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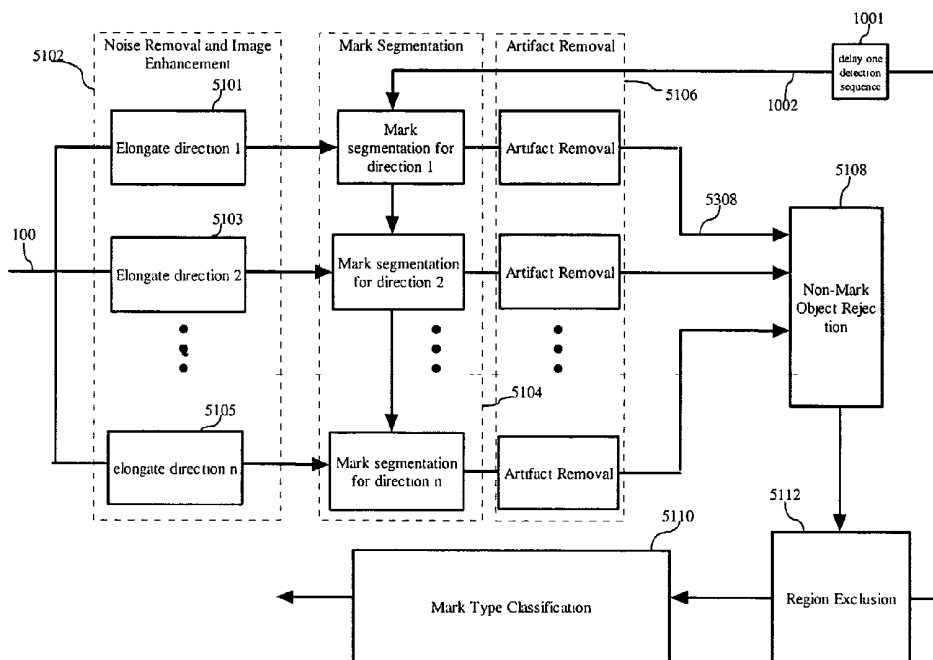
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(54) Title: AUTOMATIC DETECTION OF ALIGNMENT OR REGISTRATION MARKS



(57) Abstract: Mark detection and position determination are improved by use of directional elongated filters (5102), symmetry, gray scale image processing, structural constraints, and learning. Directional elongated filters are used to pre-process images of registration marks to create masks (5104) and enhanced images. Working sequentially (1001), portions of the mark are detected and classified. The input gray scale image of the mark is processed using its structural constraints in conjunction with a mask for the detected mark. A cost function estimation determines mark position and orientation with sub-pixel accuracy. Learning is used to improve specific application performance.

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## ***AUTOMATIC DETECTION OF ALIGNMENT OR REGISTRATION MARKS***

### ***Technical Field***

- 5 The invention is related to image processing and pattern recognition and, more particularly, to detecting and classifying alignment or registration mark type and measuring the position and orientation of a mark.

### ***Background Art***

- 10 In the semiconductor wafer production process and multilayer electronic circuit board construction, numerous individual processes are performed sequentially to construct layers of a three dimensional electronic circuit. The general process depends critically on the alignment of each of the individual processes. To characterize alignment between layers, image primitives called alignment or registration marks are imprinted during each process step. By measuring the relative positions of these registration
- 15 marks, the registration of layers can be determined. Layers can be mis-registered in x and y position and the two layers can be rotated with respect to each other. The amount of mis-registration that is allowable depends upon the application and the critical dimensions of the electronic circuit that is being constructed. Mis-registration detection is important because of its effects on yield and performance of the finished
- 20 circuit.

- Detection of alignment or registration marks and their accurate characterization may be done manually or automatically. Both processes suffer from corruption of the marks by noise and processing artifacts that cause interference with the basic
- 25 imprinted marks. Interference creates gray scale patterns that perturb the simple patterns and the background, making it more difficult to measure basic registration information.

**Prior Art**

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An image segmentation approach is used in the prior art for image feature detection or object measurement. The image segmentation approach converts a grayscale image into a binary image that contains object of interest masks. Binary thresholding is a common technique in the image segmentation approach (U.S. Patent No. 6,141,464  
35 entitled, "Robust Method for Finding Registration Marker Positions", by Handley; John C, issued October 31, 2000 column 4 lines 58-59).

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Image features such as edges in an image are smeared over a distance of four or five pixels, an effect that is the result of a reasonably sufficient sampling basis,  
imperfections in the camera optics, and the inevitability of physical laws (finite point spread function). Because edges or features of an image are imaged by the optical and imaging system as continuously varying gray levels, there exists no single gray level that represents edge pixels. For this reason, any system that depends on segmentation or a binary thresholding of the image before critical dimensions are  
45 determined must necessarily introduce quantization errors into the measurement. Binary thresholding also exacerbates the resolution limiting effect of system noise. Pixels whose gray levels are close to the threshold level are maximally affected by small variations due to additive noise. They may either be included or excluded into the mask based on the noise contribution to their instantaneous value.

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In the prior art methods, an image of the registration mark is sometimes conditioned by linear filtering to reduce artifacts that degrade or prevent accurate measurement. Unfortunately, linear filtering methods are sensitive to the noise surrounding the mark, influencing the position and quality of the edges that are used to determine  
55 position. These difficulties are caused by group envelope delay distortion, transient aberration, overshoot, ringing, pre-shoot, phase shift and stored energy within the filter itself caused by extraneous noise surrounding the edge which is the source of measurement information. Additionally, most prior art filters are one dimensional, and cannot take useful advantage of the marks physical size, known mark structure, mark  
60 direction, structural constraints, or basic characteristics that are multidimensional.

Normalized grayscale correlation is used to locate patterns in precise alignment and registration applications. However, the correlation methods are significantly limited when the appearance of objects are subject to change due to normal process variations. Another method of measurement is to filter the image of the registration marks with a linear filter and then to do a gray scale projection of a portion of the mark to produce a one-dimensional portrayal of the transient characteristic of a mark edge that is noise reduced. In the presence of mark rotation from the expected axis, gray scale projection markedly reduces the detected edge amplitude and spreads it over a distance, making thresholding to detect position a very noise sensitive operation. The effects of linear filtering (ringing and transient aberration) cause additional difficulty because these transient errors make thresholding ineffective in determining edge position. Thresholding enshrines the errors that preceded it, forever destroying the ability to make accurate measurements of position and orientation. Using the prior art process, results can be inaccurate when the image of the registration mark is not ideal.

In the prior art, (Serra, Fernando J., "Advanced Search Techniques for Alignment and Registration", Intelligent Vision '99, June 28-29, 1999) recognition of mark characteristics is generally not highly constrained, leading to artifacts and false alarms. Example simple constraints in the prior art include simple edge detection and element length for position location of elements of the composite mark whereas the mark element orientation with respect to other mark elements, edge location all along the length of the mark element, mark size, mark linewidth, etc. could have been used to filter and locate the true mark position. The additional constraints can operate to increase robustness and accuracy for type detection as well as location measurement. Further, they are applied without thresholding where accurate and robust measurements are required.

