



# Green synthesis, biomedical and biotechnological applications of carbon and graphene quantum dots. A review

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## Abstract

Carbon and graphene quantum dots are prepared using top-down and bottom-up methods. Sustainable synthesis of quantum dots has several advantages such as the use of low-cost and non-toxic raw materials, simple operations, expeditious reactions, renewable resources and straightforward post-processing steps. These nanomaterials are promising for clinical and biomedical sciences, especially in bioimaging, diagnosis, bioanalytical assays and biosensors. Here we review green methods for the fabrication of quantum dots, and biomedical and biotechnological applications.

**Keywords** Quantum dots · Carbon dots · Graphene quantum dots · Biomedical applications · Cancer · Diagnosis · Bioimaging · Biotechnological applications · Sustainable synthesis

## Introduction

Carbonaceous and carbon-based nanomaterials have been attracting a significant research interest because of their exclusive characteristics, including good biocompatibility, non-toxicity, high mechanical/thermal properties, and relative ease of functionalization (Irvani 2011; Irvani and Varma 2019a, b; Mohammadinejad et al. 2016, 2019; Varma 2012, 2014a, b, 2016, 2019). Carbon dots are referred to as fluorescent carbon due to their strong characteristics which up on comparison with the traditional fluorescent dyes exhibit superior fluorescence including photostability, resistance to photobleaching and non-blinking (Xu et al. 2004). Additionally, carbon dots have promising characteristics, including water solubility, low toxicity, high chemical stability, easy derivatization. Due to all these unique traits, carbon dots have found important and widespread

applications in biotechnology, energy, catalysis, biological labeling, bioimaging, and gene/drug delivery (Fig. 1 and Table 1) (Baker and Baker 2010; Shen et al. 2012; Cao et al. 2007; Yang et al. 2009a; Wang et al. 2013b). For instance, thermally reduced carbon dot-based fluorescence resonance energy transfer sensor was fabricated for sensitive, cost-effective, simple, and selective determination of vitamin B12 ( $\sim 1\text{--}12 \mu\text{g ml}^{-1}$ ) in aqueous solutions (Wang et al. 2015a). Additionally, uniform oxygen and sulfur co-doped graphitic carbon nitride quantum dots were produced with significant blue photoluminescence, and good stability which can be applied for cellular imaging with acceptable biocompatibility, and exhibited potential as fluorescent probe for bioimaging and biosensing purposes (Lu et al. 2015).

Carbon dots are traditionally defined as a class of core-shell composites comprising a carbon core and surface passivation with various functional groups, including hydroxyl, carboxyl, and amine, etc., which renders them hydrophilic and facilitate various surface functionalization and passivation. Surface passivation is usually attained by the production of a thin insulating layer of oligomeric polyethylene glycol on an acid-treated carbon dot surface; high fluorescence intensities and high quantum yield of carbon dots can be achieved with an effective surface passivation (Lim et al. 2015). For instance, carbon quantum dots were prepared with quantum yields as high as 60% via passivation with polyethylene glycol<sub>1500N</sub> (Wang et al. 2010). Additionally, polyethylenimine (Liu et al. 2012), boronic acid (Shen

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**Fig. 1** Sustainable and eco-friendly syntheses of quantum dots as well as their important biomedical and biotechnological applications. QDs: quantum dots, CQDs: Carbon quantum dots, GQDs: Graphene quantum dots

and Xia 2014),  $\text{NH}_2$ -polyethylene-glycol and N-acetyl-L-cysteine (Gonçalves et al. 2010) have been applied for functionalizing carbon dots for application in gene delivery and bioimaging, blood sugar sensing and Hg(II) sensing (Liu et al. 2016).

Post-synthetic modifications of carbon dots are crucial as the introduction of functional groups, such as amines and carboxyl's, can impose various defects on the carbon dot surface which operate as excitation energy traps and lead to large variations in fluorescence emissions. Surface passivation with functional groups not only produces the surface defects from which the fluorescence originates but also provides potential reactive sites for modification reactions envisioned for specific tasks. By applying these reactive groups, a variety of specific organic, polymeric, inorganic and biomaterials can be affixed to the surfaces of carbon dots through covalent and hydrogen bonds and electrostatic interactions, serving as platforms for specific sensing, drug delivery and other explicit tasks (Liu et al. 2016).

Carbon and graphene quantum dots exhibit astounding attributes to current electrochemical biosensing because of their remarkable solubility in various solvents, intrinsic low toxicity, high electronic characteristics, strong chemical inertness, large specific surface area, availability of abundant edge sites for functionalization, significant biocompatibility, low cost, and versatility, as well as their ability to be altered with significant surface chemistries including nanostructured materials (Campuzano et al. 2019). These quantum dots can be applied as signal tags or electrode surface modifiers to produce electrochemical biosensing (Campuzano et al. 2019).

Various chemical precursors have been detected for generating carbon dots, including ammonium citrate (Fang et al. 2017), ethylene glycol (Jaiswal et al. 2012), citric acid (Schneider et al. 2017), ethylene diamine tetra acetic acid (EDTA) (Liu et al. 2017a), phytic acid (Wang et al. 2013d), phenylenediamine (Vedamalai et al. 2014), thiourea (Wang et al. 2016a), carbon nanotube (Shinde and Pillai 2012) and graphite (Joseph and Anappara 2017). Meanwhile, diverse

green carbon precursors have been applied for the production of carbon dots including fruits, their juices and fruit peels (Mehta et al. 2015), animal and animal-derived materials such as chicken egg (Zhang et al. 2015c) and silkworm (Feng et al. 2016), vegetables and spices (Yin et al. 2013), waste kitchen materials like frying oil (Xu et al. 2015) or waste paper (Wei et al. 2014), plant leaves and derivatives (Feng et al. 2015b), among others. Additionally, graphite, nanodiamond, carbon nanotube and active-carbon can be applied as precursor for fabrication of carbon dots (Das et al. 2018).

Generally, carbon dots can be fabricated using top-down and bottom-up strategies (Fig. 2) (Sharma and Das 2019; Wang and Hu 2014). The top-down approaches, by which carbon dots are generally formed through the chemical or physical cutting procedures of relatively microscopic carbon structures, comprise arc discharge (Xu et al. 2004), laser ablation/passivation (Yang et al. 2009b; HU et al. 2009a, 2009b; Li et al. 2010b), electrochemical synthesis, (Ming et al. 2012; Zhou et al. 2007), and chemical oxidation (Qiao et al. 2010); most common representative sources for these techniques being carbon nanotube and graphite. Some drawbacks of top-down approaches for synthesizing carbon quantum dots are the requirements of expensive materials and setups, harsh reaction conditions, and longer reaction times (Sharma and Das 2019).

In bottom-up approaches, carbon dots are transformed from suitable molecular precursors under certain conditions exemplified by combustion/thermal/hydrothermal (Zhang et al. 2017; Liu et al. 2007) and microwave/ultrasonic irradiation (Tang et al. 2012; Wang et al. 2011b; Li et al. 2011) wherein the precursors exhibit lower requirements of carbon sources. Additionally, the other preparative strategies have been suggested, including plasma treatment (Jiang et al. 2009), supported synthesis (Bourlinos et al. 2008; Liu et al. 2009; Zong et al. 2011), solution chemistry approaches (Wang et al. 2011a; Hamilton et al. 2011; Mueller et al. 2010; Liu et al. 2011), and cage-opening of fullerenes (Lu et al. 2011). The produced carbon dots, regardless of their

**Table 1** Examples of carbon dots with key applications

Sources	Synthetic approaches	Size (nm)	Applications	References
Apple juice	Hydrothermal	4.5	Imaging of mycobacterium and fungal cells	(Mehta et al. 2015)
Bee pollens	Hydrothermal	1–2	Cellular imaging and catalysis	(Zhang et al. 2015b)
Beer	Gel filtration chromatography	2.5	Breast cancer cell imaging and drug delivery	(Wang et al. 2015c)
Bloomed algae	Microwave	8	In vitro imaging	(Ramanan et al. 2016)
Carrot	Hydrothermal	2.3	Drug delivery	(D'souza et al. 2018)
<i>Chionanthus retusus</i>	Hydrothermal	5	Metal ion sensing and imaging of fungal cells	(Atchudan et al. 2017)
Coriander leaves	Hydrothermal	2.4	Sensing of Fe <sup>3+</sup> and cellular imaging	(Sachdev and Gopinath 2015)
Date kernel	Hydrothermal	2.5	Sensing of drugs and cellular imaging	(Amin et al. 2018)
Food waste-derived	Ultrasonic	4.6	<i>In vitro</i> bioimaging	(Park et al. 2014)
Garlic	Hydrothermal	11	Cellular imaging and free radical scavenging	(Zhao et al. 2015)
Glycerin and polyethylene glycol	Microwave	3–4	Nitrite sensing	(Lin et al. 2011)
Grape seed	Microwave	1–8	Nucleus imaging and pH sensing	(Kumawat et al. 2017a)
Honey	Solvothermal	2	Sensing Fe <sup>+3</sup> and imaging of Hep-2 and Hela cells	(Yang et al. 2014)
Kidney beans	Hydrothermal	20–30	Cellular imaging	(Tripathi et al. 2017)
Latex	Microwave	2–8	Metal sensing and cellular imaging	(Balajia et al. 2018)
Lemon juice	Hydrothermal	50	Optoelectronics and bioimaging	(Hoan et al. 2019)
Lignin biomass	Ultrasonic and hydrothermal	2–6	Cellular imaging	(Ding et al. 2018)
Lotus root	Microwave	9.41	Heavy metal ion detection and cellular imaging	(Gu et al. 2016)
Mango leaves	Microwave	2–8	Cellular imaging and Temperature sensors	(Kumawat et al. 2017b)
Mangosteen pulp	Hydrothermal	5	Sensing of Fe <sup>3+</sup> and cellular imaging	(Yang et al. 2017a)
Onion waste	Hydrothermal	15	Sensing of Fe <sup>3+</sup> and cellular imaging	(Bandi et al. 2016)
Papaya juice	Hydrothermal	3	Cellular imaging	(Kasibabu et al. 2015)
<i>Prunus mume</i>	Hydrothermal	9	Cellular imaging	(Atchudan et al. 2016b)
<i>Prunus persica</i>	Hydrothermal	8	Cellular imaging and oxygen reduction reaction	(Atchudan et al. 2016a)
Pseudo-stem of banana	Hydrothermal	1–3	Sensing Fe <sup>+3</sup> , Imaging of Hela and MCF-7 cells	(Vandarkuzhali et al. 2017)
<i>Saccharum officinarum</i>	Hydrothermal	3	Cellular imaging of bacteria and yeast	(Mehta et al. 2014)
Strawberry	Hydrothermal	5.2	Fluorescent probes for mercury ions detection	(Huang et al. 2013)
Sugarcane molasses	Hydrothermal	1.9	Sensing of Fe <sup>3+</sup> and cellular imaging	(Huang et al. 2017)
Sweet potato	Hydrothermal	3.39	Fe <sup>+3</sup> sensing and cellular imaging	(Shen et al. 2017)
Tissue paper	Microwave	4.2	Determination of Glutathione	(Sivasankaran et al. 2017)
<i>Trapa bispinosa</i> peel	Hydrothermal	5–10	Cellular imaging	(Mewada et al. 2013)
Walnut shell	Hydrothermal	3.4	Cellular imaging	(Cheng et al. 2017)
Water Chestnut and onion	Hydrothermal	3.5	Sensing of Cu (II) and Imaging of Coenzyme A	(Hu et al. 2017)
Winter melon	Hydrothermal	4.5–5.2	Cellular imaging	(Feng et al. 2015a)

