

UNIFYING ARCHITECTURES, TASKS, AND MODALITIES THROUGH A SIMPLE SEQUENCE-TO-SEQUENCE LEARNING FRAMEWORK

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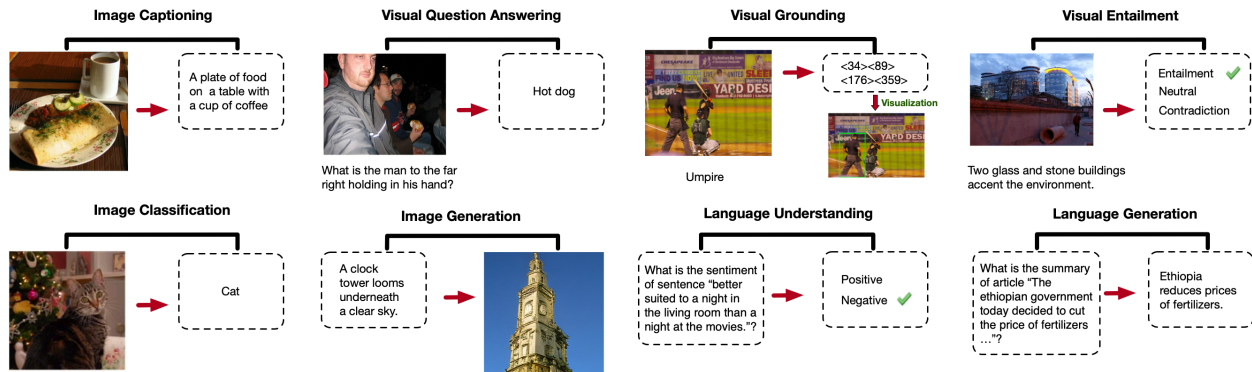


Figure 1: Examples of various tasks supported by OFA.

ABSTRACT

In this work, we pursue a unified paradigm for multimodal pretraining to break the scaffolds of complex task/modality-specific customization. We propose OFA, a unified multimodal pretrained model that unifies modalities (i.e., cross-modality, vision, language) and tasks (e.g., image generation, visual grounding, image captioning, image classification, text generation, etc.) to a simple sequence-to-sequence learning framework based on the encoder-decoder architecture. OFA performs pretraining and finetuning with task instructions and introduces no extra task-specific layers for finetuning. Experimental results show that OFA achieves new state-of-the-arts on a series of multimodal tasks, including image captioning (COCO test CIDEr: 149.6), text-to-image generation (COCO test FID: 10.5), VQA (test-std acc.: 80.02), SNLI-VE (test acc.: 90.20), and referring expression comprehension (RefCOCO / RefCOCO+ / RefCOCOG test acc.: 92.93 / 90.10 / 85.20). Through extensive analyses, we demonstrate that OFA reaches comparable performance with uni-modal pretrained models (e.g., BERT, MAE, MoCo v3, SimCLR v2, etc.) in uni-modal tasks, including NLU, NLG, and image classification, and it effectively transfers to unseen tasks and domains. Code shall be released soon at <https://github.com/OFA-Sys/OFA>.

Keywords Unified frameworks · Multimodal pretraining · Multitask learning · Zero-shot learning

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1 Introduction

Building an omnipotent model that handles as many tasks and modalities as human beings is an attractive goal in the AI community. The central problem towards this goal is to represent massive varieties of modalities, tasks, and training regimes in a single model.

Recent developments of the Transformer [1] architecture have shown its potential for being a universal computation engine [2, 3, 4, 5, 6, 7, 8]. In the settings of supervised learning, the pretrain-finetune paradigm achieves excellent successes in many domains, and in the regimes of few-/zero-shot learning, language models with prompt / instruction tuning prove powerful zero-/few-shot learners [3, 9, 10]. These advances have provided more significant than ever opportunities for the emergence of an omni-model.

To support better generalization for open-ended problems while maintaining multitask performance and ease of use, we advocate that an omnipotent model should have the following three properties: 1. Task-Agnostic (TA): unified task representation to support different types of tasks, including classification, generation, self-supervised pretext tasks, etc., and to be agnostic to either pretraining or finetuning. 2. Modality-Agnostic (MA): unified input and output representation to handle different modalities, shared among all tasks. 3. Task Comprehensiveness (TC): enough task variety to accumulate generalization ability robustly.

However, satisfying the above three properties is challenging while achieving superior performance. Due to their following design choices, current language and multimodal pretrained models readily fail at parts of the properties. 1. Extra learnable components for finetuning, e.g., task-specific heads [2], adapters [11], soft prompts [12]. It makes the model task-specific and thus violates TA. Besides, there are difficulties in finding optimal components, and such designs are not friendly to supporting unseen tasks in a zero-shot manner. 2. Task-specific formulation. For most current methods, pretraining, finetuning, and zero-shot tasks usually differ in task formulation and training objectives. It violates TA and is burdensome to scale up the task population to achieve TC. 3. Modality-specific design for varieties of I/O. It is a common practice for VL-pretrained models to take detected objects as input features for better downstream task performance [8, 13, 14, 15, 16, 17]. However, this modality-specific design entangles images with the concept of objects. It is hard to train the model with other tasks such as image generation or object detection in a task-agnostic model.

Therefore, we explore an omni-model for multimodal pretraining and propose **OFA**, namely “One For All”, which achieves the objectives of unifying architecture, task, and modality, and supports the three properties above.² We formulate both pretraining and finetuning tasks in a unified sequence-to-sequence abstraction via handcrafted instructions [9, 10] to achieve Task-Agnostic. A Transformer is adopted as the Modality-Agnostic compute engine, with a constraint that no learnable task- or modality-specific components will be added to downstream tasks. It is available to represent information from different modalities within a globally shared multimodal vocabulary across all tasks. We then support Task Comprehensiveness by pretraining on varieties of uni-modal and cross-modal tasks.

To summarize:

- We propose OFA, a Task-Agnostic and Modal-Agnostic framework that supports Task Comprehensiveness. It first unifies tasks, including understanding and generation, e.g., image generation, visual grounding, visual question answering (VQA), image captioning, image classification, language modeling, etc., and modalities, including multi-modality and uni-modality, via a simple sequence-to-sequence learning framework with instruction-based training.
- Experiments demonstrate that OFA achieves new SOTAs on multimodal benchmarks, including image captioning (COCO test CIDEr: 149.6), text-to-image generation (COCO test FID: 10.5), VQA (test-std acc.: 80.02), SNLI-VE (test acc.: 90.20), and referring expression comprehension (RefCOCO / RefCOCO+ / RefCOCOg test acc.: 92.93 / 90.10 / 85.20), and performs competitively with uni-modal pretrained models on language and vision tasks, while still most previous multimodal pretrained models far underperform the uni-modal.
- We verify that OFA achieves competitive performance in zero-shot learning. Also, it can transfer to unseen tasks with new task instructions and adapt to out-of-domain information without finetuning.

2 Related Work

Language Pretraining & Vision Pretraining Natural language pretraining has revolutionized the whole NLP research community. A representation of this track is the birth of BERT [2] and GPT [22]. A number of studies have

²Note that this work is the latest one of our M6 series [18, 19, 20, 21].

