

The black hole interior from non-isometric codes protected by complexity

Daniel Harlow

MIT

July 18, 2022

Emergent spacetime

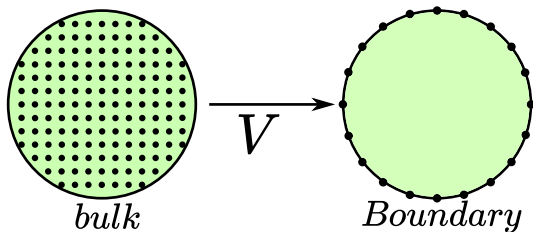
In quantum gravity we have long expected that spacetime is an emergent notion, valid only in certain situations and to some approximation. [Wheeler, 't](#)

[Hooft, Susskind](#)

Emergent spacetime

In quantum gravity we have long expected that spacetime is an emergent notion, valid only in certain situations and to some approximation. [Wheeler, 't](#)

[Hooft, Susskind](#)

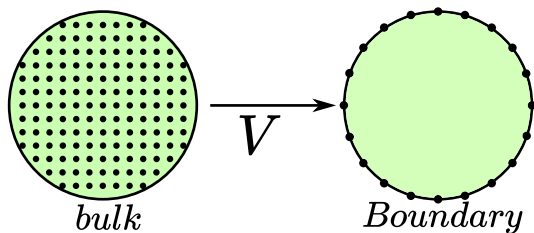


In AdS/CFT this expectation is realized mathematically via the notion of quantum error correction. For example the “code subspace” of states not containing black holes is mapped into the CFT degrees of freedom by a “holographic map” V , which is an approximate isometry. [Almheiri/Dong/Harlow 2014](#)

Emergent spacetime

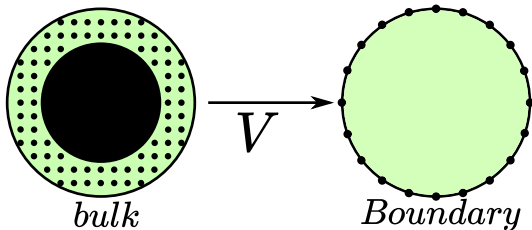
In quantum gravity we have long expected that spacetime is an emergent notion, valid only in certain situations and to some approximation. [Wheeler, 't](#)

[Hooft, Susskind](#)

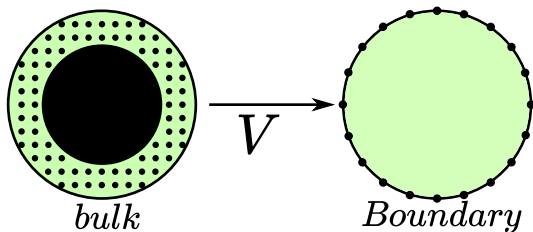


In AdS/CFT this expectation is realized mathematically via the notion of quantum error correction. For example the “code subspace” of states not containing black holes is mapped into the CFT degrees of freedom by a “holographic map” V , which is an approximate isometry. [Almheiri/Dong/Harlow 2014](#) (An isometry is a linear map $V : \mathcal{H}_A \rightarrow \mathcal{H}_B$ which preserves the inner product, i.e. $V^\dagger V = I$. They only exist if $|B| \geq |A|$.)

This idea can be extended to states with black holes, but with the encoding map now only acting on exterior degrees of freedom:



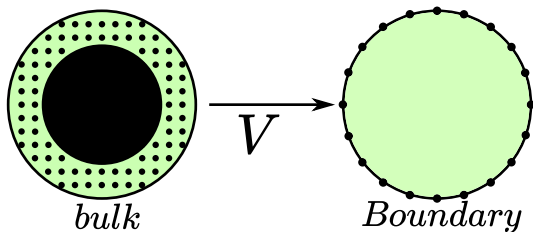
This idea can be extended to states with black holes, but with the encoding map now only acting on exterior degrees of freedom:



It has been understood for some time however that the black hole interior cannot be “reconstructed” in this way. [Almheiri, Giddings, Marolf, Mathur, Papadodimas,](#)

[Polchinski, Raju, Sully, Stanford, Wall, Verlinde@Verlinde, 2008-2013](#)

This idea can be extended to states with black holes, but with the encoding map now only acting on exterior degrees of freedom:



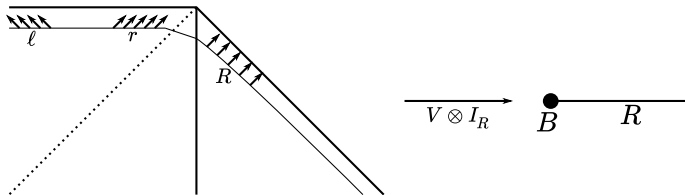
It has been understood for some time however that the black hole interior cannot be “reconstructed” in this way. [Almheiri, Giddings, Marolf, Mathur, Papadodimas, Polchinski, Raju, Sully, Stanford, Wall, Verlinde@Verlinde, 2008-2013](#)

[Akers/Engelhardt/Harlow/Penington/Vardhan, 2022](#)

Today I will present a proposal for how it can be reconstructed, with applications to the black hole information problem.

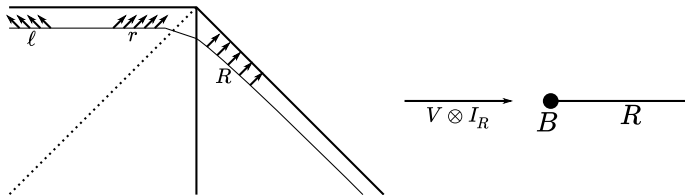
[Akers/Engelhardt/Harlow/Penington/Vardhan, 2022](#)

A holographic map for the BH interior?



We'd like a holographic map $V : \mathcal{H}_\ell \otimes \mathcal{H}_r \rightarrow \mathcal{H}_B$ mapping interior left and right moving modes ℓ, r to some microstate degrees of freedom B , and we'll introduce a "reservoir" (or "radiation") system R into which the black hole can evaporate. The full encoding map is $V \otimes I_R$, since R could be any auxiliary system.

A holographic map for the BH interior?



