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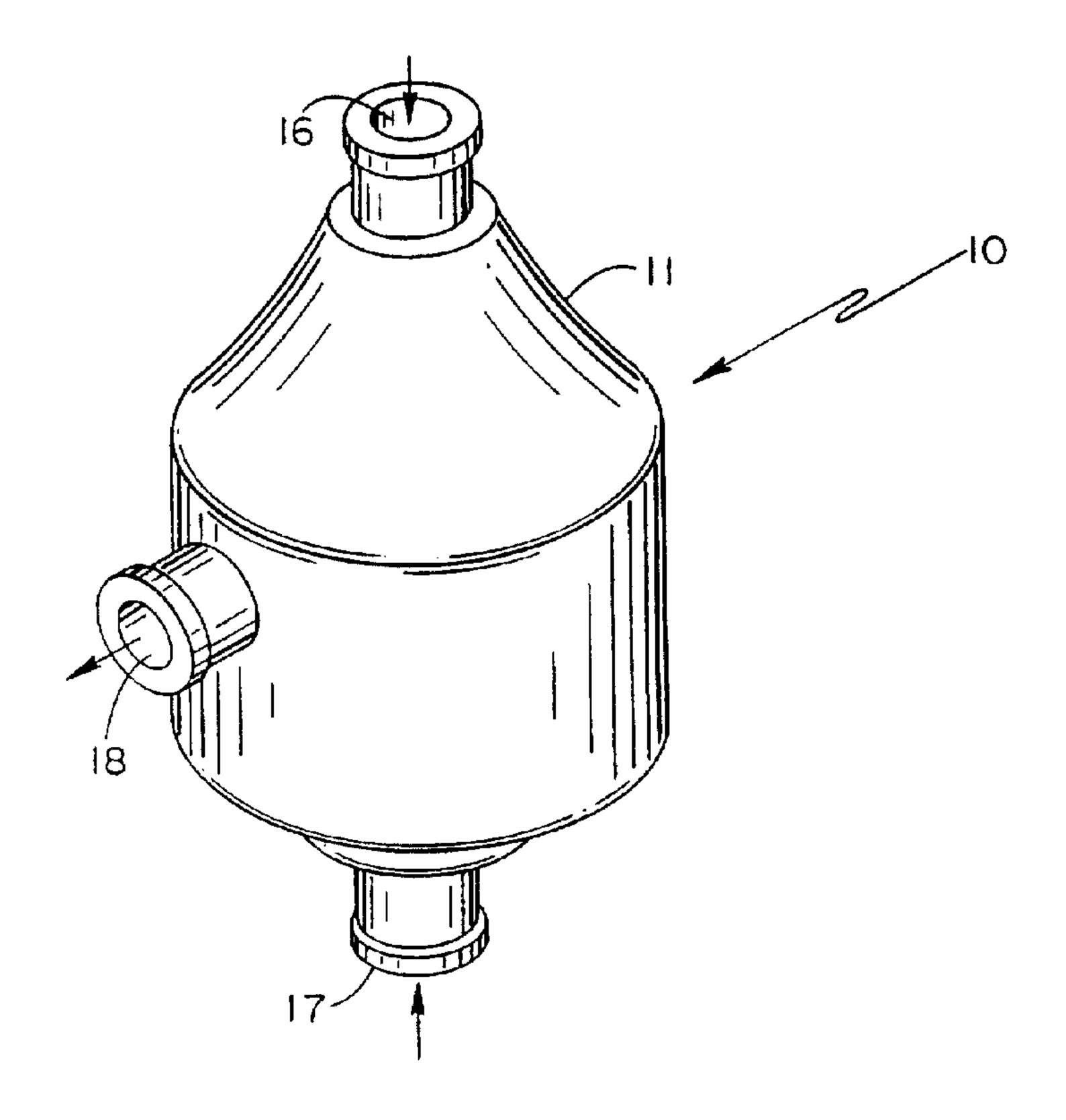
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- (72) Inventeur/Inventor: IZRAELEV, VALENTIN M., US
- (73) **Propriétaire/Owner**: ADVANCED BIONICS, INC., US

(74) **Agent**: RIDOUT & MAYBEE LLP

(54) Titre: ROTOR AMELIORE POUR POMPE A SANG (54) Title: IMPROVED ROTOR FOR BLOOD PUMP



#### (57) Abrégé/Abstract:

A pump for transferring fragile and aggressive fluids such as human blood and comprising a chamber along with one or more fluid inlet ports (16, 17) arranged in oppositely disposed relationship on the chamber, and at least one outlet port (18) arranged transversely and medially of the inlet ports. A rotor assembly (20) is positioned within the pumping chamber having a core (21) in the form of a first surface of revolution and having a dual-conical configuration converging toward opposed polar end regions and with an axis of rotation extending between the polar regions. At least one shroud (23) is provided spaced outwardly of the surface of the core. The rotor can include a plurality of radial vanes (127) mounted on the outer surface of the dual cones forming the dual-conical configuration.





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(71) Applicant: ADVANCED BIONICS, INC. [US/US]; 6574 Cherokee Trail West, Eden Prairie, MN 55344 (US).

(72) Inventor: IZRAELEV, Valentin, M.; 6574 Cherokee Trail West, Eden Prairie, MN 55344 (US).

(74) Agent: HAUGEN, Oπin, M.; Haugen and Nikolai, P.A., 820 International Centre, 900 Second Avenue South, Minneapolis, MN 55402 (US).

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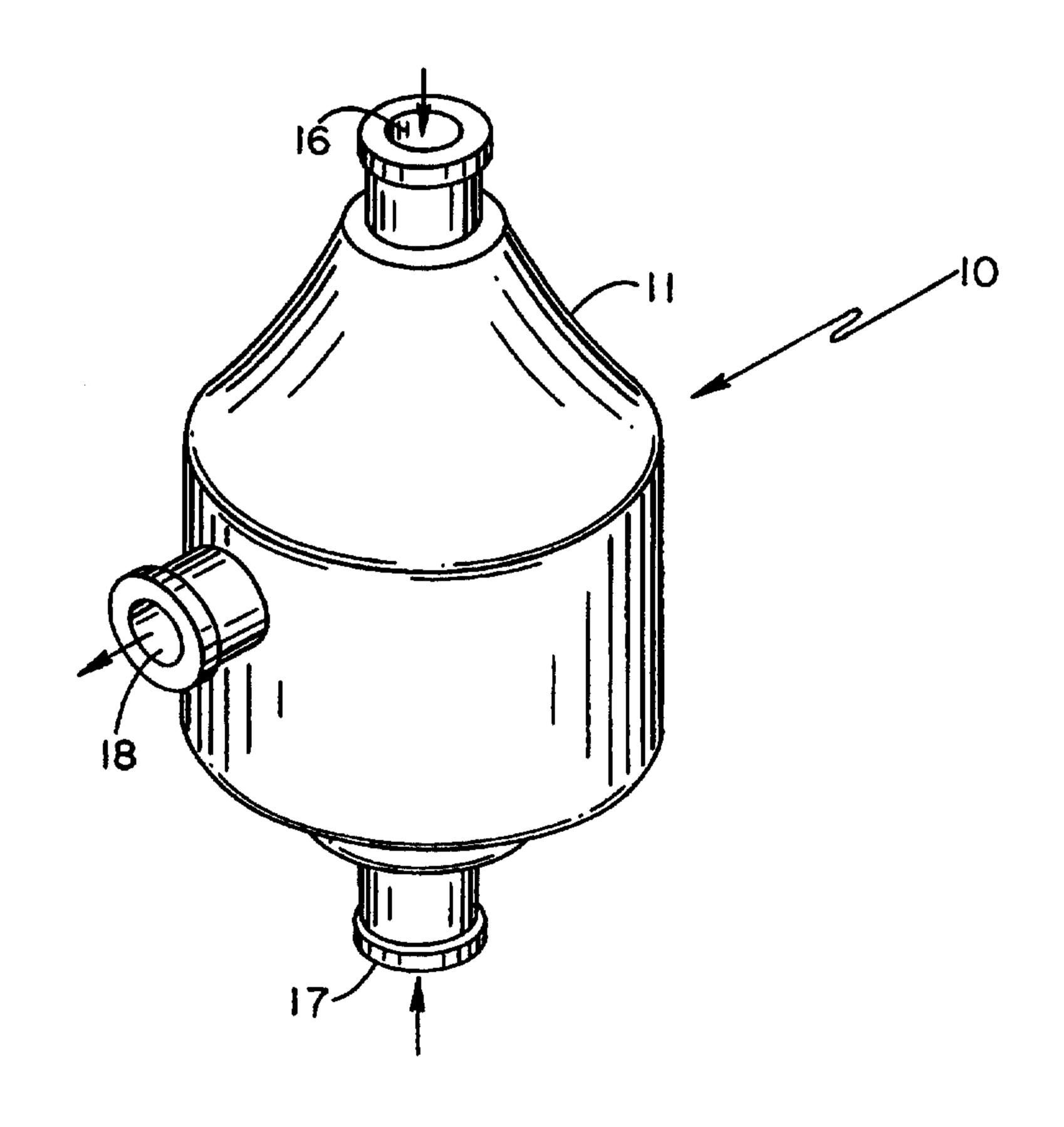
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### (54) Title: IMPROVED ROTOR FOR BLOOD PUMP

#### (57) Abstract

A pump for transferring fragile and aggressive fluids such as human blood and comprising a chamber along with one or more fluid inlet ports (16, 17) arranged in oppositely disposed relationship on the chamber, and at least one outlet port (18) arranged transversely and medially of the inlet ports. A rotor assembly (20) is positioned within the pumping chamber having a core (21) in the form of a first surface of revolution and having a dual-conical configuration converging toward opposed polar end regions and with an axis of rotation extending between the polar regions. At least one shroud (23) is provided spaced outwardly of the surface of the core. The rotor can include a plurality of radial vanes (127) mounted on the outer surface of the dual cones forming the dual-conical configuration.



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#### IMPROVED ROTOR FOR BLOOD PUMP

#### BACKGROUND OF THE INVENTION

The present invention relates generally to an improved rotor structure for implementation as a pump for transferring fragile or aggressive fluids, and with the rotor having radial vanes thereon and in certain embodiments, the vanes may enclose and/or encapsulate magnetic drive components. Examples of fragile fluids include human or animal blood, neither of which can tolerate exposure to unusual impact and/or sheer forces. Aggressive fluids which cannot be tolerate contamination, or which otherwise may destroy seals and/or bearings to reduce the lifetime and/or longevity of the pump structure. Poisonous fluids, for example, are extremely dangerous if a leak develops. More particularly, the present invention relates to a rotor for a pump which is bearing and sealfree and wherein the rotor has a core body with one or more shrouds coupled to the outer surface of the core and arranged in parallelly disposed relationship with the outer surface of the core. In addition, the rotor is dynamically balanced by a combination of hydrodynamic and buoyant forces. In this configuration, the design of the rotor provides a plurality of parallelly arranged flow channels through which a fluid contacting area is provided for enhancing flow. In the other configuration, the vanes are presented between the core and the shroud, or between the shrouds for enhancing flow. In the configuration

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utilizing multiple shrouds, a primary flow channel will be created between the shroud and the core, with a secondary flow channel being arranged outside of the shroud. The primary channel provides meridional channels, while the secondary flow channel provides communication for flow between the inlet and the outlet.

The pump of the present invention is particularly adapted for transferring human blood and is capable of creating a flow of such liquids without damaging and/or otherwise adversely affecting the quality of the material being pumped. The rotor employed in the pump of the present invention including its multiple flow channels is rotated electromagnetically by means of an electromagnetic drive system operating in conjunction with one or more arrays of permanent magnets preferably enclosed within radial vanes on the surface of the rotor, the drive components being arranged in a brushless motor configuration. Alternatively, a permanent magnet-topermanent magnet coupling may be employed. As such, the arrangement of the present invention provides a symmetrical arrangement which is capable of achieving relative rotation while at the same time being bearing and seal-free.

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In the past, pumps and pumping systems have been designed which have been characterized as being bearing and seal-free. Such systems typically employ magnetic levitation means which is in effect an actual form of bearing, much the same as sleeve bearings, ball bearings, or other friction-inducing bearings. Such arrangements using magnetic bearings, while being operational and functional, may be rendered complex and accordingly require significant number of additional components including magnetic devices, position sensors, and rapidresponse magnetic drive means. A number of such patents 35 have been granted in the past, including those to Olsen et al. 4,688,998 and 5,195,877. The apparatus of the present invention, by contrast, is fully bearing and

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seal-free, and is provided with a rotor having a central internal bore to accommodate fluid flow input, as well as externally-positioned annular channels for accommodating flow through the pump structure with dynamic balance being achieved through a combination of hydrodynamic and buoyant forces. The rotor of the pump of the present invention is provided with a symmetrical arrangement of radial vanes which preferably enclose components of the magnetic drive so as to enhance and preserve the dynamic balance.

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Among the disadvantages inherent in pumps utilizing friction-reducing bearings include local heat generation such as may occur from the use of ball bearings, friction bearings, sleeve bearings, and the like. Low flow and high pressure may result in local areas due to the use of such structures. In addition, with all such bearing-equipped pumps, a high spring constant is provided wherein a small displacement of the rotor (or impeller) introduces very high forces which can damage or effectively destroy bearings. In addition, different forces are introduced in the structure whenever variations in axial positions occur.

In the present structure, the pump is bearing and seal-free, with the structure of one embodiment of the invention including a rotor having an internal bore for accommodating inlet fluid, and is further provided with a series of external shrouds providing multiple annular flow channels, with this design allowing for relatively high displacement without the creation of large forces otherwise required to hold the rotor in its predetermined position. The internal bore which may be formed within the rotor is arranged coaxially with the rotor and thus fluid flow is readily accomplished therewithin. In addition, the rotor seeks and finds an equilibrium position during operational rotation which, in certain situations, can be off-set from the housing axis (in either the rotational or transverse axes) which typically

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occurs when the rotational axis of the pump is altered.

Rotational movement of the pump housing will be manifested in displacement of the rotational or vertical axis of the rotor. The present arrangement has been found to eliminate the need for a highly precise axis in design, fabrication and operation. The lack of a positionally fixed rotational axis reduces the introduction of large forces which otherwise would be created when the axis of the rotor is shifted away from its normal centrally disposed position.

In addition to the outer surface of the rotor core, one or more shrouds are arranged concentrically with the outer surface of the rotor core, with the configuration providing one or more annular channels for flow. In addition to this, introduction of the vanes acting as paddles between the core and the shroud or in the case of multiple shrouds, then between the shrouds, with this arrangement providing even more channels for flow through the rotor.

In one embodiment of the present invention, the pump includes a pumping chamber with a central axis, and with a rotor body being disposed within the chamber for bearing and seal-free rotation therewithin. The rotor has a core with a double or dual-conical configuration which converges toward opposed polar regions, and with the axis of rotation extending between these polar regions.

In addition to the rotor core, one or more concentric shrouds are provided to increase the area of contact between the fluid being pumped and the surface of the rotor, and to provide annular channels through which fluid flow may occur.

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The rotor may be further provided with radial vanes extending radially outwardly relative to the axis of rotation. These vanes are utilized to enhance flow, as well as to provide a zone for encapsulation of magnetic drive components. Magnetic drive components are

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typically arranged symmetrically in axially spaced-apart relationship relative to the transverse axis of the rotor.

