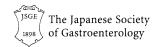
## REVIEW





# TGF- $\beta$ in inflammatory bowel disease: a key regulator of immune cells, epithelium, and the intestinal microbiota

Sozaburo Ihara<sup>1,2</sup> · Yoshihiro Hirata<sup>2</sup> · Kazuhiko Koike<sup>2</sup>

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**Abstract** Inflammatory bowel disease (IBD) is defined as chronic intestinal inflammation, and includes ulcerative colitis and Crohn's disease. Multiple factors are involved in the pathogenesis of IBD, and the condition is characterized by aberrant mucosal immune reactions to intestinal microbes in genetically susceptible hosts. Transforming growth factor-β (TGF-β) is an immune-suppressive cytokine produced by many cell types and activated by integrins. Active TGF-β binds to its receptor and regulates mucosal immune reactions through the TGF-β signaling pathway. Dysregulated TGF-β signaling is observed in the intestines of IBD patients. TGF-β signal impairment in specific cell types, such as T-cells and dendritic cells, results in spontaneous colitis in mouse models. In addition, specific intestinal microbes contribute to immune homeostasis by modulating TGF-β production. In this review, we describe the role of TGF-β in intestinal immunity, focusing on immune cells, epithelium, and intestinal microbes. In addition, we present potential therapeutic strategies for IBD that target TGF-β.

**Keywords** Transforming growth factor- $\beta$  · Inflammatory bowel disease · Dendritic cells · Microbiota · Adhesion molecules

#### **Abbreviations**

IBD Inflammatory bowel disease TGF-β Transforming growth factor-β

UC Ulcerative colitis
CD Crohn's disease
DCs Dendritic cells

TGF $\beta$ RI Transforming growth factor- $\beta$  type I receptor TGF $\beta$ RII Transforming growth factor- $\beta$  type II receptor

IELs Intraepithelial lymphocytes

### Introduction

Inflammatory bowel disease (IBD) is defined as chronic intestinal inflammation and includes ulcerative colitis (UC) and Crohn's disease (CD). IBD is believed to be caused by complex interactions among host genetic susceptibility, the immune response, environmental triggers, and the luminal microbiota [1, 2]. Genome-wide association studies have identified more than 160 loci associated with IBD susceptibility [3], including genes related to intestinal mucosal immune responses, such as NOD2 and ATG16L1 [4, 5]. Regarding environmental triggers, frequent use of antibiotics and improved sanitary conditions (leading to reduced contact with bacteria) are linked to IBD [6]. Changes in the intestinal microbiota are also involved in the pathogenesis of IBD [2, 7]. Inappropriate reactions to commensal intestinal bacteria, as well as an altered bacterial community, contribute to intestinal inflammation [7, 8]. However, the pathogenesis of IBD has not been fully elucidated, and the incidence and prevalence of IBD are increasing worldwide.

Transforming growth factor- $\beta$  (TGF- $\beta$ ) is a pleiotropic cytokine produced by many cell types, including immune



Division of Gastroenterology, The Institute for Adult Diseases, Asahi Life Foundation, Tokyo, Japan

Department of Gastroenterology, Graduate School of Medicine, The University of Tokyo, 7-3-1 Hongo, Bunkyoku, Tokyo 113-8655, Japan

cells and non-hematopoietic cells, and regulates multiple cellular functions as a suppressor of the immune response, cell proliferation, and oncogenesis. In intestinal immunity, TGF- $\beta$  suppresses inflammatory responses to luminal bacterial antigens and contributes to the induction of immune tolerance [9, 10]. *Smad3*, an intracellular signaling protein in the canonical TGF- $\beta$  pathway, is among the loci associated with IBD susceptibility [11]. Impaired TGF- $\beta$  signaling is reported to be associated with the development of intestinal inflammation in experimental models and IBD patients, and compounds that restore TGF- $\beta$  signaling are considered candidate agents for IBD treatment.

Here, we provide an overview of the role of TGF- $\beta$  in IBD, focusing on TGF- $\beta$  production, signaling, and functions as revealed by murine experimental models. Finally, we discuss potential therapeutic strategies for IBD that target TGF- $\beta$ .

