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<p>(21) International Application Number: PCT/US98/10897          (22) International Filing Date: 29 May 1998 (29.05.98)          (30) Priority Data:          08/866,348 30 May 1997 (30.05.97) US          (71) Applicant (for all designated States except US): MICELL TECHNOLOGIES [US/US]; NCSU Centennial Campus, Suite 3500, 1017 Main Campus Drive, Raleigh, NC 27606 (US).          (72) Inventors; and          (75) Inventors/Applicants (for US only): McCLAIN, James, B. [US/US]; 501 Hwy 54 Byp #6, H Royal Park, Carrboro, NC 27010 (US). ROMACK, Timothy [US/US]; 5810 Forest Ridge Drive, Durham, NC 27713 (US).          (74) Agents: SIBLEY, Kenneth, D. et al.; Myers, Bigel, Sibley, &amp; Sajovec, P.A., P.O. Box 37428, Raleigh, NC 27627 (US).</p>	<p>(81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, PR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LJ, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published  <i>With international search report.</i></p>	
<p>(54) Title: SURFACE TREATMENT</p>		
<p>(57) Abstract</p> <p>A method of treating a substrate comprises contacting a surface of said substrate with a pressurized fluid comprising carbon dioxide and a surface treatment component, the surface treatment component being entrained in the pressurized fluid and contacting the surface so that the surface treatment component lowers the surface tension of the surface of the substrate and treats the substrate. The contacting step is preferably carried out by immersion, the fluid is preferably a liquid or supercritical fluid, the substrate is preferably a metal or fabric substrate, and the surface treatment component is preferably a fluorocrylate polymer.</p>		

## **SURFACE TREATMENT**

### **Related Applications**

This application is a continuation-in-part of copending application serial no. 08/866,348, filed May 30, 1997, the disclosure of which is incorporated by  
5 reference herein in its entirety.

### **Field of the Invention**

The invention relates to treating surfaces of substrates. More particularly, the invention relates to treating the surfaces using a carbon dioxide fluid. The method is particularly useful for imparting stain resistance to fabrics.  
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### **Background of the Invention**

In a number of industrial applications, it is often desirable to treat the surface of an article or substrate in order to protect the substrate from contaminants. This typically includes controlling and enhancing the barrier properties of a surface to,  
15 for example, oils, grease, lipophilic materials, water, hydrophilic solutions, and dirt. Examples of such applications include SCOTCH GUARD® and STAIN MASTER® surface coating materials for textile articles such as furniture, clothing, and carpets to impart resistance to staining, and also treating articles formed from metal such as precision parts. It is often desirable to apply a surface treatment to an  
20 article in order to protect an article from foreign matter while also preserving the desirable physical properties of the article. With respect to textile-related articles for example, it is particularly desirable to maintain aesthetic properties relating to hand, drape, and texture.

For the most part, organic solvents such as hydrocarbons, chlorinated  
25 solvents, and chlorofluorocarbons (CFCs) have been employed in treating various substrates. Recently, however, the use of these solvents has been increasingly disfavored due to heightened environmental concerns. As one alternative, aqueous-based systems have been proposed for treating various articles. The use of the aqueous-based systems, however, also suffers from possible drawbacks. For  
30 example, contacting an article with water often adversely affects the physical

properties of the article. For example, the texture and drape of a textile can be negatively impacted, or flash rusting of metal parts may occur due to water contact.

Additionally, many low surface energy materials are largely insoluble in water, and must be formulated into emulsions or suspensions (an inherent disadvantage of aqueous systems). Moreover, water of suitable quality for use in coating and  
5 impregnation is becoming less available and more expensive.

CO<sub>2</sub>-based dry cleaning systems that contain surfactant molecules (particularly molecules having a CO<sub>2</sub>-philic group are described in, for example, U.S. Patents Nos. 5,683,473; 5,676,705; and 5,683,977, all to Jureller. The  
10 purpose of the surfactant molecule proposed in the Jureller patents is to carry away soil from the fabrics, rather than to become deposited upon, and seal soil to, the fabric. Surface treatment is, accordingly, neither suggested nor disclosed.

In view of the above, it is an object of the present invention to provide a method of treating and/or impregnating a substrate which does not require  
15 the use of organic solvents or water.

It is also an object of the present invention to provide a method of impregnating a substrate which minimizes adverse affects to the physical properties of the substrate.

## 20 **Summary of the Invention**

In one aspect, the invention provides a method of treating a substrate. The method comprises contacting, preferably by immersing, a surface of the substrate with a pressurized fluid comprising carbon dioxide and a surface treatment component. The surface treatment component is entrained in the pressurized fluid  
25 and contacts the surface so that the surface treatment component lowers the surface tension of the surface of the substrate and treats the substrate. Surface treatment components comprising fluoroacrylate polymers (including copolymers thereof) are preferred. The fluid is preferably a liquid or supercritical fluid.

In another aspect, the invention provides a method of imparting stain resistance to a fabric. The method comprises immersing the fabric in a pressurized fluid containing carbon dioxide and a surface treatment component. The surface treatment component is entrained in the pressurized fluid and contacts the fabric to lower the surface tension of the fabric. The surface treatment component is deposited on the fabric, and the carbon dioxide separated from the fabric so that the surface treatment component remains deposited on the fabric, thus rendering the fabric stain resistant.

### 10 Detailed Description of the Invention

The invention will now be further described by the preferred embodiments presented herein. It should be understood however that the embodiments are to be interpreted as being illustrative of the invention and not as limiting the invention.

15 The invention relates to a method of treating a substrate in a pressurized system. The method includes the step of contacting a surface of the substrate with a fluid comprising carbon dioxide and a surface treatment component. The surface treatment component is entrained in the fluid and contacts the surface so that the surface treatment component lowers the surface tension of the substrate. 20 In this instance, the "entrainment of the surface treatment component in the fluid" refers to a surface treatment component which may be solubilized, dissolved, emulsified, or dispersed in the bulk fluid during transport of the fluid to the substrate surface and also upon the interaction of the fluid with the substrate surface. Entrainment may also include surface treatment components which are 25 insoluble in the carbon dioxide containing fluid but which may be physically dispersed in the fluid with or without the aid of a dispersing agent or the like. For the purposes of the invention, the term "lowers the surface tension" can be understood as reducing the surface tension of the substrate to the extent such that in end use commercial applications contaminant materials (aqueous, organics, solids, 30 liquids, etc.) exhibit a reduced tendency to adhere or absorb onto the substrate

surface. For illustrative purposes, the invention is to be differentiated from processes in which surface treatments are applied in a transient manner for treating materials. Such an instance involves sizing of textile yarns as set forth in Bowman et al., *Textile Res. J.* 66 (12), 795-802 (1996), in which coating materials are applied to the yarns and then removed. In contrast to the claimed invention, properties imparted by the sizing would render the substrate unusable.

Moreover, the surface treatment component is entrained in the fluid upon contacting the substrate. Such a process is distinguishable from spraying applications in which a fluid containing a coating material is emitted from an apparatus and thereafter undergoes a phase change, and is propelled by the fluid to the substrate. The surface treatment component of the present invention is entrained in the pressurized fluid upon contacting the substrate.

