



EPA 740-B-18-001  
OMB Control Number: 2025-0009  
January 2019

# **Toxic Chemical Release Inventory Reporting Forms and Instructions**

*Revised 2018 Version*

**Section 313  
of the Emergency Planning and  
Community Right-to-Know Act**  
(Title III of the Superfund Amendments  
and Reauthorization Act of 1986)

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**Paperwork Reduction Act Notice:** The annual public burden related to the Form R, which is approved under OMB Control No. 2025-0009, is estimated to average 35.71 hours per response for a facility filing a report on one chemical. The annual public burden related to the Form A, which is also approved under OMB Control No. 2025-0009, is estimated to average 21.96 hours per response for a facility filing a report on one chemical. This is a mandatory collection and EPA's regulations for reporting associated with this collection request are listed in 40 CFR Part 372.

Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control numbers for EPA's regulations are listed in 40 CFR Part 9 and 48 CFR Chapter 15.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques, to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, NW, Washington, DC 20503, Attention: Desk Officer for EPA. Include the EPA ICR number and OMB control number in any correspondence.

The completed forms should be submitted in accordance with these instructions and as specified in the corresponding regulation.

## Table of Contents

<b>List of Acronyms</b> .....	<b>i</b>
<b>Important Information for Reporting Year (RY) 2018</b> .....	<b>ii</b>
New Information for RY 2018 .....	ii
Other Important Information .....	iii
<b>A. General Information</b> .....	<b>1</b>
A.1 Who Must Report.....	1
A.2 How to Submit Forms.....	2
A.2.a. TRI-MEweb RY 2018 Version .....	3
A.2.b. How to Begin Using the RY 2018 TRI-MEweb Reporting Tool.....	3
A.2.c. Electronic Signature Agreement.....	4
A.2.d. Miscellaneous Information on TRI-MEweb and User Resources .....	6
A.2.e. Confirmation of TRI Submission(s) to EPA .....	6
A.2.f. State and Tribal Submissions .....	7
A.3 Trade Secret Claims.....	7
A.4 Recordkeeping .....	7
A.5 How to Revise, Withdraw or Cancel TRI Data .....	8
A.5.a. Revising TRI Data .....	8
A.5.b. Withdrawing TRI Data.....	9
A.5.c. Canceling a TRI Submission.....	9
A.6 When the TRI Report Must Be Submitted.....	10
A.7 How to Obtain the TRI Reporting Forms .....	11
A.8 What to Do If You Do Not Need to Submit any TRI Reports?.....	11
<b>B. How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A Certification Statement</b> .....	<b>12</b>
B.1 Full-Time Employee Determination.....	12
B.2 Primary NAICS Code Determination .....	14
B.2.a. Auxiliary Facilities .....	14
B.2.b. Multi-establishment Facilities .....	14
B.2.c. Property Owners.....	16
B.2.d. Federal Facilities .....	16
B.3 Activity Determination .....	16
B.3.a. Definitions of Manufacture, Process, and Otherwise Use.....	16
B.3.b. Persistent Bioaccumulative Toxic (PBT) Chemicals and Chemical Categories Overview....	19
B.3.c. Activity Exemptions.....	20
B.4 Threshold Determinations.....	26
B.4.a. How to Determine if Your Facility Has Exceeded Thresholds .....	27
B.4.b. Threshold Determinations for On-Site Reuse Operations .....	28
B.4.c. Threshold Determinations for Ammonia.....	29
B.4.d. Threshold Determinations for Chemical Categories .....	29
B.4.e. Threshold Determination for Persistent Bioaccumulative Toxic (PBT) Chemicals.....	30
B.4.f. Mixtures and Other Trade Name Products .....	30
B.5 Release and Other Waste Management Determinations for Metals, Metal Category Compounds, and Nitrate Compounds .....	31
B.6. Facility Eligibility Determination for Alternate Threshold and for Reporting on TRI Form A Certification Statement .....	37
B.6.a. Alternate Threshold.....	37
B.6.b. What is the Form A Certification Statement? .....	37
B.6.c. What Is the Annual Reportable Amount (ARA)? .....	37

