(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 1 August 2002 (01.08.2002)

PCT

(10) International Publication Number WO 02/059121 A1

(51) International Patent Classification⁷: C07D 417/14, C08G 61/10, C09K 11/06, H01L 51/20

(21) International Application Number: PCT/GB02/00294

(22) International Filing Date: 23 January 2002 (23.01.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

 0101824.1
 24 January 2001 (24.01.2001)
 GB

 0114538.2
 14 June 2001 (14.06.2001)
 GB

 60/310,588
 7 August 2001 (07.08.2001)
 US

(71) Applicant (for all designated States except US): CAMBRIDGE DISPLAY TECHNOLOGY LIMITED [GB/GB]; Greenwich House, Madingley Rise, Madingley Road, Cambridge CB3 0TX (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): BURROUGHES, Jeremy [GB/GB]; Cambridge Display Technology Limited, Greenwich House, Madingley Rise, Madingley Road, Cambridge CB3 OTX (GB). TOWNS, Carl [GB/GB]; Cambridge Display Technology Limited, Greenwich House, Madingley Rise, Madingley Road, Cambridge CB3 OTX (GB). POUNDS, Thomas [GB/GB]; Cambridge Display Technology Limited, Greenwich House,

Madingley Rise, Madingley Road, Cambridge CB3 OTX (GB). **HALLS, Jonathan** [GB/GB]; Cambridge Display Technology Limited, Greenwich House, Madingley Rise, Madingley Road, Cambridge CB3 OTX (GB).

(74) Agents: DANIELS, Jeffrey, Nicholas et al.; Page White & Farrer, 54 Doughty Street, London WC1N 2LS (GB).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

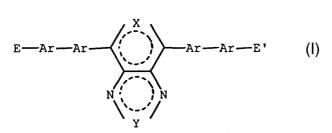
Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: MONOMER FOR USE IN PREPARATION OF A POLYMER TO BE USED IN OPTICAL DEVICES





(57) Abstract: A monomer having general Formula (I) which may be substituted or unsubstituted: where E and E are the same or different and are reactive groups capable of undergoing chain extension; X is O, S, NR₅, R₅C-CR₆ or R₅C=CR₆; Y is O, S, NR₇, R₇C-CR₈ or R₇C=CR₈; R₅, R₆ R₇ and R₈ are the same or different and each is independently H or a substitutent group; and each Ar is the same or different and is independently a substituted or unsubstituted aryl or heteroaryl group.

MONOMER FOR USE IN PREPARATION OF A POLYMER TO BE USED IN OPTICAL DEVICES

The present invention relates to a novel compound, specifically a novel monomer for use in the preparation of a polymer.

A polymer prepared from a monomer according to the present invention is envisaged to be useful in an optical device such as an optical device comprising an electroluminescent device or a photovoltaic device.

Electroluminescent devices are structures which emit light when subjected to an applied electric field. In its simplest form an electroluminescent device comprises a light-emissive layer between two electrodes. The cathode electrode injects negative charge carriers (electrons) and the anode electrode injects positive charge carriers (holes) into the lightemissive layer. Light emission occurs when the electrons and holes combine in the light-emissive layer to generate photons. As a practical aspect, one of the electrodes is typically transparent, to allow the photons to escape the device. light-emissive layer should be made from a light-emissive material which may be laid down as a film without substantially affecting the luminescent characteristics of the material and which is stable at the operational temperature of the device.

The colour of the light generated by the light-emissive material is determined by the optical gap or semiconductor bandgap of the light-emissive material. The semiconductor bandgap of the light-emissive material is the difference in energy between the "highest occupied molecular orbital" (HOMO)

2

and the "lowest unoccupied molecular orbital" (LUMO) levels. Effectively, the semiconductor bandgap is the energy difference between the valance and conduction bands of the light-emissive material. These levels can be estimated by photoemission measurements and measurements electrochemical potentials for oxidation and reduction of the light-emissive material. However, the levels of these energies are affected by numerous factors. Accordingly, the use of such values is indicative rather than quantitative

PCT/WO90/13148 discloses an electroluminescent device comprising a semiconductor layer comprising a polymer film as the light-emissive layer which comprises at least one conjugated polymer. In this case, the polymer film comprises a poly(para-phenylene vinylene) (PPV) film.

EP 0544795 discloses the use of a semiconductive conjugated copolymer as the light-emissive layer in an electroluminescent device. The semiconductive conjugated copolymer comprises at least two chemically different monomer units which, when existing in their individual homopolymer forms, typically have different semiconductor bandgaps. The proportion of chemically different monomer units in the copolymer can be selected to control the semiconductor bandgap of the copolymer so as to control the optical properties of the copolymer. some degree, the extent of conjugation of the copolymer can be said to affect the bandgap of the copolymer. Increasing the extent of conjugation has the effect of decreasing the bandgap up to the point of bandgap convergence. Therefore, selection of an appropriate polymer structure is one way of selecting the bandgap. This gives the very desirable feature of controlling the colour of the light output from the polymer

3

when made to emit light. This property is useful particularly in the construction of the electroluminescent devices.

Polymers are known that are capable of emitting 'red light' when used in an electroluminescent device. For example, embodiment one described in PCT/GB00/00911 relates to "red light emission". It is further stated that light having a wavelength in the range 600 nm to 700 nm is obtainable with polymers according to embodiment one. WO 00/46321 is concerned with fluorene copolymers and electronic devices (such as polymer light-emitting diodes) containing one or more films derived from the copolymers. It is stated in Table 4 that copolymer 19 can be used in an LED that emits red light. A bandgap of 2.10 electron volts is given in Table 2 for copolymer 19.

It can be seen that there exists a deficiency in the prior art of semiconductive polymers capable of "deep red" emission i.e. red light having a longer wavelength, above 700 nm and towards the IR end of the spectrum when used in an electroluminescent device. It will be understood from the above that the wavelength of emission from a semiconductive polymer when used in an electroluminescent device will be influenced by its structure. Furthermore, it will be understood from the above that the solubility of a semiconductive polymer will be limited by its structure. The structure of a polymer is derived from the monomers from which it is made. Thus, a deficiency exists in the prior art of monomers that are suitable for making a polymer that is capable of "deep red" emission or capable of other wavelengths of emission, particularly "red" emission.

