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This is the author's manuscript

Original Citation:

Availability:

This version is available http://hdl.handle.net/2318/1616675 since 2016-11-25T14:32:38Z

Published version:

DOI:10.1016/j.fuel.2014.09.122

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4

5 Abstract

Growing demand for energy has led to a rise in the price of fossil oil and an increased rate of 6 depletion of fossil resources. This situation has generated a strong interest in the use of 7 biofuel, and many studies have been undertaken on the worldwide potential for biofuel. 8 9 Among all renewable energy sources, biomass could contribute to meeting the EU's renewable energy targets in 2020, especially short rotation coppice (SRC). In order to 10 evaluate the energy and economic sustainability of woodchip production by black locust SRC, 11 12 an *ad hoc* study was undertaken and a specific calculation model was developed. Data were collected in a black locust SRC plantation site in North West Italy during the period 2006 to 13 2012. This involved an SRC duration of six years and a biomass (10 Mg ha⁻¹ DM per year) 14 harvest at the end of a cycle (six years). The results indicated that black locust plantations are 15 very desirable from an energy point of view since the output/input ratio results are higher than 16 17 20. Unfortunately, the results are not so positive from an economic point of view. In fact, in order to obtain economic sustainability for woodchip production, the biomass price should be 18 at least $\in 103 \text{ Mg}^1$ DM. Consequently, woodchip production by black locust SRC is only 19 20 possible with economic support for production and with optimisation of agricultural labour and biomass production. 21

22 Keywords

23 Short rotation coppice; black locust; biomass; woodchip; production costs; energy

24 consumption

1 1. Introduction

2

Growing demand for energy has led to a rise in the price of fossil fuel and an increased rate of 3 depletion of fossil resources [1]. This situation has generated a strong interest in biofuel use, 4 which many governments support through subsidies, tax-exemptions and other incentives [2]. 5 Many studies have been undertaken on the worldwide potential for biofuel. The US 6 Department of Energy recently released a major update regarding its biomass energy supply 7 potential over the next two decades [3]. Fischer et al. [4] conducted a similar study on the 8 European potential for biofuel production, while other authors have looked at biofuel 9 10 deployment for sub regions within Europe [5-6] and Asia [7-10]. Among all renewable energy sources, biomass could contribute to meeting the EU's 11 renewable energy targets in 2020 [11–12], especially short rotation coppice [13]. There has 12 13 been increased interest in biofuels in Italy in the last 10 years. In fact, crop cultivation for biomass production has been included in the cultural plans of several farms, particularly in 14 15 Northern Italy; farmers take advantage of the low input requirement and the added possibility of exploiting reserved areas [14]. 16 At present there are two different methods of cultivation: very short rotation coppice (vSRC), 17 with very high density, from 5500 to 6700 plants ha⁻¹ and harvested with a rotation period of 18 one to three years, and short rotation coppice (SRC) with a high density from 1000 to 2000 19 plants ha⁻¹ and harvested with a rotation period of five to seven years [15-16]. In Europe, 20 farmers generally prefer the vSRC cultivation model [17-21]; however, in Italy, farmers 21 prefer the SRC method because it improves biomass quality (high calorific value) and market 22 opportunity as a result of a better wood/bark ratio and the possible production of different 23 wood types [22-25]. Furthermore, it is also preferred because, in rural development plans for 24 the main regions of Northern Italy, the establishment of this cultural model is financed by the 25 local government. 26

Fast-growing wood crops such as willows, poplars, and black locust have traditionally been considered to produce local fuel wood, wood material, and, more recently, energy [26-27]. These crops have potential for feedstock because of high yields, low costs, opportunities for use on lower-quality lands and biodiversity support at the local level. Most of the studies carried out in Italy to date have focused only on woodchip production from poplar [28-31], and willow [32-33] SRC, as they are spread more throughout the territory; few studies have yet examined black locust (Robinia pseudoacacia L.) [34-35].

8

9 In order to improve knowledge about woodchip production by black locust plantations,

10 economic and energy evaluations were performed for short rotation coppice of black locust.

11

12 **2. Materials and methods**

13

A series of data was collected in a black locust SRC plantation near the experimental farm "MEZZI" of CRA-PLF, close to Casale Monferrato (AL) in the North West of Italy, during the period from 2006 to 2012. Because of the soil characteristics of the land chosen for the trials, a black locust of Italian origin was planted [36]. All the cultural operations for black locust cultivation were analysed. The working time and manpower requirements were recorded in the field, according to Magagnotti and Spinelli (2012) [37].