We'd like a holographic map $V : \mathcal{H}_\ell \otimes \mathcal{H}_r \rightarrow \mathcal{H}_B$ mapping interior left and right moving modes ℓ, r to some microstate degrees of freedom B , and we'll introduce a "reservoir" (or "radiation") system R into which the black hole can evaporate. The full encoding map is $V \otimes I_R$, since R could be any auxiliary system.

We will refer to the left side of this diagram as the "effective description" and the right side as the "fundamental description".

- The basic problem is that as the black hole evaporates we eventually reach a situation where

$$|\ell||r| \gg |B|,$$

which is not compatible with $V : \mathcal{H}_\ell \otimes \mathcal{H}_r \rightarrow \mathcal{H}_B$ being an isometry.

- The basic problem is that as the black hole evaporates we eventually reach a situation where

$$|\ell||r| \gg |B|,$$

which is not compatible with $V : \mathcal{H}_\ell \otimes \mathcal{H}_r \rightarrow \mathcal{H}_B$ being an isometry. In particular there must be a large number of “null states” annihilated by V . [Susskind/Thorlacius/Uglum 1993](#), [Kiem/Verlinde](#) \otimes [Verlinde 1995](#), [Jafferis 2017](#), [Hayden/Penington 2018](#), [Almheiri 2018](#), [Penington/Shenker/Stanford/Yang 2019](#), [Marolf/Maxfield 2020](#),...

- The basic problem is that as the black hole evaporates we eventually reach a situation where

$$|\ell||r| \gg |B|,$$

which is not compatible with $V : \mathcal{H}_\ell \otimes \mathcal{H}_r \rightarrow \mathcal{H}_B$ being an isometry. In particular there must be a large number of “null states” annihilated by V . [Susskind/Thorlacius/Uglum 1993](#), [Kiem/Verlinde/Verlinde 1995](#), [Jafferis 2017](#), [Hayden/Penington 2018](#), [Almheiri 2018](#), [Penington/Shenker/Stanford/Yang 2019](#), [Marolf/Maxfield 2020](#),...

- This sounds dangerous, and we will indeed need to handle it carefully, but we will see that it also comes with a benefit: when V is non-isometric it is not necessarily the case that

$$\mathrm{tr}_B \left((V \otimes I_R) \rho_{\ell r R} (V^\dagger \otimes I_R) \right) = \rho_R,$$

since information about the interior can be teleported out into the radiation. We will see that this is the basic mechanism behind the quantum extremal surface calculations of the Page curve. [Penington](#),

[Almheiri/Engelhardt/Marolf/Maxfield 2019](#)

Our basic proposal is the following:

There is a large set of “null states” in the Hilbert space of effective field theory inside a black hole, each of which is annihilated by the holographic map to the fundamental degrees of freedom. This however cannot be detected by any observer who does not perform an operation of exponential complexity.

Our basic proposal is the following:

There is a large set of “null states” in the Hilbert space of effective field theory inside a black hole, each of which is annihilated by the holographic map to the fundamental degrees of freedom. This however cannot be detected by any observer who does not perform an operation of exponential complexity.

We illustrate this idea concretely in several models, which we can show have the following features:

Our basic proposal is the following:

There is a large set of “null states” in the Hilbert space of effective field theory inside a black hole, each of which is annihilated by the holographic map to the fundamental degrees of freedom. This however cannot be detected by any observer who does not perform an operation of exponential complexity.

We illustrate this idea concretely in several models, which we can show have the following features:

- $V \otimes I_R$ preserves the overlaps of all states of sub-exponential complexity

Our basic proposal is the following:

There is a large set of “null states” in the Hilbert space of effective field theory inside a black hole, each of which is annihilated by the holographic map to the fundamental degrees of freedom. This however cannot be detected by any observer who does not perform an operation of exponential complexity.

We illustrate this idea concretely in several models, which we can show have the following features:

- $V \otimes I_R$ preserves the overlaps of all states of sub-exponential complexity
- In sub-exponential states the entropy of R can either be computed directly in the fundamental description or in the effective description using the QES formula [Engelhardt/Wall 2014](#), with the same results up to $O(e^{-\gamma S_{BH}})$ for some $\gamma > 0$.

Our basic proposal is the following:

There is a large set of “null states” in the Hilbert space of effective field theory inside a black hole, each of which is annihilated by the holographic map to the fundamental degrees of freedom. This however cannot be detected by any observer who does not perform an operation of exponential complexity.

We illustrate this idea concretely in several models, which we can show have the following features:

- $V \otimes I_R$ preserves the overlaps of all states of sub-exponential complexity
- In sub-exponential states the entropy of R can either be computed directly in the fundamental description or in the effective description using the QES formula [Engelhardt/Wall 2014](#), with the same results up to $O(e^{-\gamma S_{BH}})$ for some $\gamma > 0$.
- Sub-exponential interior observables can be (non-linearly but unambiguously) reconstructed in the fundamental description, in agreement with the effective description up to $O(e^{-\gamma S_{BH}})$.

Black hole information problem

We can also address the information problem more directly.

Black hole information problem

We can also address the information problem more directly.
Indeed Hawking argued that we cannot have simultaneously have:

Black hole information problem

We can also address the information problem more directly.

Indeed Hawking argued that we cannot have simultaneously have:

- (1) A finite black hole entropy with a state-counting interpretation

Black hole information problem

We can also address the information problem more directly.

Indeed Hawking argued that we cannot have simultaneously have:

- (1) A finite black hole entropy with a state-counting interpretation
- (2) A unitary black hole S-matrix

Black hole information problem

We can also address the information problem more directly.

Indeed Hawking argued that we cannot have simultaneously have:

- (1) A finite black hole entropy with a state-counting interpretation
- (2) A unitary black hole S-matrix
- (3) EFT valid near/in the BH wherever there is not a large curvature.

Black hole information problem

We can also address the information problem more directly.

Indeed Hawking argued that we cannot have simultaneously have:

- (1) A finite black hole entropy with a state-counting interpretation
- (2) A unitary black hole S-matrix
- (3) EFT valid near/in the BH wherever there is not a large curvature.

AdS/CFT shows that (1) and (2) are compatible, but so far (3) remains a work in progress.

Black hole information problem

We can also address the information problem more directly.

Indeed Hawking argued that we cannot have simultaneously have:

- (1) A finite black hole entropy with a state-counting interpretation
- (2) A unitary black hole S-matrix
- (3) EFT valid near/in the BH wherever there is not a large curvature.