## *Table of Contents*

---

B.6.d. Recordkeeping.....	38
B.6.e. Multi-establishment Facilities .....	38
B.6.f. Metals and Metal Category Compounds .....	38
<b>Instructions for Completing TRI Forms R and A .....</b>	<b>40</b>
<b>C. Part I. Facility Identification Information (Form R &amp; A) .....</b>	<b>41</b>
Section 1. Reporting Year.....	41
Section 2. Trade Secret Information .....	41
Section 3. Certification .....	41
Section 4. Facility Identification.....	41
4.1 Facility Name, Location, TRI Facility Identification Number and Tribal Country Name .....	41
4.2 Full or Partial Facility Indication and Federal Facility Designation .....	42
4.3 Technical Contact.....	43
4.4 Public Contact .....	43
4.5 North American Industry Classification System (NAICS) Codes .....	43
4.6 Dun & Bradstreet Number(s) .....	44
Section 5. Parent Company Information.....	44
5.1 Name of Parent Company .....	44
5.2 Parent Company's Dun & Bradstreet Number .....	44
<b>D. Part II. Chemical Identification Information (Form R &amp; A).....</b>	<b>45</b>
Section 1. EPCRA Section 313 Chemical Identity (Form R & A).....	45
1.1 CAS Number .....	45
1.2 EPCRA Section 313 Chemical or Chemical Category Name .....	45
1.3 Generic Chemical Name.....	45
Section 2. Mixture Component Identity (Form R & A).....	46
2.1 Generic Chemical Name Provided by Supplier.....	46
Section 3. Activities and Uses of the EPCRA Section 313 Chemical at the Facility (Form R) .....	46
3.1 Manufacture the EPCRA Section 313 Chemical.....	46
3.2 Process the EPCRA Section 313 Chemical.....	47
3.3 Otherwise Use the EPCRA Section 313 Chemical (non-incorporative activities).....	48
Section 4. Maximum Amount of the EPCRA Section 313 Chemical On-site at Any Time during the Calendar Year (Form R) .....	50
Section 5. Quantity of the Toxic Chemical Entering Each Environmental Medium On-site (Form R) .....	51
5.1 Fugitive or Non-Point Air Emissions .....	52
5.2 Stack or Point Air Emissions.....	52
5.3 Discharges to Receiving Streams or Water Bodies .....	52
5.4-5.5 Disposal to Land On-site .....	53
Section 6. Transfer(s) of the Toxic Chemical in Wastes to Off-Site Locations (Form R).....	59
6.1 Discharges to Publicly Owned Treatment Works .....	59
6.2 Transfers to Other Off-Site Locations.....	61
Section 7. On-Site Waste Treatment, Energy Recovery, and Recycling Methods (Form R) .....	68
Section 7A: On-Site Waste Treatment Methods and Efficiency .....	68
Section 7B: On-site Energy Recovery Processes .....	72
Section 7C: On-site Recycling Processes.....	73
Section 8. Source Reduction and Waste Management (Form R) .....	76
8.1 On- and Off-Site Disposal and Other Releases .....	80
Sections 8.2 and 8.3: Energy Recovery .....	81
Sections 8.4 and 8.5: Recycling.....	81
Sections 8.6 and 8.7: Treatment.....	81
8.8 Non-Production-Related Waste.....	82
8.9 Production Ratio or Activity Ratio.....	84

## *Table of Contents*

---

8.10	Did Your Facility Engage in Any Newly Implemented Source Reduction Activities for This Chemical During the Reporting Year?.....	88
8.11	Optional Pollution Prevention Information .....	93
Section 9.	Miscellaneous Information (Form R & A) .....	94
9.1	Miscellaneous, Optional, and Additional Information for Your Form R Report .....	94
9.2	Optional Pollution Prevention and Additional Information for This Toxic Chemical on Your Form A Certification Statement .....	94
<b>E.</b>	<b>Instructions for Completing Form R Schedule 1 (Dioxin and Dioxin-like Compounds) .....</b>	<b>96</b>
E.1	What is the Form R Schedule 1?.....	96
E.2	Who is required to file a Form R Schedule 1?.....	96
E.3	What information is reported on the Form R Schedule 1? .....	96
E.4	How do I report Form R Schedule 1 Data?.....	98
<b>F.</b>	<b>Optional Facility-Level Information and Non-Reporting.....</b>	<b>99</b>