As described above, the surface tension is lowered as a result of applying the surface treatment component. Preferably, the surface tension is lowered by a value of 10 percent, and more preferably the surface tension is lowered by a value of 25 percent. The level of reduction can be on the order of 1 dyne/sq cm.

The fluid employed in the method of the invention is pressurized fluid, which is defined to be greater than ambient, typically at least 20 bar. For the purposes of the invention, the fluid contains carbon dioxide in a liquid, gaseous, or supercritical phase. If liquid CO<sub>2</sub> is used, the temperature employed during the process is preferably below 31°C. If gaseous CO<sub>2</sub> is used, it is preferred that the phase be employed at high pressure. As used herein, the term "high pressure" generally refers to CO<sub>2</sub> having a pressure from about 20 to about 500 bar. With respect to CO<sub>2</sub>, the pressure of the gas is typically greater than 20 bar and less than its critical pressure.

In the preferred embodiment, the CO<sub>2</sub> is utilized in a dense (i.e., "supercritical" or "liquid" or "compressed gas") phase. Such a phase typically employs CO<sub>2</sub> at a density greater than the critical density, typically greater than 0.5 g/cc. As used herein, "supercritical" means that a fluid medium is at a temperature

that is sufficiently high that it cannot be liquified by pressure. The thermodynamic properties of CO<sub>2</sub> are reported in Hyatt, *J. Org. Chem.* 49: 5097-5101 (1984); therein, it is stated that the critical temperature of CO<sub>2</sub> is about 31°C. For the purposes of the invention, the temperature and pressure conditions of the fluid are  
5 defined by the thermophysical properties of pure carbon dioxide.

The carbon dioxide containing fluid used in the process of the invention may be employed in a single (*e.g.*, non-aqueous) or multi-phase system with appropriate and known liquid components. Such components generally include, but are not limited to, a co-solvent or modifier, a surfactant, a co-  
10 surfactant, and other additives such as bleaches, optical brighteners, enzymes, rheology modifiers, sequestering agents, chelants, biocides, antiviral agents, fungicides, acids, polishes, radical sources, plasma, deep UV (photoresist) materials, crosslinking agents (*e.g.*, difunctional monomers), metal soaps, sizing agents, antistatics, antioxidants, UV stabilizers, whiteners, fabric softener builders,  
15 detergents, dispersants, hydrotropes, and mixtures thereof. Any or all of the components may be employed in the process of the present invention prior to, during, or after the substrate is contacted by the CO<sub>2</sub> fluid.

For the purposes of the invention, multi-phase systems refers to processes in which the substrate may be treated in the fluid that contains a solid or  
20 fluid phase other than a carbon dioxide fluid phase. Other components in such systems include, for example, the surface treatment component itself, water under carbon dioxide head pressure which may be instrumental in lowering the T<sub>g</sub> in of a substrate and, in certain instances, may be needed for chemical reasons; immiscible liquids; and head pressurizing gases, the selection of which is known in the art.  
25 Non-aqueous fluids are currently preferred, particularly for metal and fabric substrates.

Examples of suitable co-solvents or modifiers include, but are not limited to, liquid solutes such as alcohols (*e.g.*, methanol, ethanol, and isopropanol); fluorinated and other halogenated solvents (*e.g.*, chlorotri-

fluoromethane, trichlorofluoromethane, perfluoropropane, chlorodifluoromethane, and sulfur hexafluoride); amines (e.g., N-methyl pyrrolidone); amides (e.g., dimethyl acetamide); aromatic solvents (e.g., benzene, toluene, and xylenes); esters (e.g., ethyl acetate, dibasic esters, and lactate esters); ethers (e.g., diethyl ether, 5 tetrahydrofuran, and glycol ethers); aliphatic hydrocarbons (e.g., methane, ethane, propane, ammonium butane, n-pentane, and hexanes); oxides (e.g., nitrous oxide); olefins (e.g., ethylene and propylene); natural hydrocarbons (e.g., isoprenes, terpenes, and d-limonene); ketones (e.g., acetone and methyl ethyl ketone); organosilicones; alkyl pyrrolidones (e.g., N-methyl pyrrolidone); paraffins (e.g., 10 isoparaffin); petroleum-based solvents and solvent mixtures; and any other compatible solvent or mixture that is available and suitable. Mixtures of the above co-solvents may be used. The above components can be used prior to, during, or after the substrate is contacted by the CO<sub>2</sub> fluid.

A surfactant or co-surfactant may be used in the fluid in addition to 15 the surface treatment component. Suitable surfactants or co-surfactants are those materials which typically modify the action of the surface treatment component, for example, to enhance contact of the surface treatment component with the substrate. Exemplary co-surfactants that may be used include, but are not limited to, longer chain alcohols (i.e., greater than C<sub>8</sub>) such as octanol, decanol, dodecanol, cetyl, 20 laurel, and the like; and species containing two or more alcohol groups or other hydrogen bonding functionalities; amides; amines; and other like components. Potentially surface active components which also may be employed as co-surfactants include, but are not limited to, fluorinated small molecules, fluorinated acrylate monomers (e.g., hydrogenated versions), fluorinated alcohols and acids, and the 25 like. Suitable other types of materials that are useful as co-surfactants are well known by those skilled in the art, and may be employed in the process of the present invention. Mixtures of the above may be used.

Various surface treatment components may be used in the process of the present invention. A surface treatment component is a material which is

entrained in the fluid so as to treat the surface of the substrate and lower the surface tension of the substrate as set forth herein.

The term "treat" refers to the coating or impregnating of the substrate or substrate surface with the surface treatment component, with the surface treatment component tenaciously or permanently adhering to the surface after  
5 removal from the fluid, so that it serves as a protective coating thereon for the useful life of the coated substrate (*e.g.*, is able to withstand multiple wash cycles when the substrate is a fabric or garment; is able to withstand a corrosive environment when the substrate is a part such as a metal part), until the substrate is  
10 discarded or must be re-treated. If desired, the surface active component may polymerize on the surface, or may be grafted onto the surface. Suitable surface treatment components include, but are not limited to, various monomer and polymer materials. Exemplary monomers include those which may be reactive or non-reactive, and contain fluorinated groups, siloxane groups or mixtures thereof.

15 Polymers which are employed as surface treatment components may encompass those which contain a segment which has an affinity for carbon dioxide ("CO<sub>2</sub>-philic") along with a segment which does not have an affinity for carbon dioxide ("CO<sub>2</sub>-phobic") which may be covalently joined to the CO<sub>2</sub>-philic segment. Reactive and non-reactive polymers may be used. Exemplary CO<sub>2</sub>-philic segments  
20 may include a fluorine-containing segment, a siloxane-containing segment, or mixtures thereof.

