



(86) Date de dépôt PCT/PCT Filing Date: 2000/12/07
(87) Date publication PCT/PCT Publication Date: 2001/06/28
(85) Entrée phase nationale/National Entry: 2002/06/20
(86) N° demande PCT/PCT Application No.: EP 2000/012328
(87) N° publication PCT/PCT Publication No.: 2001/047032
(30) Priorité/Priority: 1999/12/22 (199 62 136.5) DE

(51) Cl.Int.⁷/Int.Cl.⁷ H01L 31/0236, H01L 21/3213,
H01L 21/306, H01L 31/18

(71) Demandeur/Applicant:
MERCK PATENT GESELLSCHAFT MIT
BESCHRANKTER HAFTUNG, DE

(72) Inventeurs/Inventors:
KUBELBECK, ARMIN, DE;
ZIELINSKI, CLAUDIA, DE;
GOLZENLEUCHTER, THOMAS, DE

(74) Agent: FETHERSTONHAUGH & CO.

(54) Titre : PROCÉDE POUR RENDRE RUGUEUSES PAR GRAVURE DES PILES SOLAIRES AU SILICIUM
(54) Title: PROCESS FOR THE ROUGH-ETCHING OF SILICON SOLAR CELLS

(57) **Abrégé/Abstract:**

The present invention relates to a novel method for producing structured surfaces on multicrystalline, tricrystalline and monocrystalline silicon surfaces of solar cells or on silicon substrates which are used for photovoltaic purposes. The invention especially relates to an etching method and an etching means for producing a structured surface on a silicon substrate.



ABSTRACT

The present invention relates to a novel process for producing textured surfaces on multicrystalline, tricrystalline and monocrystalline silicon surfaces of solar cells or on silicon substrates which are used for photovoltaic purposes. It relates in particular to an etching process and an etching agent for producing a textured surface on a silicon substrate.

Process for the rough-etching of silicon solar cells

The present invention relates to a novel process for producing textured surfaces on multicrystalline, tricycrystalline and monocrystalline silicon surfaces of solar cells or on silicon substrates which are used for photovoltaic purposes. It relates in particular to an etching process and an etching agent for producing a textured surface on a silicon substrate.

Monocrystalline or multicrystalline solar cells are typically cut from solid pulled silicon rods or from cast silicon blocks by wire sawing (Dietl J., Helmreich D., Sirtl E., Crystals: Growth, Properties and Applications, Vol. 5 Springer Verlag 1981, pp. 57 and 73). One exception to this is the silicon which is pulled using the EFG (Edge defined Film Growth) process described further below (Wald, F.V.; Crystals: Growth, Properties and Applications, Vol. 5 Springer Verlag 1981, p. 157).

In this context, a relatively new development is what is known as "tricycrystalline silicon" (US 5,702,538), which in the text which follows is dealt with in the same way as a multicrystalline silicon.

The monocrystalline or multicrystalline silicon which has been sawn in this way has a rough surface, also known as saw damage, with surface roughnesses of approximately 20-30 μm . For the solar cell to be processed further, but in particular to achieve the maximum possible efficiency, a so-called damage etch is required. The contaminants situated in the trenches on the surface are removed during this damage etch. These contaminants are in particular abraded metal from the saw wire, but also traces of grinding abrasive. This etching is typically carried out in approximately 30% caustic pot ash or soda lye at temperatures of approximately 70°C and higher. On account of the relatively low etching rate, even under these

conditions, of approximately 2 $\mu\text{m}/\text{min}$, etching times of
> 10 min are required in order to achieve the desired
effect. This etching produces a rough surface on the
substrate. The cone angles produced on the surface are
5 very flat and are altogether unsuitable for reducing
reflection or even for multiple reflection on the
surface. Such reflection effects are, however,
desirable in order to achieve high cell efficiencies.
Therefore, a large number of publications and patents
10 deal with the matter of reducing reflection on solar
cells of whatever type, for example including for
amorphous solar cells (US 4,252,865 A).