# TGF-β in IBD

#### TGF-B production

TGF-β is abundant in the mammalian intestine. There are three TGF-β isoforms in mammals: TGF-β1, TGF-β2, and TGF-β3 [12]. Among them, TGF-β1 is the most abundant isoform and its role in intestinal immunity has been investigated extensively [9, 13]. TGF- $\beta$  is produced by many cell types; e.g., epithelial cells, immune cells, and fibroblasts [13, 14] (Fig. 1a). Although the mechanism underlying modulation of TGF-β production in the human intestine remains to be elucidated, TGF-\beta production is upregulated by various factors, such as bacteria, viruses, cytokines, apoptotic cells, and the autocrine/paracrine loop [15, 16]. A study using laser-captured micro-dissection reported that TGF-β expression was higher in the lamina propria than the epithelium in a healthy human colon [9]. TGF-β levels in IBD patients have also been evaluated. Babyatsky et al. reported that there was no significant difference in TGF-β1 expression in the colonic mucosa of healthy individuals and inactive UC and CD patients [13]. Another study showed that TGF-\beta1 expression in uninvolved mucosa of UC patients was lower than that in normal mucosa [17]. In contrast, TGF-β expression was reported to be elevated in active IBD patients, especially in lamina propria lymphocytes [13, 18]. Del Zotto et al. reported that lamina propria lymphocytes isolated from inflamed mucosa of UC patients showed increased TGF-β1 production compared to controls when stimulated with CD2 and CD28 [19]. Kanazawa et al. found that TGF-β2 and TGF-β3 expression was elevated in lamina propria lymphocytes of active UC and CD patients [20]. Moreover, the intestinal epithelium can produce TGF-β-containing extracellular vesicles [21]. Therefore, TGF- $\beta$  levels are increased in IBD tissues, but this may not be sufficient to counteract the ongoing inflammation.

The association between TGF- $\beta$  level and intestinal strictures in CD patients has also been investigated. Di Sabatino et al. reported that TGF- $\beta$  expression was elevated in the intestinal mucosa overlying strictures in CD patients [22]. This was due in part to elevated TGF- $\beta$  production by myofibroblasts near the involved intestinal stricture. Li et al. reported that the active TGF- $\beta$  level was higher in strictured intestinal muscle obtained from surgically resected ilea of CD patients than in adjacent normal intestinal tissue [23]. IL- $\beta$  production by smooth muscle cells was increased in strictured segments of CD patients. Furthermore, IL- $\beta$  activated the STAT3 pathway and promoted TGF- $\beta$ 1 production by intestinal smooth muscle cells of CD patients [24].

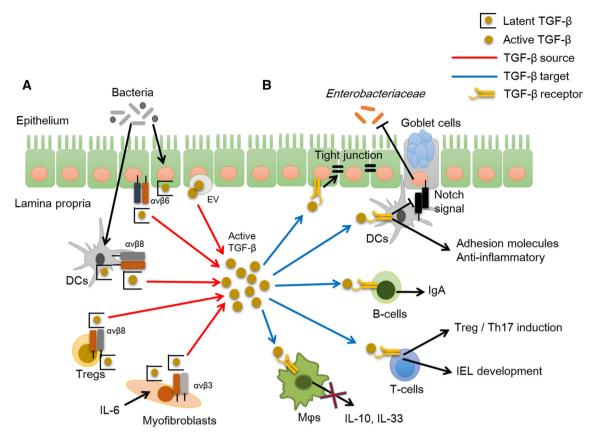
Serum TGF- $\beta$  levels in IBD patients have also been evaluated. Sambuelli et al. reported that the serum TGF- $\beta$ 1 level was higher in naïve active UC patients compared to healthy controls. In addition, the serum TGF- $\beta$ 1 concentration increased in response to conventional IBD treatments, suggesting that TGF- $\beta$ 1 is required for suppression of intestinal inflammation in active UC patients [19, 25]. Contini et al. reported that serum TGF- $\beta$ 1 and TGF- $\beta$ -expressing-neutrophils increased during granulocyte and monocyte apheresis therapy in patients with active UC [26].

Activation of latent TGF-\(\beta\) is important for proper TGFβ function (Fig. 1a) [27]. First, TGF-β is produced and secreted as an inactivate complex with latency-associated peptide. Next, latent TGF-β complex is cleaved and activated by serine proteases or metalloproteinases in a TGFβ-isoform-specific manner. In this process, integrins often function as critical co-factors of TGF-β activation [28]. Another study reported that  $\alpha v \beta 8$  integrin was highly expressed in dendritic cells (DCs) in the human intestine. Indeed, αvβ8 integrin expression on DCs was upregulated in IBD patients and by microbial stimuli [29]. These data suggest that TGF-β production and activation by immune cells, especially DCs, are necessary for inhibiting intestinal inflammation in IBD patients. In contrast, another study showed that TGF- $\beta$ 1 activation by integrin  $\alpha v \beta$ 3 on smooth muscle cells increased collagen production and development of fibrosis in CD patients with stricture [23].