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A fluid inlet port is preferably arranged in the pumping chamber with a bore coaxially with the axis of rotation of the rotor in order to provide for inlet flow to opposed ends of the rotor. In this arrangement, the housing inlet flow is divided into two approximately equal flow portions, with the first flow portion entering the rotor at the end adjacent the housing inlet or the external inlet, and the second flow portion being drawn through the bore to the housing end opposite to the external inlet where the second flow portion makes a smooth reversal of direction and enters the rotor at the opposed end. Accordingly, one portion of the flow of fluid is transported or transferred to the portion of the rotor which is in opposed polar relationship to the housing inlet port, in other words, the external inlet.

Except for those occasions when the rotor is displaced, it is normally arranged in coaxial relationship with both the pumping chamber and the fluid inlet ports. The outlet port or ports are arranged generally medially of the chamber, midway between the inlet ports and typically are positioned tangentially of the medial portion of the pumping chamber. In those situations where the axis of rotation of the rotor is arranged vertically, the dual-conical configuration is such that flow through the bore of the rotor and on the outside surface of the rotor core and in the annular channels proceeds downwardly on the upper portion, and upwardly on the lower portion of the dual-cone.

The rotor of at least one embodiment provides for an internal transfer of fluids between the oppositely disposed fluid inlet areas with the rotor bore forming a fluid transfer line which introduces the fluids to the rotor at opposite ends of the housing. The bore provides communication between opposite ends of the rotor, thereby

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permitting transfer of fluids internally of the structure, with all of the fluid being initially introduced into one polar region of the housing. The fluid is thereafter transferred internally to the oppositely disposed polar region.

The term "oppositely disposed inlet ports" is intended to reflect the utilization of fluid introduction at opposite ends of the rotor, and is also intended to include those arrangements wherein all of the fluid being pumped is initially introduced into one polar region of the housing, with the fluid nevertheless being transferred either internally or externally of the housing directly to the oppositely disposed polar region.

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The pump shown in the drawings is in operational mode with the rotor spinning about its axis of rotation and with all forces acting on the rotor balanced. In the stationary/non-operational mode with the fluid in the housing, only the buoyant forces are acting on the rotor, and the rotor floats up in the random position. In the stationary/non-operational mode with no fluid in the housing, the rotor is resting on the interior of the housing under gravitational forces.

Levitation of the rotor, as indicated, is achieved by a combination of hydrodynamic and buoyant forces. Briefly, the buoyant component is achieved as a result of 25 careful selection of the rotor density, with the preferred relative density being between about 0.1 and 0.9 of the relative density of the fluid being pumped. The term "relative density" as will be appreciated, 30 defines the density of the rotor which is measured relative to the density of the fluid being pumped. In a dynamic and operational mode, the buoyant forces merely become a component of lesser or secondary importance to the more significant and more highly effective 35 hydrodynamic force.

The hydrodynamic force component is achieved as a result of the motion of the fluid as it is being moved

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through the pumping chamber. As the velocity of the fluid increases, the hydrodynamic forces increase substantially, and with the proper selection of rotor density, the hydrodynamic forces which are created during normal operation result in achieving a precise, steady and controllably repeatable centering of the rotor within the pumping chamber.

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The intent of the present invention is to bring the fluid from the opposite inlet regions of the housing to the medial plane of the housing, combine two opposite 10 flows in the medial plane, and deliver the fluid to the outlet port with a minimal damage and losses by avoiding turbulence, flow separation, sharp turns, stagnation, and other undesired conditions. This is achieved by having the main flows through the rotor channels, secondary flows between the inner periphery of the housing, and the outer periphery of the rotor shroud, bringing into coincidence the medial planes of the housing and the rotor, and by moving away the electromagnetic drive means plane from the median planes of the housing and the rotor 20 to provide for improved coupling and flow.

The pump structure of the present invention has particular application for transferring fragile and/or aggressive liquids, in particular, for transferring human blood. Since certain components in blood are extremely fragile and are damaged upon exposure to external forces, conventional pumps are simply unsuited for the application. Additionally, conventional seals and/or bearings typically found within conventional pump structures pose substantial and significant threats to cell damage. A further feature of the pump of the present invention rendering the pump well suited for transfer of blood is its essentially friction-free operation. Any frictional force due to relative motion between the rotor and the stator creates the risk of generation of thermal energy, and thus may contribute to heat build-up. Since blood is extremely sensitive to

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temperature change, particularly any increase in temperature above conventional body temperature, reduction and/or virtual elimination of friction provides significant and substantial advantages.

Since the structure of the present invention does not require bearings, energy consumption is reduced through the elimination of energy losses otherwise occurring in the bearings, including energy lost in contact bearings as well as electrical losses in magnetic bearings. The driving forces for the impeller may be located generally in the plane of the center of gravity or center of mass of the impeller, or adjacent thereto and normal to the axis of rotation. This feature results in the creation of a gyroscopic effect of a free-body gyroscope, and the configuration of the present invention is such as to stabilize the impeller when the axis of the housing is rotated relative to the spin axis of the rotor. In other words, the spin axis of the rotor may be altered because of a change-of-position of the housing, and thus the spin axis may not always be about the vertical axis, but can be about the horizontal axis as well.

In at least one embodiment of the present invention, the magnetic drive components are offset from the transverse axis of the rotor, and in this configuration, it has been found that the center of gravity or center of mass of the rotor is generally displaced from the geometric center in a direction toward the mounting point of the drive.

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In addition to blood pump applications, the device of the present invention finds utility in connection with other fluids as well. Certainly non-delicate fluids may be appropriately treated and/or moved with pump devices of the present invention including the aggressive fluids as discussed hereinabove. Eliminating shafts, bearings and seals substantially reduces the manufacturing cost of

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the present pump. Also, the present pump has a virtual unlimited mechanical life under normal conditions. The device of the present invention finds utility for any fluids when economy, longevity, and uninterrupted service are the factors.

A feature of one embodiment of the present invention is to bring the fluid from the opposite inlet regions of the housing to the medial plane of the housing, combine in the medial plane two opposite flows, and deliver the fluid to the outlet port or ports with minimal damage and losses to the fluid being pumped through avoidance of turbulence, flow separation, sharp turns, stagnation, and other undesired conditions. This is achieved by combining the upper and lower pair of respective vanes in the single unit at the outlet region, encapsulating the permanent magnets into the vanes at the outer tips of the vanes, and by moving the electromagnetic drive means from the median plane of the housing and the rotor.

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Also in accordance with the present invention, only one inlet to the housing is provided, and correspondingly only one line of plumbing coupled to the pump is required. Offsetting the plane of the drive means from the outlet/medial plane of the housing further makes the pump design, operation, and maintenance more convenient and allows one to use a conventional drive means, especially in the permanent magnet-to-permanent magnet configuration.

#### SUMMARY OF THE INVENTION

invention to provide an improved pump for transferring fragile liquids such as human blood, and wherein the pump is bearing and seal-free, with the rotor having at least one shroud in spaced relationship to the outer surface of the rotor core to create one or more annular flow channels, and with the rotor having radial vanes which may enclose magnetic drive components adjacent the radial outer ends thereof, and with the rotor being dynamically

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balanced upon rotation by a combination of hydrodynamic and buoyant forces, and preferably having an internal bore formed therewithin to transfer incoming fluid from one polar end of the rotor to the other.

It is yet a further object of the present invention to provide an improved pump for application with human blood which is capable of creating a uniform and consistent flow of such liquids without damaging or otherwise adversely affecting the quality of the material being pumped.

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It is yet a further object of the present invention to provide a pump structure utilizing a pumping chamber housing a shrouded rotor wherein rotation of the rotor is achieved by an electromagnetic drive system operating in conjunction with an array of permanent magnets distally positioned in radial vanes mounted on the rotor and arranged on the rotor in a brushless configuration.

Other and further objects of the present invention will become apparent to those skilled in the art upon a study of the following specification, appended claims, and accompanying drawings.

#### IN THE DRAWINGS

Figure 1 is a perspective view of a pump assembly prepared in accordance with the present invention;

Figure 2 is a vertical sectional view taken through the axis of the structure as illustrated in Figure 1, and illustrating the configuration of the rotor including the rotor core and shroud, and with this view further illustrating the flow pattern created by the pump when in actual operation;

Figures 3 and 4 are horizontal sectional views taken along the line and in the direction of the arrows 3-3 and 4-4, respectively, of Figure 2;

Figure 5 is a view similar to Figure 2, and illustrating a modified configuration for the drive components;

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Figure 6 is a view similar to Figure 2 and illustrating a modified shroud configuration for the rotor;

Figure 7 is a fragmentary sectional view taken on a slightly enlarged scale and illustrating the configuration of the clearance between the rotor and housing;

Figure 8 is a schematic diagram illustrating a typical system in which the device of the present invention may function;

Figure 9 is a perspective view of an alternate preferred embodiment of a pump assembly prepared in accordance with the present invention;

Figure 10 is a vertical sectional view of the
assembly and taken through the axis of rotation of the
rotor portion of the structure as illustrated in Figure
9, with Figure 10 being taken along the line and in the
direction of the arrows 10-10 of Figure 11, and further
illustrating the arrangement of the drive components of
the pump;

Figure 11 is a horizontal sectional view of the pump taken along the line and in the direction of the arrows 11-11 of Figure 10;

Figure 12 is a fragmentary sectional view taken on a slightly enlarged scale and illustrating the clearance between the rotor and housing, and illustrating the manner in which a portion of the fluid flows through the assembly;

Figure 13 is a schematic diagram illustrating a typical system in which the device of the present invention may function;

Figure 14 is a perspective view of an additional alternate preferred embodiment of a pump assembly prepared in accordance with the present invention;

Figure 15 is a vertical sectional view taken through the axis of the rotor, and illustrating the flow patterns created by the pump when in actual operation;

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Figures 16 and 17 are horizontal sectional views of the pump structure illustrated in Figure 15, and taken along the lines 16-16 and 17-17 respectively of Figure 15;

Figure 18 is a fragmentary view on an enlarged scale and illustrating the magnitude of the clearance between the exterior of the rotor and the interior of the housing; and

Figure 19 is a schematic diagram illustrating a typical system in which the device of the present 10 invention may function.

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DESCRIPTION OF THE PREFERRED EMBODIMENT

In accordance with the preferred embodiment of the present invention, and with particular attention being directed to Figures 1, 2 and 3 of the drawings, the pump generally designated 10 comprises a housing 11, the interior of which defines pumping chamber shown generally at 12. In other words, the inner periphery 13 of housing 11 is the outer periphery of the chamber 12. As is clear from the views of Figures 2 and 3, housing 11 and chamber 20 12 share a central axis which extends along axis 14 as set forth in Figure 2. Housing 11, and accordingly chamber 12, is provided with a pair of inlet ports as at 16 and 17, along with an outlet port as at 18. Inlet ports 16 and 17, collectively, define the inlets to the chamber, while outlet port 18 defines the outlet. The inlet ports 16 and 17 are arranged coaxially with the chamber, that is, along axis 14, with the inlet ports being arranged transverse to axis 14 and in oppositely disposed relationship to chamber 12. Outlet port 18 is arranged medially of the inlet ports, and is, as indicated, disposed generally transversely of axis 14.

With continued attention being directed to Figures 2 and 3 of the drawings, rotor generally shown at 20 is disposed within chamber 12 and has a symmetrical dual conical configuration. This configuration provides a core member 19 with dual cones converging toward opposed

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polar regions such as 19A and 19B, and the rotor is provided with an axis of rotation which extends between the polar regions. The base of each of the two cones forming the dual cone configuration of core 19 are coupled together and form a common center plane. Positioned between core 19 and shroud 23 are a plurality of vanes, with the opposed ends of the vanes being shown in Figure 2. These vanes are also shown in section in Figure 4. Coupled to core 19 is a shroud 23, with shroud 23 being coupled to core 19 by means of coupling rods or 10 posts 24-24, thereby creating an additional fluid contact area for inducing flow, along with an annular flow channel as shown generally at 25. An external flow channel is also defined annularly and externally of rotor assembly 20 as at 26. 15

A plurality of permanent magnets are provided as at 27-27, with these magnets being arranged at radially spaced locations below or above the medial plane of rotor 20 and along the axis of rotation of the rotor, with the permanent magnets being provided at equally radially and arcuately spaced locations. Electromagnetic drive means are provided as at 28-28, with the electromagnetic drive means being, in turn, coupled to a source of electrical energy and arranged to deliver rotational driving energy to the rotor through the permanent magnets 27-27. The drive arrangement is, of course, commonly referred to as a brushless motor configuration and brushless motor drives are, of course, well known in the art. The rate of rotation of rotor 20 is conveniently controlled by means of the frequency of the field applied to electromagnetic members 28-28, with the rate of rotation being controlled by the frequency of the applied electromagnetic field, or by selective energization of the electromagnetic means 28-28. Such drives are, of course, commonly utilized and well known in the art.

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Rotor 20 is further defined by walls 21 and 22 along with shroud 23, with the material of construction being

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either similar or identical to that employed in housing 11. A suitable biocompatible material such as polycarbonate, acrylic, or copolymers of polystyrene may be employed, or alternatively a coating may be applied to a suitable substrate in order to enhance the biocompatibility of the structure. In those instances where the device is not being employed for implantation, then, of course, other materials may be employed, provided that the blood-contacting surfaces be formed and/or coated with a non-thrombogenic material.

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Rotor 20 is provided with a hollow core or void area internally of surface 32, with this area providing a means for controlling the relative density of the rotor body. Preferably, the relative density is selected by the ratio of the relative density of the rotor to that of the fluid being pumped, and in most applications, the relative density of the rotor to the fluid being pumped is between about 0.3 and 0.6, with it being understood that relative densities of between about 0.1 and 0.9 may be found useful. In the event the rotor material has a density which is lower than that of a fluid to be pumped, the voids in the core and shroud may, of course, be eliminated.

The dual conical configuration of rotor 20 and its shroud 23 provides the finished structure with an axial length along the axis of rotation as being generally equal to the axial length of the pumping chamber between the inlet ports 16 and 17. The transverse diameter of the rotor 20 is defined along a medial plane, as along medial line 33 and with the configuration of the dual converging cones providing a clearance between the surface of the shroud and the inner surface of the pumping chamber as illustrated in greater detail in Figure 7. Generally speaking, the clearance as indicated at A-A and B-B is such that the clearance is shown substantially constant from the inlet port area to the outlet port area, however this clearance may also

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slightly diverge or converge toward the outlet. The dimensional clearance is sufficient to provide for a flow rate which is adequate to assure laminar flow between the zone of the polar tip to the medial plane. The design of the shroud is undertaken to assist in preserving such laminar flow. With these considerations in mind, the clearance between the inner surface of the pumping chamber and the periphery of the rotor shroud preferably ranges from between about 1 millimeter up to about 7 millimeters, with a narrower range of between about 1 millimeter and 3 millimeters being generally preferred. Generally, a clearance of about 1.5 millimeters between the outer surface of the shroud 23 and the inner surface 13 of housing 11 is preferred.

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With respect to the areas of the inlet and outlet ports, it is generally preferred that the combined area of the inlet ports be at least generally equal to the area of the outlet port, thereby providing more consistency in flow and pressures, and also providing for an appropriate hydrodynamic balancing of the rotor 20 within the chamber 12. In the event multiple outlet ports are employed, then and in that event, it remains preferable that the combined area of the outlet ports be generally equal to the combined area of the inlet ports.