The fluorine-containing segment is typically a "fluoropolymer". The term "fluoropolymer," as used herein, has its conventional meaning in the art. *See generally Fluoropolymers* (L. Wall, Ed. 1972)(Wiley-Interscience Division of John  
25 Wiley & Sons); *see also Fluorine-Containing Polymers*, 7 Encyclopedia of Polymer Science and Engineering 256 (H. Mark et al. Eds., 2d Ed. 1985). The term "fluoromonomer" refers to fluorinated precursor monomers which make up the fluoropolymers. Any suitable fluoromonomer may be used in forming the fluoropolymers, including, but not limited to, fluoroacrylate monomers, fluoroolefin  
30 monomers, fluorostyrene monomers, fluoroalkylene oxide monomers (*e.g.*,



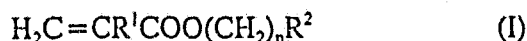
perfluoropropylene oxide, perfluorocyclohexene oxide), fluorinated vinyl alkyl ether monomers, and the copolymers thereof with suitable comonomers, wherein the comonomers are fluorinated or unfluorinated.

5 Fluorostyrenes and fluorinated vinyl alkyl ether monomers which may be polymerized by the method of the present invention include, but are not limited to,  $\alpha$ -fluorostyrene;  $\beta$ -fluorostyrene;  $\alpha,\beta$ -difluorostyrene;  $\beta,\beta$ -difluorostyrene;  $\alpha,\beta,\beta$ -trifluorostyrene;  $\alpha$ -trifluoromethylstyrene; 2,4,6-Tris(trifluoromethyl)styrene; 2,3,4,5,6-pentafluorostyrene; 2,3,4,5,6-pentafluoro- $\alpha$ -methylstyrene; and 2,3,4,5,6-pentafluoro- $\beta$ -methylstyrene.

10 Tetrafluoroethylene copolymers can be used and include, but are not limited to, tetrafluoroethylene-hexafluoropropylene copolymers, tetrafluoroethylene-perfluorovinyl ether copolymers (e.g., copolymers of tetrafluoroethylene with perfluoropropyl vinyl ether), tetrafluoroethylene-ethylene copolymers, and perfluorinated ionomers (e.g., perfluorosulfonate ionomers; perfluorocarboxylate  
15 ionomers). High-melting  $\text{CO}_2$ -insoluble fluoropolymers may also be used.

Fluorocarbon elastomers (*see, e.g.,* 7 Encyclopedia of Polymer Science & Engineering 257) are a group of amorphous fluoroolefin polymers which can be employed and include, but are not limited to, poly(vinylidene fluoride-*co*-hexafluoropropylene); poly(vinylidene fluoride-*co*-hexafluoropropylene-*co*-  
20 tetrafluoroethylene); poly[vinylidene fluoride-*co*-tetrafluoroethylene-*co*-perfluoro(methyl vinyl ether)]; poly[tetrafluoroethylene-*co*-perfluoro(methyl vinyl ether)]; poly(tetrafluoroethylene-*co*-propylene); and poly(vinylidene fluoride-*co*-chlorotrifluoroethylene).

The term "fluoroacrylate monomer," as used herein, refers to esters  
25 of acrylic acid ( $\text{H}_2\text{C}=\text{CHCOOH}$ ) or methacrylic acid ( $\text{H}_2\text{C}=\text{CCH}_3\text{COOH}$ ), where the esterifying group is a fluorinated group such as perfluoroalkyl. A specific group of fluoroacrylate monomers which are useful may be represented by formula (I):



wherein:

n is preferably from 1 to 3;

R<sup>1</sup> is hydrogen or methyl; and

R<sup>2</sup> is a perfluorinated aliphatic or perfluorinated aromatic group, such  
 5 as a perfluorinated linear or branched, saturated or unsaturated C<sub>1</sub> to C<sub>10</sub> alkyl,  
 phenyl, or naphthyl.

In a particular embodiment of the invention, R<sup>2</sup> is a C<sub>1</sub> to C<sub>8</sub>  
 perfluoroalkyl or -CH<sub>2</sub>NR<sup>3</sup>SO<sub>2</sub>R<sup>4</sup>, wherein R<sup>3</sup> is C<sub>1</sub>-C<sub>2</sub> alkyl and R<sup>4</sup> is C<sub>1</sub> to C<sub>8</sub>  
 perfluoroalkyl.

10 The term "perfluorinated," as used herein, means that all or  
 essentially all hydrogen atoms on an organic group are replaced with fluorine.

Monomers illustrative of Formula (I) above, and their abbreviations  
 as used herein, include the following:

2-(N-ethylperfluorooctanesulfonamido) ethyl acrylate ("EtFOSEA");

15 2-(N-ethylperflooctanesulfonamido) ethyl methacrylate  
 ("EtFOSEMA");

2-(N-methylperfluorooctanesulfonamido) ethyl acrylate  
 ("MeFOSEA");

20 2-(N-methylperflooctanesulfonamido) ethyl methacrylate  
 ("MeFOSEMA");

1,1-Dihydroperfluorooctyl acrylate ("FOA");

1,1-Dihydroperfluorooctyl methacrylate ("FOMA");

1,1,2,2-tetrahydro perfluoroalkyl acrylates;

1,1,2,2-tetrahydro perfluoroalkyl methacrylates;

25 1,1,2,2,3,3-hexahydro perfluoroalkyl acrylates; and

1,1,2,2,3,3-hexahydro perfluoroalkyl methacrylates.

Fluoroplastics may also be used and include those materials which are  
 and are not melt processable such as crystalline or high melting or amorphous  
 fluoroplastics.

Exemplary siloxane-containing segments include alkyl, fluoroalkyl, chloroalkyl siloxanes such as, but not limited to, polydimethyl siloxanes, polydiphenyl siloxanes, and polytrifluoro propyl siloxanes, Copolymers of the above may be employed which includes various types of monomers. Mixtures of any of the above may be used.

Exemplary CO<sub>2</sub>-phobic segments may comprise common lipophilic, oleophilic, and aromatic polymers, as well as oligomers formed from monomers such as ethylene,  $\alpha$ -olefins, styrenics, acrylates, methacrylates, ethylene and propylene oxides, isobutylene, vinyl alcohols, acrylic acid, methacrylic acid, and vinyl pyrrolidone. The CO<sub>2</sub>-phobic segment may also comprise molecular units containing various functional groups such as amides; esters; sulfones; sulfonamides; imides; thiols; alcohols; dienes; diols; acids such as carboxylic, sulfonic, and phosphoric; salts of various acids; ethers; ketones; cyanos; amines; quaternary ammonium salts; and thiozoles.