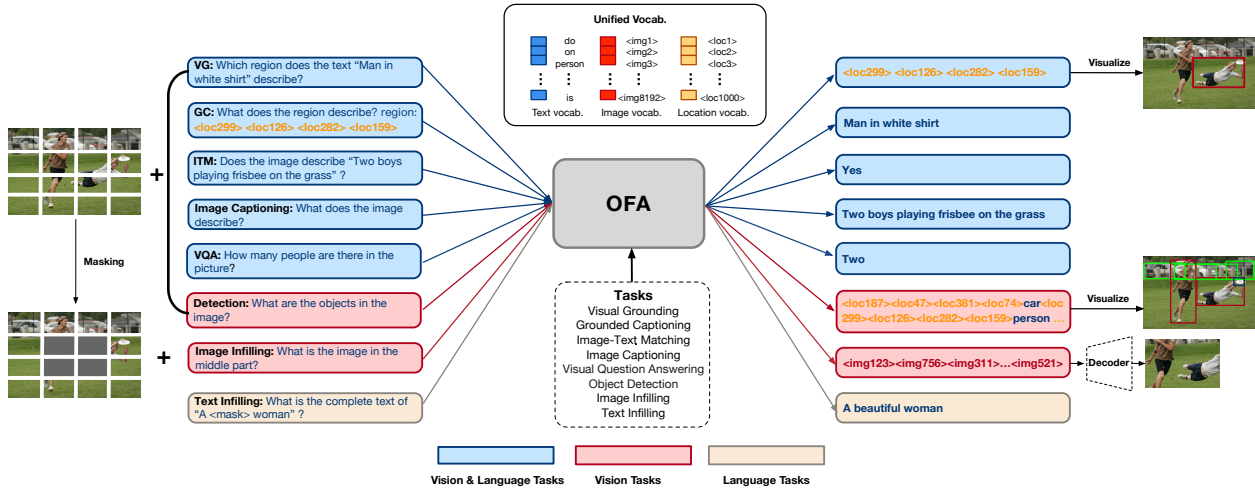


Figure 2: A demonstration of the pretraining tasks, including visual grounding, grounded captioning, image-text matching, image captioning, VQA, object detection, image infilling as well as text infilling.

been progressively advancing pretraining by improving pretraining tasks and designing more sophisticated model architectures [23, 24, 25, 26, 27, 28, 29]. Having witnessed the success of natural language pretraining, researchers have promoted self-supervised learning (SSL) in computer vision [30, 31, 32, 33]. Recently, mirroring masked language modeling (MLM) in language pretraining, generative pretraining [34, 35] with ViT architecture [6] further boosts downstream performances.

Multimodal Pretraining Multimodal pretraining has been developing rapidly [13, 14, 15, 16, 17, 36, 37, 38, 39, 40, 41, 42, 43, 44]. Researchers have applied masking strategies and encoder-decoder architecture to adapt models to generation tasks [15, 17, 18, 45]. Besides, to simplify preprocessing, patch projection has received attention and helped Transformer achieve SOTA performance in downstream tasks [45, 46]. To make full use of large-scale weakly supervised data, [47] trains a bi-encoder on 400 million pairs and demonstrates excellent performance in retrieval tasks. Another line of work is text-to-image synthesis. A bunch of works [18, 48, 49, 50] incorporate Transformer with VQVAE [51] or VQGAN [52] to generate high-quality images with high resolution. However, the previously mentioned methods are limited in processing a single type of data, such as cross-modal data only or limited in their capabilities. Also, the discrepancy between pretraining and finetuning behaviors limits the transferability to open-ended data.

Unified Frameworks To pursue the unified models, [53] presents tasks via a uniform format. In NLP, recent studies unify downstream tasks to text-to-text transfer [28] or language modeling [3]. Following this idea, [54] and [55] demonstrate text-generation-based multimodal pretrained models. [7] and [56] propose a simple framework that can process information from multiple modalities with a uniform byte-sequence representation. [57] and [58] unify tasks of different modalities by designing various task-specific layers. [59] explores to employ a retrieval-based unified paradigm. However, these multimodal pretrained models suffer from performance degradation in downstream tasks, e.g., VQA, image captioning, etc., and they have no image generation capability.

3 OFA

In this work, we propose OFA, a unified Seq2Seq framework for the unification of I/O & architectures, tasks, and modalities. The overall framework is illustrated in Figure 2.

3.1 I/O & Architecture

I/O Multimodal pretraining pretrains Transformer models on image-text corpus at scale. To enable multimodal pretraining, it is necessary to preprocess the data so that both visual and linguistic information can be jointly processed by the Transformer. Compared with the complex, resource&time-consuming object feature extraction, we aim for simplicity and directly split an image $x_v \in \mathbb{R}^{H \times W \times C}$ to P patches and project patches to features of the hidden size H , following [60] and [45]. As to processing linguistic information, we follow the practice of GPT [22] and BART [29]

that we apply byte-pair encoding (BPE) to the given text sequence to transform it into a subword sequence and then embed them to features.

To process different modalities without task-specific output schema, it is essential to represent data of various modalities in a unified space. A possible solution is to discretize text, image, and object into a unified output vocabulary. Recent advances in image quantization [51, 52] has demonstrated effectiveness in text-to-image synthesis [18, 19, 48, 49], and thus we utilize this strategy for the target-side image representations. Sparse coding is effective in reducing the sequence length of image representation. For example, an image of the resolution of 256×256 is represented as a code sequence of the length of 16×16 . Each discrete code strongly correlates the corresponding patch [34].

Apart from representing images, it is also essential to represent objects within images as there are a series of region-related tasks. Following [61], we represent objects as a sequence of discrete tokens. To be more specific, for each object, we extract its label and its bounding box. The continuous corner coordinates of the bounding box are uniformly discretized to integers as location tokens $\langle x_1, y_1, x_2, y_2 \rangle$. To improve simplicity, we use a unified vocabulary for all the linguistic and visual tokens, including subwords, image codes, and location tokens.

Architecture Following the previous successful practices in multimodal pretraining [14, 17, 45], we choose Transformer as the backbone architecture, and we adopt the encoder-decoder network as the unified architecture for all the pretraining, finetuning and zero-shot tasks. Specifically, both encoder and decoder are stacks of Transformer layers. A Transformer encoder layer consists of self attention and feed-forward networks (FFN), while a Transformer decoder layer consists of self attention, FFN and cross attention for building the connection between the decoder and the encoder output representations. To stabilize training and accelerate convergence, we add head scaling to self attention, a post-attention layer normalization (LN) [62], and an LN after the first layer of FFN [63].

3.2 Tasks & Modalities

A unified framework is designed to provide architecture compatibility across different modalities and downstream tasks so that opportunities can arise to generalize to unseen tasks within the same model. Then we have to represent the possible downstream tasks concerning different modalities in a unified paradigm. Therefore, an essential point for the design of pretraining tasks is the consideration of multitask and multimodality.

To unify tasks and modalities, we design a unified sequence-to-sequence learning paradigm for pretraining, finetuning, and inference on all tasks concerning different modalities. Tasks including pretraining tasks, downstream tasks of cross-modal and uni-modal understanding and generation are all formed as Seq2Seq generation tasks. It is available to perform multitask pretraining on multimodal and uni-modal data to endow the model with comprehensive capabilities. Specifically, we share the identical schema across all tasks, while we specify handcrafted instructions for discrimination [9].