#### TGF-β targets and signaling

Activated TGF- $\beta$  binds to the TGF- $\beta$  type II receptor (TGF $\beta$ RII), which is followed by formation of a complex with TGF- $\beta$  type I receptor (TGF $\beta$ RI). The resultant TGF- $\beta$  receptor complex activates intracellular signaling through the Smad-dependent canonical and Smad-





**Fig. 1** TGF- $\beta$  sources and TGF- $\beta$  targets in IBD. **a** TGF- $\beta$  sources in the intestine. TGF- $\beta$  is produced in a latent form or an extracellular vesicle (EV) by immune cells (DCs and Tregs) and non-hematopoietic cells (epithelial cells and fibroblasts). TGF- $\beta$  production is promoted by luminal bacteria, cytokines, and other stimuli. Latent TGF- $\beta$  is activated by integrins such as  $\alpha\nu\beta$ 3,  $\alpha\nu\beta$ 6, and  $\alpha\nu\beta$ 8. **b** TGF- $\beta$  targets in the intestine. TGF- $\beta$  binds to TGF- $\beta$  receptor on

independent non-canonical pathways. TGF- $\beta$  receptors are expressed by many cell types, including immune cells and epithelial cells, and have multiple functions associated with intestinal immune homeostasis (Fig. 1b). Some patients with Loeys–Dietz syndrome, an autosomal dominant disorder caused by heterozygous mutations of the genes encoding TGF $\beta$ RI or TGF $\beta$ RII (Tgfbr1 or Tgfbr2), develop

In the Smad-dependent canonical pathway, phosphory-lated Smad2 and Smad3 form a complex with Smad4 and enter the nucleus to regulate the transcription of target genes [32] (Fig. 2). A previous study reported that in healthy individuals, phosphorylation of Smad3 in intestinal T-cells was upregulated compared to that in peripheral T-cells, indicating a role for TGF- $\beta$  signaling in intestinal immune homeostasis [9]. Another study reported that phosphorylation of Smad3 was downregulated in colonic lamina propria mononuclear cells from IBD patients [10]. Smad7 is a downstream target of the TGF- $\beta$  pathway that binds to TGF $\beta$ RI and acts in a negative-feedback manner

early-onset IBD [30, 31].

immune cells (T-cells, B-cells, DCs, and macrophages) and epithelial cells, which activate the intracellular transduction pathway and contribute to intestinal homeostasis. Intestinal TGF- $\beta$  regulates multiple responses such as Treg and Th17 induction, IEL development, IgA production, modulating adhesion molecule expressions, preventing goblet cell depletion and dysbiosis, inhibiting IL10 and IL-33 productions, and enhancing epithelial tight junction expressions

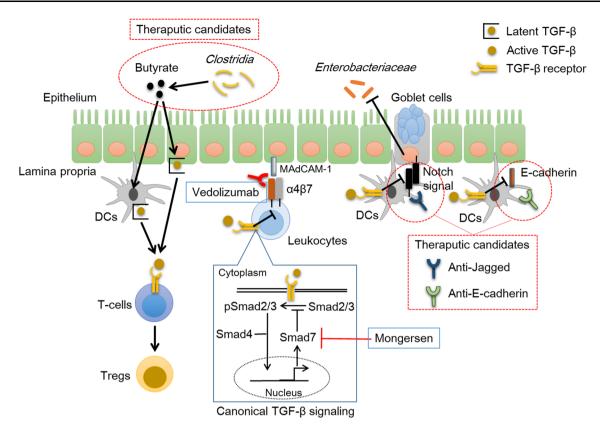
to inhibit the canonical TGF- $\beta$  pathway. Smad7 levels were reported to be elevated in the intestinal mucosa and lamina propria lymphocytes of IBD patients. An elevated Smad7 level resulted in decreased Smad3 phosphorylation and insufficient TGF- $\beta$  signaling, and may be associated with the pathogenesis of IBD [9, 10].

# Role of TGF-β in intestinal immunity

The intestinal immune system balances immune responses to commensal and harmful antigens in the intestinal lumen to maintain homeostasis. Dysfunction of this system results in intestinal inflammation. Several experimental models of IBD facilitate evaluation of the role of the intestinal immune system, and have identified key regulators and pathways of IBD pathogenesis.

Some mouse strains with inactivation or disruption of TGF- $\beta$  signaling are susceptible to intestinal inflammation and are used to study the pathogenesis of IBD. In 1993,





**Fig. 2** Therapeutic approaches related to TGF- $\beta$ . Therapeutic potentials associated with TGF- $\beta$  signaling in IBD treatments. Oral Smad7 antisense oligonucleotide Mongersen restores Smad-dependent canonical TGF- $\beta$  signaling by inhibiting Smad7, and has been tested in clinical trials. Butyrate mainly derived from *Clostridia* induces Treg differentiation through TGF- $\beta$  secretion from intestinal

epithelial cells or DCs, which may be a therapeutic target for IBD. Intergin  $\alpha 4\beta 7$  expression on leukocytes is negatively regulated by TGF- $\beta$  signaling, and a  $\alpha 4\beta 7$  inhibitor Vedolizumab is used for IBD therapy. E-cadherin and Notch ligand Jagged1 and Jagged2 are also negatively regulated by TGF- $\beta$  signaling, and may be therapeutic candidates for IBD treatment

TGF- $\beta 1$  germline-null mice were reported to exhibit massive inflammatory lesions in multiple organs, including the colon, at a few weeks of age [33, 34]. Thereafter, several studies have focused on the specific cellular functions mediated by TGF- $\beta$  signaling. Mice with inactivated TGF- $\beta$  signaling due to the presence of a dominant-negative mutant under the control of a cell-specific promoter, or with cell-specific disruption of TGF- $\beta$  signaling by a Crelox recombination, have been used in these studies (Table 1).