## Table of Contents

---

### Examples

Example 1:	Coincidental Manufacture .....	17
Example 2:	Typical Process and Manufacture Activities .....	18
Example 3:	Typical Otherwise Use Activities.....	18
Example 4:	Articles Exemption.....	21
Example 5:	<i>De Minimis</i> Applications to Process and Otherwise Use Scenarios for Non-PBT Chemicals .....	23
Example 6:	Concentration Ranges Straddling the <i>De Minimis</i> Value.....	24
Example 7:	<i>De Minimis</i> Application in the Manufacture of a Toxic Chemical in a Mixture.....	25
Example 8:	Coal mining extraction activities.....	26
Example 9:	Mixtures and Other Trade Name Products.....	32
Example 10:	Mixture Containing Unidentified EPCRA Section 313 Chemical .....	45
Example 11:	Manufacturing and Processing Activities of EPCRA Section 313 Chemicals.....	49
Example 12:	Reporting Dioxins and Dioxin-Like Compounds.....	51
Example 13:	Stormwater Runoff.....	58
Example 14:	Container Residue .....	64
Example 15:	Reporting Metals and Metal Category Compounds that are sent Off-site.....	66
Example 16:	Calculating Releases and Other Waste Management Quantities.....	70
Example 17:	On-Site Waste Treatment .....	74
Example 18:	Reporting On-Site Energy Recovery.....	75
Example 19:	Reporting Future Estimates .....	78
Example 20:	Avoiding Double-Counting Quantities in Sections 8.1 through 8.7.....	82
Example 21:	Non-Production-Related Waste Managed (Quantity Released to the Environment or Transferred Off-Site as a Result of Remedial Actions, Catastrophic Events, or Other One- Time Events Not Associated with Production Processes).....	83
Example 22:	Determining a Production Ratio.....	86
Example 23:	Determining an Activity Ratio .....	86
Example 24:	“NA” is Entered Instead of a Production Ratio or Activity Ratio.....	86
Example 25:	Selecting a Production or Activity Variable.....	87
Example 26:	Determining the Production Ratio Based on a Weighted Average .....	87
Example 27:	Source Reduction.....	91
Example 28:	Green Chemistry.....	92

## *Table of Contents*

---

### **Figures**

Figure 1.	TRI-MEweb’s Preparation, Certification and Submission Process and Electronic Signature Agreement Approval .....	2
Figure 2.	EPCRA Section 313 Reporting Decision Diagram .....	13
Figure 3.	Example of a Multi-Establishment Facility .....	15
Figure 4A.	EPCRA Section 313 Non-PBT Chemical Reporting Threshold Worksheet .....	33
Figure 4B.	EPCRA Section 313 Reporting Threshold Worksheet for PBT Chemicals with 100 Pound Thresholds .....	34
Figure 4C.	EPCRA Section 313 Reporting Threshold Worksheet for PBT Chemicals with 10 Pound Threshold .....	35
Figure 4D.	EPCRA Section 313 Reporting Threshold Worksheet for Dioxin and Dioxin-Like Compounds Chemical Category .....	36
Figure 5.	Reporting EPCRA Section 313 Chemicals .....	49
Figure 6.	Hypothetical Section 6.2 Completed for Two Off-Site Locations .....	67
Figure 7.	Hypothetical Section 7A .....	71
Figure 8.	Waste Management Hierarchy .....	76
Figure 9.	Hypothetical Form R, Section 5.1 and Form R Schedule 1, Section 5.1 .....	97

### **Tables**

Table I	NAICS Codes .....	I-1
Table II	EPCRA Section 313 Chemicals for Reporting Year 2018 (including Toxic Chemical Categories) .....	II-1
Table III	Bureau of Indian Affairs (BIA) Tribal Codes .....	III-1
Table IV	Removal and Destruction Rates for POTWs .....	IV-1

### **Appendices**

Appendix A	Federal Facility Reporting Information .....	A-1
Appendix B	Reporting Codes for EPA Form R and Instructions for Reporting Metals .....	B-1
Appendix C	Supplier Notification Requirements .....	C-1
Appendix D	TRI State, Tribal, and Regional Contacts .....	D-1
Appendix E	Guidance Documents .....	E-1
Appendix F	Questions and Answers Regarding Facility Identification Information .....	F-1
Appendix G	Trade Secret Submissions .....	G-1