For cross-modal representation learning, we design 5 tasks, including visual grounding (VG), grounded captioning (GC), image-text matching (ITM), image captioning (IC), and visual question answering (VQA). For VG, the model learns to generate location tokens specifying the region position $\langle x_1, y_1, x_2, y_2 \rangle$ based on the input of the image x_i and instruction “Which region does the text x_t describe?” where x_t refers to the region caption. GC is an inverse task of VG. The model learns to generate a description based on the input image x_i and the instruction “What does the region describe? region: $\langle x_1, y_1, x_2, y_2 \rangle$ ”. For ITM, we use each original image-text pair as the positive sample and construct a new one as the negative by pairing the image with a randomly substituted caption. The model learns to discriminate whether the given image and text are paired by learning to generate “Yes” or “No” based on the input image x_i and the instruction “Does the image describe x_t ?”. For image captioning, this task can naturally adapt to the sequence-to-sequence format. The model learns to generate the caption based on the given image and the instruction “What does the image describe?”. For VQA, we send the image and the question as input and require the model to learn to generate correct answers.

For uni-modal representation learning, we design 2 tasks for vision and 1 task for language, respectively. The model is pretrained with image infilling and object detection for vision representation learning. Recent advances in generative self-supervised learning for computer vision show that masked image modeling is an effective pretraining task [34, 35]. In practice, we mask the middle part of the images as the input. The model learns to generate the sparse codes for the central part of the image based on the corrupted input and the specified instruction “What is the image in the middle part?”. We additionally add object detection to pretraining following [64]. The model learns to generate human-annotated object representations, i.e., the sequence of object position and label, based on the input of image and text “What are the objects in the image?” as the instruction. The tasks strengthen the representation learning on both pixel and object levels. For language representation learning, following the practice of [29], we pretrain the unified model on plain text data with text infilling.

Table 1: Experimental results on cross-modal downstream tasks. OFA reaches new SOTA performance in all four tasks. All reported results are from *Large*-size models, whose model sizes are similar to that of BERT_{Large}.

Model	COCO Captions	VQA	SNLI-VE	RefCOCO	RefCOCO+	RefCOCOg
	B@4 / M / C / S	test-dev / test-std	dev / test	val / testA / testB	val / testA / testB	val-u / test-u
VL-BERT [8]	-	71.79 / 72.22	-	-	72.59 / 78.57 / 62.30	-
UNITER [14]	-	73.82 / 74.02	79.39 / 79.38	81.41 / 87.04 / 74.17	75.90 / 81.45 / 66.70	74.86 / 75.77
OSCAR [15]	41.7 / 30.6 / 140.0 / 24.5	73.61 / 73.82	-	-	-	-
VILLA [16]	-	74.69 / 74.87	80.18 / 80.02	82.39 / 87.48 / 74.84	76.17 / 81.54 / 66.84	76.18 / 76.71
MDETR [65]	-	70.64 / 70.63	-	86.75 / 89.58 / 81.41	79.52 / 84.09 / 70.62	81.64 / 80.89
UNICORN [55]	35.8 / 28.4 / 119.1 / 21.5	69.2 / 69.4	-	88.29 / 90.42 / 83.06	80.30 / 85.05 / 71.88	83.44 / 83.93
VinVL [17]	41.0 / 31.1 / 140.9 / 25.2	76.52 / 76.60	-	-	-	-
UNIMO [43]	39.6 / - / 127.7 / -	75.06 / 75.27	81.11 / 80.63	-	-	-
METER [66]	-	77.68 / 77.64	80.86 / 81.19	-	-	-
VLMO [46]	-	79.94 / 79.98	-	-	-	-
SimVLM [45]	40.3 / 33.4 / 142.6 / 24.7	79.32 / 79.56	85.68 / 85.62	-	-	-
OFA	43.5 / 31.9 / 149.6 / 26.1	79.87 / 80.02	90.30 / 90.20	90.05 / 92.93 / 85.26	84.49 / 90.10 / 77.77	84.54 / 85.20

In this way, we unify multiple modalities and multiple tasks to a single model and pretraining paradigm. OFA is pretrained jointly with those tasks and data. Thus, it can perform different tasks concerning different modalities and complex cross-modal scenarios with the capability of generating answers.

3.3 Pretraining Datasets

We construct pretraining datasets by incorporating Vision & Language data (i.e., image-text pairs), Vision data (i.e., raw image data, object-labeled data), and Language data (i.e., plain texts). For replicability, we only use datasets that are publicly available. We carefully filter our pretraining data and exclude images that appear in the validation and test sets of downstream tasks to avoid data leakage. We provide more details about pretraining datasets in Appendix A.1.

3.4 Training & Inference

We optimize the model with the cross-entropy loss. Given an input x , an instruction s and an output y , we train OFA by minimizing $\mathcal{L} = -\sum_{i=1}^{|y|} \log P_{\theta}(y_i | y_{<i}, x, s)$, where θ refers to the model parameters. For inference, we apply decoding strategies, e.g., beam search, to enhance the quality of generation. However, this paradigm has several problems in classification tasks: 1. optimizing on the entire vocabulary is unnecessary and inefficient; 2. the model may generate invalid labels out of the closed label set during inference. To overcome these issues, we introduce a search strategy based on prefix tree (Trie, [67]) to both training and inference. Experimental results show that the Trie-based search can enhance the performance of OFA on classification tasks. See Appendix B for more details.

4 Experiments

This section provides experimental details and analyses to demonstrate our model’s effectiveness. See Appendix A for implementation details.

4.1 Results on Cross-modal Tasks

Cross-modal tasks include image captioning on MS COCO Caption [68], visual question answering on VQAv2 [69], visual entailment on SNLI-VE [70], referring expression comprehension on RefCOCO / RefCOCO+ / RefCOCOg [71, 72], and text-to-image generation on MS COCO Caption. More details are provided in Appendix A.3.

Table 1 presents the performance of OFA and baseline models on cross-modal downstream tasks, including image captioning, VQA, visual entailment, and referring expression comprehension, and demonstrates that OFA creates new SOTAs on the four tasks. Specifically, on image captioning, OFA performs the best on CIDEr evaluation (CIDEr: 149.6). It also surpasses SimVLM, which uses 1.8 billion image-text pairs for pretraining (around $75\times$ larger than ours), by a large margin of 7.0. At the time of submitting this paper, OFA also achieves No.1 on the COCO image captioning online leaderboard.³ On referring expression comprehension, OFA reaches the SOTA performance on

³<https://competitions.codalab.org/competitions/3221#results>



Figure 3: Qualitative comparison with state-of-the-art models for text-to-image generation task. Due to the space limitation, we present a lot more qualitative examples of text-to-image generation for better demonstration in Appendix C.

Table 2: Experimental results on text-to-image generation. Models are evaluated on FID, CLIPSIM, and IS scores. OFA outperforms the baselines, including the concurrent SOTA NÜWA, with smaller sample size. “#Param.” refers to the number of model parameters. Note that GLIDE additionally has 1.5B parameters for upsampling except for the 3.5B parameters.