In this section, we review the role of TGF- $\beta$  in immune cells and the intestinal epithelium in the pathogenesis of IBD, focusing on experimental mouse models. In addition, we discuss the roles of TGF- $\beta$  and the intestinal microbiota in intestinal immunity.

## Immune cells

T-cells

TGF- $\beta$  regulates multiple immune processes of T-cells. A major function of TGF- $\beta$  signaling in T-cells is to suppress

T-cell proliferation and activation through Treg differentiation. Mice with T-cell-targeted deletion of TGF-β signaling (CD4-Cre Tgfbr2ff/fl) showed early onset of fatal systemic autoimmunity at 3-5 weeks of age [35, 36]. Furthermore, mice with T-cell-targeted inactivation of TGF-β signaling (CD4-dnTGFβRII) slowly developed systemic autoimmunity with spontaneous severe colitis at 3-4 months of age [37]. Autoimmunity in both mouse strains was characterized by massive infiltration of lymphocytes and the presence of activated T-cells in multiple organs. CD4-Cre Tgfbr2<sup>fl/fl</sup> mice lack TGFβRII expression on immature CD4<sup>+</sup> thymocytes and mature peripheral CD4<sup>+</sup> T-cells, including CD4<sup>+</sup> Tregs [35]. As a result, CD4-Cre Tgfbr2<sup>fl/fl</sup> mice showed a marked reduction in peripheral CD4<sup>+</sup>Foxp3<sup>+</sup> Tregs. These results suggest that TGF-β signaling in T-cells contributes to intestinal immune tolerance, in part by maintenance of the peripheral Treg cell population. Regarding the effect of TGF-β production by CD4<sup>+</sup> T-cells on Treg differentiation, mice with CD4<sup>+</sup> T-cell-targeted deletion of TGF-β1 production (CD4-CreTgfb1<sup>fl/-</sup>) did not show a reduction in the numbers of peripheral CD4<sup>+</sup>Foxp3<sup>+</sup> Tregs, although TGF-β1-



Table 1 Experimental mouse model targeting TGF- $\beta$  signaling

Mouse models	Intestinal phenotypes	Other descriptions	References
Global KO models			
$TgfbI^{-\prime-}$	Spontaneous colitis at 3–4 weeks of age	Multi-organ autoimmunity with 60% death in utero	[33, 34, 89]
Smad3 <sup>ex2/ex2</sup> (Jackson Laboratory)	Susceptible to DSS colitis	Bacteria trigger is required for colitis	[90–92]
Smad3 <sup>ex8/ex8</sup>	Spontaneous colitis	Develop colonic adenocarcinoma in 6 months of age	[63]
Smad4 <sup>+/-</sup>	Susceptible to DSS colitis	Dysbiosis characterized by increased Enterococcus faecalis	[93]
Cell-specific KO or Tg models			
Epithelium			
ITF-dnTGFβRII	Susceptible to DSS colitis	Generate autoantibodies against intestinal goblet cells	[94]
LFABP-PTS4-dnTGFβRII	Susceptible to DSS colitis	Delayed wound healing of intestinal injury	[95]
Villin-CreER Tgfbr2 <sup>fl/fl</sup>	Susceptible to DSS colitis	Epithelial TGFβ signaling suppresses colitis- associated tumorigenesis	[64]
T cells			
CD2-dnTGFβRII	Normal	Enlarged lymphoid organs with CD8 <sup>+</sup> T-cell expansion	[96]
CD4-dnTGFβRII	Spontaneous colitis at 3–4 months of age	Multi-organ autoimmunity	[37, 42]
CD4-dnTGFβRII; <i>IL10r2</i> <sup>-/-</sup>	Spontaneous colitis	Antibiotic treatment prevents colitis	[80]
CD4-Cre Tgfb1 <sup>fl/-</sup>	Spontaneous colitis over 4 months of age	Multi-organ autoimmunity over 4 months of age	[38]
CD4-Cre Tgfbr1 <sup>fl/fl</sup>	Normal?	Reduction in CD8αα <sup>+</sup> IELs	[42, 61]
CD4-Cre Tgfbr2 <sup>fl/fl</sup>	Spontaneous colitis at 3–5 weeks of age	More aggressive multi-organ autoimmunity than CD4-dnTGFβRII	[35, 36]
CD4-Cre Smad4 <sup>fl/fl</sup>	Spontaneous colitis at 9 months of age	Develop colonic adenocarcinoma in 9-16 months of age	[97, 98]
CD4-Cre β1 <sup>glo</sup>	Normal	TGF-β1 overexpression in T cells	[61]
cLck-Cre Tgfbr2 <sup>fl/fl</sup>	Normal	Useful strain for understanding post-thymic T cell functions	[43, 99]
cLck-Cre Smad4 <sup>fl/fl</sup>	Spontaneous colitis over 9 months of age	Develop colonic adenocarcinoma in 9–16 months of age	[32]
CD2-Smad7 <sup>tg</sup>	Susceptible to DSS colitis	Does not show autoimmunity over 18 weeks of age	[100, 101]
B cells			
CD19-Cre <i>Tgfbr2</i> <sup>fl/fl</sup>	Normal	B cell hyperplasia in Peyer's patches with serum IgA deficiency	[45, 46]
Dendritic cells			
CD11c-dnTGFβRII	Normal	Does not show autoimmunity and survive over 1 year	[102, 103]
CD11c-Cre Tgfbr2 <sup>fl/+</sup>	Susceptible to DSS colitis	Antibiotic treatment prevents DSS colitis	[50]
CD11c-Cre Tgfbr2 <sup>fl/fl</sup>	Spontaneous colitis at 5–14 weeks of age	Colitogenic microbiota, multi-organ autoimmunity	[49, 50]
CD11-Cre Smad2 <sup>fl/fl</sup>	Ameliorate DSS colitis	Increased Tregs	[15]
Macrophages			
CD68-dnTGFβRII	Susceptible to DSS colitis	Decreased IL-10 production, and elevated IL-33 <sup>+</sup> macrophages	[58]
Lysm-Cre Tgfbr2 <sup>fl/fl</sup>	Normal	Myeloid TGFβ signaling promotes colitis- associated tumorigenesis	[59]

