1)$ , where  $r > 0$ ,  $a > 1$ ,  $v > 1$ . We have

$$\begin{aligned} a^{v^r} - 1 &= \left( (a^{v^{r-1}} - 1) + 1 \right)^v - 1 \\ &= (a^{v^{r-1}} - 1)^v + \cdots + \binom{k}{v} (a^{v^{r-1}} - 1)^k + \cdots + v (a^{v^{r-1}} - 1) \end{aligned}$$

$$(4.4) \quad T_r = (a^{v^{r-1}} - 1)^{v-1} + v (a^{v^{r-1}} - 1)^{v-2} + \cdots + v$$

LEMMA 4.16. *If  $r > 0$ ,  $a > 1$ , and  $v$  is prime then*

- (1) *if  $q$  is a prime so that  $q|T_r$  and  $q|(a^{v^{r-1}} - 1)$  then  $q = v$ ,*
- (2) *if  $v|T_r$  then  $v|(a^{v^{r-1}} - 1)$ ,*
- (3) *if  $v > 2$  or  $r > 1$  then  $T_r \not\equiv 0 \pmod{v^2}$ .*

PROOF. (1) If  $q|T_r$  and  $q|(a^{v^{r-1}} - 1)$  then by (4.4),  $q$  must divide  $v$ , so  $q = v$ .  
(2) If  $v|T_r$  then  $v$  divides every term of (4.4) except possibly  $(a^{v^{r-1}} - 1)^{v-1}$ , so  $v$  divides that term too. Therefore  $v$  divides  $a^{v^{r-1}} - 1$ .  
(3) Assume  $T_r \equiv 0 \pmod{v^2}$ . Then  $v$  divides  $a^{v^{r-1}} - 1$  by (2). If  $v > 2$  then  $v^2$  divides every term of (4.4) except  $v$ ; then  $v^2$  cannot divide  $T_r$ , so  $v > 2$  is impossible. If  $r > 1$  then (since  $v = 2$ ) we have  $T_r = (a^{2^{r-1}} - 1) + 2$ . If  $a$  is even then  $T_r$  is odd (impossible), so  $a$  is odd.  $a^{2^{r-1}}$  is a square so  $a^{2^{r-1}} \equiv 1 \pmod{4}$  and  $T_r \equiv 2 \pmod{4}$  (impossible). It must be that  $r = 1$ .

LEMMA 4.17. *Given positive integers  $m$ ,  $a$ , and prime power  $v^h > 1$ , we can find prime  $q$  not dividing  $am$  so that the order of  $a$  modulo  $q$  is  $v^l$  where  $l \geq h$ .*

PROOF. Let  $q_1, \dots, q_s$  be the primes dividing  $m$ . If  $q_i$  divides some  $a^{v^r} - 1$  then let  $q_i$  divide  $a^{v^{r_i}} - 1$ . Take  $r_0$  greater than  $h$  and also greater than any of the  $r_i$  that are defined. We claim that there is a prime  $q$  dividing  $T_{r_0}$  so that  $q$  is not equal to  $v$  or any of the  $q_i$ . Then  $q$  also divides  $a^{v^{r_0}} - 1$ , so  $a^{v^{r_0}} \equiv 1 \pmod{q}$ . If  $a^{v^{r_0-1}} \equiv 1 \pmod{q}$  then by (4.4) we would have  $T_{r_0} \equiv v \pmod{q}$  (impossible). Therefore the order of  $a$  modulo  $q$  is  $v^{r_0}$ , which is greater than  $v^h$ .

We need to show how to find  $q$ . By (4.4) we must have  $T_{r_0} > v$ . If  $T_{r_0}$  were a power of  $v$  then by (3) of lemma 4.16 we would have  $r_0 = 1$ . But  $r_0$  was chosen greater than 1, so  $T_{r_0}$  has some prime divisor  $q$  that is not  $v$ . Then  $q$  divides  $a^{v^{r_0}} - 1$ . Suppose that  $q = q_i$ . Since  $q_i$  divides  $a^{v^{r_i}} - 1$  and  $r_i < r_0$ , then  $q_i$  would divide  $a^{v^{r_0-1}} - 1$ . By (1) of lemma 4.16,  $q_i = v$  (impossible). Therefore  $q \neq q_i$ .

### Existence of cyclic extensions with given properties.

PROPOSITION 4.18. *Let finite prime  $p$  of  $\mathbf{Q}$ , finite extension  $\mathbf{T}$  of  $\mathbf{Q}$ , and prime power  $v^h > 1$  be given. Then there exists a cyclic extension  $\mathbf{Z}$  of  $\mathbf{Q}$  so that*

- (1)  $\mathbf{Z}$  is contained in a cyclotomic extension of  $\mathbf{Q}$ ,
- (2)  $p$  is not ramified in  $\mathbf{Z}$ ,
- (3) Artin symbol  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)$  has order  $v^h$ ,
- (4)  $\mathbf{Z} \cap \mathbf{T} = \mathbf{Q}$ , and
- (5)  $[\mathbf{Z}:\mathbf{Q}]$  is a power of  $v$  and  $[\mathbf{Z}:\mathbf{Q}] \geq v^h$ .

PROOF. Look at all of the fields  $\mathbf{Q}(\zeta_m) \cap \mathbf{T}$ ; choose  $m_0$  so that  $[\mathbf{Q}(\zeta_{m_0}) \cap \mathbf{T}:\mathbf{Q}]$  is maximum. We first want to show that if  $m$  is relatively prime to  $m_0$  then  $\mathbf{Q}(\zeta_m) \cap \mathbf{T} = \mathbf{Q}$ . We have  $\mathbf{Q}(\zeta_m) \cap \mathbf{T} \subset \mathbf{Q}(\zeta_{mm_0}) \cap \mathbf{T}$ . Also,  $\mathbf{Q}(\zeta_{m_0}) \cap \mathbf{T} \subset \mathbf{Q}(\zeta_{mm_0}) \cap \mathbf{T}$ , but by the choice of  $m_0$ , we must have  $\mathbf{Q}(\zeta_{m_0}) \cap \mathbf{T} = \mathbf{Q}(\zeta_{mm_0}) \cap \mathbf{T}$ . Therefore  $\mathbf{Q}(\zeta_m) \cap \mathbf{T} \subset \mathbf{Q}(\zeta_m) \cap \mathbf{Q}(\zeta_{m_0}) = \mathbf{Q}$  as claimed.

By lemma 4.17, given  $m_0$ ,  $p$ , and  $v^h$ , we can find prime  $q$  relatively prime to  $p$  and  $m_0$  so that the order of  $p$  modulo  $q$  is  $v^l$  and  $l \geq h$ . Let  $\mathbf{k} = \mathbf{Q}(\zeta_q)$ , a cyclic extension with Galois group isomorphic to  $\mathbf{Z}_q^*$ . By lemma 3.2 we have  $\left(\frac{\mathbf{k}:\mathbf{Q}}{p}\right) \zeta = \zeta^p$ . The order of  $\left(\frac{\mathbf{k}:\mathbf{Q}}{p}\right)$  is the order of  $p$  modulo  $q$ , which is  $v^l$ . Let  $\sigma$  be a generator of  $G = G(\mathbf{k}:\mathbf{Q})$ ; the order of  $\sigma$  is  $q-1$ . Then  $\left(\frac{\mathbf{k}:\mathbf{Q}}{p}\right) = \sigma^{rv^k}$ , where  $v$  does not divide  $r$ . Since  $\sigma^{rv^{k+l}} = \left(\frac{\mathbf{k}:\mathbf{Q}}{p}\right)^{v^l} = 1$ , and  $v^{k+l}$  is the smallest power for which this is true, it follows that  $v^{k+l}$  is the exact power of  $v$  dividing  $q-1$ .