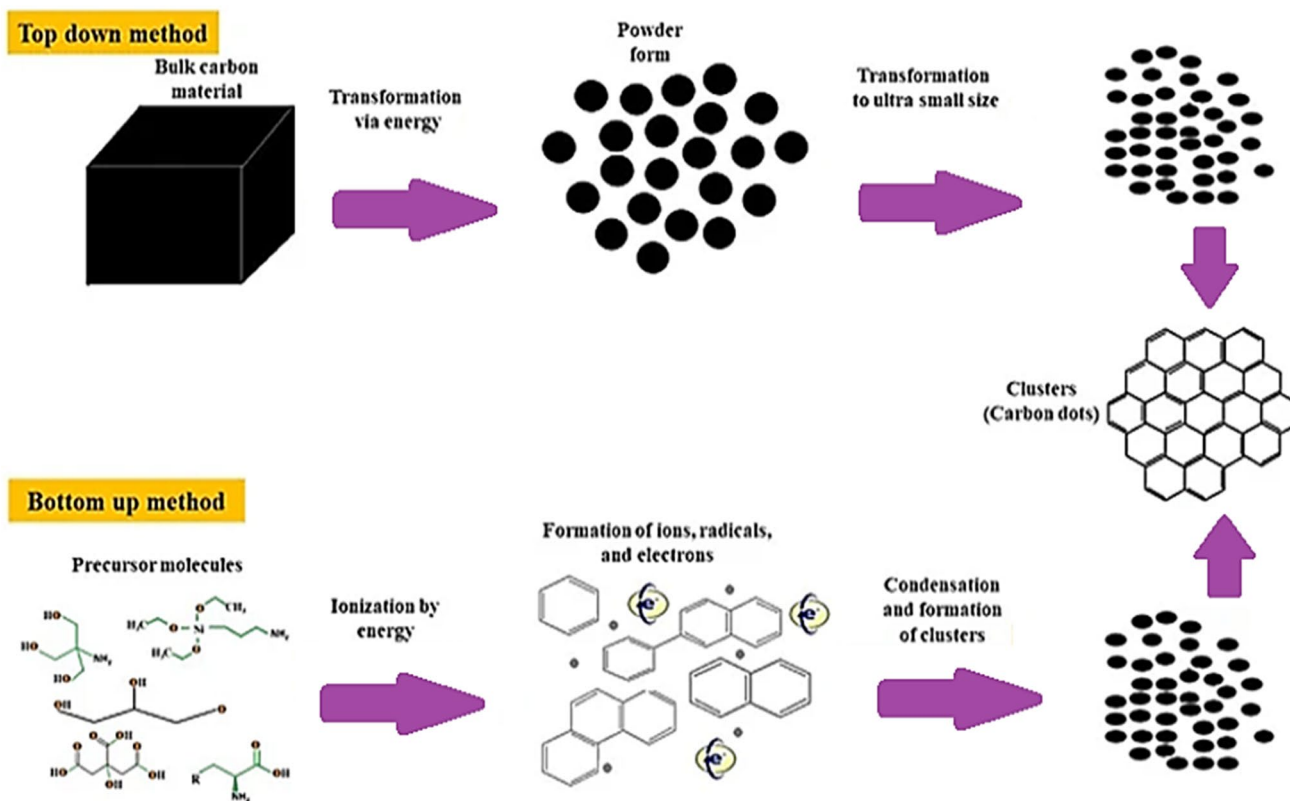


Fig. 2 Carbon dots produced using top-down and bottom-up approaches. Adapted with permission from Sharma and Das (2019)

production techniques, have a mix of sizes, which needs complex separation techniques to obtain mono-dispersed carbon dots. Some of the explored post-synthesis separation techniques include dialysis (Liu et al. 2007), chromatography (Li et al. 2010a; Vinci et al. 2012), gel electrophoresis (Tao et al. 2012), and ultra-filtration (Zheng et al. 2013).

In this critical review, eco-friendly and sustainable fabrication of carbon and graphene quantum dots as well as their important biomedical and biotechnological applications are highlighted. The pros and cons and critical issues pertaining to the deployment of sustainable and eco-friendly strategies for manufacturing these quantum dots, with inherent advantages including non-toxicity, environmental friendliness, novelty, simplicity, greenness and cost-effectiveness, are discussed.

### Carbon and graphene quantum dots with diverse unique properties

Generally, carbon dots can be classified in two main groups, carbon and graphene quantum dots. The graphene quantum dots are anisotropic, with lateral dimensions larger than the height, and possess the crystalline structure of single or a few graphene layer and connected via chemical groups on

the edges with circular or elliptical shape. In contrast, carbon quantum dots are always spherical and carbon dots are divided into carbon nanoparticles without a crystal lattice; carbon quantum dots possessing an obvious crystal lattice with  $sp^2/sp^3$  carbons (Lin and Zhang 2012; Nie et al. 2014).

All carbon dots have chemical groups on the surface, such as oxygen- or amino-based groups, and polymer chains and these functional groups play a pivotal role in the photoluminescence behavior. Both carbon and graphene quantum dots are effective in photon-harvesting in short-wavelength region because of  $\pi-\pi^*$  transition of C=C bonds. They typically exhibit strong optical absorption in the ultraviolet region (~260–320 nm), with a tail extending into the visible range. The absorption located in 230–270 nm is typically attributed to  $\pi-\pi^*$  transition of C=C bonds, and the peak/shoulder located around 300–390 nm is ascribed to  $n-\pi^*$  transition of C=O bonds. Generally, carbon quantum dots are relatively more efficient in absorption of long wavelengths and have low quantum yield, thus requiring a surface passivation layer for improvement of their brightness. In comparison, graphene quantum dots usually offer higher quantum yield compared to carbon dots because of their layered structure and better crystallinity (Zheng et al. 2015; Zhu et al. 2015). Differently colored carbon dots have been synthesized, ranging from ultraviolet to red, and most commonly, blue and

green. Undesirable for multi-color imaging, most of these carbon dots display broad emission spectra because of the large heterogeneity (in size and chemical composition) originating from poorly controllable synthesis processes (Zheng et al. 2015).

### Optical characteristics

Typically, carbon and graphene quantum dots with diverse structures have quite similar optical characteristics in terms of their optical fluorescence, absorption, chemiluminescence, electrochemiluminescence, phosphorescence, up-conversion photoluminescence, and photo-induced electron transfer property. These materials have attracted immense attention due to their remarkable tunable optical characteristics, cost-effectiveness, simple fabrications and low toxicity, which makes them ideal candidates for optical sensors (Li et al. 2019).

Electrochemiluminescence activities are partly responsive to surface passivation, wherein the surface-passivated carbon quantum dots displayed weak electrochemiluminescence activities but strong fluorescence (Dong et al. 2010). Methyl parathion sensors were generated from tyrosine methyl ester functionalized carbon dots via a hydrothermal reaction using citric acid as carbon resource; functionalized carbon dots display strong and stable photoluminescence with a quantum yield of 3.8% and could be deployed for the determination of assorted organophosphorus compounds (Hou et al. 2015a). Furthermore, alterations in fluorescence of carbon quantum dots were applied for detecting various numbers of inorganic molecules (Pooja et al. 2017). As an example, the detection of NO<sub>2</sub> gas was achieved by using quantum dot-functionalized aerogels as the sensing probes wherein they revealed significant fluorescence activities in the solid state. The fluorescence was quenched by NO<sub>2</sub> gas, while no quenching was detected when the carbon quantum dot-functionalized aerogels were exposed to a pure nitrogen atmosphere (Wang et al. 2013c).

### Biocompatibility properties

Remarkable biocompatibility and low toxicity are critical for biological and biomedical appliances of carbon and graphene quantum dots, particularly in bioimaging and cellular imaging (Li et al. 2019). For instance, in vitro studies have revealed the low cytotoxicity of graphene quantum dots, which was credited to their significant oxygen content and ultra-small size. Additional in vivo biodistribution assay using mice showed no graphene quantum dots accumulations in the main organs and fast clearance of graphene quantum dot via kidney. Notably, injections with graphene quantum dot and graphene oxide showed

that graphene quantum dots had no noticeable influences on mice; differently, the graphene oxide revealed some toxicity, even initiating death because of the in vivo aggregations of graphene oxide. In another study, the effect of functional groups on the cytotoxicity of graphene quantum dots was ascertained by comparing their ability to generate reactive oxygen species. Consequently, it was revealed that ketonic carbonyl groups had noticeable effect on reactive oxygen species formation ability of graphene quantum dots. Thus, removing oxygen functional groups on graphene quantum dots could improve the photostability and reduce the cytotoxicity, which provided molecular level indication for the better design of biocompatible graphene quantum dots. Because of their remarkable biocompatibility, graphene quantum dots with a large number of oxygen-containing groups were effectively applied as operative nano-radio-sensitizer for radiotherapy.

Graphene quantum dots could noticeably improve the sensitivity of colorectal carcinoma cells toward ionizing irradiation. Additional investigations showed that the graphene quantum dots in combination with ionizing radiation could evidently suppress the cell proliferation, accelerate apoptosis, and increase the G2/M stage arrest of the cells. Principally, because of the overproduction of reactive oxygen species by graphene quantum dots in synergy with the ionizing radiation, they can activate the apoptosis-related regulation proteins culminating in tumor cell apoptosis (Zhou et al. 2017; Yuan et al. 2014).

### Biosensors

There are a limited number of studies pertaining to biosensing via the deployment of carbon and graphene quantum dots, but future investigations are expected to increase in this arena (Shi et al. 2015b). As an example, graphene quantum dots in combination with gold nanoparticles were applied for developing the fluorescence resonance energy transfer biosensors for identifying a specific gene sequence from *Staphylococcus aureus* (Shi et al. 2015b). Further, well-defined modifications of graphene quantum dots have been applied in biosensors preparation. The resulting fluorescent intensity change produced a signal for target microRNA detection with satisfied discrimination abilities in a wide detection range from 0.1 to 200 nM, offering an approach for detection of microRNA based on graphene quantum dots (Zhang et al. 2015a). Additionally, carbon and graphene quantum dots can be applied for fabricating of immuno-sensor. For instance, an immuno-sensor was prepared for 8-hydroxy-2'-deoxyguanosine (8-OHdG) where carbon quantum dots coated with gold/SiO<sub>2</sub>core-shell nanoparticles were immobilized onto a platinum electrode (Zhou et al. 2015).

## Carbon quantum dots

Carbon quantum dots are category of carbon nanostructures which have received extensive consideration owing to their unique properties comprising significant photostability, high solubility in water, non-toxicity, good biocompatibility, chemical inertness and high resistance to photobleaching. Furthermore, their optical properties can be fine-tuned by size control, chemical doping and functionalization for required applications, including gene delivery, bioimaging, optronics, drug delivery, sensing, and catalysis (Sachdev and Gopinath 2015; Yang et al. 2017a; Barras et al. 2016; Nekouieian et al. 2019; Li et al. 2015).