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### ***Disclosure of the Invention***

This invention provides a robust method to find the mark location and determine the type of the mark. Directional elongated filters pre-process the mark image to reject

noise and non-mark artifacts and to enhance mark features. Symmetry of the mark is  
95 used to further reject non-mark artifacts. The center of the mark is identified based on  
mark symmetry. Working outward from the center of the mark, sub-portions of the  
mark are detected and classified in a sequential process. The masks that identify mark  
location are also used in a later process to direct processing for measuring mark  
location and orientation.

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This invention also provides a robust method to estimate the fine location and angular  
alignment of marks using the original gray scale image. The mark type classification  
gives knowledge of appropriate structure. The position, scale, and orientation of the  
structure associated with the particular mark is the structure used in the structure-  
105 guided estimation method. The structure-guided estimation method performs  
estimation within the regions defined by each component of the measurement  
mask(s). The structure location is compared to the real data in the image to  
determine a best fit estimation of mark location and orientation. Estimation finds the  
parameters that minimize a cost function by a weighted minimum-square-error (MSE)  
110 method. This measurement method uses all the pixels associated with the detected  
portions of the mark to create a measurement having sub-pixel accuracy. Interference  
with the mark detection and location is minimized by the image pre-processing in the  
detection process to generate mask and weight information. The masks and a weight  
image created during the detection process focus the measurement cost function on  
115 locations in the image that are most important and exclude regions that are not related  
to the measurement. Measurement is not influenced by imperfect initial image  
orientation.

A learning process is used to incorporate process specific experience into the  
120 knowledge of mark structure characteristics and thereby improve noise rejection and  
image enhancement. Learning improvement enhances the utility of the invention.  
Learning provides the necessary experience.

### ***Brief Description of Drawings***

The preferred embodiments and other aspects of the invention will become apparent  
125 from the following detailed description of the invention when read in conjunction  
with the accompanying drawings which are provided for the purpose of describing  
embodiments of the invention and not for limiting same, in which:

Figure 1 shows example registration marks and their axes of symmetry.  
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Figure 2 shows the block diagram of the overall architecture for detecting and  
measuring registration marks.

Figure 3 shows the processing flow for the sequential detection of registration marks.  
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Figure 4 shows the procedure to find the center of the mark and the axes of symmetry.

Figure 5 shows the processing flow for the noise removal procedure.

140 Figure 6 shows the processing flow for the thresholding step.

Figure 7 shows the processing flow for the artifact removal procedure.

Figure 8 shows an example registration mark;  
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Figure 9 shows the processing flow for the mark type classification module.

Figure 10 shows a procedure to calculate  $m(i)$  where  $a = 2*s+3$  and  $b = 2*s+1$  and  $s$  is  
a learned indication of line width in the registration mark.  
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Figure 11 shows the procedure for size learning.

Figure 12A shows the processing flow for a noise removal process for horizontal  
elements of the mark shown in Figure 8.

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Figure 12B shows the processing flow for a noise removal process for vertical elements of the mark shown in Figure 8.

### ***Best Mode for Carrying Out the Invention***

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#### 1. Concept

Electronic assembly requires automatic alignment of printed circuit board layers before processing steps can be applied. Similarly, a semiconductor manufacturing process requires precise pattern registration among processing layers because the minimum feature size of the pattern is tiny and is getting even smaller. Any registration mismatch between layers has to be detected and corrected. Pre-defined fiducial marks are provided in printed circuit boards for alignment. To detect a semiconductor manufacturing process registration mismatch, registration marks are projected onto each processing layer, and the relative positions of the registration marks between consecutive layers are measured. The alignment or registration check is performed by capturing an image of the printed circuit board or a region of a wafer and searching for pre-defined marks. Once the marks are found, their contours can be extracted and position, scale and orientation of each mark can be determined. Figure 1 shows typical alignment marks for electronic assembly that includes circle 800, ellipse 802, ring 820, cross 804, bar 806, triangles 808, 810, diamond 812, or multiple squares 814, 816. Typical registration marks for semiconductor manufacturing processes include rectangular marks such as a box within a box 826, a circle within a circle 820, a ring within a ring 818, or a collection of bars 822 and frames 824. Mark detection and location is a relatively simple task if the marks are well defined and the images have high contrast and low noise. Mark detection can be accomplished by a correlation method. However, in most practical applications, the fiducial marks or registration marks are degraded with low contrast and significant background noise due to the processes they support and normal or occasionally faulty process variations. Furthermore, the types of the marks may not be known. This may require not only detection but also classification of the mark types prior to automatic alignment. This



invention provides a robust method to detect difficult-to-locate fiducial marks and classify their types. In one embodiment of the invention, semiconductor wafer processing registration marks are located and their types classified by a structure-guided method (reference U.S. Patent Application Ser. No. 09/738846 entitled, "Structure-guided Image Processing and Image Feature Enhancement" by Shih-Jong J. Lee, filed December 15, 2000 and U.S. Patent Application Ser. No. 09/739084 entitled, "Structure Guided Image Measurement Method", by Shih-Jong J. Lee et. al., filed December 14, 2000 incorporated herein in their entirety).

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## 2. Overall Architecture

Figure 2 shows the processing flow for the initial and refined estimation of the location of the marks and the determination of the mark type. An input image is received 100. The system detects and coarsely locates the mark 102. The output of the block 102 is a binary image showing the detected mark areas and the mark type. Using the output of the block 102 and the weighted image which is generated by block 104, the finer location of the mark is estimated. The method to get finer mark location is the structure-guided estimation that is a weighted regression method integrating the structures into constraints of estimation. The constraints include geometrical structures such as line, circle, ellipse, and rectangle, and spatial relationships such as parallel, orthogonal, intersection with known angles, or constant distance between lines. The output 106 contains the structure-guided estimation result of the mark that includes the shape structure and the accurate parameters of the structure representation.

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### 2.1 Detection Algorithm

Registration marking systems frequently use more than one mark for alignment of multiple processes or layers or they use a complex mark comprised of portions applied on separate layers. In the preferred embodiment, registration marks are detected one at a time (or one significant portion at a time), generally using a point of symmetry for determination of a working center then working from the center

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220 outward (or other systematic method) to detect marks or portions of marks sequentially. In this embodiment, the original image is processed and, working away from the center, the first mark (or portion of a mark) is detected. The detected portion is masked out of the image. Then begins the detection of mark elements sequentially. The idea in this embodiment is to align marks arising from different processes, or to

225 measure their misalignment. To do this, more than one mark has to be present or the single mark is composed of components that are separately imprinted but form a composite mark. Starting closest to the center and working outward, the first portion of the mark is detected. After completing detection of the first portion of the mark, the first mark portion is excluded from the next sequential mark detection and the

230 process is begun again. This process is repeated until all marks are detected. Finally, the mark type classification is done for each detected mark.