## List of Acronyms

ARA	Annual Reportable Amount	NON	Notice of Non-Compliance
BIA	Bureau of Indian Affairs	NOSE	Notice of Significant Error
CAS	Chemical Abstract Services	NOTE	Notice of Technical Errors
CBI	Confidential Business Information	NPDES	National Pollutant Discharge Elimination System
CDX	Central Data Exchange	NTP	National Toxicology Program
CERCLA	Comprehensive Environmental Response, Compensation, and Liability Act	OMB	Office of Management and Budget
CFR	Code of Federal Regulations	OSHA	Occupational Safety and Health Act
D&B	Dun & Bradstreet	P2	Pollution Prevention
DMR	Discharge Monitoring Report	PACs	Polycyclic Aromatic Compounds
DPC	Data Processing Center	PBBs	Polybrominated Biphenyls
DQA	Data Quality Alert	PBT	Persistent Bioaccumulative Toxic
EBDCs	Ethylenebisdithiocarbamic Acid, Salts and Esters	PCBs	Polychlorinated Biphenyls
eFDP	Electronic Facility Data Profile	POTW	Publicly Owned Treatment Works
EPA	Environmental Protection Agency	PPA	Pollution Prevention Act
EPCRA	Emergency Planning and Community Right to Know Act	RCRA	Resource Conservation and Recovery Act
ESA	Electronic Signature Agreement	RSEI	Risk Screening Environmental Indicators
FDP	Facility Data Profile	RY	Reporting Year
FIPS	Federal Information Processing Standard	SBREFA	Small Business Regulatory Enforcement Fairness Act
FR	<i>Federal Register</i>	SDS	Safety Data Sheets
GOCO	Government-Owned, Contractor- Operated	SIC	Standard Industrial Classification
IARC	International Agency for Research on Cancer	TDX	TRI Data Exchange
ICR	Information Collection Request	TRI	Toxics Release Inventory
NA	Not Applicable	TRIFID	Toxics Release Inventory Facility Identification Number
NAICS	North American Industry Classification System	TRIPS	Toxics Release Inventory Processing System
NDC	Non-Technical Data Changes	UIC	Underground Injection Control
NHD	National Hydrography Dataset	USC	United States Code
		USGS	United States Geological Survey
		VOCs	Volatile Organic Compounds



## **Important Information for Reporting Year (RY) 2018**

### ***New Information for RY 2018***

Please note that this version of the Toxic Chemical Release Inventory (TRI) Reporting Forms and Instructions document supersedes previous versions.

**The Bureau of Indian Affairs (BIA) code is now a separate element on the Form R and Form A Certification Statement.** The BIA code will now have its own field in Part I, Section 4.1. It had been located in the “City/County/Tribe/State/ZIP Code” field. No new information is required.

**A facility must now indicate if it is filing a combined form for an elemental metal and a metal compound containing the same elemental metal.** TRI policy allows for a facility reporting on an elemental metal and a metal compound to include data on both the chemical and chemical category on a metal compound reporting form. A facility now needs to indicate when it is combining the two forms into one report.

**Activities and Uses of the EPCRA Section 313 Chemical at the Facility section on the Form R now requires a facility to indicate more specific subcategories for certain processing and otherwise use activities.** Facilities indicate whether the reported ECRA Section 313 chemical is manufactured (including imported), processed, or otherwise used by the facility and the general nature of such activities and uses at the facility during the calendar year. Specifically, processing categories “as a reactant” and “as a formulation component” now contain subcategories as do all otherwise use categories (i.e., “as a chemical processing aid”, “as a manufacturing aid,” and “ancillary or other use”).

**“Recycling” is now an activity under processing in Part II, Section 3.2.** The Form R now provides a selectable recycling activity in Part II, Section 3.2. Previously, a facility would select the “repackaging” checkbox in Section 3.2 if it processed or prepared an EPCRA Section 313 chemical (or product mixture) for distribution in commerce in a different form, state, or quantity for purposes of recycling. Now, a facility would select “recycling” for any processing that occurs for the purpose of recycling and the

instructions for “repackaging” now exclude these recycling processing activities from its use.

**A facility may indicate that on-site disposal includes quantities of the chemical being managed in “waste rock piles.”** Part II, Section 5.5 provides an optional “waste rock piles” element. Waste rock refers to rock that contains insufficient metal concentration to economically process at any given time and is thus typically removed from a mine to allow access to the ore-grade rock. A facility may indicate that quantities reported in this section were managed in waste rock piles and may provide the quantity of the chemical managed in such piles.