AdS/CFT shows that (1) and (2) are compatible, but so far (3) remains a work in progress. (Note that without (3) we cannot distinguish a black hole from a lump of coal.)

Black hole information problem

We can also address the information problem more directly.

Indeed Hawking argued that we cannot have simultaneously have:

- (1) A finite black hole entropy with a state-counting interpretation
- (2) A unitary black hole S-matrix
- (3) EFT valid near/in the BH wherever there is not a large curvature.

AdS/CFT shows that (1) and (2) are compatible, but so far (3) remains a work in progress. (Note that without (3) we cannot distinguish a black hole from a lump of coal.)

Today I will present a dynamical model which realizes (analogues of) (1) and (2), and also realizes (an analogue of):

- (3*) EFT valid *for sub-exponential observables* near/in the BH wherever there is not large curvature.

Black hole information problem

We can also address the information problem more directly.

Indeed Hawking argued that we cannot have simultaneously have:

- (1) A finite black hole entropy with a state-counting interpretation
- (2) A unitary black hole S-matrix
- (3) EFT valid near/in the BH wherever there is not a large curvature.

AdS/CFT shows that (1) and (2) are compatible, but so far (3) remains a work in progress. (Note that without (3) we cannot distinguish a black hole from a lump of coal.)

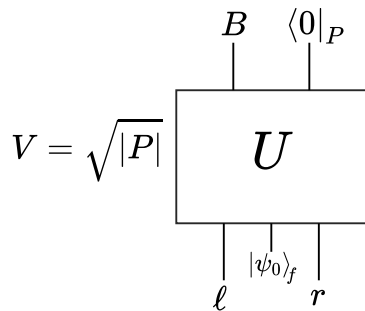
Today I will present a dynamical model which realizes (analogues of) (1) and (2), and also realizes (an analogue of):

- (3*) EFT valid *for sub-exponential observables* near/in the BH wherever there is not large curvature.

Thus (1), (2), and (3*) are not incompatible, and so within this model one can say that the information problem is resolved.

Static model

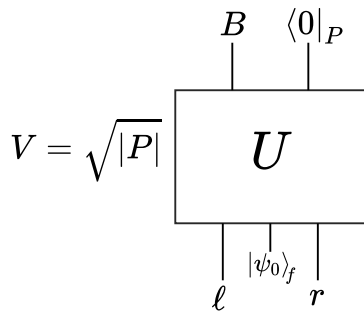
Our basic model works like this:



Here U is a unitary drawn from the Haar ensemble.

Static model

Our basic model works like this:

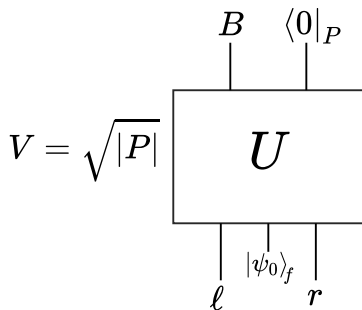


Here U is a unitary drawn from the Haar ensemble.

V is not necessarily an isometry due to the post-selection onto $\langle 0|_P$.

Static model

Our basic model works like this:



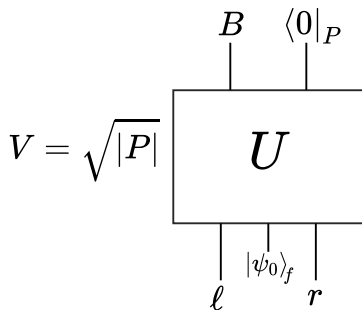
Here U is a unitary drawn from the Haar ensemble.

V is not necessarily an isometry due to the post-selection onto $\langle 0|_P$.

I emphasize that U is drawn *only once*; we are not doing “fundamental averaging”.

Static model

Our basic model works like this:



Here U is a unitary drawn from the Haar ensemble.

V is not necessarily an isometry due to the post-selection onto $\langle 0|_P$.

I emphasize that U is drawn *only once*; we are not doing “fundamental averaging”.

We will still use averaging over U to learn about its typical features though.

Overlap calculations

We can first observe that for any $|\psi_1\rangle, |\psi_2\rangle$ we have

$$\int dU \langle \psi_1 | (V^\dagger V \otimes I_R) | \psi_2 \rangle = \langle \psi_1 | \psi_2 \rangle$$
$$\int dU |\langle \psi_1 | (V^\dagger V \otimes I_R) | \psi_2 \rangle - \langle \psi_1 | \psi_2 \rangle| \leq \sqrt{\frac{2}{|B|}}.$$

Overlap calculations

We can first observe that for any $|\psi_1\rangle, |\psi_2\rangle$ we have

$$\int dU \langle \psi_1 | (V^\dagger V \otimes I_R) | \psi_2 \rangle = \langle \psi_1 | \psi_2 \rangle$$

$$\int dU |\langle \psi_1 | (V^\dagger V \otimes I_R) | \psi_2 \rangle - \langle \psi_1 | \psi_2 \rangle| \leq \sqrt{\frac{2}{|B|}}.$$

Thus $V \otimes I_R$ is very likely to approximately preserve the inner product, even though it is not even approximately an isometry when $|B| \ll |\ell||r|$ since there are many null states.

Overlap calculations

We can first observe that for any $|\psi_1\rangle, |\psi_2\rangle$ we have

$$\int dU \langle \psi_1 | (V^\dagger V \otimes I_R) | \psi_2 \rangle = \langle \psi_1 | \psi_2 \rangle$$

$$\int dU |\langle \psi_1 | (V^\dagger V \otimes I_R) | \psi_2 \rangle - \langle \psi_1 | \psi_2 \rangle| \leq \sqrt{\frac{2}{|B|}}.$$

Thus $V \otimes I_R$ is very likely to approximately preserve the inner product, even though it is not even approximately an isometry when $|B| \ll |\ell||r|$ since there are many null states.

Note in particular that the suppression of the fluctuations is exponential in $\log |B| \sim S_{BH}$, so this works up to $O(e^{-S_{BH}/2})$.