In the case of monocrystalline silicon, the
reduction in reflection can be achieved by the silicon
15 wafers, after the damage etch, being etched using
approx. 5-10% caustic pot ash or soda lye (texture
etch). As a result of the anisotropic etching
(Heuberger A., "Mikromechanik" [Micromechanics],
Springer Verlag 1989; Price J.B., "Semiconductor
20 Silicon", Princeton N.J. 1983, p. 339) random pyramids
with a $\langle 111 \rangle$ crystal orientation (Goetzberger A., Voß
B., Knobloch J.; Sonnenenergie: Photovoltaik
[photovoltaics], Teubner Studienbücher 1997, p. 178 f.)
and edge lengths of approximately 1-10 μm are etched
25 out of the $\langle 100 \rangle$ oriented base material. A US patent
(US 4,137,1238 A) also deals extensively with this
process.

However, this process fails when using
multicrystalline silicon, since the base material does
30 not have a controlled crystal orientation, but rather a
multiplicity of orientation planes.

The multicrystalline silicon which is pulled
using the EFG process does not have any saw damage in
the plane, since the production process does not
35 involve a sawing process. However, in this case too, as
in the cast and sawn multicrystalline cells, texturing
would be advantageous in order to improve efficiency.
However, in this case too, the production of random

pyramids fails on account of the multicrystalline nature of the material.

In addition to the anisotropic etching with strong lyes described in the introduction, six
5 competing processes are known per se which enable an optically advantageous surface structure to be produced on the silicon surface in particular for multicrystalline solar cells.

These processes are:

10

1. Sandblasting

In this case, the surface is roughened by mechanical blasting with extremely fine sand or
15 corundum or silicon carbide particles [JP 59-82778(1984)]. The process is mechanically very complex and both the process control and the contamination of the surface with cationic contaminants are deemed to be extremely unfavourable.

20

2. Milling

In this case, V-shaped trenches are milled into the surface of the substrate [DE19930043]. Drawbacks
25 include the high mechanical outlay and the contamination with abraded metal. Subsequent cleaning and etching are necessary and expensive. In addition, the relatively thin design of the silicon which is generally desired in order to reduce costs cannot be
30 employed.

3. Anodic oxidation

In anodic oxidation, the silicon substrate
35 which is to be textured is anodically etched using platinum electrodes in a mixture, for example, of one part by volume 50% hydrofluoric acid and one part by volume ethanol. In this way, a nanoporous silicon with a highly active surface is produced. The process is

known in the field of micromechanics and is characterized by a very low throughput (time-consuming single-substrate process) and a high outlay on equipment.

5

4. Sputter etching

This process, which is described in [JP 58 15938 (1983)] is also distinguished by a high outlay on equipment.

10

5. Laser-assisted process

In this process, either etching is laser-assisted and anisotropic using NaOH or KOH (US 5,081 049) or trench-like structures are directly applied to the substrate by laser (US 4,626,613). In this case too, the outlay on equipment is extremely high and, furthermore, the throughput of an installation of this type is considerably limited.

20

6. Photolithographic texturing

After coating with a photoresist, a structure comprising, for example, circles or lines is exposed and developed on the substrate. Then, by way of example, a mixture of nitric acid, acetic acid and hydrofluoric acid is used to carry out isotropic etching into the silicon. In the process, conical holes are formed from the circles or V-shaped trenches are formed from the lines. The highly complex and expensive process is described, for example, in (US 5,702,538).

30

Therefore, an object of the present invention is to provide an inexpensive process which is easy to carry out for producing textured surfaces on multicrystalline, tricrystalline and monocrystalline silicon surfaces of solar cells or of silicon substrates which are used for photovoltaic purposes, which process does not have the drawbacks described

35

above. Another object of the present invention is to provide an agent for carrying out the process.

The object according to the invention is achieved by a novel etching mixture and a process in
5 which this mixture is used.

The present invention relates to an etching mixture for producing a textured surface on multicrystalline, tricrystalline and monocrystalline silicon surfaces of solar cells or on silicon
10 substrates, for photovoltaic purposes, comprising hydrofluoric acid and mineral acids selected from the group consisting of nitric acid, sulphuric acid and phosphoric acid.

