

## Integer Partitions

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Let  $L$  denote the positive octant of the regular  $d$ -dimensional cubic lattice. Each vertex  $(j_1, j_2, \dots, j_d)$  of  $L$  is adjacent to all vertices of the form  $(j_1, j_2, \dots, j_k + 1, \dots, j_d)$ ,  $1 \leq k \leq d$ . A  **$d$ -partition** of a positive integer  $n$  is an assignment of nonnegative integers  $n_{j_1, j_2, \dots, j_d}$  to the vertices of  $L$ , subject to both an ordering condition

$$n_{j_1, j_2, \dots, j_d} \geq \max_{1 \leq k \leq d} n_{j_1, j_2, \dots, j_k + 1, \dots, j_d}$$

and a summation condition  $\sum n_{j_1, j_2, \dots, j_d} = n$ . The summands in the  $d$ -partition are thus nonincreasing in each of the  $d$  lattice directions. We agree to suppress all zero labels. A 1-partition is the same as an ordinary partition; a 2-partition is often called a **plane partition** and a 3-partition is often called a **solid partition**. Three sample plane partitions of  $n = 26$  are

$$\begin{pmatrix} 8 \\ 9 \\ 9 \end{pmatrix}, \quad \begin{pmatrix} 1 \\ 1 \\ 2 & 2 & 1 \\ 4 & 2 & 1 & 1 \\ 5 & 3 & 2 & 1 \end{pmatrix}, \quad (7 \ 6 \ 4 \ 4 \ 3 \ 1 \ 1).$$

Let  $p_d(n)$  denote the number of  $d$ -partitions of  $n$ . The generating functions [1]

$$\begin{aligned} 1 + \sum_{n=1}^{\infty} p_1(n)x^n &= 1 + x + 2x^2 + 3x^3 + 5x^4 + 7x^5 + 11x^6 + 15x^7 + 22x^8 + \dots \\ &= \prod_{m=1}^{\infty} (1 - x^m)^{-1}, \end{aligned}$$

$$\begin{aligned} 1 + \sum_{n=1}^{\infty} p_2(n)x^n &= 1 + x + 3x^2 + 6x^3 + 13x^4 + 24x^5 + 48x^6 + 86x^7 + 160x^8 + \dots \\ &= \prod_{m=1}^{\infty} (1 - x^m)^{-m} \end{aligned}$$

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give rise to well-known asymptotics [2, 3, 4, 5]:

$$\begin{aligned} p_1(n) &\sim \frac{1}{4\sqrt{3}n} \exp\left(\pi\sqrt{\frac{2n}{3}}\right) \\ &\sim (0.1443375672\dots)n^{-1} \exp\left((2.5650996603\dots)n^{1/2}\right), \end{aligned}$$

$$\begin{aligned} p_2(n) &\sim \frac{\zeta(3)^{7/36} e^{\zeta'(-1)}}{2^{11/36} \sqrt{3\pi} n^{25/36}} \exp\left(3\zeta(3)^{1/3} \left(\frac{n}{2}\right)^{2/3}\right) \\ &\sim (0.2315168134\dots)n^{-25/36} \exp\left((2.0094456608\dots)n^{2/3}\right) \end{aligned}$$

as  $n \rightarrow \infty$ , where  $\zeta(3) = 1.2020569031\dots$  is Apéry's constant [6] and  $\zeta'(-1) = -0.1654211437\dots = 2(-0.0827105718\dots) = \ln(0.8475366941\dots)$  is closely related to the Glaisher-Kinkelin constant [7]. Although an infinite product expression for the generating function [1]

$$1 + \sum_{n=1}^{\infty} p_3(n)x^n = 1 + x + 4x^2 + 10x^3 + 26x^4 + 59x^5 + 140x^6 + 307x^7 + 684x^8 + \dots$$

remains unknown, it is conjectured that [8, 9]

$$\begin{aligned} p_3(n) &\sim \frac{C}{n^{61/96}} \exp\left(\frac{2^{7/4}\pi}{3^{5/4}5^{1/4}}n^{3/4} + \frac{\sqrt{15}\zeta(3)}{\sqrt{2}\pi^2}n^{1/2} - \frac{15^{5/4}\zeta(3)^2}{2^{7/4}\pi^5}n^{1/4}\right) \\ &\sim C n^{-61/96} \exp\left((1.7898156270\dots)n^{3/4} + (0.3335461354\dots)n^{1/2} - (0.0414392867\dots)n^{1/4}\right) \end{aligned}$$

for some constant  $C > 0$ . The evidence for this asymptotic formula includes exact enumerations (for  $n \leq 68$ ) and Monte Carlo simulation. See [10, 11, 12, 13] for more about planar partitions and [14, 15, 16, 17] for more about solid partitions.