An even simpler model

How is this possible? We can illustrate the basic mechanism using an even simpler model:

$$V_{\text{phase}}|n\rangle_r = \frac{1}{\sqrt{|B|}} \sum_b e^{i\theta(n,b)} |b\rangle_B.$$

An even simpler model

How is this possible? We can illustrate the basic mechanism using an even simpler model:

$$V_{\text{phase}}|n\rangle_r = \frac{1}{\sqrt{|B|}} \sum_b e^{i\theta(n,b)} |b\rangle_B.$$

Here we are ignoring ℓ , and $e^{i\theta(n,b)}$ are a bunch of randomly-chosen phases.

An even simpler model

How is this possible? We can illustrate the basic mechanism using an even simpler model:

$$V_{\text{phase}}|n\rangle_r = \frac{1}{\sqrt{|B|}} \sum_b e^{i\theta(n,b)} |b\rangle_B.$$

Here we are ignoring ℓ , and $e^{i\theta(n,b)}$ are a bunch of randomly-chosen phases. We then have

$$\begin{aligned} \langle n' | V_{\text{phase}}^\dagger V_{\text{phase}} | n \rangle &= \frac{1}{|B|} \sum_b e^{i\theta(n,b) - i\theta(n',b)} \\ &= \begin{cases} 1 & n = n' \\ O(1/\sqrt{|B|}) & n \neq n' \end{cases}. \end{aligned}$$

An even simpler model

How is this possible? We can illustrate the basic mechanism using an even simpler model:

$$V_{\text{phase}}|n\rangle_r = \frac{1}{\sqrt{|B|}} \sum_b e^{i\theta(n,b)} |b\rangle_B.$$

Here we are ignoring ℓ , and $e^{i\theta(n,b)}$ are a bunch of randomly-chosen phases. We then have

$$\begin{aligned} \langle n' | V_{\text{phase}}^\dagger V_{\text{phase}} | n \rangle &= \frac{1}{|B|} \sum_b e^{i\theta(n,b) - i\theta(n',b)} \\ &= \begin{cases} 1 & n = n' \\ O(1/\sqrt{|B|}) & n \neq n' \end{cases}. \end{aligned}$$

You can fit quite a large number of “nearly orthogonal” states into a Hilbert space, many more than the dimensionality would suggest!

Measure concentration and complexity

So far we saw that the inner product between any particular two states is likely to be preserved up to $O(e^{-S_{BH}/2})$.

Measure concentration and complexity

So far we saw that the inner product between any particular two states is likely to be preserved up to $O(e^{-S_{BH}/2})$.

- In fact we can say more: for any particular U it is very likely that the inner product is preserved up to $O(e^{-\gamma S_{BH}})$ for all states of *sub-exponential complexity*.

Measure concentration and complexity

So far we saw that the inner product between any particular two states is likely to be preserved up to $O(e^{-S_{BH}/2})$.

- In fact we can say more: for any particular U it is very likely that the inner product is preserved up to $O(e^{-\gamma S_{BH}})$ for all states of *sub-exponential complexity*.
- The proof uses “measure concentration”, which is a theory which produces results such as the following: for any κ -Lipschitz function $F : U(N) \rightarrow \mathbb{R}$ we have [Meckes](#)

$$\Pr \left[|F(U) - \langle F \rangle| \geq \epsilon \right] \leq 2e^{-\frac{N\epsilon^2}{12\kappa^2}}.$$

Measure concentration and complexity

So far we saw that the inner product between any particular two states is likely to be preserved up to $O(e^{-S_{BH}/2})$.

- In fact we can say more: for any particular U it is very likely that the inner product is preserved up to $O(e^{-\gamma S_{BH}})$ for all states of *sub-exponential complexity*.
- The proof uses “measure concentration”, which is a theory which produces results such as the following: for any κ -Lipschitz function $F : U(N) \rightarrow \mathbb{R}$ we have [Meckes](#)

$$\Pr \left[|F(U) - \langle F \rangle| \geq \epsilon \right] \leq 2e^{-\frac{N\epsilon^2}{12\kappa^2}}.$$

- Applying this formula to the problem at hand, for any $\alpha > 0$ we have

$$\Pr \left[\sup_{|\psi\rangle, |\phi\rangle \text{ sub-exp}} \left| \langle \psi | V^\dagger V \otimes I_{LR} | \phi \rangle - \langle \psi | \phi \rangle \right| > \sqrt{18} |B|^{-\gamma} \right] \\ \lesssim \exp \left(|B|^\alpha \log \log(|l||r|) - \frac{|B|^{1-2\gamma}}{24} \right).$$

QES formula from the static model

We now introduce a *Hawking state* in the effective description, of the form

$$|\psi_{\text{Hawk}}\rangle \equiv |\chi^{\{in\}}\rangle_{L\ell} \otimes |\chi^{\{out\}}\rangle_{rR}.$$

QES formula from the static model

We now introduce a *Hawking state* in the effective description, of the form

$$|\psi_{\text{Hawk}}\rangle \equiv |\chi^{\{in\}}\rangle_{L\ell} \otimes |\chi^{\{out\}}\rangle_{rR}.$$

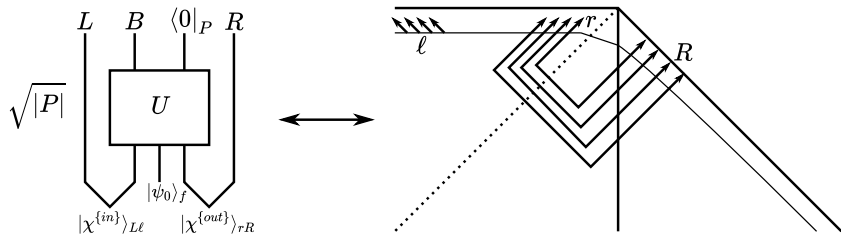
Here L is a reference system that keeps track of how we made the black hole, and $|\chi^{\{out\}}\rangle_{rR}$ describes the Hawking entanglement between interior and exterior outgoing modes.

QES formula from the static model

We now introduce a *Hawking state* in the effective description, of the form

$$|\psi_{\text{Hawk}}\rangle \equiv |\chi^{\{in\}}\rangle_{L\ell} \otimes |\chi^{\{out\}}\rangle_{rR}.$$

Here L is a reference system that keeps track of how we made the black hole, and $|\chi^{\{out\}}\rangle_{rR}$ describes the Hawking entanglement between interior and exterior outgoing modes.