### 2.1.1. Find the Center and the Symmetry Axes

235 The method to find the center and the symmetry axes of the registration mark is important for locating and identifying marks of interest. The procedure is illustrated in Figure 4. The first step is a detection module 4102 that detects potential mark features including the true mark and false marks. The output 4103 of module 4102 is the potential mark. The potential mark features are refined using the relation of

240 symmetry and other structure constraints such as equal length, equal shape, line width, or known angles between structures. The center of the mark can be estimated from the centroid or median values of the potential mark. If the measured asymmetry around the estimated center of the mark is not acceptable, then the refinement procedure uses tighter constraint of the relation of symmetry and estimation of the

245 center of the mark is repeated until the measure of asymmetry is acceptable. In a preferred embodiment of the invention, the measure of asymmetry is calculated as

$$\text{Measure of asymmetry} = \frac{\sum (I[x][y] - I[2*Xc - x][2*Yc - y])^2}{\sum (I[x][y])^2}$$

250 where  $Xc$  and  $Yc$  are the x and y coordinates of the estimated center of mark, and  $I[x][y]$  is the image value at x and y coordinates. The measure is conducted for all points within the potential mark feature images. The measure of asymmetry is zero if

the mark features are symmetric around the center of the mark. The potential marks are not symmetric around the center of the mark if the measure of asymmetry value is high.

As shown in Figure 4, this procedure is performed in module 4104, the output 4105 is the coordinate for the center of the mark. After the center of the mark is estimated, symmetric axes are estimated. Let the symmetric axis be

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$$a(x-X_c)+b(y-Y_c) = 0$$

where  $a^2+b^2=1$

and  $b>0$ .

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To find symmetric axis, minimize the following cost function for different values of a and b.

That is: MIN Cost and  
a, b

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$$\text{Cost} = \Sigma ([I[x][y] - I[x_r][y_r])^2 / \Sigma ([I[x][y])^2$$

where  $x_r$  and  $y_r$  is the x and y coordinates of the symmetric point of (x,y) and the relationship is

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$$x_r = X_c - [2*a*b*(y-Y_c) + (a^2 - b^2)*(x-X_c)]$$

$$y_r = Y_c - [2*a*b*(x-X_c) - (a^2 - b^2)*(y-Y_c)]$$

In Figure 4, this operation is done in block 4106, and the output 4107 is the axes of the symmetry.

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### 2.1.2. Sequential Detection of Mark Components

The processing flow for detection of a mark is shown in Figure 3. The mark image contains multiple components of different directional orientation. An elongated

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directional decomposition is required. After the decomposition, the operations for filtering, detection and artifact rejection are done for the elongate direction associated with that portion of the decomposition. The elongate directions are determined from the information about the mark types that are included in the set of mark possibilities.

290 The processing steps include noise removal and image enhancement 5102, mark segmentation 5104, artifact removal 5106, non-mark object rejection 5108, region exclusion 5112, and mark type classification 5110. The processing parameters such as the direction and size of directional elongated morphological operations are derived from the structure information of the possible marks by determining the basic shapes

295 that make up the mark, the basic size limitations, expected orientation, color, relative orientation to other elements in the mark, width of the basic shapes that constitute the marks, and other distinguishing characteristics. In the example shown in Figure 3, the processing and detection of directionally unique portions of the mark are separately done in parallel, however they can be done serially (e.g. clockwise in addition to from

300 the center out).

The noise removal and image enhancement stage 5102 enhances the image to reduce the effect of noise and enhances features for the detection stage by filtering as described in co-pending U.S. Patent Application Ser. No. 09/738846 entitled,

305 "Structure-guided Image Processing and Image Feature Enhancement" by Shih-Jong J. Lee, filed December 15, 2000 applied to a gray scale image. The mark segmentation stage 5104 thresholds the enhanced image to extract mark areas. The enhanced image may contain noisy or erroneous features, that result in binary artifacts. The artifact removal step removes binary artifacts of the detected mark

310 5106 by further filtering operations utilizing binary morphological structuring elements and nonlinear morphological operations. The results from each direction of mark processing are collected together. If artifacts remain, they are rejected based upon their symmetry properties. This procedure is done by a rejection stage 5108.

#### 315 2.1.2.1. Noise Removal and Image Enhancement Step

The noise removal step removes the noise in the image. The noise can be additive noise, spikes, or patterned noise of irrelevant patterns. The noise removal process is

accomplished by linear low pass filtering, median filtering, or morphological filtering.

320 In a preferred embodiment of the invention, directional elongated morphological filters are used for noise removal. From the structure of the possible marks, the direction and size of the directional elongated morphological filters can be derived. By choosing the proper structuring element for the feature extraction processing sequence, structure-guided feature extraction can be efficiently accomplished. In a

325 preferred embodiment of this invention, features of different structures are extracted using directional elongated structuring elements. Directional elongated structuring elements have limited width in one of its dimensions. It can be efficiently implemented in a general-purpose computer using the methods taught in co-pending U.S. Patent Applications entitled “U.S. Patent Application Ser. No. 09/693723,

330 “Image Processing System with Enhanced Processing and Memory Management”, by Shih-Jong J. Lee et. al., filed October 20, 2000 and U.S. Patent Application Ser. No. 09/692948, “High Speed Image Processing Apparatus Using a Cascade of Elongated Filters Programmed in a Computer”, by Shih-Jong J. Lee et. al., filed October 20,

2000. The direction of the elongated structuring element is chosen to be

335 approximately orthogonal to the primary direction of the features to be extracted. The process works even if the input edge is slightly rotated. Also, directional elongated filters can be applied on any orientation according to the needs to preprocess for particular mark characteristics. In Figure 5 it is assumed that the mark image has dark elements. If this is not the case, the image can be inverted before pre-processing. The

340 processes of Figure 5 can be applied in any direction and can be reapplied in multiple directions to filter for all expected elements of the mark. In an application, an image is received 5200 and the first directional elongated closing operation 5202 reduces the noise in the mark image by eliminating small dark extraneous image noise. The directional elongated closing residue operation 5204 enhances the mark and brightens

345 the retained elements. The next directional elongated closing operation 5206 fills in the bright image elements. The last directional elongated opening 5208 reduces the noise in the background. The output image of the mark has bright image detail and only contains portions of the mark that are aligned with the structuring elements in

5202, 5206, 5208. The original image is processed by this method for each principle

350 axis having elements important to the overall mark characteristics.

### 2.1.2.2. Mark Segmentation

The segmentation step is done by thresholding 1006 the output image of the noise removal module 1000 (Figure 6). The thresholding method can be a simple global thresholding or local thresholding based on the neighboring pixel values. The method used in the preferred embodiment is the global thresholding method as shown in Figure 6. In Figure 6, the threshold value 1004 is

$$\text{Threshold} = \alpha * \text{maximum pixel value} + (1-\alpha) * \text{median pixel value}$$

$\alpha$  can be any value between 0 and 1 and the maximum and median pixel values are for image pixels 1000 within the operating area of the mask image. The mask input 1002 is the mechanism for region exclusion and indicates the mask output from the previous detection sequence. The delay element 1001 (Figure 3) provides the mask for the previous detection sequence. 0 = blocked, 1 = operating. The input 1000 is the output of the noise removal block 5102 which is a particular directional portion of the mark that is indicated as bright. The output 1008 is a segmentation of the remaining portions of the mark (working sequentially for detection) having the filtered direction.

