THE p-ADIC CLOSURE OF A SUBGROUP OF RATIONAL POINTS ON A COMMUTATIVE ALGEBRAIC GROUP

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ABSTRACT. Let G be a commutative algebraic group over \mathbb{Q} . Let Γ be a subgroup of $G(\mathbb{Q})$ contained in the union of the compact subgroups of $G(\mathbb{Q}_p)$. We formulate a guess for the dimension of the closure of Γ in $G(\mathbb{Q}_p)$, and show that its correctness for certain tori is equivalent to Leopoldt's conjecture.

1. Introduction

- 1.1. **Notation.** Let \mathbb{Q} be the field of rational numbers. Let p be a prime, and let \mathbb{Q}_p be the corresponding completion of \mathbb{Q} . Let \mathbb{Z}_p be the completion of \mathbb{Z} at p. If K is a number field, then \mathcal{O}_K is the ring of the integers, and for any finite set S of places, $\mathcal{O}_{K,S}$ is the ring of S-integers. If G is a group (or group scheme), then $H \leq G$ means that H is a subgroup (or subgroup scheme) of G. If A is an integral domain with fraction field K, and M is an A-module, then $\mathrm{rk}_A M$ is the dimension of the K-vector space $M \otimes_A K$; we write $\mathrm{rk}_{\mathbb{Z}}$.
- 1.2. The logarithm map for a p-adic Lie group. Let G be a finite-dimensional commutative Lie group over \mathbb{Q}_p (see [Bou98, III.§1] for terminology). The Lie algebra Lie G is the tangent space of G at the identity. So Lie G is a \mathbb{Q}_p -vector space of dimension dim G. Let G_f be the union of the compact subgroups of G. By [Bou98, III.§7.6], G_f is an open subgroup of G, and there is a canonical homomorphism

$$\log \colon G_f \to \operatorname{Lie} G$$

defined first on a sufficiently small compact open subgroup by formally integrating translation-invariant 1-forms, and then extended by linearity. Moreover, log is a local diffeomorphism, and its kernel is the torsion subgroup of G_f . It behaves functorially in G.

Examples 1.1.

- (i) If $G = \mathbb{Q}_p$ (the additive group), then $G_f = \mathbb{Q}_p$, and log is an isomorphism. In this example, G_f is not compact.
- (ii) If $G = \mathbb{Q}_p^{\times}$, then $G_f = \mathbb{Z}_p^{\times}$.
- (iii) If $G = A(\mathbb{Q}_p)$ for an abelian variety A over \mathbb{Q}_p , then $G_f = G$.

Date: November 30, 2007.

²⁰⁰⁰ Mathematics Subject Classification. Primary 14L10; Secondary 11E95, 14G05, 14G20, 22E35.

Key words and phrases. p-adic logarithm, rational points, algebraic group, Chabauty's method, Leopoldt's conjecture.

This research was supported by NSF grant DMS-0301280.

1.3. Dimension of an analytic subgroup. Let Γ be a finitely generated subgroup of G_f . Then $\log \Gamma \subseteq \operatorname{Lie} G$ is a finitely generated abelian group of the same rank. The closure $\log \Gamma = \log \Gamma$ with respect to the p-adic topology equals the \mathbb{Z}_p -submodule of Lie G spanned by $\log \Gamma$, so it is a finitely generated \mathbb{Z}_p -module. Define

$$\dim \overline{\Gamma} := \operatorname{rk}_{\mathbb{Z}_n} \overline{\log \Gamma}.$$

This agrees with the dimension of $\overline{\Gamma}$ viewed as a Lie group over \mathbb{Q}_p .