Let's compute the entropy of the radiation system R in the encoded state

$$\Psi_{LBR}(U) \equiv (V \otimes I_{LR}) |\psi_{\text{Hawk}}\rangle \langle \psi_{\text{Hawk}}| (V^\dagger \otimes I_{LR}).$$

We can compute the second Renyi entropy of $\Psi_R(U)$ using Haar technology: at large $|B|$ we have

$$\int dU e^{-S_2(\Psi_R(U))} \approx e^{-S_2(\chi_R^{\{out\}})} + \frac{e^{-S_2(\chi_\ell^{\{in\}})}}{|B|},$$

We can compute the second Renyi entropy of $\Psi_R(U)$ using Haar technology: at large $|B|$ we have

$$\int dU e^{-S_2(\Psi_R(U))} \approx e^{-S_2(\chi_R^{\{out\}})} + \frac{e^{-S_2(\chi_\ell^{\{in\}})}}{|B|},$$

or in other words we typically have

$$S_2(\Psi_R) \approx \min \left[S_2(\chi_R^{\{out\}}), \log |B| + S_2(\chi_\ell^{\{in\}}) \right].$$

We can compute the second Renyi entropy of $\Psi_R(U)$ using Haar technology: at large $|B|$ we have

$$\int dU e^{-S_2(\Psi_R(U))} \approx e^{-S_2(\chi_R^{\{out\}})} + \frac{e^{-S_2(\chi_\ell^{\{in\}})}}{|B|},$$

or in other words we typically have

$$S_2(\Psi_R) \approx \min \left[S_2(\chi_R^{\{out\}}), \log |B| + S_2(\chi_\ell^{\{in\}}) \right].$$

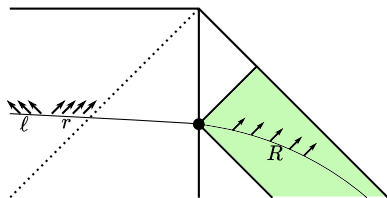
The same result with $2 \rightarrow n$ turns out to hold, although the calculation is a bit more elaborate.

Taking $n \rightarrow 1$, the von Neumann entropy is thus

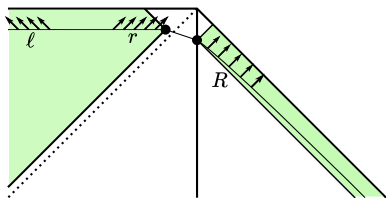
$$S(\Psi_R) \approx \min \left[S \left(\chi_R^{\{out\}} \right), \log |B| + S \left(\chi_\ell^{\{in\}} \right) \right].$$

Taking $n \rightarrow 1$, the von Neumann entropy is thus

$$S(\Psi_R) \approx \min \left[S(\chi_R^{\{out\}}), \log |B| + S(\chi_\ell^{\{in\}}) \right].$$



$$S(\chi_R^{out}) \ll \log |B| + S(\chi_\ell^{in})$$

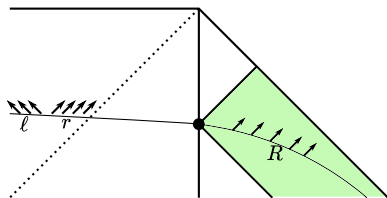


$$S(\chi_R^{out}) \gg \log |B| + S(\chi_\ell^{in})$$

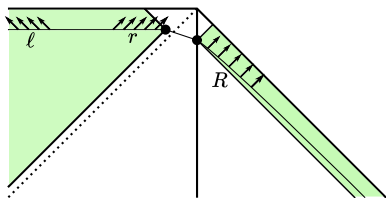
This is precisely the QES result!

Taking $n \rightarrow 1$, the von Neumann entropy is thus

$$S(\Psi_R) \approx \min \left[S(\chi_R^{\{out\}}), \log |B| + S(\chi_\ell^{\{in\}}) \right].$$



$$S(\chi_R^{out}) \ll \log |B| + S(\chi_\ell^{in})$$



$$S(\chi_R^{out}) \gg \log |B| + S(\chi_\ell^{in})$$

This is precisely the QES result!

Note however that we have *not* taken the QES formula as input or “derived” it from Euclidean gravity, we have instead obtained it as output from a “microscopic” calculation of the entropy in a non-isometric code.

Reconstruction and measurement theory

Let's now say a bit about how to “reconstruct” the effective description starting from the fundamental description. [Banks/Douglas/Horowitz/Martinec 1998,](#)

[Hamilton/Kabat/Lifschytz/Lowe 2006](#)

Reconstruction and measurement theory

Let's now say a bit about how to “reconstruct” the effective description starting from the fundamental description. [Banks/Douglas/Horowitz/Martinec 1998](#),

[Hamilton/Kabat/Lifschytz/Lowe 2006](#)

The key fact is the following: for any sub-exponential states $|\psi_1\rangle$ and $|\psi_2\rangle$, and for any $0 < \gamma < 1/2$, with high probability we have

$$\left\| |\psi_1\rangle - |\psi_2\rangle \right\| \leq \left\| (V \otimes I_{LR})(|\psi_1\rangle - |\psi_2\rangle) \right\| + |B|^{-\gamma}.$$

Reconstruction and measurement theory

Let's now say a bit about how to “reconstruct” the effective description starting from the fundamental description. [Banks/Douglas/Horowitz/Martinec 1998,](#)

[Hamilton/Kabat/Lifschytz/Lowe 2006](#)

The key fact is the following: for any sub-exponential states $|\psi_1\rangle$ and $|\psi_2\rangle$, and for any $0 < \gamma < 1/2$, with high probability we have

$$\left\| |\psi_1\rangle - |\psi_2\rangle \right\| \leq \left\| (V \otimes I_{LR})(|\psi_1\rangle - |\psi_2\rangle) \right\| + |B|^{-\gamma}.$$

In other words, although $V \otimes I_{LR}$ can be highly non-isometric, *it is approximately invertible on the set of sub-exponential states.*

Reconstruction and measurement theory

Let's now say a bit about how to “reconstruct” the effective description starting from the fundamental description. [Banks/Douglas/Horowitz/Martinec 1998,](#)

[Hamilton/Kabat/Lifschytz/Lowe 2006](#)

The key fact is the following: for any sub-exponential states $|\psi_1\rangle$ and $|\psi_2\rangle$, and for any $0 < \gamma < 1/2$, with high probability we have

$$\left\| |\psi_1\rangle - |\psi_2\rangle \right\| \leq \left\| (V \otimes I_{LR})(|\psi_1\rangle - |\psi_2\rangle) \right\| + |B|^{-\gamma}.$$

In other words, although $V \otimes I_{LR}$ can be highly non-isometric, *it is approximately invertible on the set of sub-exponential states.*

This ensures that each state in the fundamental description has at most one interpretation in the effective description (it might have none if it is highly complex).