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### 2.1.2.3 Artifact Removal

The artifact removal process 5106 removes thin artifacts caused by noise in the image and/or the detection stage. This output of the detection stage is a binary image with mark elements shown as bright areas. The structuring element is selected to restore the binary image of the portion of the mark that was detected. A general embodiment of the procedure is shown in Figure 7. In Figure 7, the first directional elongated closing operation 5302 restores breaks in the portions of the mark in the mask area that are directionally aligned with the closing operation. The directional elongated opening operation 5304 is oriented orthogonal to the initial closing operation and the processing element is short enough to preserve mark elements. This closing operation eliminates artifacts that are thinner than the mark, but aligned in a similar direction as the mark. The directional elongated closing operation 5306

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closes the double line contained in the particular mark shown in Figure 8 to produce  
385 5308 a simpler pattern for measurement.

#### 2.1.2.4 Non-Mark Object Rejection

The non-mark object rejection process 5108 (Figure 3) removes objects that are not  
390 qualified as part of the potential mark but are too thick to have been rejected in the  
artifact removal process 5106. In the preferred embodiment of the invention, the  
qualification for an object in a potential mark depends on its symmetry characteristics.  
For example, the length of the lines located in opposite positions from the center of  
symmetry should be the same. Since the marks are symmetric along the axis of  
395 symmetry, the flipped version of one object along the axis of symmetry should match  
a counter part object in the received image 5308. If an object has no matched  
counterpart in the image 5308, the object is an artifact. In this embodiment, for  
example, if the symmetric axis is

$$400 \quad ax+by+c = 0,$$

and the particular object in the output of the artifact removal stage is  $A$ .  $A$  can be  
described by its set of pixels, and the counter object is  $B$  also described by its set of  
pixels. Then  $B$  is:

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$$B = \{(x_r, y_r) \mid (x, y) \in A\} \text{ and the relationship between } (x_r, y_r) \text{ and } (x, y) \text{ is}$$

$$\begin{aligned} x_r &= -2*a*b*y - (a^2 - b^2)*x - 2*a*c \\ y_r &= -2*a*b*x + (a^2 - b^2)*y - 2*a*c \end{aligned}$$

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In one embodiment of the invention a metric to estimate matching is:

$$\text{matching score} = \text{area}(A \cap B) / \text{area}(A).$$

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where  $\cap$  indicates the intersection of the two object sets

A larger matching score indicates better matching.

#### 2.1.2.5 Mask Image Region Exclusion

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This process 5112 excludes (blocks out) the region(s) of mark(s) that are detected in the current sequence. To simplify the detection process, the portion of the mark detected by the current sequence does not have to be re-detected in the next sequence.

This is accomplished in the preferred embodiment of the invention by setting the detected image mask 1002 (Figure 6) values to zero. This excludes the portion of the marks that have already been detected in the current sequence, creating an updated image for detection. The updated image is then used for the detection of remaining marks in the next sequence.

#### 430 2.1.2.6 Mark Type Classification

The process of mark type classification 5110 is shown in Figure 9. In Figure 9, an image is received 5400 then the first step is feature extraction 5402 followed by the classification step 5404 to produce an output 5406. Because the structures of different mark types are different, the extracted features may include the shape features that span the range of characteristics for the marks that are true possibilities such as the curvature of an arc, direction or intersection angles of lines, and the relations among geometric entities such as the relative position and/or the relative angle between lines and arcs. Other features can be derived from the projection of detected marks to the symmetry axes. Another such useful feature is projection of the filtered image inside the detected portion of the mark in the direction of processing by the elongated filters. The angle of the symmetric axes can also be a good feature. From these features, the classification step 5404 determines the type of the mark. The classification step can use parametric or non-parametric classifiers to classify the mark.

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## 2.2 Estimate Fine Location Using Intelligent Measurement Method



To estimate finer location of the marks, a structure guided estimation method is used  
 450 in this embodiment of the invention. In the preferred embodiment, the estimation is  
 performed for inner and outer registration marks separately. The structure guided  
 estimation method of this invention (Reference U.S. Patent Application Ser. No.  
 09/739084 entitled, "Structure Guided Image Measurement Method", by Shih-Jong J.  
 Lee et. al., filed December 14, 2000 which is incorporated in its entirety herein) is  
 455 used to estimate the position and orientation of the mark based upon all the detected  
 portions of the mark. This can even be done when portions of the mark are not  
 detected. The detected mark positions (in the respective binary image) mask the  
 locations within the gray scale image that are used to estimate mark position. The  
 position, scale, and orientation of the structure associated with the particular mark is  
 460 the structure used in the structure-guided estimation method. A weight image may  
 also be used to emphasize particularly important or definitive portions of the mark.  
 The enhanced image output assembled from the outputs 5101, 5103 and 5105 could  
 be used as the weight image if it is desired. The weight image can alternatively be  
 artificially created by the designer. The structure-guided estimation method performs  
 465 estimation from the weight image within the regions defined by each component of  
 the measurement mask(s). The estimation is conducted by a weighted minimum-  
 square-error (MSE) method. The estimation finds the parameters that minimize a cost  
 function.

$$Cost = \sum_{m \in M} \sum_{i \in C_m} w_i [Model\_Error(x_i, y_i)]^2 - Additional\_structure\_constraint$$

470 Where M is the set of all components in the measurement masks and  $C_m$  corresponds  
 to the m-th component of the mask. Model\_Error function represents the difference  
 between the structure representation and the real data. The cost function is defined as  
 the weighted square error between the structural mark model (symbolic  
 representation) and all data points of all entities included in the estimation minus an  
 475 additional structural constraint term. Figure 8 shows an example mark that can be  
 used to illustrate the use of constraints. The structure constraint for the outer mark in  
 Figure 8 includes two groups of parallel lines *L* 300, 306 and *P* 304, 308. (The inner  
 mark 302, 310, 312, 314 is separately considered because the purpose in the Figure 8

example is to measure the deviation from assumed position between the two marks.  
 480 Thus each mark has to be considered separately.) The lines in  $L$  and the lines in  $P$  are perpendicular to each other as shown in Figure 8. The cost function is

$$Cost = \sum_{m \in L} \sum_{i \in L_m} w_i [a x_i + b y_i + c_m]^2 +$$

$$\sum_{n \in P} \sum_{i \in P_n} w_i [b x_i - a y_i + c_n]^2 - \lambda (a^2 + b^2 - 1)$$

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A closed form solution exists for determining  $a$ ,  $b$ ,  $c_m$  and  $c_n$  that minimize  $Cost$ .

When  $P$  is an empty set, only a parallel line constraint exists for a set of lines. This is a degenerate form of the structure constraint in this more general case. When only one line each existed in groups  $L$  and  $P$ , the constraint becomes the existence of two  
 490 orthogonal lines. This is a degenerate form of the structure constraint in this more general case.

The entities are defined by each component of the measurement mask(s). The corresponding points in the measurement weight image weigh the data points during the estimation process. The result is very robust to noise and processing anomalies  
 495 and achieves reliable sub-pixel accuracy.