4

It is an aim of the present invention to overcome at least partially the deficiencies of the prior art and to provide such polymers particularly for use in an optical device. To be used in an optical device, such polymers also desirably have good optical device characteristics. These characteristics include the internal quantum efficiency (number of photons generated relative to the number of charge carriers injected into the polymer), the solubility and processability of the material and the lifetime when used in a device. Also relevant for consideration is the stability of the polymer during use and storage of the device.

As a result of extensive research into polymer structure, the present inventors have found that such a polymer may be prepared from a monomer having general formula I which may be substituted or unsubstituted:

where E and E' are the same or different and are reactive groups capable of undergoing chain extension; X is O, S, NR₅, R_5C - CR_6 or R_5C - CR_6 ; Y is O, S, NR₇, R_7C - CR_8 or R_7C - CR_8 ; R_5 , R_6 , R_7 and R_8 are the same or different and each is independently H or a substituent group; and each Ar is the same or different and is independently a substituted or unsubstituted aryl or heteroaryl group.

Accordingly, a first aspect of the present invention provides a monomer having general formula I:

5

where E and E'; X; Y; R_5 , R_6 , R_7 and R_8 and each Ar are as defined above.

A second aspect of the present invention provides a polymer prepared from a monomer according to the first aspect.

A third aspect of the present invention provides a polymer comprising a first repeat unit comprising a unit having general formula V that is substituted or unsubstituted:

where X, Y and Ar are as shown or defined in the first aspect, provided that none of the Ar groups comprise a mono fluorene group.

A fourth aspect of the present invention provides the use of a polymer according to the second or third aspect in an optical device.

A fifth aspect of the present invention provides the use of a repeat unit having general formula V that is substituted or

6

unsubstituted for accepting and combining positive and negative charge carriers to generate light in a part of a polymer, where X, Y and Ar are as shown or defined in the first aspect of the present invention.

A sixth aspect of the present invention provides a method for making a polymer according to the second aspect.

A seventh aspect of the present invention provides an optical device comprising a polymer according to either the second or third aspects.

Referring to the first aspect of the present invention, the monomer is a "pentamer". Such a "pentamer" has not been provided previously as part of a monomer for the preparation of a polymer.

For the purposes of the present application, the dashed circles in formula I or any other formula in the present application may be taken to indicate that each of the fused rings include sufficient double bonds in positions suitable to satisfy the valency of the atoms in the ring.

Preferably, each Ar group independently is substituted or unsubstituted and is selected from the group consisting of phenylene, thiophene, furan, pyridene, pyrrole, quinoxaline, benzothiadiazole, benzofuranodiazole, benzotriazole, and other diazines and 1,3,5 triazines. These preferred Ar groups include the following:

7

 $\mathbf{z} = \mathbf{S}$, O or N-R

R = H or a substituentd group, preferably C_{1-10} alkyl

Also, preferably each Ar group independently is substituted or unsubstituted and is a heteroaryl group.

A preferred monomer, having preferred Ar groups is a monomer having general formula II:

where E, E', X, Y, R_5 , R_6 , R_7 and R_8 are as defined above in relation to the first aspect of the present invention and each Z is the same or different and is independently O, S, NR or RC=CR and each R, R_1 , R_2 , R_3 and R_4 is the same or different from any one of the other R, R_1 , R_2 , R_3 and R_4 groups and each is independently H or a substituent group.

Preferred groups of general formula II are shown in general formulas III and IV:

where E, E', Z, R_1 , R_2 , R_3 , R_4 , R_5 , R_6 , R_7 and R_8 are as defined above in relation to general formula II.

Preferred groups of general formula III are shown in general formula VIII to X, where R_1 , R_2 and R_3 are substituent groups and E and E are as defined in relation to general formula III.

9

Preferred central groups of the "pentamer" in the first aspect include the following:

$$R_5$$
 R_6
 R_6
 R_7
 R_8
 R_8

where Y = S, O or N-R₇ and R₅, R₆ and R₇ are the same or different and each independently is H or a substituent group, preferably H or C_{1-10} alkyl or any other preferred substituent group defined in relation to general formulae II to IV. For ease of manufacture in central group (ii) above, the Ph substituents are positioned on the diazole ring rather than the benzene ring.

Referring to general formulae II, III, IV, VIII, IX and X, independently, preferred R, R₁, R₂, R₃, R₄, R₅, R₆, R₇ and R₈ include hydrogen or an optionally substituted groups hydrocarbyl group. The term "hydrocarbyl" is intended to include alkyl, alkenyl, alkynyl and aryl groups. A discussion of the effect of substituents on molecular orbital energy levels (HOMO and LUMO levels) can be found in Chapter 2 of Color Chemistry (Synthesis, Properties and Applications of Organic Dyes and Pigments) by Heinrich Zollinger (2nd edition, 1991, VCH Publishers, Inc., New York, NY (USA)). Preferred substituents may be electron donating or electron withdrawing substituents depending on the preferred effect on the bandgap of a polymer prepared from the monomer. Other preferred substituents include solubilising groups i.e. a substituent that improves the solubility in a common organic solvent, toluene, xylene or THF of a polymer derived from the monomer.

Independently, preferred R, R_1 , R_2 , R_3 , R_4 , R_5 , R_6 , R_7 and R_8 substituent groups include amine, cyano, alkyl, aryl, heteroaryl, alkoxy, thioalkyl, perhaloalkyl, alkylaryl, arylalkyl, alkyloxy, aryloxy, alkoxyaryl or alkoxy heteroaryl groups.

In a particularly preferred embodiment, at least one or more than one of the R_1 , R_2 , R_3 and R_4 groups is an unsubstituted C_{1-10} , alkyl or alkoxy group. Such groups will increase the solubility of such a polymer.