The developed model allowed the determination of manpower and energy requirements, as 20 well as costs, regarding different biomass production. The model considered a continuous 21 22 black locust SRC plantation: the whole acreage was divided into different "modules", each 23 corresponding to one year of the crop cycle, thereby enabling all costs to be considered on an annual basis. For the economic and energy evaluations, a six-year rotation was considered, 24 25 with harvesting carried out at the end of the cycle and with a starting plant density of 1100 per hectare, with a 3.00×3.00 m spacing and a mean production of 10 Mg ha⁻¹DM per year [36, 26 27 38]. For all post-emergence treatment, traditional 4RM tractors were used, with a maximum

1	width of 2.2 m. For planting the nursery and the black locust SRC, the soil was prepared by
2	ploughing at a depth of 0.4 m after seed bed fertilisation -500 kg ha ⁻¹ of PK 8-24.
3	Secondary tillage was carried out with two harrowing interventions, while for rooting plants
4	(0.5 - 0.6 m in height), an Allasia R1 planter was used (Fig. 1) [39]. The cultural operations
5	for the SRC cultivation and nursery only involved weed control necessary for a high
6	production of biomass [40-41]. In contrast to poplar plantations, in black locust plantations,
7	fertilisation for each year of cultivation was not considered [42]. A heavy cultivator was used
8	for stump removal (at the end of the cycle) (Tables 1-2).
9	For biomass harvesting, a tractor of 190 kW Case Magnum 260 EP equipped with a chipper
10	prototype Gandini Bio-harvester was used (Fig. 2). The Bio-harvester Gandini was chosen for
11	this experiment because it is the only machine that is capable of cutting and chipping trees
12	simultaneously and has a large diameter (up to 300 mm). The working capacity of the Gandini
13	Bio-harvester is about 60 Mg h^{-1} (about 100 plants h^{-1}) [43]. This value is high when
14	compared with other machines used in vSRC harvesting [44] because the prototype is used in
15	a small experimental area [44]. Two tractors with trailers were used for biomass
16	transportation to the farm (a distance of about 400 metres). The manpower requirement was
17	determined considering the number of operators and the working time to carry out every
18	cultural operation.
19	Energy consumption was determined considering both direct costs (fuel and lubricant
20	consumption) and primary energy (machine, equipment and mineral fertiliser energy contents)
21	(Table 3) [45]. The energy output of the black locust plantation was calculated as a function
22	of the biomass production and the primary energy biomass content (Table 3). Machine fuel
23	consumption was determined by refilling the machine tank at the end of each working phase.
24	The tank was refilled using a two litre glass pipe with 0.02 litre graduations, corresponding to
25	the accuracy of the measurements. The lubricant consumption was determined as a function
26	of the fuel consumption using a specific algorithm developed by Piccarolo [46].

The human work was expressed in manpower hour requirements for each field activity, but it
 was not considered from an energy point of view.

The economic evaluation was determined for every cultural operation considering both the 3 machine costs and production factors costs (fertilisers, fuel) (Table 4). The hourly cost rate for 4 each machine was calculated using the method proposed by Miyata [47], with prices updated 5 to 2014. The average cost of the Gandini Bio-harvester was determined considering contractor 6 costs. Labour cost was set at €18.5 per hour. Fuelcost was assumed to be €0.9 per kg 7 (subsidised fuel for agricultural use). An annual utilisation of at least 500 hours (with the 8 tractor also being used for other operations) was assumed for tractors; the power requirement 9 10 was calculated by taking into consideration the data recorded during experimentation and the drawbar pull and power requirement in the different operating conditions. In addition, the 11 tractor hourly cost was determined by the methodology proposed by Miyata [47]. 12 13 In order to evaluate economic sustainability, the Net Present Value (NPV) was determined which indicates the difference between total income and total cost considering a biomass 14 value of $\in 100 \text{ Mg}^1 \text{DM}$. This calculation was undertaken for different land rent costs [48]. 15

16

17 **3. Results**

18

Nearly 17 hours per year of manpower were required for a hectare of black locust SRC
cultivation. The biomass harvesting required more than 58% of the total time, while the
chemical weed control applications required 0.6% (Fig. 3).

Energy consumption for the cultivation and management of 100 ha of black locust SRC was
9.3 GJ ha⁻¹ per year and represents about 5% of the biomass energy production (about 190 GJ
ha⁻¹ per year). The output/input ratio was close to 20. The largest part of energy input (33%)
was linked to soil fertilisation. Harvesting and biomass transportation represented about 27%

of the total energy requirements (Fig. 4).

Thus, for arable land between 50 and 200 ha, the total energy cost was found to be between
4.8% and 5.1% of the energy produced. Overall, the direct energy costs were 1.8%, while
indirect energy costs were 2.9% for a 50 ha SRC cultivation, and 3.1% for a 200 ha SRC
cultivation.

The production costs of SRC with a six-year cycle were closely associated with both the
cultivated surface and the level of production. Considering a biomass production of
60 Mg DM ha⁻¹ per cycle, equivalent to about 120 Mg WB ha⁻¹, the production cost was close
to €103 Mg¹ DM for SRC surfaces of 100 ha (Fig. 5), a value higher than the actual Italian
market price of wood chips (€100 Mg¹ DM).