KO knockout,  $TGF-\beta$  transforming growth factor- $\beta$ ,  $TGF\beta RII$  transforming growth factor- $\beta$  type II receptor,  $dnTGF\beta RII$  dominant-negative transforming growth factor- $\beta$  receptor II, DSS dextran sodium sulfate, Tregs regulatory T cells, IELs intestinal epithelial lymphocytes



null mice showed lower numbers of Tregs, indicating that TGF-β1 produced by cell types other than T-cells contributes to peripheral Treg differentiation [38].

Another function of TGF- $\beta$  in T-cells is Th17 differentiation. TGF- $\beta$  together with IL-6 was reported to induce differentiation of Th17 cells from naïve CD4<sup>+</sup> T-cells. Th17 cells produce IL-17 and IFN- $\gamma$ , which are necessary for mucosal defense against bacteria, but tend to promote intestinal inflammation [39, 40]. Previous studies showed that Th17 development in the intestine was impaired in TGF- $\beta$ 1-null mice and CD4-Cre  $Tgfb1^{fl/-}$  mice [38, 41]. In contrast, Th17 development was not impaired in CD4-Cre  $Tgfbr1^{fl/fl}$  mice or CD4-dnTGF $\beta$ RII mice [42]. Moreover, the role of Th17 cells in intestinal inflammation in TGF- $\beta$ -mutant mice is unclear.

A novel function for TGF- $\beta$  in memory CD8<sup>+</sup> T-cells was reported recently. cLck-Cre  $Tgfbr2^{fl/fl}$  mice showed decreased retention of antigen-specific memory CD8<sup>+</sup> T-cells in the intestine, partly due to the defective expression of integrins [43].

#### B-cells

TGF-β in B-cells mediates IgA class-switch and promotes IgA production [44, 45]. Although mice with deletion of TGF-β signaling in B-cells (CD19-Cre Tgfbr2<sup>fl/fl</sup>) did not show signs of autoimmunity or colitis, CD19-Cre Tgfbr2<sup>fl/fl</sup> mice showed B-cell hyperplasia in Peyer's patches and decreased B-cell responsiveness with complete serum IgA deficiency [45, 46]. IgA protects against luminal bacteria by neutralization, enhancing phagocytosis and antigen presentation by DCs. IgA also inhibits bacterial adhesion to the epithelium by blocking surface epitopes of bacteria [47]. IgA production was augmented by the interaction between B-cells and DCs in Peyer's patches through integrin  $\alpha v \beta 8$ -activated TGF- $\beta$  [48]. This was confirmed by the finding that IgA class-switch by B-cells in Pever's patches was impaired in CD11c-Cre Itgb8fl/fl mice and by treatment with an αvβ8-blocking antibody [48].