Take  $\mathbf{Z}$  to be the fixed field of the subgroup  $H$  generated by  $\sigma^{v^{k+h}}$ . By lemma 2.13,  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right) = \sigma^{rv^k}$ . Then  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)^{v^h} = \sigma^{rv^{k+h}} \in H$ . Therefore  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)^{v^h} = 1$ . If

$j < h$  then  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)^{v^j} = \sigma^{rv^{k+j}} \notin \langle \sigma^{v^{k+h}} \rangle$ , so  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)^{v^j} \neq 1$ ; therefore  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)$  is order  $v^h$ .

We have (1)  $\mathbf{Z}$  is contained in  $\mathbf{Q}(\zeta_q)$ , (2)  $p$  does not divide  $q$  and so is not ramified in  $\mathbf{Z}$ , (3) Artin symbol  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)$  has order  $v^h$ , (4)  $\mathbf{Z} \cap \mathbf{T} \subset \mathbf{Q}(\zeta_q) \cap T \subset \mathbf{Q}$ , and (5)  $[\mathbf{Z} : \mathbf{Q}] = [G : H] = [\langle \sigma \rangle : \langle \sigma^{v^{k+h}} \rangle] = v^{k+h}$ .

REMARK. It is possible to choose the roots of unity so that  $\zeta_{mn}^n = \zeta_m$ . (Choose an embedding of the algebraic closure of  $\mathbf{Q}$  into the complex field such that  $\zeta_n$  is mapped to  $e^{2\pi i/n}$  for each  $n > 1$ .) This relation will simplify the proof of proposition 4.19.

LEMMA 4.19. *If  $(n, m)$  is the greatest common divisor of  $n$  and  $m$  then*

$$\mathbf{Q}(\zeta_n)\mathbf{Q}(\zeta_m) = \mathbf{Q}(\zeta_{nm/(n,m)}).$$

PROOF. There exists integers  $u$  and  $v$  so that  $un + vm = (n, m)$ , and we have  $\zeta_m^u \zeta_n^v = \zeta_{nm}^{un+mv} = \zeta_{nm}^{(n,m)} = \zeta_{nm/(n,m)}$ , so  $\mathbf{Q}(\zeta_{nm/(n,m)})$  is contained in  $\mathbf{Q}(\zeta_n)\mathbf{Q}(\zeta_m)$ . Since  $\zeta_{mn/(n,m)}^n = \zeta_m$  and  $\zeta_{mn/(n,m)}^m = \zeta_n$  we also have  $\mathbf{Q}(\zeta_n)\mathbf{Q}(\zeta_m)$  contained in  $\mathbf{Q}(\zeta_{nm/(n,m)})$ . Therefore  $\mathbf{Q}(\zeta_n)\mathbf{Q}(\zeta_m) = \mathbf{Q}(\zeta_{nm/(n,m)})$ .

PROPOSITION 4.20. *Let finite prime  $p$  of  $\mathbf{Q}$ , finite extension  $\mathbf{T}$  of  $\mathbf{Q}$ , and positive integer  $n$  be given. Then there exists a cyclic extension  $\mathbf{Z}$  of  $\mathbf{Q}$  so that*

- (1)  $\mathbf{Z}$  is contained in a cyclotomic extension of  $\mathbf{Q}$ .
- (2)  $p$  is not ramified in  $\mathbf{Z}$ ,
- (3) Artin symbol  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)$  has order  $n$ ,
- (4)  $\mathbf{Z} \cap \mathbf{T} = \mathbf{Q}$ ,
- (5)  $n$  divides  $[\mathbf{Z} : \mathbf{Q}]$ , and the only primes dividing  $[\mathbf{Z} : \mathbf{Q}]$  are those dividing  $n$ .

PROOF. If  $n$  is a prime power then proposition 4.20 reduces to proposition 4.18. Suppose that the conclusion of Proposition 4.20 holds for relatively prime  $n_1$  and  $n_2$ . We must show that the conclusion holds for  $n_1 n_2$ . Let  $\mathbf{Z}_1 = \mathbf{Z}(p, n_1, \mathbf{T})$  satisfy the conclusion for  $n_1$ , and let  $\mathbf{Z}_2 = \mathbf{Z}(p, n_2, \mathbf{Z}_1 \mathbf{T})$  satisfy the conclusion for  $n_2$ .

Choose  $\mathbf{Z}$  to be  $\mathbf{Z}_1 \mathbf{Z}_2$ . Then  $\mathbf{Z}_1$  is contained in  $\mathbf{Q}(\zeta_{m_1})$  and  $\mathbf{Z}_2$  is contained in  $\mathbf{Q}(\zeta_{m_2})$ . By lemma 4.19,  $\mathbf{Z}$  is contained in  $\mathbf{Q}(\zeta_m)$ , where  $m$  is the least common multiple of  $m_1$  and  $m_2$ , showing (1).  $p$  is not ramified in  $\mathbf{Z}_1$ , so any prime of  $\mathbf{Z}_2$  dividing  $p$  is not ramified in  $\mathbf{Z}_1 \mathbf{Z}_2 / \mathbf{Z}_2$  by lemma 2.16. Since  $p$  is not ramified in  $\mathbf{Z}_2 / \mathbf{Q}$  then  $p$  is not ramified in  $\mathbf{Z}_1 \mathbf{Z}_2 / \mathbf{Q}$ , showing (2).

We must that  $\mathbf{Z}/\mathbf{Q}$  is cyclic. We have  $\mathbf{Z}_1 \cap \mathbf{Z}_2 \subset \mathbf{Z}_1 \mathbf{T} \cap \mathbf{Z}_2 = \mathbf{Q}$ . Therefore by lemmas 2.10 and 2.11, we have  $G(\mathbf{Z}_1 \mathbf{Z}_2 : \mathbf{Q}) = \mathbf{G}(\mathbf{Z}_1 : \mathbf{Q}) \times \mathbf{G}(\mathbf{Z}_2 : \mathbf{Q})$ . Let cyclic group  $\mathbf{G}(\mathbf{Z}_1 : \mathbf{Q})$  of order  $r_1$  be generated by  $\sigma_1$ , and let cyclic group  $\mathbf{G}(\mathbf{Z}_2 : \mathbf{Q})$

of order  $r_2$  be generated by  $\sigma_2$ . The only primes dividing  $r_1$  are those dividing  $n_1$ , and the only primes dividing  $r_2$  are those dividing  $n_2$ . Then  $r_1$  and  $r_2$  are relatively prime, and the order of  $(\sigma_1, \sigma_2)$  must be  $r_1 r_2$ . The isomorphism corresponding to  $(\sigma_1, \sigma_2)$  generates  $G(\mathbf{Z}_1 \mathbf{Z}_2 : \mathbf{Q})$ , so  $\mathbf{Z}/\mathbf{Q}$  is cyclic of degree  $r_1 r_2$ , and the only primes dividing  $[\mathbf{Z} : \mathbf{Q}]$  are those dividing  $n_1 n_2$  showing (5).