- 1.4. Rational points. Now let G be a commutative group scheme of finite type over \mathbb{Q} . Fix a prime p. Define $G(\mathbb{Q})_f := G(\mathbb{Q}) \cap G(\mathbb{Q}_p)_f$. We specialize the previous sections to the Lie group $G(\mathbb{Q}_p)$ and to a finitely generated subgroup Γ of $G(\mathbb{Q})_f$. Our goal is to predict the value of dim $\overline{\Gamma}$.
- 1.5. **Applications.** The value of dim $\overline{\Gamma}$ is important for a few reasons:
 - (i) If C is a curve of genus $g \geq 2$ over \mathbb{Q} embedded in its Jacobian J, then the condition $\dim J(\mathbb{Q}) < q$ is necessary for the application of Chabauty's method, which attempts to calculate C(k) or at least bound its size [Cha41, Col85].
 - (ii) Leopoldt's conjecture on p-adic independence of units in a number field predicts dim Γ in a special case: see Corollary 5.3 in Section 5. Leopoldt's conjecture is important because it governs the abelian extensions of K of p-power degree.
- 1.6. Outline of the paper. Section 2 axiomatizes some of the properties of dim Γ in order to identify possible candidates for its value. Section 3 defines a "maximal" function $d(\Gamma)$ satisfying the same axioms and Question 3.3 asks whether it always equals dim Γ . Section 4 shows that $\dim \Gamma$ and $d(\Gamma)$ share many other properties. Section 5 computes $d(\Gamma)$ for subgroups of integer points on tori, and shows that a positive answer to Question 3.3 for certain tori would imply Leopoldt's conjectures. We end with further open questions.

2. Dimension functions

Let \mathcal{G} be the set of pairs (G,Γ) where G is a commutative group scheme of finite type over \mathbb{Q} and Γ is a finitely generated subgroup of $G(\mathbb{Q})_f$.

Definition 2.1. A dimension function is a function $\partial \colon \mathcal{G} \to \mathbb{Z}_{>0}$ satisfying

- (1) If $\Gamma \leq H(\mathbb{Q})_f$ for some subgroup scheme $H \leq G$, then $\partial(H,\Gamma) = \partial(G,\Gamma)$. (Because of this, we generally write $\partial(\Gamma)$ instead of $\partial(G,\Gamma)$.)
- (2) $\partial(\Gamma) < \operatorname{rk}\Gamma$.
- (3) If $H \leq G$ and Γ'' is the image of Γ in $(G/H)(\mathbb{Q})_f$, then $\partial(\Gamma) \leq \dim H + \partial(\Gamma'')$.

Proposition 2.2. The expression dim $\overline{\Gamma}$ is a dimension function.

Proof. Since $H(\mathbb{Q}_p)$ is closed in $G(\mathbb{Q}_p)$, the closure of Γ in $H(\mathbb{Q}_p)$ equals the closure of Γ in $G(\mathbb{Q}_p)$; therefore (1) holds. The fact that $\overline{\log \Gamma}$ is the \mathbb{Z}_p -submodule spanned by $\log \Gamma$ gives the middle step in

$$\dim \overline{\Gamma} = \operatorname{rk}_{\mathbb{Z}_n} \overline{\log \Gamma} \le \operatorname{rk}(\log \Gamma) \le \operatorname{rk} \Gamma,$$

so (2) holds. Finally, by continuity, the image of $\overline{\Gamma}$ in $(G/H)(\mathbb{Q}_p)$ equals $\overline{\Gamma''}$, so we have an exact sequence

$$0 \to \overline{\Gamma} \cap H \to \overline{\Gamma} \to \overline{\Gamma''} \to 0.$$

Taking dimensions of p-adic Lie groups and observing that the group on the left has dimension at most dim H yields (3).

3. The guess

With notation as before, define

$$d(G,\Gamma) := \inf_{H < G} \left(\dim H + \operatorname{rk} \Gamma - \operatorname{rk}(\Gamma \cap H) \right),$$

where the infimum is over all subgroup schemes $H \leq G$.

Proposition 3.1. The function d is a dimension function, and any dimension function ∂ satisfies $\partial \leq d$.

Proof. First we check that d is a dimension function:

(1) Suppose $G' \leq G$ and $\Gamma \leq G'(\mathbb{Q})_f$. If $H \leq G'$ then the subgroup $H' := H \cap G'$ satisfies $\dim H' \leq \dim H$ and $\Gamma \cap H' = \Gamma \cap H$, so

$$\dim H' + \operatorname{rk} \Gamma - \operatorname{rk}(\Gamma \cap H') \le \dim H + \operatorname{rk} \Gamma - \operatorname{rk}(\Gamma \cap H).$$

Therefore the infimum in the definition of $d(G,\Gamma)$ is attained for some $H \leq G'$, so $d(G,\Gamma) = d(G',\Gamma)$.