## DCs

Mice with deletion of TGF- $\beta$  signaling in DCs (CD11c-Cre  $Tgfbr2^{fl/fl}$ ) developed spontaneous colitis with multiple organ autoimmunity, similar to CD4-Cre  $Tgfbr2^{fl/fl}$  and TGF- $\beta$ 1-null mice [49, 50]. Spontaneous colitis in CD11c-Cre  $Tgfbr2^{fl/fl}$  mice was characterized by loss of goblet cells with lymphocytic infiltration and systemic autoimmunity due to altered Treg differentiation, activated T-cells and B-cells, and increased secretion of inflammatory cytokines such as TNF- $\alpha$  and IFN- $\gamma$  [49]. We also examined colitis in CD11c-Cre  $Tgfbr2^{fl/fl}$  mice and found enhanced expression of Notch ligands on DCs, goblet cell

depletion, a thinner mucus layer, and dysbiosis (Fig. 1b) [50]. These results reveal the critical role played by TGF- $\beta$  signaling by DCs in colonic homeostasis.

DCs are also important as a source and activator of TGF- $\beta$  in the intestine. Intestinal DCs produce TGF- $\beta$  and IL-10, which are major suppressors of intestinal immunity [51]. Previous reports showed that CD103<sup>+</sup> tolerogenic DCs produce TGF- $\beta$  and retinoic acid, which contributes to Treg differentiation [52, 53]. Intestinal DCs also contribute to TGF- $\beta$  activation. Mice with DC-specific deletion of integrin  $\beta$ 8 (CD11c-Cre  $Itgb8^{fl/fl}$ ) developed spontaneous colitis due to a lack of TGF- $\beta$  activation by  $\alpha$ v $\beta$ 8 in DCs, whereas T-cell-specific deletion of integrin  $\beta$ 8 (CD4-Cre  $Itgb8^{fl/fl}$ ) did not result in the development of colitis [54].

In contrast to CD103<sup>+</sup> tolerogenic DCs, E-cadherin<sup>+</sup> inflammatory DCs promoted intestinal inflammation through aberrant IL-17 production by CD4<sup>+</sup> T-cells [55]. E-cadherin is an adhesion molecule expressed in the intestinal epithelium, and also by subsets of monocytes, DCs, and macrophages [55-57]. E-cadherin<sup>+</sup> DCs were increased in a T-cell-transfer murine colitis model, especially in the inflamed intestine. Indeed, adoptive transfer of E-cadherin<sup>+</sup> BMDCs into T-cell-restored Rag1<sup>-/-</sup> mice exacerbated colitis, with an increased Th17 response [55]. TGF- $\beta$ -deficient mice (DO11.10  $Tgfb1^{-/-}$ ) showed an increased frequency of E-cadherin<sup>+</sup> DCs, indicating that TGF-β limits the accumulation of E-cadherin on DCs [55]. However, the molecular mechanisms underlying exacerbation of colitis by E-cadherin<sup>+</sup> DCs are unclear, and data regarding E-cadherin expression in intestinal DCs from IBD patients are lacking.

#### Macrophages

Mice with TGF- $\beta$  signaling inactivation in macrophages (CD68-dnTGF $\beta$ RII) did not develop spontaneous colitis, but exhibited susceptibility to DSS-induced colitis with reduced IL-10 production [58]. TGF- $\beta$  signaling in macrophages suppressed IL-33 production and protected against intestinal inflammation [58, 59]. It has also been reported that TGF- $\beta$  downregulates the expression of innate response receptors, such as that for LPS (CD14), on human intestinal macrophages. This contributed to the development of an "inflammatory anergy" macrophage phenotype, which is characterized by a lack of proinflammatory cytokine production under inflammatory stimuli but retention of phagocytic and bactericidal activity [60].

#### Intraepithelial lymphocytes (IELs)

TGF- $\beta$  production and signaling by T-cells are important for IEL development [61]. IELs reside in the intestinal epithelial layer and play a role in mucosal defense. The



majority of  $TCR\alpha\beta^+$  IELs are divided into subsets expressing  $CD8\alpha\alpha^+$  or  $CD8\alpha\beta^+$  [62]. A previous study demonstrated that  $Tgfb1^{-/-}$ ,  $Smad3^{ex8/ex8}$ , and CD4-Cre  $Tgfbr1^{fl/fl}$  mice showed reduced numbers of  $TCR\alpha\beta^+CD8\alpha\alpha^+$  IELs, whereas mice with TGF- $\beta1$ -over-expressing T-cells (CD4-Cre  $\beta1^{glo}$ ) showed increased numbers of  $TCR\alpha\beta^+CD8\alpha\alpha^+$  IELs, suggesting that TGF- $\beta$  controls the generation and retention of  $CD8\alpha\alpha^+$  IELs via  $CD8\alpha$  expression [61, 63]. Another study investigated TGF- $\beta$  production by  $TCR\alpha\beta^+CD8\alpha\beta^+$  IELs. Upon infection by  $Toxoplasma\ gondii$ , TGF- $\beta$  produced by IELs interacted with the lamina propria  $CD4^+$  T-cells and reduced intestinal inflammation by downregulating IFN- $\gamma$  production [62].