Artin symbol  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)$  corresponds to the pair  $\left(\left(\frac{\mathbf{Z}_1:\mathbf{Q}}{p}\right), \left(\frac{\mathbf{Z}_2:\mathbf{Q}}{p}\right)\right)$  by the corollary to lemma 2.13. These Artin symbols for  $\mathbf{Z}_1$  and  $\mathbf{Z}_2$  have orders  $n_1$  and  $n_2$ , respectively. Therefore  $\left(\frac{\mathbf{Z}:\mathbf{Q}}{p}\right)$  has order  $n_1 n_2$ , showing (3). Finally,  $[\mathbf{Z}_1 \mathbf{Z}_2 \mathbf{T} : \mathbf{Z}_2] = [\mathbf{Z}_1 \mathbf{T} : \mathbf{Z}_2 \cap \mathbf{Z}_1 \mathbf{T}] = [\mathbf{Z}_1 \mathbf{T} : \mathbf{Q}]$ , so  $[\mathbf{Z}_1 \mathbf{Z}_2 \mathbf{T} : \mathbf{Z}_2][\mathbf{Z}_2 : \mathbf{Q}] = [\mathbf{Z}_1 \mathbf{T} : \mathbf{Q}][\mathbf{Z}_2 : \mathbf{Q}]$ . Therefore  $[\mathbf{Z}_1 \mathbf{Z}_2 \mathbf{T} : \mathbf{Q}] = [\mathbf{Z}_1 \mathbf{T} : \mathbf{Q}][\mathbf{Z}_2 : \mathbf{Q}]$ . By lemma 2.10, it follows that  $\mathbf{Z}_1 \mathbf{Z}_2 \cap \mathbf{T} = \mathbf{Q}$ , showing (4).

**PROPOSITION 4.21.** *Let  $\mathbf{k}$  be a finite extension of  $\mathbf{Q}$ . Let finite prime  $\wp$  of  $\mathbf{k}$ , finite extension  $\mathbf{T}$  of  $\mathbf{k}$ , and positive integer  $n$  be given. Then there exists a cyclic extension  $\mathbf{Z}$  of  $\mathbf{k}$  so that*

- (1)  $\mathbf{Z}$  is contained in a cyclotomic extension of  $\mathbf{k}$ .
- (2)  $\wp$  is not ramified in  $\mathbf{Z}$ ,
- (3) Artin symbol  $\left(\frac{\mathbf{Z}:\mathbf{k}}{\wp}\right)$  has order  $n$ ,
- (4)  $\mathbf{Z} \cap \mathbf{T} = \mathbf{k}$ ,
- (5)  $n$  divides  $[\mathbf{Z} : \mathbf{k}]$ .

**PROOF.** Let  $(p)$  be the prime of  $\mathbf{Q}$  that  $\wp$  divides; let  $N_\wp = p^f$ . Let  $\mathbf{Z}'$  be the cyclic extension of  $\mathbf{Q}$  satisfying the conclusion of proposition 4.20 for  $p$ ,  $nf$  and  $\mathbf{T}$ . Take  $\mathbf{Z} = \mathbf{Z}'\mathbf{k}$ . Since  $\mathbf{Z}' \subset \mathbf{Q}(\zeta_m)$ , we have  $\mathbf{Z} \subset \mathbf{k}(\zeta_m)$ , showing (1). Since  $p$  is not ramified in  $\mathbf{Z}'$  then  $\wp$  is not ramified in  $\mathbf{Z}$  by lemma 2.16, showing (2). Artin symbol  $\left(\frac{\mathbf{Z}':\mathbf{Q}}{p}\right)$  has order  $nf$ , and by lemma 2.16 we have  $\left(\frac{\mathbf{Z}:\mathbf{k}}{\wp}\right) = \left(\frac{\mathbf{Z}':\mathbf{Q}}{p}\right)^f$ . Therefore  $\left(\frac{\mathbf{Z}:\mathbf{k}}{\wp}\right)$  has order  $n$ , showing (3).

We want to show  $\mathbf{Z} \cap \mathbf{T} = \mathbf{k}$ . We have

$$[\mathbf{ZT} : \mathbf{T}] = [\mathbf{Z}'\mathbf{T} : \mathbf{T}] = [\mathbf{Z}' : \mathbf{Z}' \cap \mathbf{T}] = [\mathbf{Z}' : \mathbf{Q}] \geq [\mathbf{Z}'\mathbf{k} : \mathbf{k}] = [\mathbf{Z} : \mathbf{k}] \geq [\mathbf{ZT} : \mathbf{T}].$$

Therefore  $[\mathbf{Z} : \mathbf{k}] = [\mathbf{ZT} : \mathbf{T}] = [\mathbf{Z} : \mathbf{Z} \cap \mathbf{T}]$  so  $\mathbf{k} = \mathbf{T} \cap \mathbf{Z}$ , showing (4). Finally,  $G(\mathbf{Z} : \mathbf{k})$  contains an element of order  $n$  by (3), so  $n$  divides  $[\mathbf{Z} : \mathbf{k}]$ , showing (5).

**PROPOSITION 4.22.** *If  $\mathbf{K}_1\mathbf{k}$  is a finite abelian extension and Theorem 1 holds for  $\mathbf{K}_1/\mathbf{k}$ , then Theorem 1 holds for any extension  $\mathbf{K}_2/\mathbf{k}$  such that  $\mathbf{K}_1 \supset \mathbf{K}_2 \supset \mathbf{k}$ .*

**PROOF.** Theorem 1 holds for  $\mathbf{K}_2/\mathbf{k}$  if and only if  $\phi_{\mathbf{K}_2/\mathbf{k}}$  of (2.1) can be extended onto  $\mathbf{I}_{\mathbf{k}}$  so that the kernel contains  $\mathbf{k}^*$ . The restriction of  $\phi_{\mathbf{K}_1/\mathbf{k}}(\mathbf{i})$  as defined by (2.1) to  $\mathbf{K}_2$  coincides with  $\phi_{\mathbf{K}_2/\mathbf{k}}(\mathbf{i})$  for  $\mathbf{i} \in \mathbf{I}_{\mathbf{k}}\{E\}$ . Since  $\phi_{\mathbf{K}_1/\mathbf{k}}$  can be extended to

all of  $\mathbf{I}_k$  so that the kernel contains  $\mathbf{k}^*$ , we may define  $\phi_{\mathbf{K}_2/\mathbf{k}}(\mathbf{i})$  for  $\mathbf{I} \in \mathbf{I}_k$  to be the restriction of  $\phi_{\mathbf{K}_1/\mathbf{k}}(\mathbf{i})$  to  $\mathbf{K}_2$ .

**REMARK.** The cyclic extension  $\mathbf{Z}/\mathbf{k}$  guaranteed by proposition 4.21 is contained in a cyclotomic extension of  $\mathbf{k}$ . Since we have proved Theorem 1 for cyclotomic extensions, then Theorem 1 holds for the extensions  $\mathbf{Z} = \mathbf{Z}(p, n, \mathbf{T})/\mathbf{k}$ .