### 2.3. Operation Size Determination by Learning

500 Even for a set of marks with structure constraints that are known a-priori, there are expected variations that can adversely affect performance either for robustness or for measurement accuracy. For best results, the constraints known for each mark type may need to be adjusted somewhat. Where allowance is made for adaptation, we can say that a learning improvement enhances the utility of the invention. Many such  
 505 adaptations can be learned through a learning process according to the needs of the application. It should not be considered limiting of the scope of the invention that only a single example teaches the art. In the preferred embodiment, the width (size in the

direction orthogonal to the elongated direction) of image of the registration mark is not the same for different product designs or manufacturing processing levels.

510 Parameters of the operations are determined through a learning process that involves training for the particular application. If operation size is correctly learned, the registration mark can be more accurately detected because of improved noise removal and image enhancement 5102, thus avoiding a lot of artifacts.

515 The learning steps for each elongated direction are determined by the following rule:

- (1) Set  $s = 2$ ,  $m[0] = 0$ ,  $i = 1$
- (2) Calculate  $m[i]$
- (3) If  $m[i] < \text{Threshold\_Value}$  AND  $m[i-1] > \text{Threshold\_Value}$ , then go to (5)
- 520 else go to (4)
- (4) If  $s < \text{Max\_Size}$ , then  $s=s+1$ ,  $i=i+1$  go to (2), else go to (5)
- (5)  $\text{Size} = 2*s+3$

In the above steps,  $m[i]$  is the accumulated difference between the results of two  
 525 different operation size. A small  $m[i]$  means the resulting images are almost identical, the Size is the size of the last operation. Note that in this described embodiment, the expected width of mark lines is about 27 pixels. Setting the initial condition  $s = 2$  sets the minimum width of mark lines that can be learned.  $m[i]$  is calculated using the process shown in Figure 10 and Figure 11. In Figure 11, the  
 530 closing operation of size a 5004 and the closing operation of size b 5012 are operated in the orthogonal direction to the direction of the mark

In this embodiment the process of learning mark line width is applicable to many different marks and the principles taught can be applied to features of the marks  
 535 besides line width. The value Threshold\_Value is set to 0.75 as a stop criterion. The Threshold\_Value could be set to other values derived from training and selected by the designer. Max\_Size is set to 13 based in this example on the maximum line width of about 27 pixels. Max\_Size could be set to other values derived from training and selected by the designer. The  $m[i]$  is calculated using the process shown in Figure 10.  
 540 Note the explanatory relationship between Figure 11 and Figure 10. Figure 11

explains the processes that are integrated together in Figure 10. An input image is received 2400. The processes 2401, 2402, and 2442 are pre-processing as described in 5002. The upper half of Figure 10 is a process for horizontal line segments and the bottom half of Figure 10 is for vertical line segments. In this particular embodiment, 545 a common part of the pre-processing operation is a 3X3 morphological opening to remove spike noise. The directional elongated processing includes a closing operation of 21X1 to pre-process the horizontal line segment and the 1X21 closing operation to pre-process the vertical line segment. Referring now to Figure 11, we see that pre-processing filters the input learning image of the mark and prepares it for 550 measurement leading eventually to a learned size. Following pre-processing, two different sized closing operations 5004 and 5012 are performed on the pre-processed learning image to compute a difference image between the two results 5011. This difference image is weighted using the closing residue image 5007 through a process of weighting that emphasizes the region of the gray scale image containing the 555 essential information needed to draw conclusions about (in this case) the mark line width (either horizontal, as shown in the top half of Figure 10 or the vertical as shown in the bottom half of Figure 10).

In the preferred embodiment, the closing residue 5007 is used as a weight image for 560 directed measurement of the difference image 5011. The weights allow gray level control of portions of the image according to the importance they represent to the estimation or measurement. This process is described in pending U.S. Patent Application Ser. No. 09/738846 entitled, "Structure-guided Image Processing and Image Feature Enhancement" by Shih-Jong J. Lee, filed December 15, 2000 which is 565 incorporated in its entirety herein.

The weighted average operation 5010 computes a single value from the weighted difference image:

$$570 \quad \text{Weighted Average} = \Sigma I[x][y] * Iw[x][y] / \Sigma Iw[x][y]$$

where  $Iw[x][y]$  is the weight image 5007.

$I[x][y]$  is the difference image 5011 between two closing operations performed on the pre-processed image.

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The average of the closing residue image 5006 is also computed to normalize for image contrast variability. The normalization occurs through a ratio process 5008 to produce an intermediate result. The resulting  $m(i)$  2416 of operation is the maximum 2414 of the intermediate result values of the operation for horizontal and vertical line segments. Using  $m(i)$  the learning process iteration can be completed to converge on a learned line width.

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## 2.4 Noise filtering Using Learned Attribute

585 Figure 5 shows the general approach to noise filtering using directional elongated filters to pre-process images. This general guidance is combined with the learned attribute in a particular embodiment. For this embodiment, Figure 8 shows the mark type in the image that is pre-processed. In this mark, the lines are all identical width, the principal elements of the mark are oriented generally horizontal or vertical, and the overall mark outer dimensions are greater than 200 pixels. Images of the mark are used in a learning process to determine  $s$ , a parameter related to line width. Two pre-processing filters are created as shown in Figure 12A for horizontal structures and Figure 12B for vertical structures. The input image 100 is assumed to be dark lines. If this is not the case, the image is inverted before being applied to these filters. The initial closing with a directional elongated filtering element 402 cleans up off axis dark noise in the image. The closing residue of the filter 404 uses the learned attribute of line width to clean the mark elements and produces a bright image output. Another directional elongated structuring element is used 406 to fill in the gaps in the bright image of the mark elements followed by another elongated directional structure opening 408 to clean up residual bright noise (dark lines in the original image). The output 410 is a bright image of the lines in the mark that are aligned with the elongated structuring elements of the filter which in this example are horizontal. A complementary process is shown in Figure 12B for the vertical portions of the mark.

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605 The invention has been described herein in considerable detail in order to comply with  
the Patent Statutes and to provide those skilled in the art with the information needed  
to apply the novel principles and to construct and use such specialized components as  
are required. However, it is to be understood that the inventions can be carried out by  
specifically different equipment and devices, and that various modifications, both as  
610 to the equipment details and operating procedures, can be accomplished without  
departing from the scope of the invention itself.

***The Claims defining the invention are:***

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1. A method for detecting the mark in an image comprising:
  - a. obtaining an image of at least one mark;
  - b. locating the center of each mark based on symmetry
  - c. processing the mark image using at least one directional elongated  
620 filter;
  - d. rejecting artifacts based on symmetry

2. The method of claim 1 further comprising a step of classifying mark type.

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3. The method of claim 2 wherein features for mark classification are derived through a sequential process.

4. The method of claim 1 wherein at least one parameter of the directional elongated filter is determined by learning.

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5. The method of claim 1 wherein features of the mark are extracted using directional elongated filters.

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6. The method of claim 2 wherein features for classification of mark type are selected from a group consisting of curvature of an arc, intersection angle of lines, relative position of lines, relative angle between lines, direction of symmetry axes, parallelism, projection of detected marks to the symmetry axes, and orthogonality of lines.

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7. A method of locating a detected mark's position in an image comprising:
  - a. creating a gray scale image of at least one mark;
  - b. masking portions of the image based upon detected mark elements;
  - c. estimating mark position using a structure guided estimation process.