**New management codes for transfers of waste to POTWs for Part II, Section 6.1 reporting.** Reporting of transfers to POTWs now more closely aligns with how transfers to other off-site locations are reported. Facilities will report quantities individually with waste management activities for each POTW. Because not all facilities may have POTW waste management information, EPA is providing two codes that a facility should use when the ultimate disposition of the chemical is unknown.

**New barrier code for use when a reduction does not appear to be technically feasible (Part II: Section 8.11).** There may be situations where a facility may find itself unable to conduct a source reduction activity for an industrial operation for reasons other than technical or financial support. Now, a facility may elect to provide information related to such situations by selecting “B8: Reduction does not appear to be technically feasible.” The prior B8 (“B8: Other barriers”) has been recategorized as B99 (“B99: Other barriers”).

**Form A Certification now provides a field for providing optional information on each chemical listed (Part II: Section 9.2).** Facilities have long been able to provide optional information on a Form R. Facilities now may provide optional information on a Form A Certification Statement. In addition to a catch-all open text box, EPA is providing checkboxes for a facility to provide optional information on specific topics: “Changes in Production Levels,” “Source Reduction Activity Reduced Activity Involving this Chemical,” “One-Time or Intermittent Events Involving this Chemical,” and “No TRI Report Expected for this Chemical Next Year.”

**Updated *De Minimis* Level for Tetrachlorvinphos Beginning with Reporting Year 2018.** The *de minimis* level for tetrachlorvinphos (961-11-5) has been changed from 1.0% to 0.1% since the chemical is now classified as an Occupational Safety and Health Administration (OSHA) carcinogen due to an assessment by the International Agency for Research on Cancer.

### ***Other Important Information***

**New TRI Chemical Category for 2019.** A rule was published on [June 12, 2018 \(83 FR 27291\)](#), adding a Nonylphenol Ethoxylates (NPEs) category to the TRI list of reportable chemicals. Reporting on the new NPEs category is effective for reporting year 2019 so the first reports are not due until July 1, 2020, for the 2019 data. The NPEs category covers the NPEs listed below.

CAS Number	Chemical Name
7311-27-5	Ethanol, 2-[2-[2-(4-nonylphenoxy)ethoxy]ethoxy]ethoxy]-
9016-45-9	Poly(oxy-1,2-ethanediyl), $\alpha$ -(nonylphenyl)- $\omega$ -hydroxy-
20427-84-3	Ethanol, 2-[2-(4-nonylphenoxy)ethoxy]-
26027-38-3	Poly(oxy-1,2-ethanediyl), $\alpha$ -(4-nonylphenyl)- $\omega$ -hydroxy-
26571-11-9	3,6,9,12,15,18,21,24-Octaoxahexacosan-1-ol, 26-(nonylphenoxy)-
27176-93-8	Ethanol, 2-[2-(nonylphenoxy)ethoxy]-
27177-05-5	3,6,9,12,15,18,21-Heptaoxatricosan-1-ol, 23-(nonylphenoxy)-
27177-08-8	3,6,9,12,15,18,21,24,27-Nonaoxanonacosan-1-ol, 29-(nonylphenoxy)-
27986-36-3	Ethanol, 2-(nonylphenoxy)-
37205-87-1	Poly(oxy-1,2-ethanediyl), $\alpha$ -(isononylphenyl)- $\omega$ hydroxy-
51938-25-1	Poly(oxy-1,2-ethanediyl), $\alpha$ (2-nonylphenyl)- $\omega$ -hydroxy-
68412-54-4	Poly(oxy-1,2-ethanediyl), $\alpha$ -(nonylphenyl)- $\omega$ -hydroxy-, branched
127087-87-0	Poly(oxy-1,2-ethanediyl), $\alpha$ -(4-nonylphenyl)- $\omega$ -hydroxy-, branched

**TRI Chemical Category:**  
**Hexabromocyclododecane (HBCD) Category A**  
 A rule was published on [November 28th, 2016 \(80 FR 85440\)](#), adding an HBCD category to the TRI list of

reportable chemicals that covers HBCD as identified through two primary Chemical Abstracts Service Registry Numbers (CASRNs): 3194-55-6 (1,2,5,6,9,10-hexabromocyclododecane) and 25637-99-4 (hexabromocyclododecane).