Reconstruction and measurement theory

Let's now say a bit about how to “reconstruct” the effective description starting from the fundamental description. [Banks/Douglas/Horowitz/Martinec 1998,](#)

[Hamilton/Kabat/Lifschytz/Lowe 2006](#)

The key fact is the following: for any sub-exponential states $|\psi_1\rangle$ and $|\psi_2\rangle$, and for any $0 < \gamma < 1/2$, with high probability we have

$$\left\| |\psi_1\rangle - |\psi_2\rangle \right\| \leq \left\| (V \otimes I_{LR})(|\psi_1\rangle - |\psi_2\rangle) \right\| + |B|^{-\gamma}.$$

In other words, although $V \otimes I_{LR}$ can be highly non-isometric, *it is approximately invertible on the set of sub-exponential states.*

This ensures that each state in the fundamental description has at most one interpretation in the effective description (it might have none if it is highly complex).

On the other hand this inverse is *not* a linear operator, since the set of sub-exponential states does not form a subspace.

Using this (non-linear) inverse, we can reconstruct the quantum mechanics of an interior observer in the fundamental description.

Using this (non-linear) inverse, we can reconstruct the quantum mechanics of an interior observer in the fundamental description.

- Every sub-exponential measurement in the effective description can be turned into a measurement in the fundamental description, with the latter depending on the state but giving measurement probabilities and post-measurement states which agree with the effective description to exponential accuracy.

Using this (non-linear) inverse, we can reconstruct the quantum mechanics of an interior observer in the fundamental description.

- Every sub-exponential measurement in the effective description can be turned into a measurement in the fundamental description, with the latter depending on the state but giving measurement probabilities and post-measurement states which agree with the effective description to exponential accuracy.
- The non-linearity is reminiscent of the proposal of [Papadodimas/Raju 2014](#), but the invertibility just mentioned avoids the ambiguities which arise there.

Using this (non-linear) inverse, we can reconstruct the quantum mechanics of an interior observer in the fundamental description.

- Every sub-exponential measurement in the effective description can be turned into a measurement in the fundamental description, with the latter depending on the state but giving measurement probabilities and post-measurement states which agree with the effective description to exponential accuracy.
- The non-linearity is reminiscent of the proposal of [Papadodimas/Raju 2014](#), but the invertibility just mentioned avoids the ambiguities which arise there.
- This reconstruction is compatible with expectations from “entanglement wedge reconstruction”, and in particular at late times we can reconstruct the interior using the radiation alone.

A dynamical model

So far we have considered the holographic map only on a single time-slice.
What about dynamics?

A dynamical model

So far we have considered the holographic map only on a single time-slice. What about dynamics?

- As time evolves the various Hilbert space dimensions all change, so we need to introduce

$$V_t : \mathcal{H}_{\ell(t)} \otimes \mathcal{H}_{r(t)} \rightarrow \mathcal{H}_{B(t)}.$$

A dynamical model

So far we have considered the holographic map only on a single time-slice. What about dynamics?

- As time evolves the various Hilbert space dimensions all change, so we need to introduce

$$V_t : \mathcal{H}_{\ell(t)} \otimes \mathcal{H}_{r(t)} \rightarrow \mathcal{H}_{B(t)}.$$

- We'd then like to define a (discrete) time evolution U_t which is “equivariant” in the sense that

$$V_{t+1} = U_{t+1} V_t.$$

A dynamical model

So far we have considered the holographic map only on a single time-slice. What about dynamics?

- As time evolves the various Hilbert space dimensions all change, so we need to introduce

$$V_t : \mathcal{H}_{\ell(t)} \otimes \mathcal{H}_{r(t)} \rightarrow \mathcal{H}_{B(t)}.$$

- We'd then like to define a (discrete) time evolution U_t which is “equivariant” in the sense that

$$V_{t+1} = U_{t+1} V_t.$$

(“Evolve then encode” equals “encode then evolve”)

A dynamical model

So far we have considered the holographic map only on a single time-slice. What about dynamics?

- As time evolves the various Hilbert space dimensions all change, so we need to introduce

$$V_t : \mathcal{H}_{\ell(t)} \otimes \mathcal{H}_{r(t)} \rightarrow \mathcal{H}_{B(t)}.$$

- We'd then like to define a (discrete) time evolution U_t which is “equivariant” in the sense that

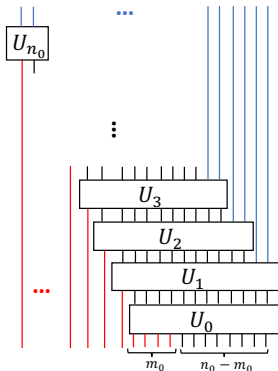
$$V_{t+1} = U_{t+1} V_t.$$

(“Evolve then encode” equals “encode then evolve”)

- We would like to model the full dynamical process proposed by Hawking: collapse some matter to form a black hole, and then watch it evaporate and see if the process is unitary.

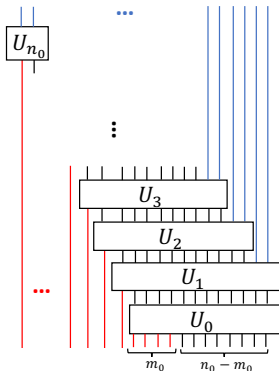
We'll start in the fundamental description.

We'll start in the fundamental description.



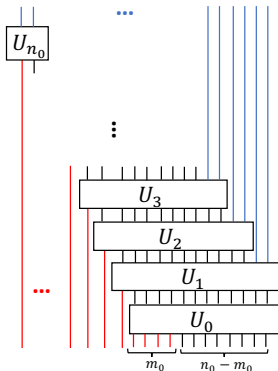
- We model the collapsing shell as m_0 qudits whose state we control and $n_0 - m_0$ qudits in a fixed state $|\psi_0\rangle_f$, with the collapse being implemented by a random unitary U_0 .