Thus, in general formulae III, VIII, IX or X, independently, preferred R_1 , R_2 , R_3 and R_4 groups and also preferred R_5 and R_6 groups include hydrogen and C_{1-10} , alkyl or alkoxy. As mentioned above C_{1-10} , alkyl or alkoxy group substituents can be

11

PCT/GB02/00294

used to improve the solubility of a polymer derived from the monomer. Furthermore, the electronic properties of the hydrogen or C_{1-10} , alkyl or alkoxy group substituents are useful in selecting the bandgap of a polymer derived from the monomer. Suitably, C_{1-10} alkyl or alkoxy substituent groups are provided on the inner Ar groups.

In general formula IV, it is preferred that R_7 and R_8 are the same and are both a substituted or unsubstituted phenyl group.

As mentioned above, in the present monomer, each Ar group may be the same or different. However, for ease of manufacture, it is preferred that each Ar is the same.

It is preferred that one or more of the Ar groups comprises one or more solubilising group substituents.

In the monomer according to the first aspect of the present invention, it is preferred that E and E¹ are the same or different and are selected from the group consisting of a reactive halide functional group and a reactive boron derivative group. Specifically, the reactive halide functional group is selected from the group consisting of F, Cl, Br and I and the boron derivative group is selected from the group consisting of a boronic acid group, a boronic ester group and a borane group.

The use of a monomer according to the first aspect of the present invention for the preparation of a polymer is provided. Typically, the polymer will be provided as a component, preferably a light-emissive component, in an optical device.

According to the second aspect of the present invention a polymer prepared from a monomer as defined above in the first aspect of the present invention is provided.

Also, in the third aspect of the present invention there is provided a polymer comprising a first repeat unit comprising a unit having general formula V which is substituted or unsubstituted:

where X, Y and Ar are as defined above in relation to the first aspect of the present invention, with the proviso that none of the Ar groups comprise a mono fluorene group. The nature of a mono fluorene group is such that a polymer comprising a first repeat unit comprising a unit having general formula V where one or more of the Ar groups comprised a mono fluorene group would not have a very low bandgap as will be typical for many polymers according to the second aspect of the present invention.

For the purposes of the present invention, the term a "mono fluorene" group may be taken to include any substituted or unsubstituted group as shown below:

where each R independently is H or a substituent group and each * indicates a linking position linking the fluorene group to the polymer chain and the fluorene group is not linked at either linking position to another fluorene group. Mono fluorene groups are excluded because they do not allow useful tuning of the polymer's electronic and physical properties. A mono-fluorene group in the polymer backbone simply can be viewed as a linker group that is not capable of usefully tuning the polymer's electronic and physical properties for the purposes of the present invention. Furthermore, polymers having mono-fluorene groups in the polymer chain tend to have a lower Tg and also are prone to aggregation.

In a further embodiment of the third aspect of the present invention, none of the Ar groups comprise a fluorene group.

It has been found that in a polymer having a repeat unit derived from a monomer according to the first aspect of the present invention, the arrangement of the substituted or unsubstituted aryl or heteroaryl groups (Ar groups) leads to a polymer having a lower bandgap as compared with a polymer derived from a 'trimer' monomer having general formula:

This lowering of the bandgap is extremely useful for preparing polymers having a very low bandgap, for example, capable of emitting radiation in the IR region. Furthermore, it is extremely useful for overcoming to some extent the sacrifice in emission colour which may result from the inclusion of

solubilising moieties in the polymer derived from the above 'trimer' monomer.

Polymers according to the second or third aspect of the present invention will have a wider range of possible emission wavelengths as compared with a polymer derived from the above 'trimer' monomer and thus will have a wider field of application, beyond the field of electroluminescent display devices.

In a preferred embodiment of the second or third aspect of the present invention, the polymer comprises a second repeat unit Ar_1 that is a substituted or unsubstituted aryl or heteroaryl group. It is preferred that, in a polymer comprising a second repeat unit Ar_1 , the polymer comprises a repeat unit having formula VI that is substituted or unsubstituted:

Ar¹ may be selected from the group consisting of a substituted or unsubstituted, fused or unfused, benzene, thiophene, furan, fluorene, triarylamine, bistriarylamine or pyridine group. More preferably, the second repeat unit is selected from the group consisting of a 2,3-, 2,5- or 2,6- substituted benzene; 3,4-substituted thiophene; 3,4-substituted furan; 9,9-disubstituted fluorene; unsubstituted pyridine; benzo-,thio-,or furano- 2,3-substituted diazine; unsubstituted phenothiadiazine or an unsubstituted triarylamine or bistriarylamine group.

PCT/GB02/00294

Polymers according to the second or third aspect of the present invention may comprise a homopolymer, copolymer, terpolymer or higher order polymer. In this regard, a repeat unit is distinguished from a residual monomeric unit. A homopolymer (i.e. prepared by polymerisation of a single type

15

of monomer) may be defined to have more than one different repeat unit. Where the polymer is not a homopolymer, it may be a random or regular alternating polymer.

Where the present polymer is a copolymer, it is preferred that it is a 1:1 copolymer. A preferred comonomer in a copolymer comprises a fluorene group.

Further preferred polymers according to the second and third aspects of the present invention further comprise a third repeat unit Ar_2 that is a substituted or unsubstituted aryl or heteroaryl group. A preferred third repeat unit has general formula VII that is substituted or unsubstituted:



where $X^{'}$ is O or S. A particularly preferred polymer in this regard has a repeat unit as shown in general formula VI and a repeat unit as shown in general formula VII.

Generally, it is preferred that a polymer according to the second or third aspect of the present invention comprises up to about 50 mol% of a unit having general formula V. More

16

PCT/GB02/00294

preferably, the polymer will comprise from 0.1 mol% to 50 mol%, more preferably from 5 mol% to 10 mol % of the unit.

As mentioned above, the components of a polymer according to the second or third aspect of the present invention, can be selected so that the polymer has a very low bandgap. Such polymers are particularly useful since many are capable of emitting light at a wavelength in the range 550 nm to 1000 nm, particularly 700 to 800 nm or 800 to 1000 nm.