**Proof of theorem 1 for cyclic extensions.** Let  $\mathbf{K}/\mathbf{k}$  be a cyclic extension of degree  $n$ , and let  $\sigma_0$  be a generator of  $G(\mathbf{K} : \mathbf{k})$ . There is an isomorphism  $\chi : G(\mathbf{K} : \mathbf{k}) \rightarrow \mathbf{C}$  to  $n$ -th roots of unity in defined by

$$\chi(\sigma_0^x) = \exp\left(\frac{2\pi i x}{n}\right).$$

By the first and second fundamental inequalities (to be proved in chapters 7 and 8), we have  $[\mathbf{I}_k : \mathbf{k}^* \mathbf{N}_{\mathbf{K}/\mathbf{k}} \mathbf{I}_k] = n$ . Finite abelian group  $\mathbf{I}_k / (\mathbf{k}^* \mathbf{N}_{\mathbf{K}/\mathbf{k}} \mathbf{I}_k)$  is a direct product of cyclic groups

$$\frac{\mathbf{I}_k}{\mathbf{k}^* \mathbf{N}_{\mathbf{K}/\mathbf{k}} \mathbf{I}_k} = \mathbf{H}_1 \times \cdots \times \mathbf{H}_r,$$

where  $\mathbf{H}_k$  is a cyclic group of order  $n_k$  generated by  $h_k$ . Every element of the quotient group can be written as a product

$$h_1^{x_1} \cdots h_r^{x_r} \text{ where } 0 \leq x_k < n_k.$$

For each  $r$ -tuple  $\omega = (\omega_1, \dots, \omega_r)$  with  $0 \leq \omega_k < n_k$ , there is a homomorphism  $\chi_\omega : \mathbf{H}_1 \times \cdots \times \mathbf{H}_r \rightarrow \mathbf{C}$  defined by

$$\chi_\omega(h_1^{x_1} \cdots h_r^{x_r}) = \exp\left(\frac{2\pi i \omega_1 x_1}{n_1}\right) \cdots \exp\left(\frac{2\pi i \omega_r x_r}{n_r}\right).$$

The number of homomorphisms  $\chi_\omega$  is  $n$ . Each homomorphism uniquely determines the  $r$ -tuple  $\omega$  because the image  $\exp(2\pi i \omega_k / n_k)$  of  $h_k$  determines  $\omega_k$ .

Choose a prime  $p$  of  $\mathbf{k}$ . By proposition 4.21, there is a cyclic extension  $\mathbf{Z} = \mathbf{Z}(p, n, \mathbf{K})$  contained in a cyclotomic extension of  $\mathbf{k}$  such that  $[\mathbf{Z} : \mathbf{k}]$  is divisible by  $n$ , prime  $p$  is not ramified in  $\mathbf{Z}$ , Artin symbol  $\left(\frac{\mathbf{Z}:\mathbf{k}}{p}\right)$  has order exactly  $n$ , and  $\mathbf{Z} \cap \mathbf{K} = \mathbf{k}$ . Let  $\rho_0$  generate the Galois group  $G(\mathbf{Z} : \mathbf{k})$ , and let  $rn = [\mathbf{Z} : \mathbf{k}]$ . There is an isomorphism  $\Theta : G(\mathbf{Z} : \mathbf{k}) \rightarrow \mathbf{C}$  defined by

$$\Theta(\rho_0^x) = \exp\left(\frac{2\pi i x}{rn}\right).$$

Since  $\mathbf{Z} \cap \mathbf{K} = \mathbf{k}$ , we have

$$G(\mathbf{ZK} : \mathbf{k}) = G(\mathbf{Z} : \mathbf{k}) \times G(\mathbf{K} : \mathbf{k}) = \{(\rho_0^x, \sigma_0^y) \mid 0 \leq x < rn, 0 \leq y < n\}.$$

Let  $\mathbf{S} = \mathbf{S}(a)$  be the fixed field of  $\{(\rho_0^x, \sigma_0^y) \mid xa - yr = 0 \pmod{rn}\}$ . Then  $\mathbf{ZS} \subset \mathbf{ZK}$ . If  $(\rho_0^x, \sigma_0^y)$  fixes  $\mathbf{Z}$  then  $x = 0 \pmod{rn}$ , and if  $(\rho_0^x, \sigma_0^y)$  fixes  $\mathbf{S}$  then  $xa - yr = 0 \pmod{rn}$ . If  $\mathbf{ZS}$  is fixed then  $yr = 0 \pmod{rn}$ , or  $y = 0 \pmod{n}$ , so only the identity of  $G(\mathbf{ZK} : \mathbf{k})$  fixes  $\mathbf{ZS}$ . Therefore  $\mathbf{ZS} = \mathbf{ZK}$ .

$\mathbf{Z}$  is contained in a cyclotomic extension of  $\mathbf{k}$ , so  $\mathbf{ZS}$  is contained in a cyclotomic extension of  $\mathbf{S}$ . Therefore Theorem 1 holds for  $\mathbf{ZS}/\mathbf{S}$ .  $G(\mathbf{ZS} : \mathbf{S})$  is isomorphic to a subgroup of  $G(\mathbf{Z} : \mathbf{k})$ . Let  $\rho^{x_0}$  generate  $G(\mathbf{ZS} : \mathbf{S})$ , and we can take  $x_0$  to be the least positive power of  $\rho$  that is in  $G(\mathbf{ZS} : \mathbf{S})$  (*i.e.*, that fixes  $\mathbf{S}$ ), so  $x_0$  divides  $rn$ .

Since  $\mathbf{N}_{\mathbf{S}/\mathbf{k}}$  maps  $\ker(\phi_{\mathbf{ZS}/\mathbf{S}}) = \mathbf{S}^* \mathbf{N}_{\mathbf{ZS}/\mathbf{S}} \mathbf{I}_{\mathbf{ZS}}$  to  $\ker(\chi_\omega) = \mathbf{k}^* \mathbf{N}_{\mathbf{K}/\mathbf{k}} \mathbf{I}_{\mathbf{K}}$ , there is an induced homomorphism  $f : G(\mathbf{ZS} : \mathbf{S}) \rightarrow \mathbf{C}$  so that  $f\phi_{\mathbf{ZS}/\mathbf{S}} = \chi_\omega \mathbf{N}_{\mathbf{S}/\mathbf{k}}$ . (See diagram (4.7), noting that  $\mathbf{N}_{\mathbf{S}/\mathbf{k}} \mathbf{N}_{\mathbf{ZS}/\mathbf{S}} = \mathbf{N}_{\mathbf{K}/\mathbf{k}} \mathbf{N}_{\mathbf{ZK}/\mathbf{K}}$  because  $\mathbf{ZS} = \mathbf{ZK}$ .) The image of  $\rho_0^{x_0}$  must be an  $(rn/x_0)$ -th root of unity, so there is an integer  $u$  so that  $f(\rho_0^{x_0}) = \Theta(\rho_0)^{ux_0} = \Theta(\rho_0^{x_0})^u$ . Since  $\rho_0^{x_0}$  generates the image of  $\phi_{\mathbf{ZS}/\mathbf{S}}$ , we have  $f(\phi_{\mathbf{ZS}/\mathbf{S}}(\mathbf{i})) = \Theta(\phi_{\mathbf{ZS}/\mathbf{S}}(\mathbf{i})|_{\mathbf{Z}})^u$ . The restriction  $\phi_{\mathbf{ZS}/\mathbf{S}}(\mathbf{i})|_{\mathbf{Z}}$  of  $\phi_{\mathbf{ZS}/\mathbf{S}}(\mathbf{i})$  to  $\mathbf{Z}$  is  $\phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{S}/\mathbf{k}}\mathbf{i})$  (proposition 2.19). Therefore there is an integer  $u = u(a, p, \mathbf{Z})$  depending on the choices of  $a, p$  and  $\mathbf{Z}$  so that