As has been indicated, the drive means for the electromagnetic drive elements 28-28 are preferably in the form of conductor windings, and for purposes of achieving appropriate hydrodynamic balance, the windings are carefully controlled and selectively made so as to preserve the hydrodynamic balance of the rotating rotor while eliminating the need for any form of bearing.

As has been indicated, the moment of inertia of the impeller is effectively minimized by virtue of the positioning of the mass of the impeller closer to the center of gravity (or center of mass). This may be obtained by moving the mass of the impeller needed for structural integrity closer to the center, and generally

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as closely as possible to the rotational axis. The moment of inertia may be controllably adjusted in connection with the structure of the present invention by arranging and mounting the permanent magnets within a circular or annular zone which is as close as possible to the maximum radius of the rotor shroud, as required, while increasing the strength of the structure along its axis of rotation. This feature is illustrated in Figure 2 wherein the permanent magnets 27-27 are disposed adjacent the outer circumference of lower shroud segment 30.

Accordingly, in the configuration illustrated in Figure 2, lower shroud segment 30, while concentrically arranged relative to rotor core 24, this segment of the shroud encloses or otherwise encapsulates permanent magnets 27-27, while at the same time arranging an annular flow channel as at 31.

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With respect to the fluid being pumped, it should be noted that the human blood has a viscosity of about 4 centipoises at 25° C., and this viscosity is sufficient to provide for sufficient friction between a relatively smooth rotating surface and blood so as to achieve a sufficient rotational component of motion for hydrodynamic balancing. In the shrouded rotor configuration illustrated herein, it will be appreciated that the shroud provides additional contact area, thus accommodating the utilization of relatively smooth rotating surfaces and fluid blood.

As the rotational velocity of the fluid being pumped increases, its hydrodynamic balance effect will, of course, increase correspondingly and proportionately. With a rotational velocity of approximately 1000 rpm, the hydrodynamic balancing effect substantially overwhelms the buoyant effect afforded by the relative density of the rotor within the chamber.

For start-up purposes, saline is normally preferred as the functional material, with the saline being

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employed for a period of time until the desired rotational velocity is achieved, and thereafter blood may be introduced as the working solution being pumped and/or transferred.

While the rotor structure illustrated is described 5 as being relatively smooth, vanes may be employed on the structure with the vanes forming arcuately spaced passages within the rotor. In other words, the vanes may be formed as individual arcuately spaced paddles to form spaced-apart fluid passages and/or channels. A plurality 10 of vanes are positioned between the outer surface of core 19 and the inner surface of shroud 23 as illustrated in Figures 2 and 4. Additionally, if desired, the configuration of support may be such that these components of the assembly function as vanes as well. 15 Thus, while vanes as illustrated have rounded edges, other vane configurations such as elliptical may be employed.

The inlet and outlet diameters are preferably 7

20 millimeters and the relative density is preferably between 0.1 to 0.9, with a relative density of 0.5 being preferred.

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For most operational purposes, an inlet pressure ranging from between about 5 millimeters of Hg (mercury) up to about 40 millimeters Hg (mercury) is considered normal and appropriate for fluid dynamics dealing with human blood. Outlet pressures of between about 40 millimeters Hg (mercury) up to about 150 or 200 millimeters Hg (mercury) may be employed. When the device of the present invention is functioning as an implantable unit, the outlet pressure will, of course, depend upon the patient's activity and circulatory requirements being indicated.

Attention is now directed to Figure 5 of the

drawings wherein a modified drive and shroud

configuration is illustrated. In Figure 5, for example,

shroud 40 is symmetrically arranged about rotor core 19.

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In this connection, however, both upper and lower portions of shroud 40 are symmetrical, and provide secondary annular flow channels as at 41 and 42. Additionally, main or primary annular flow channels are provided as at 43 and 44, as shown in the drawings.

In this arrangement, however, symmetrically arranged dual drive mechanisms are provided with permanent magnet assemblies being shown at 27A and 27B respectively, and with drive magnets being shown at 28A, 28B, 29A, and 29B, respectively. With the exception of the shroud design, the other features of the configuration of Figure 5 are the same as those illustrated and described in connection with Figures 1 and 2 hereinabove.

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With attention now being directed to Figure 6 of the drawings, a modified shroud configuration is illustrated, 15 with rotor core 19 being provided with a pair of concentrically arranged shrouds as at 45 and 46 respectively. In the arrangement of Figure 6, it will be noted that inner shroud 45 is totally symmetrical about rotor core 19, while outer shroud 46 is provided with a 20 lower segment or portion as at 47 which is similar in its configuration to shroud portion 30 as illustrated in Figure 2. In the configuration of Figure 6, multiple annular flow channels are provided between the rotor core and the inner shroud as at 48, between inner and outer 25 shrouds as at 49, and in the outer annular zone between outer shroud 46 and the inner surface 50 of housing 11, with this outer annular channel being shown at 51. The rotor configuration with multiple shrouds as shown in Figure 6 may be modified in the manner of the structure 30 illustrated in Figure 5 with a dual drive mechanism.

With attention now being directed to Figure 7 of the drawings, this figure, which is a fragmentary sectional view, illustrates the configuration of the clearance between the outer surface of the rotor shroud and the housing. In this view, the inner surface of the housing

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is illustrated at 53, with the outer surface of the shroud being illustrated at 54.

Attention is now directed to Figure 8 of the drawings wherein the pump 10 is coupled in a system which functions as a ventricular or heart-assist device. 10 is powered by power supply 60 and sensors, including pickup ratio sensor 61 and ratio control 62 are employed. The patient pressure level monitor 63 provides an input to ratio control 62 with the level monitor receiving information including patient pressure level input as at 10 64 and pressure level signal 65. These systems are known in the art and may be employed effectively in connection with the device of the present invention.

While double shrouds have been discussed, it is possible that multiple shrouds may be employed wherein the rotor core is provided with surfaces of revolution disposed axially outwardly of the outer core surface, and with the surfaces of revolution being arranged coaxially with the axis of rotation of the rotor.

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While the term "double conical configuration" has been employed throughout for the rotor core, it will be understood that other surfaces of revolution may be employed, such as those surfaces of revolution generated by a curved line such as parabola, or a straight line so 25 as to form a cone. Thus, the term "cone" is understood to be broadly defined herein. Additionally, modified surfaces of revolution such as those illustrated in connection with the shroud of Figure 5 may be utilized. DESCRIPTION OF A SECOND OR ALTERNATE PREFERRED EMBODIMENT

In accordance with a second preferred embodiment of the present invention, and with particular attention being directed to Figures 9, 10 and 11 of the drawings, the pump generally designated 110 comprises a housing 111, the interior of which defines pumping chamber 112. In other words, the inner periphery 113 of housing 111 is the outer periphery of the chamber 112. As is clear from the views of Figures 10 and 11, housing 111 and chamber

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112 share a central axis which extends along axis 114 as set forth in Figure 10. Housing 111, and accordingly chamber 112, is provided with a pair of inlet ports as at 116 and 117, along with an outlet port as at 118. Inlet ports 116 and 117, collectively, define the inlets to the chamber, while outlet port 118 define the outlet. The inlet ports 116 and 117 are arranged coaxially with the chamber, that is, along axis 114, with the inlet ports being arranged in oppositely disposed relationship to chamber 112. Outlet port 118 is arranged medially of the 10 inlet ports, and is, as indicated, disposed generally transversely of axis 114. It should be noted that multiple outlet ports may be utilized, and in such an event, each port is typically arranged medially of the inlet ports, consistent with the arrangement illustrated 15 for outlet port 118.

With continued attention being directed to Figures 10 and 11 of the drawings, rotor generally designated 120 is disposed within chamber 112 and has a modified symmetrical dual conical configuration. This 20 configuration provides dual cones 121 and 122 converging toward opposed polar regions such as 123 and 124, and the rotor is provided with an axis of rotation which extends between the polar regions 123 and 124, which is normally consistent with and coaxially with axis 114. The base of 25 each of the two cones forming the dual cone configuration are coupled together and form a common center plane 125. The outer surfaces of dual cones 121 and 122 are provided with a plurality of radial vanes such as at 127-127. Vanes 127-127 are arranged with surface portions 30 extending axially and radially of the outer surfaces of cone members 121 and 122, as is illustrated and shown in Figure 10. In addition, vanes 127-127 are utilized as a mounting base for a plurality of permanent magnets such as magnets 128-128. These magnets are arranged at 35 radially spaced locations generally equally axially

spaced from center plane 125 and radially outwardly of

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the axis of rotation of rotor 120. The permanent magnets 128-128 are provided at equally radially and arcuately spaced locations. Electromagnetic drive means are provided as at 129-129 and 130-130, with the electromagnetic drive means being, in turn, coupled to a source of electrical energy and arranged to deliver rotational driving energy to the rotor through the permanent magnets 128-128. The drive arrangement is, of course, commonly referred to as a brushless motor configuration and brushless motor drives are, of course, 10 well known in the art. The rate of rotation of rotor 120 is conveniently controlled by means of the frequency of the field applied to electromagnetic members 129-129 and 130-130, with the rate of rotation being controlled by the frequency of the applied electromagnetic field, or by 15 selective energization of the electromagnetic means 129-129 and 130-130. Such drives are, of course, commonly utilized and well known in the art.

The material of construction of rotor 120 is either similar or identical to that employed in housing 111. A suitable biocompatible material such as polycarbonate, acrylic, or copolymers of polystyrene may be employed, or alternatively a coating may be applied to a suitable substrate in order to enhance the biocompatibility of the structure. In those instances where the device is not being employed for implantation, then, of course, other materials may be employed, provided that the blood-contacting surfaces be formed and/or coated with a non-thrombogenic material.

Rotor 120 is provided with a hollow core or void chamber area as shown generally at 132, with this volume or area providing a means for controlling the relative density of the rotor body. Preferably, the relative density is selected by the ratio of the relative density of the rotor to that of the fluid being pumped, and in most applications, the relative density of the rotor to the fluid being pumped is between about 0.3 and 0.6, with

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it being understood that relative densities of between about 0.1 and 0.9 may be found useful. Also, the dual conical configuration of rotor 120 provides the finished structure with an axial length along the axis of rotation as being generally equal to the axial length of the pumping chamber between the inlet ports 116 and 117. The transverse diameter of the rotor 120 is defined along a medial plane, as along medial line 125 and with the configuration of the dual converging cones and the radial vanes providing a clearance between the surface of the 10 vanes of the rotor and the inner surface of the pumping chamber as illustrated in greater detail in Figure 12. Generally speaking, the clearance as indicated at A-A and B-B is such that the clearance remains constant from the inlet port area to the outlet port area, however this 15 clearance may be slightly divergent or slightly convergent toward the outlet. The spacing between the periphery of the vanes as at 132 and the outer surface of dual cones 121 and 122 is preferably approximately constant, and also generally parallel to the inner 20 surface 113 of housing 111. With the volume available for transfer of the fluid being pumped, the meridional velocity of the pumped fluid remains generally constant during its passage through the pump as it moves along its translational and rotational paths and/or vectors. With 25 these considerations in mind, the clearance between the inner surface of the pumping chamber and the outer edges of the radial vanes 127-127 or rotor 120 preferably ranges from between about 1 millimeter up to about 7 millimeters, with a narrower range of between about 1 30 millimeter and 3 millimeters being generally preferred. Generally, a clearance of about 1.5 millimeters is preferred, with this spacing being dimensionally illustrated at the zone between opposed arrows A-A and B-35 B of Figure 12.

With respect to the areas of the inlet and outlet ports, it is generally preferred that the combined area -23-

of the inlet ports be generally equal to the combined areas of the outlet port or ports, thereby providing more consistency in flow and pressures, and also providing for an appropriate hydrodynamic balancing of the rotor 120 within the chamber 112.

The permanent magnets 128-128 are encapsulated within the radial vanes 127-127. As illustrated in Figure 10, the physical position of the encapsulated permanent magnets 128-128 is generally closely adjacent the outer radial edge surface of vanes 127-127, with the radially outward edge surface being illustrated at 133.

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As has been indicated, the drive means for the electromagnetic drive elements 129-129 and 130-130 is preferably in the form of conductor windings, and for purposes of achieving appropriate hydrodynamic balance, the windings are carefully controlled and selectively made so as to preserve the hydrodynamic balance of the rotating rotor while eliminating the need for any form of bearing.

The moment of inertia of the rotor or impeller is 20 effectively minimized by virtue of the positioning of the mass of the impeller closer to the center of gravity or center of mass. This may be obtained by moving the mass of the impeller needed for structural integrity closer to the center, and generally as closely as possible to the 25 rotational axis. The moment of inertia may be controllably adjusted in connection with the structure of the present invention by arranging and mounting the permanent magnets within a circular or annular zone which is at the maximum radius of the rotor inner impeller, as 30 required, while at the same time increasing the strength of the structure along its axis of rotation.

With respect to the fluid being pumped, it should be noted that the human blood has a viscosity of about 4 centipoises at 25° C., and this viscosity is sufficient to adequately provide for sufficient friction between the vanes and rotor surface against the blood so as to

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achieve a sufficient rotational component of motion for hydrodynamic balancing. As the rotational velocity of the fluid being pumped increases, its hydrodynamic balance effect will, of course, increase correspondingly and proportionately. With a rotational velocity of approximately 1000 rpm, the hydrodynamic balancing effect substantially overwhelms the buoyant effect afforded by the relative density of the rotor within the chamber.

For start-up purposes, saline is normally preferred as the functional material, with the saline being employed for a period of time until the desired rotational velocity is achieved, and thereafter blood may be introduced as the working solution being pumped and/or transferred.

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The inlet and outlet diameters are preferably 7 millimeters and the relative density is preferably between 0.1 to 0.9, with a relative density of 0.5 being preferred.

ranging from between about 5 millimeters of Hg (mercury) up to about 40 millimeters Hg (mercury) is considered normal and appropriate for fluid dynamics dealing with human blood. Outlet pressures of between about 40 millimeters Hg (mercury) up to about 150 or 200

25 millimeters Hg (mercury) may be employed. When the device of the present invention is functioning as an implantable unit, the outlet pressure will, of course, depend upon the patient's activity and circulatory requirements being indicated.

The pump device of the present invention may be utilized as a patient-assist unit, with the pump being employed as a device with the outlet or outlets coupled to the aorta. In an alternative construction, the outlet may be coupled to the pulmonary artery. As indicated, the device of the present invention has application as a transfer pump as well, and may be employed, therefore, in

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surgical procedures which involve temporarily removing and/or temporarily disabling the heart function.

Attention is now directed to Figure 13 of the drawings wherein the pump 110 is coupled in a system which functions as a ventricular or heart-assist device. Pump 110 is powered by power supply 150 and sensors, including pickup ratio sensor 151 and ratio control 152 are employed. The patient pressure level monitor 153 provides an input to ratio control 152 with the level monitor receiving information including patient pressure level input as at 154 and pressure level signal 155. These systems are known in the art and may be employed effectively in connection with the device of the present invention.

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Again, as stated in connection with the earlier 15 described preferred embodiment, while the term "double conical configuration" has been employed throughout, it will be understood that other surfaces of revolution may be employed, such as those surfaces of revolution generated by a curved line such as parabola, or a 20 straight line so as to form a cone. Thus, the term "cone" is understood to be broadly defined herein.