Model	#Param.	FID↓	CLIPSIM↑	IS↑
DALLE [48]	12B	27.5	-	17.9
CogView [49]	4B	27.1	33.3	18.2
GLIDE [73]	3.5B	12.2	-	-
Unifying [74]	228M	29.9	30.9	-
NÜWA [50]	870M	12.9	34.3	27.2
OFA	472M	10.5	34.4	31.1

RefCOCO / RefCOCO+ / RefCOCOg. Compared with the previous SOTA UNICORN [55], OFA achieves significant improvement with a relative gain of 2.51, 5.05 and 1.27 in the testA sets of RefCOCO and RefCOCO+ as well as the test-u set of RefCOCOg. On VQA, OFA achieves 80.02 on test-std and outperforms the SOTA models of the *Large* size, including SimVLM and VLMo. Note that both the previous SOTAs are classification-based models. On SNLI-VE, OFA achieves state-of-the-art performance and outperforms previous models by a large margin, demonstrating its capability of complex visual-linguistic reasoning.

Table 2 demonstrates the model performance on text-to-image generation. OFA achieves state-of-the-art performance in all the metrics. Note that increasing the sampling size during inference is expected to bring clear improvements on FID and IS. Compared with DALLE [48], CogView [49] and NÜWA [50], whose sampling sizes are 512, 60 and 60, respectively, OFA outperforms these SOTA methods on FID and IS with a much smaller sampling size 24. This illustrates that OFA has learned better correspondence among query text, image and image code.

Table 3: Experimental results of BERT and multimodal pretrained models on GLUE [75]. For models of *Base* size, OFA outperforms all multimodal pretrained baseline models on the 7 tasks, and BERT on 6 tasks except CoLA. For models of *Large* size, OFA performs competitively with BERT_{Large}. The reported results of the multimodal baselines are from [76] and the corresponding original papers.

Model	CoLA Mcc.	SST-2 Acc.	RTE Acc.	MRPC Acc./F1	QQP Acc./F1	MNLI Acc	QNLI Acc
<i>Base-Size Models</i>							
BERT	54.6	92.5	62.5	81.9/87.6	90.6/87.4	84.2	91.0
VisualBERT	38.6	89.4	56.6	71.9/82.1	89.4/86.0	81.6	87.0
UNITER	37.4	89.7	55.6	69.3/80.3	89.2/85.7	80.9	86.0
VL-BERT	38.7	89.8	55.7	70.6/81.8	89.0/85.4	81.2	86.3
VilBERT	36.1	90.4	53.7	69.0/79.4	88.6/85.0	79.9	83.8
LXMERT	39.0	90.2	57.2	69.8/80.4	75.3/75.3	80.4	84.2
SimVLM	46.7	90.9	63.9	75.2/84.4	90.4/87.2	83.4	88.6
FLAVA	50.7	90.9	57.8	81.4/86.9	90.4/87.2	80.3	87.3
Uni-Perceiver	-	90.2	64.3	-/86.6	-/87.1	81.7	89.9
OFA	52.3	92.7	70.8	86.8/90.6	91.3/88.4	84.3	91.1
<i>Large-Size Models</i>							
BERT	60.6	93.2	70.4	88.0/-	91.3/-	86.6	92.3
OFA	53.1	94.7	73.6	88.0/91.4	91.8/88.9	86.6	92.8

Table 4: Experimental results on Gigaword abstractive summarization. OFA can outperform most baselines and demonstrate competitive performance with the SOTA model ProphetNet.

Model	Gigaword R-1 / R-2 / R-L
BERTSHARE [79]	38.13 / 19.81 / 35.62
MASS [80]	38.73 / 19.71 / 35.96
UniLM [27]	38.45 / 19.45 / 35.75
PEGASUS [81]	39.12 / 19.86 / 36.24
ProphetNet [82]	39.55 / 20.27 / 36.57
OFA	39.20 / 20.25 / 36.40

We compare OFA with CogView and GLIDE on generation quality with normal and counterfactual queries.⁴ Normal queries describe existing things in the real world, while counterfactual queries refer to those describing things that could only exist in our imagination. For normal queries, both CogView and OFA generate images semantically consistent with the given texts, in comparison with GLIDE. The generated examples from our model can provide more sophisticated details of objects, say the horse and the double-decker bus. For counterfactual queries, we find that OFA is the only one that can generate the three imaginary scenes, which indicates its imaginative power based on its strong capability to align text to the image. See Appendix C for more qualitative examples.

4.2 Results on Uni-modal Tasks

Uni-modal tasks include natural language understanding on the GLUE benchmark [75], natural language generation on Gigaword [77] and image classification on ImageNet-1K [78]. More details are provided in Appendix A.3.

We verify that OFA can achieve competitive performance in downstream tasks of language and vision. On natural language understanding tasks, while [45] report the results of the *Base*-size SimVLM, we also conduct experiments on the *Base*-size OFA and compare with baselines of the same scale for a fair comparison. Table 3 demonstrates the results on GLUE [75]. For models of *Base*-size, OFA outperforms previous multimodal models by a large margin. Compared with SimVLM, OFA achieves better performance even with a much smaller pretraining dataset. In addition, OFA also outperforms BERT in most tasks, indicating the good natural language understanding capability of OFA. For *Large*-size

⁴For more implementation details, please refer to Appendix A.3

Table 5: ImageNet-1K finetuning results. All the listed models do not use extra labeled image classification samples during training for fair comparison.

Model	Top-1 Acc.
EfficientNet-B7 [83]	84.3
ViT-L/16 [6]	82.5
DINO [84]	82.8
SimCLR v2 [30]	82.9
MoCo v3 [33]	84.1
BEiT ₃₈₄ -L/16 [34]	86.3
MAE-L/16 [35]	85.9
OFA	84.9

Table 6: Zero-shot performance on 6 GLUE subtasks and SNLI-VE.

Model	SST-2 Acc.	RTE Acc.	MRPC F1	QQP F1	QNLI Acc.	MNLI Acc.	SNLI-VE Acc. (dev/test)
Uni-Perceiver	70.6	55.6	76.1	53.6	51.0	49.6	-
OFA _{Base}	71.6	56.7	79.5	54.0	51.4	37.3	49.71 / 49.18

models, OFA performs competitively with BERT_{Large}. Table 4 demonstrates the model performance on Gigaword. The baseline models are all pretraining on text-only datasets. Though pretrained on various tasks, OFA still outperforms most baseline models.

Table 5 shows the performance of OFA on image classification. OFA achieves higher accuracy than previous backbone models such as EfficientNet-B7 and ViT-L. We also compare OFA with self-supervised pretraining models based on contrastive learning and masked image modeling. OFA outperforms contrastive-based models such as SimCLR and MoCo with similar parameters. Compared with pretrained models based on masked image modeling, e.g., BEiT-L and MAE-L, OFA achieves similar results without Mixup [85] and CutMix [86].

4.3 Zero-shot Learning & Task Transfer

The instruction-guided pretraining enables OFA to perform zero-shot inference. Following Uni-Perceiver [59], a concurrent multimodal pretrained model that conducts zero-shot inference on NLU, we evaluate our model on 6 NLU tasks, including single-sentence classification and sentence pair classification, in the GLUE benchmark. Table 6 demonstrates that OFA generally outperforms Uni-Perceiver. However, both models do not achieve satisfactory performance in sentence-pair classification (with Acc. < 60%). We hypothesize that the missing sentence-pair data in the pretraining dataset attributes to the performance, and we leave this issue to our future research.