It has been shown that carbon quantum dots, which could serve as peroxidase mimetics, demonstrate an excellent catalytic activity for the decomposition of  $H_2O_2$ . Liu et al. reported that photoactive metal-free carbon quantum dot-carbon nitride nanocomposites break down the peroxide (Liu et al. 2015a). These results provide a notion to help develop carbon quantum dot-containing hybrid semiconductors for resolving toxic issues during the water splitting process. In addition to bioimaging and biosensor applications, carbon quantum dots have been investigated for antibacterial, anti-inflammatory, and also for photodynamic therapy applications. In view of the increasing biomedical and clinical applications of carbon quantum dots, a better understanding of their safety when exposed to blood stream is the need of the hour. Determination of hemolytic properties is one of the fundamental tests in studies of nanoparticles' interaction with blood components and cardiovascular system. Hemolysis may occur when the red blood cell membrane is ruptured and releases hemoglobin into blood plasma which may lead to anemia, hypertension, and renal toxicity. It is therefore imperative to study the hemotoxicity of all nanoparticles that would be administered intravenously. Recently, a special chemical cleavage of layered *N*-doped carbon materials, carbon nitride quantum dots, was proposed (Cao et al. 2007; Yang et al. 2009a; Wang et al. 2013b).

The facile electrochemical fabrication of water-soluble carbon quantum dots has been achieved using an alkali-base electrolyte requiring chemicals/surface passive agents, and complex instrumental setups or post-treatments. Indeed, carbon quantum dots could be prepared by traditional approaches, including electrochemical oxidation, laser ablation, hydrothermal/solvothermal treatment, microwave irradiation and arc discharge, thermal cracking of organic compounds, pyrolysis and oxidation of candle soot. These protocols have disadvantages namely involvement of sophisticated instruments, strong acids for self-passivation and tedious procedures. Recently, synthesis

of self-passivated fluorescent carbon quantum dots by hydrothermal carbonization of materials has been reported in a single step using various biomass namely deploying ascorbic acid, gelatin, citric acid, egg albumin, bovine serum albumin, orange juice, honey, chitosan, cow milk, soy milk, banana, coffee seeds, watermelon peels, pomelo peel, grass, cellulose, starch, food caramels, and paper ash as carbon source (Cao et al. 2007; Yang et al. 2009a; Wang et al. 2013b). These carbon quantum dots display characteristic fluorescence depending upon the functionalities present on the surface. Moreover, hydrothermal carbonization is a greener approach with the use of renewable biomass as a carbon source and at low reaction temperatures; both carbonization and functionalization can be achievable in a single step (Cao et al. 2007; Yang et al. 2009a; Wang et al. 2013b).

## Eco-friendly and sustainable synthesis of carbon quantum dots

Green chemistry is the engagement of important principles which reduces or eliminates the application or generation of hazardous substances in the design, manufacture, and application of chemical products; none or less hazardous chemical synthesis, applying safer and non-toxic chemicals, solvents and processes are some of them (Varma 2014b, 2016); as an example, bio wastes can be applied as sustainable and cost-effective carbon sources for synthesizing carbon quantum dots. In one study, spherical water-soluble carbon quantum dots (about 1–3 nm) have been prepared from lemon peels waste deploying a cost-effective hydrothermal strategy; the ensuing stable carbon quantum dots were found to be oxygen-rich in surface functionalities and the water soluble and exhibited excellent photoluminescence properties with quantum yield about 14%. The prepared carbon quantum dots were used to design an economic, green and highly sensitive fluorescent probe for the detection of  $Cr^{6+}$  ions with a detection limit of about 73 nM; water-soluble carbon quantum dots-based fluorescent probe could be used as a simple, rapid, convenient technique for the sensitive and selective detection of  $Cr^{6+}$  in water purification processes. Moreover, carbon quantum dots have been immobilized over electrospun  $TiO_2$  nanofibers and the emanating photocatalytic activity for such a  $TiO_2$ -water-soluble carbon quantum dots composite was reported using methylene blue dye as a model pollutant; the photocatalytic activity being about 2.5 times more than that of titanium dioxide nanofibers (Tyagi et al. 2016).

A greener synthesis of water-soluble fluorescent carbon quantum dots (~260–400 nm) was reported via a simple one-step hydrothermal treatment using *Tamarindus indica* leaves; these biocompatible carbon quantum dots can be potentially applied in sensing, bioimaging, disease

diagnostics, and other analytical applications (Bano et al. 2018). Another facile approach for producing fluorescent carbon quantum dots entails the hydrothermal treatment of pineapples (*Ananas comosus*) and calamansi (*Citrofortunella microcarpa*) wastes (de Yro et al. 2019). The *Escherichia coli*, fluoresced with carbon quantum dots as effective probe, have been applied for bioimaging applications. The generation of hydrogen bonds between the bacterial cells and carbon quantum dots facilitated the attachment of these quantum dots onto the bacteria (de Yro et al. 2019).

Well dispersed carbon quantum dots (~2–4 nm) have been synthesized by greener ozone oxidation of lignite coal, which is plentiful and cheap, and comprise oxygen-rich functional groups with suitable water-solubility and optical characteristics, and yield reaching 35% (Liu et al. 2018b). The prepared quantum dots had quenching effects for  $\text{Fe}^{3+}$  with remarkable sensitivity and selectivity as well as desirable anti-interference performance wherein the fluorescence intensity of carbon quantum dots showed significant linear responses to the  $\text{Fe}^{3+}$  concentrations (10–150  $\mu\text{mol L}^{-1}$ ) with the detection limit of 0.26  $\mu\text{mol L}^{-1}$  (Liu et al. 2018b). Highly stable and luminescent multi-color carbon quantum dots (~4.85  $\pm$  0.07 nm) were produced in one pot using *Cydonia oblonga* powder as carbon precursors via microwave irradiation which have maximum emission intensity at 450 nm if excited at 350 nm with a quantum yield of 8.55%. Carbon quantum dots produced through microwave heating technique in 30 min were compared with those synthesized hydrothermally in a Teflon-linen stainless steel body autoclave at 200 °C (Ramezani et al. 2018).

Citrus lemon juice as a precursor was applied for the greener synthesis of fluorescent carbon quantum dots (~2–10 nm) using hydrothermal approach (Tadessea et al. 2018) which showed high photoluminescence of 10.20% quantum yield; the photoluminescence intensity being depended on pH of the solution and maximum intensity was obtained at pH of 6. It was shown that carbon quantum dots can be used as fluorescent probe in the cell imaging (Tadessea et al. 2018). In related research, amorphous fluorescent carbon dots from orange waste peels were produced using the hydrothermal carbonization approach at a mild temperature (180 °C) (Prasanna and Imae 2013); their composite with zinc oxide was used as a photocatalyst for the degradation of naphthol blue–black azo dye under ultraviolet irradiation, and the superior photocatalytic activity was discerned (Prasanna and Imae 2013).

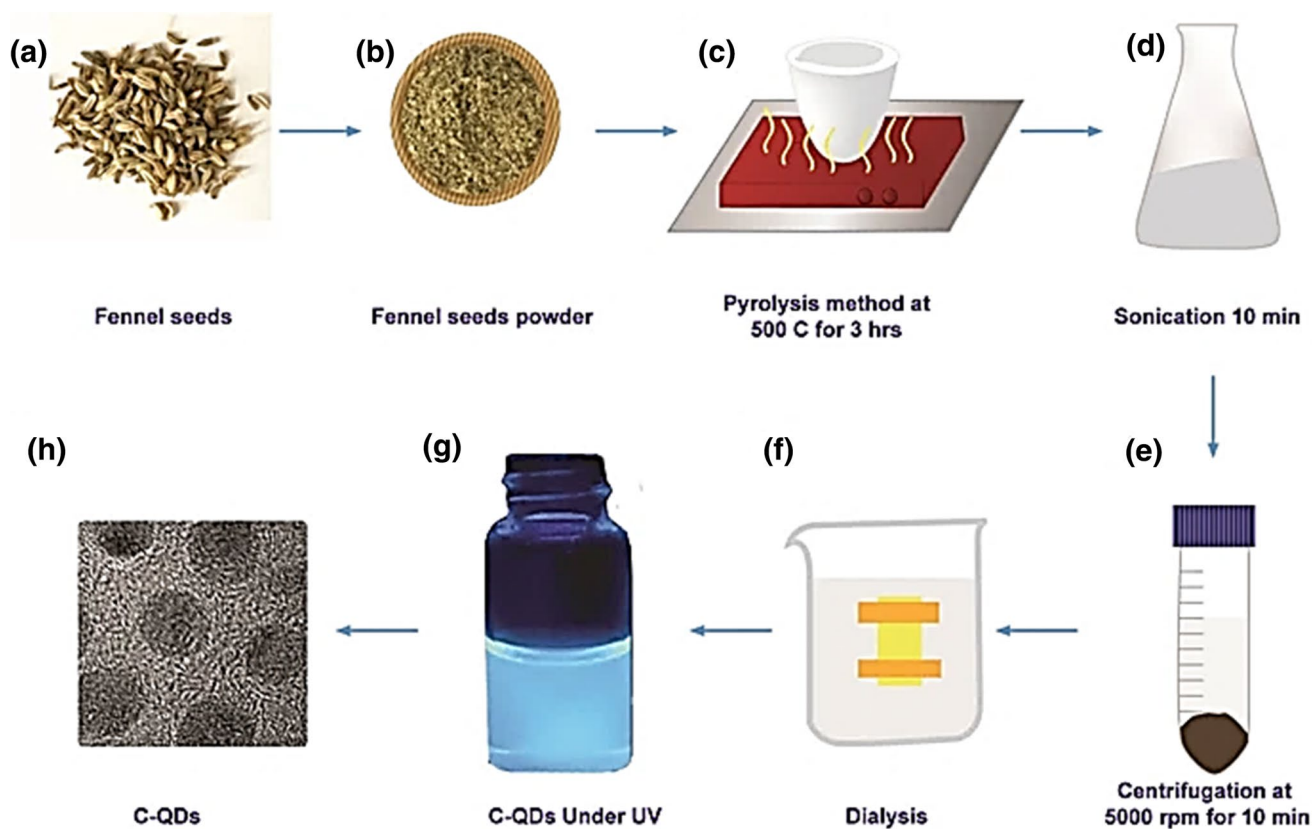
Bright green luminescent graphitic carbon nitride quantum dots doped with oxygen and sulfur were produced using microwave treatment of citric acid and thiourea; they displayed excitation wavelength and pH-dependent luminescence behaviors in the visible range. Further, these quantum dots showed high fluorescence quantum yield (31.67%), strong resistance to the interference of high ionic strength

environment, and good biocompatibility as evaluated by the cell viability assay (Li et al. 2016). In another study, hydrothermal carbonization of *Prunus avium* fruit extracts was applied for fabricating fluorescent nitrogen-doped carbon dots (~7 nm) which exhibited significant fluorescent characteristics and produced blue fluorescence; the green synthesized carbon dots were applied as fluorescent probes for detecting biologically important  $\text{Fe}^{3+}$  ions in water with remarkable selectivity and sensitivity, and for bioimaging of the examined cells (Edison et al. 2016b). An expeditious and facile microwave method was introduced for producing fluorescent nitrogen-doped carbon dots which were hydrothermally prepared by applying *L*-ascorbic acid (as the carbon precursor) and  $\beta$ -alanine (as the nitrogen dopant) with remarkable blue fluorescence and low cytotoxicity. These carbon dots can be applied as staining probes for confocal cellular imaging (Edison et al. 2016a).