$$(4.5) \quad \chi_\omega(\mathbf{N}_{\mathbf{S}/\mathbf{k}}\mathbf{i}) = \Theta(\phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{S}/\mathbf{k}}\mathbf{i}))^u \quad \text{for } \mathbf{i} \in \mathbf{I}_{\mathbf{S}}.$$

$$\begin{array}{ccccccc} \mathbf{I}_{\mathbf{ZK}} & \xlongequal{\quad} & \mathbf{I}_{\mathbf{ZS}} & & \text{Diagram (4.7)} & & \\ \downarrow \mathbf{N}_{\mathbf{ZK}/\mathbf{K}} & & \downarrow \mathbf{N}_{\mathbf{ZS}/\mathbf{S}} & & & & \\ \mathbf{I}_{\mathbf{K}} & & \mathbf{I}_{\mathbf{S}} & \longrightarrow & \frac{\mathbf{I}_{\mathbf{S}}}{\mathbf{S}^* \mathbf{N}_{\mathbf{ZS}/\mathbf{S}} \mathbf{I}_{\mathbf{ZS}}} & \xrightarrow{\phi_{\mathbf{ZS}/\mathbf{S}}} & G(\mathbf{ZS} : \mathbf{S}) \xlongequal{\quad} \langle \rho_0^{x_0} \rangle \\ \downarrow \mathbf{N}_{\mathbf{K}/\mathbf{k}} & & \downarrow \mathbf{N}_{\mathbf{S}/\mathbf{k}} & & & & \downarrow f \\ \mathbf{I}_{\mathbf{k}} & \xlongequal{\quad} & \mathbf{I}_{\mathbf{k}} & \longrightarrow & \frac{\mathbf{I}_{\mathbf{k}}}{\mathbf{k}^* \mathbf{N}_{\mathbf{K}/\mathbf{k}} \mathbf{I}_{\mathbf{K}}} & \xrightarrow{\chi_\omega} & \mathbf{C} \xleftarrow{\Theta} G(\mathbf{Z} : \mathbf{k}) \end{array}$$

Let  $\mathbf{Z}' = \mathbf{Z}'(p', n, \mathbf{K})$  be another cyclic extension satisfying the conclusion of proposition 4.21, where  $p'$  is a prime of  $\mathbf{k}$ . (Note:  $\mathbf{Z}'$  will be used to show that certain later results are independent of  $p$  and of  $\mathbf{Z}$ .) Now let  $\mathbf{W} = \mathbf{W}(p, n, \mathbf{ZZ}'\mathbf{K})$  be a cyclic extension of  $\mathbf{k}$  satisfying the conclusion of proposition 4.21. Then  $\mathbf{W}$  is a cyclic extension contained in a cyclotomic extension of  $\mathbf{k}$ ,  $[\mathbf{W} : \mathbf{k}]$  is divisible by  $n$ , Artin symbol  $\left(\frac{\mathbf{W}:\mathbf{k}}{p}\right)$  has order  $n$ , and  $\mathbf{W} \cap \mathbf{ZZ}'\mathbf{K} = \mathbf{k}$ . Let  $[\mathbf{W} : \mathbf{k}] = sn$ , and let  $\tau_0$  be a generator of cyclic group  $G(\mathbf{W} : \mathbf{k})$ . There is an isomorphism  $\Xi : G(\mathbf{W} : \mathbf{k}) \rightarrow \mathbf{C}$  defined by

$$\Xi(\tau_0^z) = \exp\left(\frac{2\pi i}{sn}\right).$$

We repeat the previous argument, with  $\mathbf{W}$  in place of  $\mathbf{Z}$ . Since  $\mathbf{W} \cap \mathbf{Z}\mathbf{Z}'\mathbf{K} = \mathbf{k}$ , we have

$$G(\mathbf{KW} : \mathbf{k}) = G(\mathbf{K} : \mathbf{k}) \times G(\mathbf{W} : \mathbf{k}) = \{(\sigma_0^y, \tau_0^z) \mid 0 \leq y < n, 0 \leq z < sn\}.$$

Let  $\mathbf{T}$  be the fixed field of  $\{(\sigma_0^y, \tau_0^z) \mid ys - z = 0 \pmod{sn}\}$ . Then  $\mathbf{WT} \subset \mathbf{KW}$ . If  $(\sigma_0^y, \tau_0^z)$  fixes  $\mathbf{W}$  then  $z = 0 \pmod{sn}$ , and if  $(\rho_0^x, \sigma_0^y)$  fixes  $\mathbf{T}$  then  $ys - z = 0 \pmod{sn}$ . If  $\mathbf{TW}$  is fixed then  $ys = 0 \pmod{sn}$ , or  $y = 0 \pmod{n}$ , so only the identity of  $G(\mathbf{KW} : \mathbf{k})$  fixes  $\mathbf{TW}$ . Therefore  $\mathbf{TW} = \mathbf{KW}$ .

Since  $\mathbf{W}$  is contained in a cyclotomic extension of  $\mathbf{k}$  then  $\mathbf{TW}$  is contained in a cyclotomic extension of  $\mathbf{T}$ . Therefore Theorem 1 holds for  $\mathbf{TW}/\mathbf{T}$ .  $G(\mathbf{TW} : \mathbf{T})$  is isomorphic to a subgroup of  $G(\mathbf{W} : \mathbf{k})$ . Let  $\tau^{z_0}$  generate  $G(\mathbf{TW} : \mathbf{T})$ , and we can take  $z_0$  to be the least positive power of  $\tau$  that is in  $G(\mathbf{TW} : \mathbf{T})$  (*i.e.*, that fixes  $\mathbf{T}$ ), so  $z_0$  divides  $sn$ .

Since  $\mathbf{N}_{\mathbf{T}/\mathbf{k}}$  maps  $\ker(\phi_{\mathbf{TW}/\mathbf{W}}) = \mathbf{T}^*\mathbf{N}_{\mathbf{TW}/\mathbf{W}}\mathbf{I}_{\mathbf{TW}}$  to  $\ker(\chi_\omega) = \mathbf{k}^*\mathbf{N}_{\mathbf{K}/\mathbf{k}}\mathbf{I}_{\mathbf{K}}$ , there is an induced homomorphism  $g : G(\mathbf{TW} : \mathbf{T}) \rightarrow \mathbf{C}$  so that  $g\phi_{\mathbf{TW}/\mathbf{W}} = \chi_\omega\mathbf{N}_{\mathbf{T}/\mathbf{k}}$ . (See diagram (4.8), noting that  $\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{N}_{\mathbf{TW}/\mathbf{T}} = \mathbf{N}_{\mathbf{K}/\mathbf{k}}\mathbf{N}_{\mathbf{KW}/\mathbf{K}}$  because  $\mathbf{TW} = \mathbf{KW}$ .) The image of  $\tau_0^{z_0}$  must be an  $(sn/z_0)$ -th root of unity, so there is an integer  $v$  so that  $g(\tau_0^{z_0}) = \Xi(\tau_0)^{vz_0} = \Xi(\tau_0^{z_0})^v$ . Since  $\tau_0^{z_0}$  generates the image of  $\phi_{\mathbf{TW}/\mathbf{W}}$ , we have  $g(\phi_{\mathbf{TW}/\mathbf{W}}(\mathbf{i})) = \Xi(\phi_{\mathbf{TW}/\mathbf{W}}(\mathbf{i})|_{\mathbf{W}})^u$ . The restriction  $\phi_{\mathbf{TW}/\mathbf{W}}(\mathbf{i})|_{\mathbf{W}}$  of  $\phi_{\mathbf{TW}/\mathbf{T}}(\mathbf{i})$  to  $\mathbf{W}$  is  $\phi_{\mathbf{W}/\mathbf{k}}(\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i})$  (proposition 2.19). Therefore there is an integer  $v = v(p, p', \mathbf{Z}, \mathbf{Z}')$  depending on the choices of  $p, p', \mathbf{Z}$  and  $\mathbf{Z}'$  so that

