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# Elliptic lift of the Shiraishi function as a non-stationary double-elliptic function

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ABSTRACT: As a development of [1], we note that the ordinary Shiraishi functions have an insufficient number of parameters to describe generic eigenfunctions of double elliptic system (Dell). The lacking parameter can be provided by substituting elliptic instead of the ordinary Gamma functions in the coefficients of the series. These new functions (ELS-functions) are conjectured to be functions governed by compactified DIM networks which can simultaneously play the three roles: solutions to non-stationary Dell equations, Dell conformal blocks with the degenerate field (surface operator) insertion, and the corresponding instanton sums in 6d SUSY gauge theories with adjoint matter. We describe the basics of the corresponding construction and make further conjectures about the various limits and dualities which need to be checked to make a precise statement about the Dell description by double-periodic network models with DIM symmetry. We also demonstrate that the ELS-functions provide symmetric polynomials, which are an elliptic generalization of Macdonald ones, and compute the generation function of the elliptic genera of the affine Laumon spaces. In the particular U(1) case, we find an explicit plethystic formula for the 6d partition function, which is a non-trivial elliptic generalization of the (q,t) Nekrasov-Okounkov formula from 5d.

KEYWORDS: Integrable Hierarchies, Supersymmetric Gauge Theory, Topological Strings,

Duality in Gauge Field Theories

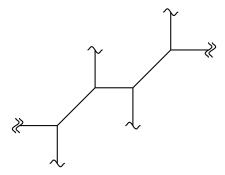
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### 1 Introduction

Nekrasov's extension [2, 3] of Seiberg-Witten theory [4, 5] describes instanton sums (LMNS integrals [6, 7] in the  $\Omega$ -background deformed supersymmetric Yang-Mills theories. Like Seiberg-Witten theory itself [8, 9], this extension can be encoded in terms of the theory of integrable systems: in particular, in the Nekrasov-Shatashvili limit [10], when one of the two  $\Omega$ -deformation  $\epsilon$ -parameters vanishes, an AGT related conformal block with a degenerate field (surface operator) insertion [11, 12] satisfies the Baxter equation [15– 17, i.e. the stationary Schrödinger equation for the quantized integrable systems in separated variables. The full-fledged  $\Omega$ -deformation is supposed to be related to non-stationary Schrödinger equation [12–14]. An adequate language to describe the entire set of problems, including the AGT relations [18–20] and extended Virasoro symmetries [21], is that of network models [22, 23], which is basically a representation theory of the Ding-Iohara-Miki (DIM) algebras [24, 25], a far-going unification of the Virasoro and W algebras. In this SYM/integrability dictionary, the most rich and interesting is the still mysterious doubleelliptic (Dell) system [26–28]. At the level of integrable systems of the Calogero-Ruijsenaars type, it possesses double periodicity (ellipticity) in both momenta and coordinates [26, 27]. In Seiberg-Witten theory, it corresponds to the 6d SYM system with adjoint matter hypermultiplet [28, 29] (adjoint is known to gives rise to the elliptic Calogero-Ruijsenaars systems [9, 30, 31], while the other torus comes from the compactification from 6d to 4d).



**Figure 1**. Network model description of conformal blocks and Nekrasov functions [22, 23]. Dell deformation corresponds to compactification in both horizontal and vertical directions, the two elliptic parameters are associated with the two twists [32, 33].

In the language of network systems, one needs to compactify the network in both the vertical and horizontal directions [32–34], see figure 1.

In all these approaches, a full Dell theory is still a challenge despite a considerable progress during the last years. Recently, in [1] we suggested to add the fourth approach to these studies: the Shiraishi functor [35], which is a very explicit construction in the spirit of Noumi-Shiraishi generalization of hypergeometric series. This approach is equivalent to studying hypergeometric functions just at the level of series, without a reference to their free-field and representation theory interpretations, and thus it is less involved and much simpler in many respects. In this paper, we further elaborate along these lines, and consider an elliptic deformation of the original Shiraishi series (a particular example of the general construction in [35]) partly inspired by [36], which provides an extra parameter needed for description of the Dell system. Remarkably, this deformation (and in fact many others, see the section 10) preserves the most important features of Shiraishi series, which makes further development of this generalized hypergeometric theory both enjoyable and potentially important for various physical applications. Note that, potentially, there could be still a problem with getting symmetric polynomials after deformation [35], however, it turns out that exactly the elliptic deformation of the Shiraishi series preserves symmetric polynomials.

In what follows, we study various properties of this elliptic lift of the Shiraishi functions (ELS-functions). In section 2, we define the ELS-functions and study their limit to the functions of the dual Ruijsenaars system (when one of the elliptic parameters goes to zero; in terms of the gauge theory, this corresponds to the perturbative limit). In section 3, we discuss the property of the ELS-functions to give rise to symmetric functions after a proper specialization. In section 4, we discuss a space-time picture behind the ELS-functions and various dualities among its parameters. In section 5, we discuss another representation of the ELS-functions very close to the Noumi-Shiraishi one [37], its relation with the ordinary Shiraishi functions at a peculiar value of parameter and with the doubly compactified DIM networks. In section 6, we demonstrate that the answer for the resolved conifold for such a network calculated earlier from the refined topological vertex (DIM intertwining operator) [38] or, equivalently, as a trace of the product of two intertwining

operators [34] coincides with the ELS-function in the simplest U(1) case. In section 7, we find an explicit plethystic formula for this simplest ELS-function, which is a non-trivial elliptic generalization of the (q,t) Nekrasov-Okounkov formula from 5d. This formula hints that the ELS-functions provide generating functions of elliptic genera of the Hilbert scheme. In the next section 8, we demonstrate that, indeed, the ELS-function computes the generation function of the elliptic genera of the affine Laumon spaces, which extends the claim of [39] that the ordinary Shiraishi function is a generating function of the Euler characteristics of the affine Laumon spaces. These considerations lead us in section 9 to our main conjecture: that the ELS-function satisfies non-stationary equations with (yet not completely understood) quantum Dell Hamiltonians. In the Nekrasov-Shatashvili limit, it is an eigenfunction of the Dell Hamiltonians, while, in the full  $\Omega$ -background, it solves a non-stationary Dell equation. Section 10 contains some concluding remarks.

### 2 ELS-function

The Shiraishi function  $\mathfrak{P}_n(x_i; p|y_i; s|q, t)$  is originally defined [39] to be a formal power series

$$\mathfrak{P}_{n}(x_{i}; p|y_{i}; s|q, t) := \sum_{\vec{\lambda}} \prod_{i,j=1}^{n} \frac{N_{\lambda^{(i)}, \lambda^{(j)}}^{(j-i|n)}(ty_{j}/y_{i}|q, s)}{N_{\lambda^{(i)}, \lambda^{(j)}}^{(j-i|n)}(y_{j}/y_{i}|q, s)} \prod_{\beta=1}^{n} \prod_{\alpha \geq 1} \left(\frac{px_{\alpha+\beta}}{tx_{\alpha+\beta-1}}\right)^{\lambda_{\alpha}^{(\beta)}},$$
(2.1)

where  $\vec{\lambda} := (\lambda^{(1)}, \dots, \lambda^{(n)})$  is an *n*-tuple of partitions (Young diagrams) and  $\lambda_{\alpha}^{(\beta)}$  denotes the length of the  $\alpha$ -th row of the Young diagram  $\lambda^{(\beta)}$ . We also identify  $x_{\alpha+n} = x_{\alpha}$ . The Nekrasov factor with the mod *n* selection rule

$$N_{\lambda,\mu}^{(k|n)}(u|q,s) := \prod_{\substack{j \ge i \ge 1 \\ j-i \equiv k \pmod{n}}} (uq^{-\mu_i + \lambda_{j+1}} s^{-i+j}; q)_{\lambda_j - \lambda_{j+1}}$$

$$\cdot \prod_{\substack{\beta \ge \alpha \ge 1 \\ \beta - \alpha \equiv -k-1 \pmod{n}}} (uq^{\lambda_\alpha - \mu_\beta} s^{\alpha - \beta - 1}; q)_{\mu_\beta - \mu_{\beta+1}}, \tag{2.2}$$

with the q-Pochhammer symbols

$$(u;q)_{\infty} := \prod_{i=0}^{\infty} (1 - q^i u), \qquad (u;q)_n := \frac{(u;q)_{\infty}}{(q^n u;q)_{\infty}}$$
 (2.3)

is featured as the coefficients of the Shiraishi function.

We can consider an elliptic lift of  $\mathfrak{P}_n(x_i; p|y_i; s|q, t)$  by replacing the infinite q-Pochhammer symbol (2.3) with the elliptic gamma function

$$\Gamma(u;q,w) := \frac{(qw/u;q,w)_{\infty}}{(u;q,w)_{\infty}},\tag{2.4}$$

where

$$(u;q,w)_{\infty} := \prod_{i,j=0}^{\infty} (1 - q^i w^j u) = \exp\left(-\sum_{n=1}^{\infty} \frac{1}{n} \frac{u^n}{(1 - w^n)(1 - q^n)}\right). \tag{2.5}$$

Note that the elliptic gamma function  $\Gamma(u;q,w)$  is symmetric in q and w and satisfies the following basic q-difference equation:

$$\Gamma(qu;q,w) = \theta_w(u)\Gamma(u;q,w), \tag{2.6}$$

where our convention for the theta function<sup>1</sup> is

$$\theta_w(z) := (z; w)_{\infty} (wz^{-1}; w)_{\infty}.$$
 (2.7)

Since

$$\lim_{w \to 0} \Gamma(u; q, w) = (u; q)_{\infty}^{-1}, \tag{2.8}$$

the function

$$\Theta(u;q,w)_n = \frac{\Gamma(q^n u;q,w)}{\Gamma(u;q,w)}$$
(2.9)

has the property

$$\lim_{w \to 0} \Theta(u; q, w)_n = (u; q)_n. \tag{2.10}$$

We also have

$$\Theta(u;q,w)_n = \begin{cases} \prod_{k=0}^{n-1} \theta_w(q^k u), & n \ge 0, \\ \prod_{k=0}^{n-1} \theta_w(q^{-k-1} u)^{-1}, & n < 0. \end{cases}$$
 (2.11)