## DESCRIPTION OF A THIRD OR ADDITIONAL ALTERNATE PREFERRED EMBODIMENT

In accordance with a third or additional alternate preferred embodiment of the present invention, and with particular attention being directed to Figures 14, 15 and 16 of the drawings, the pump generally designated 210 comprises a housing 211, the interior of which defines pumping chamber shown generally at 212. In other words, the inner periphery 213 of housing 211 is the outer periphery of the chamber 212. As is clear from the views of Figures 15, 16, and 17, housing 211 and chamber 212 share a central axis which extends along axis 214 as set forth in Figure 15. Housing 211, and accordingly chamber 35 212, is provided with a primary inlet port as at 216, along with outlet ports as at 218 and 219. Inlet port

216 defines the inlet to the chamber, while outlet ports 218 and 219 collectively define the outlets. The external inlet port 216 is arranged coaxially with the chamber, that is, along axis 214, with the external inlet port being arranged in oppositely disposed relationship to secondary or internal inlet 217 adjacent apex 243 of deflector tip. Outlet ports 218 and 219 are arranged medially of the primary and secondary inlet ports, and are, as indicated, disposed generally transversely of axis 214.

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With continued attention being directed to Figures 15 and 16 of the drawings, rotor 220 is disposed within chamber 212 and has a core component 221 with a symmetrical dual conical configuration. This 15 configuration provides dual cones of arcuate configuration converging toward opposed polar regions such as 221 and 222, with the rotor being provided with an axis of rotation which extends between the polar regions 221 and 222, and generally along with and coincidental with axis 214. The base of each of the two 20 cones forming the dual cone configuration are coupled together and form a common center plane as at 223. The rotor core 221 is also provided with a pair of conically configured shrouds, such as at 224, 225, 226 and 227. Shrouds 224, 225 and 226 are each generally conical in 25 configuration with the cones being formed as the surface of revolution of an arcuate segment, the arcuate segment having a radius which substantially matches that of the dual cones. Additionally, shroud 227 has an inner arcuate segment as at 227A along with an outer segment as 30 at 227B. Segments 227A and 227B are joined together at their ends so as to form an enclosed surface of revolution defined generally by arcuate segments 227A and 227B, with the resultant being a member having a modified toroidal configuration. 35

The individual shrouds are coupled to core component 21 by posts or spoked discs 228-228. Discs 228-228

provide a link between the outer surface 229 of core component 221, and thus provide the mechanical stability and rigidity for the overall assembly. The configuration of the core component 221 together with shrouds 224, 225, 226 and 227 define annular flow channels as at 231, 232, and 233 on the upper portion of the assembly along with similar annularly configured channels 234, 235, and 236 adjacent the lower portion of the assembly. Within the confines of modified toroidal member 227 are a series of permanent magnets such as magnets 237-237. These magnets 10 are arranged at radially spaced locations generally medially along the axis of rotation of rotor 220, with the permanent magnets being provided at equally radially and arcuately spaced locations. Electromagnetic drive means are provided as at 238-238, with the 15 electromagnetic drive means being, in turn, coupled to a source of electrical energy and arranged to deliver rotational driving energy to the rotor through the permanent magnets 237-237. The drive arrangement is, of course, commonly referred to as a brushless motor 20 configuration and brushless motor drives are, of course, well known in the art. The rate of rotation of rotor 220 is conveniently controlled by means of the frequency of the field applied to electromagnetic members 238-238, with the rate of rotation being controlled by the 25 frequency of the applied electromagnetic field, or by selective energization of the electromagnetic means 238-238. Such drives are, of course, commonly utilized and well known in the art.

Rotor 220 is defined by outer surface or wall 229, with the material of construction being either similar or identical to that employed in housing 211. A suitable biocompatible material such as polycarbonate, acrylic, or copolymers of polystyrene may be employed, or alternatively a coating may be applied to a suitable substrate in order to enhance the biocompatibility of the structure. In those instances where the device is not

being employed for implantation, then, of course, other materials may be employed, provided that the blood-contacting surfaces be formed and/or coated with a non-thrombogenic material. If the rotor material has a lower density than a pumped fluid, the voids and/or cavities formed in the core and shroud may be eliminated.

Rotor 220 is provided with a tubular core 240 which defines an axial bore generally designated 241. Bore 241 accepts fluid from inlet 216 and transmits the fluid directly to secondary inlet zone or chamber 242, for ultimate transfer and flow through annular channels 234, 235 and 236. As indicated, each of these channels leads to outlet 218, thereby providing continuity of flow. An apex point is provided as at 243 in order to provide for smooth flow, preferably laminar flow, through the pump assembly.

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In addition, a hollow core or void area is provided within rotor core 221, with this chamber being shown generally at 245. This chamber area provides a volume of a size or magnitude which in turn defines a means for controlling the relative density of the rotor body. Additional buoyancy is provided by the modified toroidal configuration of member 227, thereby providing an overall relative density for the rotor assembly. Preferably, the relative density is selected by the ratio of the relative density of the rotor or rotor assembly to that of the fluid being pumped, and in most applications, the relative density of the rotor to the fluid being pumped is between about 0.3 and 0.6, with it being understood that relative densities of between about 0.1 and 0.9 may be found useful. Also, the dual conical configuration of the core component 221 of rotor 220 together with shrouds provides the finished structure with an axial length along the axis of rotation as being generally equal to the axial length of the pumping chamber between the primary inlet port 216 and secondary inlet port area 242. The transverse diameter of the rotor 220 and its shrouds

is defined along a medial plane, as along medial line 223 and with the configuration of the dual converging cones providing a clearance between the surface of the rotor and the inner surface of the pumping chamber as illustrated in greater detail in Figure 18. Generally speaking, the clearance as indicated at A-A and B-B is such that the clearance remains constant from the primary and secondary inlet ports to the outlet ports. The combined area of the individual flow channels is such that the rate of motion for the fluid being pumped as it 10 moves along its transitional and rotational motions and/or vectors is substantially constant. With these considerations in mind, the clearance between the inner surface of the pumping chamber and the periphery of the rotor preferably ranges from between about 1 millimeter 15 up to about 7 millimeters, with a narrower range of between about 1 millimeter and 3 millimeters being generally preferred for blood. Generally, a clearance of about 1.5 millimeters is preferred.

With respect to the areas of the inlet and outlet ports, it is generally preferred that the combined area of the primary inlet port 216 is generally equal to the combined areas of the outlet ports 218 and 219, thereby providing more consistency in flow and pressures, and also providing for an appropriate hydrodynamic balancing 25 of the rotor 220 within the chamber 212.

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As has been indicated, the drive means for the electromagnetic drive elements 238-238 is preferably in the form of conductor windings, and for purposes of achieving appropriate hydrodynamic balance, the windings are carefully controlled and selectively made so as to preserve the hydrodynamic balance of the rotating rotor while eliminating the need for any form of bearing.

As has been indicated, the moment of inertia of the impeller is effectively minimized by virtue of the positioning of the mass of the impeller closer to the center of gravity or center of mass. This may be

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obtained by moving the mass of the impeller needed for structural integrity closer to the center, and generally as closely as possible to the rotational axis. The moment of inertia may be controllably adjusted in connection with the structure of the present invention by arranging and mounting the permanent magnets within a circular or annular zone which is at the maximum radius of the rotor inner impeller, as required, while increasing the strength of the structure along its axis of rotation. As is indicated in Figure 15, for example, permanent magnets 237-237 are positioned within the confines of modified toroidal member 227, thereby effectively isolating the permanent magnets from contact with the fluid being pumped.

With respect to the fluid being pumped, it should be noted that the human blood has a viscosity of about 4 centipoises at 25° C., and this viscosity is sufficient to provide for sufficient friction between relatively smooth rotor and shroud surfaces and blood so as to achieve a sufficient rotational component of motion for hydrodynamic balancing. As the rotational velocity of the fluid being pumped increases, its hydrodynamic balance effect will, of course, increase correspondingly and proportionately. With a rotational velocity of approximately 1000 rpm, the hydrodynamic balancing effect substantially overwhelms the buoyant effect afforded by the relative density of the rotor within the chamber.

For start-up purposes, saline is normally preferred as the functional material, with the saline being employed for a period of time until the desired rotational velocity is achieved, and thereafter blood may be introduced as the working solution being pumped and/or transferred.

While the rotor structure illustrated is described as being relatively smooth, vanes may be employed on the structure with the vanes forming arcuately spaced passages within the rotor. In other words, vanes, if

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employed, may be formed as individual arcuately spaced paddles to form spaced-apart fluid passages and/or channels.

The inlet and outlet diameters are preferably 7 5 millimeters and the relative density is preferably between 0.1 to 0.9, with a relative density of 0.5 being preferred.

For most operational purposes, an inlet pressure ranging from between about 5 millimeters of Hg (mercury) up to about 40 millimeters Hg (mercury) is considered normal and appropriate for fluid dynamics dealing with human blood. Outlet pressures of between about 40 millimeters Hg (mercury) up to about 150 or 200 millimeters Hg (mercury) may be employed. When the device of the present invention is functioning as an implantable unit, the outlet pressure will, of course, depend upon the patient's activity and circulatory requirements being indicated.

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Attention is now directed to Figure 19 of the drawings wherein a system is illustrated for utilization 20 of the pump device of the present invention as a patientassist unit. In the drawing of Figure 19, the pump 250 may be employed as a device with the outlets coupled to the aorta. In an alternative construction, the outlet 25 may be coupled to the pulmonary artery. As indicated, the device of the present invention has application as a transfer pump as well, and may be employed, therefore, in surgical procedures which involve temporarily removing and/or temporarily disabling the heart function.

The pump 250 is coupled in a system which functions as a ventricular or heart-assist device. Pump 250 is powered by power supply 251 and sensors, including pickup ratio sensor 252 and ratio control 253 are employed. The patient pressure level monitor 255 provides an input to 35 ratio control 253 with the level monitor 255 receiving information, including patient pressure level input as at 254 and pressure level signal 256. These systems are

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known in the art and may be employed effectively in connection with the device of the present invention.

While double cones have been discussed, it is possible that multiple cones may be employed in lieu of vanes, wherein the rotor is provided with surfaces of revolution disposed axially outwardly of the rotor, and with the surfaces of revolution being arranged coaxially with the axis of rotation of the rotor.

While the term "double conical configuration" has been employed throughout, it will be understood that other surfaces of revolution may be employed, such as those surfaces of revolution generated by a curved line such as parabola, hyperbola, or a straight line so as to form a cone. Thus, the term "cone" is understood to be broadly defined herein.

It will be appreciated, of course, that various modifications may be made in the preferred embodiment illustrated above, and these modifications may be made without actually departing from the spirit and scope of the present invention.

What is claimed is:

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#### CLAIMS

1. A pump for transferring fluids and comprising a pumping chamber with an inner periphery, an outer periphery and a central axis, a pair of fluid inlet ports arranged in oppositely disposed relationship on said chamber and coaxially with said pumping chamber, an outlet port means arranged transversely and generally medially of said pair of inlet ports, a rotor assembly disposed within said pumping chamber and having a core portion with a dual conical configuration converging toward opposed polar regions and with the rotor assembly having an axis of rotation extending between said polar regions and arranged coaxially with the axis of said pumping chamber, magnetic driven means arranged on said rotor assembly at radially spaced locations generally about said axis of rotation, electromagnetic drive means coupled to a source of energy and arranged to deliver rotational driving energy to said rotor assembly through said magnetic driven means; said rotor assembly comprising:

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- (a) a rotor core portion defining a first surface 20 of revolution having an outer peripheral surface and a central axis extending therethrough;
  - (b) at least one shroud coupled to the outer surface of said rotor core portion with said shroud defining a second surface of revolution arranged coaxially with the central axis of said rotor core portion, said shroud having an inner surface spaced from the outer surface of said rotor core portion and defining flow channels disposed annularly inwardly and annularly outwardly of said second surface of revolution;
- (c) said rotor assembly having a density relative—
  30 to the fluid being pumped therein of between about 0.1 and
  0.9;

- (d) said second surface of revolution having a configuration with an axial length extending along said axis of revolution and defining the axial length of said pumping chamber disposed between said inlet ports; and
- (e) with the diameter of said rotor assembly transverse to said axis of rotation defining a medial plane and being selected to provide a clearance between the outer surface of said rotor core and the inner surface of said pumping chamber, and with the magnitude of the clearance between said inner surface of said pumping chamber and the outer periphery of said rotor core portion ranging from slightly divergent to slightly convergent.

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- 2. The pump of Claim 1 wherein said rotor assembly includes at least two shroud members, with each shroud member being mounted for rotation with said rotor core and defining three annularly spaced-apart flow channels equal in number to the number of shrouds plus one.
- 3. The pump of Claim 1 wherein the rate of rotation of said rotor is controllably variable.
- 4. The pump of Claim 1 being particularly characterized in that means are provided for sensing the rotational velocity of said rotor assembly.
- 5. The pump of Claim 1 being particularly characterized in that the driving forces for said rotor assembly are coupled to magnetic driven means disposed in spaced relationship to the center of mass of the rotor assembly.

- 6. The pump of Claim 1 being particularly characterized in that the fluid flows from inlets adjacent the polar tips of the cones to said outlet adjacent the medial plane.
- 7. The pump as defined in Claim 1 wherein the drive means includes permanent magnets arranged within the rotor along radial points adjacent the outer circumference of the rotor assembly.
- 10 8. The pump as defined in Claim 1 wherein the drive means includes permanent magnets disposed in a circular array, and wherein the outer perimeter of the magnets forming the array is disposed radially inwardly from the outer circumference of the rotor assembly and in a plane spaced from the plane of said outlet, and with the structural mass of the rotor being disposed adjacent the rotational axis, thereby reducing the moment of inertia of said rotor assembly.
- 9. A pump for transferring fluids and comprising a pumping chamber with an inner periphery, an outer periphery 20 and a central axis, inlet port means arranged in polar relationship to said pumping chamber and coaxially with said pumping chamber, an outlet port means arranged transversely and generally medially of said pair of inlet ports, a rotor assembly disposed within said pumping chamber and having a 25 core portion with a dual conical configuration converging toward opposed polar regions and having an axis of rotation extending between said polar regions and arranged coaxially with the axis of said pumping chamber, magnetic driven means arranged on said rotor at radially spaced locations from said 30 axis of rotation, electromagnetic drive means coupled to a source of energy and arranged to deliver rotational driving

energy to said rotor assembly through said magnetic driven means; said rotor assembly comprising:

- (a) a rotor core portion defining a first surface of revolution having an outer peripheral surface and a central axis extending therethrough;
- (b) at least one shroud coupled to the outer surface of said rotor core portion with said shroud defining a second surface of revolution arranged coaxially with the central axis of said rotor core portion, said shroud having an inner surface spaced from the outer surface of said rotor core portion and defining flow channels disposed annularly inwardly and annularly outwardly of said second surface of revolution:
- (c) said rotor assembly having a density relative to the fluid being pumped therein of between about 0.1 and 0.9;

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- (d) said second surface of revolution having a configuration with an axial length extending along said axis of revolution and defining the axial length of said pumping chamber disposed between said inlet ports;
- (e) with the diameter of said rotor assembly transverse to said axis of rotation defining a medial plane and being selected to provide a clearance between the outer surface of said rotor core and the inner surface of said pumping chamber, and with the magnitude of the clearance between said inner surface of said pumping chamber and the outer periphery of said rotor core ranging from slightly divergent to slightly convergent; and
- (f) the arrangement being such that the sole

  30 support for the rotor are the hydrodynamic forces created in
  the fluid being pumped, wherein the casing structure of the
  pump is free of rotor supporting members and bearings.