From the nature of the first repeat unit, it will be clear that at least a part of the backbone of a polymer according to the second or third aspect of the present invention will be conjugated. Preferably, the polymer backbone will be partially, substantially, or even fully conjugated.

A polymer according to the second or third aspect of the present invention will have a degree of polymerisation of at least 3.

Typically, a polymer according to the second or third aspect of the present invention will have a molecular weight of at least M_n = about 10,000 daltons. Preferably, they will have an average molecular weight in the range 10,000 to 10^6 .

Typically, a polymer according to the second or third aspect of the present invention will be soluble in common organic solvents such as toluene, xylene and THF. Such polymers conveniently can be laid down as a film from solution.

17

WO 02/059121 PCT/GB02/00294

Accordingly, a film comprising a polymer according to the second or third aspect of the present invention also is provided.

Furthermore, a coating comprising a polymer as defined in the first or second aspect of the present invention is provided.

Still further, a composition comprising a mixture which comprises a polymer as defined in the first or second aspect of the present invention is provided. Preferably, the mixture comprises one or two further, different polymers.

A polymer according to the second or third aspect of the present invention is envisaged to be useful as a component in an optical device, particularly a light-emitting device. A particularly preferred use is as a component in an electroluminescent device.

The very low bandgap that is obtainable for a polymer according to the second or third aspect of the present invention means that the polymer also may be used as a component in a photovoltaic device or optoelectronic device such as a solar cell. It also may be used in an infra-red emitting polymer LED such as a sensor, remote control, detector.

Other uses include the use of the polymer as a component in a photoluminescent device, a waveguide, a dye composition, a sensor, an electrochemical cell or a fibre.

In this regard, the present invention also provides the use of a repeat unit having general formula V that is substituted or

18

unsubstituted for accepting and combining positive and negative charge carriers to generate light in a part of a polymer.

According to a sixth aspect of the present invention, there is provided a method for making a polymer according to the second or third aspect of the present invention which includes the step of reacting in a reaction mixture a first monomer and a second monomer. The first and second monomers may be the same or different from one another. Further steps may involve a reaction with further monomers.

Several different polymerisation methods are known which may be used to manufacture polymers in accordance with the second and third aspects of the present invention.

One particularly suitable method is disclosed in International patent publication No. WO 00/53656, the contents of which are incorporated herein by reference. This describes the process preparing a conjugated polymer, which polymerising in a reaction mixture (a) an aromatic monomer having at least two reactive boron derivative groups selected from a boronic acid group, a boronic ester group and a borane group, and an aromatic monomer having at least two reactive halide functional groups; or (b) an aromatic monomer having one reactive halide functional group and one reactive boron derivative group selected from a boronic acid group, a boronic ester group and a borane group, wherein the reaction mixture comprises a catalytic amount of a catalyst (e.g. palladium) suitable for catalysing the polymerisation of the aromatic monomers, and an organic base in an amount sufficient to convert the reactive boron derivative functional groups into 19

WO 02/059121 PCT/GB02/00294

active polymerisable units, particularly $-BX_3^-$ anionic groups, wherein X is independently selected from the group consisting of F, alkoxy and OH.

Polymers according to the present invention which have been produced by this method are particularly advantageous. This is because reaction times are short and residual catalyst (e.g. palladium) levels are low.

Another polymerisation method is disclosed in US 5,777,070. The process involves contacting monomers having two reactive groups selected from boronic acid, C1-C6 boronic acid ester, C1-C6 borane and combinations thereof with aromatic dihalide functional monomers or monomers having one reactive boronic acid, boronic acid ester or borane group and one reactive halide functional group with each other.

A further polymerisation method is known from "Macromolecules", 31, 1099-1103 (1998). The polymerisation reaction involves nickel-mediated coupling of dibromide monomers. This method commonly is known as "Yamamoto Polymerisation".

According to one embodiment of the sixth aspect of the present invention there is provided a process for preparing a polymer as defined above in relation to the second or third aspect of the present invention which includes polymerising in a reaction mixture:

(a) a first aromatic monomer comprising a first repeat unit as defined above in general formula V and at least two reaction boron derivative groups selected from a boronic acid group, a boronic ester group and a borane group; and

(b) a second aromatic monomer comprising further of the first repeat unit and/or a second repeat unit Ar_1 and at least two reactive halide functional groups,

wherein each boron derivative group is selected from a boronic acid group, a boronic ester group and a borane group and wherein the reaction mixture comprises a catalytic amount of a catalyst suitable for catalysing the polymerisation of the aromatic monomers, and an organic base in an amount sufficient to convert the reactive boron derivative functional groups into $-BX_3$ anionic groups, wherein X is independently selected from the group consisting of F, alkoxy and OH.

A further process according to the sixth aspect of this invention for preparing a polymer as defined above in relation to the second or third aspect of the present invention also is provided which includes polymerising in a reaction mixture:

- (a) a first aromatic monomer comprising a first repeat unit as defined above in general formula V and one reactive halide functional group and one reactive boron derivative group; and
- (b) a second aromatic monomer comprising further of the first repeat unit and/or a second repeat unit Ar_1 , and one reactive halide functional group and one reactive boron derivative group,

wherein each boron derivative group is selected from a boronic acid group, a boronic ester group and a borane group and wherein the reaction mixture comprises a catalytic amount of a catalyst suitable for catalysing the polymerisation of the aromatic monomers, and an organic base in an amount sufficient to convert the reactive boron derivative functional groups into $-BX_3^-$ anionic groups, wherein X is independently selected from the group consisting of F, alkoxy and OH.

21

PCT/GB02/00294

In a final aspect of the present invention, there is provided an optical device or a component therefore, which comprises a substrate and a polymer according to the second or third aspect supported on the substrate.

A device according to the present invention may be prepared in accordance with the disclosure of WO 99/48160, the contents of which are incorporated herein by reference. Polymers according to the second or third aspect of the present invention may be present in the device as the sole light emitting polymer or as a component in a blend further comprising hole and/or electron transporting polymers. Alternatively, the device may comprise distinct layers of a polymer of the present invention, a hole transporting polymer and/or an electron transporting polymer.