Now we define the elliptic lift of the Shiraishi function (ELS-function)

$$\mathfrak{P}_{n}^{E.G.}(x_{i}; p|y_{i}; s|q, t, w) := \sum_{\vec{\lambda}} \prod_{i,j=1}^{n} \frac{\mathcal{N}_{\lambda^{(i)}, \lambda^{(j)}}^{(j-i)}(ty_{j}/y_{i}|q, s, w)}{\mathcal{N}_{\lambda^{(i)}, \lambda^{(j)}}^{(j-i)}(y_{j}/y_{i}|q, s, w)} \prod_{\beta=1}^{n} \prod_{\alpha \geq 1} \left(\frac{px_{\alpha+\beta}}{tx_{\alpha+\beta-1}}\right)^{\lambda_{\alpha}^{(\beta)}},$$
(2.12)

where

$$\mathcal{N}_{\lambda,\mu}^{(k)}(u|q,s,w) := \prod_{\substack{j \ge i \ge 1 \\ j-i \equiv k \pmod{n}}} \Theta(uq^{-\mu_i + \lambda_{j+1}} s^{-i+j}; q, w)_{\lambda_j - \lambda_{j+1}} \\
\times \prod_{\substack{j \ge i \ge 1 \\ j-i \equiv -k-1 \pmod{n}}} \Theta(uq^{\lambda_i - \mu_j} s^{i-j-1}; q, w)_{\mu_j - \mu_{j+1}}.$$
(2.13)

When the selection rule is not imposed in (2.13), by (2.9) we can rewrite it as

$$\mathcal{N}_{\lambda,\mu}(u|q,s^{-1},w) = \prod_{j\geq i\geq 1} \frac{\Gamma(uq^{-\mu_i+\lambda_j}s^{i-j};q,w)}{\Gamma(uq^{-\mu_i+\lambda_{j+1}}s^{i-j};q,w)} \prod_{i\geq j\geq 1} \frac{\Gamma(uq^{\lambda_j-\mu_{i+1}}s^{i-j+1};q,w)}{\Gamma(uq^{\lambda_j-\mu_i}s^{i-j+1};q,w)} 
= \prod_{i,j=1}^{\infty} \frac{\Gamma(uq^{\lambda_j-\mu_i}s^{i-j};q,w)}{\Gamma(us^{i-j};q,w)} \cdot \frac{\Gamma(us^{i-j+1};q,w)}{\Gamma(uq^{\lambda_j-\mu_i}s^{i-j+1};q,w)},$$
(2.14)

<sup>&</sup>lt;sup>1</sup>Notice an unusual normalization as compared with the standard odd  $\theta$ -function [40]: the factor  $(w; w)_{\infty}/\sqrt{z}$  is omitted.

where we have made a change  $s \to s^{-1}$  to facilitate the comparison with six dimensional gauge theory. We see this is nothing but the elliptic lift of the Nekrasov factor that appears in six dimensional instanton partition function [41, 42]. The eigenfunctions of the Dell system are obtained by taking the Nekrasov-Shatashvili limit  $s \to 1$  of the Nekrasov partition function of six dimensional gauge theory with adjoint matter and codimension two defects [15, 16, 28, 43]. On the other hand, the original Shiraishi function  $\mathfrak{P}_n(x_i; p|y_i; s|q, t)$  is recovered by taking  $w \to 0$ . Note that when we compare the Shiraishi function with the Nekrasov function, not t but s, which is introduced as a non-stationary parameter in [39], plays the role of the omega background parameter as it should be [12]. This is also the case in [13] and [14], where the instanton counting was discussed from the viewpoint of affine Lie algebras. It is desirable to clarify such a double role of the parameter s.

To compute the limit  $p \to 0$  of the function  $\mathfrak{P}_n^{E.G.}(x_i; p|y_i; s|q, t, w)$ , we use

$$\Theta(u;q,w)_n = (-u)^n q^{\frac{1}{2}n(n-1)} \Theta(qu^{-1};q,w)_{-n}^{-1}, \qquad n \in \mathbb{Z}_{\geq 0},$$
(2.15)

which, for n > 0, can be proved as follows (see (2.11));

$$\Theta(u;q,w)_{n} \cdot \Theta(qu^{-1};q,w)_{-n} = \prod_{k=0}^{n-1} \theta_{w}(q^{k}u)\theta_{w}(q^{-k}u^{-1})^{-1}$$

$$= \prod_{k=0}^{n-1} \frac{(q^{k}u;w)_{\infty}(wq^{-k}u^{-1};w)_{\infty}}{(q^{-k}u^{-1};w)_{\infty}(wq^{k}u;w)_{\infty}} = \prod_{k=0}^{n-1} \frac{1-q^{k}u}{1-q^{-k}u^{-1}} = (-u)^{n}q^{\frac{1}{2}n(n-1)}.$$
(2.16)

In fact, using the relation (2.15), we can make computations similar to appendix A of [1] to show that the function

$$Z(x_i|y_i|q,t,w) = \lim_{p \to 0} \mathfrak{P}_n^{E.G.}(p^{n-i}x_i;p|s^{n-i}y_i;s|q,t,w)$$
 (2.17)

is the partition function of the  $S^1$  lift of the T[U(n)] theory, sometimes called 4D holomorphic block [53],<sup>2</sup> which is a theory on  $\mathbb{R}^2 \times T^2$ . The elliptic parameter w is the modulus of  $T^2$ . Then by the change of variables  $y_i = 1/\mu_i$ , we find (2.17) agrees with

$$Z(\vec{\mu}, \vec{\tau}|q, t, w) = \sum_{\{k_i^{(a)}\}} \prod_{a=1}^{n-1} \left( t \frac{\tau_a}{\tau_{a+1}} \right)^{\sum_{i=1}^a k_i^{(a)}} \prod_{i \neq j}^a \frac{\Theta\left( t \frac{\mu_i}{\mu_j}; q, w \right)_{k_i^{(a)} - k_j^{(a)}}}{\Theta\left( \frac{\mu_i}{\mu_j}; q, w \right)_{k_i^{(a)} - k_j^{(a)}}}$$

$$\times \prod_{i=1}^a \prod_{j=1}^{a+1} \frac{\Theta\left( \frac{q\mu_i}{t\mu_j}; q, w \right)_{k_i^{(a)} - k_j^{(a+1)}}}{\Theta\left( \frac{q\mu_i}{\mu_j}; q, w \right)_{k_i^{(a)} - k_j^{(a+1)}}},$$

$$(2.18)$$

which should be compared with eq. (4.21) of [43].

<sup>&</sup>lt;sup>2</sup>It would be interesting to find its relation with the twisted indices in the spirit of [54].

# 3 Symmetric polynomials from ELS-functions

As explained in [35], one can consider a generic construction (2.12)–(2.13) with the  $\theta$ function in (2.11) substituted by an almost arbitrary function  $\xi(z)$  restricted only by the
conditions

$$\xi(1) = 0, \quad \xi(z^{-1}) = \alpha z^{-1} \xi(z), \quad \alpha \in \mathbb{C}$$
 (3.1)

the corresponding generalization of the Shiraishi function being denoted through  $\mathfrak{P}_{n}^{\xi}(x_{i};p|y_{i};s|q,t)$  instead of  $\mathfrak{P}_{n}^{E.G.}(x_{i};p|y_{i};s|q,t,w)$  in (2.12).

Such a construction is naturally related to symmetric polynomials. That is, for a Young diagram R with the line lengths  $R_1 \geq R_2 \geq \ldots$ 

$$\mathbf{P}_{R}^{\xi}(x_{i}; p, s, q, t) := \prod_{i=1}^{n} x_{i}^{R_{i}} \cdot \mathfrak{P}_{n}^{\xi} \left( p^{n-i} x_{i}; p \mid y_{i} = q^{R_{i}} (st)^{n-i}; s \mid q, \frac{q}{t} \right)$$
(3.2)

is a graded function of variables  $x_i$  of the weight  $|R| = \sum_i R_i$ , which is a series in  $p^{nk}$ :

$$\mathbf{P}_{R}^{\xi}(x_{i}; p, s, q, t) = \sum_{k>0} p^{nk} \cdot \frac{\mathbf{P}_{R}^{\xi(k)}(x_{i}; s, q, t)}{\prod_{i=1}^{n} x_{i}^{k}} = \sum_{k>0} \mathbf{P}_{R}^{\xi(k)}(x_{i}; s, q, t) \cdot \prod_{i=1}^{n} \left(\frac{p}{x_{i}}\right)^{k}$$
(3.3)

Here  $\mathbf{P}_{R}^{\xi(k)}(x_i; s, q, t)$  is a polynomial of variables  $x_i$  with grade |R| + nk. This polynomial is generally not symmetric, and we had to make a special projection in [35] in order to produce a symmetric polynomial out of this.

This polynomial is, however, always symmetric for  $\xi(z) = 1 - z$ , and  $\mathbf{P}_{R}^{\xi(0)}(x_i; s, q, t)$  is the *n*-independent Macdonald polynomial. It turns out that the elliptic deformation  $\xi(z) = \theta_w(z)$  preserves the symmetricity and *n*-independency, i.e. the ELS-function gives rise to symmetric polynomials!

For instance, in the simplest non-trivial case of n=4 and  $\mathbf{P}_{R}^{\xi_{R}^{(0)}}(x_{i};s,q,t)$ , the symmetricity at R=[3,1] requires that

$$\zeta_2(1)^2 - \zeta_2(1)\zeta_2(qt) - \zeta_2(1)\zeta_2(q) + \zeta_2(t)\zeta_2(qt) = 0$$
(3.4)

$$\zeta_k := \frac{\xi(q^k z)\xi(tz)}{\xi(q^{k-1}tz)\xi(qz)} \tag{3.5}$$

while that at R = [4, 1], (3.4) and also

$$\zeta_2(1)\zeta_3(1) - \zeta_2(q^2t)\zeta_3(1) - \zeta_4(1) + \zeta_4(t) = 0$$
(3.6)

$$\zeta_3(1) - \zeta_2(1)\zeta_2(q^2t) - \zeta_3(q) + \zeta_3(qt) = 0 \tag{3.7}$$

etc. These identities are, indeed, true for the theta-function,  $\xi(z) = \theta_w(z)$ . The identities are four-term and each term is a product of six  $\theta$ -functions, they look similar to the ones appearing in description of the elliptic  $\mathcal{R}$ -matrices in [44, 45]. The first identities (3.4), (3.6) emerged even earlier in [46, eq. (2.31)] (upon identification  $a^2 = t^2q$  and  $a^2 = t^3q$  accord-

ingly with further interchanging  $q \leftrightarrow t$ ) within the framework of an elliptic algebra<sup>3</sup> of surface defects in 4d.

Note that the specialization of the "dual" variables  $y_i = q^{R_i}(st)^{n-i}$  looks similar to "the principal specialization" [47], [58, appendix B.3], though the ELS-function is neither a symmetric function of these variables, nor a double-symmetric in  $x_i$ ,  $y_i$ . It would be interesting to get a gauge theory interpretation for this specialization, maybe in the spirit of [48].

# 4 Duality properties

The ELS-function  $\mathfrak{P}_n^{E.G.}(x_i; p|y_i; s|q, t, w)$  depends on five parameters<sup>4</sup> (p, s, q, t, w) other than the PQ dual spectral parameters  $(x_i, y_i)$ . Let us describe the geometric picture behind these parameters.