Surface treatment components which are suitable for the invention may be in the form of, for example, random, block (e.g., di-block, tri-block, or multi-block), blocky (those from step growth polymerization), and star homopolymers, tapered polymers, tapered block copolymers, gradient block copolymers, other copolymers, and co-oligomers. Exemplary surface treatment components include, but are not limited to, poly(1,1-Dihydroperfluorooctyl methacrylate) ("poly FOMA"); (1,1-Dihydroperfluorooctyl methacrylate)-co-methyl methacrylate ("FOMA-co-MMA"); (1,1-Dihydroperfluorooctyl methacrylate)-block-methyl methacrylate ("FOMA-block-MMA"); poly-1,1,2,2-tetrahydro perfluoroalkyl acrylate (PTA-N or TA-N); poly[1,1,2,2-tetrahydro perfluoroalkyl acrylate-co-poly(ethylene glycol)methacrylate] (TA-N/PEG); polydimethylsiloxane-polyethylene glycol (PDMS-PEG); poly(1,1,2,2-tetrahydro perfluoroalkyl acrylates); poly(1,1,2,2-tetrahydro perfluoroalkyl methacrylates); poly(1,1-dihydro perfluoroalkyl acrylates); poly(1,1-dihydro perfluoroalkyl methacrylates); poly(1,1,2,2,3,3-hexahydro perfluoroalkyl acrylates); and poly (1,1,2,2,3,3-hexahydro perfluoroalkyl methacrylates). For the purposes of the invention, two or

more surface treatment components may be employed in the fluid containing carbon dioxide.

Other surface treatment components may be used which do not have distinct CO<sub>2</sub> philic and CO<sub>2</sub> phobic segments, e.g., perfluoropolymers. Exemplary surface treatment components which may be used include, but are not limited to, those described in Rao et al., *Textile Finishes and Fluorosurfactants*,  
5 Organofluorine Chemistry: Principals and Commercial Applications, Banks et al. (eds.) Plenum Press, New York (1994).

The surface treatment component may be applied in various amounts.  
10 In the instance where the component is applied as a low level surface treatment, it is preferred to employ the surface treatment component such that the weight of the substrate is less than about 5 percent of surface treatment component, and more preferably less than about 1 weight percent. In the instance where the surface treatment component is applied as a high level surface treatment, it is preferred that  
15 the surface treatment component is employed in amounts such that the weight of the substrate is greater than about 2 weight percent of surface treatment component.

Other additives may be employed with the carbon dioxide, preferably enhancing the physical or chemical properties of the fluid or acting on the substrate.

Such additives may include, but are not limited to, bleaching agents, optical  
20 brighteners, bleach activators, corrosion inhibitors, enzymes, builders, co-builders, chelants, sequestering agents, and rheology modifiers. Mixtures of any of the above may be used. As an example, rheology modifiers are those components which may increase the viscosity of the fluid. Exemplary polymers include, for example, perfluoropolyethers, fluoroalkyl polyacrylics, and siloxane oils, including those  
25 which may be employed as rheology modifiers. Additionally, other molecules may be employed including C<sub>1</sub>-C<sub>10</sub> alcohols, C<sub>1</sub>-C<sub>10</sub> branched or straight-chained saturated or unsaturated hydrocarbons, ketones, carboxylic acids, N-methyl pyrrolidone, dimethylacetamide, ethers, fluorocarbon solvents, and  
chlorofluorocarbon solvents. For the purposes of the invention, the additives are  
30 typically utilized up to their solubility limit during the contacting of the substrate.

Various substrates may be treated in the process of the invention. Such substrates include, but are not limited to, fabrics/textiles, porous and non-porous solid substrates such as metals (*e.g.*, metal parts), glass, ceramics, synthetic and natural organic polymers, synthetic and natural inorganic polymers, other  
5 natural materials, and composite mixtures thereof. In particular, textile substrates are treated by the process, and encompass a larger number of materials. Such substrates are preferably knit, woven, or non-woven fabrics such as garments, upholstery, carpets, tents, clean room suits, parachutes, footwear, etc. formed from natural or synthetic fibers such as wool, cotton, silk, etc. Articles (*e.g.*, ties,  
10 dresses, blouses, shirts, and the like) formed of silk or acetate are particularly well suited for treatment by the process of the invention.

The application of the surface treatment additive is advantageous with respect to medical devices, implants, and other articles of manufacture. The surface treatment component may be used in corrosive environments such as marine fishing  
15 equipment, for example.

In accordance with the invention, by virtue of the application of the surface treatment component, the surface tension is lowered such that contaminants exhibit reduced adherence or absorbency onto the substrate surface during, for example, commercial use. These contaminants are numerous and include, for  
20 example, water, inorganic compounds, organic compounds, polymers, particulate matter, and mixtures thereof.

In another aspect, the invention relates to a method of imparting stain resistance or stain release properties to a fabric. The method includes immersing the fabric in a fluid containing carbon dioxide and a surface treatment component.  
25 As defined herein, the surface treatment component is entrained in the fluid upon contacting the fabric to lower the surface tension of the fabric. The pressure of the fluid may then be decreased such that the surface treatment component treats the fabric and imparts stain resistance to the fabric. The term "decreasing the pressure of the fluid" refers to lowering the fluid to low pressure (*e.g.*, ambient) conditions  
30 such that the surface treatment component is no longer dissolved in the fluid. It

should be understood that it is not necessary to drive the surface treatment component onto the surface. For example, the chemistry of the surface treatment component may be possibly engineered such that it "bites" (e.g., bonds/binds) to the surface.

5                   In an alternative embodiment, the surface treatment component may be deposited onto the surface of a substrate prior to the surface contacting the fluid containing carbon dioxide. Thereafter, the substrate is exposed to the fluid. This embodiment may be employed when using carbon dioxide insoluble but highly swellable surface treatment components.

10                   The process of the invention may be used in conjunction with other steps, the selection of which are known in the art. For example, the process may be used simultaneously with or subsequent to a cleaning process which may remove contaminants from a substrate. Cleaning processes of this type include any  
15                   technique relating to the application of a fluid or solvent to a substrate, with the fluid or solvent typically containing a surfactant and other cleaning or processing aids if desired. After the contaminant is removed from the surface, the surface treatment component may be applied to the substrate surface in accordance with the invention. Prior to using a cleaning process, it should be understood that a pre-treatment formulation may be applied to the substrate. Suitable pre-treatment  
20                   formulations are those which may include solvents, chemical agents, additives, or mixtures thereof. The selection of a pre-treatment formulation often depends on the type of contaminant to be removed or substrate involved.

                    Operations subsequent to the treating of the substrate with the surface treatment component may also be performed, the operations of which are known by  
25                   the skilled artisan. For example, the method may also include the step of washing the fabric with a suitable solvent subsequent to the treatment of the fabric with the surface treatment component. Other post-treatment (i.e., conditioning) steps may be carried out. For example, the substrate may be heated to set the surface treatment component. In an alternative embodiment, the substrate may be exposed to a

reduced pressure. Also, the substrate may be exposed to a chemical modification such as being exposed to acid, base, UV light, and the like.

The process of the invention may be carried out using apparatus and techniques known to those skilled in the art. The process typically begins by  
5 providing a substrate in an appropriate pressurized system (e.g., vessel) such as, for example, a batchwise or semi-continuous system. The surface treatment component is also usually added to the vessel at this time. A fluid containing carbon dioxide is then typically added to the vessel and the vessel is heated and pressurized. The surface treatment component and the fluid may be added to the vessel  
10 simultaneously, if so desired. Additives (e.g., co-solvents, co-surfactants, and the like) may be added at an appropriate time.