- 10. A pump for transferring fluids and comprising a pumping chamber with an inner periphery, an outer periphery and a central axis, a pair of fluid inlet ports arranged in oppositely disposed relationship on said chamber and coaxially with said pumping chamber, an outlet port means arranged transversely and generally medially of said pair of inlet ports, a rotor disposed within said pumping chamber and having a dual conical configuration converging toward opposed polar regions and having an axis of rotation extending 10 between said polar regions and arranged coaxially with the axis of said pumping chamber during operational rotation of said rotor, magnetic driven means arranged on said rotor at radially spaced locations generally symmetrically along said axis of rotation, electromagnetic drive means coupled to a source of energy and arranged to deliver rotational driving energy to said rotor through said magnetic driven means; said rotor comprising:
- (a) a body having a density relative to the fluid being pumped substantially less than that of the fluid being pumped and within a range of between about 0.1 and 0.9;
- (b) said body having an axial length and with the axial length along said axis of rotation defining the axial length of said pumping chamber disposed between said inlet ports; and with the diameter of said rotor body transverse to said axis of rotation defining a medial plane and the axial length and the diameter being selected to provide a clearance between the outer surface of said rotor and the inner surface of said pumping chamber;
- (c) a plurality of radial vanes mounted on the outer peripheral surfaces of said rotor and extending in an axial direction transverse to the axis of rotation thereof,

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and with the outer peripheral edges of said vanes being spaced from the inner peripheral surface of said housing along an approximately constant dimension and with the cross-sectional area of the inlet ports being substantially equal to that of the outlet ports, thereby providing for hydrodynamic balance of said rotor.

- 11. The pump of Claim 10 wherein said clearance between the inner surface of said pumping chamber and the outer

  10 surface of said rotor is adequate to provide a flow channel for blood, and is such that the velocity of fluid being pumped remains substantially constant between said inlet ports and outlet ports relative to the surface of said housing.
- 12. The pump of Claim 10 wherein the rate of rotation of said rotor is controllably variable.
- 13. The pump of Claim 10 being particularly characterized in that means are provided for sensing the rotational velocity of said rotor.
  - 14. The pump of Claim 10 being particularly characterized in that the driving forces for said rotor are coupled to magnetic driven means disposed symmetrically about the center of mass of the rotor.
- 15. The pump of Claim 10 being particularly characterized in that the fluid flows from inlets adjacent the polar tips of the cones to an outlet adjacent the medial plane.

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16. The pump as defined in Claim 14 wherein the drive means includes permanent magnets arranged within the rotor along radial points adjacent the outer circumference of the rotor.

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- 17. The pump as defined in Claim 10 wherein the drive means includes permanent magnets disposed in a circular array, and wherein the outer perimeter of the magnets forming the array is adjacent the outer circumference of the rotor adjacent the mid-point thereof, and with the structural mass of the rotor being disposed adjacent the rotational axis, thereby reducing the moment of inertia of said rotor.
- 18. A pump for transferring fluids and comprising a pumping chamber with an inner periphery, an outer periphery 15 and a central axis, inlet port means arranged in polar relationship to said pumping chamber and coaxially with said pumping chamber during operational rotation of said rotor, an outlet port means arranged transversely and generally medially of said pair of inlet ports, a rotor disposed within 20 said pumping chamber and having a dual conical configuration converging toward opposed polar regions and having an axis of rotation extending between said polar regions and arranged coaxially with the axis of said pumping chamber, magnetic driven means arranged on said rotor at radially spaced 25 locations generally symmetrically of said axis of rotation, electromagnetic drive means coupled to a source of energy and arranged to deliver rotational driving energy to said rotor through said magnetic driven means; said rotor comprising:
  - (a) a body having a relative density of between 10% and 90% of the density of the fluid being pumped;

- (b) said body having radial vanes along the ends thereof, with the outer edge configuration of the vanes mounted on said body having an axial length and with the axial length along said axis of rotation defining a substantial
  5 portion of the axial length of said pumping chamber between said inlet ports; and with the configuration and diameter of said rotor body transverse to said axis of rotation defining a medial plane and being selected to provide a clearance between the outer surface of said rotor and the inner surface of said pumping chamber and with the magnitude of the clearance between the said inner surface of said pumping chamber and the outer surface of said rotor being generally constant from said inlet ports to said outlet port means; and
- (c) the arrangement being such that the sole

  support for the rotor are the hydrodynamic forces created in
  the fluid being pumped, wherein the casing structure of the
  pump is free of rotor supporting members and bearings.
- 19. A pump for transferring fluids and comprising a pumping chamber with an inner periphery, an outer periphery 20 and a central axis, primary and secondary fluid inlet ports arranged in oppositely disposed relationship on said chamber and coaxially with said pumping chamber, an outlet port means arranged transversely and generally medially of said primary and secondary inlet ports, a rotor disposed within said 25 pumping chamber and having a core with a dual conical configuration converging toward opposed polar regions and having an axis of rotation extending between said polar regions and arranged coaxially with the axis of said pumping chamber during operational rotation of said rotor, magnetic 30 driven means arranged on said rotor at radially spaced locations generally from said axis of rotation,

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electromagnetic drive means coupled to a source of energy and arranged to deliver rotational driving energy to said rotor through said magnetic driven means; said rotor comprising:

- (a) a core with at least one shroud coupled to the

  outer surface of said core having a semi-conical configuration
  with a core axial length and with the core axial length
  defining the axial length of said pumping chamber, and with
  said pumping chamber being disposed between said primary and
  secondary inlet ports; and with the diameter of said core and

  shroud transverse to said axis of rotation defining a medial
  plane and being selected to provide a clearance between the
  outer surface of said shroud and the inner surface of said
  pumping chamber and with the magnitude of the clearance
  between the said inner surface of said pumping chamber and the
  outer periphery of said shroud from said primary and secondary
  inlet ports to said outlet port being approximately constant.
- 20. The pump as in Claim 19 wherein the clearance between the inner surface of said pumping chamber and the outer periphery of said shroud is from between about 1 millimeter and 7 millimeters.
  - 21. The pump of Claim 19 having plural outlet ports, with the sum total of the cross-sectional area of said outlet ports being substantially equal to the cross-sectional area of said inlet ports.
- 22. The pump of Claim 21 where the said plurality of outlet ports are generally equally arcuately spaced, one from another.

- 23. The pump of Claim 20 wherein said clearance between the inner surface of said pumping chamber and the outer surface of said shroud is adequate to provide a flow channel for blood, and is such that the velocity of fluid being pumped remains substantially constant between said primary and secondary inlet ports and said outlet ports relative to the surface of said housing.
- 24. The pump of Claim 19 wherein the rate of rotation of said rotor is controllably variable.
  - 25. The pump of Claim 19 being particularly characterized in that means are provided for sensing the rotational velocity of said rotor.

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26. The pump of Claim 19 being particularly characterized in that the driving forces for said rotor are coupled to said magnetic driven means disposed in spaced relationship to the center of mass of the rotor.

- 27. The pump of Claim 19 being particularly characterized in that the fluid flows from inlets adjacent the polar tips of the cones to outlets adjacent the medial plane.
- 28. The pump as defined in Claim 19 wherein the drive means includes permanent magnets arranged within the rotor along radial points adjacent the outer circumference of the rotor.
- 29. The pump as defined in Claim 19 wherein the drive means includes permanent magnets disposed in a circular array, and wherein the outer perimeter of the magnets forming the

array are spaced from the mid-point thereof, and with the structural mass of the rotor being disposed adjacent the rotational axis, thereby reducing the moment of inertia of said rotor.

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- A pump for transferring fluids especially liquids 30. and comprising a pumping chamber with an inner periphery, an outer periphery and a central axis, inlet port means arranged in polar relationship to said pumping chamber and coaxially with said pumping chamber during operational rotation of said rotor, an outlet port means arranged transversely and generally medially of said pair of inlet . ports, a rotor disposed within said pumping chamber and having a dual conical configuration converging toward opposed polar regions and having an axis of rotation extending between said polar regions and arranged coaxially with the axis of said pumping chamber, magnetic driven means arranged on said rotor at radially spaced locations generally medially along said axis of rotation, electromagnetic drive means coupled to a source of energy and arranged to deliver rotational driving energy to said rotor through said magnetic driven means; said rotor comprising:
- (a) a core with at least one shroud coupled to the outer surface of said core having a semi-conical

  25 configuration with a core axial length and with the core axial length defining the axial length of said pumping chamber, and with said pumping chamber being disposed between said primary and secondary inlet ports; and with the diameter of said core and shroud transverse to said axis of rotation defining a

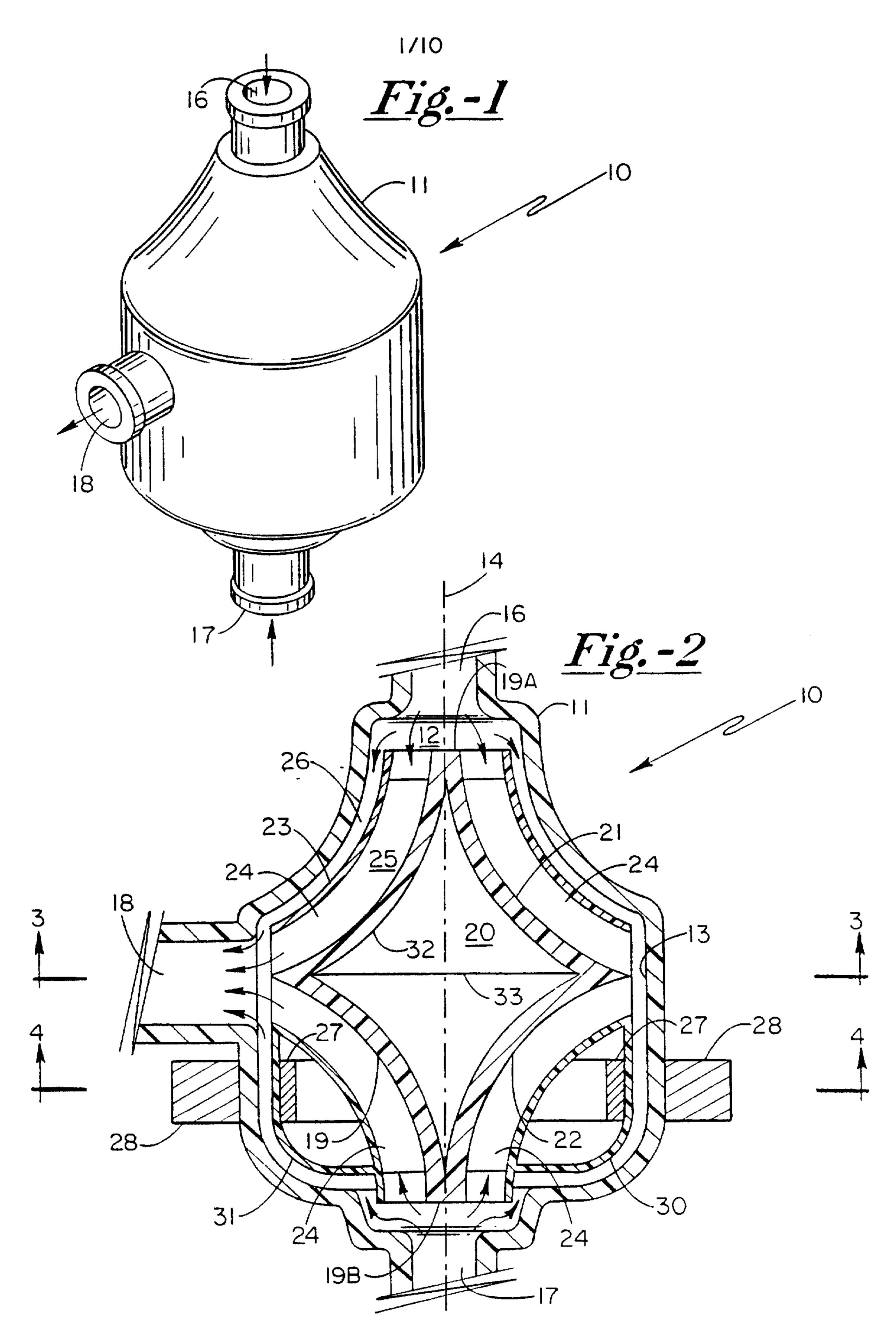
  30 medial plane and being selected to provide a clearance between the outer surface of said shroud and the inner surface of said pumping chamber and with the magnitude of the clearance

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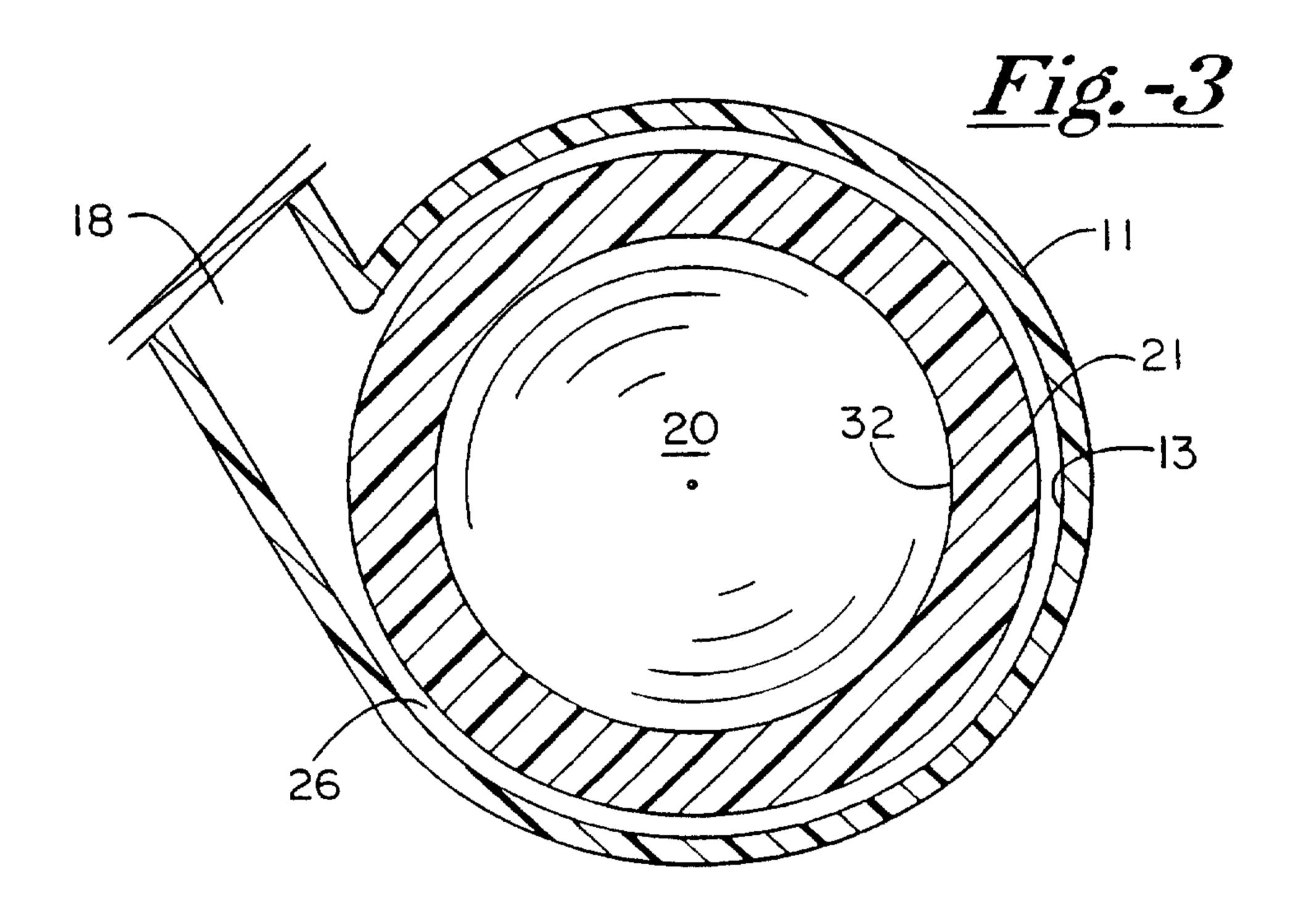
between the said inner surface of said pumping chamber and the outer periphery of said shroud from said primary and secondary inlet ports to said outlet port being approximately constant; and