- (2) The $H = \{0\}$ term in the infimum is $0 + \operatorname{rk} \Gamma 0$, so $d(\Gamma) \leq \operatorname{rk} \Gamma$.
- (3) Let K'' be the subgroup of G/H realizing the infimum defining $d(\Gamma'')$. Let K be the inverse image of K'' under $G \to G/H$. Then $\Gamma'' \cap K''$ is a homomorphic image of $\Gamma \cap K$, so $\operatorname{rk}(\Gamma'' \cap K'') \leq \operatorname{rk}(\Gamma \cap K)$ and

$$\begin{split} d(\Gamma) & \leq \dim K + \operatorname{rk} \Gamma - \operatorname{rk}(\Gamma \cap K) \\ & = \dim H + \dim K'' + \operatorname{rk} \Gamma - \operatorname{rk}(\Gamma \cap K) \\ & \leq \dim H + \dim K'' + \operatorname{rk} \Gamma - \operatorname{rk}(\Gamma'' \cap K'') \\ & = \dim H + d(\Gamma''). \end{split}$$

Now we check that any dimension function ∂ satisfies $\partial \leq d$. If $H \leq G$ and Γ'' is the image of Γ in $(G/H)(\mathbb{Q})$, then properties (3) and (2) for ∂ and the isomorphism $\Gamma'' \simeq \Gamma/(\Gamma \cap H)$ yield

$$\partial(\Gamma) \le \dim H + \partial(\Gamma'') \le \dim H + \operatorname{rk}\Gamma'' = \dim H + \operatorname{rk}\Gamma - \operatorname{rk}(\Gamma \cap H).$$

This holds for all H, so $\partial(\Gamma) \leq d(\Gamma)$.

Corollary 3.2. We have dim $\overline{\Gamma} \leq d(\Gamma)$.

Proposition 3.1 shows that the function $d(\Gamma)$ gives the largest guess for dim $\overline{\Gamma}$ compatible with the elementary inequalities based on rank and the dimension of the group. Therefore we ask:

Question 3.3. Does dim $\overline{\Gamma} = d(\Gamma)$ always hold?

In other words, are rational points p-adically independent whenever dependencies are not forced by having a subgroup of too high rank inside an algebraic subgroup?

4. Further properties shared by both dimension functions

Proposition 4.1. Let $\partial(\Gamma)$ denote either dim $\overline{\Gamma}$ or $d(\Gamma)$. Then

- (i) If $\Gamma' \leq \Gamma \leq G(\mathbb{Q})_f$, then $\partial(\Gamma') \leq \partial(\Gamma)$.
- (ii) If $G \to G''$ is a homomorphism and $\Gamma \leq G(\mathbb{Q})_f$, then the image Γ'' in $G''(\mathbb{Q})$ is contained in $G''(\mathbb{Q})_f$ and $\partial(\Gamma'') \leq \partial(\Gamma)$.
- (iii) If $G \to G''$ has finite kernel and $\Gamma \leq G(\mathbb{Q})$, then Γ is contained in $G(\mathbb{Q})_f$ if and only if its image Γ'' in $G''(\mathbb{Q})$ belongs to $G''(\mathbb{Q})_f$; in this case, $\partial(\Gamma) = \partial(\Gamma'')$.
- (iv) Suppose that Γ_1, Γ_2 are commensurable subgroups of $G(\mathbb{Q})$; i.e., $\Gamma_1 \cap \Gamma_2$ has finite index in both Γ_1 and Γ_2 . Then $\Gamma_1 \leq G(\mathbb{Q})_f$ if and only if $\Gamma_2 \leq G(\mathbb{Q})_f$; in this case, $\partial(\Gamma_1) = \partial(\Gamma_2)$.
- (v) If $\Gamma_i \leq G_i(\mathbb{Q})_f$ for i = 1, 2, then $\Gamma_1 \times \Gamma_2 \leq (G_1 \times G_2)(\mathbb{Q})_f$ and $\partial(\Gamma_1 \times \Gamma_2) = \partial(\Gamma_1) + \partial(\Gamma_2)$.
- (vi) If $\Gamma_1, \Gamma_2 \leq G(\mathbb{Q})_f$, then $\partial(\Gamma_1 + \Gamma_2) \leq \partial(\Gamma_1) + \partial(\Gamma_2)$.
- (vii) If $\operatorname{rk} \Gamma = 1$, then $\partial(\Gamma) = 1$.
- (viii) If $G \simeq \mathbb{G}_a^n$, then $\partial(\Gamma) = \operatorname{rk} \Gamma$.