After charging the vessel with the fluid containing carbon dioxide, the fluid contacts the substrate and the surface treatment component treats the substrate. During this time, the vessel is preferably agitated by known techniques  
15 including, for example, mechanical agitation; sonic, gas, or liquid jet agitation; pressure pulsing; or any other suitable mixing technique.

Care must be taken to insure that the treatment component is in fact deposited on the substrate, rather than carried away from the substrate as in a cleaning system. In general, four different techniques for depositing the treatment  
20 component, or coating material, onto the substrate, can be employed. In each, the coating is preferably initially provided in the fluid as a stable solution, suspension or dispersion, for subsequent deposition on the substrate. Most preferably the formulation of fluid and surface treatment component is homogeneous (e.g., optically clear) at initiation of the contacting step, particularly for fabric substrates,  
25 but this is not as essential for metal substrates where impregnation of the substrate is not an issue:

(A) The coating is dissolved or solubilized in the fluid at a given temperature and pressure, followed by contacting the fluid to the substrate and reduction of fluid pressure. This effects a lowering of the fluid  
30 density below a critical level, thus depositing the coating onto the substrate.

The system pressure may be lowered by any suitable means, depending upon the particular equipment employed.

5 (B) The coating is deposited onto a substrate by contacting a fluid containing the coating to the substrate, and then diluting the fluid to a point that destabilizes the coating in the fluid resulting in deposition of the coating onto the substrate.

10 (C) The coating-containing fluid is contacted to the substrate at sub-ambient temperature and a given pressure, followed by increasing the temperature of the fluid to a point at which the coating destabilizes in the fluid and the coating is deposited onto the substrate.

15 (D) The coating is provided in the fluid at a sub-ambient temperature in a high pressure vessel, then metered into a second high pressure vessel containing a substrate and the fluid at a temperature sufficiently hither to destabilize the metered fluid and cause the deposition of the coating onto the substrate.

In all of the foregoing, the depositing step is followed by separating the carbon dioxide fluid from the substrate by any suitable means, such as by pumping or venting the fluid from the vessel containing the substrate after the deposition step. As will be appreciated, it is not necessary that all, or even a major  
20 portion of, the surface treatment component be deposited from the fluid onto the substrate, so long as a sufficient quantity is deposited to achieve the desired coating effect on the substrate after it is separated from the fluid.

The following examples are provided to illustrate the present invention, and should not be construed as limiting thereof.

25

#### Example 1

##### **Coating of Poly-cotton fabric (50/50) with 50k PFOMA**

A water and stain repellent coating is applied to a sample of poly-cotton fabric by adding the fabric and 1 wt/vol % 50k of PFOMA to a high pressure  
30 vessel. CO<sub>2</sub> is added at a pressure of 1900 psi and the vessel contents are agitated for



10 minutes. The CO<sub>2</sub> is vented and the cloth sample is removed and weighed. The weight-on-goods (W.O.G.) is calculated by the following equation:  $W.O.G. (\%) = ((\text{final weight of fabric} - \text{initial weight of fabric}) / \text{initial weight of fabric}) \times 100$ . The W.O.G. for 50 k PFOMA on poly-cotton is found to be 20.0%.

5                   The absorbency is tested in accordance with AATC Test Method 79-1995. The wetting time for poly-cotton fabric coated with 50 k PFOMA is 60+ seconds.

#### Example 2

10           **Coating of Poly-cotton fabric (50/50) with 9.3 k FOMA-co-MMA (3:1)**

A water and stain repellant coating of 9.3 k of FOMA-co-MMA (3:1) is applied to a sample of poly-cotton fabric at 2500 psi similar to Example 1. The W.O.G. is found to be 40.7%. The wetting time for the absorbency test is found to be 60+ seconds.

15

#### Example 3

**Coating of Poly-cotton fabric (50/50) with 50 k FOMA-b-9.3k MMA (5:1)**

A water and stain repellant coating of 50 k of FOMA-b-9.3k MMA (5:1) is applied to a sample of poly-cotton fabric at 2500 psi similar to Example 1.

20           The W.O.G. is found to be 30.6%. The wetting time for the absorbency test is found to be 60+ seconds.

#### Example 4

**Coating of Poly-cotton fabric (50/50) with 9.3 k FOMA-b-MMA (5:1)**

25           A water and stain repellant coating of 9.3 k of FOMA-b-MMA (5:1) is applied to a sample of poly-cotton fabric at 2500 psi similar to Example 1. The W.O.G. is found to be 30.5%. The wetting time for the absorbency test is found to be 60+ seconds.

**Example 5****Coating of Poly-cotton fabric (50/50) with 50 k FOMA-co-MMA (4:1)**

A water and stain repellent coating of 50 k of FOMA-co-MMA (4:1) is applied to a sample of poly-cotton fabric at 2500 psi similar to Example 1. The W.O.G. is found to be 45.4%. The wetting time for the absorbency test is found to be 60+ seconds.

**Example 6****Coating of Poly-cotton fabric (50/50) with 80 k PTO-N**

A water and stain repellent coating of 80 k of PTA-N is applied to a sample of poly-cotton fabric at 2500 psi similar to Example 1. The W.O.G. is found to be 19.4%. The wetting time for the absorbency test is found to be 60+ seconds.

**Example 7****Coating of Poly-cotton fabric (50/50) with 30 k PFOMA (FOMA 7)**

A water and stain repellent coating of 30 k of PFOMA is applied to a sample of poly-cotton fabric at 2300 psi similar to Example 1. The W.O.G. is found to be 27.8%. The wetting time for the absorbency test is found to be 60+ seconds.

**Example 8****Coating of Poly-cotton fabric (50/50) with TA-N/10% PEG**

A water and stain repellent coating of TA-N/10% PEG is applied to a sample of poly-cotton fabric at 2300 psi similar to Example 1. The W.O.G. is found to be 15.3%. The wetting time for the absorbency test is found to be 60+ seconds.

**Example 9****Coating of Poly-cotton fabric (50/50) with 2000****PDMS-g-350 PEG (1.3wt% PEG)**

A water and stain repellent coating of 2000 PDMS-g-350 PEG (1.3wt% PEG) is applied to a sample of poly-cotton fabric at 1500 psi similar to

Example 1. The W.O.G. is found to be 4.9%. The wetting time for the absorbency test is found to be 60+ seconds.

**Example 10**

5

**Coating of Poly-cotton fabric (50/50) with  
600 PDMS-g-350 PEG (75wt% PEG)**

A water and stain repellent coating of 600 PDMS-g-350 PEG (75wt% PEG) is applied to a sample of poly-cotton fabric at 1200 psi similar to Example 1. The W.O.G. is found to be 36. percent. The wetting time for the absorbency test is  
10 found to be 60+ seconds.

**Example 11**

**Coating of Acetate fabric with 80 k PTA-N**

A water and stain repellent coating is applied to a sample of acetate  
15 fabric by adding the fabric and 1.2 wt/vol% of 50 k PFOMA to a high pressure vessel. CO<sub>2</sub> is added at a pressure of 2000 psi and the vessel contents are agitated for 15 minutes. The CO<sub>2</sub> is vented and the cloth sample is removed and weighed. The W.O.G. for 80 k PTA-N on acetate is found to be 13.8%.