The present invention relates in particular to
15 an etching mixture comprising hydrofluoric acid, nitric acid and sulphuric acid and/or phosphoric acid.

Etching mixtures which comprise an additional oxidizing agent which suppresses the formation of nitrogen oxides and, if appropriate, a surface-active
20 substance selected from the group consisting of the polyfluorinated amines and the sulphonic acids have proven particularly effective.

The scope of the present invention also encompasses corresponding etching mixtures which
25 comprise an additional oxidizing agent selected from the group consisting of hydrogen peroxide, ammonium peroxydisulphate and perchloric acid.

According to the invention, the present object is achieved by etching mixtures comprising 1-30% HF,
30 5-30% nitric acid, 50 to 94% concentrated sulphuric acid or concentrated phosphoric acid or 50 to 94% of a mixture of concentrated sulphuric acid and concentrated phosphoric acid.

In particular, the object according to the
35 invention is also achieved by a process for producing textured surfaces on multicrystalline, tricrystalline and monocrystalline silicon surfaces of solar cells or on silicon substrates for photovoltaic purposes, in which

a) an etching mixture as characterized above is brought into contact with the entire surface at a suitable temperature by spraying, dipping, capillary coating or meniscus coating, resulting in incipient isotropic etching, and

b) the etching mixture is rinsed off after a sufficient duration of action.

In a particular embodiment of the process according to the invention, a damage etch is carried out in addition to the texture etch. This is achieved by using an etching mixture which comprises 10-16% HF, 20-30% HNO₃, 15-25% H₂SO₄, 14-20% H₃PO₄ and 20-30% water.

Good results are achieved if the etching operation is carried out at a temperature of between 15 and 30°C, in particular at room temperature, and a duration of action of between 2 and 30 minutes is selected.

A further process variant consists in an etching mixture which comprises 3-7% HF, 3-7% HNO₃, 75-85% H₂SO₄ and 5-15% water and which is rinsed off after a duration of action of 1-5 minutes being used for the rough-etching.

As described, inter alia during the texturing of multicrystalline silicon, there were problems with the alkaline damage etch and the production of a reflection-reducing surface.

A known process for producing rough surfaces on silicon substrates in microelectronics is the so-called spin etch process, which is described in US 4,903,717. In a partial step of this process, the silicon surface is roughened in order to achieve improved adhesion of the thin-etched microchips during bonding onto the carrier. In this process, commercially available etching mixtures, e.g. Spinetch[®]E, are used.

Investigations of the rough-etching effect and changing the etching mixtures have led to the discovery that a gas bubble effect is substantially responsible for the rough-etching. Following the application of a suitable etching mixture (1) to a silicon substrate (2)

(Fig. 1) or immersion of the silicon in the etching mixture, extremely small bubbles (3) of nitrous gases are formed on the surface of the silicon substrate (2) in less than one second after the application (Fig. 2).
5 Tests have shown that if the etching mixture contains added hydrogen peroxide or ammonium peroxydisulphate, bubbles of oxygen are formed instead of the nitrous gases. The gas bubbles (3) locally prevent the further etching of the silicon, since as a result of their
10 growing onto and remaining on the surface of the silicon, they make it difficult for further etching mixture to be supplied to the silicon (2). This leads to inhomogeneities in the etching rate distributed over the substrate. This effect ultimately leads (Fig. 3) to
15 roughening of the surface of the silicon (4).

The roughness of the surface can be influenced within broad limits by varying the external parameters (e.g. temperature, time, feed of media over the substrate) and primarily also by means of the
20 composition of the etching mixture. The shape and radii of the gas bubbles, but in particular their area of contact with the silicon, are decisive factors in achieving the desired roughening effect.

The tests have shown that the smaller the gas
25 bubbles which adhere to the surface during the etching operation, the rougher the surface which can be achieved. Therefore, the object of the novel development had to be as far as possible to suppress the formation of relatively large bubbles, which are
30 therefore generally no longer spherical and thus form a relatively large area of contact with the silicon, thus impeding the etching over relatively large areas.