We'll start in the fundamental description.



- We model the collapsing shell as m_0 qudits whose state we control and $n_0 - m_0$ qudits in a fixed state $|\psi_0\rangle_f$, with the collapse being implemented by a random unitary U_0 .
- At each time t we then act with a random unitary U_{t+1} which absorbs one ingoing qudit from the reservoir and radiates two outgoing qudits.

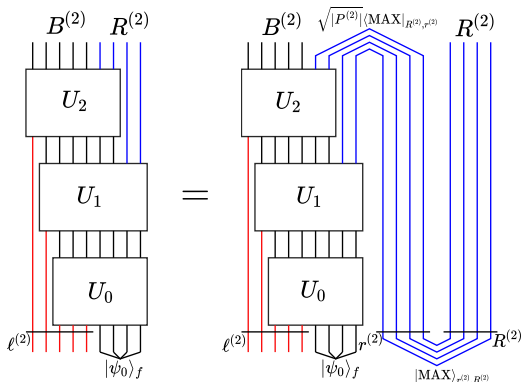
We'll start in the fundamental description.



- We model the collapsing shell as m_0 qudits whose state we control and $n_0 - m_0$ qudits in a fixed state $|\psi_0\rangle_f$, with the collapse being implemented by a random unitary U_0 .
- At each time t we then act with a random unitary U_{t+1} which absorbs one ingoing qudit from the reservoir and radiates two outgoing qudits.
- This model clearly has a finite BH entropy and a unitary S-matrix. 18

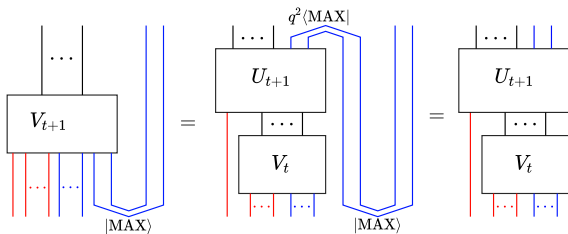
To understand the interior we need to define a holographic map V .

To understand the interior we need to define a holographic map V . Here is how it works:

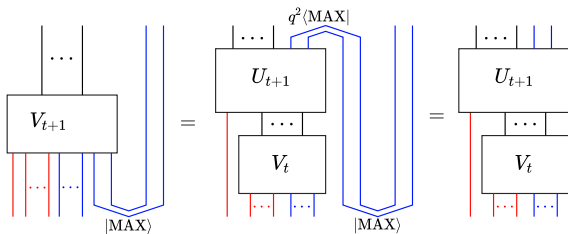


By “bending around” the outgoing modes using post-selection, we can re-interpret that fundamental dynamics as a non-isometric holographic map $V \otimes I_R$ acting on a (maximally-entangled) Hawking state in the effective description!

This map is easily shown to be equivariant:

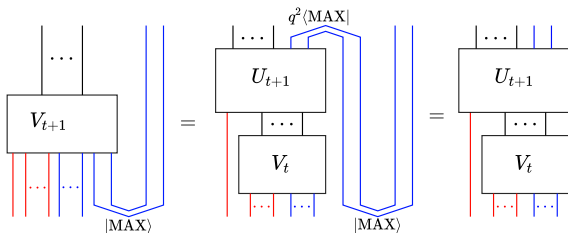


This map is easily shown to be equivariant:



We can also check that our results from the static case carry through:

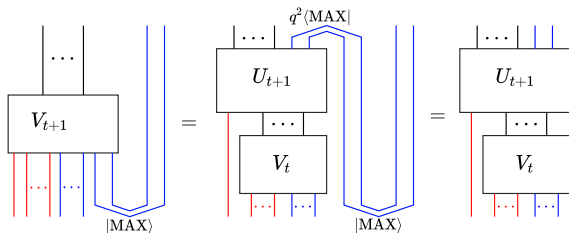
This map is easily shown to be equivariant:



We can also check that our results from the static case carry through:

- Approximate isometry on sub-exponential states

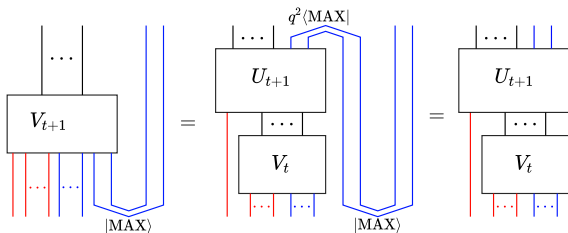
This map is easily shown to be equivariant:



We can also check that our results from the static case carry through:

- Approximate isometry on sub-exponential states
- QES formula valid for computing entropy

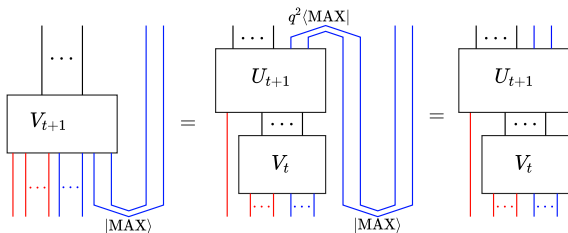
This map is easily shown to be equivariant:



We can also check that our results from the static case carry through:

- Approximate isometry on sub-exponential states
- QES formula valid for computing entropy
- Invertible encoding on sub-exponential states and a reconstructable (but non-linear) measurement theory.

This map is easily shown to be equivariant:



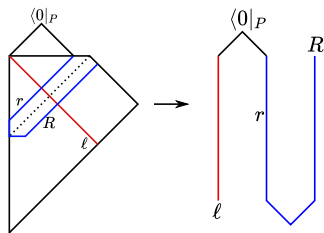
We can also check that our results from the static case carry through:

- Approximate isometry on sub-exponential states
- QES formula valid for computing entropy
- Invertible encoding on sub-exponential states and a reconstructable (but non-linear) measurement theory.

The Hayden-Preskill scrambling argument can also be checked in a more refined version of the model where the U_t are less random.

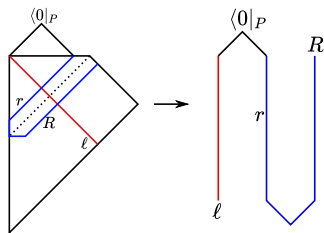
Relation to final-state proposal

The mathematical mechanism for how information escapes here is the same as in the “black hole final state” proposal of [Horowitz/Maldacena 2003](#).



