WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶: A61B 17/34, A61M 29/00, A61B 17/02

A3

(11) International Publication Number:

WO 97/34536

(43) International Publication Date:

25 September 1997 (25.09.97)

(21) International Application Number:

PCT/US97/04004

(22) International Filing Date:

14 March 1997 (14.03.97)

(30) Priority Data:

08/620,933 08/736,626

22 March 1996 (22.03.96)

24 October 1996 (24.10.96)

US US

(71) Applicant: DANEK MEDICAL, INC. [US/US]; 1800 Pyramid Place, Memphis, TN 38132 (US).

(72) Inventors: FOLEY, Kevin, Thomas; 2877 Keasler Circle West, Germantown, TN 38139 (US). SMITH, Maurice, Mell; 9285 Oak Knoll Cove, Cordova, TN 38018 (US). CLAYTON, John, B.; 9577 Gotten Way, Germantown, TN 38139 (US). MOCTEZUMA, Joseph; 6445 Birch Mill Cove, Bartlett, TN 38135 (US).

(74) Agents: BECK, Michael, D. et al.; Woodard, Emhardt, Naughton, Moriarty & McNett, Bank One Center/Tower, Suite 3700, 111 Monument Circle, Indianapolis, IN 46204 (US). (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

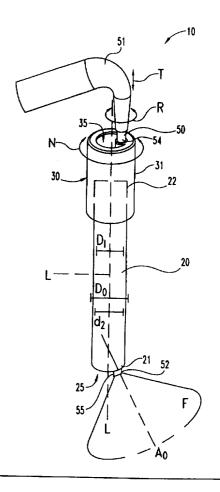
Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report:
13 November 1997 (13.11.97)

(54) Title: DEVICES AND METHODS FOR PERCUTANEOUS SURGERY

(57) Abstract

Devices and methods for performing percutaneous surgery under direct visualization and through a single cannula are shown. A device (10) includes an elongated cannula (20) having a first inner diameter (D₁) and an outer diameter (D₀) sized for percutaneous introduction. The cannula (20) defines a working channel (25) between its ends (21, 22) which has a second diameter (D₂) equal to the diameter (D₁) of the cannula sized for receiving a tool therethrough. An elongated viewing element (50) is engageable to the cannula (20) adjacent the working channel (25), preferably by a fixture (30, 170). The fixture (30, 170) includes a housing/body (31, 171) attachable to the proximal end (22) of the cannula (20). The housing (31) defines an optics bore (60, 180) to support a viewing element (50) for translation and rotation relative to the cannula (20) so that the longitudinal axis of the optics bore (60, 180) will translate parallel to and rotate about the longitudinal axis of the working channel (25). Methods are also provided for performing surgeries percutaneously with direct visualization and without the requirement for a fluid-maintained workspace.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

Interna ital Application No PCT/US 97/04004

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 A61B17/34 A61M29/00 A61B17/02 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 6 A61B A61M Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category ' Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X WO 92 19146 A (WILD ANDREW MICHAEL) 12 1,8,21, November 1992 32,80, 93,96,97 see page 14, paragraph 2 see page 15, paragraph 3 - page 18, paragraph 2 DE 39 36 811 A (STORZ KARL) 27 September Х 1,8,21, 1990 32,93, 96,97 Α see column 2, line 40 - line 61 80 -/--X Further documents are listed in the continuation of box C. Y Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the 'A' document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or other means ments, such combination being obvious to a person skilled document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 2 b. US. 97 16 September 1997 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl, Gérard, B Fax: (+31-70) 340-3016

Intern. dal Application No
PCT/US 97/04004

		PCT/US 97/04004			
C.(Continu	(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT ategory * Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim N				
-augory	Creation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.			
Y	WO 93 14801 A (WILK PETER J) 5 August 1993	1-6, 8-12, 14-18, 20-24, 32-35, 93,96,97			
	see page 7, paragraph 4 - page 8, paragraph 1; figure 3				
Y	WO 93 15647 A (LINVATEC CORP) 19 August 1993	1-6, 8-12, 14-18, 20-24, 32-35, 93,96,97			
	see figures 3,17				
4	WO 95 22285 A (SMITH & NEPHEW RICHARDS INC) 24 August 1995 see figures 19,20	1			
X	US 4 498 902 A (ASH STEPHEN R ET AL) 12 February 1985 see column 3, line 11 - line 15	40,42-44			
X	US 4 545 374 A (JACOBSON ROBERT E) 8 October 1985 see column 8, line 53 - column 9, line 3	40-42, 52,53			
A	US 4 899 729 A (GILL STEVEN S ET AL) 13 February 1990 see page 5, line 49 - line 51 see column 6, line 30 - line 33	51			
X	US 5 472 426 A (BONATI ALFRED 0 ET AL) 5 December 1995 see column 5, line 29 - line 37 see column 8, line 44 - line 46; figure 3	54,98,99			
A	EP 0 303 824 A (ESPEJO MARTINEZ ANTONIO ;CASTROVIEJO GARCIA EDUARDO (ES)) 22 February 1989 see column 4, line 7 - line 12	54			
X	FR 2 714 285 A (IMPACT ;INST ABORDAJES PERCUTANEOS) 30 June 1995 see page 4, line 24 - line 29	94,95			
(DE 15 66 116 A (MEDICAL PRODUCTS CORP.) 8 January 1970 see page 19; figure 1	98-100			

3

International application No. PCT/US 97/04004

INTERNATIONAL SEARCH REPORT

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. X No protest accompanied the payment of additional search fees.

International application No.

PCT/US 97/04004

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
SUBJECT 1: claims 1-39,55-93,96,97 holding a viewing element in a cannula SUBJECT 2: claims 40-53, 98-100 blunt trip retractor SUBJECT 3: claim 54 dilator SUBJECT 4: claims 94 and 95 cannula with specified longitudinal dimensions
1. X As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. X No protest accompanied the payment of additional search fees.

Information on patent family members

Intern. .ial Application No PCT/US 97/04004

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9219146 A	12-11-92	NONE	
DE 3936811 A	27-09-90	NONE	
WO 9314801 A	05-08-93	US 5183471 A US 5269772 A AU 3586893 A	02-02-93 14-12-93 01-09-93
WO 9315647 A	19-08-93	US 5341240 A AU 3480893 A US 5416638 A US 5519532 A	23-08-94 03-09-93 16-05-95 21-05-96
WO 9522285 A	24-08-95	AU 1847195 A	04-09-95
US 4498902 A	12-02-85	NONE	
US 4545374 A	08-10-85	NONE	
US 4899729 A	13-02-90	EP 0206553 A JP 62016772 A	30-12-86 24-01-87
US 5472426 A	05-12-95	US 5269797 A AU 663338 B AU 2659892 A CA 2118811 A EP 0603313 A JP 7502419 T WO 9304652 A	14-12-93 05-10-95 05-04-93 18-03-93 29-06-94 16-03-95 18-03-93
EP 0303824 A	22-02-89	AU 1972888 A JP 1119242 A US 4919653 A	02-02-89 11-05-89 24-04-90
FR 2714285 A	30-06-95	NONE	
DE 1566116 A	08-01-70	NONE	