It has been found that this object can be achieved by controlled variation and selection of the
35 etching mixture components and the further etching parameters. It has proven particularly advantageous to use a high-viscosity mineral acid, such as for example phosphoric acid or sulphuric acid, as the basis of the etching mixture, since the viscosity significantly

promotes the formation and stabilization of in particular extremely small spherical gas bubbles. Further tests have found that the number and properties of the gas bubbles can be advantageously influenced by
5 the addition of surface-active substances which are stable in these etching mixtures, such as for example polyfluorinated amines or sulphonic acids.

The etching mixture may be applied to the silicon substrate by various methods to which the
10 person skilled in the art is accustomed. One very simple method is the dipping, preferably of a plurality of substrates simultaneously, into the etching mixture. Spraying processes in continuous installations are also suitable. The single-side coating of the substrate on
15 only the front surface, in which the exact amount of material required for the etching is applied, is particularly favourable in terms of consumption of the material. Advantageous processes in this connection are described, for example in US 5,455,062 and DE 19600985.
20 In these processes, the etching mixture is utilized until it is "exhausted" and is then rinsed off. This additionally ensures a high level of process uniformity, since fresh, unused etching solution is always fed to the substrate.

25 Irrespective of the processing method, the composition of the etching mixture may be selected in such a way that, in addition to the desired roughening effect, a damage etch also takes place in parallel. This is highly advantageous in particular for
30 multicrystalline, cast silicon. This process is not necessary for EFG silicon.

A positive side effect of the acidic rough-etching according to the invention is that, provided that correspondingly pure starting materials have been
35 used, there is no cationic contamination of the substrate.

Any contamination caused by metals (Fe, Ti, Ni, etc) which may be present on the substrate surface is advantageously converted into soluble compounds and

removed when the acidic texture etching solution is rinsed off. Additional cleaning and rinsing steps as are required with the alkaline etching can therefore be dispensed with.

5 The high etching rate which can be achieved with the process according to the invention and is influenced in particular by the selection of the etching mixture used is particularly advantageous. It makes its presence felt in particular in considerably
10 shorter process times compared to the alkaline etching.

 Hydrofluoric acid has proven to be an essential, indispensable constituent of the active etching mixtures, even if it does not necessarily have to be used in high concentrations. Even 1% hydrofluoric
15 acid in the etching mixture may be sufficient. The concentrations typically lie in the range from 1-30% HF, particularly advantageously in the range from 3 to 15% HF. Nitric acid, hydrogen peroxide, perchloric acid or similar oxidizing agents or mixtures of these
20 compounds may be contained in the etching mixture as oxidative component. With regard to the stability of the solution, it has proven particularly advantageous if the etching mixture comprises nitric acid in a concentration range of 5-30%.

25 As has already been mentioned above, a base material with a relatively high viscosity is particularly advantageous for the formation and fixing of gas bubbles. The proportion of this base component, which has no direct effect on the actual chemical
30 etching mechanism, is typically 50-94%, based on the total mixture. In this context, it is extremely advantageous to use concentrated sulphuric acid which, in addition to the required viscosity, increases the etching rate and binds the water formed in the etching
35 process, advantageously into the mixture.

 Tests have shown that for the rough-etching it is possible to use etching mixtures which contain 3-7% HF, 3-7% HNO₃, 75-85% H₂SO₄ and 5-15% water. Durations of action of between 1 and 5 minutes have led

to good results. A typical rough etch for producing a roughness with texture depths and widths of approximately 1-3 μm has the following composition: 5% HF, 5% HNO_3 , 80% H_2SO_4 , 10% H_2O . With a duration of
5 action of approx. 2 min and processing at room temperature, after the silicon substrate has been dipped into the etching mixture described the surface structure shown in Fig. 4 is obtained. The steep flanks of the etching craters produced by the etching can be
10 seen clearly in Fig. 5. These steep flanks are highly advantageous with a view to increasing the efficiency of a solar cell. The integral etching rate during this etching as laid down in DIN 50453 part 1 is approx. 2 $\mu\text{m}/\text{min}$ at 20°C.