It is tempting to identify the five ELS-function parameters with  $\Omega$ -background of ten dimensional space-time, for example  $\mathbb{R}^4 \times \mathrm{C}Y_3$ , or  $\mathbb{R}^4 \times \mathrm{S}^1 \times \mathrm{C}Y_3$  for M theory, where  $CY_3$  is a (local) Calabi-Yau three-fold. One can say this is cavalier, since our five parameters are independent and we do not impose the Calabi-Yau condition. However, it is inspired by the argument in [49], where the three dimensional mirror symmetry, which is an incarnation of the spectral duality is formulated as follows: we consider a ten dimensional space-time

$$Z = \mathcal{L}_4 \oplus \mathcal{L}_5 \longrightarrow X,$$
 (4.1)

where X is a local curve

$$X = \mathcal{L}_1 \oplus \mathcal{L}_2 \longrightarrow B, \tag{4.2}$$

which is a sum of two line bundles over the base curve B. In the present case, X is a resolved conifold and the triplet (t, p, w) (see their association with gauge theory parameters in (6.7) below) is associated with X. Furthermore, it is natural to identify the parameters (q, s), which are the original  $\Omega$ -background of Nekrasov for  $\mathbb{R}^4$ . Then the claim of [49, section5.5] is that geometrically the three dimensional mirror symmetry is nothing but the exchange of  $\mathcal{L}_1 \oplus \mathcal{L}_2$  and  $\mathcal{L}_4 \oplus \mathcal{L}_5$ . This, in particular, implies  $p \leftrightarrow s$  under the spectral duality, and this is consistent with the conjecture in [39]: in the limit  $w \to 0$  of the ELS-function (see (2.1)), (p,s) have been regarded as a pair of elliptic parameters exchanged by PQ (or spectral) duality in [39]. In fact, there are a few different duality properties behind the ELS-functions.

To begin with, one of the remarkable properties in six dimensions is that, in the instanton partition function of the theory with the adjoint hypermultiplet of mass m, the omega background parameters (q, s) and the (exponentiated) mass parameter  $t = \frac{1}{2} \left( \frac{1}{2}$ 

<sup>&</sup>lt;sup>3</sup>Actually, this elliptic algebra is looking very similar to the algebra of the conjugate GNS polynomials,  $\operatorname{Gns}^{\perp}$  of [35] at  $\xi(z) = \theta_w(z)$ . In particular, the generalized Littlewood-Richardson coefficients do not vanish iff they do not vanish for the corresponding Schur functions, i.e. the ring of the conjugate GNS polynomials in the elliptic case is consistent with the tensor product of representations of  $\operatorname{SL}(N)$ , like the algebra in [46] does. We are grateful to the referee of our paper who attracted our attention to the paper [46].

<sup>&</sup>lt;sup>4</sup>Notice that we use the letter p, which is an arbitrary parameter and is not obligatory equal to q/t often used in the papers on the subject.

 $e^{-m}$  appear on an equal footing<sup>5</sup> and we can associate plane partitions with a triple of parameters (q, s, t) [32, 33]. This is one of the trialities.

On the other hand, as we discuss in section 6, when we look at the Seiberg-Witten curve of the six dimensional theory, a pair of elliptic moduli (p, w) is naturally combined with the mass parameter t, to reveal  $\mathrm{Sp}(4,\mathbb{Z})$  modular symmetry [50]. Hence, there are two trialities: the omega-background triality of (q, s, t) and the elliptic parameter triality of (p, w, t) (more exactly, it is a triple (p/t, w/t, t/(qs))). Since the mass parameter t is common to the both trivialities above, we may connect Nekrasov-Shatashvili limit  $s \to 1$  on the omega-background side and the "Shiraishi limit"  $w \to 0$  on elliptic parameter side through their relation to the common parameter t. In any case, it is an interesting challenge to work out the relation of the two limits of the ELS-function.

Note that similar trialities in five and six dimensional superconformal indices and little string theories have been observed in [51] and [52]. It is an interesting problem to figure out a relation to the triality of (q, s, t) here, since all of them are expected to be related to the triality of DIM algebra.

# 5 Shiraishi function and the compactification of DIM network

We can similarly define an elliptic lift of the Noumi-Shiraishi representation [37] of the Macdonald function. Let  $M = (m_{i,j})_{1 \leq i,j \leq n}$  be a strictly upper triangular  $n \times n$  matrix with non-negative integer components;  $m_{i,j} \in \mathbb{Z}_{\geq 0}$  and  $m_{i,j} = 0$  for  $i \geq j$ . We define

$$C_{n}^{\text{E.G.}}(M; y_{i}|q, t, w) := \prod_{k=2}^{n} \prod_{1 \leq i < j \leq k} \frac{\Theta(q^{\sum_{a=k+1}^{n} (m_{i,a} - m_{j,a})} \frac{ty_{j}}{y_{i}}; q, w)_{m_{i,k}}}{\Theta(q^{\sum_{a=k+1}^{n} (m_{i,a} - m_{j,a})} \frac{qy_{j}}{y_{i}}; q, w)_{m_{i,k}}} \times \prod_{1 \leq i \leq j < k} \frac{\Theta(q^{-m_{j,k} + \sum_{a=k+1}^{N} (m_{i,a} - m_{j,a})} \frac{qy_{j}}{ty_{i}}; q, p)_{m_{i,k}}}{\Theta(q^{-m_{j,k} + \sum_{a=k+1}^{n} (m_{i,a} - m_{j,a})} \frac{y_{j}}{y_{i}}; q, w)_{m_{i,k}}}.$$
(5.1)

Then the elliptic gamma lift  $f_n^{\text{E.G.}}(x|y|q,t,p)$  is defined by

$$f_n^{\text{E.G.}}(x_i|y_i|q,t,w) := \sum_{m_{i,j}} C_n^{\text{E.G.}}\left(M; y_i|q, \frac{q}{t}, w\right) \prod_{1 \le i \le j \le n} \left(\frac{x_j}{x_i}\right)^{m_{i,j}}.$$
 (5.2)

Note that we have made a change of variable from t to q/t. In fact,  $f_n^{\text{E.G.}}(x|y|q,t,w)$  is equal [35] to

$$f_n^{\text{E.G.}}(x|y|q,t,w) = \lim_{p \to 0} \mathfrak{P}_n^{E.G.}(p^{n-i}x_i;p|s^{n-i}y_i;s|q,t,w) = Z(x_i|y_i|q,t,w)$$
(5.3)

By the relation (2.10),  $f_n^{\text{E.G.}}(x_i|y_i|q,t,w)$  reduces to the Noumi-Shiraishi representation of the Macdonald function in the limit  $w \to 0$ .

One of the main results of a recent paper [36] is that up to some normalization constant  $\mathfrak{C}$ , the elliptic lift  $f_n^{\text{E.G.}}(x_i|y_i|q,t,p)$  gives the PQ dual form of the Shiraishi function  $\mathfrak{P}_n(x_i;p|y_i;s|q,t)$  on the special locus  $s=t^{-1/n}$ . Namely they proved that

$$\mathfrak{P}_{n}(\tilde{x}_{i}; p^{1/n} | \tilde{y}_{i}; t^{-1/n} | q, t) = \mathfrak{C} \cdot f_{n}^{\text{E.G.}}(y_{i} | x_{i} | q, t, p), \tag{5.4}$$

<sup>&</sup>lt;sup>5</sup>Strictly speaking we should choose the integration contour appropriately to achieve such a symmetry.

where the spectral parameters  $x_i$  and  $y_i$  are scaled as

$$\tilde{x}_k := p^{-k/n} x_k, \qquad \tilde{y}_k := t^{k/n} y_k.$$
 (5.5)

The normalization constant is

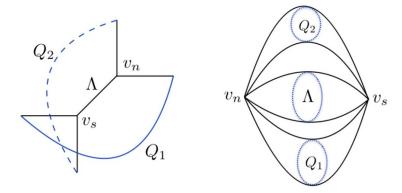
$$\mathfrak{C} := \left(\frac{(qp/t; q, p)_{\infty}}{(p; p)_{\infty}(pt; q, p)_{\infty}}\right)^{n} \prod_{1 \le i < j \le n} \frac{\Gamma(tx_{i}/x_{j}; q, p)}{\Gamma(qx_{i}/x_{j}; q, p)} \prod_{1 \le i < j \le n} \frac{(tx_{i}/x_{j}; q)_{\infty}}{(qx_{i}/x_{j}; q)_{\infty}}.$$
 (5.6)

Originally p and s are a pair of elliptic parameters in the Shiraishi function, but on the r.h.s. of (5.4) one of the parameters is constrained by  $s = t^{-1/n}$ , and the remaining parameter p appears as the elliptic modulus of the theta function. Note that the variables  $x_i$  and  $y_i$  are exchanged on the left and the right hand sides of (5.4). In this sense,  $f_n^{\text{E.G.}}$  gives a PQ dual representation of the Shiraishi function. The restriction to the special locus  $s = t^{-1/n}$  comes from the fact that the affine screening operators employed in [39] can be reproduced from the intertwiners of the Ding-Iohara-Miki (DIM) algebra only when  $s = t^{-1/n}$  [36]. In the proof of (5.4), the duality formula for the DIM intertwiners established in [55] was used, and this is the reason why it is valid only on the special locus. That is, (5.4) is a consequence of equating the results of computing the same network diagram in two different ways exchanging the vertical and the horizontal direction. The fact that the affine screening operators in [39] agree with the DIM screening operators only at  $s = t^{-1/n}$  seems consistent with the fact that the DIM algebra does not have any elliptic parameter. We can extend DIM algebra to an elliptic DIM algebra with the elliptic parameter w (see the next section). However, the affine screening operators in [39] suggests the existence of another elliptic deformation with parameter s.

The computation of [36] should be compared with that of the M-string partition function [56, 57]. The difference is that there are no surface defects in [56, 57], and we would obtain the formulas without the mod n selection rule. The M-string partition function is obtained from the toric (network) diagram where external legs are identified (compactified) along either of the vertical (preferred) and the horizontal (unpreferred) directions. Hence, there are two ways of computing the M-string partition function, which are related by duality. Comparing the formulas (Case I and Case II in section 3 of [56]), we find that the compactification along the vertical direction corresponds to the original definition of the Shiraishi function, while the compactification along the horizontal direction gives the elliptic lift  $f_n^{\text{E.G.}}$ .

## 6 ELS-function and the double compactified DIM network

Once we understand that the Shiraishi function comes from the network with one direction compactified, it is natural to expect that the network with double compactification gives the ELS-function  $\mathfrak{P}_n^{E.G.}(x_i; p|y_i; s|q, t, w)$ . The relation of double compactified toric diagram to the Dell system has been expected since [50]. In the simplest case (n = 1), the corresponding diagram represents the resolved conifold geometry. The single compactification produces a (degenerate) genus one curve as a pair of rational curves  $\mathbb{P}^1$  attached



**Figure 2.** Double compactification of the resolved conifold is a degenerate genus two curve. The Kähler parameters of three rational curves  $\mathbb{P}^1$  match three moduli of genus two curves.

together at two punctures, while the double compactification gives a (degenerate) genus two curve consisting of a triple of rational curves attached together at two punctures (see figure 2). Note that the genus two curve has three moduli, which are two elliptic moduli p and w and the mass of the adjoint matter  $t = e^{-m}$ . Since these parameters come from the Kähler parameters of three rational curves  $\mathbb{P}^1$ , we may expect a triality among them.