Synthesis of mono-dispersed carbon quantum dots via a single-step thermal decomposition method was reported using the fennel seeds (*Foeniculum vulgare*) (Fig. 3) (Dager et al. 2019); the prepared quantum dots showed significant colloidal, photostability, environmental stability (pH), and did not need extra surface passivation step for improving their fluorescence. Additionally, these particles demonstrated remarkable photoluminescence activity and excitation-independent emission. The effect of some factors like reaction time and temperature on pyrolysis provided insight into the formation of carbon quantum dots; these quantum dots can be applied in biosensing, cellular imaging, light-emitting diode, solar cell, supercapacitor, printing, and sensors (Dager et al. 2019).

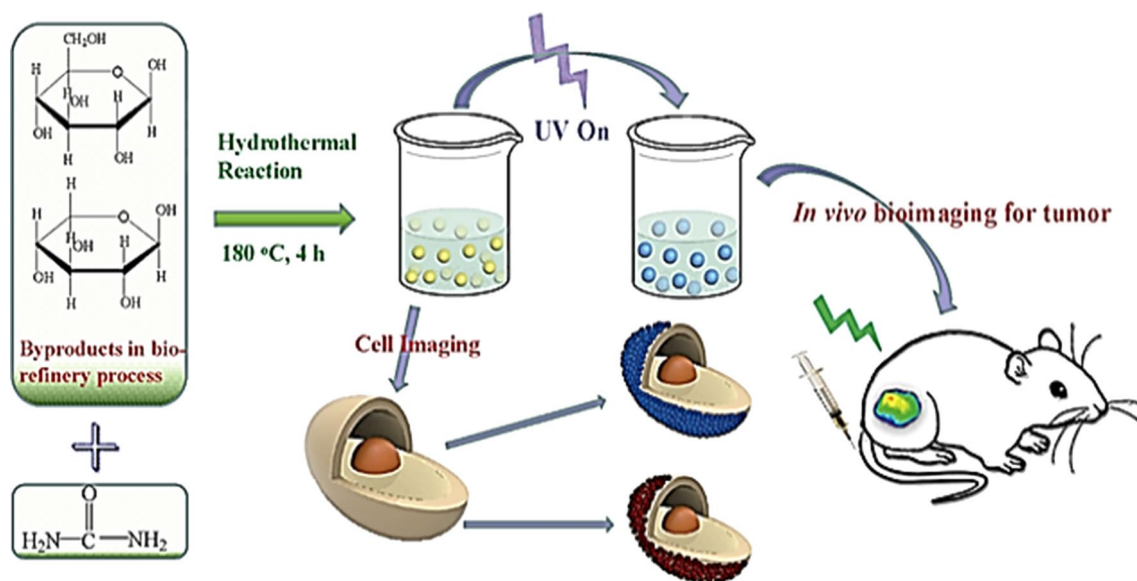
Highly photoluminescent carbon dots have been prepared, with a quantum yield of up to 35.3%, at room temperature which can be further separated out into green-emissive amorphous carbon nano-dots and yellow-emissive crystalline graphene quantum dots through a silica gel column. The ensuing carbon dots, even with the same particle-size distribution and chemical groups, have different degrees of surface oxidation (Liu et al. 2017b). Additionally, highly fluorescent carbon quantum dots (~6 nm) were prepared by the pyrolysis of *Eleusine coracana* as a carbon source wherein  $\text{Cu}^{2+}$  strongly quenched the fluorescent intensity of carbon quantum dots compared to other metal ions;  $\text{Cu}^{2+}$  preferentially adsorbed on aromatic CC ( $\pi$ -bond) of carbon quantum dots, whereas other divalent metals form  $\sigma$ -bond(s) with the carbon quantum dots (Murugan et al. 2019).

Carbon quantum dots (~2.0–6.0 nm) with good biocompatibility, photostability, and sustainability attributes were prepared from by-products derived from a biorefinery procedure using one-pot hydrothermal treatment (Fig. 4) (Huang et al. 2019a). The significant components of by-products were the degradation products (autohydrolyzate) of biomass pretreated by autohydrolysis. The carbon quantum dots



**Fig. 3** Carbon quantum dots formation from fennel seeds by pyrolysis. **a** Fennel seeds, **b** ground fennel powder, **c** pyrolysis of fennel powder, **d** sonication of carbon quantum dots, **e** centrifugation of carbon quantum dots, **f** dialysis of carbon quantum dots, **g** carbon

quantum dots under ultraviolet, and **h** transmission electron micrograph image of carbon quantum dots. Reprinted with permission from Dager et al. (2019)



**Fig. 4** Green synthesis of carbon quantum dots from biorefinery by-products, as the precursor, with good biocompatibility, photostability and sustainability attributes. Reprinted with permission from Huang et al. (2019a)



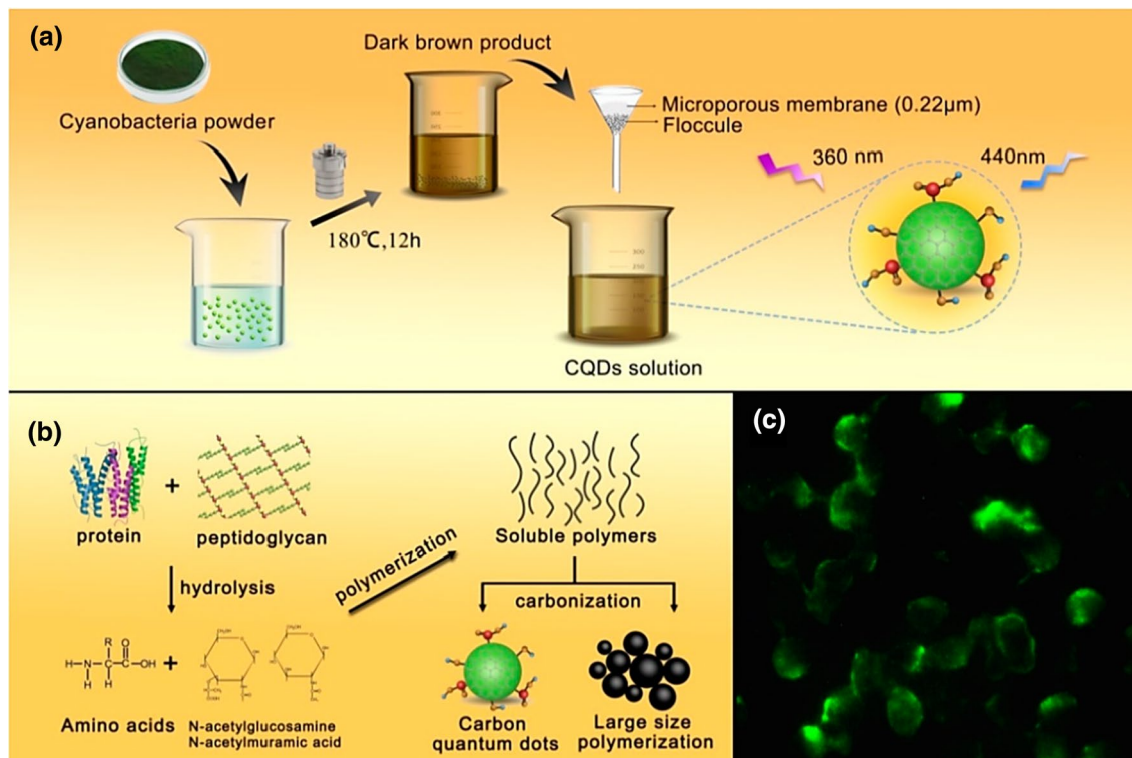
showed blue–green fluorescence with a quantum yield of about 13%, and the fluorescence behaviors were reported to be stable with remarkable resistance to photobleaching and temperature alterations (Huang et al. 2019a).

Low-cost biomass of cyanobacteria was used as the carbon source for producing water-soluble carbon quantum dots by a simple hydrothermal method (Fig. 5) (Wang et al. 2019); the ensuing carbon quantum dots were mono-dispersed (about 2.48 nm), and showed excitation-dependent emission performance with a quantum yield of 9.24%. The cyanobacteria-derived carbon quantum dots had almost no photobleaching under long-time ultraviolet irradiation, and exhibited high photostability in the solutions with a wide range of pH and salinity. Since no additional chemical reagent was involved in their generation, the synthesized carbon quantum dots displayed low cytotoxicity for PC<sub>12</sub> cells even at a high concentration. Moreover, the carbon quantum dots could be efficiently taken up by cells to illuminate the whole cell and create a clear distinction between cytoplasm and nucleus (Wang et al. 2019).

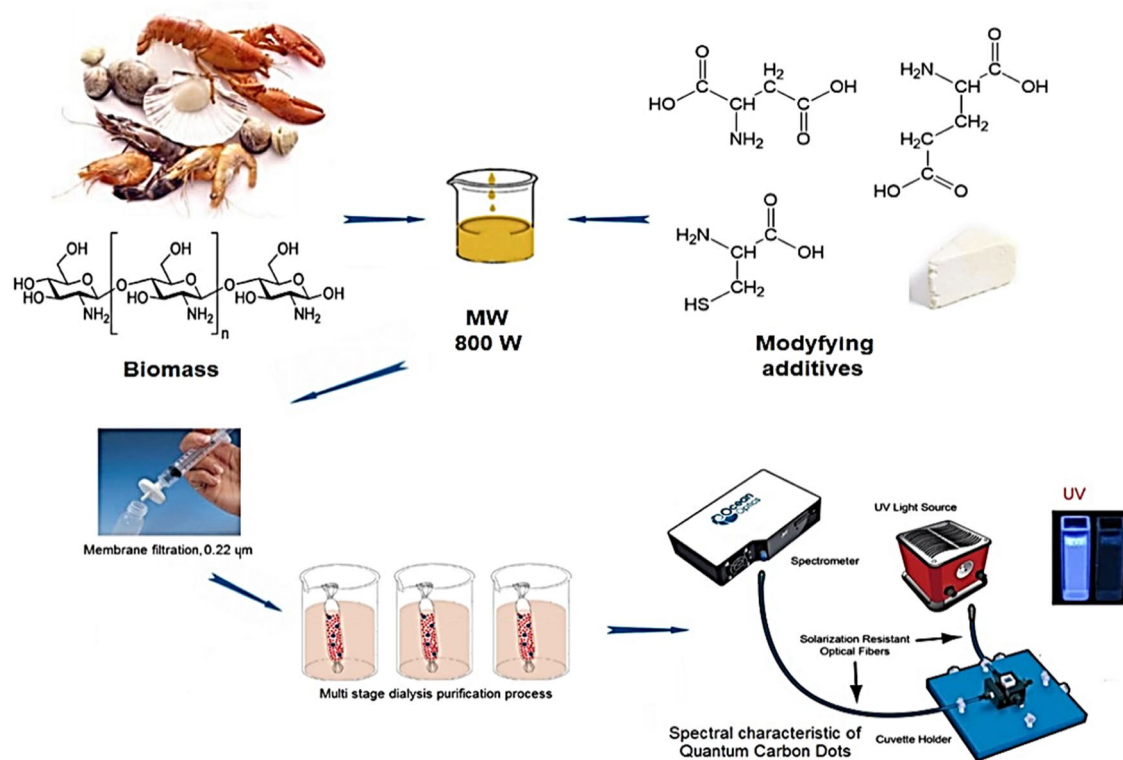
A greener hydrothermal synthesis for carbon quantum dots (~ 12 nm) was reported from walnut oil (Arkan E et al. 2018). The produced carbon quantum dots showed valuable fluorescent quantum yield of 14.5% with quinine sulfate (quantum yield 54%) as a reference and significant photo as

well as pH stabilities. The walnut carbon quantum dots were reported to be significantly potent cytotoxic agent, especially against MCF-7 and PC-3 cell lines. The apoptosis induction by carbon quantum dots was conveyed by acceleration in the activation of caspase-3, while caspase-9 activity did not hasten after exposure to the carbon quantum dots (Arkan E et al. 2018).