20

**Example 12**

**Coating of Silk fabric with 80 k PTA-N**

A water and stain repellent coating is applied to a sample of silk fabric by adding the fabric and 1.2 wt/vol% of 50 k PFOMA to a high pressure vessel. CO<sub>2</sub> is added at a pressure of 2000 psi and the vessel contents are agitated for 15 minutes.  
25 The CO<sub>2</sub> is vented and the cloth sample is removed and weighed. The W.O.G. for 80 k PTA-N on silk is found to be 39.0%.

**Example 13**

**Coating of silk fabric with TA-N/25% PEG**

A water and stain repellent coating is applied to a sample of silk fabric by adding the fabric and 0.1 wt/vol% TA-N/25% PEG to a high pressure vessel. CO<sub>2</sub> is added to 2500 psi and the vessel contents are agitated for 15 minutes. The vessel is rinsed for 5 minutes at 2500 psi and the CO<sub>2</sub> is vented. The cloth sample is removed  
5 and weighed. The weight-on-goods (W.O.G.) is calculated by the following equation:  
W.O.G.(%) = ((final weight of fabric – initial weight of fabric)/initial weight of fabric) x 100. The W.O.G. for TA-N/25T PEG on silk is found to be 14.7%.

The absorbency is tested in accordance with AATC Test Method 79-1995. The wetting time for poly-cotton fabric coated with 50 k PFOMA is 60+  
10 seconds.

#### Example 14

##### **Coating of acetate taffeta fabric with TA-N/25% PEG**

A water and stain repellent coating of TA-N/25% PEG is applied to a  
15 sample of acetate taffeta fabric at 2500 psi as in Example 1. The W.O.G. is found to be -0.7%. The wetting time for the absorbency test is found to be 60+ seconds. In addition, there is found to be no difference in the fabric hand of before and after samples.

20

#### Example 15

##### **Coating of poly-cotton fabric with TA-N/25% PEG**

A water and stain repellent coating of TA-N/25% PEG is applied to a  
sample of poly-cotton fabric at 2500 psi as in Example 1. The W.O.G. is found to be 2.4%. The wetting time for the absorbency test is found to be 60+ seconds. In  
25 addition, there is found to be no difference in the fabric hand of before and after samples.

#### Example 16

##### **Coating of linen suiting fabric with TA-N/25% PEG**

A water and stain repellent coating of TA-N/25% PEG is applied to a sample of linen suiting fabric at 2500 psi as in Example 1. The W.O.G. is found to be 3.4%. The wetting time for the absorbency test is found to be 60+ seconds. In addition, there is found to be no difference in the fabric hand of before and after samples.

#### Example 17

##### **Coating of cotton fabric with TA-N/25% PEG**

A water and stain repellent coating of TA-N/25% PEG is applied to a sample of cotton fabric at 2500 psi as in Example 1. The W.O.G. is found to be 1.1%. The wetting time for the absorbency test is found to be 60+ seconds. In addition, there is found to be no difference in the fabric hand of before and after samples.

#### Example 18

##### **Coating of texturized stretch nylon 6.6 fabric with TA-N/25% PEG**

A water and stain repellent coating of TA-N/25% PEG is applied to a sample of Texturized stretch nylon 6.6 fabric at 2500 psi as in Example 1. The W.O.G. is found to be 3.0%. The wetting time for the absorbency test is found to be 60+ seconds.

#### Example 19

A copolymer comprised of units derived from the polymerization of 1,1,2,2-tetrahydro perfluoroalkyl acrylate with butyl acrylate and meta(2-isocyno-2-propyl) styrene, was dissolved in CO<sub>2</sub> in a high pressure vessel with a copolymer comprised of units derived from the polymerization of 1,1,2,2-tetrahydro perfluoroalkyl acrylate with butyl acrylate and poly(propylene glycol) acrylate to yield a solution of approximately 1.3 wt. % polymer.

The solution containing the polymers, both of which contained at least 50 wt. % perfluoroalkyl acrylate, was homogeneous at 150 bar and 25°C. A swatch of nylon fabric weighing 25 grams was evenly wrapped numerous times around a perforated metal beam placed in a separate high-pressure vessel that was then filled

with liquid CO<sub>2</sub> at 25C and 150 bar. The fluorocarbon containing acrylate solution was then pumped to the high-pressure vessel containing the substrate such that the solution flowed in a radial fashion through the beam and fabric and back into the original high-pressure vessel for a time sufficient to ensure steady state conditions in  
5 both vessels.

The vessel containing the nylon was then isolated from the rest of the systems at which point the density of the solution was lowered by slowly removing CO<sub>2</sub> from the vessel so that the density of the solution dropped causing the dissolved fluorocarbon containing polymer to coat in and onto the nylon substrate. After  
10 removing the rest of the CO<sub>2</sub> from the vessel containing the nylon, the fabric was removed from the beam. The nylon fabric was then placed in an oven at a temperature a 125°C for 20 minutes to cure and crosslink the coating on the fabric. The weight on goods (WOG) of the coating was determined to be 3.0% and subsequent testing was carried out to measure the efficacy of the coating as a water  
15 and oil repellent finish.

Water and oil repellency were assessed according to AATCC Test Method 22-1996 and AATCC test method 118-1992, Water Repellency: Spray Test and Oil Repellency: Hydrocarbon Resistance Test, respectively. Some of the nylon swatches were laundered to determine the wash durability of the repellent finish.  
20 Ratings for water repellency are based on the following scale.

- 100 (ISO 5)-No sticking or wetting of upper surface.
- 90 (ISO 4)-Slight random sticking of upper surface.
- 80 (ISO 3)-Wetting of upper surface at spray points.
- 25 70 (ISO 2)-Partial wetting of whole upper surface.
- 50 (ISO 1)-Complete wetting of whole upper surface.
- 0-Complete wetting of whole upper and lower surfaces.

Oil repellency is based on drops of standard test liquids consisting of a selected  
30 series of hydrocarbons with varying surface tensions. These test liquids are placed on the fabric surface and observed for wetting, wicking and contact angle. The finish earns a rating based on the highest numbered hydrocarbon liquid that does not

wet the surface of the fabric after 30 +/- 2 seconds. The higher this number is, the more effective the finish is an oil repellent finish. The ratings correspond to the following hydrocarbon liquids.

5	AATCC Oil Grade Number	Composition
	0	None (fails Kaydol)
	1	Kaydol
	2	65:35 Kaydol: n-hexadecane by volume
10	3	n-hexadecane
	4	n-tetradecane
	5	n-dodecane
	6	n-decane
	7	n-octane
15	8	n-heptane

Swatches cut from the coated nylon fabric earned the following water and oil repellency scores based on the criteria defined above. The coated nylon swatches had "hand" qualities comparable to non-coated samples.