#### Epithelium and extracellular matrix

Previous studies have unraveled the roles of TGF-β in intestinal epithelial homeostasis associated with mucosal integrity, wound healing, and consequent fibrosis. Mouse models with disruption of TGF-β signaling in the intestinal epithelium (such as Villin-CreER *Tgfbr2*<sup>fl/fl</sup> mice) showed increased susceptibility to DSS-induced colitis [64], although spontaneous colitis did not occur in these strains, in contrast to TGF-β1-null mice (Table 1). A recent study revealed that TGF-β-containing extracellular vesicles released by epithelial cells induced Treg differentiation and inhibited colitis by binding to EpCAM<sup>+</sup> epithelial cells [21]. Therefore, epithelial cells also play an important role in immune homeostasis in a TGF-β-dependent manner.

TGF- $\beta$  modulates the barrier function of the epithelium by regulating the expression levels of tight-junction proteins and adhesion molecules [65]. A previous in vitro study using an intestinal monolayer cell line reported that TGF- $\beta$  enhanced intestinal epithelial barrier function by inducing production of the tight junction protein Claudin-1, and by preventing the pathogenic bacteria-induced reduction of levels of the tight-junction proteins Claudin-2, Occludin, and ZO-1 [66].

As wound healing progresses in injured tissues, the provisional extracellular matrix is replaced by a newly formed matrix, which is rich in collagen synthesized by fibroblasts migrating into the wound [67]. The extracellular matrix is composed of collagens, non-collagenous glycoproteins (including fibronectin) and proteoglycans. In CD patients, chronic transmural intestinal inflammation can result in intestinal fibrosis and fistula, which require surgical resection [68]. Intestinal strictures in CD patients, which are usually caused by chronic inflammation and healing, were associated with an increased TGF- $\beta$  transcript level and excessive accumulation of extracellular matrix proteins, such as collagens and fibronectin [22, 69]. Myofibroblasts isolated from intestinal strictures of CD

patients overexpressed collagen III, and TGF- $\beta$ 1 promoted collagen III production by myofibroblasts [69]. Moreover, pirfenidone, an anti-fibrogenic drug used for the treatment of fibrotic diseases, suppressed intestinal fibrosis in a DSS-induced colitis model by inhibiting TGF- $\beta$  signaling [70, 71].

#### Microbiota

The intestinal lumen harbors trillions of microbes of diverse taxa, including both commensal and harmful bacteria; this microbial ecosystem is termed the microbiota. The microbiota plays a mutualistic role in intestinal homeostasis by modulating the host immune systems through their own physiological processes and metabolites [72]. Some commensal bacterial strains exert an immunomodulatory effect in a manner involving  $TGF-\beta$ .

#### Clostridium

Clostridium is a major genus in the intestinal microbiota and includes several commensal taxa. Microbial transplantation experiments using germ-free mice showed that some strains of Clostridium cluster IV and XIVa induced TGF-β release from the intestinal epithelium and Treg differentiation [73–75]. Clostridium cluster IV and XIVa were reported to be less abundant in IBD patients than in healthy controls [76]. Another study showed that administration of Clostridium butyricum (cluster I) as a probiotic [77] promoted Treg differentiation through TGF-β1 produced by lamina propria DCs in a TGF-β-Smad and TLR-ERK-AP1 pathway-dependent manner [15].

## **Bacteroides**

Bacteroides is a Gram-negative, obligate anaerobic bacterial genus that comprises a considerable proportion of the normal intestinal flora. The abundance of the genus Bacteroides is decreased in IBD patients compared to healthy controls [78]. Bacteroides fragilis was reported to induce Treg differentiation [79]. B. fragilis monocolonization of germ-free mice restored TGF-β2 and IL-10 production by Tregs and elicited mucosal tolerance in the intestine. This TGF-β2 production was dependent on polysaccharide A of B. fragilis [79]. In contrast, another study reported that luminal commensal bacteria, such as Bacteroides vulgatus and Bacteroides thetaiotaomicron, are responsible for the development of colitis in mice with T-cell-specific inactivation of TGF-β and IL-10 signaling [80]. Importantly, these two Bacteroides species did not induce colitis in hosts with intact TGF-β signaling, suggesting that TGF-β signaling suppresses the proinflammatory effects of commensal bacteria.