In [38] the (refined) elliptic genus of  $\operatorname{Hilb}_n\mathbb{C}^2$  was computed by the method of the refined topological vertex. The relevant toric diagram is the double compactified conifold diagram. To the diagram, we can associate the Kähler parameter  $\Lambda$  of the base  $\mathbb{P}^1$  and those of the compactified two dimensional fiber  $Q_1$  and  $Q_2$ . Since the conifold geometry engineers U(1) gauge theory, the fixed points of the torus action are labeled by a single Young diagram  $\lambda$ . The result of [38] is

$$\begin{split} Z_{\mathrm{U}(1)}^{6d}(Q_{i},\Lambda;q,t) \\ &= \sum_{\lambda} (\mathfrak{q}\Lambda)^{|\lambda|} \prod_{k=0}^{\infty} \prod_{\square \in \lambda} \frac{(1-\mathfrak{q}^{-1}Q_{1}^{k+1}Q_{2}^{k}q^{a(\square)}t^{\ell(\square)+1})(1-\mathfrak{q}^{-1}Q_{1}^{k}Q_{2}^{k+1}q^{a(\square)}t^{\ell(\square)+1})}{(1-Q_{1}^{k}Q_{2}^{k}q^{a(\square)}t^{\ell(\square)+1})(1-\mathfrak{q}^{-2}Q_{1}^{k+1}Q_{2}^{k+1}q^{a(\square)}t^{\ell(\square)+1})} \\ &\times \frac{(1-\mathfrak{q}^{-1}Q_{1}^{k+1}Q_{2}^{k}q^{-a(\square)-1}t^{-\ell(\square)})(1-\mathfrak{q}^{-1}Q_{1}^{k}Q_{2}^{k+1}q^{-a(\square)-1}t^{-\ell(\square)})}{(1-Q_{1}^{k}Q_{2}^{k}q^{-a(\square)-1}t^{-\ell(\square)})(1-\mathfrak{q}^{-2}Q_{1}^{k+1}Q_{2}^{k+1}q^{-a(\square)-1}t^{-\ell(\square)})} \end{split} \tag{6.1}$$

where  $\mathfrak{q} = (t/q)^{1/2}$  and, for  $\square = (i,j)$ , we define the arm length  $a(\square) := \lambda_i - j$  and the leg length  $\ell(\square) := \lambda_j^{\vee} - i$ , with  $^{\vee}$  denoting the transposition of the Young diagram. Making the change of variables

$$w := Q_1 Q_2, \qquad s := t^{-1}, \tag{6.2}$$

we can rewrite the above formula in terms of the theta functions:

$$Z_{\mathrm{U}(1)}^{6d}(w,Q_{1},\Lambda;q,s) = \sum_{\lambda} (\mathfrak{q}\Lambda)^{|\lambda|} \prod_{\square \in \lambda} \frac{\theta_{w}(\mathfrak{q}^{-1}Q_{1}q^{a(\square)}s^{-\ell(\square)-1})\theta_{w}(\mathfrak{q}^{-1}Q_{1}q^{-a(\square)-1}s^{\ell(\square)})}{\theta_{w}(q^{a(\square)}s^{-\ell(\square)-1})\theta_{w}(q^{-a(\square)-1}s^{\ell(\square)})}.$$

$$(6.3)$$

Note that using the formula proved in [58], we can show the following identity for the elliptic Nekrasov factor [42];

$$\mathcal{N}_{\lambda,\mu}(u|q,s^{-1},w) = \prod_{i,j=1}^{\infty} \frac{\Gamma(uq^{\lambda_j-\mu_i}s^{i-j};q,w)}{\Gamma(us^{i-j};q,w)} \cdot \frac{\Gamma(us^{i-j+1};q,w)}{\Gamma(uq^{\lambda_j-\mu_i}s^{i-j+1};q,w)}$$

$$= \prod_{\square \in \lambda} \theta_w(uq^{a_{\lambda}(\square)}s^{\ell_{\mu}(\square)+1}) \prod_{\square \in \mu} \theta_w(uq^{-a_{\mu}(\square)-1}s^{-\ell_{\lambda}(\square)}). \tag{6.4}$$

Let us look at the case n = 1, though it is trivial as an integrable system. In this case, the selection rule is empty, and the ELS-function becomes a summation over a single Young diagram  $\lambda$ . Using the formula (6.4), we obtain

$$\mathfrak{P}_{n=1}^{E.G.}(p|s|q,t,w) = \sum_{\lambda} \left(\frac{p}{t}\right)^{|\lambda|} \prod_{\square \in \lambda} \frac{\theta_w(tq^{a(\square)}s^{-\ell(\square)-1}) \cdot \theta_w(tq^{-a(\square)-1}s^{\ell(\square)})}{\theta_w(q^{a(\square)}s^{-\ell(\square)-1}) \cdot \theta_w(q^{-a(\square)-1}s^{\ell(\square)})}. \tag{6.5}$$

Hence, by the identification  $\mathfrak{q}^{-1}Q_1 = t$ ,  $\mathfrak{q}\Lambda = p/t$ , we see the agreement of  $Z_{\mathrm{U}(1)}^{6d}(w,y,\Lambda;q,t)$  and  $\mathfrak{P}_{n=1}^{E.G.}(p|s|q,t,w)$ .

Note that equivalently one can describe the double compactification of the network as sums of traces of two intertwiners: upon identification  $p \to P_{\perp}$ ,  $w \to Q$ ,  $t \to P\sqrt{q/t}$ ,  $s \to t^{-1}$  (notice also a rescaling of the mass parameter  $m \to -2\pi i m$ ), formula (6.5) reduces to [34, (6.31)] obtained this way. One may try to consider an immediate generalization [34, (6.43)] with 2n intertwiners. The answer can not literally give the ELS-function, because it describes the case of n variables  $y_i$  and still only one variable x, while in (2.12) there are both n variables  $y_i$  and n variables  $x_i$ . However, if one impose all  $x_i$  coinciding, the answer is ELS-function without the selection rule. In order to get the selection rule, one has to make the next step. We return to the network picture of the ELS-function elsewhere [59].

In [50] the following symmetry among the moduli parameters in the Seiberg-Witten curve was pointed out  $^6$ 

$$\hat{\tau} + \frac{m}{2\pi i} \longleftrightarrow \tau + \frac{m}{2\pi i} \longleftrightarrow \frac{m}{2\pi i} \tag{6.6}$$

In terms of the exponentiated parameters

$$w = e^{2\pi i \hat{\tau}}, \qquad p = e^{2\pi i \tau}, \qquad t = e^{-m},$$
 (6.7)

this is a symmetry among (w/t, p/t, t/(qs)) (qs = 1) in the unrefined case). If we take into account the relations (6.2) to the parameters of the Kähler parameters of three rational curves in the double compactified network, we can see that this symmetry comes from the symmetry among  $(\Lambda, Q_1, Q_2)$ .

Let us note that (6.1) is evidently symmetric w.r.t. the permutation  $Q_1 \leftrightarrow Q_2$ . In terms of ELS-function parameters, it is the symmetry under  $w/t \leftrightarrow t/(qs)$  at constant s and t. Let us test the second, less evident  $p/t \leftrightarrow t/(qs)$  symmetry, which one would expect because of the duality between the elliptic parameters p and w. Note that the symmetry

<sup>&</sup>lt;sup>6</sup>We denote the dimensionless mass parameter  $\beta m$  in [50] by -m. The sign of the mass parameter changes under the flop of local Calabi-Yau geometry.

 $p/t \leftrightarrow t/(qs)$  can be checked already at the level of the ordinary Shiraishi function. To do this, we consider the w=0 limit of (6.1).

In the limit of  $Q_2 = 0$ , formula (6.1) reduces to

$$Z_{\mathrm{U}(1)}^{5d}(Q_{1},\Lambda;q,t) = \sum_{\lambda} (\mathfrak{q}\Lambda)^{|\lambda|} \prod_{\square \in \lambda} \frac{(1 - \mathfrak{q}^{-1}Q_{1}q^{a(\square)}t^{\ell(\square)+1})(\mathfrak{q}^{-1}Q_{1} - q^{a(\square)+1}t^{\ell(\square)})}{(1 - q^{a(\square)}t^{\ell(\square)+1})(1 - q^{a(\square)+1}t^{\ell(\square)})}. \quad (6.8)$$

This is the Shiraishi function at n = 1 (upon an evident identification of parameters) [39]. The symmetry we check is  $\Lambda \leftrightarrow Q_1$  at constant q and t.

Let us first put t = q and note that  $a(\Box) + \ell(\Box) + 1 = h_{\Box}$ , the hook length. Then,

$$Z_{\mathrm{U}(1)}^{5d}(Q_1, \Lambda; q) = \sum_{\lambda} \Lambda^{|\lambda|} \prod_{\Box \in \lambda} \frac{(1 - Q_1 q^{h_{\Box}})(Q_1 - q^{h_{\Box}})}{(1 - q^{h_{\Box}})^2}.$$
 (6.9)

One can check that this formula is not symmetric w.r.t. the permutation of  $\Lambda$  and  $Q_1$ : it is possible to perform the summation explicitly [60, eq. (33)],<sup>7</sup>

$$Z_{\mathrm{U}(1)}^{5d}(Q_{1},\Lambda;q) = \prod_{k,m\geq 0} \left[ \frac{(1-\Lambda^{k+1}Q_{1}^{k}q^{m+1})(1-\Lambda^{k}Q_{1}^{k+1}q^{m+1})}{(1-\Lambda^{k+1}Q_{1}^{k+1}q^{m+1})(1-\Lambda^{k+1}Q_{1}^{k+1}q^{m+1})} \right]^{m+1} \cdot \prod_{k=1} \frac{1}{(1-\Lambda^{k}Q_{1}^{k})} \cdot \prod_{m=1} \frac{1}{(1-Q_{1}q^{m})^{m}}$$

and what spoils the symmetry is the last factor. Fully  $(\Lambda, Q_1)$ -symmetric is the product

$$\prod_{m=1} (1 - Q_1 q^m)^m \cdot Z_{\mathrm{U}(1)}^{5d}(Q_1, \Lambda; q)$$
(6.10)

The formula for  $Z_{\mathrm{U}(1)}^{5d}(Q_1,\Lambda;q)$  can be rewritten in terms of the plethystic exponential

P.E. 
$$\left[ F(\{x_i\}) \right] := \exp\left( \sum_k \frac{1}{k} F(\{x_i^k\}) \right)$$
 (6.11)

where by  $\{x_i\}$  we understand all the variables  $(Q_i, \Lambda, q, t)$ , and

$$Z_{\mathrm{U}(1)}^{5d}(Q_1,\Lambda;q) = \mathrm{P.E.}\left[\frac{\Lambda(1-qQ_1)(Q_1-q)}{(1-\Lambda Q_1)(1-q)^2}\right] = \mathrm{P.E.}\left[\frac{\Lambda Q_1(1+q^2)-q(\Lambda+Q_1)}{(1-\Lambda Q_1)(1-q)^2} + \frac{qQ_1}{(1-q)^2}\right]$$
(6.12)

The last term is the only non-symmetric one, and it exactly corresponds to the MacMahon function as in (6.10).

