

Lenses: applications and generalizations

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Outline

1 Introduction

- An agent in an environment
- Lenses organize interactions
- Lenses in CT

2 Some applications of lenses

3 Generalizing lens categories

4 Conclusion

An agent in an environment

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- The agent has an effect on the environment and vice versa.
- What does that mean?
- It means agent and environment are communicating somehow.
 - The agent *observes* the environment and *acts* on it.
 - The agent's state affects that of the environment and vice versa.
 - Agent affects environment through *action*.
 - Environment affects agent through *observation*.
 - Each is affected in that it undergoes a change of *state*.

How shall we model this mathematically?

A formalization of agent/environment interaction

Setup:

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- a set S_{Ag} for the possible states of the agent,
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- a set Act for the possible actions, and
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These change in time. At every time step, what happens?

- Action is dictated by agent's state via some $S_{Ag} \rightarrow Act$.

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- Observation is dictated by environment's state via $S_{En} \rightarrow Obs$.
- Environment's state is updated by the action via $S_{En} \times Act \rightarrow S_{En}$.

How to organize all this stuff?

We have sets S_{Ag} , S_{En} , Act , Obs and functions

$$\begin{array}{ll} S_{Ag} \rightarrow Act & S_{En} \rightarrow Obs \\ S_{Ag} \times Obs \rightarrow S_{Ag} & S_{En} \times Act \rightarrow S_{En} \end{array}$$

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How to organize all this stuff?

- Each pair of functions is a special case of what are called *lenses*.
- Lenses are the morphisms in a cat **Lens**, whose objects are pairs $\begin{pmatrix} X \\ Y \end{pmatrix}$.
 - The lenses from our agent/environment setup would be denoted:
 - $\begin{pmatrix} S_{Ag} \\ S_{Ag} \end{pmatrix} \rightarrow \begin{pmatrix} Act \\ Obs \end{pmatrix}$ and $\begin{pmatrix} S_{En} \\ S_{En} \end{pmatrix} \rightarrow \begin{pmatrix} Obs \\ Act \end{pmatrix}$

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Applications of lenses

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- Bidirectional transformations (Oles),
- dialectica categories and linear logic (de Paiva),
- the view-update problem in databases (Hoffman, Pierce),
- functional programming (Gibbons, Oliveira, Palmer, Kmett),
- wiring diagrams, discrete and continuous dynamical systems (Spivak),
- open economic games (Ghani-Hedges),
- supervised learning (Fong-Spivak-Tuyéras).

I'll explain a few of these as we go, especially the ones I've worked on.

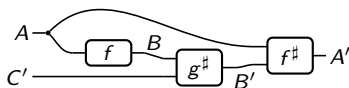
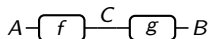
The symmetric monoidal category of lenses

For any symmetric monoidal category \mathcal{C} , we get an SMC **Lens** $_{\mathcal{C}}$.

The symmetric monoidal category of lenses

For any symmetric monoidal category \mathcal{C} , we get an SMC $\mathbf{Lens}_{\mathcal{C}}$.
 For simplicity, let's take $\mathcal{C} = \mathbf{Set}$ and just write \mathbf{Lens} for $\mathbf{Lens}_{\mathbf{Set}}$.

- $\mathbf{Ob}(\mathbf{Lens}) := \left\{ \binom{A}{A'} \mid A, A' \in \mathbf{Ob}(\mathbf{Set}) \right\}$
- Monoidal unit: $\binom{1}{1}$; monoidal product: $\binom{A}{A'} \otimes \binom{B}{B'} := \binom{A \times B}{A' \times B'}$
- $\mathbf{Lens} \left(\binom{A}{A'}, \binom{B}{B'} \right) := \left\{ \left(\begin{array}{c} f \\ f^\sharp \end{array} \mid \begin{array}{l} f: A \rightarrow B \\ f^\sharp: A' \times B' \rightarrow A' \end{array} \right) \right\}$.
- $\text{id}_{\binom{A}{A'}} = \binom{\text{id}_A}{\pi}$, where $\pi: A \times A' \rightarrow A'$ is the projection.
- $\binom{f}{f^\sharp} \circ \binom{g}{g^\sharp} = \binom{f \circ g}{(a, c') \mapsto f^\sharp(a, g^\sharp(f(a), c'))}$



Bringing lenses into the fold

I found the formula for lenses and their composition kinda weird:

$$\mathbf{Lens} \left(\begin{pmatrix} A \\ A' \end{pmatrix}, \begin{pmatrix} B \\ B' \end{pmatrix} \right) := \left\{ \begin{pmatrix} f \\ f^\# \end{pmatrix} \mid \begin{array}{l} f: A \rightarrow B \\ f^\#: A \times B' \rightarrow A' \end{array} \right\}.$$

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I wanted to understand **Lens** in a way I found more comfortable.