$$(4.6) \quad \chi_\omega(\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i}) = \Xi(\phi_{\mathbf{T}/\mathbf{k}}(\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i}))^v \quad \text{for } \mathbf{i} \in \mathbf{I}_{\mathbf{T}}.$$

$$\begin{array}{ccccccc} \mathbf{I}_{\mathbf{KW}} & \xlongequal{\quad} & \mathbf{I}_{\mathbf{TW}} & & \text{Diagram (4.8)} & & \\ \downarrow \mathbf{N}_{\mathbf{KW}/\mathbf{K}} & & \downarrow \mathbf{N}_{\mathbf{TW}/\mathbf{T}} & & & & \\ \mathbf{I}_{\mathbf{K}} & & \mathbf{I}_{\mathbf{T}} & \longrightarrow & \frac{\mathbf{I}_{\mathbf{T}}}{\mathbf{T}^*\mathbf{N}_{\mathbf{TW}/\mathbf{W}}\mathbf{I}_{\mathbf{TW}}} & \xrightarrow{\phi_{\mathbf{TW}/\mathbf{T}}} & G(\mathbf{TW} : \mathbf{T}) \xlongequal{\quad} \langle \tau_0^{z_0} \rangle \\ \downarrow \mathbf{N}_{\mathbf{K}/\mathbf{k}} & & \downarrow \mathbf{N}_{\mathbf{T}/\mathbf{k}} & & & & \downarrow g \\ \mathbf{I}_{\mathbf{k}} & \xlongequal{\quad} & \mathbf{I}_{\mathbf{k}} & \longrightarrow & \frac{\mathbf{I}_{\mathbf{k}}}{\mathbf{k}^*\mathbf{N}_{\mathbf{K}/\mathbf{k}}\mathbf{I}_{\mathbf{K}}} & \xrightarrow{\chi_\omega} & \mathbf{C} \xleftarrow{\Xi} G(\mathbf{W} : \mathbf{k}) \end{array}$$

Multiply both sides of (4.6) by  $\Theta(\phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i}))^{-u}$  to obtain

$$(4.9) \quad \chi_\omega(\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i})\Theta(\phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i}))^{-u} \\ = \Theta(\phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i}))^{-u}\Xi(\phi_{\mathbf{T}/\mathbf{k}}(\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i}))^v \quad \text{for } \mathbf{i} \in \mathbf{I}_{\mathbf{T}}.$$

Given  $\mathbf{j} \in \mathbf{I}_{\mathbf{ST}}$ , if  $\mathbf{i} = \mathbf{N}_{\mathbf{ST}/\mathbf{T}}\mathbf{j}$  then  $\mathbf{N}_{\mathbf{T}/\mathbf{k}}\mathbf{i} = \mathbf{N}_{\mathbf{S}/\mathbf{k}}(\mathbf{N}_{\mathbf{ST}/\mathbf{S}}\mathbf{j}) = \mathbf{N}_{\mathbf{ST}/\mathbf{k}}\mathbf{j}$ . The kernel of the mapping  $\mathbf{I}_{\mathbf{k}} \rightarrow \mathbf{C}$  by  $\mathbf{i} \rightarrow \chi_{\omega}(\mathbf{i})\Theta(\phi_{\mathbf{Z}/\mathbf{k}}\mathbf{i})^{-u}$  contains  $\mathbf{N}_{\mathbf{S}/\mathbf{k}}\mathbf{I}_{\mathbf{S}}$  by (4.5). If we evaluate (4.9) at  $\mathbf{i} = \mathbf{N}_{\mathbf{ST}/\mathbf{T}}\mathbf{j}$ , we obtain

$$(4.10) \quad 1 = \Theta(\phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{ST}/\mathbf{k}}\mathbf{j}))^{-u} \Xi(\phi_{\mathbf{W}/\mathbf{k}}(\mathbf{N}_{\mathbf{ST}/\mathbf{k}}\mathbf{j}))^v \quad \text{for } \mathbf{j} \in \mathbf{I}_{\mathbf{ST}}.$$

We have  $\mathbf{ZS} = \mathbf{ZK}$  contained in a cyclotomic extension of  $\mathbf{S}$  and  $\mathbf{TW} = \mathbf{KW}$  contained in a cyclotomic extension of  $\mathbf{T}$ , so  $\mathbf{ZKW} = \mathbf{ZSW} = \mathbf{ZTW}$  is contained in a cyclotomic extension of  $\mathbf{TS}$ . Therefore Theorem 1 holds for  $\mathbf{ZKW}/\mathbf{TS}$ . The restriction of  $\phi_{\mathbf{ZKW}/\mathbf{TS}}$  to  $\mathbf{ZST}$  is  $\phi_{\mathbf{ZST}/\mathbf{TS}}(\mathbf{i}) = \phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{ST}/\mathbf{k}}(\mathbf{i}))$ , and the restriction of  $\phi_{\mathbf{ZKW}/\mathbf{TS}}$  to  $\mathbf{STW}$  is  $\phi_{\mathbf{STW}/\mathbf{TS}}(\mathbf{i}) = \phi_{\mathbf{W}/\mathbf{k}}(\mathbf{N}_{\mathbf{ST}/\mathbf{k}}(\mathbf{i}))$ . (Let  $\sigma_1$  denote the restriction of  $\phi_{\mathbf{ZKW}/\mathbf{TS}}$  to  $\mathbf{K}$ .) The mapping  $(\rho, \sigma, \tau) \rightarrow \Theta(\rho)^{-u}\Xi(\tau)^v$  is a homomorphism  $G(\mathbf{ZKW} : \mathbf{k}) \rightarrow \mathbf{C}$  which maps  $\phi_{\mathbf{ZKW}/\mathbf{ST}}(\mathbf{i}) = (\phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{ST}/\mathbf{k}}\mathbf{i}), \sigma_1, \phi_{\mathbf{W}/\mathbf{k}}(\mathbf{N}_{\mathbf{ST}/\mathbf{k}}\mathbf{i}))$  to 1 by (4.10). The homomorphism  $\phi_{\mathbf{ZKW}/\mathbf{ST}}$  maps  $\mathbf{I}_{\mathbf{ST}}$  onto  $G(\mathbf{ZKW} : \mathbf{ST})$ . Therefore

$$\Theta(\rho)^{-u}\Xi(\tau)^v = 1 \quad \text{for any } (\rho, \sigma, \tau) \in G(\mathbf{ZKW} : \mathbf{k}) \text{ leaving } \mathbf{ST} \text{ fixed.}$$