In embodiment, the optical device comprises one electroluminescent device. Specifically, the electroluminescent device comprises a first charge injecting layer for injecting positive charge carriers, a second charge injecting layer for injecting negative charge carriers, a light-emissive layer located between the first and second charge injecting layers comprising a light-emissive material for accepting and combining positive and negative charge carriers to generate light wherein the light-emissive layer comprises a polymer as defined in the first or second aspects for accepting and combining positive and negative charge carriers or for transporting positive and/or negative charge carriers from the first and/or second charge injecting layer to the light-emissive material. In one embodiment, the

polymer according to the present invention is provided as the light-emissive material.

It will be appreciated that the light-emissive layer may be formed from a blend or mixture of materials including one or more polymers according to the present invention, optionally further different polymers. As mentioned above, usually, the polymer according to the present invention will be included for accepting and combining positive and negative charge carriers to generate light. The further different polymers may be so-called hole transport polymers (i.e. to improve the efficiency of hole transport to the light-emissive material) or electron-transport polymers (i.e. to improve the electron-transport to the efficiency of light-emissive material). Preferably, the blend or mixture would comprise at least 0.1% by weight of a polymer according to the present invention, preferably from about 0.2 to about 50% more preferably from about 0.5% to about 30% by weight.

Alternatively, a polymer according to the present invention may be provided in an electroluminescent device as discrete layer situated between the first and second charge injecting layers. Where this discrete layer is included for accepting and combining positive and negative charge carriers to generate light it optionally may be in contact with one or more hole and/or electron transporting layers.

The present invention now will be described in further detail with reference to the attached drawing in which:

Figure 1 is a schematic diagram of an electroluminescent device according to this invention;

Figure 2 shows absorption spectra; and

Figure 3 shows photoluminescence spectra.

"Pentamer" monomers of formula I may be prepared from the "trimer" monomers disclosed in WO 00/46321 and in PCT/GB01/00019 (incorporated herein by reference). Pentamer monomers may be prepared by essentially the same methodology used to generate the "trimer" monomers disclosed in this prior art, as outlined below:

Alkylated pentamer monomers may be prepared via alkylation of the monomer precursors as outlined below:

DiBr-DMBT

DiBr-MBT

3-Hexyl Thiophene

BuLi, TMEDA
$$Et_2O, 0^{\circ}C \rightarrow r.t.$$
Sn(Bu)₃

Polymers according to the present invention may be prepared by Suzuki polymerisation as disclosed in WO 00/53656 (incorporated herein by reference). In one particular example, the polymer is prepared from monomers 7, 8 and 9 shown below present in a ratio of 50:25:25.

Alternatively, the polymer may consist of a 1:1 co-polymer of dioctylfluorene and a pentamer.

Example 1

Preparation of Pentamer Monomers

| Pentamer | R1 | R2 | R3 | R4 | R5 | R6 |
|----------|----------------|----|----------------|----|----|----|
| 1 | Н | H | C ₆ | Н | Н | Н |
| 2 | C ₆ | Н | C ₆ | H | Н | Н |
| 3 | C ₆ | H | Н | Н | Н | Н |

(A) Synthesis of Pentamer 1

Step 1 (Stille-Coupling)

"trimer 7"

"pentamer 1 precursor material"

Trimer 7 was prepared in accordance with the method disclosed in WO 01/49768.

2-(Tributylstannyl)thiophene (22.34 g, 59.9 mmol), trimer 7 (15.00 g, 23.9 mmol), and tetrakis(triphenyl-phosphine) palladium (0) (0.55 g, 2 mol%) in toluene (200 mL) was

26

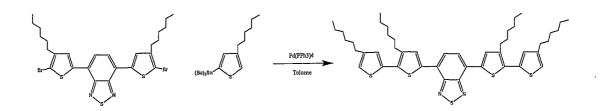
refluxed. The reaction was followed by tlc. (hexane eluent)
After 18 h, the reaction was allowed to cool to room temperature and was then filtered through silica. The filtrate was evaporated to dryness and recrystalised from hexane to give 10.7 g (70.7 % yield, ¹H nmr (CDCl₃ / TMS) 7.98 (2H, s); 7.82 (2H, s); 7.34 (2H, dd); 7.23 (2H, dd); 7.10 (2H, dd); 2.78 (H4, t, J=7.8); 1.75-1.26 (20H); 0.91 (H6, t, J=7.2). 99.6% by HPLC).

Step 2 (NBS bromination)

A suspension of the pentamer 1 precursor material (10.7 g, 16.9 mmol) in DMF (200 mL) was dissolved by gentle heating. The solution was allowed to cool to r.t. before starting dropwise addition (in the absence of light) bromosuccinimide (6.0 g, 33.8 mmol) in DMF (50 mL). reaction was stirred overnight before the product was filtered off and washed (methanol, deionised water). Recrystallisation from hexane gave 6.3 g (47% yield). Further material was obtained from recystallisation of the mother liquor. 1H nmr (CDCl₃ / TMS) 7.93 (2H, s); 7.78 (2H, s); 7.01 (4H, dd,); 2.78 (H4, t, J=7.8); 1.75-1.26 (20H); 0.91 (H6, t, J=7.2). 99.6% byHPLC).

(B) Synthesis of Pentamer 2

Step 1 (Stille-Coupling)



3-Hexyl-5-tributylstannylthiophene (32.8 g, 71.8 mmol), trimer 7 (15.0 g, 23.9 mmol), and tetrakis(triphenyl-phosphine) palladium (0) (0.55 g, 2 mol%) in toluene (200 mL) was refluxed. The reaction was followed by tlc. (hexane eluent) After 18 h, the reaction was allowed to cool to room temperature and was then filtered through silica. The filtrate was evaporated to dryness and recrystalised from IPA to give 15.8 g (82.4 % yield).

Step 2 (NBS bromination)

This material was brominated identically as described for Pentamer 1 in Example 1(A).

(C) Pentamer 3

This pentamer was prepared using same methods as pentamers 1 and 2 in Examples 1(A) and 1(B).