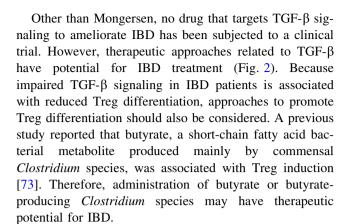
#### Enterobacteriaceae

The commensal Gram-negative *Enterobacteriaceae* comprise a minor proportion of the intestinal microbiota; however, overgrowth of *Enterobacteriaceae* occurs in most colitis models and IBD patients, and may be associated with the promotion of intestinal inflammation [8, 72, 81]. The mechanisms underlying the induction and promotion of colitis by *Enterobacteriaceae* are unclear; however, increased oxidative stress due to, for example, increased ROS and NOS generation caused by bacterial stimulation of TLRs, contributes to dysbiosis [82, 83]. We previously reported overgrowth of *Enterobacteriaceae* in CD11c-cre  $Tgfbr2^{fl/fl}$  mice. TGF- $\beta$  signaling of DCs was essential for control of the luminal *Enterobacteriaceae* through the interactions with epithelial cells [50].

# Potential therapeutic strategies targeting TGF-β

Anti-TNF- $\alpha$  antibodies have for more than 15 years been used to treat IBD. Our increasing understanding of the role of immune regulation in the pathogenesis of IBD has resulted in the proposal of novel therapeutic options for IBD [84]. As described above, the importance of TGF- $\beta$  signaling in IBD pathogenesis is becoming increasingly apparent. In this section, we focus on the therapeutic potential of TGF- $\beta$  signaling.

An oral Smad7 antisense oligonucleotide (Mongersen, GED-0301) was reported to restore TGF-β signaling in the intestine of CD patients, an effect mediated by degradation of Smad7 mRNA [85]. In a preclinical trial, Monteleone et al. showed that inhibition of Smad7 by a specific antisense oligonucleotide restored TGF-\beta1-induced Smad3 phosphorylation in lamina propria mononuclear cells of UC and CD patients [10]. In a phase I trial of Mongersen, 15 patients with active CD received one of three doses of Mongersen (40, 80, or 160 mg), and the results demonstrated its safety and tolerability [86]. In a phase II trial, 166 patients with active CD were randomly assigned to receive one of three doses of Mongersen (10, 40, or 160 mg) or placebo [85, 87]. The primary outcome of this phase II trial, which was the clinical remission rate at day 15 of Mongersen treatment, was achieved in 55 and 65% of patients in the 40 and 160 mg Mongersen groups, respectively, compared with 10% for the placebo group. Most adverse events were related to complications and symptoms of CD. This trial demonstrated a benefit in terms of the clinical remission rate, as well as the safety and tolerability, of Mongersen in active CD patients [87]. Further studies involving a large population of CD patients are needed to confirm the safety and efficacy of Mongersen.



TGF-β regulates the expression of adhesion molecules, such as integrins and E-cadherin, and Notch ligands in lymphocytes, which are also therapeutic targets for IBD. TGF- $\beta$  downregulates the expression of integrin  $\alpha 4\beta 7$ , E-cadherin, and Jagged1/2 [43, 50, 55]. Expression of these factors may be upregulated in TGF-β-signaling-impaired IBD patients, and so agents that suppress expression of these factors may have therapeutic potential. Although anti-E-cadherin and Jagged1/2 have not been subjected to clinical trials, anti-α4β7 treatment has been used in clinical practice. Vedolizumab is the first gut-selective humanized  $\alpha 4\beta 7$  inhibitor, and functions by blocking the interaction between α4β7 on gut-homing T-cells and MAdCAM-1 in the epithelium [88]. Vedolizumab has been approved for clinical IBD therapy in the USA and Europe. Therefore, factors involved in TGF-β signaling may also have therapeutic potential, which should be the subject of further work.

## **Concluding remarks**

The studies included in this review suggest that TGF-β is involved in the maintenance of intestinal homeostasis through modulating the functions of immune cells, the epithelium, and the luminal microbiota, which are associated with the pathogenesis of IBD. Studies using samples from IBD patients have shown that the TGF-β level is elevated in active IBD patients, but activation of TGF-β signaling is insufficient to suppress active IBD. Studies using mouse models have shown that TGF-β signaling by T-cells or DCs is important for Treg differentiation and epithelial homeostasis, to protect against the development of spontaneous colitis. Further studies using such mouse models will enhance our understanding of the role of TGFβ signaling in IBD, and likely result in identification of novel therapeutic targets associated with TGF-β signaling. Recent clinical studies showing the efficacy of TGF-β signal restoration in treatment of active CD will drive targeting of TGF-β signaling in IBD treatment. Therapies



that influence the microbiota to modulate intestinal TGF- $\beta$  production, and those targeting TGF- $\beta$ -related adhesion molecules can be the next candidates for IBD treatments.

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#### Compliance with ethical standards

Conflict of interest The authors declare that there are no conflicts of interest.

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