In particular, the automorphism  $(\rho_0^r, \sigma_0^a, \tau_0^{as})$  leaves both  $\mathbf{S}$  and  $\mathbf{T}$  fixed. Therefore

$$\Theta(\rho_0^r)^{-u} \Xi(\tau_0^{as})^v = 1.$$

We have  $\exp(2\pi ir/(rn))^{-u} \exp(2\pi ias/(sn))^v = \exp(2\pi i(-u/n + av/n)) = 1$ , or

$$(4.11) \quad u = av \pmod{n}.$$

We show that  $v$  is independent of  $\mathbf{Z}$  and  $\mathbf{Z}'$ . The construction leading from  $\mathbf{W}$  to  $v$  is symmetric in  $\mathbf{Z}$  and  $\mathbf{Z}'$ . We can reverse the roles of  $\mathbf{Z}$  and  $\mathbf{Z}'$ , and the  $v(\mathbf{Z}, \mathbf{Z}')$  that satisfies (4.11) for  $u(\mathbf{Z})$  also satisfies (4.11) for  $u(\mathbf{Z}')$ .

$$\begin{aligned} v(\mathbf{W}, \mathbf{Z}, \mathbf{Z}')a &= u(a, \mathbf{Z}) \pmod{n} \\ v(\mathbf{W}, \mathbf{Z}, \mathbf{Z}')a &= u(a, \mathbf{Z}') \pmod{n} \end{aligned}$$

We can also start from either  $\mathbf{Z}'$  or  $\mathbf{Z}''$ , obtaining

$$\begin{aligned} v(\mathbf{W}, \mathbf{Z}', \mathbf{Z}'')a &= u(a, \mathbf{Z}') \pmod{n} \\ v(\mathbf{W}, \mathbf{Z}', \mathbf{Z}'')a &= u(a, \mathbf{Z}'') \pmod{n} \end{aligned}$$

We choose  $a = 1$  to conclude that  $v(\mathbf{W}, \mathbf{Z}, \mathbf{Z}') = u(1, \mathbf{Z}) = u(1, \mathbf{Z}') = v(\mathbf{W}, \mathbf{Z}', \mathbf{Z}'')$ . In like manner we have  $v(\mathbf{W}, \mathbf{Z}', \mathbf{Z}'') = v(\mathbf{W}, \mathbf{Z}'', \mathbf{Z}''')$ . Therefore  $v_{\mathbf{W}}$  is independent of  $\mathbf{Z}$  and  $\mathbf{Z}'$ .

$v$  is independent of  $\mathbf{W}$ . If  $\mathbf{W}'$  is chosen then, since  $u$  is independent of  $\mathbf{W}$ , we have

$$v(\mathbf{W})a = u(a, \mathbf{Z}) = v(\mathbf{W}')a \pmod{n}.$$

Choose  $a = 1$  to conclude that  $v(\mathbf{W}) = u(1, \mathbf{Z}) = v(\mathbf{W}') \pmod{n}$ .

$v$  is independent of  $p$  and  $p'$ . The construction leading from  $\mathbf{W}$  to  $v$  is symmetric in  $p$  and  $p'$ . We can start from either  $\mathbf{Z} = \mathbf{Z}(p, n, \mathbf{K})$  or  $\mathbf{Z}' = \mathbf{Z}'(p', n, \mathbf{K})$ , concluding that

$$\begin{aligned} v(p, p')a &= u(p, \mathbf{Z}) \pmod{n} \\ v(p, p')a &= u(p', \mathbf{Z}') \pmod{n} \end{aligned}$$

We can start from  $\mathbf{Z}' = \mathbf{Z}'(p', n, \mathbf{K})$  or  $\mathbf{Z}'' = \mathbf{Z}''(p'', n, \mathbf{K})$ , concluding that

$$\begin{aligned} v(p', p'')a &= u(p', \mathbf{Z}') \pmod{n} \\ v(p', p'')a &= u(p'', \mathbf{Z}'') \pmod{n} \end{aligned}$$

Choose  $a = 1$  to conclude that  $v(p, p') = v(p', p'') \pmod{n}$ . Likewise,  $v(p', p'') = v(p'', p''') \pmod{n}$ . Therefore  $v$  is independent of  $p$ . We have shown the independence of  $u$  and  $v$  from  $p$ ,  $\mathbf{Z}$  and  $\mathbf{W}$ .

Now let  $p$  be a prime not ramified in  $\mathbf{K}$ . Choose  $\mathbf{Z} = \mathbf{Z}(p, n, \mathbf{K})$ . Artin symbol  $\left(\frac{\mathbf{Z}:\mathbf{k}}{p}\right)$  has order  $n$ . Since  $[\mathbf{Z}:\mathbf{k}] = rn$ , we have

$$(4.12) \quad \left(\frac{\mathbf{Z}:\mathbf{k}}{p}\right) = \rho_0^{x_1 r} \quad \text{where } (x_1, n) = 1.$$

Artin symbol  $\left(\frac{\mathbf{K}:\mathbf{k}}{p}\right)$  is some power of  $\sigma_0$ , so let

$$(4.13) \quad \left(\frac{\mathbf{K}:\mathbf{k}}{p}\right) = \sigma_0^{y_1}.$$

$\mathbf{S}$  is the fixed field of  $\{(\rho_0^x, \sigma_0^y) \mid xa - yr = 0 \pmod{n}\}$ .  $\left(\frac{\mathbf{S}:\mathbf{k}}{p}\right)$  and  $\left(\frac{\mathbf{K}:\mathbf{k}}{p}\right)$  are the restrictions of  $\left(\frac{\mathbf{ZK}:\mathbf{k}}{p}\right)$  to  $\mathbf{S}$  and  $\mathbf{K}$ , respectively, so

$$\left(\frac{\mathbf{ZK}:\mathbf{k}}{p}\right) = \left(\left(\frac{\mathbf{Z}:\mathbf{k}}{p}\right), \left(\frac{\mathbf{K}:\mathbf{k}}{p}\right)\right) = (\rho_0^{x_1 r}, \sigma_0^{y_1}).$$

Choose  $a$  so that  $x_1 a - y_1 = 0 \pmod{n}$ . Then

$$rx_1 a - ry_1 = 0 \pmod{n},$$

so  $\left(\frac{\mathbf{ZK:k}}{p}\right)$  fixes  $\mathbf{S}$ , so  $\left(\frac{\mathbf{S:k}}{p}\right) = 1$ . If  $\wp$  is a prime of  $\mathbf{S}$  dividing  $p$  then  $\left(\frac{\mathbf{S:k}}{p}\right)$  generates  $G(\mathbf{S}_\wp/\mathbf{k}_p)$ , so  $\mathbf{S}_\wp = \mathbf{k}_p$ .