28

Step 1 (Stille-coupling)

Yield 15.2g, 73.3%. 1 H nmr (CDCl₃ / TMS) 8.02 (2H, d); 7.83 (2H, s); 7.23 (2H, d); 7.14 (2H, s); 6.85 (2H, s); 2.59 (H4, t); 1.90-1.28 (20H); 0.91 (H6, t).

Step 2 (NBS bromination)

Yield 13.4 g, 71.5%. ¹H nmr (CDCl₃ / TMS) 7.99 (2H, d, J=4.0); 7.82 (2H, s); 7.16 (2H, d, J=4.0); 6.98 (2H, s); 2.56 (H4, t, J=8.0); 1.64-1.26 (20H); 0.91 (H6, t, J=8.0)

Example 2

Preparation of Polymers

A polymer according to the invention was prepared according to the method of WO 00/53656, in the presence of 10 mol% bromothiophene to limit molecular weight, by reaction of 9,9-di-n-octylfluorene-2,7-diethyleneboronate (1.9132 g, 3.6 mmol) and pentamer 1 (2.8526 g, 3.6 mmol) in toluene (40 ml). The crude polymer was passed through a celite column, eluted

with toluene and precipitated in methanol to furnish the final polymer (9,9-di-n-octylfluorene-Pentamer 1). Final Mp~23,000.

Example 3

Electroluminescent Device

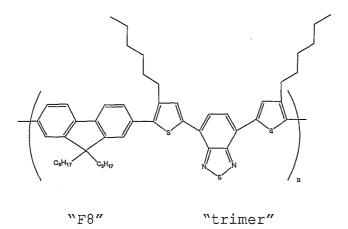
A suitable device structure is shown in Figure 1. The anode 2 is a layer of transparent indium-tin oxide ("ITO") supported on a glass or plastic substrate 1. The anode 2 layer has a thickness between 1000-2000 Å, usually about 1500 Å. The cathode 5 is a Ca layer having an approximate thickness of 1500 Å. Between the electrodes is a light emissive layer 4 having a thickness up to about 1000 Å. The emissive layer 4 comprises between 0.5 to 30% by weight of the present polymer with the remainder of the emissive layer consisting of hole

and/or electron transport material and/or emissive material. Advantageously, the device includes a hole transport material layer 3 of PEDOT having a thickness of about 1000 Å. Layer 6 is an encapsulant layer of a suitable thickness.

Experiment 1

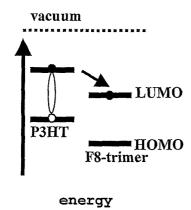
Photovoltaic application

An effective form of photovoltaic devices is that comprising a blend of an electron donor such as poly(3-hexylthiophene) (P3HT) and an electron acceptor such as F8-trimer copolymer (below) as the active materials. Photovoltaic devices comprising blends are discussed in US 567,0791.



In these devices, excitons formed on F8-trimer are ionized by transfer of a hole to the P3HT, whereas excitons formed on P3HT are dissociated by transfer of an electron to F8-trimer:

30



WO 02/059121

Schematic diagram showing dissociation of an exciton by transfer of an electron from P3HT to F8-trimer.

PCT/GB02/00294

A drawback of these blends with respect to their use as solar cells is that they do not absorb a significant fraction of solar radiation. However polymers according to the present invention absorb significantly larger proportion а radiation as illustrated by comparison of absorption spectra of a blend of P3HT and F8-trimer copolymer with a blend of P3HT and polymer F8-pentamer 1 according to the present invention. The absorption spectra are shown in Figure 2.

(F8-pentamer 1) polymer

In Figure 2, the full line shows solar irradiance at the Earth's surface. The onset of absorption for the trimer containing copolymer is around 610 nm (dotted line) whereas

31

the onset of absorption for the pentamer copolymer is redshifted by about 75 nm to around 685 nm (dashed line).

Experiment 2

PLED application

As can be seen from the photoluminescence spectra shown in Figure 3, the luminescence of the F8-pentamer 1 copolymer is red-shifted by comparison with the F8-trimer copolymer.

32

CLAIMS:

1. A monomer having general formula I which may be substituted or unsubstituted:

where E and E' are the same or different and are reactive groups capable of undergoing chain extension; X is O, S, NR₅, R_5C - CR_6 or R_5C = CR_6 ; Y is O, S, NR₇, R_7C - CR_8 or R_7C = CR_8 ; R_5 , R_6 , R_7 and R_8 are the same or different and each is independently H or a substituent group; and each Ar is the same or different and is independently a substituted or unsubstituted aryl or heteroaryl group.

- 2. A monomer according to claim 1, wherein each Ar independently is substituted or unsubstituted and is selected from the group consisting of phenylene, thiophene, furan, pyridene, pyrrole, quinoxaline, benzothiadiazole, benzofuranodiazole, benzotriazole, other diazines and 1,3,5 triazines.
- 3. A monomer according to claim 2, having general formula II:

PCT/GB02/00294

where E, E', X, Y, R_5 , R_6 , R_7 and R_8 are as defined in claim 1 and each Z is the same or different and is independently O, S, NR or RC=CR and R, R_1 , R_2 , R_3 and R_4 are the same or different and each is independently H or a substituent group.

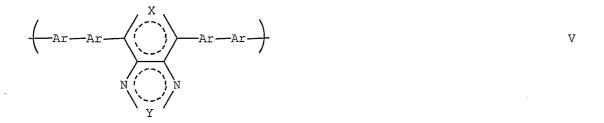
4. A monomer according to claim 3, having general formula III:

5. A monomer according to claim 3, having general formula IV:

6. A monomer according to any one of claim 3 to 5, where each Z is the same or different and is independently S or O.

34

- 7. A monomer according to any one of the preceding claims, where each Ar is the same.
- 8. A monomer according to any one of the preceding claims, where at least one Ar comprises at least one C_{1-10} alkyl or C_{1-10} alkoxy substituent group.
- 9. A monomer according to any one of the preceding claims, where E and E^1 are the same or different and are selected from the group consisting of a reactive halide functional group and a reactive boron derivative group.
- 10. A monomer according to claim 9, where the reactive halide functional group is selected from the group consisting of F, Cl, Br or I and the boron derivative group is selected from the group consisting of a boronic acid group, a boronic ester group or a borane group.
- 11. A polymer prepared from a monomer as defined in any one of claims 1 to 10.
- 12. A polymer comprising a first repeat unit comprising a unit having general formula V that is substituted or unsubstituted:



35

where X, Y and Ar are as shown or defined in any one of claims 1 to 8 and provided that none of the Ar groups comprise a mono fluorene group.