20		<u>Water Repellency</u>	<u>Oil Repellency</u>
	Nylon #1 (not coated)	0	---
	Nylon #2 (not coated)	---	0
	Nylon #3 (coated)	100 (ISO 5)	---
25	Nylon #4 (coated)	---	8
	Nylon #5 (coated/10 launderings)	80 (ISO 3)	---
	Nylon #6 (coated/10 launderings)	---	7

30 Example 20

Two silk swatches, 7" x 14", were coated in CO<sub>2</sub> as in example 1 with a coating consisting of 2 copolymers synthesized via free radical polymerization of a perfluoroalkyl acrylate, poly(propylene glycol) acrylate, poly(propylene glycol) methyl ether acrylate, and butyl acrylate, and polymerization of perfluoroalkyl acrylate, butyl acrylate, and meta(2-isocyno-2-propyl) styrene. Both of the  
 35 copolymers consisted of approximately 50 mole % perfluoroalkyl acrylate. The

coated silk swatches contained approximately 2.8% WOG coating and displayed fabric hand qualities indistinguishable from non-coated silk. Repellency grades were ascribed as follows.

5		<u>Water Repellency</u>	<u>Oil Repellency</u>
	Silk #1 (not coated)	0	----
	Silk #2 (not coated)	---	0
	Silk #3 (coated)	100 (ISO 5)	----
	Silk #4 (coated)	----	8
10	Silk #5 (coated*)	---	8

\*- 20 minute perchloroethylene rinse and dry.

**Example 21**

Two wool fabric swatches were coated as described in example 1 with a coating of similar composition to that used in example 2. The coated wool swatches had a fabric "hand" similar to non-coated wool and a WOG of approximately 4.5%. Repellency grades were ascribed as follows.

		<u>Water Repellency</u>	<u>Oil Repellency</u>
20	Wool #1 (not coated)	---	0
	Wool #2 (coated)	---	7
	Wool #3 (coated*)	---	8

\*- 20 minute perchloroethylene rinse and dry.

25

**Example 22**

Two cotton/polyester blended fabric swatches were coated as described in example 1 with a coating of similar composition to that described in example 1. Fabric swatches containing approximately 1.5% WOG coating were ascribed the following repellency ratings.

30



	<u>Water Repellency</u>	<u>Oil Repellency</u>
Cotton/poly #1 (not coated)	0	---
Cotton/poly #2 (not coated)	---	0
Cotton/poly #3 (coated)	70 (ISO 2)	---
5 Cotton/poly #4 (coated)	---	7
Cotton/poly #5 (coated *)	50 (ISO 1)	---

\* - 10 simulated home launderings

### Example 23 (TYPE B)

10 A coating synthesized by free radical polymerization of perfluoroalkyl acrylate, butyl acrylate, poly(propylene glycol) methyl ether acrylate, and poly(propylene glycol) methacrylate containing approximately 25 mole % perfluoroalkyl acrylate was dissolved in a mixture of methyl ethyl ketone (MEK) and dipropylene glycol methyl ether acetate. In this case, 1.75 grams of the polymer was  
15 first dissolved in 10 mL of MEK and then diluted with dipropylene glycol methyl ether acetate to a total volume of 70 mL, 2.5 w/v% solution.

The coating solution was added to a high-pressure vessel, Vessel 'A'. In a separate high-pressure vessel, vessel 'B', containing a perforated stainless steel basket, nylon swatches were added. The basket in vessel 'B' could be rotated by  
20 means of a magnetically coupled drive system with an external DC motor. Vessel 'A' and 'B' were sealed at which point liquid CO<sub>2</sub> at saturated vapor pressure, ~60 bar at 25°C, was metered into vessel 'A' to a total volume of ~250mL. The mixture remained clear and homogenous. Then, liquid CO<sub>2</sub> at saturated vapor pressure was added to vessel 'B' to a volume in which the vessel was approximately ½ full. The  
25 basket containing the swatches was rotated at approximately 35 rpm at which point the CO<sub>2</sub>/cosolvent/polymer solution was slowly metered from vessel 'A' to vessel 'B' until all liquid had been transferred from one vessel to the other. In this process the coating solution containing coating, cosolvent, and CO<sub>2</sub> became diluted with CO<sub>2</sub> such that the coating went through a cloud point. As the coating destabilized in  
30 vessel 'B' it coated out onto the surfaces of the swatches. The basket in vessel 'B' continued to rotate until the liquid CO<sub>2</sub> was clear indicating that all of the coating had depleted onto the surfaces of the fabric. After removing the CO<sub>2</sub> from both

vessels the nylon fabric swatches were removed and placed in a laboratory oven for 15 minutes at 110°C to activate the fluorocarbon coating. The swatches, which contained on average 3.5% WOG coating were then subjected to treatment with drops of water and olive oil indicating good repellency to both.

5

#### **Example 24**

Silk ties are coated in a process similar to that described in example 23, yielding finished garments with good oil and water repellent properties.

10

#### **Example 25**

Wool swatches are coated in a process consistent with that described in example 23, imparting water and oil repellent properties to the fabric.

#### **Example 26**

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A process consistent with that described in example 23 is used to coat a mixture of fabric swatches including cotton, polyester, nylon, silk, and wool imparting water and oil resistant properties to all fabric types.

#### **Example 27**

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A process as described in example 23 is performed subsequent to cleaning of garments using a CO<sub>2</sub>-based garment cleaning process, to impart soil release properties thereto. The process is carried out in the same vessel as is the cleaning process.

25

#### **Example 28**

A process as described in example 23 is performed concurrently with a CO<sub>2</sub>-based garment cleaning process.

#### **Examples 29-30**

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The premise behind these depletion methods relates to the solubility of

amorphous fluoropolymers in CO<sub>2</sub> at varying CO<sub>2</sub> densities. For example, a polymer may be soluble in CO<sub>2</sub> at 5°C and 40 bar, but not soluble at 25°C and 60 bar. This is a result of the difference in density of the liquid CO<sub>2</sub> between the two scenarios, ~0.9 g/mL to ~0.7 g/mL respectively.

5

#### Example 29 (TYPE C)

An oil and water repellent finish is added to fabric swatches in the following manner. Fabric swatches are added to a high-pressure vessel equipped with a magnetically coupled stirring drive, and a heat exchanger. Copolymer comprised of units derived from the polymerization of 1,1,2,2-tetrahydro perfluoroalkyl acrylate with butyl acrylate and poly(propylene glycol)methyl ether acrylate is added to the vessel and it is sealed. Liquid CO<sub>2</sub> is added to fill the vessel approximately half full at 0°C, ~ 36 bar. Stirring is initiated for a time sufficient to allow the coating to dissolve in the vessel, at which point the vessel is warmed to 25°C under continued stirring. CO<sub>2</sub> is then removed from the vessel, as are the water and oil repellent fabric swatches.