We observe that the model can transfer to unseen tasks well with new task instructions. We design a new task called grounded question answering and present examples in Figure 4. In this scenario, given a question about a certain region on the image, the model should provide a correct answer. We find that the model can achieve satisfactory performance in this new task, which reflects its strong transferability. Besides, OFA can perform well given out-of-domain inputs. For example, we observe that pretrained OFA without finetuning achieves satisfactory performance in VQA for the out-of-domain images. Examples are demonstrated in Figure 5. OFA can also perform accurate visual grounding on the out-of-domain images, e.g., anime pictures, synthetic images, etc., and we demonstrate more examples on Figure 11 in Appendix C. For text-to-image synthesis, our cases in Figure 3 shows that OFA generates high-quality samples with counterfactual queries. We demonstrate more examples in Figure 8 in Appendix C.

4.4 Ablation on Multitask Pretraining

Thanks to the unified framework, OFA has been pretrained on multiple tasks and thus endowed with comprehensive capabilities. However, the effects of each task are still undiscovered. We verify their effects on multiple downstream tasks, including image captioning, VQA, image classification, and text-to-image generation.



Q: what color is the car in the region? region: <loc301> <loc495> <loc501> <loc596>

A: tan



Q: what color is the car in the region? region: <loc512> <loc483> <loc675> <loc576>

A: gray

Figure 4: Qualitative results on an unseen task grounded QA. We design a new task called grounded question answering, where the model should answer a question about a certain region in the image. More samples are provided in Figure 10 in Appendix C.



Q: what is grown on the plant?

A: money



Q: what does the red-roofed building right to the big airship look like?

A: a mushroom

Figure 5: Qualitative results on unseen domain VQA. During pretraining, only real-world photographs are used for VQA. We present cases of VQA on out-of-domain images, i.e., the iconic and sci-fi images, and demonstrate their capability of transferring to unseen domains. More samples are provided in Figure 9 in Appendix C.

We first evaluate how uni-modal pretraining tasks influence the performance in both cross-modal and uni-modal tasks. Table 7 demonstrates our experimental results. We observe some interesting phenomena about the effects of uni-modal pretraining tasks. Text infilling brings improvement on image caption (+0.8 CIDEr) and VQA (+0.46 Acc.). Natural language pretraining betters the contextualized representation of language and thus enhances performance in cross-modal tasks. However, it is noticed that the language pretraining task may degrade the performance in image classification, leading to the decrease in ImageNet-1K (-1.0 Acc.). Also, it is interesting to find that it does not encourage improvement in text-to-image generation (-0.1 CLIPSIM). It may attribute to the simplicity of text in this task, which indicates that improved representation of language does not affect the performance. As to image infilling, it significantly improves the performance in image classification (+1.0 Acc.) and text-to-image generation (+0.6 CLIPSIM). Learning to recover images is an effective self-supervised task for image representation, and it also encourages the decoder’s ability to generate image codes. However, it hurts the performance in image captioning and VQA. Both tasks require a strong capability in generating texts, and the decoder’s learning of image generation naturally brings performance degradation in captioning (-0.7 CIDEr) and VQA (-0.3 Acc.).

Table 7: Ablation results of OFA. All models are pretrained for 250k steps. *w/o ground.* represents the removal of both visual grounding and grounded captioning tasks. Note that all models are only finetuned with the cross-entropy loss in image captioning.

Model	Caption CIDEr	VQA Test-dev	ImageNet Top-1 Acc.	Image Generation FID / CLIPSIM / IS
OFA _{Base}	135.6	76.0	82.2	20.8 / 31.6 / 21.5
<i>w/o text infill.</i>	134.8	75.6	83.2	20.3 / 31.7 / 21.8
<i>w/o image infill.</i>	136.3	76.3	81.8	23.2 / 31.0 / 20.0
<i>w/o det.</i>	133.3	75.4	81.4	20.9 / 31.5 / 21.6
<i>w/o ground.</i>	134.2	75.5	82.0	21.2 / 31.5 / 21.5

Furthermore, we evaluate how multimodal tasks impact the performance. Previous studies have provided evidence of the contribution of conventional pretraining tasks, e.g., MLM, MOC, ITM, VQA, image captioning, etc. [14, 17]. However, they miss other tasks, e.g., detection and visual grounding & grounded captioning. We conduct experiments on these tasks and find that tasks predicting regions are crucial to multimodal tasks, with a performance increase in image captioning (+2.3 CIDEr & +1.4 CIDEr) and VQA (+0.6 Acc. & +0.5 Acc.). It suggests that detection and visual grounding & grounded captioning help the model grasp fine-grained alignments between vision and language. Region information contributes little to text-to-image generation (+0.1 CLIPSIM & +0.1 CLIPSIM), as this task requires far less text-region alignment information. We surprisingly find that detection can encourage the performance in visual understanding (+0.8 Acc.). It indicates that incorporating region information might be essential to visual understanding, especially on images with complex objects.

5 Conclusion

In this work, we propose **OFA**, a Task-Agnostic and Modality-Agnostic framework supporting Task Comprehensiveness. OFA achieves the unification in architecture, tasks and modalities, and thus is capable of multimodal & uni-modal understanding and generation, without specification in additional layers or tasks. Our experiments show that OFA creates new SOTAs in image captioning, text-to-image generation, VQA, SNLI-VE and referring expression comprehension. OFA also demonstrates comparable performance with language / vision pretrained models in uni-modal understanding and generation tasks, e.g., GLUE, abstractive summarization, and image classification. We provide further analysis to demonstrate its capability in zero-shot learning and domain & task transfer, and we also verify the effectiveness of pretraining tasks.

In the future, we will continue exploring the issues discovered in this work. Also, we endeavor to figure out a reasonable solution to building an omni-model essentially generalizable to the complex real world.

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A Implementation Details

A.1 Pretraining Datasets

We construct pretraining datasets by incorporating Vision&Language data (i.e., image-text pairs), Vision data (i.e., raw image data, object-labeled data), and Language data (i.e., plain texts). For replicability, the pretraining datasets are publicly available. We carefully filter our pretraining data and exclude images that appear in the validation and test sets of downstream tasks to avoid data leakage. The statistics on the pretraining datasets are listed in Table 8.

Cross-modal Data For vision & language pretraining, we mainly apply image-text pairs, including image-caption pairs, image-QA pairs, and image-object pairs, as the pretraining data. For pretraining tasks of Image Captioning and Image-Text Matching, we collect Conceptual Caption 12M (CC12M) [87], Conceptual Captions (CC3M) [88], SBU [89], MSCOCO image captions (COCO) [68], Visual Genome Captions (VG Captions) [90]. Specifically, the part of data from VG requires some additional processing. As texts in VG captions describe local regions on the images, we retrieve regions larger than 16, 384 pixels and build pairs of regions and corresponding descriptions. For Visual Question Answering, we collect VQAv2 [69], VG-QA [90], as well as GQA [91]. VQAv2 is a visual question answering dataset with real-world photographs from COCO. VG-QA is also a visual question answering dataset with real-world photographs from VG. The questions of VG-QA are related to specific regions on the images. GQA is a large VQA dataset featuring compositional questions. The images of GQA are also collected from VG. For Visual Grounding and Grounded Captioning, we collect data from RefCOCO [71], RefCOCO+ [71], RefCOCOg [72] and VG captions. Additional processing is applied to VG Captions for this task. Specifically, we use the data of VG that contains regions with area smaller than 16, 384 pixels for Visual Grounding, in order to encourage model to grasp fine-grained alignments between vision and language.