Proof.

- (i) For $\partial(\Gamma) := \dim \overline{\Gamma}$ the result is obvious. For $d(\Gamma)$ it follows since $\operatorname{rk} \Gamma \operatorname{rk}(\Gamma \cap H)$ equals the rank of the image of Γ in G/H.
- (ii) We have $\Gamma'' \leq G''(\mathbb{Q})_f$ by functoriality. For dim $\overline{\Gamma}$, the inequality follows since $\overline{\log \Gamma}$ surjects onto $\overline{\log \Gamma''}$. For $d(\Gamma)$, if $H \leq G$ and H'' is its image in G'', then the subgroup $\Gamma/(\Gamma \cap H)$ of G/H surjects onto the subgroup $\Gamma''/(\Gamma'' \cap H'')$ of G''/H'', and this implies the second inequality in

$$d(\Gamma'') \le \dim H'' + \operatorname{rk} \Gamma'' - \operatorname{rk}(\Gamma'' \cap H'')$$

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This holds for all $H \leq G$, so $d(\Gamma'') \leq d(\Gamma)$.

- (iii) The map of topological spaces $G(\mathbb{Q}_p) \to G''(\mathbb{Q}_p)$ is proper, so the inverse image of $G''(\mathbb{Q}_p)_f$ is contained in $G(\mathbb{Q}_p)_f$; this gives the first statement. To prove $\partial(\Gamma) = \partial(\Gamma'')$, first use (1) to assume that $G \to G''$ is surjective, so G'' = G/H for some finite $H \leq G$. By (ii), $\partial(\Gamma'') \leq \partial(\Gamma)$. By (3), $\partial(\Gamma) \leq \dim H + \partial(\Gamma'') = \partial(\Gamma'')$. Thus $\partial(\Gamma) = \partial(\Gamma'')$.
- (iv) We may reduce to the case in which Γ_1 is a finite-index subgroup of Γ_2 . Let $n = (\Gamma_2 : \Gamma_1)$, so $n\Gamma_1 \leq \Gamma_2 \leq \Gamma_1$. If $\Gamma_1 \leq G(\mathbb{Q})_f$, then $\Gamma_2 \leq G(\mathbb{Q})_f$. Conversely, if $\Gamma_2 \leq G(\mathbb{Q})_f$, then $n\Gamma_1 \leq G(\mathbb{Q})_f$, so $\Gamma_1 \leq G(\mathbb{Q})_f$ by (iii) applied to $G \xrightarrow{n} G$. In this case, (iii) gives $\partial(n\Gamma_1) = \partial(\Gamma_1)$, and (ii) implies that both equal $\partial(\Gamma_2)$.
- (v) Let $\Gamma := \Gamma_1 \times \Gamma_2$. Since a product of compact open subgroups is a compact open subgroup, we have $G_1(\mathbb{Q}_p)_f \times G_2(\mathbb{Q}_p)_f \leq (G_1 \times G_2)(\mathbb{Q}_p)_f$. (In fact, equality holds.) Thus $\Gamma \leq (G_1 \times G_2)(\mathbb{Q})_f$. The equality dim $\overline{\Gamma} = \dim \overline{\Gamma_1} \times \dim \overline{\Gamma_2}$ follows from the definitions. To prove the corresponding equality for d, we must show that the infimum in the definition of $d(\Gamma)$ is realized for an H of the form $H_1 \times H_2$ with $H_i \leq G_i$. Suppose instead that $K \leq G_1 \times G_2$ realizes the infimum. Let $\pi_1 : G_1 \times G_2 \to G_1$ be the first projection. Let $H_1 = \pi_1(K)$. Let $H_2 = \ker(\pi_1|_K)$; view H_2 as a subgroup

scheme of G_2 . Let $H = H_1 \times H_2$. Thus dim $K = \dim H$. The exact sequence

$$0 \to \Gamma_2 \cap H_2 \to \Gamma \cap K \xrightarrow{\pi_1} \Gamma_1 \cap H_1$$

shows that $\operatorname{rk}(\Gamma \cap K) \leq \operatorname{rk}(\Gamma \cap H)$, so

$$\dim H + \operatorname{rk} \Gamma - \operatorname{rk}(\Gamma \cap H) \le \dim K + \operatorname{rk} \Gamma - \operatorname{rk}(\Gamma \cap K).$$

Thus H too realizes the infimum in the definition of $d(\Gamma)$, as desired.