Relation to final-state proposal

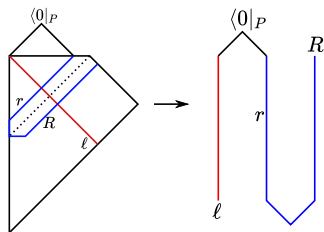
The mathematical mechanism for how information escapes here is the same as in the “black hole final state” proposal of [Horowitz/Maldacena 2003](#).



The interpretation however is different:

Relation to final-state proposal

The mathematical mechanism for how information escapes here is the same as in the “black hole final state” proposal of [Horowitz/Maldacena 2003](#).

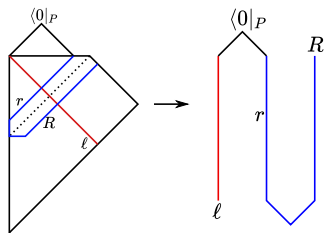


The interpretation however is different:

- HM modify QM in the effective description, and there is no fundamental description.

Relation to final-state proposal

The mathematical mechanism for how information escapes here is the same as in the “black hole final state” proposal of [Horowitz/Maldacena 2003](#).

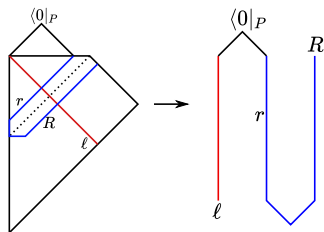


The interpretation however is different:

- HM modify QM in the effective description, and there is no fundamental description. It isn't holographic!

Relation to final-state proposal

The mathematical mechanism for how information escapes here is the same as in the “black hole final state” proposal of [Horowitz/Maldacena 2003](#).

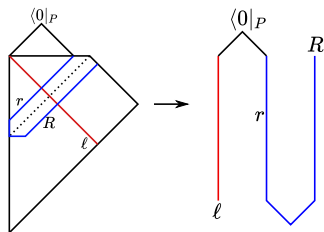


The interpretation however is different:

- HM modify QM in the effective description, and there is no fundamental description. It isn't holographic!
- In HM post-selection is “at the singularity”, while for us there is a post-selection in defining each V_t .

Relation to final-state proposal

The mathematical mechanism for how information escapes here is the same as in the “black hole final state” proposal of [Horowitz/Maldacena 2003](#).



The interpretation however is different:

- HM modify QM in the effective description, and there is no fundamental description. It isn't holographic!
- In HM post-selection is “at the singularity”, while for us there is a post-selection in defining each V_t .
- The HM proposal is both non-linear and acausal, while our measurement theory is non-linear but perfectly causal.

Conclusion

There are more things we could discuss but won't, e.g.

Conclusion

There are more things we could discuss but won't, e.g.

- Coarse-graining in the fundamental description and the “simple entropy”. [Engelhardt/Wall 2017](#) (What entropy did Hawking compute?)

Conclusion

There are more things we could discuss but won't, e.g.

- Coarse-graining in the fundamental description and the “simple entropy”. [Engelhardt/Wall 2017](#) (What entropy did Hawking compute?)
- “Python's lunch” proposal [Brown/Ghrabiyani/Penington/Susskind 2019](#)

Conclusion

There are more things we could discuss but won't, e.g.

- Coarse-graining in the fundamental description and the “simple entropy”. [Engelhardt/Wall 2017](#) (What entropy did Hawking compute?)
- “Python's lunch” proposal [Brown/Ghrabiyani/Penington/Susskind 2019](#)
- Big black holes in AdS

Conclusion

There are more things we could discuss but won't, e.g.

- Coarse-graining in the fundamental description and the “simple entropy”. [Engelhardt/Wall 2017](#) (What entropy did Hawking compute?)
- “Python’s lunch” proposal [Brown/Ghrabiyani/Penington/Susskind 2019](#)
- Big black holes in AdS
- Multiple black holes and “ER=EPR” [Van Raamsdonk 2013](#), [Maldacena/Susskind 2013](#)

Conclusion

There are more things we could discuss but won't, e.g.

- Coarse-graining in the fundamental description and the “simple entropy”. [Engelhardt/Wall 2017](#) (What entropy did Hawking compute?)
- “Python’s lunch” proposal [Brown/Ghrabiyani/Penington/Susskind 2019](#)
- Big black holes in AdS
- Multiple black holes and “ER=EPR” [Van Raamsdonk 2013](#), [Maldacena/Susskind 2013](#)
- “Ghost operators” and pseudorandomness [Kim/Preskill/Tang 2020](#)

Conclusion

There are more things we could discuss but won't, e.g.

- Coarse-graining in the fundamental description and the “simple entropy”. [Engelhardt/Wall 2017](#) (What entropy did Hawking compute?)
- “Python’s lunch” proposal [Brown/Ghrabiyani/Penington/Susskind 2019](#)
- Big black holes in AdS
- Multiple black holes and “ER=EPR” [Van Raamsdonk 2013](#), [Maldacena/Susskind 2013](#)
- “Ghost operators” and pseudorandomness [Kim/Preskill/Tang 2020](#)
- Generic states [Marolf/Polchinski 2013](#) (these plausibly have firewalls, but they are quickly removed by any perturbation of the BH, see [Susskind 2015](#))

Conclusion

There are more things we could discuss but won't, e.g.

- Coarse-graining in the fundamental description and the “simple entropy”. [Engelhardt/Wall 2017](#) (What entropy did Hawking compute?)
- “Python’s lunch” proposal [Brown/Ghrabiyani/Penington/Susskind 2019](#)
- Big black holes in AdS
- Multiple black holes and “ER=EPR” [Van Raamsdonk 2013](#), [Maldacena/Susskind 2013](#)
- “Ghost operators” and pseudorandomness [Kim/Preskill/Tang 2020](#)
- Generic states [Marolf/Polchinski 2013](#) (these plausibly have firewalls, but they are quickly removed by any perturbation of the BH, see [Susskind 2015](#))

There is clearly lots more to think about, in particular we'd like to understand what this all means for cosmology!



Thanks for listening!