Uni-modal Data Uni-modal data includes vision and language data. Vision data consists of raw images for image infilling and object-labeled images for object detection. To be more specific, we collect OpenImages [92], Object365 [93], VG and COCO for object detection. For image infilling, we collect raw images from OpenImages, YFCC100M [94] and ImageNet-21K [78], and exclude annotations. Thus the model is unable to access labels in the pretraining stage. Language data consists of plain texts, i.e., passages consisting of sentences. We use around 140GB of data from Pile [95] to leverage its diversity. Specifically, we extract natural language data and implement preprocessing methods, including truncation to the length of 512.

Table 8: Statistics on the datasets of pretraining tasks. For language data, 140G* represents the storage space of the plain texts.

Type	Pretraining Task	Source	#Image	#Label
Vision&Language	Image Captioning Image-Text Matching	CC12M, CC3M, SBU, COCO, VG-Cap	14.78M	15.25M
	Visual Question Answering	VQAv2, VG-QA, GQA	178K	2.92M
	Visual Grounding Grounded Captioning	RefCOCO, RefCOCO+, RefCOCOg, VG-Cap	131K	3.20M
Vision	Detection	OpenImages, Object365, VG, COCO	2.98M	3.00M
	Image Infilling	OpenImages, YFCC100M, ImageNet-21K	36.27M	-
Language	Masked Language Modeling	Pile (Filter)	-	140G*

A.2 Pretraining Details

Our network configuration is similar to BART [29]. OFA_{Base} consists of 6 encoder layers and 6 decoder layers, with the hidden size 768 and 12 attention heads in each layer. OFA_{Large} consists of 12 encoder layers and 12 decoder layers, with the hidden size 1, 024 and 16 attention heads in each layer. The intermediate sizes of FFN are 3072 and 4096 for *Base* and *Large* models, respectively.

For the image processing, we resize the images to the resolution of 384×384 with a fixed patch size of 16×16 . For each patch, we obtain its feature vector with the first three blocks of ResNet-101 and ResNet-152 [96] for our *Base* and *Large* models, respectively. Following [44], we randomly sample 196 patch features to improve the robustness of

feature learning during the pretraining stage. For the text processing, we tokenize the texts with the BPE Tokenizer [97]. The maximum text sequence length of both encoder and decoder is set to 256. We share parameters between the embedding and the decoder softmax output layer.

We use the AdamW [98] optimizer with $(\beta_1, \beta_2) = (0.9, 0.999)$ and $\epsilon = 1e-8$ to pretrain our models. We set the peak learning rate to $2e-4$, and apply a scheduler with linear decay with a warmup ratio of 0.01 to control the learning rate. For regulation, we set dropout to 0.1 and use weight decay with 0.01. We employ stochastic depth [99] with a 0.1 rate (applied to encoder and decoder except for convolution blocks). We mix all the pretraining data within each batch, which contains 2,048 vision&language samples, 256 object detection samples, 256 image-only samples and 512 text-only samples. The *Large* and *Base* model are pretrained for 500K steps and 250K steps, respectively.

A.3 Details of Downstream Tasks

We verify the capability of OFA on various downstream tasks in both finetuning and zero-shot settings. We design various task-specific instructions to transfer the knowledge learned from pretraining to downstream tasks effectively. The instructions of different tasks are listed in Table 9. For finetuning, if not specified, the input image resolution is set to 480×480 , and the other hyper-parameters remain the same as for pretraining. The experimental details of different downstream tasks, including both multimodal and uni-modal tasks, are listed below:

Image Captioning Image captioning is a standard vision&language task that requires models to generate an appropriate and fluent caption for an image. We adopt the most widely used MS COCO Caption dataset [68] to evaluate the multi-modal generation capability of OFA. We report BLEU-4 [100], METEOR [101], CIDEr [102], and SPICE [103] scores on the Karparthy test split [104]. Following previous standard practice, we first finetune OFA with cross-entropy loss for 8,000 steps with a batch size of 128 and a learning rate of $1e-5$, and label smoothing is set to 0.1. We then finetune the model with CIDEr optimization for 4,000 steps with a batch size of 64 and a learning rate of $5e-6$, and disable dropout and stochastic depth.

Visual Question Answering Visual question answering (VQA) is a cross-modal task that requires the models to answer the question given an image. Previous works such as VLMO [46] or SimVLM [45] define VQA as a classification task. They use a linear output layer to predict the probability of each candidate answer on a given set. In contrast with these works, to adapt the generative OFA model to VQA benchmark, we use the Trie-based search strategy mentioned in Sec. 3.4 to ensure that the answer generated by OFA is constrained in the candidate set. We evaluate our model with other baselines on the commonly used VQAv2 dataset [69]. Accuracy scores on both test-dev and test-std sets are reported. The OFA model is finetuned for 40,000 steps with a batch size of 512. The learning rate is $5e-5$ with the label smoothing of 0.1. During Trie-based searching, we constrain the generated answers over the most frequent 3,129 answer candidates. Exponential moving average (EMA) with decay rate 0.9999 is employed in finetuning.

Visual Entailment Visual entailment requires the model to evaluate how the given image and text are semantically correlated, i.e., entailment, neutral, or contradiction. We perform experiments on the SNLI-VE dataset [70]. The image premise, text premise and text hypothesis are fed to the encoder, and the decoder generates appropriate labels. To transfer the knowledge learned by pretraining to this task, we convert the labels entailment/neutral/contradiction to yes/maybe/no. We also use the Trie-based search strategy to constrain the generated labels over the candidate set. We report accuracy on both dev and test sets. The OFA model is finetuned for 12,000 steps with a learning rate of $3e-5$ and a batch size of 256. The stochastic depth rate is set to 0.2.

Referring Expression Comprehension Referring expression comprehension requires models to locate an image region described by a language query. Different from the approach taken by most previous methods [13, 14] which ranks a set of candidate bounding boxes detected by a pretrained object detector, our method directly predicts the best matching bounding box without any proposals. We perform experiments on RefCOCO [71], RefCOCO+ [71], and RefCOCOg [72]. Consistent with other downstream tasks, we formulate referring expression comprehension as a conditional sequence generation task. In detail, given an image and a language query, OFA generates the box sequence (e.g., $\langle x_1, y_1, x_2, y_2 \rangle$) in an autoregressive manner. We report the standard metric Acc@0.5 on the validation and test sets. For finetuning, the input image resolution is set to 512×512 . We finetune the OFA model on each dataset for about 10 epochs with a batch size of 128. The learning rate is $3e-5$ with the label smoothing of 0.1. Each query only corresponds to an image region, so we limit the maximum generated length to 4 during inference.

Image Generation Following the same setting with [50], we train our model on the MS COCO train split and evaluate our model on the validation split by randomly sampling 30,000 images. We use Fréchet Inception Distance (FID) [105] and Inception Score (IS) [106] to evaluate the quality of the images. Following the previous studies [50, 74], we also

Table 9: Instructions for downstream tasks.