**0.1. Hardy-Ramanujan-Rademacher.** The Hardy-Ramanujan-Rademacher formula for  $p_1(n)$  is a spectacular exact result [18, 19, 20, 21, 22, 23, 24, 25, 26]:

$$p_1(n) = \frac{\pi}{2^{5/4}3^{3/4}} \left(n - \frac{1}{24}\right)^{-3/4} \sum_{k=1}^{\infty} \frac{A_k(n)}{k} I_{3/2} \left(\sqrt{\frac{2}{3}} \frac{\pi}{k} \sqrt{n - \frac{1}{24}}\right)$$

where

$$I_{3/2}(x) = \sqrt{\frac{2x}{\pi}} \left(\frac{\cosh(x)}{x} - \frac{\sinh(x)}{x^2}\right)$$

is the modified Bessel function of order  $3/2$ ,

$$A_k(n) = \sum_{\substack{\gcd(h,k)=1, \\ 1 \leq h < k}} \omega_{h,k} \exp\left(\frac{-2\pi i n h}{k}\right),$$

and  $\omega_{h,k} = \exp(\pi i s(h, k))$  is the unique  $24k^{\text{th}}$  root of unity with Dedekind sum

$$s(h, k) = \sum_{m=1}^{k-1} \left( \frac{m}{k} - \left\lfloor \frac{m}{k} \right\rfloor - \frac{1}{2} \right) \left( \frac{hm}{k} - \left\lfloor \frac{hm}{k} \right\rfloor - \frac{1}{2} \right).$$

For example,

$$\begin{aligned} A_1(n) &= 1, & A_2(n) &= (-1)^n, & A_3(n) &= 2 \cos\left(\frac{\pi(12n-1)}{18}\right), \\ A_4(n) &= 2 \cos\left(\frac{\pi(4n-1)}{8}\right), & A_5(n) &= 2 \cos\left(\frac{\pi(2n-1)}{5}\right) + 2 \cos\left(\frac{4\pi n}{5}\right). \end{aligned}$$

Defining

$$\begin{aligned} c &= \sqrt{\frac{2}{3}}\pi, & \lambda(n) &= \sqrt{n - \frac{1}{24}}, \\ \mu(n) &= c\lambda(n), & A_k^*(n) &= A_k(n)/\sqrt{k}, \end{aligned}$$

we have the following variations:

$$\begin{aligned} p_1(n) &= \frac{1}{2^{1/2}\pi} \sum_{k=1}^{\infty} A_k(n) k^{1/2} \frac{d}{dn} \left[ \frac{\sinh(c\lambda(n)/k)}{\lambda(n)} \right] \\ &= 2 \frac{3^{1/2}}{24n-1} \sum_{k=1}^{\infty} A_k^*(n) \left[ \left(1 - \frac{k}{\mu(n)}\right) \exp\left(\frac{\mu(n)}{k}\right) + \left(1 + \frac{k}{\mu(n)}\right) \exp\left(-\frac{\mu(n)}{k}\right) \right]. \end{aligned}$$

In contrast, the original Hardy-Ramanujan formula is only an asymptotic expansion:

$$\begin{aligned} p_1(n) &\sim \frac{1}{2^{3/2}\pi} \sum_{k=1}^{\infty} A_k(n) k^{1/2} \frac{d}{dn} \left[ \frac{\exp(c\lambda(n)/k)}{\lambda(n)} \right] \\ &\sim 2 \frac{3^{1/2}}{24n-1} \sum_{k=1}^{\infty} A_k^*(n) \left(1 - \frac{k}{\mu(n)}\right) \exp\left(\frac{\mu(n)}{k}\right), \end{aligned}$$

which was later proved to be divergent by Lehmer [27, 28, 29]. Therefore Rademacher's contribution was the identification of a small additional term that forces the original Hardy-Ramanujan series to converge.

A third formula for  $p_1(n)$ :

$$p_1(n) \sim \frac{\pi}{2^{5/4}3^{3/4}} \lambda(n)^{-3/2} \sum_{k=1}^{\infty} \frac{A_k(n)}{k} I_{-3/2} \left( \frac{c\lambda(n)}{k} \right)$$

appears in Almkvist [30, 31] and is a consequence of a more general theory (to be discussed shortly). The only difference between this formula and the Hardy-Ramanujan-Rademacher formula is that  $I_{-3/2}$  appears rather than  $I_{3/2}$ . It is believed to be divergent, but this has not yet been proved. For practical purposes, using the modified Bessel function of order  $-3/2$ :

$$I_{-3/2}(x) = \sqrt{\frac{2x}{\pi}} \left( \frac{\sinh(x)}{x} - \frac{\cosh(x)}{x^2} \right)$$

gives only slightly different numerical results (for large  $\sqrt{n}/k$ ).