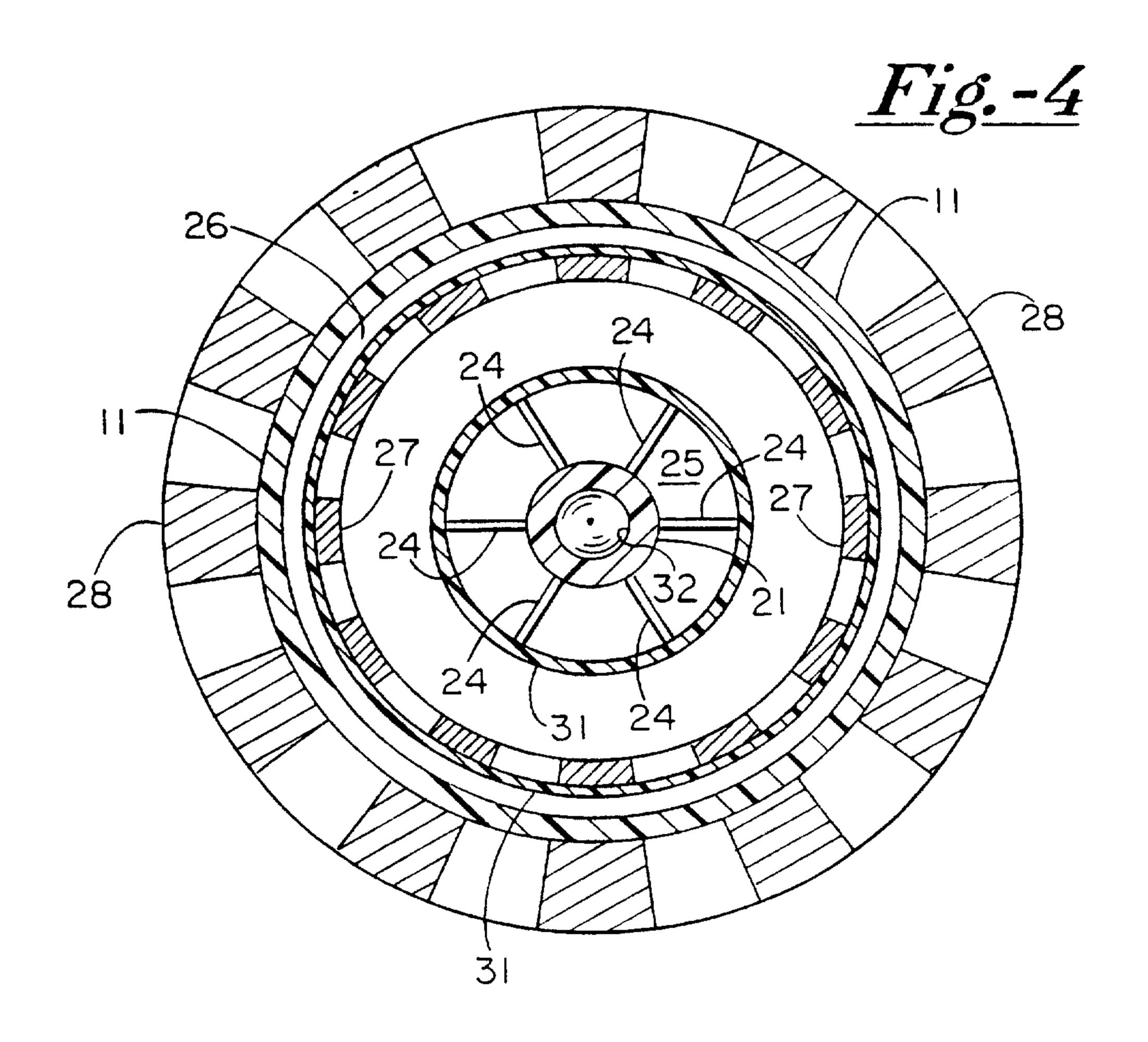
(b) the arrangement being such that the sole support for the rotor are the hydrodynamic forces created in the fluid being pumped, wherein the housing structure of the pump is free of rotor supporting members and bearings.



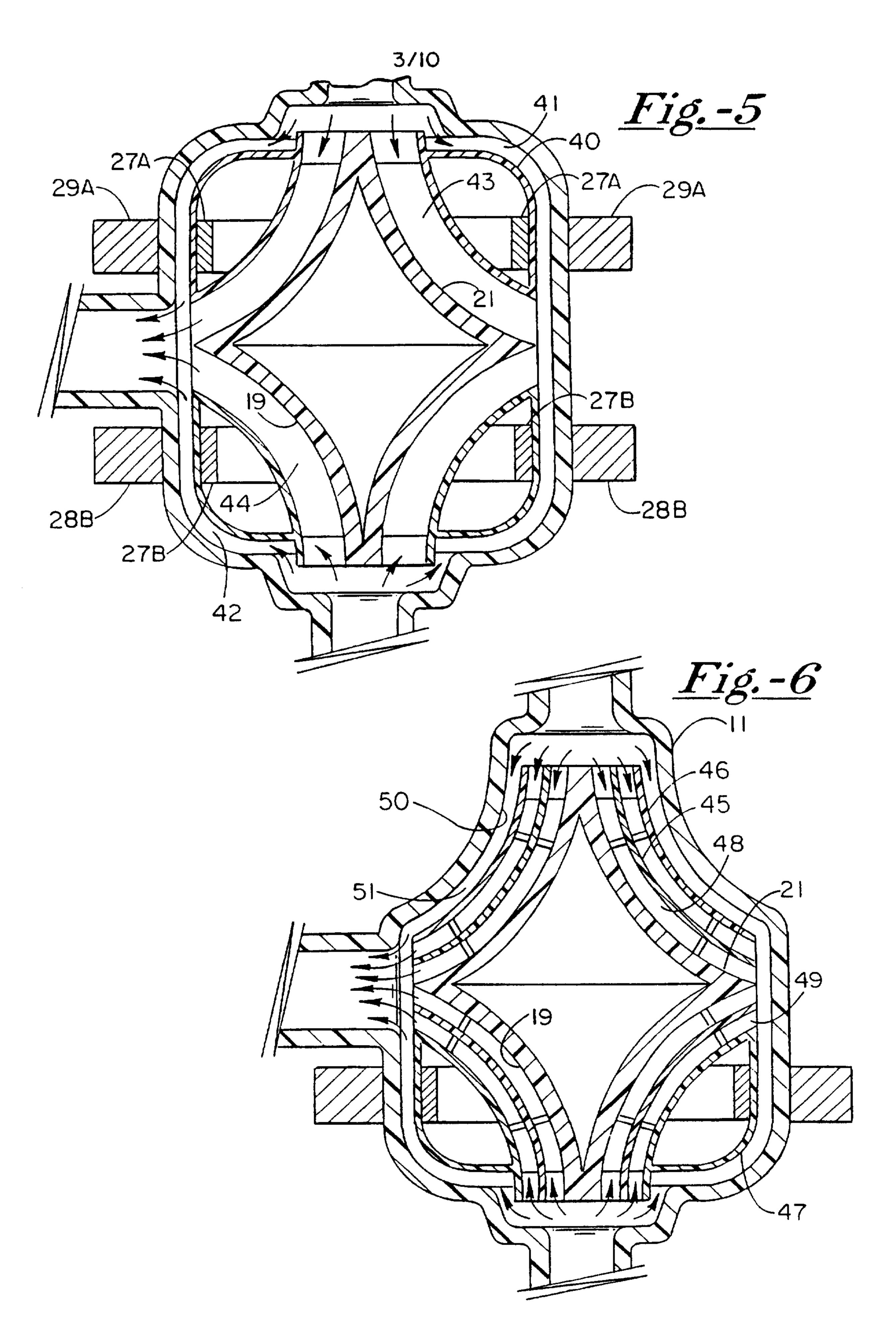
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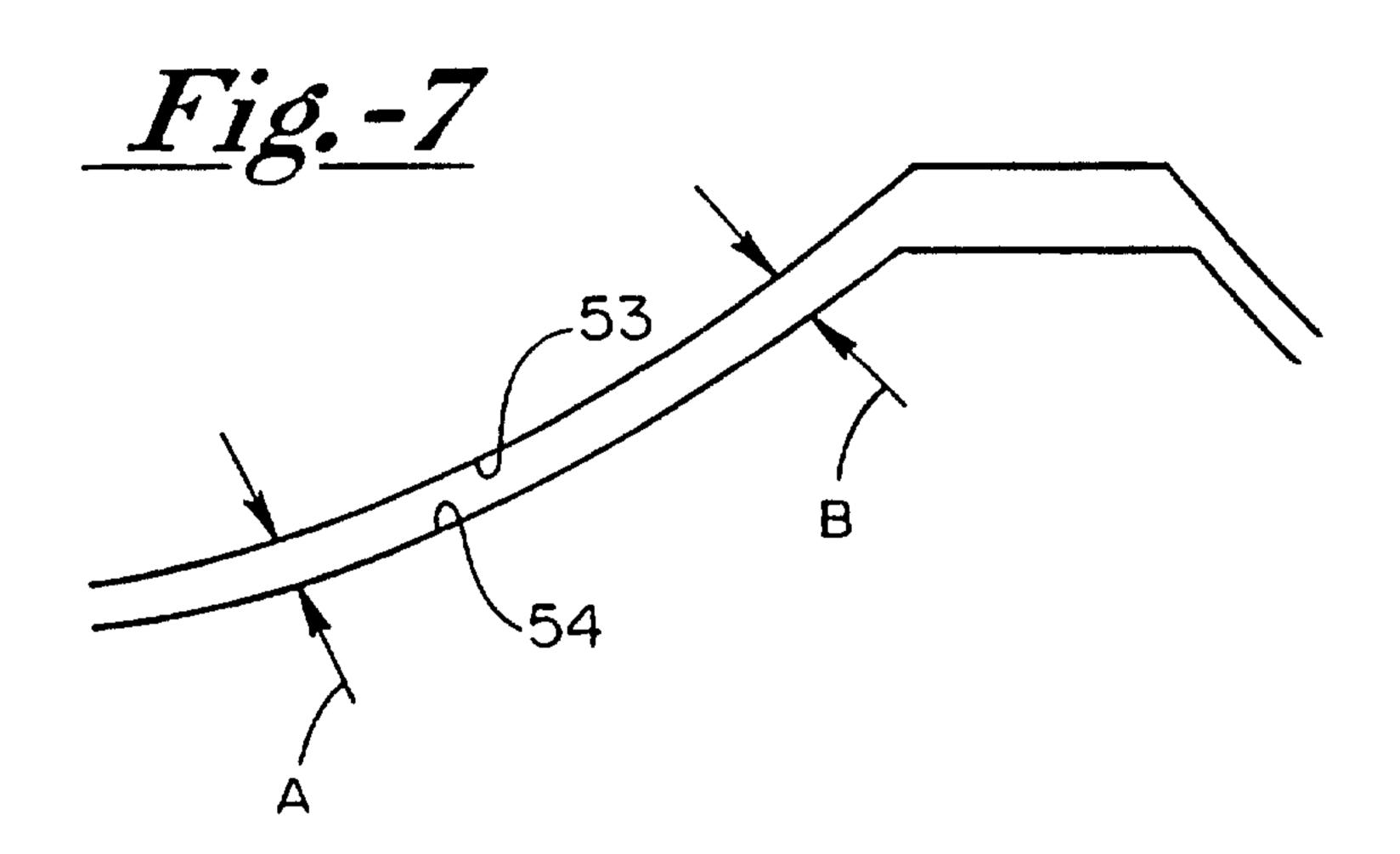