- Today: we'll first see **Lens** as part of a larger category that
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 - might be more familiar, e.g. to algebraic geometers, and
 - has better formal properties.

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- Today: we'll first see **Lens** as part of a larger category that
 - provides a sort of geometrical perspective,
 - might be more familiar, e.g. to algebraic geometers, and
 - has better formal properties.
- We then generalize further to pick up some close cousins of lenses.

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- Kmett, Riley, etc. have generalized lenses to *optics*.
 - Briefly: for any monoidal category $(\mathcal{C}, I, \otimes)$, ...
 - an optic $\binom{A}{A'} \rightarrow \binom{B}{B'}$ can be identified with an element of

$$\int^{M \in \mathcal{C}} C(A, M \otimes B) \times C(M \otimes B', A').$$

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- This can be generalized even further using Tambara modules.
- However, it's not the direction I want to go today.

Plan of the talk

Plan for the rest of the talk:

- Some applications of lenses
- Generalizing lens categories

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- 1 Introduction
- 2 Some applications of lenses**
 - Back to the agent in an environment
 - Machine learning
 - Examples that don't quite work right
- 3 Generalizing lens categories
- 4 Conclusion

Agent in an environment

We began with an agent and an environment interacting.

$$\begin{array}{ll} S_{\text{Ag}} \rightarrow \text{Act} & S_{\text{En}} \rightarrow \text{Obs} \\ S_{\text{Ag}} \times \text{Obs} \rightarrow S_{\text{Ag}} & S_{\text{En}} \times \text{Act} \rightarrow S_{\text{En}} \end{array}$$

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These are lenses $\begin{pmatrix} S_{\text{Ag}} \\ S_{\text{Ag}} \end{pmatrix} \rightarrow \begin{pmatrix} \text{Act} \\ \text{Obs} \end{pmatrix}$ and $\begin{pmatrix} S_{\text{En}} \\ S_{\text{En}} \end{pmatrix} \rightarrow \begin{pmatrix} \text{Obs} \\ \text{Act} \end{pmatrix}$. Explain the flip?

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- Idea: if we tensor \otimes these lenses we get:

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and there's an “symmetry” lens morphism $\left(\begin{smallmatrix} \text{Act} \times \text{Obs} \\ \text{Obs} \times \text{Act} \end{smallmatrix} \right) \rightarrow \left(\begin{smallmatrix} 1 \\ 1 \end{smallmatrix} \right)$.

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- Composing, we get a single lens $\left(\begin{smallmatrix} S \\ S \end{smallmatrix} \right) \rightarrow \left(\begin{smallmatrix} 1 \\ 1 \end{smallmatrix} \right)$, where $S = S_{\text{Ag}} \times S_{\text{En}}$.
 - It's just a set S and a map $S \rightarrow S$: a *discrete dynamical system*.

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We can see this as part of a bigger picture.

The agent-environment system

So what were we doing when we:

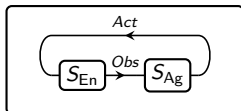
- started with lenses $\begin{pmatrix} S \\ S \end{pmatrix} \rightarrow \begin{pmatrix} Act \\ Obs \end{pmatrix}$ and $\begin{pmatrix} S' \\ S' \end{pmatrix} \rightarrow \begin{pmatrix} Obs \\ Act \end{pmatrix}$,
- multiplied them together to get a map $\begin{pmatrix} S \times S' \\ S \times S' \end{pmatrix} \rightarrow \begin{pmatrix} Act \times Obs \\ Obs \times Act \end{pmatrix}$, and then
- composed the result with a canonical map to $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$?