Novel types of *N*-doped chitosan-based carbon quantum dots have been achieved with simultaneous *N*-doping using biocompatible amino acids according to “green chemistry” principles (Fig. 6) (Janus et al. 2019). For the carbon quantum dots production, renewable chitosan has been applied with good biocompatibility. In this study, the cytotoxicity evaluations of the carbon quantum dots has been analyzed by 2,3-Bis-(2-methoxy-4-nitro-5-sulfophenyl)-2H-tetrazolium-5-carboxanilide salt approach; the production of biocompatible quantum dots with carbon cores was recognized, which exhibited luminescence in visible range. It was reported that modifications with lysine (11.5%) and glutamic acid (7.4%) had significant effects on quantum yield, but amino acid functionalization did not remarkably influenced the fluorescence characteristics (Janus et al. 2019). In another study, the synthesized spherical carbon quantum dots (with two-dimensional structures) from chitosan have been applied as corrosion inhibitors in BIS 2062 carbon steel (Keerthana and



**Fig. 5** Synthesis of carbon quantum dots from cyanobacteria: **a** possible formation procedure of the cyanobacteria-derived carbon quantum dots; **b** fluorescence image of PC<sub>12</sub> cells incubated with carbon quantum dots **(c)**. Reprinted with permission from Wang et al. (2019)



**Fig. 6** Green synthesis of *N*-doped chitosan-based carbon quantum dots using chitosan. The prepared quantum dots with carbon cores and good biocompatibility exhibited luminescence in visible range. Reprinted with permission from Janus et al. (2019)

Ashraf 2019). It was revealed that the prepared carbon dots were rich in C=O and C–O functional groups (Keerthana and Ashraf 2019).

### Biomedical and biotechnological applications

Carbon dots have been deployed for diverse biomedical and biotechnological applications due to their excellent photoluminescence properties as well as the wavelength-tuneable emission property, unique electronic, mechanical, thermal, and chemical properties. In contrast to the traditional quantum dots, essentially comprising heavy metals which have known toxicity and are environmentally hazardous, carbon dots are much safer and non-toxic both in cells and at animal level, suggesting their good environmental and biological compatibility for biomedical and biotechnological applications such as biosensing, bioimaging, gene and drug delivery (Wang et al. 2013a; Baker and Baker 2010).

### Sensors

Various kind of sensors have been designed using carbon dots to detect assorted targets such as DNA (Wang et al. 2013e), heavy metals (Kumar et al. 2017), glucose (Shi et al. 2011), proteins (Dai et al. 2012), H<sub>2</sub>O<sub>2</sub> (Zhao et al. 2013),

nitrite (Lin et al. 2011), and phosphate (Zhao et al. 2011), among others. For instance, carbon dots prepared from flour and electrochemical carbonization of sodium citrate and urea show the photoluminescence emission which could be selectively quenched by Hg<sup>2+</sup> with a detection limit of 0.5 nM and 3.3 nM, respectively (Qin et al. 2013; Hou et al. 2015b); other heavy metals such as Sn<sup>2+</sup>, Fe<sup>3+</sup>, Pb<sup>2+</sup>, Cr<sup>6+</sup>, Mn<sup>2+</sup> and Cu<sup>2+</sup> were also detected (Gogoi et al. 2015; Yazid et al. 2013; Xu et al. 2014). The produced carbon quantum dots showed an excitation-dependent behavior with a high quantum yield of about 46.6% and could be applied as a very sensitive nanoprobe for the turn-off sensing of Hg<sup>2+</sup> with a minimum limit of detection as low as 6 nM in the dynamic range from 0 to 0.1 μM. Furthermore, it can act as a turn-on sensor for glutathione detection with valuable selectivity (Bano et al. 2018).

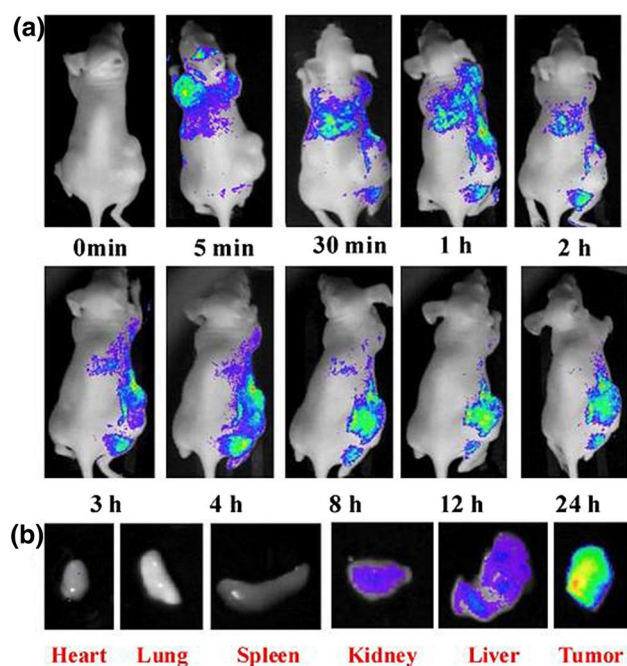
Boronic acid modified carbon dots have been utilized for non-enzymatic blood glucose sensing wherein the glucose level can be quantified in the range of 9–900 μM with a detection limit of 1.5 μM; plasma glucose concentration determined by this method is in good agreement with the values measured by a commercial blood glucose monitor (Shen and Xia 2014). Carbon dot-based fluorescence turn-on sensors were instructed for hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) monitoring in aqueous solutions through a photo-induced electron

transfer mechanism where the sensor showed good selectivity, and sensitivity with a detection limit of 84 nM (Lan et al. 2015). In another study, N, S-co-doped fluorescent carbon nano-dots have been synthesized by applying thermal treatment of ammonium persulfate, ethylenediamine and glucose. They displayed bright blue emission with a remarkable fluorescent quantum yield of 21.6%, approved water solubility, uniform morphology, and significant chemical stability as compared to pure carbon dots. The fluorescence of the produced carbon nano-dots could be remarkably quenched by methotrexate through fluorescence resonance energy transfer between carbon nano-dots and methotrexate, and has been applied for highly selective and sensitive identification of methotrexate (more than 50.0  $\mu\text{M}$ ) with a low detection limit of 0.33 nM; it can be useful for hands-on finding of methotrexate in human serum (Wang et al. 2015b). Amino-functionalized fluorescent carbon dots have also been prepared by hydrothermal treatment of glucosamine and the ensued carbon dots exhibited stabilized green emission fluorescence at various excitation wavelengths and pH environments; they can be used to produce biosensors and for selective detection of hyaluronidase (Liu et al. 2015b).

### Imaging and bioimaging

Carbon quantum dots can be applied for tumoral cells imaging, which is suitable for clinical and biological imaging and related diagnostic and therapeutic areas, including phototherapies and diagnostic cancer imaging. For instance, the optical imaging of the carbon quantum dot-wheat straw has been evaluated by intravenous injection of 200  $\mu\text{L}$  carbon quantum dot-wheat straw ( $0.2 \mu\text{g mL}^{-1}$ ) into the mouse through the tail vein. Optical images of the carbon quantum dot-wheat straw distributions in the tumor-bearing mouse over increasing times, as well as optical images of fluorescence intensities within the harvested organs can be observed in Fig. 7 (Huang et al. 2019a).

Application of carbon dots as fluorescent labels for cellular imaging has been documented (Sun et al. 2006). Carbon dots are promising candidate for bioimaging purposes because of their low side effects and toxicity, excellent water solubility and visible-to-near infrared (NIR) emission properties (Miao et al. 2015). Various types of cells have been imaged using carbon dots such as Ehrlich ascites carcinoma cells (EACs) (Ray et al. 2009), *E. coli* (Liu et al. 2009), HepG2 cells (Xu et al. 2013), NIH-3T3 fibroblast cells (Zhang et al. 2013), HeLa cells (Dong et al. 2013), and human lung cancer (A549) (Wu et al. 2013). (Jiang et al. 2014) reported the presence of photoluminescence carbon dots from commercial Nescafe instant coffee with the size of 4.4 nm and quantum yield about 5.5%; coffee-derived carbon dots have been directly applied in the imaging of carcinoma cells and small guppy fish without functionalization. Carbon



**Fig. 7** **a** In vivo fluorescence imaging of nude mice after intravenous injection of carbon quantum dot-wheat straw solution; **b** representative fluorescence images of dissected organs of a mouse after intravenous injection of carbon quantum dot-wheat straw solution for 24 h. Reprinted with permission from Huang et al. (2019a)

dots passivated with PPEI-EI for two-photon luminescence microscopy were used to image human breast cancer MCF-7 cells (Cao et al. 2007); carbon dots showed bright photoluminescence both on the cell membrane and in the cytoplasm after 2 h incubation at 37 °C wherein the cellular uptake of carbon dots was temperature-dependent with no internalization observed at 4 °C. Zhu et al. (2014) prepared a two-photon “turn-on” fluorescent probe, which was then used for imaging hydrogen sulfide in live cells and tissues.

Ultra-small carbon dots (0.9 nm) with quantum yield of 47% have been produced via pyrolysis of anhydrous citric acid in organosilane at 240 °C for 1 min. The organosilane-functionalized carbon dots are stable in various non-aqueous solutions and can be directly fabricated into a hybrid fluorescent film or monolith through a simple heating procedure, in the absence of any additional polymers or inorganic compounds. Alternatively, they can be further assembled into silica-encapsulated nanoparticles by hydrolyzing and co-condensing the carbon dots with silica precursors for the bio-labeling and imaging applications (Zou et al. 2015).