- 13. A polymer according to claim 12, wherein each Ar is the same or different and each independently is a substituted or unsubstituted heteroaryl group.
- 14. A polymer according to any one of claims 11 to 13 further comprising a second repeat unit Ar_1 that is a substituted or unsubstituted aryl or heteroaryl group.
- 15. A polymer according to claim 14 further comprising a third repeat unit Ar_2 that is a substituted or unsubstituted aryl or heteroaryl group.
- 16. A polymer according to claim 15 comprising a repeat unit having general formula VI and a repeat unit having general formula VII:

$$\begin{array}{c|c}
X \\
Ar - Ar - Ar - Ar - Ar_1
\end{array}$$



where X'=0 or S and X and Y are as defined in claim 12 and Ar is as defined in any one of claims 1 to 8, 12 or 13 and Ar₁ is as defined in claim 14.

- 17. A polymer according to claim 14 or claim 15 where at least one of Ar_1 and Ar_2 comprises a substituted or unsubstituted fluorene group or a substituted or unsubstituted benzothiadiazole group.
- 18. A polymer according to any one of claims 12 to 17 where the polymer comprises from 0.1 mol% to 50 mol% of the first repeat unit.
- 19. A polymer according to any one of claims 11 to 18, capable of emitting light at a wavelength in the range 550nm to 1000nm.
- 20. A polymer according to any one of claims 11 to 19 wherein the polymer backbone is fully conjugated.
- 21. Use of a polymer as defined in any one of claims 11 to 20 as a component in an optical device.
- 22. Use of a polymer according to claim 21, wherein the optical device comprises an electroluminescent device or a photovoltaic device.
- 23. A film comprising a polymer as defined in any one of claims 11 to 20.
- 24. A coating comprising a polymer as defined in any one of claims 11 to 20.

- 25. A composition comprising a mixture which comprises a polymer as defined in any one of claims 11 to 20.
- 26. A composition according to claim 25, wherein the mixture comprises one or two further different polymers.
- 27. Use of a repeat unit having general formula V that is substituted or unsubstituted for accepting and combining positive and negative charge carriers to generate light in a part of a polymer:

where X, Y and Ar are as shown or defined in any one of claims 1 to 8, 12 or 13.

- 28. A method for making a polymer which includes the step of polymerising in a reaction mixture:
- (a) a first aromatic monomer comprising a first repeat unit as defined in general formula V and at least two reactive boron derivative groups selected from a boronic acid group, a boronic ester group and a borane group; and
- (b) a second aromatic monomer comprising further of the first repeat unit and/or a second repeat unit Ar_1 that is a substituted or unsubstituted aryl or heteroaryl group and at least two reactive halide functional groups,

wherein the reaction mixture comprises a catalytic amount of a catalyst suitable for catalysing the polymerisation of the

aromatic monomers, and an organic base in an amount sufficient to convert the reactive boron derivative functional groups into $-BX_3^-$ anionic groups, wherein X is independently selected from the group consisting of F, alkoxy and OH; and wherein general formula V is:

where X, Y and Ar are as shown or defined in any one of claims 1 to 8, 12 or 13.

- 29. A method for making a polymer which includes the step polymerising in a reaction mixture:
- (a) a first aromatic monomer comprising a first repeat unit as defined in general formula V and one reactive halide functional group and one reactive boron derivative group selected from a boronic acid group, a boronic ester group and a borane group; and
- (b) a second aromatic monomer comprising further of the first repeat unit and/or a second repeat unit Ar_1 that is a substituted or unsubstituted aryl or heteroaryl group, and one reactive halide functional group and one reactive boron derivative group selected from a boronic acid group, a boronic ester group and a borane group,

wherein the reaction mixture comprises a catalytic amount of a catalyst suitable for catalysing the polymerisation of the aromatic monomers, and an organic base in an amount sufficient to convert the reactive boron derivative functional groups into $-BX_3$ anionic groups, wherein X is independently

WO 02/059121

selected from the group consisting of F, alkoxy and OH; and where general formula V is:

where X, Y and Ar are as shown or defined in any one of claims 1 to 8, 12 or 13.

- 30. An optical device or a component therefor, which comprises a substrate and a polymer according to any one of claims 11 to 20 supported on the substrate.
- 31. An optical device according to claim 30, wherein the optical device comprises an electroluminescent device.
- 32. An optical device according to claim 31, wherein the electroluminescent device comprises:
- a first charge injecting layer for injecting positive charge carriers;
- a second charge injecting layer for injecting negative charge carriers;
- a light-emissive layer located between the first and second charge injecting layers comprising a light-emissive material for accepting and combining positive and negative charge carriers to generate light:

wherein the light-emissive material comprises a polymer as defined in any one claims 11 to 20.

WO 02/059121

40

PCT/GB02/00294

- 33. An optical device according to claim 30, wherein the optical device comprises an optoelectronic or photovoltaic device.
- 34. Use of a monomer as defined in any one of claims 1 to 10, for the preparation of a polymer.
- 35. Use of a monomer according to claim 34, wherein the polymer is provided as a component of an optical device.
- 36. Use of a monomer according to claim 35, wherein the polymer is provided as a light-emissive component of an optical device.