- 645 8. The method of claim 7 wherein detected mark elements determine the constraints applied to the structure guided estimation process.
9. The method of claim 7 further comprises inner and outer marks wherein inner and outer marks position are sequentially determined.
- 650 10. The method of claim 7 wherein portions of a mark are excluded from the estimation of mark position based upon detection results.
11. The method of claim 7 further comprises a weight image to emphasize particularly important or definitive portions of the mark.
- 655 12. The method of claim 11 wherein the weight image is learned.
13. The method of claim 8 wherein the constraints are selected from a group consisting of parallel lines, perpendicular lines, rings, circles, arcs, line length, intersection angle of lines, and line width.
- 660 14. A method of measuring orientation of a mark in an image comprising:
- a. obtaining an image of at least one mark;
  - 665 b. locating the center of the each mark along each axis of symmetry;
  - c. measuring the mark orientation using a structure-guided estimation process.
15. The method of claim 14 wherein detected mark determines the constraints applied to the structure guided estimation process.
- 670 16. The method of claim 14 further comprises inner and outer marks wherein inner and outer marks orientation are sequentially determined.
- 675 17. The method of claim 14 wherein portions of a mark are excluded from the estimation of mark orientation based upon detection results.



680 18. The method of claim 14 further comprises a weight image to emphasize particularly important or definitive portions of the mark in the structure guided estimation process.

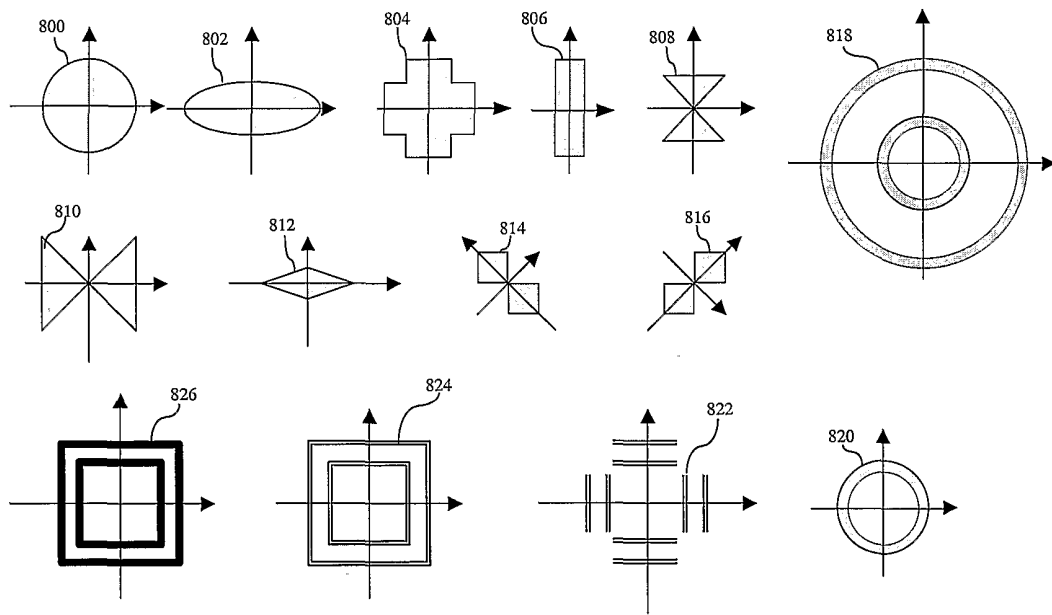
685 19. The method of claim 15 wherein the constraints are selected from a group consisting of parallel lines, perpendicular lines, rings, circles, arcs, line length, intersection angle of lines, and line width.

20. The method of claim 18 wherein the weight image is learned.

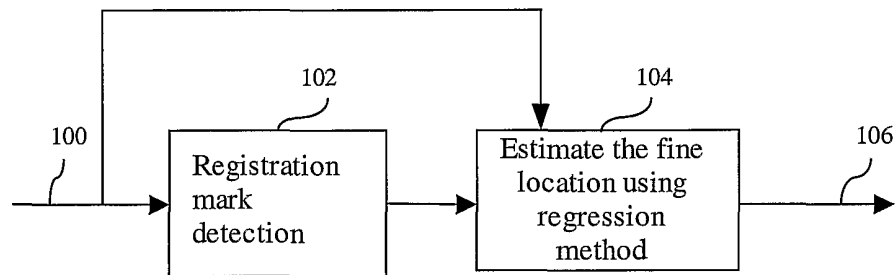
690 21. A method of learning specific mark structure comprising;  
a. obtaining an image of at least one mark;  
b. filtering the image using at least one directional elongated filter;  
c. determining at least one parameter of the mark through a learning process.