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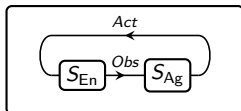


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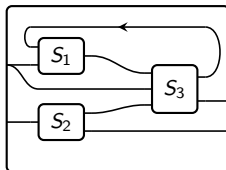
So what were we doing when we:

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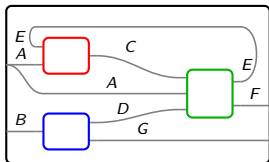


More generally we can consider open systems with many interacting agents



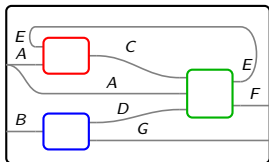
Wiring diagrams

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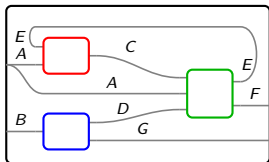
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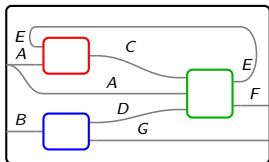


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- We have three interior boxes: $\begin{pmatrix} C \\ E \times A \end{pmatrix}$, $\begin{pmatrix} D \times G \\ B \end{pmatrix}$, $\begin{pmatrix} E \times F \\ C \times A \times D \end{pmatrix}$.
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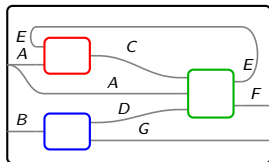


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- The wiring diagram induces a lens $\begin{pmatrix} C \times D \times G \times E \times F \\ E \times A \times B \times C \times A \times D \end{pmatrix} \rightarrow \begin{pmatrix} F \times G \\ A \times B \end{pmatrix}$

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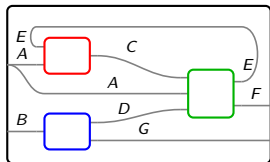
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- Both maps are just projections and diagonals:

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Every wiring diagram gives a lens made of projections and diagonals.

WDs and discrete dynamical systems

A *discrete dynamical system* of type $\binom{A}{A'}$ consists of

- A set S
- A function $f^{\text{rdt}}: S \rightarrow A$ called “readout”
- A function $f^{\text{upd}}: S \times A' \rightarrow S$ called “update”
- Optional: an element $s_0 \in S$ called “initial state”.

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This is just a lens $\binom{f^{\text{rdt}}}{f^{\text{upd}}}: \binom{S}{S} \rightarrow \binom{A}{A'}$, with optional $\binom{s_0}{!}: \binom{1}{1} \rightarrow \binom{S}{S}$.

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- We'll denote this setup by writing S , or (S, s_0) inside the box

$$A' - \boxed{S} - A \quad \text{or} \quad A' - \boxed{S, s_0} - A$$

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- We'll denote this setup by writing S , or (S, s_0) inside the box

$$A' \text{ --- } \boxed{S} \text{ --- } A \quad \text{or} \quad A' \text{ --- } \boxed{S, s_0} \text{ --- } A$$

- A wiring diagram is a lens $(\begin{smallmatrix} A_1 \\ A'_1 \end{smallmatrix}) \otimes \cdots \otimes (\begin{smallmatrix} A_n \\ A'_n \end{smallmatrix}) \rightarrow (\begin{smallmatrix} B \\ B' \end{smallmatrix})$, and
- Each dyn'l system is a lens $(\begin{smallmatrix} S_i \\ S_i \end{smallmatrix}) \rightarrow (\begin{smallmatrix} A_i \\ A'_i \end{smallmatrix})$. Composing and multiplying...
- We get a dynamical system $(\begin{smallmatrix} S_1 \times \cdots \times S_n \\ S_1 \times \cdots \times S_n \end{smallmatrix}) \rightarrow (\begin{smallmatrix} B \\ B' \end{smallmatrix})$ in outer box.

WDs and discrete dynamical systems

A *discrete dynamical system* of type $\binom{A}{A'}$ consists of

- A set S
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This story of DS's and WD's existed years before I knew about lenses.

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Our category **Learn** is just **Para**(**Lens**).

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Can we do better?

Continuous dynamical systems?

Recall that a discrete dynamical system with inputs A' and outputs A is:

- A set S
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In other words, for every input a' and state s , a tangent vector at s .

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The two notions are quite similar, but can we see the latter as a lens?

Outline

1 Introduction

2 Some applications of lenses

3 **Generalizing lens categories**

- Another way to think about **Lens**
- Bundles
- Relationship between bundles and lenses
- Examples of generalized lenses

4 Conclusion

So how should I think about an object in Lens?

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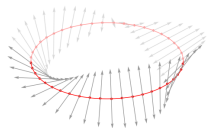
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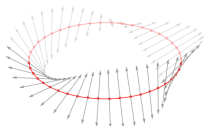
Suggestion: think of objects as “bundles.”

What are bundles?