### Drug and gene delivery systems

Carbon quantum dots possess excellent drug/gene loading capability in view of their biocompatibility, non-toxicity and photoluminescence properties, where their small size and

large surface area allow rapid cellular uptake with limited influence on drug activity (Gogoi and Chowdhury 2014; Karthik et al. 2013). Lee et al. (2014) confirmed the delivery of doxorubicin in vitro and in vivo using carbon dots; doxorubicin was loaded on carbon dots via electrostatic interactions with 95% loading efficiency and induced death of HepG2 and MCF-7 cancer cells as well as tumor in mice. Further, pure carbon dots preferably labeled the nucleus, whereas carbon dots loaded with doxorubicin were mainly distributed in the cytoplasm. A smart stimuli-response drug delivery system comprising carbon dots and alginate was suggested where carbon dots, coated on the surface of alginate beads and garlic extract containing allicin, was taken as model drug system (Majumdar et al. 2016); the amount of garlic extract loaded on alginate beads coated with carbon dots was 60% higher than uncoated alginate beads. The loaded system displayed pH-dependent controlled drug release, resulting in enhanced therapeutic efficiency depending, interestingly, upon the amount of pathogen present in the target.

A smart carrier was developed for redox-responsive controlled drug delivery by grafting carboxyl-abundant carbon dots to the surface of silica nanoparticles which was found to be pH-dependent (Jiao et al. 2016); doxorubicin loaded on the grafted silica had high drug loading (up to 13.1%) and showed a high cellular uptake and an excellent therapeutic effect on cancer cells by 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide assay. Additionally, gene delivery has been successfully illustrated with positively charged carbon dots which could be linked to plasmid DNA and efficiently transfect the therapeutic plasmid into cells with high efficiency and low cytotoxicity (Yang et al. 2017b). It has been shown that hyaluronate and polyethyl-eneimine-functionalized carbon dots were internalized readily into the cytoplasm of cancer via hyaluronate-receptor-mediated endocytosis; the functionalized carbon dots have excellent gene condensation compatibility via electrostatic attraction and protective capacity by preventing nuclease degradation (Zhang et al. 2017).

### Antimicrobial and antiviral effects

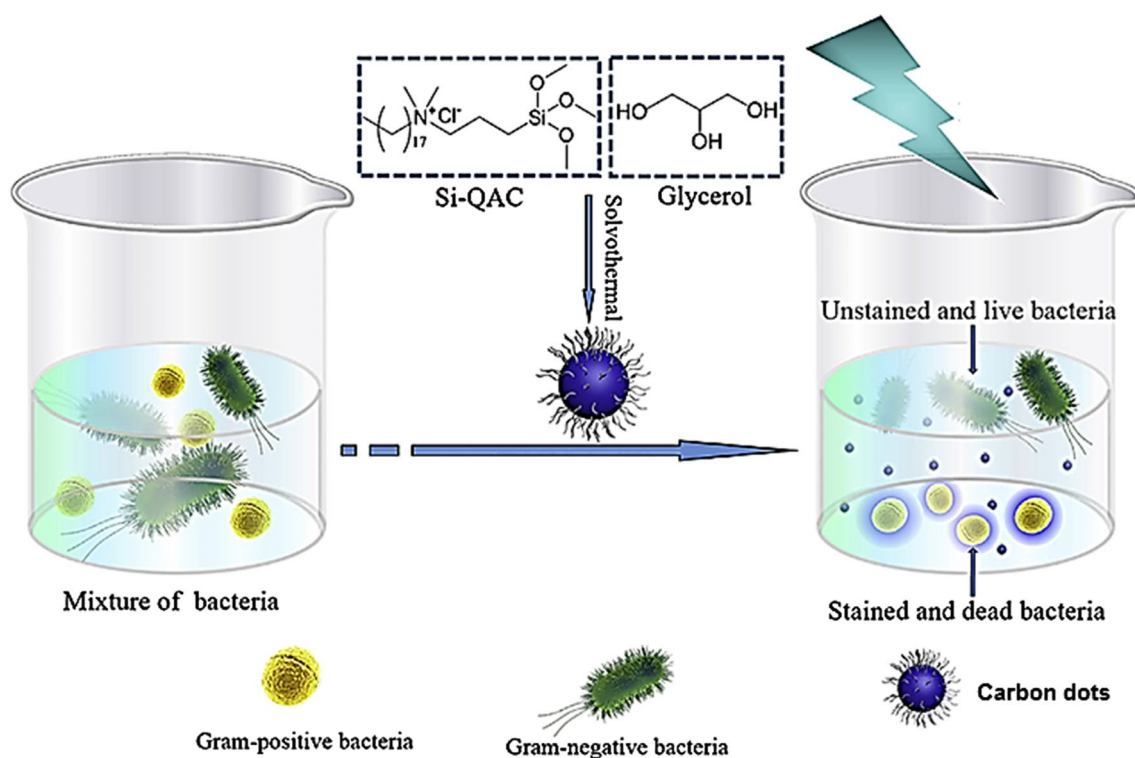
Carbon quantum dots are capable of effectively interacting with viruses and block-viral infectivity (Barras et al. 2016), as exemplified by carbon quantum dots functionalized with boronic acid and/or amine functions which interfere with the entry of the herpes simplex virus type 1 (Barras et al. 2016); carbon quantum dots prepared from 4-aminophenylboronic acid hydrochloride, via an altered hydrothermal carbonization procedure, prevent herpes simplex virus type 1 infection, whereas carbon quantum dots emanating from phenylboronic acid demonstrated no effects even at elevated concentrations. These carbon quantum dots can be applied as

therapeutic options for extremely pathogenic human coronavirus infections; mechanistically, it may be due to the human coronavirus-229E entrance inhibition, because of the interaction of the boronic acid functions of carbon quantum dots with the HCoV-229E S protein through pseudo-lectin-based interactions. These findings inspired researchers to substitute presently applied antiviral agents (such as ribavirin and interferons), recognized to have major side effects, including short-term memory loss, deficits in decision-making functions, confusion, and extrapyramidal influences. More explorations should be undertaken for investigating the clinical effects of these nanostructures as alternative therapeutics and approaches to confront the severe and life-threatening diseases (Nekoueiian et al. 2019).

Carbon dots can be applied for microbial imaging and evaluations, as well as killing of infectious organisms like *E. coli*, *S. aureus*, *Pseudomonas aeruginosa* and *Candida albicans*. These carbon dots can be applied in microbial detection, microbial viability assessments, gram-type identification, and biofilm imaging (Priyadarshini et al. 2018; Habiba et al. 2015; Lin et al. 2019). Interestingly, the carbon dots platform has been harnessed fundamentally as a carrier for conventional disinfection agents to destroy bacteria, and also as a fluorescence label for analyzing the dead bacterial cells. Apparently, these carbon dots could selectively interact with the Gram-positive bacteria, get adsorbed, and their fluorescence emission being accelerated remarkably. The carbon dot adsorption could destruct the bacterial cell surface, as these carbon dots changed the charge balance of the bacterial surface and were inserted into the bacterial surface through the long alkyl chains leading to the bacterial inactivation. This carbon dot-based differentiation method has been fast, accurate, and easy to operate (Fig. 8) (Yang et al. 2019b).

### Pharmaceutical formulations

Carbon quantum dots (~6 nm) which have been prepared from carbon powder by acid treatment at elevated temperatures were capable of effectively inhibiting insulin fibrillation in a concentration-dependent manner (about  $0.2 \mu\text{g mL}^{-1}$ ). Additionally, carbon quantum dots ( $40 \mu\text{g mL}^{-1}$ ) prevented  $0.2 \mu\text{g mL}^{-1}$  of human insulin from fibrillation for 5 days under  $65^\circ\text{C}$ , whereas insulin denatures in 3 h under the same conditions without carbon quantum dots. Therefore, these carbon quantum dots have the potentials to inhibit insulin fibrillation in bio-systems and in the pharmaceutical industry for the processing and formulation of insulin (Li et al. 2015).



**Fig. 8** Quaternized carbon dots production and their potentials for selective imaging and killing of Gram-positive bacteria. Adapted with permission from Yang et al. (2019b)

## Graphene quantum dots

Graphene quantum dots have garnered remarkable attention because of their unique properties, including tunable photoluminescence properties, significant photostability, hydrophilic nature, low toxicity, and good biocompatibility. They have promising biological, biomedical applications, and can be applied in diverse fields such as energy, biosensors, bioimaging, and optoelectronics. They can be generated via cutting carbon precursors, such as graphene, graphene oxide and carbon fiber, into the smaller pieces by chemical oxidation, hydrothermal or solvothermal treatment under harsh conditions which requires treatment with sulfuric acid, nitric acid or other strong oxidizers (Mei et al. 2016; Lin et al. 2014). These pathways have some drawbacks such as potential safety risks, environmental pollution, higher costs, complex fabrication and post-processing procedures (Chen et al. 2018). Thus, finding environmentally and eco-friendly approaches and innovative greener precursors are crucial in this field.

### Eco-friendly and sustainable synthesis of graphene quantum dots

Preparation of graphene quantum dots often needs strong acids or organic solvents, and their green production on

sustainable methods still face important challenges; therefore eco-friendly synthetic approaches with easy separation and without complicated post-processes should be developed, in which the natural renewable raw materials are used as precursors. For instance, graphene quantum dots have been synthesized using cotton cellulose where the reaction system comprised cellulose and water, in the absence of any other hazardous and chemical materials. The synthetic mechanism suggested that the preparative procedure for graphene quantum dots necessitates hydrolysis followed by cyclic condensation. With highly photoluminescent properties, favorable hydrophilicity, low cytotoxicity and excellent biocompatibility, the graphene quantum dots have been successfully applied in bioimaging (Chen et al. 2019).

Graphene quantum dots (~2.25–3.50 nm) have been generated via a facile, eco-friendly and one-pot hydrothermal reaction, with starch as a precursor; the preparative procedure entails initially the hydroxylation followed by ring-closure condensation. The produced graphene quantum dots had appropriate hydrophilicity, low cytotoxicity and highly photoluminescence emission, and were applied for cell imaging (Chen et al. 2018). Additionally, a simple and high-yielding hydrothermal approach for fabricating graphene quantum dots from glucose was reported; ensuing graphene quantum dots, with fluorescence quantum yield of 44.3%, exhibited significant green photoluminescence and

excitation-independent photoluminescence emission properties (Gu et al. 2014).