At last, at the generic values of t, (6.8), one similarly obtains [61, eq. (1.4)] (this formula was first discussed in [58, 62, 63])

$$Z_{\mathrm{U}(1)}^{5d}(Q_{1},\Lambda;q,t) = \mathrm{P.E.}\left[\frac{\mathfrak{q}\Lambda(1-t\mathfrak{q}^{-1}Q_{1})(\mathfrak{q}^{-1}Q_{1}-q)}{(1-\Lambda Q_{1})(1-q)(1-t)}\right]$$

$$= \mathrm{P.E.}\left[\frac{\Lambda Q_{1}(1+tq)-\sqrt{qt}(\Lambda+Q_{1})}{(1-\Lambda Q_{1})(1-q)(1-t)} + \frac{\sqrt{qt}Q_{1}}{(1-q)(1-t)}\right]$$
(6.13)

<sup>&</sup>lt;sup>7</sup>Notice various misprints in this equation in that paper

Again, only the last term is non-symmetric, and this means the invariant is the product

$$\prod_{l,m\geq 0} (1 - Q_1 q^{l + \frac{1}{2}} t^{m + \frac{1}{2}}) \cdot Z_{\mathrm{U}(1)}^{5d}(Q_1, \Lambda; q, t)$$
(6.14)

This should not come as a surprise:  $Z_{\mathrm{U}(1)}^{5d}(Q_1,\Lambda;q,t)$  describes the instanton sum in the 5d theory with adjoint matter hypermultiplet, while this additional factor is the perturbative contribution [38], and the full partition function is invariant. This factor also coincides with the normalization factor in [34, eq. (6.31)].

Similarly, the perturbative factor in the 6d theory is given by [38, eq. (3.40)]

P.E. 
$$\left[ -\frac{\sqrt{qt}(Q_1 + Q_2) - (1 + qt)Q_1Q_2}{(1 - q)(1 - t)(1 - Q_1Q_2)} \right]$$
 (6.15)

Indeed, one can check (see the next section) that, in the case of general parameters, the triality symmetric function is given by

$$P.E. \left[ -\frac{\sqrt{qt}(Q_1 + Q_2) - (1 + qt)Q_1Q_2}{(1 - q)(1 - t)(1 - Q_1Q_2)} \right] \times Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q, t) =$$

$$= \prod_{i_1, i_2, i_3 \ge 0} (1 - Q_1^{i_1 + 1}Q_2^{i_1}q^{i_2 + \frac{1}{2}}t^{i_3 + \frac{1}{2}})(1 - Q_1^{i_1}Q_2^{i_1 + 1}q^{i_2 + \frac{1}{2}}t^{i_3 + \frac{1}{2}})$$

$$\times (1 - Q_1^{i_1 + 1}Q_2^{i_2 + 1}q^{i_2}t^{i_3})(1 - Q_1^{i_1 + 1}Q_2^{i_1 + 1}q^{i_2 + 1}t^{i_3 + 1})$$

$$\times Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q, t)$$

$$(6.16)$$

Similar computations for n > 1 is more demanding, but it is interesting to see how the above symmetry among (p, w, t) survives for n > 1.

# 7 Summing up $Z_{\mathrm{U}(1)}^{6d}(Q_i,\Lambda;q,t)$

One can perform a summation in formula (6.1) and present  $Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q, t)$  as a plethystic exponential. The coefficients of this exponential are expressed through a single periodic function. Indeed, let us rewrite (6.1) in the form

$$Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q, t) = \sum_{\lambda} (\mathfrak{q}\Lambda)^{|\lambda|} \prod_{\square \in \lambda} \Phi(\mathfrak{q}^{-1}Q_1, Q_1Q_2; q^{a(\square)}t^{\ell(\square)}), \tag{7.1}$$

where

$$\Phi(Q, w; u) := \frac{\theta_w(utQ)\theta_w(Q/(qu))}{\theta_w(ut)\theta_w(1/(qu))}$$
(7.2)

The function  $\Phi(\mathfrak{q}^{-1}Q_1, Q_1Q_2; u)$  is symmetric in  $Q_1, Q_2$ . Hence,  $Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q, t)$  celebrates the same property. We construct it in a simple way out of  $\Phi(Q, w; 1)$  below.

As before, let us first consider the case of t = q so that

$$Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q) = \sum_{\lambda} \Lambda^{|\lambda|} \prod_{\square \in \lambda} \Phi(Q_1, Q_1 Q_2; q^{h(\square)}), \tag{7.3}$$

and expand the function  $\Phi(Q_1, Q_1Q_2; 1)$  (i.e. the  $\Lambda$ -linear term in  $Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q)$ , which corresponds to the item with a single-box Young diagram  $\Lambda = [1]$  into the power series

$$\Phi(Q_1, Q_1 Q_2; 1) = \frac{q}{(1-q)^2} \cdot \sum_{i,j \ge 0} c_{i,j}^{(q)} Q_1^i Q_2^j, \tag{7.4}$$

i.e.

$$\prod_{k=0}^{\infty} \frac{\left(1 - qQ_1^{k+1}Q_2^k\right) \left(1 - q^{-1}Q_1^{k+1}Q_2^k\right) \left(1 - qQ_1^kQ_2^{k+1}\right) \left(1 - \frac{1}{q}Q_1^kQ_2^{k+1}\right)}{\left(1 - qQ_1^{k+1}Q_2^{k+1}\right)^2 \left(1 - q^{-1}Q_1^{k+1}Q_2^{k+1}\right)^2} = \sum_{i,j \ge 0} c_{i,j}^{(q)} Q_1^i Q_2^j C_{i,j}^{(q)} C_{i,j}^{$$

The coefficients  $c_{i,j}^{(q)} = c_{j,i}^{(q)}$ , since this function is symmetric. These coefficients sometimes vanish: they vanish within the area with the upper boundary

while the lower boundary is given by the switch  $i \leftrightarrow j$ .

Since the  $\theta$ -function is quasi-periodic:  $\theta_w(wz) = -z^{-1}\theta_w(z)$ , such is the function  $\Phi(Q_1, Q_1Q_2; 1), (7.4),$  and the coefficients  $c_{i,j}^{(q)}$  satisfy the relation

$$c_{i,j}^{(q)} = c_{2i-j+2,i}^{(q)} \tag{7.7}$$

and, being repeated k times,

$$c_{i,j}^{(q)} = c_{i(k+1)-jk+k(k+1),ik-j(k-1)+k(k-1)}^{(q)}$$
(7.8)

Since  $c_{i,j}^{(q)} \neq 0$  only at  $i, j \geq 1$ , this generates the vanishing coefficients  $c_{i,j}^{(q)}$ , Now we are ready to formulate the answer for  $Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q)$ : it is given by the plethystic exponential

$$Z_{\mathrm{U}(1)}^{6d}(Q_{i},\Lambda;q)$$
 (7.9)  
= P.E.  $\left[\frac{q}{(1-q)^{2}}\left\{F(\Lambda,Q_{1},Q_{2};q) + \Lambda - \frac{\Lambda(Q_{1}-q)(Q_{1}-q^{-1})}{1-\Lambda Q_{1}} - \frac{\Lambda(Q_{2}-q)(Q_{2}-q^{-1})}{1-\Lambda Q_{2}}\right\}\right]$ 

where the function  $F(\Lambda, Q_1, Q_2; q)$  is a symmetric function of all three variables  $\Lambda, Q_1$  and  $Q_2$  given by a power series

$$F(\Lambda, Q_1, Q_2; q) = \sum_{i,j,k \ge 1} c_{ik,j+(i-1)(k-1)}^{(q)} \Lambda^i Q_1^j Q_2^k$$
(7.10)

Note that, while symmetricity of the F-function (7.10) w.r.t. permuting  $\Lambda$  and  $Q_2$  is evident from (7.10), that w.r.t. permuting  $Q_1$  and  $Q_2$  is not evident, and is an additional property of the coefficients  $c_{i,j}^{(q)}$ . The triple symmetry can be made explicit, if one notes that actually

$$c_{ik,j+(i-1)(k-1)}^{(q)} = c_{\frac{ijk}{M},\frac{ijk}{M}+2M+1-i-j-k}^{(q)}$$
(7.11)

where  $M_{i,j,k} := \max(i,j,k)$ , and  $\frac{ijk}{M} = \min(ij,ik,jk)$ , i.e. is fully symmetric. This formula looks like tropical, which adds to mysteries of the ELS-functions, which will be discussed elsewhere. Note also that  $Z_{\mathrm{U}(1)}^{6d}(Q_i,\Lambda;q)$  becomes symmetric in all three variables upon multiplying (7.9) with the perturbative factor (6.15) at t=q.

Finally, let us consider the case of generic t and again expand the function  $\Phi(\mathfrak{q}Q_1, Q_1Q_2; t)$ , i.e. the  $\Lambda$ -linear term in  $Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q, t)$  corresponding to the one-box Young diagram [1], into the power series

$$\Phi(\mathfrak{q}^{-1}Q_1, Q_1Q_2; 1) = \frac{\theta_w(Q_1t)\theta_w(Q_1q^{-1})}{\theta_w(t)\theta_w(q^{-1})} \bigg|_{w=Q_1Q_2} = \frac{\sqrt{qt}}{(1-q)(1-t)} \cdot \sum_{i,j>0} c_{i,j}^{(q,t)} Q_1^i Q_2^j$$
 (7.12)

Again the coefficients  $c_{i,j}^{(q,t)} = c_{j,i}^{(q,t)}$ , since this function is still symmetric. In fact,  $c_{i,j}^{(q,t)}$  have the same symmetry and vanishing properties as  $c_{i,j}^{(q)}$  which we considered above. In particular, the periodicity condition leads to the relation

$$c_{i,j}^{(q,t)} = \mathfrak{q}^{2k(j-i)-2k^2} \cdot c_{i(k+1)-jk+k(k+1),ik-j(k-1)+k(k-1)}^{(q,t)}, \qquad \forall k$$
 (7.13)

which differs from (7.8) only by a factor of  $\mathfrak{q}$ .