The term *bundle* is most used in algebraic topology and algebraic geometry.

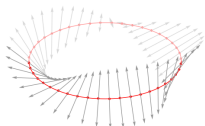
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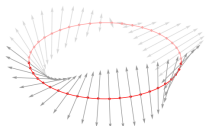
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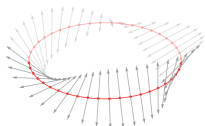
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A *trivial bundle* is one of the form $\pi_1: B \times B' \rightarrow B$ for some B' .

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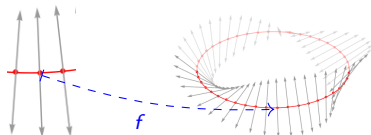
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The fiber over any $b_1 : B_1$ is that over its image, $(f^*E_2)(b_1) = E_2(f(b_1))$.



Morphisms of bundles

The usual sort of bundle morphism is just a commutative square

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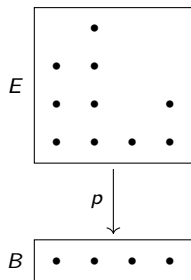
But in algebraic geometry, the arrow $E_1 \rightarrow f^*(E_2)$ is often reversed:

$$\begin{array}{ccc} f^*E_2 & \longrightarrow & E_2 \\ f^\# \swarrow & \lrcorner & \downarrow p_2 \\ E_1 & & \\ p_1 \searrow & & \\ B_1 & \xrightarrow{f} & B_2 \end{array} \quad \text{or simply} \quad \begin{array}{ccc} f^*E_2 & \longrightarrow & E_2 \\ f^\# \downarrow & \lrcorner & \downarrow p_2 \\ E_1 & & \\ p_1 \downarrow & & \\ B_1 & \xrightarrow{f} & B_2 \end{array}$$

There's a strong relationship between the AG-style maps and lenses.

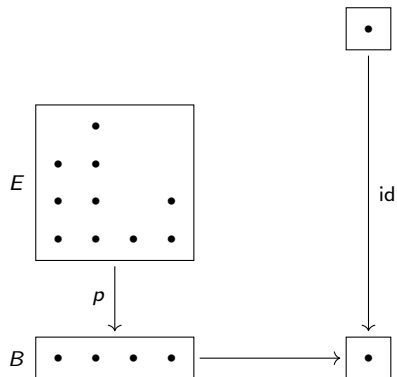
Example

Given a bundle $p: E \rightarrow B$, let's visualize a map to the bundle $1 \rightarrow 1$.



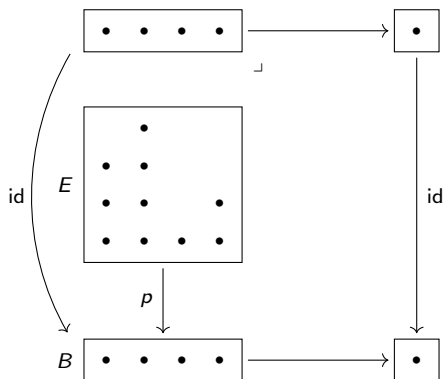
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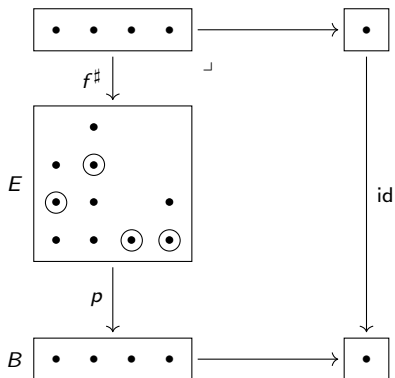
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We will see that **Lens** sits inside this category **Bund** of bundles.

- That is, there is a fully faithful functor **Lens** \rightarrow **Bund**.
- Send lens object $\begin{pmatrix} B \\ B' \end{pmatrix}$ to the trivial bundle (projection) $B \times B' \rightarrow B$.

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- Send morphism $\begin{pmatrix} f \\ f^\sharp \end{pmatrix} : \begin{pmatrix} B_1 \\ B'_1 \end{pmatrix} \rightarrow \begin{pmatrix} B_2 \\ B'_2 \end{pmatrix}$ to the bundle morphism:

$$\begin{array}{ccc} & B_1 \times B'_2 & \longrightarrow & B_2 \times B'_2 \\ & \downarrow \pi_1 & \lrcorner & \downarrow \\ B_1 \times B'_1 & & & \\ \downarrow \pi_1 & & & \\ B_1 & \xrightarrow{f} & B_2 & \end{array}$$

(Note: In the original image, a curved arrow labeled f^\sharp points from $B_1 \times B'_1$ to $B_1 \times B'_2$, and another curved arrow labeled π_1 points from $B_1 \times B'_1$ to B_1 .)

