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Declarations under Rule 4.17:

— of inventorship (Rule 4.17(iv))

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CLASSIFICATION OF SUBJECT MATTER A.

C07K 14/155(2006.01)i, C12N 15/49(2006.01)i, A61K 39/21(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 8: A61K 48/00, A61K 39/21, C12Q 1/68, A61K 39/29, A61K 31/70

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS (KIPO internal) & keywords: HIV, polyvalent, vaccine, T lymphocyte response, polypeptide, protein, nucleic acid, etc.

C. **DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2007-024941 A2 (THE REGENTS OF THE UNIVERSITY OF CALIFORNIA et al., US) 01 March 2007 See the whole document	1-7
A	WO 2007-047916 A2 (NOVARTIS AG., CH) 26 April 2007 See the whole document	1-7
A	US 2006-0216305 A1 (RENU B. LAL and SHERRY M. OWEN, US) 28 September 2006 See the whole document	1-7
A	US 2006-0275897 A1 (GARY J. NABEL et al., US) 07 December 2006 See the whole document	1–7
A	US 2003-0194411 A1 (ARYE RUBINSTEIN et al., US) 16 October 2003 See the whole document	1-7
A	US 2003-0180314 A1 (ANNE DEGROOT, US) 25 September 2003 See the whole document	1-7

\triangleright	Further documents are listed in the continuation of Box C	See patent family annex.
*	Special categories of cited documents:	"T" later document published after the international filing date or priority
"A"	document defining the general state of the art which is not consider	date and not in conflict with the application but cited to understand
	to be of particular relevance	the principle or theory underlying the invention
"E"	earlier application or patent but published on or after the internation	al "X" document of particular relevance; the claimed invention cannot be
	filing date	considered novel or cannot be considered to involve an inventive
"L"	document which may throw doubts on priority claim(s) or which	s step when the document is taken alone
	cited to establish the publication date of citation or other	"Y" document of particular relevance; the claimed invention cannot be
	special reason (as specified)	considered to involve an inventive step when the document is
"O"	document referring to an oral disclosure, use, exhibition or other	combined with one or more other such documents, such combination
	means	being obvious to a person skilled in the art
"P"	document published prior to the international filing date but later	"&" document member of the same patent family
	than the priority date claimed	
Date	e of the actual completion of the international search	Date of mailing of the international search report
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International application No.

PCT/US2009/004664

C (Continuat	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2002-0198162 A1 (JUHA PUNNONEN et al., US) 26 December 2002 See the whole document	1-7
A	KAORI SHINODA et al. 'Polygene DNA vaccine induces a high level of protective effect against HIV-vaccinia virus challenge in mice.' In: Vaccine. September 2004, Vol.22(27-28), pp.3523-3815. See the whole document	1-7

International application No.

PCT/US2009/004664

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: 8, 9 because they relate to subject matter not required to be searched by this Authority, namely: Claims 8 and 9 pertain to methods for treatment of the human body by therapy, and thus relate to a subject matter which this International Searching Authority is not required, under Article 17(2)(a)(i) of the PCT and Rule 39.1(iv) of the Regulations under the PCT, to search.
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows: See Extra Sheet.
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee. The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation. No protest accompanied the payment of additional search fees.

International application No.

PCT/US2009/004664

This ISA found multiple inventions as follows:

-Group I, claim(s) 1-7(in part), drawn to peptides/nucleic acids comprising HIV-1 gag-encoded antigenic epitopes. -Group II, claim(s) 1-7(in part), drawn to peptides/nucleic acids comprising HIV-1 nef-encoded antigenic epitopes. -Group III, claim(s) "1, 2, 4, 6, and 7"(in part), drawn to peptides/nucleic acids comprising HIV-1 env-encoded antigenic epitopes.

The inventions listed as Group I, II, and III do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons; The claims are drawn to "Markush" type groups peptides/nucleic acids. Under PCT Rule 13.2, the "Markush" grouping possess unity if:

- (A) All alternatives have a common property or activity; and
- (B1) A common structure is present, i.e., a significant structural element is shared by all of the alternatives; or
- (B2) In the cases where the common structure cannot be the unifying criteria, all alternatives belong to a recognized class of chemical compounds in the art to which the invention pertains.

In the instant case, neither criteria A or B1 or B2 is met between the groups. Only within each groups do the alternatives have a common property: gag-, nef-, or env-encoded epitopes each and independently have the capacity to induce an immune response to respective class of epitopes because to the significantly different structure of the epitope.

Information on patent family members

International application No.

PCT/US2009/004664

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