For  $\alpha \in \mathbf{k}_p$ , let  $\mathbf{i} = \mathbf{i}(\alpha, p)$  be the idele in  $\mathbf{I}_k$  so that

$$\mathbf{i}_q = \begin{cases} \alpha & \text{at } q = p \\ 1 & \text{at } q \neq p \end{cases}$$

Since  $\mathbf{S}_\wp = \mathbf{k}_p$ , choose  $\mathbf{j} = \mathbf{j}(\alpha, \wp)$  for a prime  $\wp$  of  $\mathbf{K}$  dividing  $p$ . Then

$$\mathbf{N}_{\mathbf{S}/\mathbf{k}}\mathbf{j}(\alpha, \wp) = \mathbf{i}(\alpha, p)$$

and by (4.5) we have

$$(4.14) \quad \begin{aligned} \chi_\omega(\mathbf{i}(\alpha, p)) &= \chi_\omega(\mathbf{N}_{\mathbf{S}/\mathbf{k}}\mathbf{j}(\alpha, \wp)) \\ &= \Theta(\phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{N}_{\mathbf{S}/\mathbf{k}}\mathbf{j}(\alpha, \wp))^u) = \Theta(\phi_{\mathbf{Z}/\mathbf{k}}\mathbf{i}(\alpha, p))^u \end{aligned}$$

Prime  $p$  is not ramified in  $\mathbf{Z}$ , so

$$(4.15) \quad \phi_{\mathbf{Z}/\mathbf{k}}(\mathbf{i}(\alpha, p)) = \left(\frac{\mathbf{Z:k}}{p}\right)^b \quad \text{where } |\alpha|_p = Np^{-b}.$$

By (4.14), (4.15), and (4.12) we have

$$\begin{aligned} \chi_\omega(\mathbf{i}(\alpha, p)) &= \Theta\left(\left(\frac{\mathbf{Z:k}}{p}\right)^{bu}\right) = \Theta(\rho_0^{rx_1bu}) \\ &= \exp\left(\frac{2\pi irx_1bu}{rn}\right) = \exp\left(\frac{2\pi ix_1bu}{n}\right) \end{aligned}$$

Since  $va = u \pmod{n}$ , and since  $a$  was chosen so that  $x_1a = y_1 \pmod{n}$ , we have  $x_1bu = x_1bva = y_1bv \pmod{n}$ . By 4.13, we have

$$\chi_\omega(\mathbf{i}(\alpha, p)) = \exp\left(\frac{2\pi iy_1bv}{n}\right) = \chi(\sigma_0^{y_1bv}) = \chi\left(\left(\frac{\mathbf{K:k}}{p}\right)^{bv}\right).$$

To summarize, suppose that  $p$  is not ramified in  $\mathbf{K}$ ,  $\alpha$  is an element of  $\mathbf{k}_p$ , and  $\mathbf{i} = \mathbf{i}(\alpha, p)$  is an idele in  $\mathbf{I}_k$  with components  $\mathbf{i}_q = \alpha$  at prime  $q = p$  and  $\mathbf{i}_q = 1$  at primes  $q \neq p$ . Then there is an integer  $v$  independent of  $p$  so that  $0 < v < n$  and

$$(4.16) \quad \chi_\omega(\mathbf{i}(\alpha, p)) = \chi\left(\left(\frac{\mathbf{K:k}}{p}\right)^{bv}\right) \quad \text{where } |\alpha|_p = Np^{-b}$$

If  $\mathbf{i} \in \mathbf{I}_{\mathbf{k}}\{E\}$ , then (2.1) defines  $\phi_{\mathbf{K}/\mathbf{k}}$  by

$$\phi_{\mathbf{K}/\mathbf{k}}(\mathbf{i}) = \prod_{p \neq E} \left( \frac{\mathbf{K} : \mathbf{k}}{p} \right)^{b_p} \quad \text{where } \mathbf{I}_p = \mathbf{N}p^{-b_p}.$$

The only non-trivial terms of the product over  $E$  are for primes in  $F = \{p \mid |\mathbf{i}_p| \neq 1\}$ , so

$$\chi(\phi_{\mathbf{K}/\mathbf{k}}(\mathbf{i}))^v = \prod_{p \notin F} \chi \left( \frac{\mathbf{K} : \mathbf{k}}{p} \right)^{b_p v} = \prod_{p \notin F} \chi_{\omega}(\mathbf{i}(\mathbf{i}_p, p)).$$

Idele  $\mathbf{i}$  in  $\mathbf{I}_{\mathbf{k}}\{E\}$  as a direct product is

$$\mathbf{i} = \prod_{\mathbf{p} \in F} \mathbf{i}(\mathbf{i}_p, p) \times \prod_{\mathbf{p} \notin F} \mathbf{i}(\mathbf{i}_p, p)$$

For a prime  $p$  not in  $F$ , each component  $\mathbf{i}_p$  is the norm of an  $\beta_{\wp}$  element in  $\mathbf{K}_{\wp}$  for prime  $\wp$  of  $\mathbf{K}$  dividing  $p$ , by proposition 4.7. By setting  $\mathbf{j}_{\wp} = \beta$  at one prime  $\wp$  dividing each prime  $p$  not in  $F$  and  $\mathbf{j}_{\wp} = 1$  otherwise, we have

$$\prod_{p \notin F} \mathbf{i}(\mathbf{i}_p, p) \in \mathbf{N}_{\mathbf{K}/\mathbf{k}} \mathbf{I}_{\mathbf{K}} \subset \ker(\chi_{\omega})$$

therefore

$$(4.17) \quad \chi(\phi_{\mathbf{K}/\mathbf{k}}(\mathbf{i}))^v = \chi_{\omega} \left( \prod_{p \in F} \mathbf{i}(\mathbf{i}_p, p) \right) = \chi_{\omega}(\mathbf{i}) \quad \text{for } \mathbf{i} \in \mathbf{I}_{\mathbf{k}}\{E\}.$$

Since  $\mathbf{I}_{\mathbf{k}} = \mathbf{I}_{\mathbf{k}}\{E\} \mathbf{k}^* \mathbf{N}_{\mathbf{K}/\mathbf{k}} \mathbf{I}_{\mathbf{K}}$ , then  $\chi_{\omega}(\mathbf{i})$  is completely determined by its values at  $\mathbf{i}$  in  $\mathbf{I}_{\mathbf{k}}\{E\}$ . The  $n$  functions  $\chi_{\omega}$  are all distinct because if  $\chi_{\omega_1} = \chi_{\omega_2}$  then  $1 = \chi_{\omega_1}(\mathbf{i}) \chi_{\omega_2}(\mathbf{i})^{-1} = \chi_{(\omega_1 - \omega_2)}(\mathbf{i})$ . But if  $\omega_1 - \omega_2 \neq (0)$  then  $\chi_{(\omega_1 - \omega_2)}(\mathbf{i}) \neq 1$  for some  $\mathbf{i}$  in  $\mathbf{I}_{\mathbf{k}}\{E\}$ , so we must have  $\omega_1 = \omega_2$ . There are  $n$  homomorphisms  $\chi_{\omega}$  corresponding to  $n$  values of  $v$ , so the correspondence is one-to-one. There is therefore some  $\omega_0$  that corresponds to  $v = 1$ , and we have

$$(4.18) \quad \chi(\phi_{\mathbf{K}/\mathbf{k}}(\mathbf{i})) = \chi_{\omega_0}(\mathbf{i}) \quad \text{for } \mathbf{i} \in \mathbf{I}_{\mathbf{k}}\{E\}.$$

The right side of (4.18) is defined for all  $\mathbf{i}$  in  $\mathbf{I}_{\mathbf{k}}$ .  $\chi$  is an isomorphism from  $G[\mathbf{K} : \mathbf{k}]$  to the  $n$ -th roots of unity. Define

$$(4.19) \quad \phi_{\mathbf{K}/\mathbf{k}}(\mathbf{i}) = \chi^{-1}(\chi_{\omega_0}(\mathbf{i})) \quad \text{for } \mathbf{i} \in \mathbf{I}_{\mathbf{k}}.$$

This definition agrees with (2.1) for  $\mathbf{i}$  in  $\mathbf{I}_{\mathbf{k}}\{E\}$  and the kernel contains  $\mathbf{k}^*$ . This completes the proof of theorem 1 for cyclic extensions.