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**Figure 1**



**Figure 2**

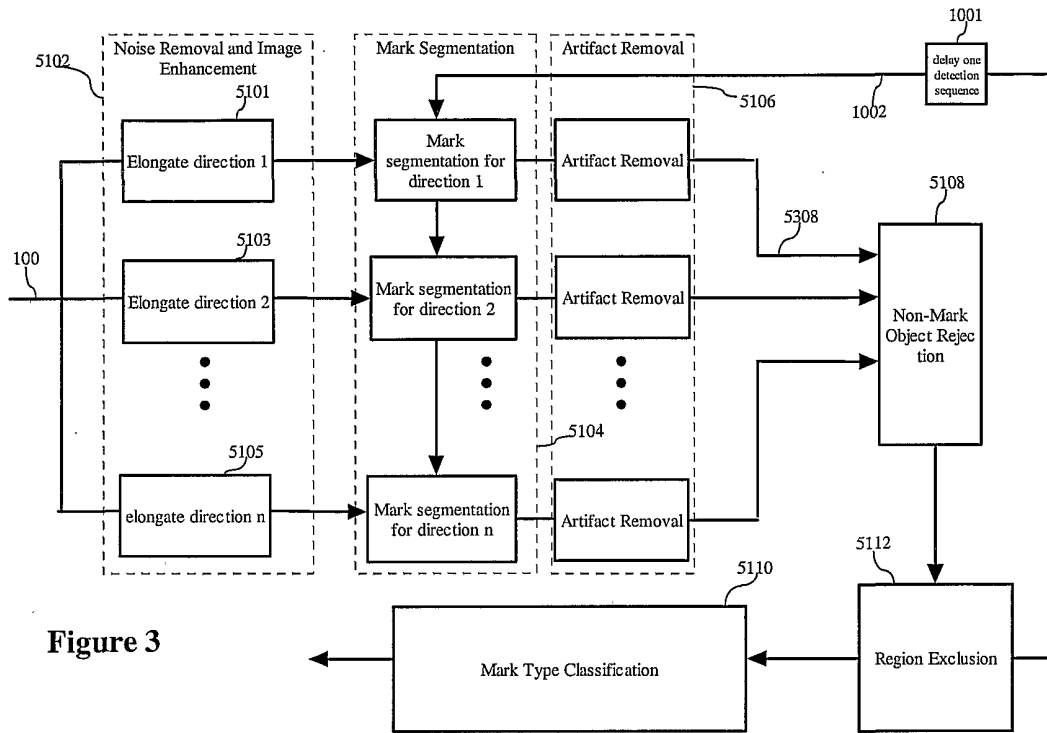


Figure 3

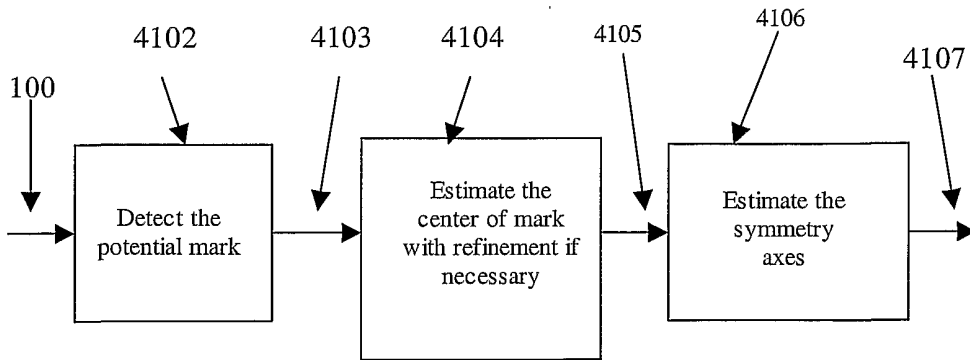
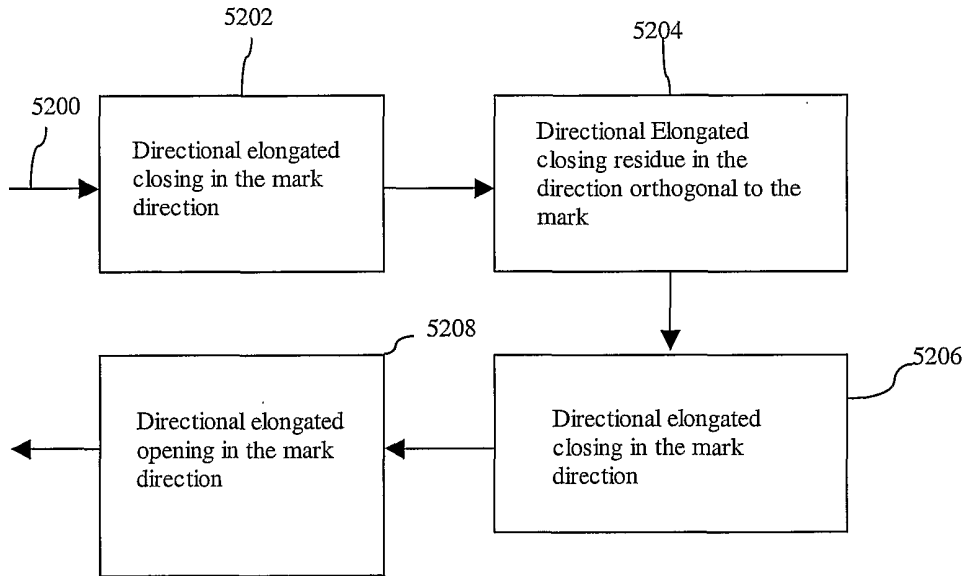
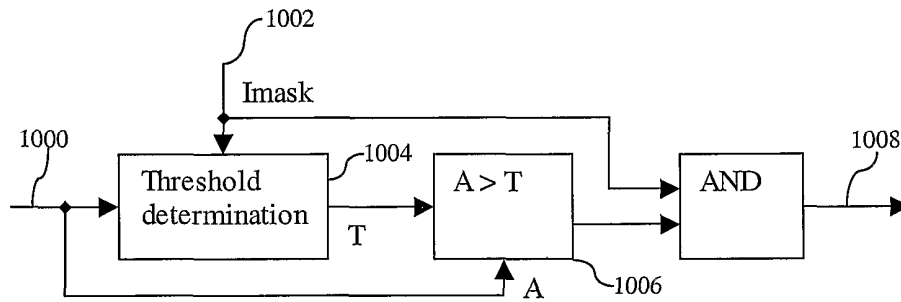