Such a map $f^\sharp : B_1 \times B'_2 \rightarrow B_1 \times B'_1$, — in order to commute with π_1 — has no choice on the B_1 factor. Thus it can be identified with a map $f^\sharp : B_1 \times B'_2 \rightarrow B'_1$.

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- Then define $\mathbf{Lens}_{\mathcal{E}}$ as a Grothendieck construction.
 - objects $\left\{ \left[\begin{array}{c} E \\ B \end{array} \right] \mid B: \mathcal{B}, E: \mathcal{E}(B) \right\}$
 - morphisms $\left[\begin{array}{c} f^\sharp \\ f \end{array} \right]: \left[\begin{array}{c} E_1 \\ B_1 \end{array} \right] \rightarrow \left[\begin{array}{c} E_2 \\ B_2 \end{array} \right]$, where $f: B_1 \rightarrow B_2$,
 $f^\sharp: f^*E_2 \rightarrow E_1$.

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We denote by $\left[\begin{smallmatrix} E \\ B \end{smallmatrix} \right]$ the bundle whose

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How to think about Lens

This suggests the following way of thinking of (generalized) lenses.

- An object $\begin{bmatrix} A' \\ A \end{bmatrix}$ consists of contexts and actions: $\begin{bmatrix} \text{actions} \\ \text{contexts} \end{bmatrix}$
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Examples: ringed spaces, cts dynamical systems, functorial view-update.

Ringed spaces

In algebraic geometry they study *ringed spaces* (X, \mathcal{O}_X) .

- Here X is a topological space and \mathcal{O}_X is a sheaf of rings on it.
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A *morphism* of ringed spaces $\begin{pmatrix} f \\ f^\# \end{pmatrix}: (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ is:

- A continuous map $f: X \rightarrow Y$
- A map of sheaves $f^*\mathcal{O}_Y \rightarrow \mathcal{O}_X$.

That is, it's a map $\begin{bmatrix} \mathcal{O}_X \\ X \end{bmatrix} \rightarrow \begin{bmatrix} \mathcal{O}_Y \\ Y \end{bmatrix}$.

Continuous dynamical systems

Recall: if A', A are manifolds, a *continuous dynamical system* is:

- A manifold S , (tangent bundle TS),
- A differentiable map $f^{\text{rdt}}: S \rightarrow A$,
- A differentiable map $f^{\text{dyn}}: S \times A' \rightarrow TS$

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But from the bundle perspective that commutative diagram is baked in.

$$\begin{array}{ccc}
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In other words the dynamical system is just a lens map $\left[\begin{smallmatrix} TS \\ S \end{smallmatrix} \right] \rightarrow \left[\begin{smallmatrix} A' \\ A \end{smallmatrix} \right]$

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$$\begin{array}{ccc}
 \sum_{I_1: B_1\text{-Inst}} Q_* I_1 / B_2\text{-Inst} & \longrightarrow & \sum_{I_2: B_2\text{-Inst}} I_2 / B_2\text{-Inst} \\
 \text{univ. construction above} \downarrow & \lrcorner & \downarrow \pi_1 \\
 \sum_{I_1: B_1\text{-Inst}} I_1 / B_1\text{-Inst} & & \\
 \pi_1 \downarrow & & \downarrow \\
 B_1\text{-Inst} & \xrightarrow{Q_*} & B_2\text{-Inst}
 \end{array}$$

This lens $\left[\begin{array}{c} -/B_1\text{-Inst} \\ B_1\text{-Inst} \end{array} \right] \rightarrow \left[\begin{array}{c} -/B_2\text{-Inst} \\ B_2\text{-Inst} \end{array} \right]$ does the expected view-update.

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So how can we see this in the general $\mathcal{E}: \mathcal{B}^{\text{op}} \rightarrow \mathbf{Cat}$ setup?

- Take $\mathcal{B} := \{\text{comonoids } (c, \epsilon, \delta) \text{ in } \mathcal{M}\}$
- Take $\mathcal{E}(c) := \mathbf{coKl}(c \otimes -)$, the coKleisli cat. of comonad $x \mapsto c \otimes x$.
- In $\left[\begin{smallmatrix} m \\ c \end{smallmatrix} \right]$, think of m as the product coalgebra $c \otimes m$, “trivial bundle”.