15 Furthermore, it has been found that in addition to the texture etch it is also possible to achieve a damage etch on the silicon surface if an etching mixture which comprises 10-16% HF, 20-30% HNO_3 , 15-25% H_2SO_4 , 14-20% H_3PO_4 and 20-30% water is used.

20 A damage etch mixture with a roughening action which leads to good results has, for example, the following composition: 13% HF, 25% HNO_3 , 20% H_2SO_4 , 17% H_3PO_4 , 25% H_2O .

25 After a duration of action of 30 min and an integral etching rate of approx. 130 $\mu\text{m}/\text{min}$, the textures which have been imposed by the sawing of the wafer are etched away and the desired roughening of the surface is obtained.

30 In general, it has been found that the process according to the invention gives good etching results if the etching operation is carried out at a temperature of between 15 and 30°C, in particular at room temperature, and if a duration of action of between 2 and 30 minutes is selected.

35 The nitrous gases formed during the etching are undesirable on account of their toxicity. Therefore, the use of a second oxidizing component has proven advantageous in order to suppress the formation of nitrous gases. Suitable additives are, for example,

- 11 -

hydrogen peroxide, ammonium peroxydisulphate, inter alia, as mentioned in US 3,953,263. The addition of such components has the advantageous effect of suppressing the formation of nitrous gases and instead, however, forming oxygen bubbles, which have the same effect during the etching operation.

Amended PATENT CLAIMS

1. Etch mixture for the production of a structured surface on multi-crystalline, tricrystalline and monocrystalline silicon surfaces of solar cells or on silicon substrates, for photovoltaic purposes, comprising hydrofluoric acid, nitric acid and sulfuric acid.
2. Etch mixture according to Claim 1, comprising hydrofluoric acid, nitric acid and sulfuric acid and phosphoric acid.
3. Etch mixture according to Claims 1 – 2, characterised by an additional oxidant which suppresses the formation of nitrogen oxides and, if desired, a surface-active substance selected from the group consisting of polyfluorinated amines or sulfonic acids.
4. Etch mixture according to Claims 1 – 3, characterised by an additional oxidant selected from the group consisting of hydrogen peroxide, ammonium peroxide sulfate and perchloric acid.
5. Etch mixture according to Claims 1 – 4, comprising 1 – 30% of HF, 5 – 30% of nitric acid, from 50 to 94% of concentrated sulfuric acid or from 50 to 94% of a mixture of concentrated sulfuric acid and concentrated phosphoric acid.
6. Process for the production of structured surfaces on multicrystalline, tricrystalline and monocrystalline silicon surfaces of solar cells or on silicon substrates for photovoltaic purposes,
by
 - a) bringing an etch mixture according to Claims 1 to 5 into contact with the entire surface at a suitable temperature by spraying, dipping, capillary coating or meniscus coating, causing isotropic superficial etching,and

- b) rinsing the etch mixture off after a sufficient exposure time.
7. Process according to Claim 6, characterised in that, in addition to the structure etching, saw damage etching is carried out by using an etch mixture which comprises 10 – 16% of HF, 20 – 30% of HNO₃, 15 – 25% of H₂SO₄, 14 – 20% of H₃PO₄ and 20 – 30% of water.
8. Process according to Claims 6 to 7, characterised in that the etching process is carried out at a temperature between 15 and 30°C in particular at room temperature.
9. Process according to Claims 6 to 8, characterised in that the exposure time is selected between 2 and 30 minutes.
10. Process according to Claim 6, characterised in that an etch mixture which comprises 3 – 7% of HF, 3 – 7% of HNO₃, 75 – 85% of H₂SO₄ and 5 – 15% of water is used for the rough etching and is rinsed off after an exposure time of 1 – 5 minutes.