1/3

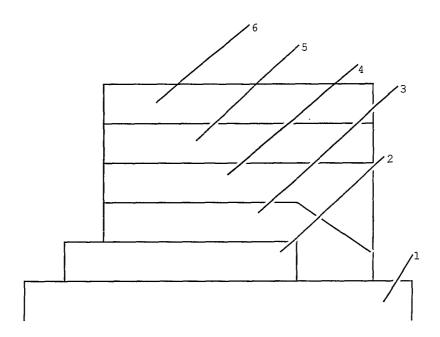


Figure 1

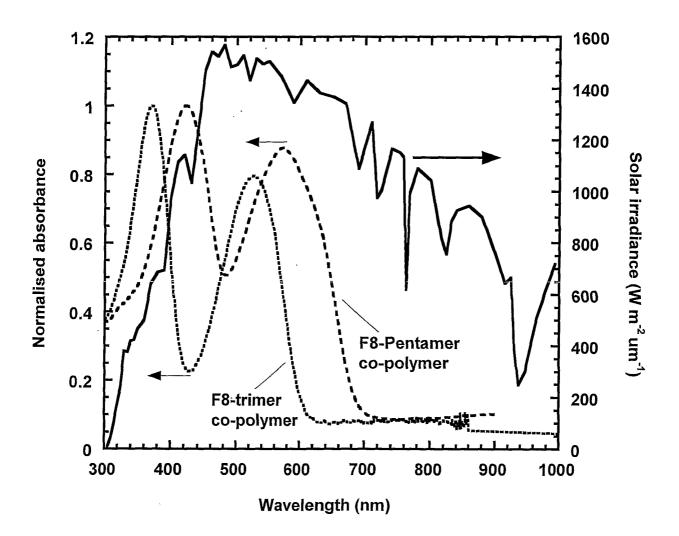


Figure 2

3/3

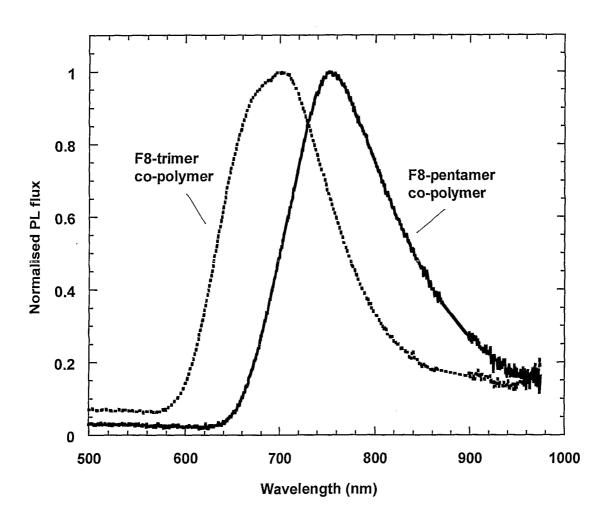


Figure 3

INTERNATIONAL SEARCH REPORT

PCT/GB 02/00294

CLASSIFICATION OF SUBJECT MATTER CO7D417/14 C09K11/06 H01L51/20 C08G61/10 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) CO7D IPC 7 C08G C09K H01L Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Category 9 Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Α WO 00 46321 A (DOW CHEMICAL CO) 1,12,21 10 August 2000 (2000-08-10) cited in the application *monomer 14 and copolymer 19* WO OO 55927 A (TOWNS CARL ROBERT ; DELL RICHARD O (GB); CAMBRIDGE DISPLAY TECH Α 1,12,21 (GB) 21 September 2000 (2000-09-21) cited in the application *monomers XIII, XV, XVI, XIX-XXVI* P,A WO 01 49768 A (TOWNS CARL ROBERT ; DELL 1,12,21 RICHARD O (GB); CAMBRIDGE DISPLAY TECH (GB) 12 July 2001 (2001-07-12) *polymer 1* -/--Further documents are listed in the continuation of box C. X Patent family members are listed in annex. Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention *E* earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or other means in the art. document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 22 March 2002 05/04/2002 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Bakboord, J Fax: (+31-70) 340-3016

INTERNATIONAL SEARCH REPORT

PCT/GB 02/00294

| | | PC1/GB 02/00294 | | | | |
|--|--|-----------------------|--|--|--|--|
| C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT | | | | | | |
| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. | | | | |
| P,A | WO 01 62869 A (TOWNS CARL ROBERT ;DELL RICHARD O (GB); LUX ANDREA (GB); CAMBRIDGE) 30 August 2001 (2001-08-30) *polymer on page 18* | 1,12,21 | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-8 (partly)

Present claims 1-8 relate to an extremely large number of possible compounds. Support within the meaning of Article 6 PCT and/or disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compounds claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Consequently, the search has been carried out for those parts of the claims which appear to be supported and disclosed, namely those parts relating to the compounds where E and E' are selected from the group consisting of a reactive halide functional group and a reactive boron derivative group.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

PCT/GB 02/00294

| Patent document cited in search report | Publication date | | Patent family member(s) | Publication date |
|---|------------------|--|---|--|
| WO 0046321 | A 10-08-2000 | CN EP WO US | 1337987 T 1155096 A1 0046321 A1 6353083 B1 | 27-02-2002 21-11-2001 10-08-2000 05-03-2002 |
| WO 0055927 | A 21-09-2000 | AU AU EP EP WO | 2740299 A 3177700 A 1169741 A1 1062703 A1 0055927 A1 | 11-10-1999 04-10-2000 09-01-2002 27-12-2000 21-09-2000 |
| WO 0149768 | A 12-07-2001 | AU WO AU AU AU EP WO WO | 2690001 A 0149768 A2 3177700 A 3577301 A 3577801 A 3761801 A 1169741 A1 0162822 A1 0162869 A1 0166618 A1 | 16-07-2001 12-07-2001 04-10-2000 03-09-2001 03-09-2001 17-09-2001 09-01-2002 30-08-2001 30-08-2001 13-09-2001 |
| WO 0162869 | A 30-08-2001 | AU AU AU AU AU EP WO WO | 3577801 A 0162869 A1 2690001 A 3177700 A 3577301 A 3761801 A 1169741 A1 0149768 A2 0162822 A1 0166618 A1 | 03-09-2001 30-08-2001 16-07-2001 04-10-2000 03-09-2001 17-09-2001 09-01-2002 12-07-2001 30-08-2001 13-09-2001 |