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#### Example 30 (TYPE D)

An oil and water repellent finish is added to fabric swatches in the following manner. Fabric swatches are added to a high-pressure vessel, vessel 'A', equipped with a magnetically coupled stirring drive. Copolymer comprised of units derived from the polymerization of 1,1,2,2-tetrahydro perfluoroalkyl acrylate with butyl acrylate and poly(propylene glycol)methyl ether acrylate is added to a separate high-pressure vessel, vessel 'B', equipped with a magnetically coupled stirring drive and a heat exchanger. Liquid CO<sub>2</sub> is added to vessel 'A' to fill the vessel approximately ½ full, at a saturated vapor pressure of ~60 bar @ 25°C. Liquid CO<sub>2</sub> is then added to vessel 'B' that has been cooled to 0°C to fill it approximately ½ full, and stirring is initiated. After equilibration, the saturated vapor pressure in vessel 'B' is approximately 36 bar. After sufficient time to dissolve the polymer in vessel 'B', the CO<sub>2</sub> solution is slowly added to vessel 'A' using a high-pressure syringe pump

20

25

30

and the corresponding high-pressure tubing. After time sufficient to deplete the coating onto the fabric, CO<sub>2</sub> is remove from both vessels followed by the oil and water repellent fabric swatches.

The foregoing is illustrative of the present invention and is not to  
5 be construed as limiting thereof. The invention is defined by the following claims,  
with equivalents of the claims to be included therein.

**That Which Is Claimed Is:**

1. A method of treating a substrate, said method comprising:  
immersing a surface of said substrate with a pressurized liquid or supercritical fluid comprising carbon dioxide and a surface treatment component,  
5 said surface treatment component being entrained in said pressurized fluid and contacting said surface in an entrained condition so that said surface treatment component lowers the surface tension of the surface of said substrate and adheres to the substrate after removal of the substrate from the fluid to thereby treat said substrate.  
10
2. The method according to claim 1, wherein said immersing step is followed by the step of removing said surface of said substrate from said fluid.
3. The method according to Claim 1, wherein said carbon dioxide is  
15 present in a supercritical state.
4. The method according to Claim 1, wherein said carbon dioxide is present in a liquid state.
- 20 5. The method according to Claim 1, wherein said surface treatment component comprises a CO<sub>2</sub>-philic segment.
6. The method according to Claim 5, wherein said CO<sub>2</sub>-philic segment comprises at least one monomer selected from the group consisting of  
25 fluorine-containing segments, siloxane-containing segments, and mixtures thereof.
7. The method according to Claim 1, wherein said surface treatment component comprises a CO<sub>2</sub>-phobic segment.

8. The method according to Claim 7, wherein said CO<sub>2</sub>-phobic segment is selected from the group consisting of lipophilic polymers, oleophilic polymers, aromatic polymers, oligomers, and mixtures thereof.

5                   9. The method according to Claim 1, wherein said surface treatment component comprises a fluoreacrylate polymer..

10                   10. The method according to Claim 1, wherein said substrate is a metal substrate.

15                   11. The method according to Claim 1, wherein said substrate is a fabric substrate.

20                   12. The method according to Claim 1, wherein said pressurized fluid has a pressure greater than about 20 bar.

25                   13. A method of treating a substrate, said method comprising:  
                          contacting a surface of said substrate with a pressurized fluid comprising carbon dioxide and a surface treatment component, said surface treatment component being entrained in said pressurized fluid and contacting said surface in an entrained condition so that said surface treatment component lowers the surface tension of the surface of said substrate and treats said substrate, said surface treatment component becoming grafted onto the surface of the substrate.

30                   14. The method according to Claim 13, wherein said carbon dioxide is present in a supercritical state.

                          15. The method according to Claim 13, wherein said carbon dioxide is present in a liquid state.

16. The method according to Claim 13, wherein said surface treatment component comprises a CO<sub>2</sub>-philic segment.
17. The method according to Claim 16, wherein said CO<sub>2</sub>-philic segment comprises at least one monomer selected from the group consisting of  
5 fluorine-containing segments, siloxane-containing segments, and mixtures thereof.
18. The method according to Claim 13, wherein said surface treatment component comprises a CO<sub>2</sub>-phobic segment.
19. The method according to Claim 18, wherein said CO<sub>2</sub>-phobic segment is selected from the group consisting of lipophilic polymers, oleophilic polymers, aromatic polymers, oligomers, and mixtures thereof.
20. The method according to Claim 13, wherein said surface treatment component is a fluoroacrylate.
21. The method according to Claim 13, wherein said substrate is a metal substrate.
22. The method according to Claim 13, wherein said substrate is a fabric substrate.
23. A method of imparting stain resistance to a fabric, said method comprising:  
25 immersing said fabric in a pressurized fluid containing carbon dioxide and a surface treatment component, said surface treatment component being entrained in said pressurized fluid and contacting said fabric in an entrained condition to lower the surface tension of said fabric; then  
30 depositing said surface treatment component on said fabric; and then

separating said carbon dioxide from said fabric so that said surface treatment component remains deposited on said fabric.

24. A method according to claim 23, wherein said depositing step is  
5 carried out by lowering the pressure of said fluid.

25. A method according to claim 23, wherein said depositing step is carried out by diluting said fluid.

10 26. A method according to claim 23, wherein said depositing step is carried out by raising the temperature of said fluid.

27. A method according to claim 23, wherein said fluid is a non-  
aqueous fluid

15

28. The method according to Claim 23, wherein said surface treatment component imparts stain resistance properties to said fabric.

29. The method according to Claim 23, wherein said surface  
20 treatment component imparts stain release properties to said fabric.



# INTERNATIONAL SEARCH REPORT

National Application No  
PCT/US 98/10897

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 D06M23/10 D06M15/277 B05D1/18		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 D06M B05D		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X  A  X  A  A	DE 43 32 219 A (CIBA GEIGY) 31 March 1994  see page 2, line 44 - line 57 see page 3, line 6 - line 10; claims 1-3  --- EP 0 506 067 A (UNION CARBIDE CHEM PLASTIC) 30 September 1992 see page 8, line 23 - line 38 see page 13, line 58 - page 14, line 50; claims  --- WO 93 14259 A (JASPER GMBH) 22 July 1993  see the whole document  --- -/--	1-3, 5-9, 11, 12  13-29  13-22  1-12, 23-29  1-9, 11-20, 22-29
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.		
<input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents :		
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "Z" document member of the same patent family	
Date of the actual completion of the international search  <p style="text-align: center; font-weight: bold;">28 August 1998</p>	Date of mailing of the international search report  <p style="text-align: center; font-weight: bold;">04/09/1998</p>	
Name and mailing address of the ISA European Patent Office, P. B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  <p style="text-align: center; font-weight: bold;">Blas, V</p>	

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 98/10897

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DE 42 39 214 A (AMANN & SOEHNE) 26 May 1994 see the whole document -----	1-29
A	WO 93 14255 A (AMANN & SOEHNE) 22 July 1993 see the whole document -----	19-29
P, X	WO 98 11293 A (UNIV MASSACHUSETTS LOWELL) 19 March 1998  see the whole document -----	1-9, 11-20, 22-29
P, A	"AATCC'S 1997 INTERNATIONAL CONFERENCE & EXHIBITION. SURFACTANTS FOR LIQUID AND SUPERCRITICAL CARBON DIOXIDE" TEXTILE CHEMIST AND COLORIST, vol. 29, no. 8, August 1997, page 28, 30 XP000722163 see the whole document -----	1, 9, 20

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No PCT/US 98/10897
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