Task	Dataset	Instruction	Target
Image Captioning	COCO	[Image] What does the image describe?	{Caption}
Visual Question Answering	VQA	[Image] {Question}	{Answer}
Visual Entailment	SNLI-VE	[Image] Can image and text1 “{Text1}” imply text2 “{Text2}”?	Yes/No/Maybe
Referring Expression Comprehension	RefCOCO, RefCOCO+, RefCOCOg	[Image] Which region does the text “{Text}” describe?	{Location}
Image Generation	COCO	What is the complete image? caption: {Caption}	{Image}
Image Classification	ImageNet-1K	[Image] What does the image describe?	{Label}
Single-Sentence Classification	COLA SST-2	Is the text “{Text}” grammatically correct?	Yes/No
		Is the sentiment of text “{Text}” positive or negative?	Positive/Negative
Sentence-Pair Classification	RTE	Can text1 “{Text1}” imply text2 “{Text2}”?	Yes/No
	MRPC	Does text1 “{Text1}” and text2 “{Text2}” have the same semantics?	Yes/No
	QQP	Is question “{Question1}” and question “{Question2}” equivalent?	Yes/No
	MNLI	Can text1 “{Text1}” imply text2 “{Text2}”?	Yes/No/Maybe
	QNLI	Does “{Text}” contain the answer to question “{Question}”?	Yes/No
	WNLI	Can text1 “{Text1}” imply text2 “{Text2}”?	Yes/No
Text Summarization	Gigaword	What is the summary of article “{Article}”?	{Summary}

compute CLIP Similarity Score (CLIPSIM) to evaluate the semantic similarity between the query text and the generated images. During finetuning, OFA learns to generate the image code sequence according to the given text query only. The model is first finetuned with cross-entropy and then with CLIPSIM optimization following [74, 107]. In the first stage, we finetune the OFA model for about 50 epochs with a batch size of 512 and a learning rate of $1e - 3$. In the second stage, the model is finetuned for extra 5000 steps with a batch size of 32 and a learning rate of $1e - 6$. During the evaluation, we sample 24 images with the resolution of 256×256 for each query and choose the best one using the pretrained CLIP model [47].

For case study, we compare OFA with CogView and GLIDE. CogView provides an API website⁵. Note that this API samples 8 images of resolution of 512×512 for each query. We select the first one of generated images and resize it to the resolution of 256×256 . GLIDE provides a Colab notebook⁶. Note that the only publicly available GLIDE model is of *base* size ($\sim 385M$).

Image Classification We provide finetuning results on ImageNet-1K [78] following recent studies in self-supervised learning for computer vision. During finetuning and inference, a Trie-based search strategy is employed to constrain the generated text into the set of 1,000 candidate labels. We finetune OFA for 32 epochs and a batch size of 256. The learning rate is $5e - 5$. The ratio for label smoothing is 0.1. Following [34], we use the same random resize cropping, random flipping, RandAug [108] and random erasing [109] transformations as data augmentation strategies.

Natural Language Understanding To verify the natural language understanding ability of OFA, we select 8 language understanding tasks from GLUE benchmark [75], including both single-sentence classification tasks and sentence-pair classification tasks. To adapt to sentence-pair classification, previous models [2, 26] usually use segment embeddings to distinguish different sentences. Unlike those models, OFA can apply the model to sentence-pair classification tasks by constructing appropriate instructions without introducing additional segment embeddings. For the hyper-parameters of finetuning, we tune the training epochs among $\{5, 7, 10\}$, learning rate among $\{3e - 5, 5e - 5, 6e - 5, 7e - 5, 1e - 4\}$, batch size among $\{32, 64, 128\}$, weight decay among $\{0.01, 0.05\}$, and dropout rate among $\{0.0, 0.1\}$. We report the best performance on the development set for each task.

Natural Language Generation We verify the natural language generation ability of OFA in the Gigaword dataset [77]. We report ROUGE-1/ROUGE-2/ROUGE-L to evaluate the generation results following [110]. We finetune the

⁵<https://wudao.aminer.cn/CogView/index.html>

⁶<https://colab.research.google.com/drive/1q6tJ58UKod1eC0kbaUNGzF3K5BbX1B5m>

OFA model for 50,000 steps with a batch size of 256. The learning rate is $3e - 5$ with the label smoothing of 0.1, and the maximum text sequence length is set to 512. During inference, we set the length penalty to 0.7 and beam size to 5.

B Trie-based Search

This section describes how to use Trie-based search to improve model performance on downstream classification tasks. When dealing with classification tasks, we first construct a Trie where nodes are annotated with tokens from the candidate label-set. During finetuning, the model computes the log-probabilities of the target tokens based on their positions on the Trie. As shown in Figure 6, when computing the log-probabilities of the target token “sky”, we only consider tokens in {“sky”, “ocean”} and forcefully set the logits for all invalid tokens to $-\infty$. During inference, we constrain the generated labels over the candidate set. As shown in Table 10, Trie-based search strategy can boost the performance of OFA in various downstream classification tasks.

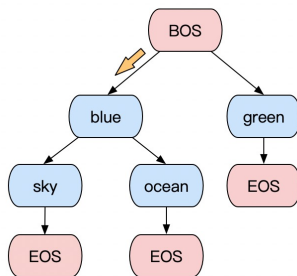


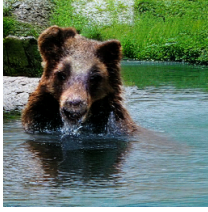
Figure 6: Example of Trie-based search where the constraint labels are “blue sky”, “blue ocean” and “green”.

Table 10: Ablation results of Trie. The removal of Trie-based search degenerates the performance on downstream tasks.

Model	VQA Test-dev Acc.	SNLI-VE Dev Acc.	ImageNet Top-1 Acc.	MRPC F1	QQP F1
OFA _{Base}	76.03	89.2	82.2	90.6	88.4
<i>w/o Trie</i>	75.86(-0.17)	89.0(-0.2)	81.9(-0.3)	90.1(-0.5)	88.2(-0.2)

C Qualitative Examples

This section provides more qualitative examples of multiple tasks, including text-to-image generation, open-domain VQA, grounded question answering, and open-domain visual grounding, from the generation of OFA. By reading this section, we hope that readers can better perceive OFA.



A bear in the water.



A group of people fly kites into the air on a field.



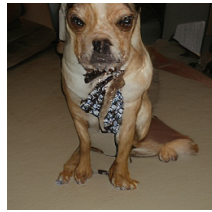
A person standing on skis in the snow.



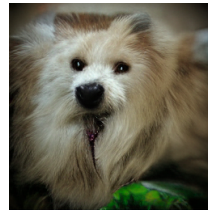
Two people relax by the ocean on the beach.



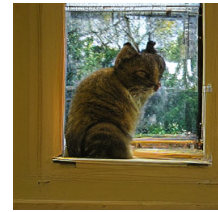
A wet dog with very short legs licks his tongue out.



A brown dog and white dog wearing a neck tie.



A close up view of a very cute furry dog.



A cat is sitting in front of a window.



A man is playing video games on a screen.