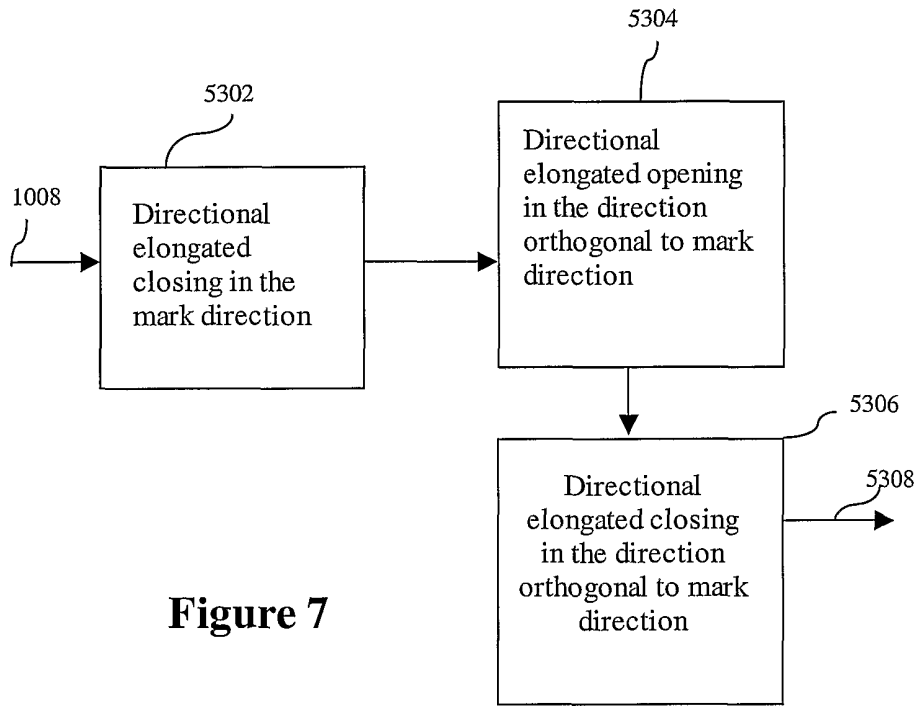
Figure 4



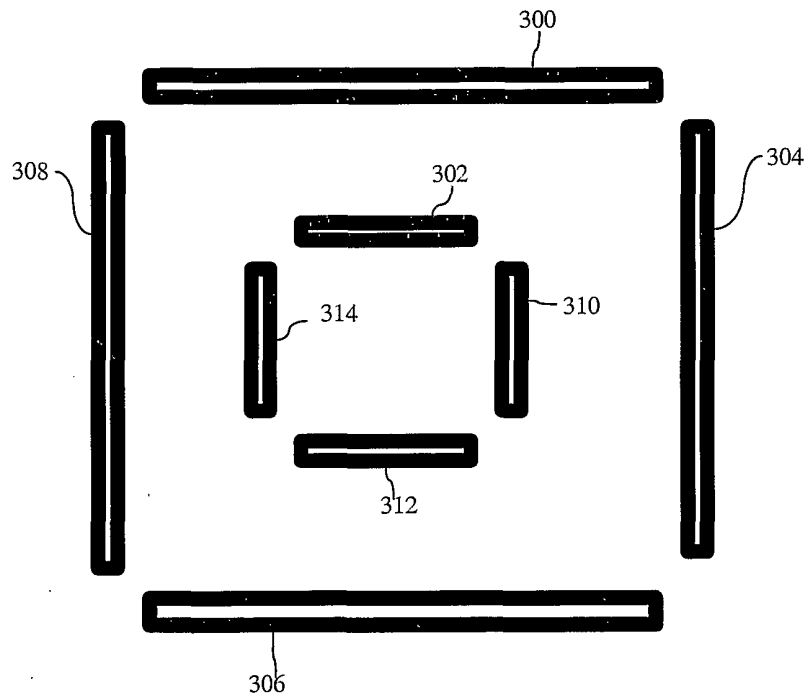
**Figure 5**



**Figure 6**

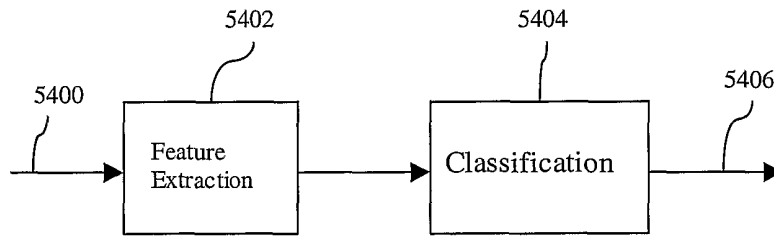


**Figure 7**

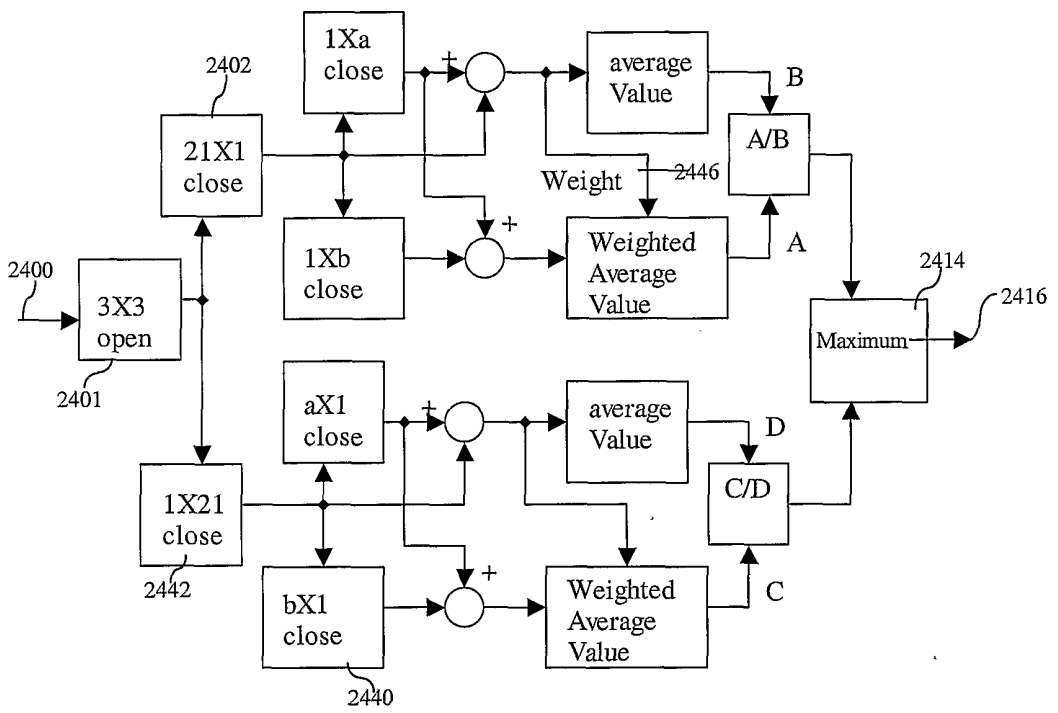


**Figure 8**

5110



**Figure 9**



**Figure 10**

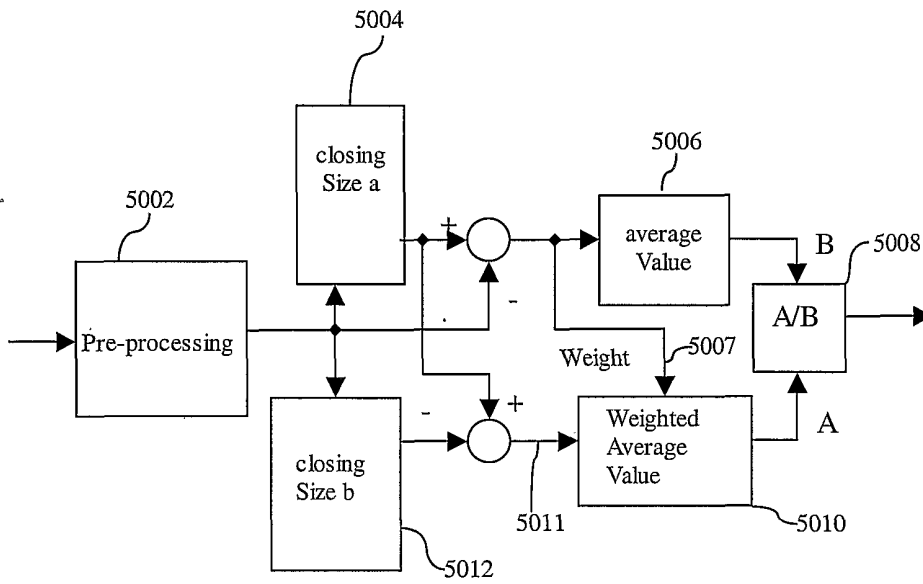


Figure 11

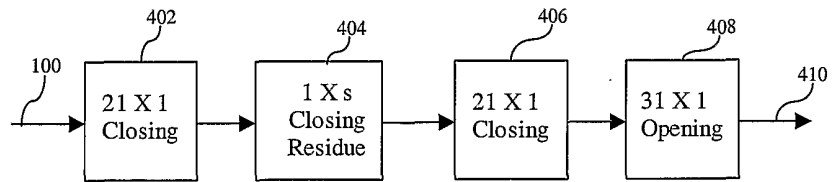


Figure 12A

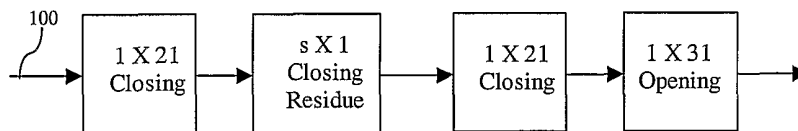


Figure 12B

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US01/48616

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : G 06 K 9/36  
 US CL : 382/282, 287, 294, 295

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 U.S. : 382/282, 287, 294, 295

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 6,141,464 A (HANDLEY) 31 October 2000, column 3, lines 21-64.	1-21
Y	US 5,400,135 A (MAEDA) 21 March 1995, column 2, lines 5-63.	1-21

Further documents are listed in the continuation of Box C.

See patent family annex.

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"P" document published prior to the international filing date but later than the priority date claimed

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later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

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document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&"

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20 May 2002 (20.05.2002)

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