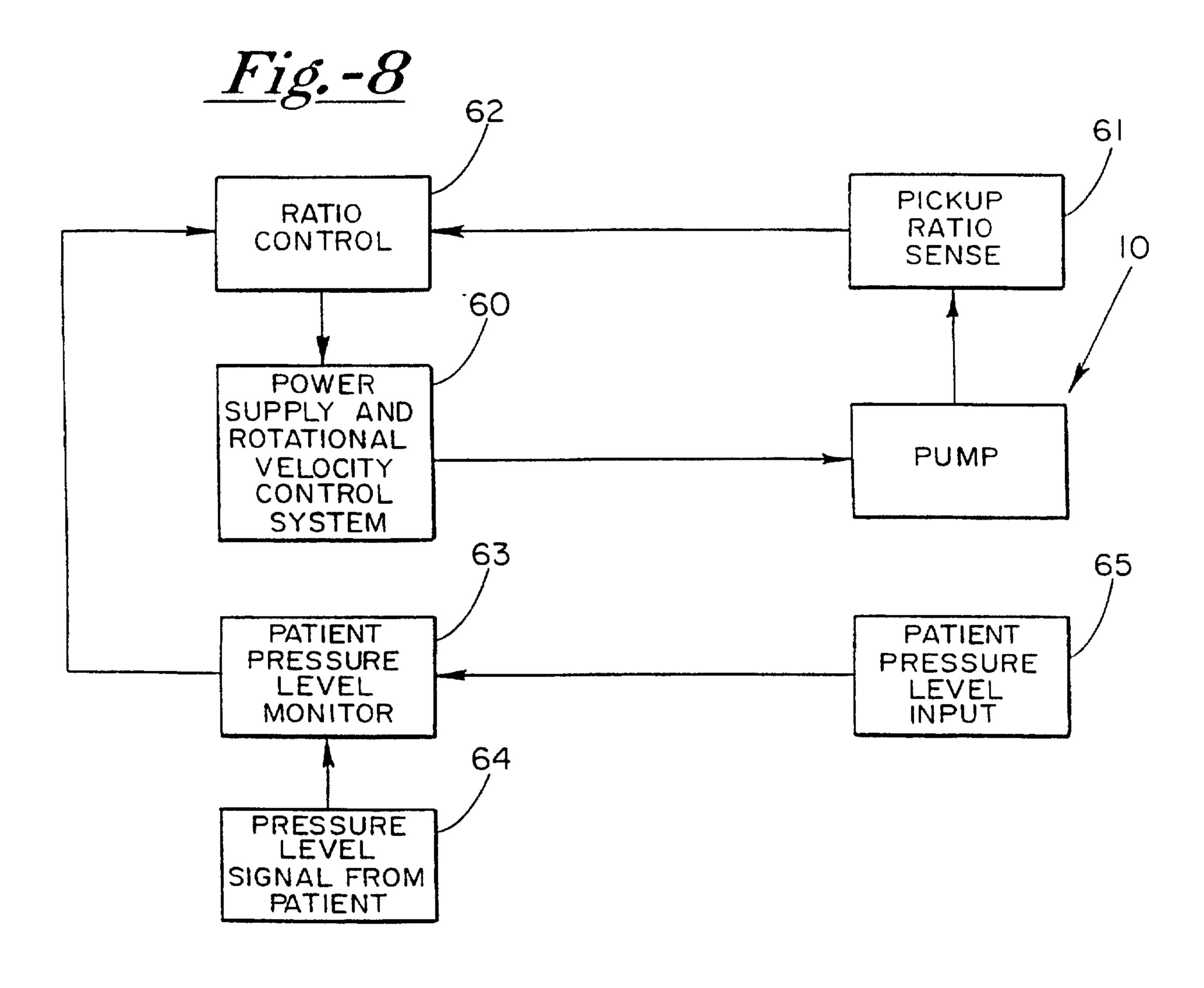
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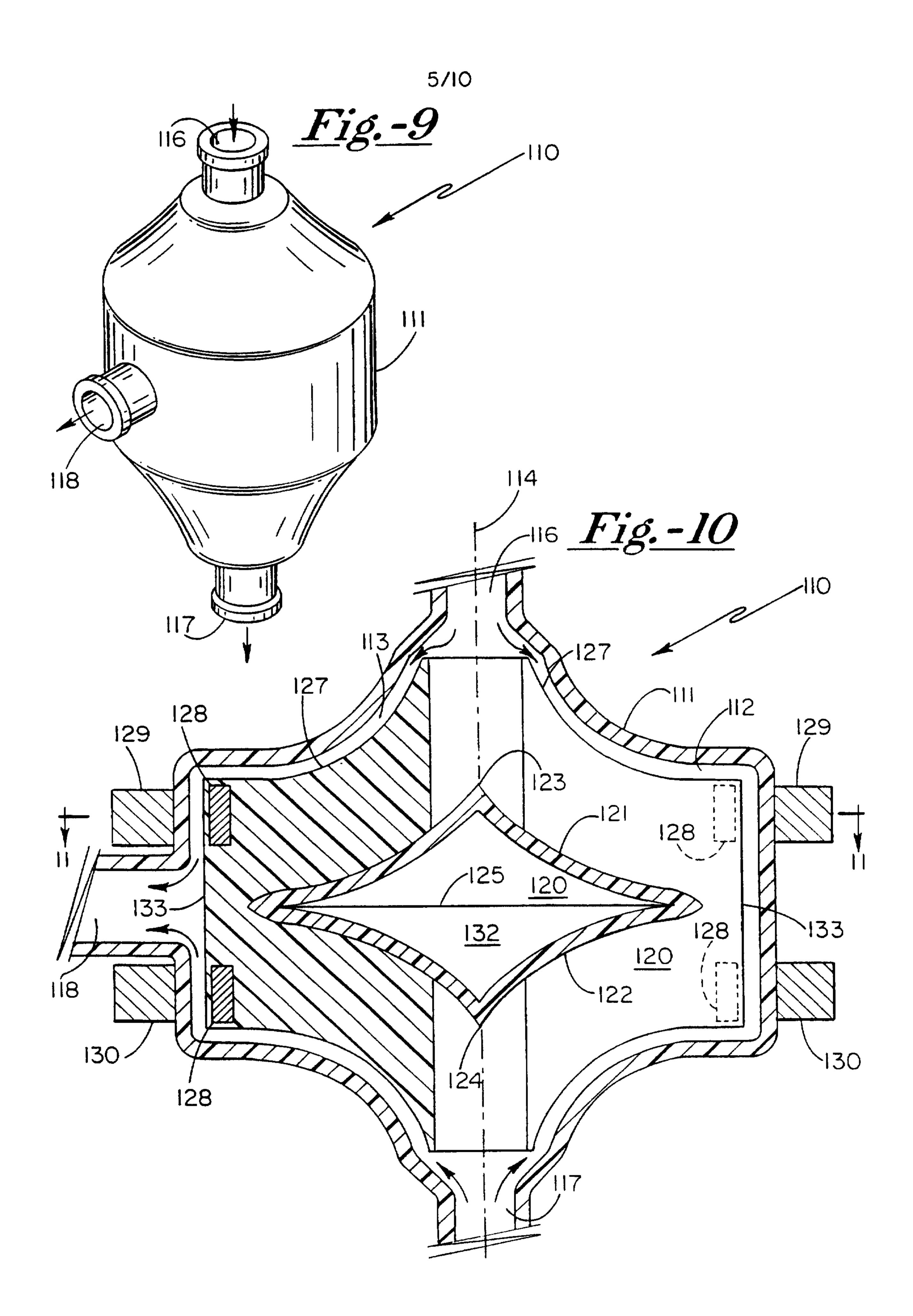
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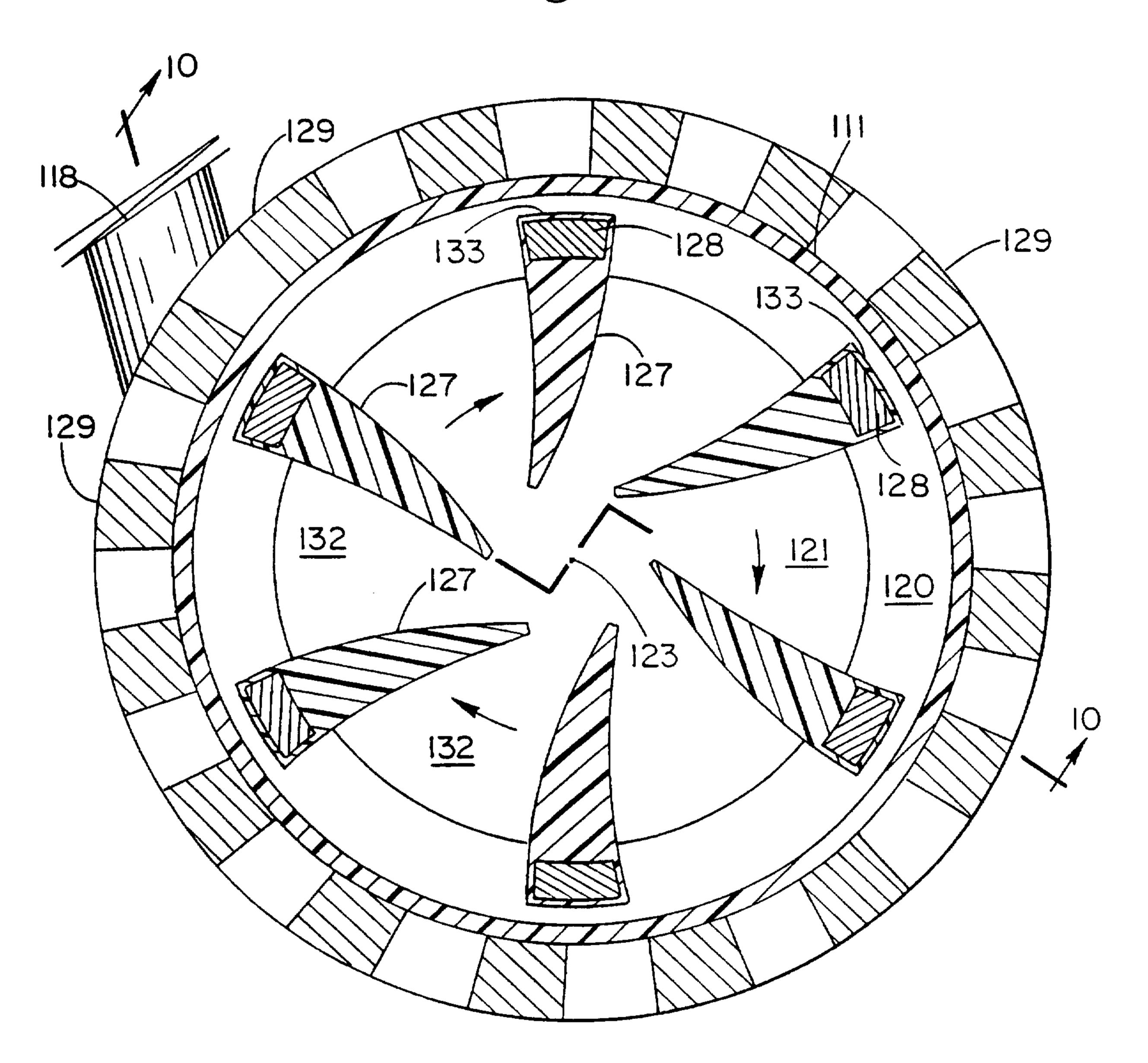
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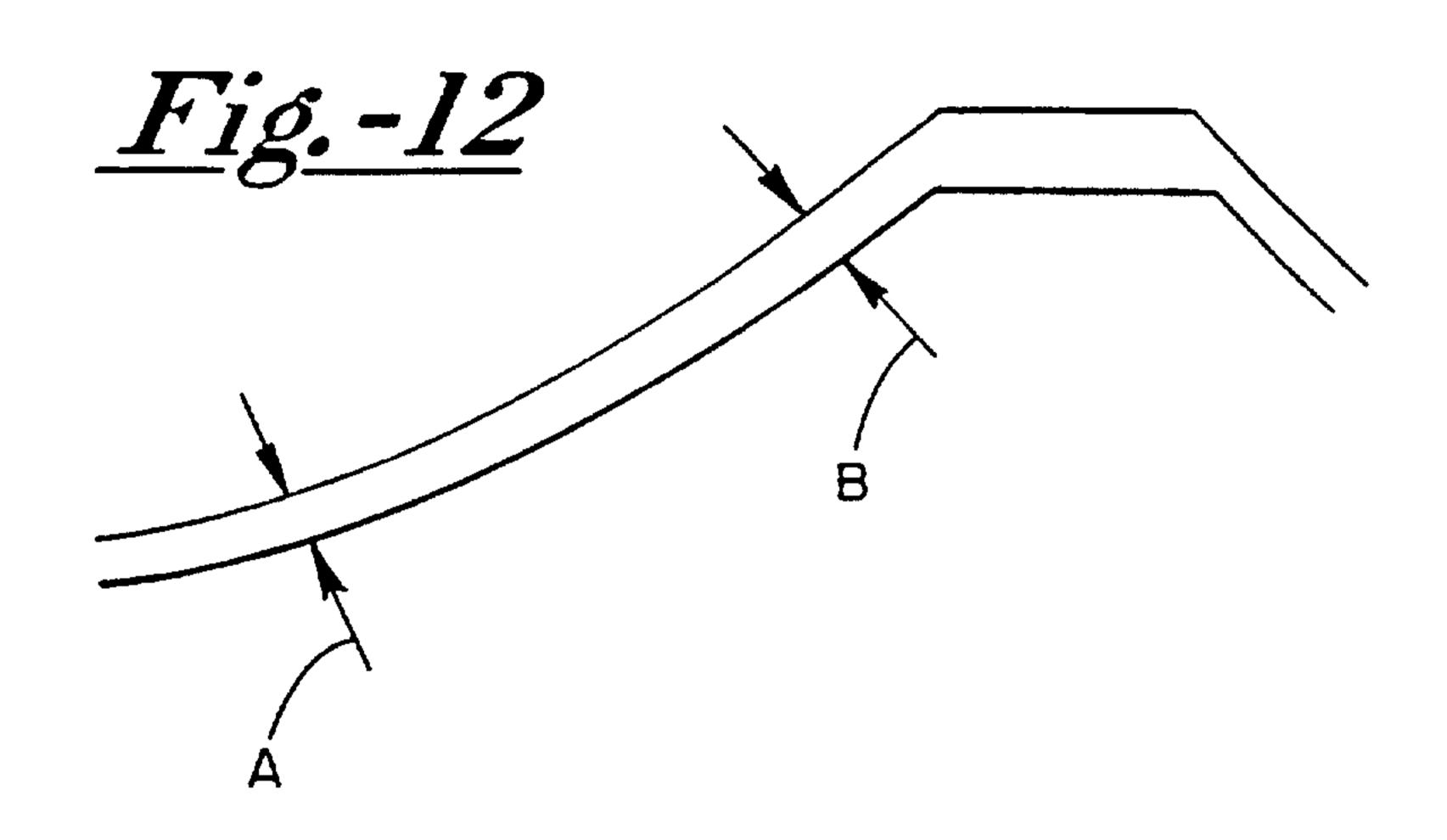
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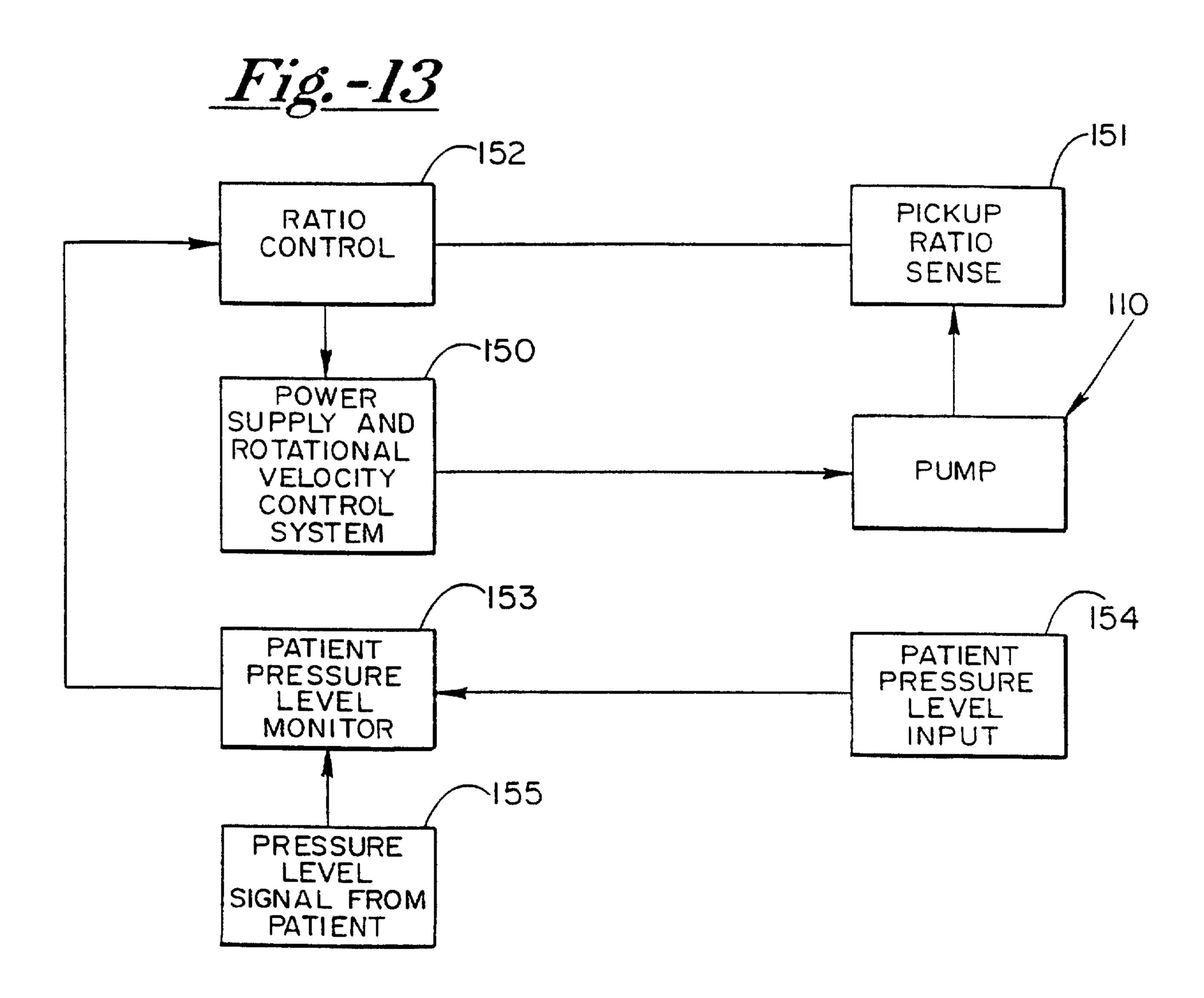
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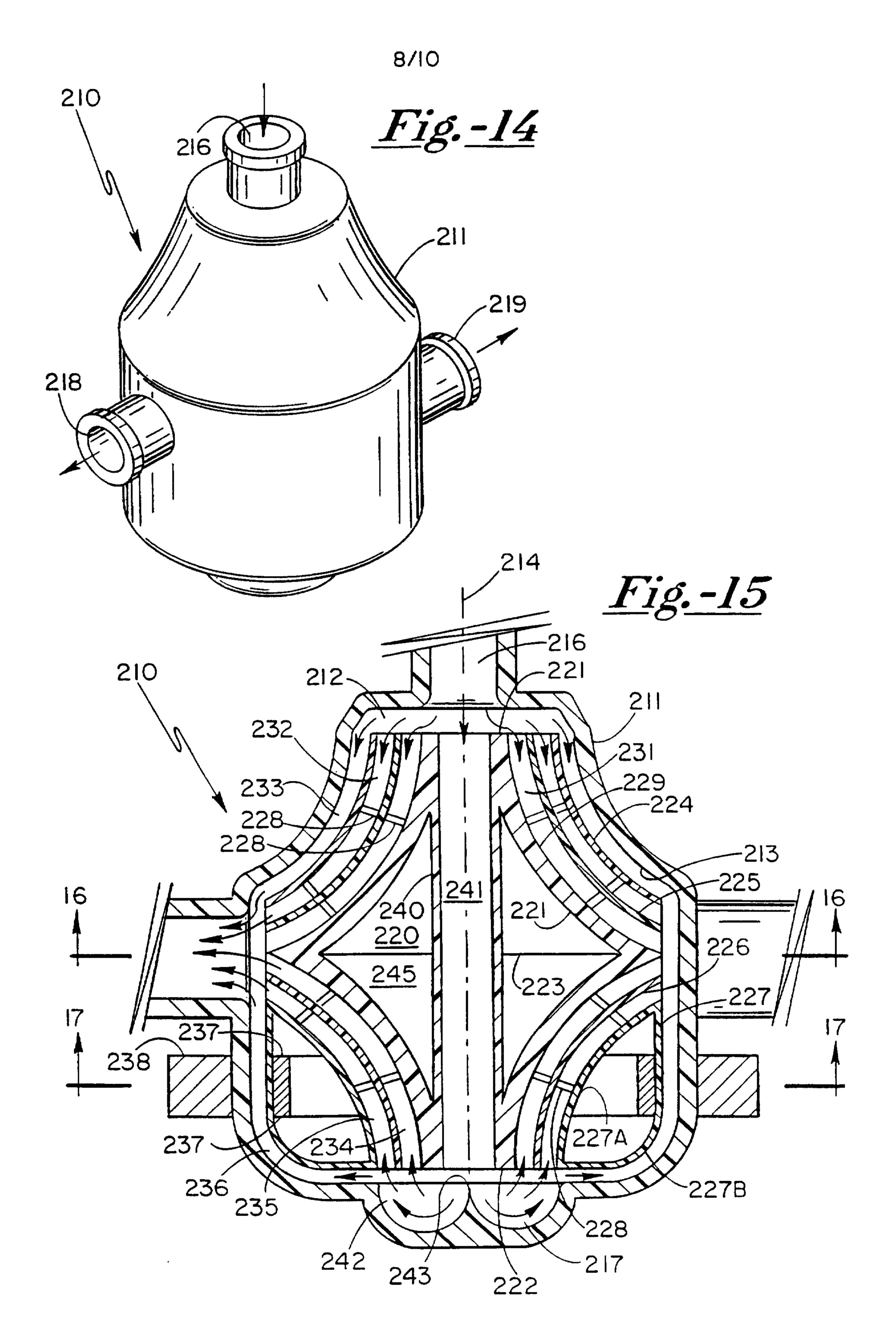