Fetherstonhaugh & Co.
Ottawa, Canada
Patent Agents

Application number / numéro de demande: EP2000012328 _____

Figures: 4-5 _____

Pages: _____

**Unscannable items
received with this application
(Request original documents in File Prep. Section on the 10th floor)**

**Documents reçu avec cette demande ne pouvant être balayés
(Commander les documents originaux dans la section de préparation des dossiers au
10ème étage)**

Abb. 1

1/2

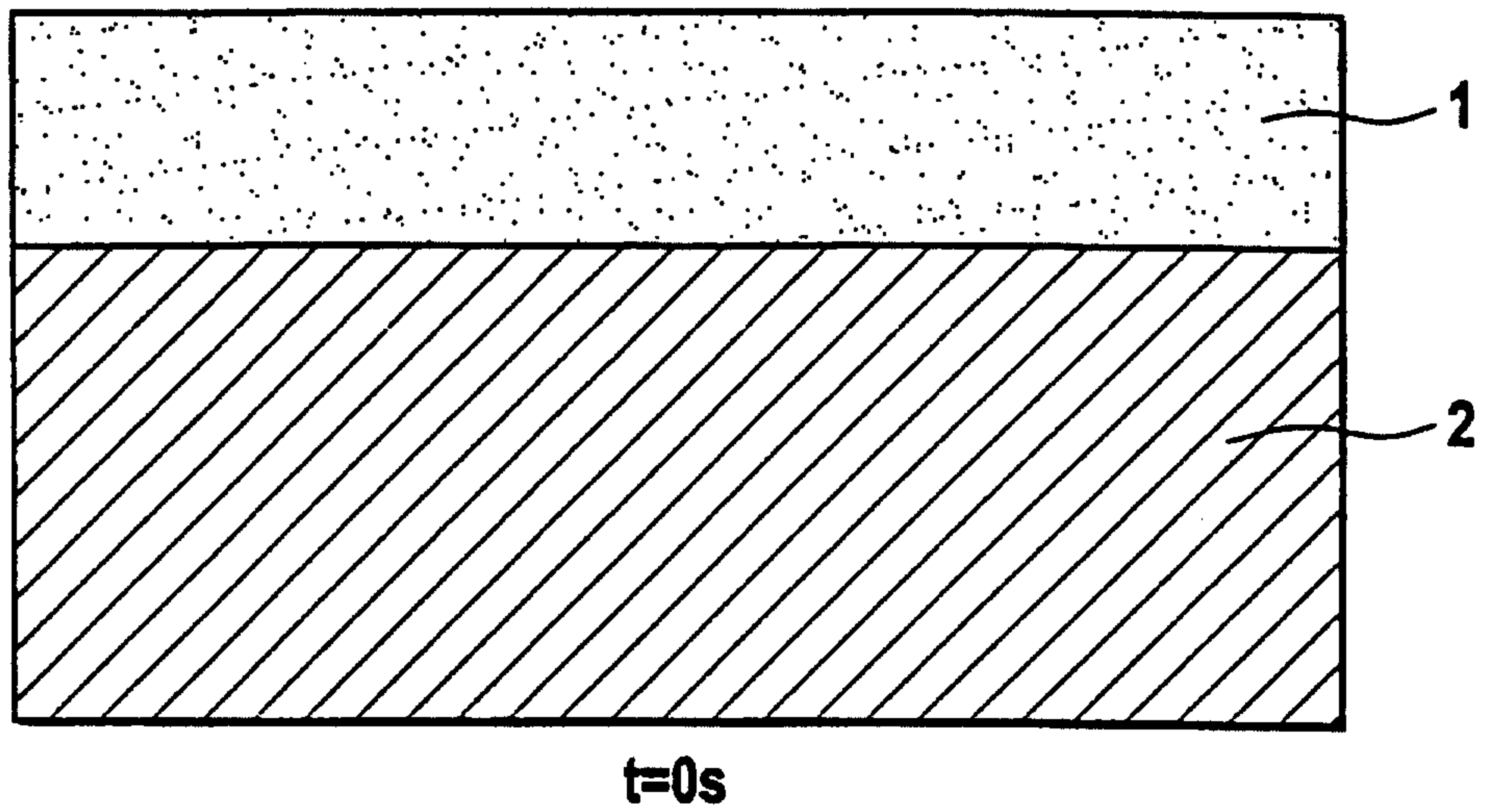


Abb. 2

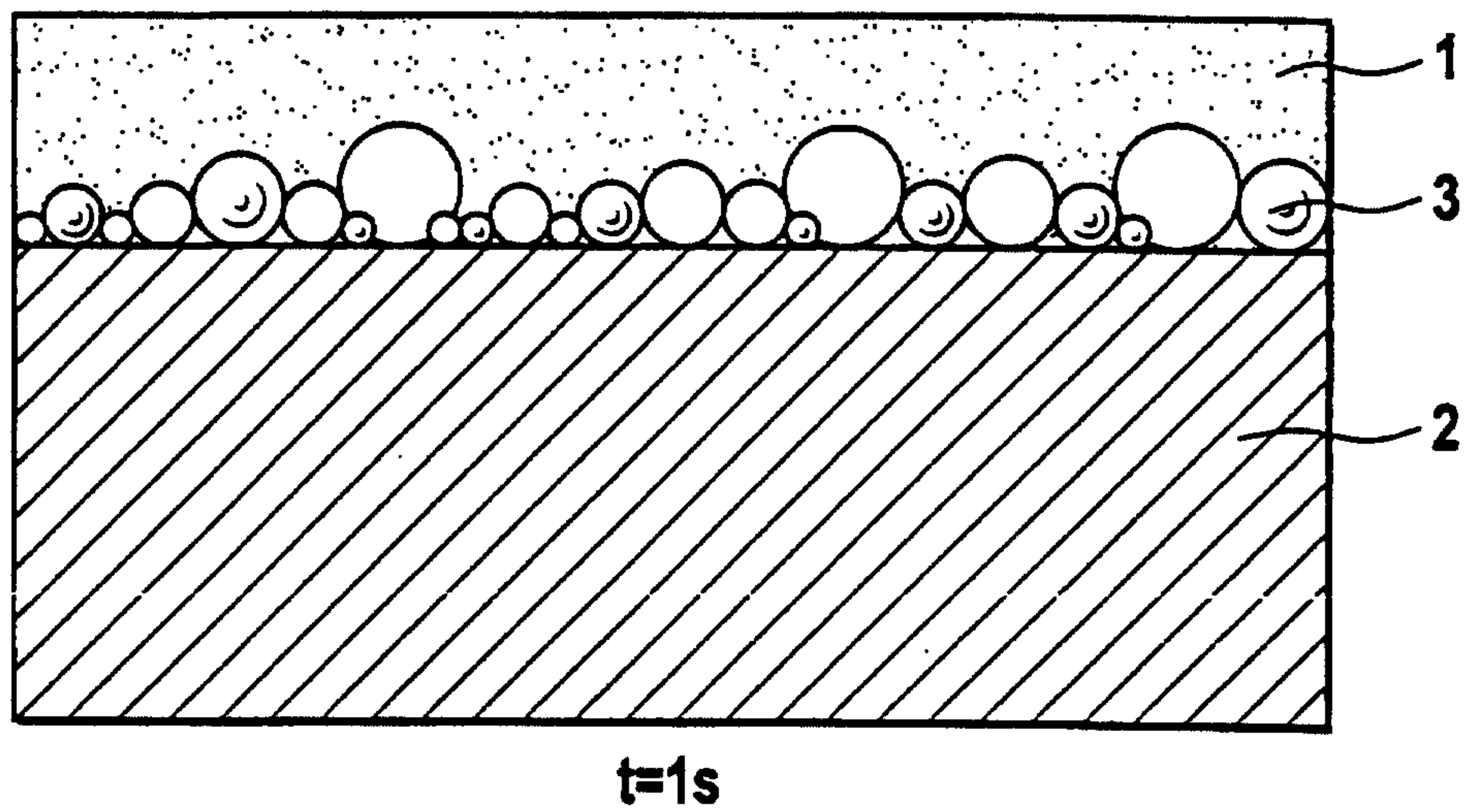


Abb. 3