Now we are ready to formulate the final answer for  $Z_{\mathrm{U}(1)}^{6d}(Q_i,\Lambda;q,t)$ : it is given by the plethystic exponential

$$Z_{\mathrm{U}(1)}^{6d}(Q_{i},\Lambda;q,t) = \mathrm{P.E.}\left[\frac{\sqrt{qt}}{(1-q)(1-t)} \left\{ F(\Lambda,Q_{1},Q_{2};q,t) + \Lambda - \frac{\Lambda(Q_{1}-\sqrt{qt})(Q_{1}-\frac{1}{\sqrt{qt}})}{1-\Lambda Q_{1}} - \frac{\Lambda(Q_{2}-\sqrt{qt})(Q_{2}-\frac{1}{\sqrt{qt}})}{1-\Lambda Q_{2}} \right\} \right]$$
(7.14)

where the function  $F(\Lambda, Q_1, Q_2; q, t)$  is a symmetric function of all three variables  $\Lambda$ ,  $Q_1$  and  $Q_2$  given by a power series

$$F(\Lambda, Q_1, Q_2; q, t) = \mathfrak{q} \cdot \sum_{i,j,k>1} c_{ik,j+(i-1)(k-1)}^{(q,t)} \Lambda^i Q_1^j Q_2^k$$
(7.15)

Again,  $Z_{\mathrm{U}(1)}^{6d}(Q_i, \Lambda; q, t)$  becomes symmetric in all three variables upon multiplying (7.14) with the perturbative factor (6.15).

In fact, it is highly non-trivial fact that the sum over the Young diagrams is given by a reasonable product formula (by the plethystic exponential of a simple sum). In the 5d case, it was first noticed in [58, 60, 62, 63] (see also later discussions in [64] and [36,section3.4]). Later, this kind of formula was discussed in mathematical literature [65, 66], however, the issue has not become clearer. We demonstrated that the sum over the Young diagrams can be still rewritten in a relatively simple plethystic form also in the 6d case, with a triality emerging (as a symmetry between  $\Lambda$ ,  $Q_1$  and  $Q_2$ ). Since this case is elliptic, for mathematicians the formula can be probably also named "elliptic deformation of the (q,t) Nekrasov-Okounkov formula".

Mathematically, we obtained that  $Z_{\mathrm{U}(1)}^{6d}(Q_i,\Lambda;q,t)$  turns out to be a generating function of the elliptic genera of the Hilbert scheme of points on  $\mathbb{C}^2$ . Indeed, in [67], a formula

for the elliptic genera of the Hilbert scheme, which is a resolution of the orbifold singularities of the symmetric products, was derived from the data of the elliptic genus of  $\mathbb{C}^2$ . This formula is a non-equivariant version, i.e. that without the  $\Omega$ -background. Later, the formula was generalized to the equivariant version in [68].

To be more concrete, since the equivariant  $\chi_y$  genus is [61, eq. (2.25)]

$$\chi_Q(\mathbb{C}^2; t_1, t_2) = \frac{(1 - Qt_1)(1 - Qt_2)}{(1 - t_1)(1 - t_2)},\tag{7.16}$$

the equivariant elliptic genus of  $\mathbb{C}^2$  is

$$Ell(\mathbb{C}^2; w, Q, t_1, t_2) = \frac{\theta_w(Qt_1)\theta_w(Qt_2)}{\theta_w(t_1)\theta_w(t_2)} = \Phi(Q, w; 1)$$
(7.17)

where  $t_1 = t$ ,  $t_2 = q^{-1}$  are equivariant parameters.

Now the equivariant version of the formula due to [67] says [68, Theorem 11] that, if

$$Ell(\mathbb{C}^2; w, Q, t_1, t_2) = \sum_{m,\ell,k_1,k_2} C(m,\ell,k_i) w^m Q^\ell t_1^{k_1} t_2^{k_2}$$
(7.18)

then

$$\sum_{n} Ell((\mathbb{C}^2)^{[n]}; w, Q, t_1, t_2) \cdot p^n = \prod_{m, n, \ell, k_i} \frac{1}{(1 - p^n w^m Q^\ell t_1^{k_1} t_2^{k_2})^{C(nm, \ell, k_i)}}.$$
 (7.19)

After a proper choice of variables in the generating function (and a slight redefinition of this later), this formula reduces to the plethystic exponential of (7.15), and the r.h.s. of (7.9), (7.14) is, indeed, a generating function of the elliptic genera of the Hilbert scheme of points on  $\mathbb{C}^2$ .

Thus, formula (7.15) for the F-function means that the ELS-function, at least, at n = 1, provides a generating function of the elliptic genera of the Hilbert scheme of points on  $\mathbb{C}^2$ . We discuss this issue in the next section in detail in the case of ELS-function at general n.

### 8 Elliptic genus of the affine Laumon space

In this section, we argue that the ELS-function computes the generation function of the elliptic genera of the affine Laumon spaces. Laumon moduli spaces are certain smooth closures of the moduli spaces of maps from the projective line to the flag variety of  $GL_n$ . In [39], it was shown that the Shiraishi function agrees with the generating function of the Euler characteristics of the affine Laumon spaces. The relation of the Calogero-Moser system and the (non-affine) Laumon space was established by A. Negut [69]. (See also [70].) An extension to the affine Laumon space see in [71].

Let X be a Kähler manifold of complex dimension d, and E an elliptic curve with modulus  $\tau$ . Recall that a line bundle over E is labeled by a parameter  $z \in \operatorname{Jac} E \simeq E$ . The elliptic genus Ell(X) is defined as the genus one (or one-loop in the sense of string theory) partition function of  $\mathcal{N}=2$  supersymmetric sigma model on E with the target

space X [72–74]. We impose the periodic boundary conditions on both the left- and the right-moving fermions. This leads to the insertion of  $(-1)^F$  in the trace, where  $F = F_L + F_R$  is the sum of the left- and the right-moving fermion numbers. Using the trace over the Hilbert space of the Ramond-Ramond sector, the elliptic genus is

$$Ell(X;q,y) := \operatorname{Tr}_{\mathcal{H}(X)} \left[ (-1)^F Q^{F_L} w^{L_0 - \frac{d}{8}} \bar{w}^{\bar{L_0} - \frac{d}{8}} \right], \tag{8.1}$$

where  $w = e^{2\pi i \tau}$ ,  $Q = e^{2\pi i z}$  and  $L_0, \bar{L_0}$  are zero modes of the Virasoro algebra. It is known that only the ground states contribute to the trace in the right moving sector [75]. When there is a torus action on the target space X, the elliptic genus becomes character valued. For example, one can insert  $\exp\left(\sum_{i=1}^n \epsilon_i T^i\right)$  in the trace to define an equivariant version of the elliptic genus, where  $T^i$  are generators of the torus action. Then the elliptic genus is a polynomial in the exponentiated equivariant parameters  $e^{\epsilon_i}$ .

The elliptic genus is also defined as the index of the  $\bar{\partial}$ -operator<sup>8</sup> twisted by a vector bundle  $E_{w,Q}$  [76]. For any vector bundle V over X, we can define the following formal sum:

$$\Lambda_t V = \bigoplus_{k \ge 0} t^k \Lambda^k V, \qquad S_t V = \bigoplus_{k \ge 0} t^k S^k V, \tag{8.2}$$

where  $\Lambda^k$  and  $S^k$  denote the k-th exterior and symmetric product, respectively. Then the vector bundle  $E_{w,Q}$  is defined as

$$E_{w,Q} := Q^{-\frac{d}{2}} \bigotimes_{n>1} \left( \Lambda_{-Qw^{n-1}} T_X^* \otimes \Lambda_{-Qw^n} T_X \otimes S_{w^n} T_X^* \otimes S_{w^n} T_X \right), \tag{8.3}$$

where  $T_X$  is the holomorphic tangent bundle of X. The index theorem tells that the elliptic genus is expressed in terms of the characteristic classes:

$$Ell(X; w, Q) = \operatorname{index}\left(\bar{\partial}_{E_{w,Q}}\right) = \int_{X} \operatorname{ch}(E_{w,Q}) \operatorname{td}(X), \tag{8.4}$$

where ch(V) denotes the Chern character of a vector bundle V, and td(X) is the Todd class of X. Let  $\{x_1, x_2, \dots, x_d\}$  be the Chern roots of  $T_X$ . Then we have

$$Ell(X; w, Q) = y^{-\frac{d}{2}} \int_{X} \prod_{n \ge 1} \prod_{k=1}^{d} \frac{(1 - Qw^{n-1}e^{-x_k})(1 - Q^{-1}w^ne^{x_k})}{(1 - w^ne^{-x_k})(1 - w^ne^{x_k})} \cdot \prod_{k=1}^{d} \frac{x_k}{1 - e^{-x_k}}, \quad (8.5)$$

where the last factor comes from td(X). When X has a torus action with isolated fixed points  $\{p_1, p_2, \dots p_\ell\}$ , we can employ the (Atiyah-Bott) localization theorem to evaluate the integral over X by the sum of the contribution from each fixed point [50, 76]. Let  $\{v_{i,1}, v_{i,2}, \dots v_{i,d}\}$  be the weights of the torus action at  $p_i$ . The localization theorem implies

<sup>&</sup>lt;sup>8</sup>Strictly speaking, (8.1) computes the index of the Dirac operator on the loop space  $\mathcal{L}X$  [73, 74]. But when X is hyperKähler and consequently has the vanishing first Chern class, we can neglect the difference.

that

$$Ell(X; w, Q) = Q^{-\frac{d}{2}} \sum_{i=1}^{\ell} \frac{\operatorname{ch}(E_{w,Q})[p_i] \cdot \operatorname{td}(X)[p_i]}{\prod_{k=1}^{d} v_{i,k}}$$

$$= Q^{-\frac{d}{2}} \sum_{i=1}^{\ell} \prod_{n\geq 1} \prod_{k=1}^{d} \frac{(1 - Qw^{n-1}e^{-v_{i,k}})(1 - Q^{-1}w^n e^{v_{i,k}})}{(1 - w^{n-1}e^{-v_{i,k}})(1 - w^n e^{v_{i,k}})}$$

$$= Q^{-\frac{d}{2}} \sum_{i=1}^{\ell} \prod_{k=1}^{d} \frac{\theta_w(Qe^{-v_{i,k}})}{\theta_w(e^{-v_{i,k}})},$$
(8.6)

where  $\operatorname{ch}(E_{w,Q})[p_i]$  and  $\operatorname{td}(X)[p_i]$  denote the evaluation at the fixed point  $p_i$ , and  $\prod_{k=1}^d v_{i,k}$  is the contribution of the Euler class, which physically comes from the Gaussian path integral of quantum fluctuation at the fixed point.