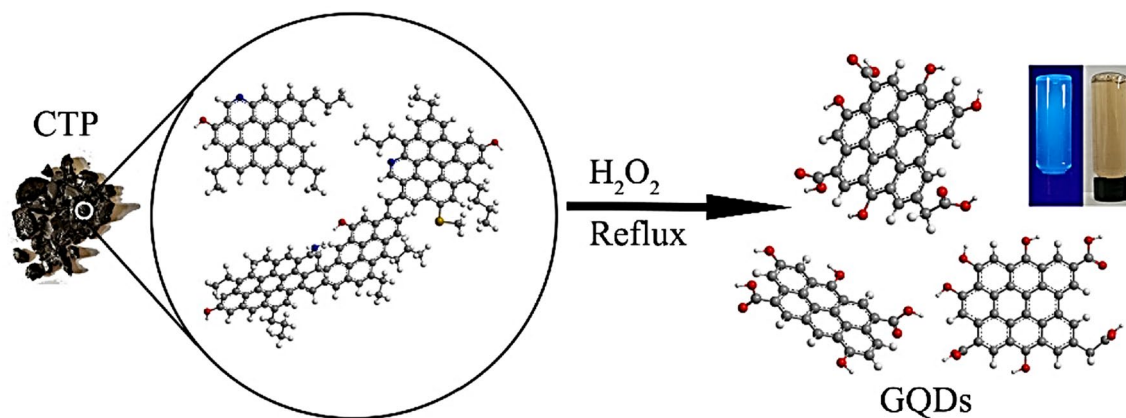
A facile one-pot solid-phase method was reported for synthesizing *N*-doped graphene quantum dots using citric acid as the carbon source and 3,4-dihydroxy-*L*-phenylalanine as the nitrogen source; ensuing *N*-graphene quantum dots with oxygen-rich functional groups, were uniform with an average diameter of 12.5 nm and served as highly efficient fluorosensor for detection of  $\text{Hg}^{2+}$  because of the effective quenching effect of metal ions via non-radiative electron transfer. This selective fluorosensor showed high sensitivity toward  $\text{Hg}^{2+}$  with a detection limit of 8.6 nM and was practically used for the effective detection of  $\text{Hg}^{2+}$  in river-water samples (Shi et al. 2015a). An eco-friendly approach to prepare *N*-graphene quantum dots with a high quantum yield of 28.10% has been described which showed strong blue fluorescence emission with the maximum emission and excitation at 450 nm and 355 nm, respectively. Taking advantage of the effective quenching effect of  $\text{Hg}^{2+}$  on *N*-graphene quantum dots, they were developed for the efficient and sensitive detection of  $\text{Hg}^{2+}$  with a relatively low detection limit of 0.032  $\mu\text{M}$ . Based on the selective coordination of biothiols and  $\text{Hg}^{2+}$ , the fluorescence of the *N*-graphene quantum dot/ $\text{Hg}^{2+}$  system was recovered with the addition of biothiols. This fluorescent “Off–On” process displayed a sensitive response to biothiols with a detection limit of 0.036  $\mu\text{M}$  for cysteine and 0.034  $\mu\text{M}$  for glutathione. The *N*-graphene quantum dot-based fluorescence method has been successfully used to monitor  $\text{Hg}^{2+}$  in real water samples and biothiols in serum samples (Yan et al. 2016).

Silica supported silver nanoparticles and graphene quantum dots compounds have been designed and synthesized via a greener photochemical approach and an electrophoresis deposition technique to provide a highly active surface-enhanced Raman scattering substrate. In this method, the electrochemically prepared aqueous solution of graphene

quantum dots was used both, as a solvent and a reducing agent to generate in situ silver-graphene quantum dots compounds under ultraviolet irradiation conditions. These compounds were collected on a  $\text{SiO}_2$  supported Si substrate through the electrophoresis deposition technique. Benefiting from their proper size ( $\sim 1\text{--}4$  nm) and distribution in the spatial gaps between adjacent silver nanoparticles, graphene quantum dots could act as “hot spot” sites for lighting up the Raman scattering signals. Together with the enhanced adsorption of Rhodamine 6G (R6G) molecules through  $\pi\text{--}\pi$  stacking, the electrostatic interactions from graphene quantum dots, and the enlarged specific surface area provided by the  $\text{SiO}_2$  template, the as-prepared substrate showed a strong surface-enhanced Raman scattering signal with excellent reproducibility, the detection limit of R6G being pushed to  $8.0 \times 10^{-14}$  M (Ge et al. 2016).

A by-product obtained from coking industries, coal tar pitch, has an exclusive molecule structure including an aromatic nucleus with various side chains bonding on this graphene-like nucleus, with a structural similarity to the structure of graphene quantum dots. An approach to convert coal tar pitch to, mono-dispersed graphene quantum dots ( $\sim 1.7 \pm 0.4$  nm) by applying oxidation with hydrogen peroxide under mild conditions has been described with high yield more than 80 wt% (Liu et al. 2018a). These graphene quantum dots were significantly soluble and strongly fluorescent in aqueous solution (Fig. 9) (Liu et al. 2018a).

A greener and economical method has been disclosed to synthesize water-soluble graphene quantum dots from cow’s milk for concurrent drug delivery and imaging in cancers. These spherical and multi-fluorescent quantum dots ( $\sim 5$  nm) were prepared via one-pot microwave-assisted heating approach. It was revealed that heating time and ionic strength significantly influence on photoluminescence characteristics of them. Berberine hydrochloride was attached on graphene quantum dots (88% efficacy in drug loading)



**Fig. 9** Green synthesis of graphene quantum dots using coal tar pitch (CTP). Reprinted with permission from Liu et al. (2018a)

(Thakur et al. 2016). Consequently, the graphene quantum dots were biocompatible with L929 cells, but the produced theranostic complex exhibited influential cytotoxic effects on diverse examined cancerous cell lines. Because of drug delivery and bioimaging characteristics of the prepared complex, it showed *in vitro* theranostic applications in cancer therapies (Thakur et al. 2016).

An approach was described involving hydrazine hydrate-assisted hydrothermal cutting followed by functionalizations with polyethylenimine for producing remarkably fluorescent graphene quantum dots from coffee grounds. The polyethylenimine-functionalized graphene quantum dots presented enhanced band-edge photoluminescence with single exponential decay, and their sensing and bioimaging applications were documented (Wang et al. 2016b).

Greener synthetic method for producing fluorescent graphene quantum dots without involving any harsh reagents has been documented wherein graphene oxide was applied used as a precursor, and hydrothermal synthesis (about 2 h) was accomplished with assistance from hydrogen peroxide. The prepared graphene quantum dots showed high photostability and significant biocompatibility as demonstrated by cell viability evaluations. These quantum dots can be applied as fluorescent nano-probes, because of the cell-graphene quantum dots interactions as they exhibited high potentials for bioimaging, diagnostics and drug delivery (Halder et al. 2018).

## Biomedical and biotechnological applications

### Drug delivery and cancer therapy

Preparation of drug delivery systems based on graphene quantum dots can find diverse applications in bio- and nanomedicine (Dong et al. 2018) and multifunctional graphene quantum dots can be harnessed for targeted cellular imaging, which is useful for cancer therapy (Wang et al. 2014b). Drug delivery systems based on arginine-glycine-aspartic acid-conjugated graphene quantum dots were applied in load of doxorubicin (an antitumor drug) for targeted imaging and drug delivery. Indeed the characteristic stable fluorescence of graphene quantum dots permitted the real-time analyses of the cellular uptake from the produced nanoassembly and the following doxorubicin drug release. The doxorubicin releasement showed significant pH dependence and implied hydrogen-bonding interaction between graphene quantum dots and doxorubicin; the graphene quantum dots can be served as pH-sensitive drug carriers (Dong et al. 2018). Compared to free doxorubicin, the prepared conjugates revealed remarkable cytotoxicity effects to U251 glioma cells within diverse range of doxorubicin concentrations. Findings form the cellular uptake of these conjugates demonstrated that doxorubicin and some graphene quantum dots

have entered into cell nuclei after incubation (~ 16 h). These combinational enhancements with suitable nuclear delivery enhanced the doxorubicin cytotoxicity effects, noticeably (Dong et al. 2018). Additionally, the prepared folic acid-conjugated graphene quantum dots could be applied for loading of doxorubicin, real-time evaluating of cellular uptake and the consequent drug release. This prepared nanoassembly was precisely internalized promptly by HeLa cells through receptor-mediated endocytosis, whereas doxorubicin release and accumulations were sustained. *In vitro* toxicity studies revealed that the produced nanoassembly targeted HeLa cells differentially and efficiently while showing meaningful decrease in cytotoxicity on non-target cells (Wang et al. 2014b).

The engineered nanocarriers comprising biodegradable charged polyester vectors and graphene quantum dots were evaluated for their therapeutically influences on pancreatic cancers (MiaPaCa-2 cells) (Yang et al. 2019a). These nanocarriers were applied for co-loading doxorubicin and small interfering ribonucleic acids. Additionally, they showed significant physiological stabilities, outstanding K-ras downregulation activities, and operative bioactivity inhibitions for the examined cells. Further, laser light has been applied for generating heat for the nanocomplexes through the photothermal effects for cellular destruction; laser triggered the payloads release from the prepared nanostructured complexes and this activated release function significantly accelerated their anticancer activities of them. Moreover, the evaluation of initial colony generation showed that these complexes can be considered as promising carrier candidates for *in vivo* analyses (Yang et al. 2019a).

### Cytotoxicity, autophagy and mutagenicity

Graphene quantum dots (~ 160–280 nm) were prepared and biologically assessed by employing two mice models to assess their *in vitro* mutagenicity. After radiolabeling experiment, it was shown that stable radiolabeled graphene quantum dots were prepared with a high yield (> 90%). The *in vivo* investigation displayed an ubiquitous behavior when used for healthy animals, with a high uptake by liver (> 26%) and small intestine (> 25%). Furthermore, in inflammation/VEGF hyper-expression animal model (endo-metriosis), a very peculiar behavior of graphene quantum dots was detected, with a high uptake by kidneys (over 85%) (de Menezes et al. 2019).

The cytotoxicity and autophagy induction of COOH-graphene quantum dots, OH-graphene quantum dots, and NH<sub>2</sub>-graphene quantum dots have been investigated (Xie et al. 2019). The OH-graphene quantum dots were found to be the most toxic, as substantial cell death was induced at the concentration of 100 µg mL<sup>-1</sup>, as indicated by WST-1 assay as well as Annexin-V-FITC/PI apoptosis

assessment, whereas COOH- and OH-graphene quantum dots were non-cytotoxic within the analyzed concentrations. Additionally, OH- and NH<sub>2</sub>-graphene quantum dots stimulated autophagy of cells with different grades except for COOH-graphene quantum dots; it was revealed that all these quantum dots meaningfully activated p-p38MAPK, and p-ERK1/2 was obstructed by OH- and NH<sub>2</sub>-graphene quantum dots but activated by COOH-graphene quantum dots. Further, p-JNK was obstructed by COOH- and NH<sub>2</sub> graphene quantum dots, while activated by OH-graphene quantum dots. Concurrently, Akt was activated by OH-graphene quantum dots, but inhibited by NH<sub>2</sub>-graphene quantum dots. The autophagy inhibition effects from 3-MA could meaningfully improve the cytotoxicity effects of graphene quantum dots, indicating that autophagy had a protecting effects against the examined quantum dot toxicity (Xie et al. 2019).

### Imaging and bioimaging

Because of the stable photoluminescence, low cytotoxicity, excellent solubility and biocompatibility, the graphene quantum dots are especially eco-friendly and were illustrated to be excellent probes for bioimaging. In one study, synthesis of strongly green-photoluminescent graphene quantum dots on large scale was reported; the produced graphene quantum dots showed significant fluorescence with photoluminescence quantum yields as high as 11.4%. Additionally, the graphene quantum dots were readily soluble in water and most polar organic solvents with no further chemical modifications (Zhu et al. 2011).

Graphene quantum dots (~ 10 nm) have been synthesized by vigorous oxidation of graphite. The prepared graphene quantum dots showed good physiological solubility, high photostability, low cytotoxicity, and yellow-green fluorescence with quantum yield of ~7%. They have been investigated for cell imaging applications (Zhang et al. 2012).