Analogous series exist for plane partitions. The terms involve neither exponentials nor Bessel functions, but rather a new function

$$g(x, \gamma) = \sum_{\nu=0}^{\infty} \frac{x^{2\nu+\gamma-1}}{\nu! \Gamma(2\nu + \gamma)}$$

that satisfies the third-order differential equation

$$xg'''(x, \gamma) - (\gamma - 3)g''(x, \gamma) - 2g(x, \gamma) = 0$$

(the derivatives are taken with respect to  $x$ ) as well as

$$g'(x, \gamma) = g(x, \gamma - 1), \quad 2g(x, \gamma + 2) + (\gamma - 1)g(x, \gamma) = xg(x, \gamma - 1).$$

A heuristic argument in [30, 31] gives that

$$p_2(n) \sim \varphi_1(n) + \varphi_2(n) + \varphi_3(n) + \dots$$

as  $n \rightarrow \infty$ , where

$$\varphi_1(n) = \zeta(3)^{13/24} e^{\zeta'(-1)} \sum_{k=0}^{\infty} a_{2k} \zeta(3)^k g \left( n\sqrt{\zeta(3)}, -\frac{1}{12} - 2k \right)$$

and  $a_{2k}$  is the coefficient of  $x^{2k}$  in the Maclaurin series of

$$h(x) = \exp \left( - \sum_{j=1}^{\infty} \frac{2(2j+1)! \zeta(2j) \zeta(2j+2)}{j(2\pi)^{4j+2}} x^{2j} \right),$$

$$\varphi_2(n) = (-1)^n 2^{-5/3} \zeta(3)^{7/12} e^{2\zeta'(-1)} \sum_{k=0}^{\infty} b_{2k} \left(\frac{\zeta(3)}{8}\right)^k g\left(n\sqrt{\frac{\zeta(3)}{8}}, -\frac{1}{6} - 2k\right)$$

and  $b_{2k}$  is the coefficient of  $y^{2k}$  in the Maclaurin series of

$$\frac{h(2y)^5}{h(y)h(4y)^2},$$

and so forth. The additional terms  $\varphi_3(n)$ ,  $\varphi_4(n)$  appear in [30] and  $\varphi_5(n)$ ,  $\varphi_6(n)$  appear in [31]. Taken together, these terms provide remarkably accurate estimates of  $p_2(n)$ . Govindarajan & Prabhakar [32] revisited Almkvist's results, using a modified function

$$\tilde{g}(x, \gamma) = \frac{1}{2} \sum_{\nu=0}^{\infty} \frac{x^\nu}{\nu! \Gamma((3 - \gamma + \nu)/2)}$$

that seems better behaved than  $g(x, \gamma)$  and evidently does for  $p_2(n)$  akin to what Rademacher's modification of Hardy-Ramanujan did for  $p_1(n)$ .

**0.2. Addendum.** Recent Monte Carlo work indicates that [33]

$$\lim_{n \rightarrow \infty} n^{-3/4} \ln(p_3(n)) \approx 1.822 > 1.789\dots = \frac{2^{7/4}\pi}{3^{5/4}5^{1/4}},$$

contradicting [8, 9]. The asymptotics of solid partitions appear to differ sharply from those of line and plane partitions; in addition to sub-leading terms of order  $n^{1/2}$ ,  $n^{1/4}$  and  $\ln(n)$ , there seems to be an oscillatory function at the  $n^{-1/4}$  level. Theory lags far behind numerical experimentation here. Let

$$\begin{aligned} 1 + \sum_{n=1}^{\infty} q(n)x^n &= 1 + x + 4x^2 + 10x^3 + 26x^4 + 59x^5 + 141x^6 + 310x^7 + 692x^8 + \dots \\ &= \prod_{m=1}^{\infty} (1 - x^m)^{-m(m+1)/2}. \end{aligned}$$

Although the MacMahon conjecture is incorrect ( $p_3(n) \neq q(n)$  for  $n > 5$ ), there is still a possibility that  $p_3(n) \sim q(n)$  as  $n \rightarrow \infty$ . The conjectured asymptotics for  $p_3(n)$  given earlier are validated asymptotics for  $q(n)$ . In a recent breakthrough, Kotesovec [34] deduced that the multiplicative constant  $C$  for  $q(n)$  is

$$2^{-157/96} 15^{-13/96} \exp\left(-\frac{\zeta(3)}{8\pi^2} + \frac{75\zeta(3)^3}{2\pi^8} + \frac{\zeta'(-1)}{2}\right) \pi^{1/24} = 0.2135951604\dots$$

and we look forward to seeing underlying details.

Let us consider one of many possible variations on 1-partitions. Define  $\hat{p}_1(n)$  to be the number of partitions of  $n$  into integers, each of which may occur only an odd number of times. It can be shown that [35]

$$\hat{p}_1(n) \sim \frac{B}{2\pi n} \exp(2B\sqrt{n})$$

where

$$\begin{aligned} B^2 &= \frac{\pi^2}{12} + \int_0^1 \frac{\ln(1+x-x^2)}{x} dx = \frac{\pi^2}{12} + 2\ln(\varphi)^2 \\ &= \frac{\pi^2}{12} + 0.4631296411\dots = (1.1338415562\dots)^2 \end{aligned}$$

and  $\varphi = (1 + \sqrt{5})/2$  is the Golden mean.

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