Now let us take the moduli space  $\mathcal{M}_{n,k}$  of  $\mathrm{U}(n)$ -instantons with instanton number k as the target space X. A torus action on  $\mathcal{M}_{n,k}$  is induced from the action  $(z_1, z_2) \to (t_1 z_1, t_2 z_2)$  on  $\mathbb{C}^2 \equiv \mathbb{R}^4$  and the Cartan torus of the gauge group  $\mathrm{U}(n)$ . We denote associated equivariant parameters  $t_i$   $(t_1 = t, t_2 = q^{-1})$  and  $u_{\alpha}$   $(\alpha = 1, \dots, n)$ , respectively. The isolated fixed points of the torus action on  $\mathcal{M}_{n,k}$  are labelled by n-tuples of Young diagrams  $\vec{\lambda} = \{\lambda^{(\alpha)}\}$  with  $k = |\vec{\lambda}| = \sum_{\alpha=1}^{n} |\lambda^{(\alpha)}|$ . According to [77, 78], the equivariant character of the tangent space  $T_{\vec{\lambda}}\mathcal{M}_{n,k}$  at the fixed point  $\vec{\lambda}$  is given by

$$\chi(u_{\alpha}; t_i) = N^* K + t_1 t_2 K^* N - (1 - t_1)(1 - t_2) K^* K, \tag{8.7}$$

where 9

$$N := \sum_{\alpha=1}^{n} u_{\alpha}, \qquad K := \sum_{\alpha=1}^{n} u_{\alpha} \cdot \left( \sum_{(i,j) \in \lambda^{(\alpha)}} t_{1}^{1-j} t_{2}^{1-i} \right), \tag{8.8}$$

and we denote the dual characters by  $N^*$  and  $K^*$ . We have the following combinatorial formula for  $\chi(u_{\alpha};t_i)$  [77]:

$$\chi(u_{\alpha};t_{i}) = \sum_{\alpha,\beta=1}^{n} \frac{u_{\beta}}{u_{\alpha}} \left( \sum_{\square \in \lambda^{(\alpha)}} t_{2}^{a_{\alpha}(\square)+1} t_{1}^{-\ell_{\beta}(\square)} + \sum_{\square \in \lambda^{(\beta)}} t_{2}^{-a_{\beta}(\square)} t_{1}^{\ell_{\alpha}(\square)+1} \right), \tag{8.9}$$

In the original expression (8.7), there are both positive and negative contributions. But after cancellation, only positive terms survive, and there are precisely 2nk terms, which agrees with the (complex) dimensions of  $\mathcal{M}_{n,k}$ . This means the tangent spaces at the fixed points are regular, and we can safely read off the weights of the torus action from formula (8.9). Combining (8.6) and (8.9), we can compute the elliptic genus of the moduli space  $\mathcal{M}_{n,k}$  as follows:

$$Ell(\mathcal{M}_{n,k}; w, Q)$$

$$= Q^{-nk} \sum_{|\vec{\lambda}|=k} \prod_{\alpha,\beta=1}^{n} \left( \prod_{\square \in \lambda^{(\alpha)}} \frac{\theta_w(Q_{u_\alpha}^{\underline{u}_\beta} t_2^{a_\alpha(\square)+1} t_1^{-\ell_\beta(\square)})}{\theta_w(\frac{u_\beta}{u_\alpha} t_2^{a_\alpha(\square)+1} t_1^{-\ell_\beta(\square)})} \prod_{\square' \in \lambda^{(\beta)}} \frac{\theta_w(Q_{u_\alpha}^{\underline{u}_\beta} t_2^{-a_\beta(\square')} t_1^{\ell_\alpha(\square')+1})}{\theta_w(\frac{u_\beta}{u_\alpha} t_2^{-a_\beta(\square')} t_1^{\ell_\alpha(\square')+1})} \right).$$
(8.10)

<sup>&</sup>lt;sup>9</sup>Our convention follows [77]. To compare this one with some other in the literature, we have to exchange  $t_1$  and  $t_2$ , or make the transposition of the Young diagram.

Thus the generating function of the elliptic genera of the instanton moduli spaces

$$Z := \sum_{k=0}^{\infty} p^{nk} Ell(\mathcal{M}_{n,k}; w, Q)$$

$$= \sum_{\vec{\lambda}} (pQ^{-1})^{n|\vec{\lambda}|} \prod_{\alpha,\beta=1}^{n} \mathcal{N}_{\lambda^{(\alpha)},\lambda^{(\beta)}} \left( \frac{u_{\beta}}{u_{\alpha}} \middle| t_{2}^{-1}, t_{1}^{-1}, w \right)$$
(8.11)

is expressed in terms of the elliptic lift of the Nekrasov factor  $\mathcal{N}_{\lambda^{(\alpha)},\lambda^{(\beta)}}(u|q,s,w)$  defined by (2.14). As was pointed out by E. Witten [73, 74], the role of the Dirac operator in K theory is replaced by the supersymmetric sigma model in elliptic cohomology. Hence we may call the factor  $\mathcal{N}_{\lambda^{(\alpha)},\lambda^{(\beta)}}(u|q,s,w)$  elliptic cohomological one.

To make similar computations for the affine Laumon space, we use the equivalence of the instantons with parabolic structure (surface defect) and the ramified instantons under the  $\mathbb{Z}_n$  action on  $\mathbb{C}^2$  by

$$(z_1, z_2) \to (z_1, \omega z_2)$$
 (8.12)

with  $\omega^n = 1$ , [79, 80]. See also [81–83] for literatures directly related to the present case of full surface defect. Computational aspects of the ramified instantons are described, for example, in [84–87]. Note that this action is different from

$$(z_1, z_2) \to (\omega z_1, \omega^{-1} z_2),$$
 (8.13)

which defines a subgroup of SU(2) and gives ALE space of  $A_{n-1}$  type as a resolution of  $\mathbb{C}^2/\mathbb{Z}_n$ . According to (8.12), we replace  $t_2 \to t_2^{\frac{1}{n}}$  and assign the charge 1/n to  $t_2^{\frac{1}{n}}$ . We also put

$$u_{\alpha} = e^{a_{\alpha}} t_2^{-\frac{\alpha}{n}} \tag{8.14}$$

so that  $e^{a_{\alpha}}$  has the charge  $\alpha/n$  to keep  $u_{\alpha}$  neutral. Let us decompose N and K by the  $\mathbb{Z}_n$  orbifold charge. For N this is simply

$$N = \sum_{\alpha=1}^{n} t_2^{-\frac{\alpha}{n}} W_{\alpha}, \qquad W_{\alpha} = e^{a_{\alpha}}. \tag{8.15}$$

On the other hand, we have

$$K = \sum_{\alpha=1}^{n} \sum_{\beta=1}^{n} \left( e^{a_{\alpha}} t_{2}^{\frac{1}{n}(1-\alpha-\beta)} \sum_{m \geq 0} \sum_{i=1}^{\lambda_{mn+\beta}^{(\alpha)}} t_{1}^{1-i} t_{2}^{-m} \right) = \sum_{\gamma=1}^{n} t_{2}^{-\frac{\gamma}{n}} K_{\gamma}.$$
 (8.16)

Now the condition  $1 - \alpha - \beta \equiv -\gamma \pmod{n}$  implies  $\alpha = \gamma - \beta + 1$  for  $1 \leq \beta \leq \gamma$  and  $\alpha = \gamma - \beta + n + 1$  for  $\gamma + 1 \leq \beta \leq n$ . Hence we find

$$K_{\gamma} = \sum_{\beta=1}^{\gamma} e^{a_{\gamma-\beta+1}} \sum_{m\geq 0} \sum_{i=1}^{\lambda_{mn+\beta}^{(\gamma-\beta+1)}} t_1^{1-i} t_2^{-m} + \sum_{\beta=\gamma+1}^{n} e^{a_{\gamma-\beta+1}} \sum_{m\geq 0} \sum_{i=1}^{\lambda_{mn+\beta}^{(\gamma-\beta+1)}} t_1^{1-i} t_2^{-m-1}, \quad (8.17)$$

where we have used  $a_{\alpha+n} = a_{\alpha}$  and  $\lambda^{(\alpha+n)} = \lambda^{(\alpha)}$ . Then  $\mathbb{Z}_n$  invariant part of the "orb-ifolded" equivariant character (8.7) with  $t_2 \to t_2^{\frac{1}{n}}$  is

$$\chi(u_{\alpha}; q_i)^{\mathbb{Z}_n} = \sum_{\alpha=1}^n \left( N_{\alpha}^* K_{\alpha} + t_1 K_{\alpha-1}^* N_{\alpha} - (1 - t_1) K_{\alpha}^* K_{\alpha} + (1 - t_1) K_{\alpha-1}^* K_{\alpha} \right), \quad (8.18)$$

with  $K_0 \equiv K_n$ .

The affine Laumon space  $\mathcal{M}_{n,\vec{d}}$  gives a (small) resolution of the moduli space of instantons with a full surface operator (or a maximal monodromy defect), which is described as follows. Since the surface operator breaks  $\mathrm{SU}(n)$  gauge group<sup>10</sup> to  $\mathrm{U}(1)^{n-1}$ , there are (n-1) gauge fields on the surface  $S \subset \mathbb{C}^2$ . We can define (n-1) monopole numbers

$$m_i = \frac{1}{2\pi} \int_S F_i, \qquad (i = 1, \dots, n-1)$$
 (8.19)

and also define  $m_n := -m_1 - \cdots - m_{n-1}$ . Then the second Chern number of the ramified instantons is given by

$$c_2 = k + \sum_{i=1}^{n} \alpha_i m_i, \tag{8.20}$$

where  $\alpha_i$  measures the monodromy of the gauge fields around the codimension two defect.<sup>11</sup> It is convenient to combine k, which we call instanton number, and the monopole numbers to  $\vec{d} = (d_1, d_2, \dots, d_n)$  by

$$d_0 = d_n = k, \quad d_i - d_{i-1} = m_i, \quad \sum_{i=1}^n m_i = 0,$$
 (8.21)

so that the topological type of the affine Laumon space  $\mathcal{M}_{n,\vec{d}}$  is labelled by  $\vec{d}$ . Similarly to the ordinary instantons, the fixed points of the torus action on  $\mathcal{M}_{n,\vec{k}}$  is labelled by n-tuples of partitions  $\vec{\lambda}$  which satisfy the condition

$$d_{i-1} = \sum_{\alpha \ge 1} \sum_{\substack{\alpha + \beta \equiv i \\ (\text{mod } n)}} \lambda_{\alpha}^{(\beta)}.$$
 (8.22)

It is known that the complex dimension of  $\mathcal{M}_{n,\vec{k}}$  is  $2n\sum_{i=1}^n d_i$ . We define the generating function of elliptic genera by

$$Z = \sum_{\vec{k}} \prod_{i=1}^{n} x_i^{2nm_i} Ell(\mathcal{M}_{n,\vec{k}}; w, Q).$$
 (8.23)

<sup>&</sup>lt;sup>10</sup>For the sake of simplicity, we omit the overall U(1) symmetry.

<sup>&</sup>lt;sup>11</sup>See also [88, 89] for an alternative description in the Bethe/Gauge correspondence.