In the field of bioimaging applications of graphene quantum dots, there are still some important issues which need to be resolved. Though graphene quantum dots with emission wavelengths ranging from ultraviolet to near infrared were prepared through different strategies, the described quantum yields of graphene quantum dots were considerably lower than conventional semiconductor quantum dots; thus the quantum yields improvement of graphene quantum dots is prerequisite. Graphene quantum dots with bright red/near infrared emissions can be applied as suitable nano-probes for bioimaging (Wang et al. 2014a; Namdari et al. 2017).

The multifunctional nano-probes with great advancements can be applied for diverse fields of imaging and bioimaging; the radioactive and optical characteristics of graphene quantum dots can be useful for simultaneously imaging and therapy. Important challenges for designing

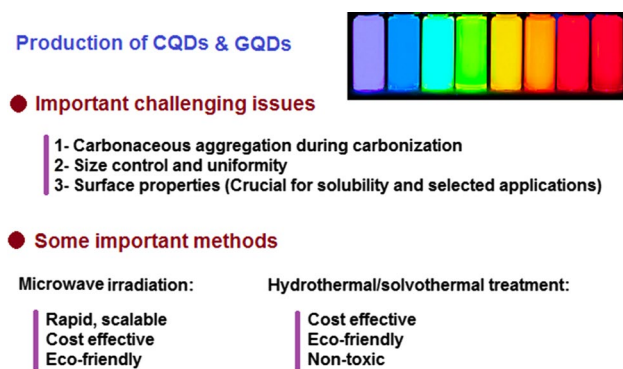
graphene quantum dots-based nano-probes for optical imaging simultaneously, magnetic resonance imaging, and computed tomography evaluating should be perceived (Wang et al. 2014a; Namdari et al. 2017). Targeted tumors imaging based on graphene quantum dots has been reported in vivo, infrequently. The subsequent forms of cancer diagnostic agents applied for small animals require enhanced accumulations in tissues of tumors. The functionalization of graphene quantum dots with peptides or antibodies for imaging with high cancers targeting issues should be additionally investigated. The optimization of emission characteristics and multi-photon excitations of graphene quantum dots, their innovative neurobehavioral analyses applications, the brain gene therapy, and brain barrier penetration through blood capillaries, should be scrutinized (Dager et al. 2019; Sharma and Das 2019; Wang et al. 2014a; Namdari et al. 2017). The side effects, which possibly can be detected by applying graphene quantum dots, need to be evaluated. The gene expression and toxicity as well as cytotoxicity evaluations of graphene quantum dots with various surface coatings, morphologies and sizes should be assessed; the bio-distribution analyses of graphene quantum dots in different animal models are essential (Wang and Hu 2014; Huang et al. 2019b; Zhu et al. 2011; Zhang et al. 2012; Wang et al. 2014a; Namdari et al. 2017).

Graphene quantum dots modified with polyethyleneimine or (3-carboxyl) phenyl bromide phosphine have been prepared via eco-friendly, rapid, cost-effective and large-scale preparative strategy (Fan et al. 2019). The graphene quantum dots-polyethyleneimine was generated by a simple hydrothermal procedure, and then (3-carboxyl) phenyl bromide phosphine was conjugated to the graphene quantum dots-polyethyleneimine through the amide linkage; average sizes of the prepared graphene quantum dots-polyethyleneimine and -(3-carboxyl) phenyl bromide phosphine being 3.75 and 3.25 nm, respectively. These two composites showed significant optical property, low cytotoxicity and selective targeting and imaging characteristics for cell nucleus or mitochondria, suggesting applications, including cell nucleus imaging or mitochondria imaging in vivo and in vitro for diagnosis and therapy (Fan et al. 2019).

### Challenges and opportunities

The optical and electrochemical detection of carbon and graphene quantum dots are of great interest to nanoresearchers in biomedical applications, but there are important challenging issues regarding their production (Fig. 10); some of them are highlighted in this section. Expectantly, increasing interest in the fabrication of these quantum dots, in view of their straightforward production and their integration with other nanomaterials, is sustainable. Although various





**Fig. 10** Issues and related methods for production of carbon and graphene quantum dot. CQDs: Carbon quantum dots, GQDs: Graphene quantum dots

processes and starting materials have been researched for their assembly, none or handful of these nanostructures have been able to make into marketable products yet (Liu et al. 2017b; Wang et al. 2016b; Murugan et al. 2019; Halder et al. 2018; Chen et al. 2019; de Menezes et al. 2019; Barras et al. 2016; Nekoueian et al. 2019; Li et al. 2015; Mei et al. 2016; Lin et al. 2014).

One of the important challenges is to optimize the production methodologies and improve the control over the sizes and morphologies of the produced particles; standardization of the fabrication processes should be accomplished. Considerable important variations exist with significant results on the carbon-based sensors functions and their related biocompatibility. Because, the fluorescence characteristics and quantum yields are associated with the inclusive composition and the existence of remaining chemical groups on the carbon and graphene quantum dots surfaces, this standardization is significantly essential. Optimized synthetic strategies are crucial for scaling up the processes, and in this context, applying simple, greener, environmentally friendly and cost-effective techniques are very critical. Using synthetic approaches that rely on renewable starting materials is remarkably interesting and requires investigations for commercial and scale-up production. On the other hand, applying synthetic methods at lower temperatures (low-energy input) using earth-abundant starting ingredients is still an important challenge for researchers in the field. Photoluminescence is one of the extremely attractive characteristics of carbon and graphene quantum dots, but these properties are highly dependent on the raw materials deployed in fabrication and the presence of surface functionalities on these particles which are noticeable in the case of carbon quantum dots from organic molecules and biomass. Most importantly, one of the lingering challenges has been to improve and develop the quantum yield of carbon quantum dots while taking full advantage of their intrinsic characteristics and to fine-tune their emission spectrum; the improvement in

carbon quantum dots with strong fluorescence emission is crucial for biomedical imaging without harmful effects (Liu et al. 2017b; Wang et al. 2016b; Murugan et al. 2019; Halder et al. 2018; Chen et al. 2019; de Menezes et al. 2019; Barras et al. 2016; Nekoueian et al. 2019; Li et al. 2015; Mei et al. 2016; Lin et al. 2014).

Toxicity issues should be systematically and comprehensively evaluated for appropriate applications in nano- and biomedicines. Determining how to engineer well-defined and optimized particles with high yields is still an important challenge; the electrical conductivity of carbon quantum dots nevertheless remains incompetently researched. Although their suitable water dispersibility renders these nanomaterials well-adapted for bio- and nano-medical applications, but their solubility precludes using classical electrode alteration processes such as drop casting and spraying. Carbon and graphene quantum dots can be applied as outstanding alternatives for biosensors and bioimaging applications especially carbon quantum dots being generally non-toxic show great photoluminescent characteristics (Nekoueian et al. 2019; Shen et al. 2012).

Carbon dots show low toxicity, good biocompatibility, and significant water solubility, and they have promising applications in sensing and imaging. The future applications of carbon dots in bioimaging and biosensing should include the improvement of selectivity, sensitivity, and stability of these systems; interference reduction from background and autofluorescence from biological experiments should be covered. Innovative fluorescent characteristics and the means of controlling these properties are hurdles for regulating the luminescent mechanism and the construction approach. The synthesis tactic, crystalline structure, non-quantitative surface structure, nano-size effect, and virtual size polydispersion are closely related to the luminescence mechanism, which can be resolved by careful evaluation, precise synthesis, and intelligent analysis. Future investigations should focus on the enhancement of quantum yield, biocompatibility, and synthesis approaches that should encompass green, eco-friendly, low-energy, low-cost, simple, and efficient routes, suitable nano-size, and compositional and structural alterations (Huang et al. 2019b; Iravani and Varma 2020).

Overall, the appraisal of these studies show that researchers are focusing on identifying innovative sources and methods based on green chemistry principals for synthesizing carbon and graphene quantum dots which have significant potentials for biomedical applications, including cell labeling, diagnostics or controlled drug delivery and release systems. Simple strategies providing feasible methods toward the commercial high yield production of carbon and graphene quantum dots are needed using cheap material sources, greener reagents under mild conditions. Although various approaches have been suggested for producing carbon and graphene quantum dots, well-defined structure and

precise sizes are barely available yet (D'souza et al. 2018; Kasibabu et al. 2015). It is vital to prepare quantum dots in a facile and greener manner with designed structure and size for proper investigations and biomedical applications. The synthetic routes and sources of carbon are important factors, which influence the sizes, morphologies and characteristics of the final product; the sizes for carbon dots are very crucial for understanding quantum phenomena, and also for biomedical applications and optoelectronics (Li et al. 2019).

Recently, various pathways have been outlined for the fabrication of both pure or doped carbon and graphene quantum dots with varied morphologies and characteristics. Though, discrete sustainable and greener synthetic approaches have been applied for synthesizing carbon quantum dots, investigations into graphene quantum dots are still at a growing stage, and more elaborate and critical studies are needed. As an example, the well-defined and atom-precise structures have not been reported comprehensively, thus restricting the profound evaluation of the relationships between structures and characteristics, detailed properties regulations, and comprehensive assessments of innovative synthetic approaches and applications. An all-inclusive consideration toward the optical characteristics of carbon dots is needed; additional theoretical evaluations and better understanding the mechanistic aspects are very critical.

## Conclusion

Advancements in nanosciences for advanced and developed diagnosis and personalized therapy of various complex diseases (especially, cancers) are of contemporary interest. Particularly, semiconductor quantum dots have been developed as innovative platforms for high-throughput quantitative analyses of multiple biomarkers in cells and clinical tissue samples (*ex vivo*), *in vivo* evaluations of cells with diseases, and potentially targeted and traceable drug delivery. Indeed, quantum dots show significant potentials in such biomedical, bioimaging, and photoluminescent applications, and they can be harnessed as promising fluorescent probes for imaging with low cytotoxicity as they show remarkable potentials in bioanalysis and related fields. Carbon quantum dots have received widespread attentions owing to their suitable biocompatibility, robust chemical inertness and higher resistance to photobleaching. Additionally, their optical characteristics can be adjusted via size control, chemical doping and functionalization for featured and specific applications.

Biomass-based carbon quantum dots have become substantial carbon materials because of their cost-effectiveness, ease of fabrication and lower environmental impact. But, it appears that there are not many investigations regarding using organisms (especially, cyanobacteria) as carbon sources for production of fluorescent carbon quantum dots.

Additionally, graphene quantum dots are attractive fluorophores because of their outstanding photoluminescence characteristics, water solubility, low cost, and toxicity. For instance, in some cases, COOH–graphene quantum dots demonstrated significant biocompatibility, and may be considered for biological and medical applications. It appears that considerable efforts should be expanded to find greener, simple, efficient, and eco-friendly fabrication methodologies for carbon and graphene quantum dots as well as their effective use in bio- and nano-medical applications. More scientific investigations need to be undertaken for scaling up and the commercial production of quantum dots by applying simple, efficient, economic and sustainable strategies with focus on biocompatibility and low cytotoxicity.

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## Compliance with ethical standards

**Conflict of interest** Authors declare no conflict of interest.

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