- (vi) Apply (ii) to the addition homomorphism $G \times G \to G$ and $\Gamma := \Gamma_1 \times \Gamma_2$, and use (v).
- (vii) We have $\partial(\Gamma) \leq 1$ by (2). If $\dim \overline{\Gamma} = 0$, then the finitely generated torsion-free \mathbb{Z}_p module $\overline{\log \Gamma}$ is of rank 0, so it is 0; therefore $\Gamma \subseteq \ker \log$, so Γ is torsion, contradicting
 the hypothesis $\operatorname{rk} \Gamma = 1$. If $d(\Gamma) = 0$, then there exists $H \leq G$ with $\dim H = 0$ and $\operatorname{rk} \Gamma = \operatorname{rk}(\Gamma \cap H)$; then H is finite, so $\operatorname{rk}(\Gamma \cap H) = 0$ and $\operatorname{rk}(\Gamma) = 0$, contradicting the
 hypothesis.
- (viii) By applying an element of $GL_n(\mathbb{Q}) = \operatorname{Aut} \mathbb{G}_a^n$, we may assume that $\Gamma = \mathbb{Z}^r \times \{0\}^{n-r} \le \mathbb{Q}^n = \mathbb{G}_a^n(\mathbb{Q})$, where $r := \operatorname{rk} \Gamma$. Using (v), we reduce to the case n = 1. If r = 0, then the result is trivial. If r = 1, use (vii).

5. Tori

Lemma 5.1. Let K be a Galois extension of \mathbb{Q} . Let $\mathcal{G} := \operatorname{Gal}(K/\mathbb{Q})$. Then the representation $\mathcal{O}_K^{\times} \otimes \mathbb{C}$ of \mathcal{G} is a subquotient of the regular representation.

Proof. Define the \mathcal{G} -set $E := \operatorname{Hom}_{\mathbb{Q}\text{-algebras}}(K, \mathbb{C})$ of embeddings and the \mathcal{G} -set P of archimedean places of K, the difference being that conjugate complex embeddings are identified in P. Then E is a principal homogeneous space of \mathcal{G} , and there is a natural surjection $E \to P$. Therefore \mathbb{C}^E is the regular representation and the permutation representation \mathbb{C}^P is a quotient of \mathbb{C}^E . The proof of the Dirichlet unit theorem gives a \mathcal{G} -equivariant exact sequence

$$0 \to \mathcal{O}_K^{\times} \otimes \mathbb{R} \stackrel{\log}{\to} \mathbb{R}^P \to \mathbb{R} \to 0$$

so $\mathcal{O}_K^{\times} \otimes \mathbb{C}$ is a subrepresentation of \mathbb{C}^P .

Proposition 5.2. Let \mathcal{T} be a group scheme of finite type over \mathbb{Z} whose generic fiber $T := \mathcal{T} \times \mathbb{Q}$ is a torus. Then

- (a) $\mathcal{T}(\mathbb{Z})$ is a finitely generated abelian group.
- (b) $\operatorname{rk} \mathcal{T}(\mathbb{Z}) \leq \dim T$.
- (c) If $\Gamma \leq \mathcal{T}(\mathbb{Z})$, then $d(T, \Gamma) = \operatorname{rk} \Gamma$.

Proof.

- (a) For some number field K and set S of places of K, we have $\mathcal{T} \times \mathcal{O}_{K,S} \simeq (\mathbb{G}_m)_{\mathcal{O}_{K,S}}^n$, so $\mathcal{T}(\mathcal{O}_{K,S})$ is finitely generated by the Dirichlet S-unit theorem. Therefore the subgroup $\mathcal{T}(\mathbb{Z})$ is finitely generated.
- (b) We may assume that K is Galois over \mathbb{Q} . Let $\mathcal{G} = \operatorname{Gal}(K/\mathbb{Q})$. Let X be the character group $\operatorname{Hom}(T_K, (\mathbb{G}_m)_K)$ of T. Let χ_X be the character of the representation $X \otimes \mathbb{C}$ of \mathcal{G} . Let χ_K be the character of the representation $\mathcal{O}_K^{\times} \otimes \mathbb{C}$ of \mathcal{G} . By Theorem 6.7 and Corollary 6.9 of [Eis03], $\operatorname{rk} \mathcal{T}(\mathbb{Z}) = (\chi_X, \chi_K)$. On the other hand, $\dim T = \operatorname{rk} X = (\chi_X, \chi_{\operatorname{reg}})$, where $\chi_{\operatorname{reg}}$ is the character of the regular representation of \mathcal{G} . The result now follows from Lemma 5.1.