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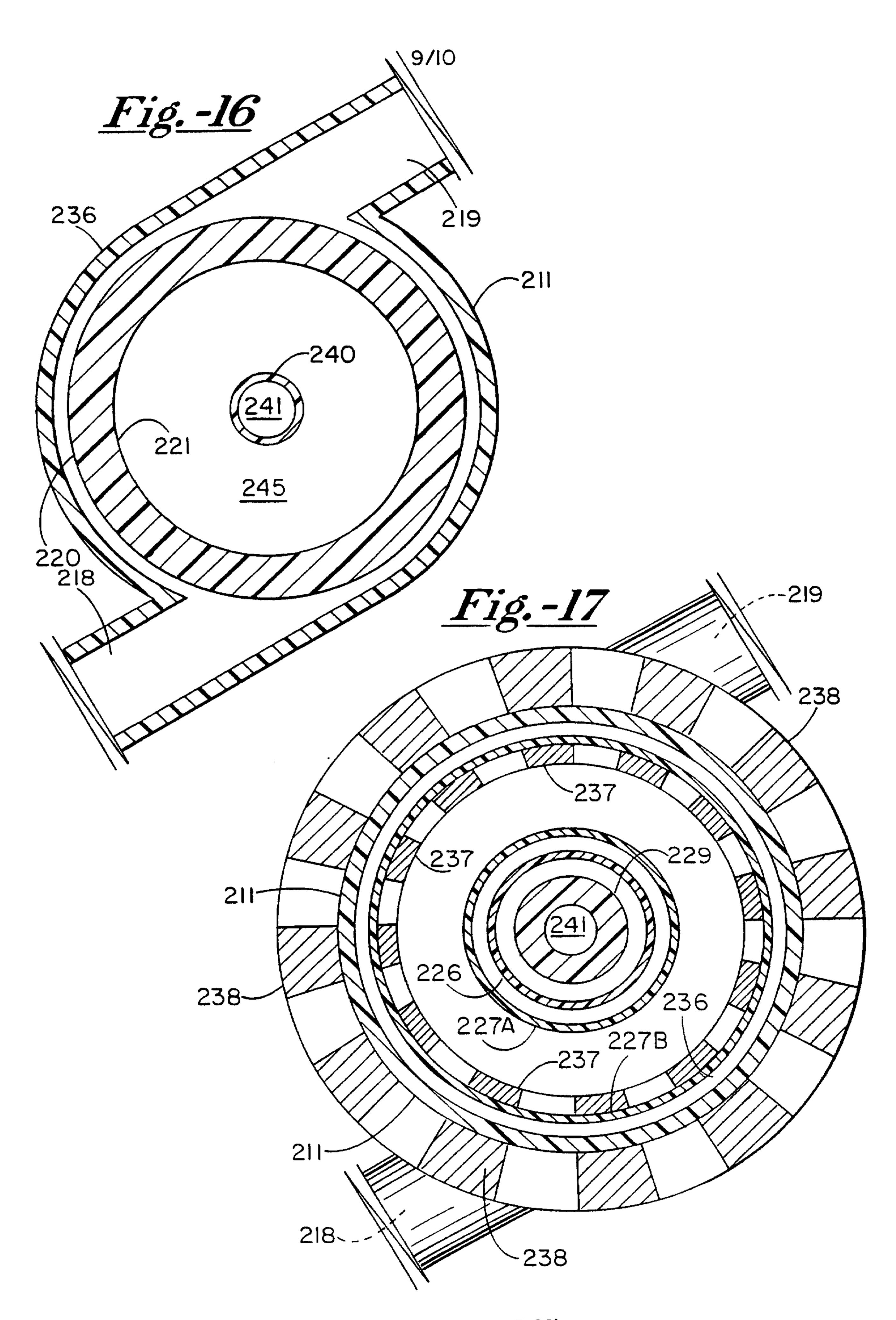
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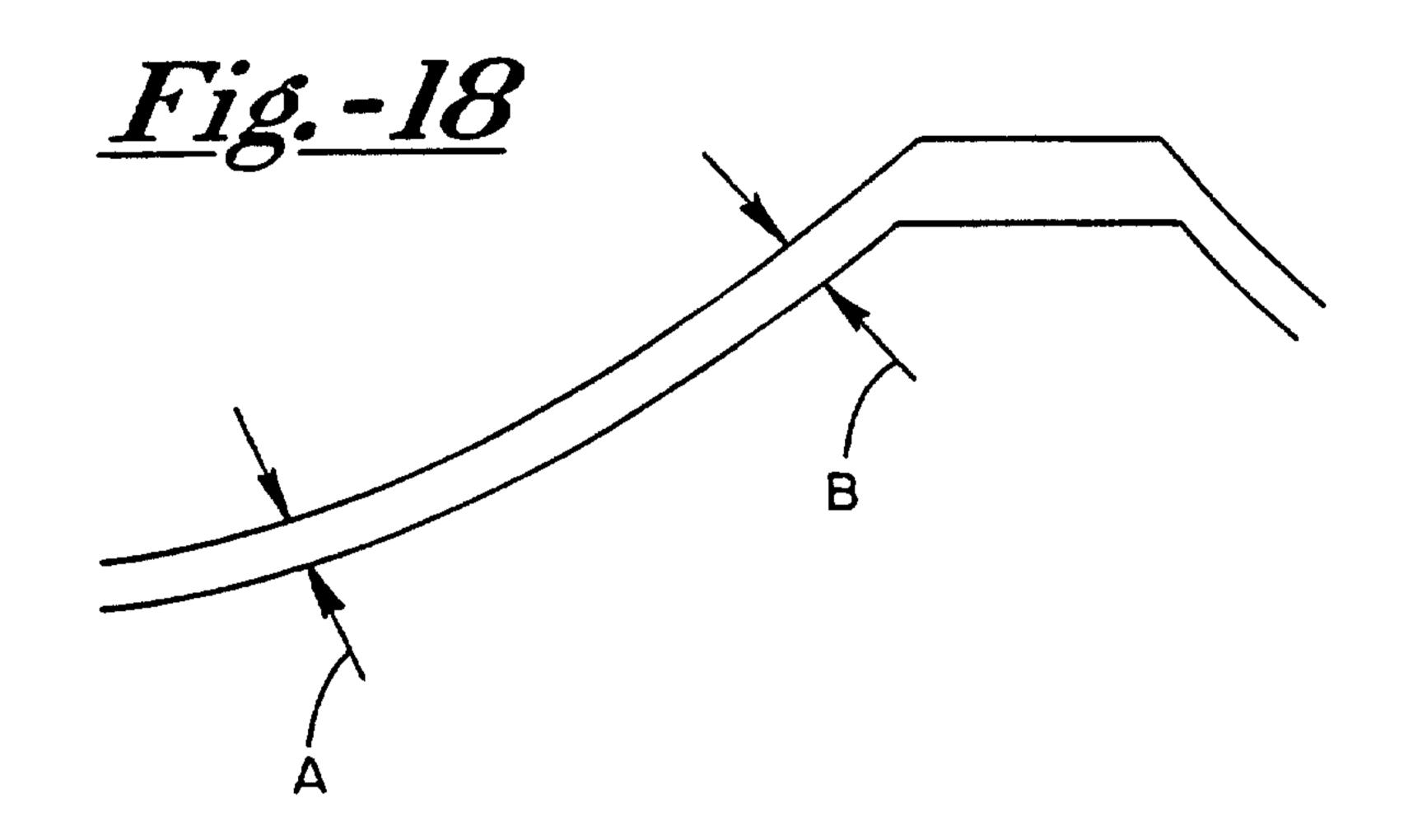


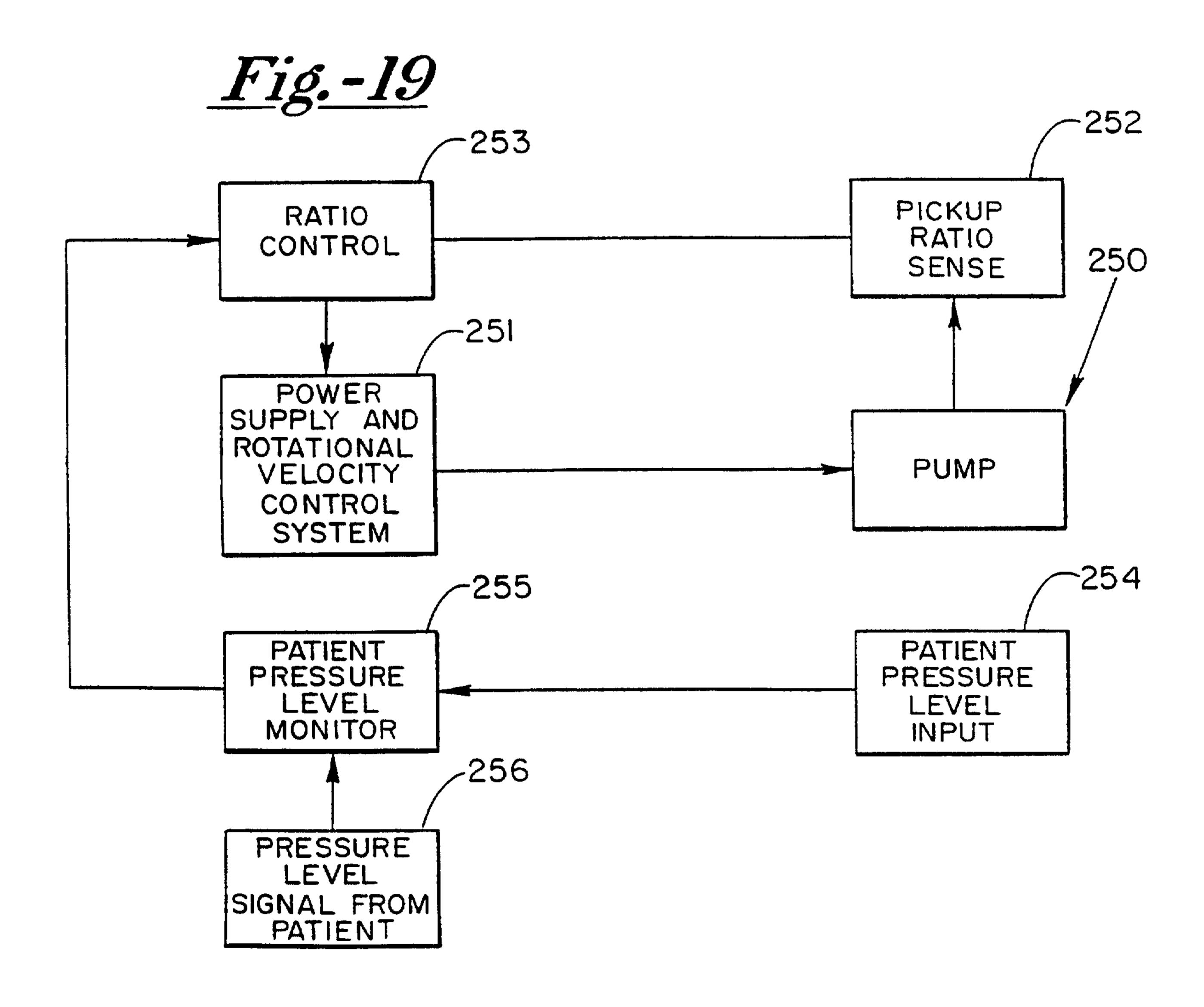
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