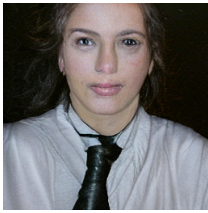
A kitchen filled with a wooden cabinet and a large window.



A city with tall buildings and a large green park.



A city bus is riding down the empty street.



A close up view of a woman wearing a shirt and tie.



A man wearing a black suit and red tie.



A man that has a medal around his neck.



A small boy with blonde hair eats an apple.



Sparrow bird inspecting leaves on branch.



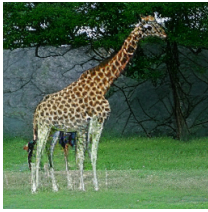
A small white bird with a long beak on a branch.



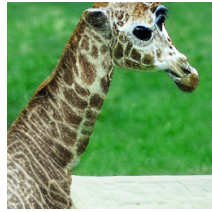
Busy street with people, a red double-decker bus and a clock tower.



A tall building towering over a city next to a river.



A giraffe standing by a tree in the grass.



A giraffe staring right into the camera.



A baby brown bear standing on a rock.

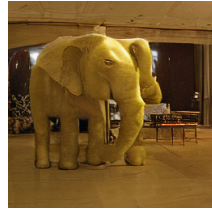


Elephants standing next to each other.

Figure 7: More samples of text-to-image generation task generated by OFA for normal queries.



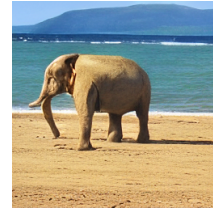
A yellow elephant in the street.



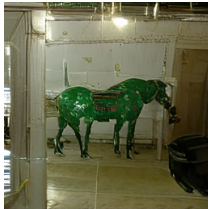
A yellow elephant in the room.



a yellow elephant in the kitchen



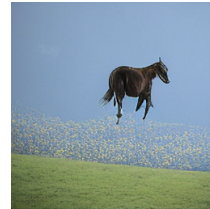
A brown elephant on the beach



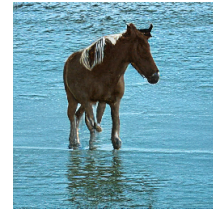
A green horse in the bathroom.



A green horse in the sky.



A horse is walking in the sky.



A horse is walking in the water.



A black computer in the sky.



A black computer on the beach.



A white compute in the water.



A white computer on the street.



A red ball in the snow.



A red ball in the water.



A yellow ball in the snow.



A yellow ball in the water.



A blue tree in the room.



A blue tree in the street.



A blue bus in the sky.



A yellow bus in the water.



A zebra is walking in the snow.



A giraffe in the room.

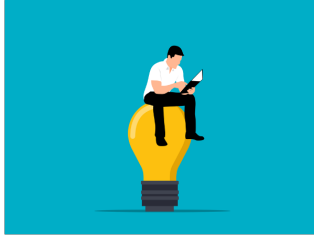


A black phone in the sky.



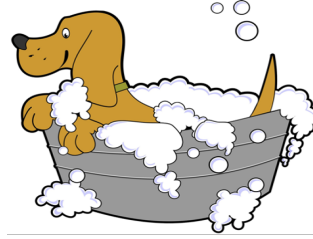
A blue clock in the sky.

Figure 8: More samples of text-to-image generation task generated by OFA for counterfactual queries.



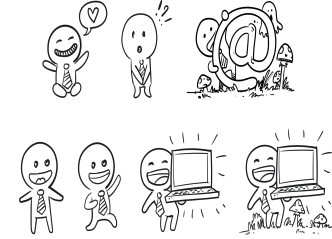
Q: what is the man sitting on?

A: light bulb



Q: what is the dog doing now?

A: getting a bath



Q: what is the person in the right-bottom corner holding now?

A: computer



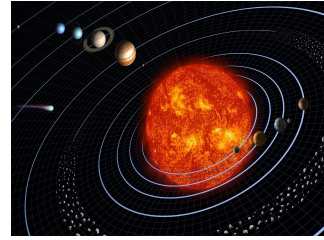
Q: what is the mood of the children in the picture?

A: happy



Q: what is the man doing?

A: walking



Q: what is the name of the largest planet in the picture?

A: sun

Figure 9: More samples of VQA task on unseen domains. The answers are generated by pretrained OFA without finetuning. The datasets used in VQA pretraining task only contain real-world photographs. We present more cases of VQA task on out-of-domain (non-photographic) images and demonstrate the capability of transferring OFA to these unseen domains.



Q: what color is the car in the region? region: <loc301> <loc495> <loc501> <loc596>

A: tan



Q: what color is the car in the region? region: <loc512> <loc483> <loc675> <loc576>

A: gray



Q: what color is the roof in the region? region: <loc521> <loc176> <loc689> <loc290>

A: brown



Q: what color is the house in the region? region: <loc62> <loc80> <loc317> <loc572>

A: light blue



Q: what color is the house in the region? region: <loc295> <loc120> <loc524> <loc491>

A: white



Q: what object is in the region? region: <loc571> <loc175> <loc598> <loc240>

A: chimney

Figure 10: More samples of the unseen grounded question answering task. In this task, the model should answer a question about a particular region in the image. This task is unseen in pretraining. We demonstrate that directly transferring pretrained OFA to this new task without finetuning works well.



A blue turtle-like pokemon with round head.



A green toad-like pokemon with seeds on its back.



A red dinosaur-like pokemon with a flaming tail.



a man with green hair in green clothes with three swords at his waist



a man in a straw hat and a red dress



a blond-haired man in a black suit and brown tie



a sexy lady wearing sunglasses and a crop top with black hair

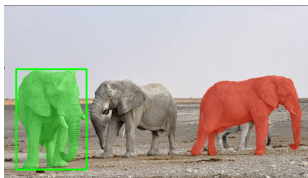


a man with a long nose in a hat and yellow pants

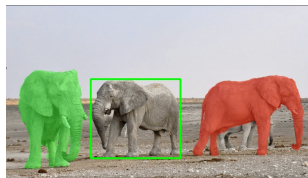


a strange skeleton

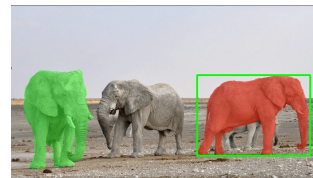
(a)



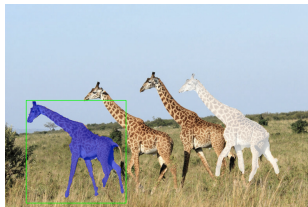
A green elephant.



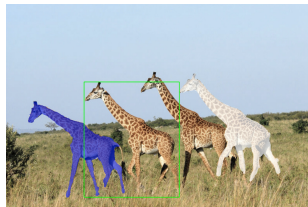
A normal elephant.



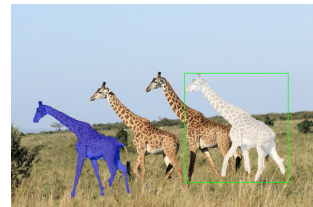
A red elephant.



A blue giraffe.



A giraffe near the blue giraffe.



A white giraffe.

(b)

Figure 11: More samples of visual grounding task generated by OFA for various unseen domains: (a) anime (the corresponding animations are *Pokemon* and *One Piece*); (b) synthetic images with attribute combinations.