(c) First, $\mathcal{T}(\mathbb{Z})$ is contained in the compact open subgroup $\mathcal{T}(\mathbb{Z}_p)$ of $T(\mathbb{Q}_p)$, so $d(T,\Gamma)$ is defined. By (2), $d(T,\Gamma) \leq \operatorname{rk} \Gamma$. To prove the opposite inequality, we must show that for every subgroup scheme $H \leq T$, we have $\operatorname{rk}(\Gamma \cap H) \leq \dim H$. By replacing H by its connected component of the identity, we may assume that H is a subtorus of T. Let \mathcal{H} be the Zariski closure of H in \mathcal{T} . Then $\Gamma \cap H \leq \mathcal{H}(\mathbb{Z})$, so $\operatorname{rk}(\Gamma \cap H) \leq \operatorname{rk} \mathcal{H}(\mathbb{Z}) \leq \dim H$ by (b).

Corollary 5.3. Let K be a number field. Let T be the restriction of scalars $\operatorname{Res}_{K/\mathbb{Q}} \mathbb{G}_m$. Let $\Gamma \leq T(\mathbb{Q}) \simeq K^{\times}$ correspond to \mathcal{O}_K^{\times} . Then Leopoldt's conjecture is equivalent to a positive answer to Question 3.3 for Γ .

Proof. Leopoldt's conjecture is the statement dim $\overline{\Gamma} = \operatorname{rk} \Gamma$. Let $\mathcal{T} = \operatorname{Res}_{\mathcal{O}_K/\mathbb{Z}} \mathbb{G}_m$. By Proposition 5.2(c) applied to \mathcal{T} , $d(T,\Gamma) = \operatorname{rk} \Gamma$. So Leopoldt's conjecture is equivalent to dim $\overline{\Gamma} = d(T,\Gamma)$.

Remark 5.4. In effect, we have shown that Leopoldt's conjecture cannot be disproved simply by finding a subtorus H of $\operatorname{Res}_{K/\mathbb{Q}} \mathbb{G}_m$ containing a subgroup of integer points of rank greater than dim H. This seems to have been known to experts, but we could not find a published proof.

6. Further questions

Question 6.1. Is $d(\Gamma)$ computable in terms of G and generators for Γ ?

Question 6.2. If the answer to Question 6.1 is positive, can dim $\overline{\Gamma} = d(\Gamma)$ be verified in each instance where it is true?

Question 6.3. Can one define a plausible generalization of $d(\Gamma)$ for the analogous situation where \mathbb{Q} and \mathbb{Q}_p are replaced a number field k and some nonarchimedean completion k_v ?

Remark 6.4. Applying restriction of scalars from k to \mathbb{Q} and then applying d does not answer Question 6.3: it would instead predict the dimension of the closure of Γ in the product $\prod_{v|p} G(k_v)$ instead of in a single $G(k_v)$.

Remark 6.5. If G be a commutative group scheme of finite type over \mathbb{Q} , we can consider also $G(\mathbb{R})$, and define $G(\mathbb{R})_f$ and $G(\mathbb{Q})_f$. The closure $\overline{\Gamma}$ of any subgroup $\Gamma \leq G(\mathbb{Q})_f$ in $G(\mathbb{R})$ is a real Lie group. The natural guess for dim $\overline{\Gamma}$ seems now to be that it equals the dimension of the Zariski closure H of Γ in G; in other words, $\overline{\Gamma}$ should be open in $H(\mathbb{R})$. See [Maz92, §7] for a discussion of the abelian variety case.

ACKNOWLEDGEMENTS

I thank Robert Coleman for suggesting the reference [Bou98, III.§7.6].

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