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Unexpected example of a lens-like category: twisted arrows.

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A morphism $\begin{bmatrix} E_1 \\ B_1 \end{bmatrix} \rightarrow \begin{bmatrix} E_2 \\ B_2 \end{bmatrix}$ in the twisted arrow category.

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A nice case: the slice functor: $\mathcal{B}/-: \mathcal{B}^{\text{op}} \rightarrow \mathbf{Cat}$

- This works if \mathcal{B} has pullbacks.
 - It sends $B \mapsto \mathcal{B}/B$, the category of bundles.
 - So an object $\begin{bmatrix} E \\ B \end{bmatrix} \in \mathbf{Lens}_{\mathcal{B}/-}$ is just a map $E \rightarrow B$.

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Formal properties of $\mathbf{Lens}_{\mathcal{E}}$

The properties of $\mathbf{Lens}_{\mathcal{E}}$ depend on choice of $\mathcal{E}: \mathcal{B}^{\text{op}} \rightarrow \mathbf{Cat}$.

- Always: get a “vertical-cartesian” factorization system.
 - Each $\begin{bmatrix} f^{\sharp} \\ f \end{bmatrix}: \begin{bmatrix} E_1 \\ B_1 \end{bmatrix} \rightarrow \begin{bmatrix} E_2 \\ B_2 \end{bmatrix}$ factors as $\begin{bmatrix} E_1 \\ B_1 \end{bmatrix} \rightarrow \begin{bmatrix} f^*E_2 \\ B_1 \end{bmatrix} \rightarrow \begin{bmatrix} E_2 \\ B_2 \end{bmatrix}$
- Always: if \mathcal{B} is an SMC and \mathcal{E} is lax monoidal, $\mathbf{Lens}_{\mathcal{E}}$ is an SMC.

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- ... then $\mathbf{Lens}_{\mathcal{B}/-}$ has excellent formal properties.
 - Complete, cocomplete, cartesian closed.
 - Initial alg's and final coalg's for polynomial endofunctors.
 - Another fact'n system: $\begin{bmatrix} f^{\sharp} \\ f \end{bmatrix}$ factors as $\begin{bmatrix} E_1 \\ B_1 \end{bmatrix} \rightarrow \begin{bmatrix} f_*E_1 \\ B_2 \end{bmatrix} \rightarrow \begin{bmatrix} E_2 \\ B_2 \end{bmatrix}$

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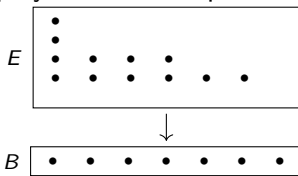
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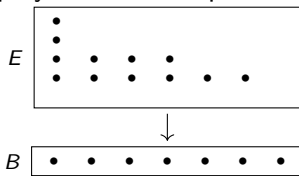
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- And they have the same morphisms too: $\mathbf{Poly}_{\mathcal{B}} \cong \mathbf{Lens}_{\mathcal{B}/-}$.

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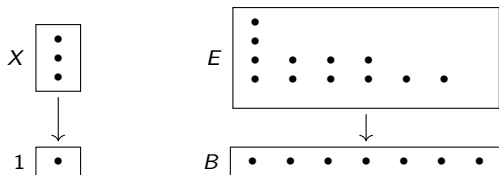
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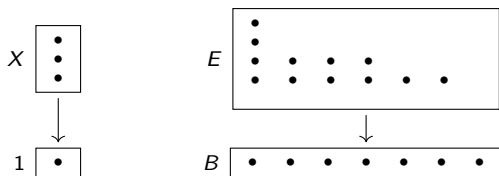
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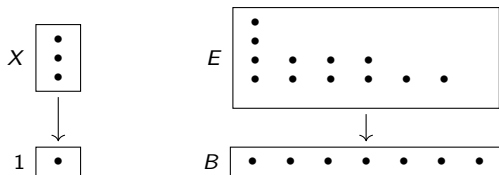
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- The functor acts on a lens $\begin{bmatrix} E \\ B \end{bmatrix} \rightarrow \begin{bmatrix} E' \\ B' \end{bmatrix}$ by composing with it.

Outline

- 1 Introduction
- 2 Some applications of lenses
- 3 Generalizing lens categories
- 4 Conclusion**

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Thanks; comments and questions welcome!