According to [81], the character formula for the affine Laumon space  $\mathcal{M}_{n,\vec{k}}$  at the fixed point  $\vec{\lambda}$  is

$$\operatorname{Ch}(\vec{a};t_{i}) = (1-t_{1}) \sum_{k=1}^{n} \sum_{1 \leq \ell} \sum_{1 \leq \tilde{\ell}} e^{a_{k-\ell+1} - a_{k-\tilde{\ell}}} t_{2}^{\left(\lfloor \frac{\tilde{\ell} - k}{n} \rfloor - \lfloor \frac{\ell - k - 1}{n} \rfloor\right)} \sum_{i=1}^{\lambda_{\tilde{\ell}}^{(k-\tilde{\ell})}} t_{1}^{i-1} \sum_{j=1}^{\lambda_{\ell}^{(k-\ell+1)}} t_{1}^{1-j} 
+ t_{1} \sum_{k=1}^{n} \sum_{1 \leq \tilde{\ell}} e^{a_{k} - a_{k-\tilde{\ell}}} t_{2}^{\left(\lfloor \frac{\tilde{\ell} - k}{n} \rfloor - \lfloor - \frac{k}{n} \rfloor\right)} \sum_{i=1}^{\lambda_{\tilde{\ell}}^{(k-\tilde{\ell})}} t_{1}^{i-1} 
- (1-t_{1}) \sum_{k=1}^{n} \sum_{1 \leq \tilde{\ell}} \sum_{1 \leq \tilde{\ell}} e^{a_{k-\ell+1} - a_{k-\tilde{\ell}+1}} t_{2}^{\left(\lfloor \frac{\tilde{\ell} - k - 1}{n} \rfloor - \lfloor \frac{\ell - k - 1}{n} \rfloor\right)} \sum_{i=1}^{\lambda_{\tilde{\ell}}^{(k-\tilde{\ell}+1)}} t_{1}^{i-1} \sum_{j=1}^{\lambda_{\ell}^{(k-\ell+1)}} t_{1}^{1-j} 
+ \sum_{k=1}^{n} \sum_{1 \leq \ell} e^{a_{k-\ell+1} - b_{k}} t_{2}^{\left(\lfloor \frac{-k}{n} \rfloor - \lfloor \frac{\ell - k - 1}{n} \rfloor\right)} \sum_{j=1}^{\lambda_{\ell}^{(k-\ell+1)}} t_{1}^{1-j}, \tag{8.24}$$

where we have substituted  $\vec{b} = \vec{a}$  and  $\vec{\mu} = \vec{\lambda}$  in the original formula [81, Prop. 4.15]. Replacing  $\ell \to mn + \ell$  and  $\tilde{\ell} \to \tilde{m}n + \tilde{\ell}$  with  $0 \le m, \tilde{m}$  and  $1 \le \ell, \tilde{\ell} \le n$ , we can rewrite the character as follows:

$$\operatorname{Ch}(\vec{a}; t_{i}) = (1 - t_{1}) \sum_{k=1}^{n} V_{k-1}^{*}(\vec{a}, \vec{\lambda}) V_{k}(\vec{a}, \vec{\lambda}) + t_{1} \sum_{k=1}^{n} V_{k-1}^{*}(\vec{a}, \vec{\lambda}) W_{k}(\vec{a})$$

$$- \sum_{k=1}^{n} (1 - t_{1}) V_{k}^{*}(\vec{a}, \vec{\lambda}) V_{k}(\vec{a}, \vec{\lambda}) + \sum_{k=1}^{n} W_{k}^{*}(\vec{b}) V_{k}(\vec{a}, \vec{\lambda}),$$
(8.25)

where  $W_k(\vec{a}) := e^{a_k}$  and

$$V_k(\vec{a}, \vec{\lambda}) := \sum_{0 \le m} \sum_{\ell=1}^n \left( e^{a_{k-\ell+1}} t_2^{-m-1-\lfloor \frac{\ell-k-1}{n} \rfloor} \sum_{j=1}^{\lambda_{mn+\ell}^{(k-\ell+1)}} t_1^{1-j} \right). \tag{8.26}$$

To eliminate the floor function  $\lfloor \frac{\ell-k-1}{n} \rfloor$  in (8.26), we note that, for  $1 \leq \ell \leq k$ , it takes value -1, while, for  $k+1 \leq \ell \leq n$ , it vanishes. Then we can see that (8.25) exactly agrees with (8.18).

Thus the weights of the torus action on the tangent space of the affine Laumon space  $\mathcal{M}_{n,\vec{d}}$  can be identified with  $\mathbb{Z}_n$  invariant terms in (8.9), the generic term being  $u_{\beta}/u_{\alpha}$  ·  $t_1^k t_2^{\ell/n}$ . Taking the  $\mathbb{Z}_n$  charges of  $u_{\alpha}$ ,  $t_1$  and  $t_2^{1/n}$  into account, we can see the selection rule for the  $\mathbb{Z}_n$  invariant terms is  $\beta - \alpha + \ell \equiv 0 \pmod{n}$ , which is nothing but the selection rule in (2.13). The monomial x-factor in (2.12) also matches the relation of  $\vec{d}$  and the monopole numbers (8.21).

### 9 Conjecture and various limits

We are now ready to make the main claim of the present paper, which is supported by the consideration of the previous sections.

Conjecture. The ELS-function satisfies non-stationary equations with (yet not completely understood) quantum Dell Hamiltonians.

Equivalently, we expect that this is the instanton partition function with full monodromy defect in the 6d SYM theory with adjoint matter hypermultiplet.

By the AGT correspondence, it is the 2-point conformal block on torus with one degenerate field in a double elliptic version of the quantum toroidal algebra. Let us see how the associated parameters look like in these pictures. Note that the limits and symmetries below require some proper normalization of the ELS-functions as we explained in section 6.

The ELS-function  $\mathfrak{P}_n^{E.G.}(x_i; p|y_i; s|q, t, w)$  depends on the five parameters (p, s, q, t, w):

- q, s are two  $\Omega$ -background deformation parameters on the gauge theory side, s governs the non-stationarity. The limit of  $s \to 1$  reduces the system to the quantum integrable system, and the non-stationary Dell equation to the eigenvalue Dell Hamiltonian problem. On the algebra side, they rescale the dimensions of the operators.
  - t is the central charge parameter on the algebra side, and the coupling constant parameter on the integrable side. The integrable system becomes free upon  $t \to 1$ . On the gauge theory side, it is related to the mass of the adjoint hypermultiplet,  $t = e^{-m}$ .
  - p is the elliptic parameter that controls the coupling in the gauge theory (the bare torus and the bare charge). On the integrable side, it is associated with the torus where the coordinates live. On the algebra side, it is associated with the torus where the 2d fields in the 4d limit live. In the limit  $p \to 0$ , the instanton corrections disappear, and one gets the perturbative limit.
  - w is the elliptic parameter that is associated with the Kaluza-Klein torus in the gauge theory (remind that one considers Seiberg-Witten 6d theory with two dimensions compactified onto a 2d torus). On the integrable side, it is associated with the torus where the momenta live.

Thus the correspondence table between parameters of ELS- and 6d Nekrasov functions looks as follows:

$$w = e^{2\pi i \hat{\tau}}, \quad p = e^{2\pi i \tau}, \quad t = e^{-m}, \quad q = e^{-2\pi i \epsilon_1}, \quad s = e^{-2\pi i \epsilon_2}$$
 (9.1)

To this, we add an expectation of a peculiar pair of trialities: the triality of (q, s, t) and the elliptic parameter triality of (p/t, w/t, t/(qs)). Note that this parametrization is in terms of dimensionless parameters. Actually, they depend on a length scale  $L: \epsilon_{1,2} \to L\epsilon_{1,2}$ ,  $m \to Lm$ . Taking into account the behaviour of these parameters under the modular transformation  $\hat{\tau} \to -1/\hat{\tau}$  (see<sup>12</sup> [34, eqs. (6.36)-(6.38)]) and that  $\hat{\tau}$  is the ratio of radii of the 5th and 6th dimensions under compactification, it is natural to choose this length to be one of these radii.

<sup>&</sup>lt;sup>12</sup>There is a misprint in [34, eq. (6.38)]: P contains an additional factor  $e^{\pi i(\epsilon_1+\epsilon_2)}$ , which, however, does not influence the calculations.

This association implies the following degenerations:

 $w \to 0$ . It drives the system to the 5d gauge theory with adjoint matter. In this limit, we get the Shiraishi function, which is the instanton partition function with a monodromy defect in the 5d theory with adjoint matter hypermultiplet.

By the AGT correspondence, it is the 2-point conformal block on torus with one degenerate field in q-Virasoro algebra.

On the integrable side, this function has to satisfy a non-stationary elliptic Ruijse-naars equation, in accordance with the general rule [12]. This is, indeed, the case, see [39], where various further limits are also studied.

The  $s \to 1$  limit of the Shiraishi function describes the eigenfunctions of the elliptic Ruijsenaars Hamiltonians, as it should be, since this the Nekrasov-Shatashvili limit.

- $s \to 1$ . In this limit, the ELS-function is the eigenfunction of the Dell Hamiltonians.
- $p \to 0$ . It is the perturbative limit, which is described by the dual elliptic Ruijsenaars system. The corresponding ELS-functions are given by (2.17),  $Z(x_i|y_i|q,t,w)$ .

It is unclear if the Hamiltonians coincide with the recent suggestion in [43]: in the last limit and n = 2, their eigenfunctions [1, eqs. (67)-(68)] look rather different from (2.17).

## 10 Conclusion

To conclude, we made one more step towards a complete solution of the Dell system. According to the general approach of network models, they are obtained by closing (compactifying) the DIM network in the both directions, horizontal and vertical. Making this directly for representation theory of the DIM algebra is still to be done, we used a somewhat different approach from [1, 35] based on the Shiraishi functor, which can naturally provide eigenfunctions of the still-not-fully known Hamiltonians. This approach is analogous to consideration of hypergeometric series per se without explicit reference to their origins/interpretation in terms of representation theory of  $SL(2,\mathbb{R})$  [90], and, in many respects, it is considerably simpler. As we explained, for describing the Dell systems, one actually needs an elliptic lift of the ordinary Shiraishi series, where the Pochhammer symbols are changed for their elliptic counterparts. We discussed it in some detail, paying a special attention at various limits and particular cases. In fact, the elliptic substitute of the Pochhammer symbol is only a very particular deformation: one can actually change it for an arbitrary function, with many properties of the Shiraishi functions still preserved [35]. However, this is the elliptic deformation that very non-trivially preserves the relation to symmetric functions (see section3). In this paper, we concentrate on the elliptic (thetafunction) deformation by this reason and since it is supposed to lead to the theory of Dell systems and a related description of 6d SUSY gauge theory with adjoint matter hypermultiplet compactified on the two-dimensional torus.

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