The cultural operations that had the greatest impact on total production costs were the 10 biomass harvesting and transportation to the farm (nearly 23.6%) (Fig. 6). Planting showed an 11 impact on the cost greater than post-emergence treatment (weed control) and soil fertilisation, 12 13 but these operations were necessary for high biomass production. In addition, land rent cost also had a high impact on the total cost. For example, considering a 100 ha SRC surface with 14 10 Mg DM ha⁻¹ per year of biomass production and a land use cost of $\in 200$ ha¹ per year, the 15 biomass production cost was $\in 103 \text{ Mg}^1 \text{ DM}$. In the case of land use cost of $\in 400 \text{ ha}^1$ per 16 year, the biomass production cost was €123 Mg¹DM. In these cases, the land rent cost had an 17 impact on total production costs of 19 and 30% respectively (Fig. 7). The biomass 18 transportation cost represented 3% and 18% of the total cost for distances of 5km and 50 km 19 respectively (Fig. 8). 20

21

22 **4. Discussion**

23

In general, biomass production from a black locust plantation is lower (10 Mg ha⁻¹DM per year) than for poplar plantations (12-18 Mg ha⁻¹DM per year) [36, 38, 49]. These results were

obtained by using the most appropriate type of black locust for the soil characteristics of the
 land used for planting.

The black locust SRC plantation, in the conditions outlined (six year rotation with harvesting 3 carried out at the end of the cycle), is very interesting in terms of energy. In fact, the 4 output/input ratio results are higher than 20. This value is two points higher than that 5 calculated for a poplar SRC by Manzone et al. [42]. The better results are to be attributed to 6 the minor energy consumption for SRC management because a black locust plantation does 7 not require top dressing, irrigation and disease control. The largest part of energy input (33%) 8 is linked to soil fertilisation carried out at the beginning of a cycle where it is necessary to 9 have high biomass production (10 Mg DM ha⁻¹ per year) [50]. In contrast, the lowest input is 10 linked to chemical weed control activity (0.7%). On balance, the energy input per unit of 11 biomass produced was 5% of the energy output. This value is similar to that found in another 12 analysis in Italy on poplar SRC [25, 42] and in Sweden on willow SRC [51]. 13 Another advantage of black locust cultivation, in comparison with poplar plantations, 14 15 concerns the manpower requirement. In fact, the value obtained in this study (17 hours per year) is about 40% lower than that calculated for a poplar SRC with the same characteristics 16 17 [42]. However, the SRC economic evaluation is negative because the market price of the 18 woodchips is lower when compared with biomass production costs. In fact, for SRC economic 19 sustainability, the biomass price should be at least €115 Mg¹DM (€15 more than the current 20 market price). Similar results were obtained in other work undertaken in North West Italy 21

22 [52].

It needs to be pointed out that this evaluation was not performed in ideal working conditions.
In fact, these results were obtained considering a low biomass market value [53] and only one
planting rotation. This has a significant impact on cost because ploughing, planting and stump
removal represent 25% of the total cost (Fig. 6).

Nevertheless, using this cultivation model, six year-old trees with a diameter at chest height of 1 150-200 mm were grown. The base of the trunk, up to two to four metres in width, can be 2 used to produce firewood with a value for energy use higher than for woodchips (up to 200 3 Mg⁻¹DM). In this case, the economic balance becomes positive although the harvesting 4 methods for firewood are more expensive (in this case, only a chainsaw can be used). 5 Furthermore, since the tree has a large diameter (> 100 mm), these plantations produce 6 woodchips of high quality with a high fibre content (85–90%) and favourable particle-size 7 distribution; this contrasts with vSRC where the biofuel produced shows a high bark content 8 (> 20%) and mediocre particle-size distribution, and is often too rich in fines (> 10%) [34]. 9 10 This consideration is very important; material with a low bark content has a high market price because it has a high heating value and a low ash content [54-56]. 11 In addition, black locust SRC, as a result of its low energy input, produces better results 12 13 compared with poplar cultivation in ethanol production, mainly in terms of environmental aspects [57]. However, black locust is a spontaneous species and shows a tendency to form 14 15 pioneer forests. This situation raises biodiversity conservation issues regarding the future development of these habitats [58-59]. 16 For poplar plantations, tree planting is a difficult operation due to the reduced available time 17 (March and April) and because the planters used have a low working rate and high manpower 18 is required [60]. 19 20 **5.** Conclusions 21

22

Woodchip production by black locust SRC plantations is possible only with economic support
for their cultivation, or with the optimisation of agricultural labour and biomass production in
order to reduce production costs.

1	The choice by Italian farmers to favour the SRC cultivation model over the vSRC model is to
2	be applauded, because, from a six year-old tree, it is possible to obtain an assortment of wood
3	(firewood) with an economic value for energy use higher than for wood chips.
4	Furthermore, SRC cultivation can contribute to solving the problems of traditional cultivation
5	and to improving the relations between agriculture and the environment. In addition, since the
6	black locust tree is a tougher species than the poplar tree, it could also be cultivated in less
7	productive land which is not normally used for other crops.
8	
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