**TRI Chemical: 1-Bromopropane.** A rule was published on [November 23, 2015 \(80 FR 72906\)](#), adding 1-bromopropane (CAS No. 106-94-5) to the TRI list of reportable chemicals.

**2017 TRI NAICS Revision.** A final rule was published in the *Federal Register* on [December 26, 2017 \(82 FR 52674\)](#), to adopt 2017 NAICS codes. Table I lists all NAICS industries that are covered under EPCRA 313 and their corresponding codes.

**Pollution Prevention.** In order to promote pollution prevention (P2), EPA has increased the prominence and accessibility of the P2 information reported in Sections 8.10 and 8.11 of the Form R. Some companies reporting P2 are now highlighted in the annual [TRI National Analysis](#) report, and all P2 entries are featured in the [TRI P2 Search](#) tool.

P2 data is also newly accessible at the corporate level through this tool. To learn more, visit: <https://www.epa.gov/toxics-release-inventory-tri-program/pollution-prevention-p2-and-tri>

**GuideME.** EPA now provides TRI guidance materials via [GuideME](#). GuideME provides consolidated, searchable access to TRI guidance materials, including [Questions and Answers guidance](#), [Reporting Forms & Instructions](#), [Chemical and Industry Guidance Documents](#), [Training Slides](#), and other materials. You may access these guidance materials within a Web browser or download the materials as PDFs.

**Facilities May Submit Optional Facility Level Information in TRI-MEweb Without Submitting a Form R or Form A Certification Statement.** You can use TRI-MEweb to update location and contact information for your facility without having to submit a TRI reporting form. Additionally, without submitting a TRI reporting form, you can use TRI-MEweb to indicate that your facility will no longer be reporting to TRI or will not be submitting a form for one or more specific TRI-listed chemicals for the current reporting year.

**EPA's Audit Policy.** If you discover your facility is or may have been in violation of Section 313 of

EPCRA (TRI Reporting), please refer to EPA's Policy entitled, "Incentives for Self-Policing: Discovery, Disclosure, Correction, and Prevention of Violations" (Audit Policy), [April 11, 2000 \(65 FR 19618\)](#). You may qualify for having all gravity-based penalties waived if your facility meets all nine (9) conditions of the Audit Policy. For more information on EPA's Audit Policy, see the Agency's website: <https://www.epa.gov/compliance/epas-audit-policy>.

**EPA Enforcement Response Policy for TRI Revisions.** On September 26, 1991, EPA published a *Federal Register* notice on revisions to TRI reporting forms under EPCRA Section 313 (56 FR 48795-03). Section V of the notice refers to the Agency's enforcement and penalties policy regarding Form R errors.

Facilities are reminded that there is a legal obligation to file an accurate and complete Form R report for each chemical by July 1 each year. EPA may take enforcement action and assess civil administrative penalties regarding corrections to errors in Form R reports that are not changes based on previously unavailable information or procedures which improve the accuracy of the data initially reported. The kinds of errors which may result in enforcement and in penalties include but are not limited to the following: (1) Errors caused by not using the most readily available information, for example, not using monitoring data collected for compliance or other purposes with other regulations in calculating releases; (2) omitting a major source of emissions; (3) a mathematical or transcription or typographical error which seriously compromises the accuracy of the information, and; (4) other errors which seriously affect the utility of the data, particularly errors in release reporting for which the facility has no records showing the derivation of the release calculation, and cannot provide a sufficient explanation of the report.

**EPA's Small Business Compliance Policy.** If you have 100 or fewer employees and discover that your facility is or may have been in violation of Section 313 of EPCRA (TRI Reporting), please refer to EPA's Small Business Compliance Policy. EPA will eliminate or significantly reduce penalties for small businesses that meet the conditions of the Policy, including voluntarily discovering violations and promptly disclosing and correcting them. This Policy implements Section 223 of the Small Business

Regulatory Enforcement Fairness Act (SBREFA) of 1996. For more information, see the Agency's website:

<https://www.epa.gov/compliance/small-business-compliance>.

**Parent Company Information.** In past years, the Agency found that many facilities report inaccurate parent companies and/or Dun and Bradstreet numbers in Sections 4 and 5 of the TRI reporting forms. All facilities should verify the accuracy of facility and parent company information (e.g., D&B number, parent company name). Related questions and answers are provided in Appendix F.

Please note that EPA pre-loads standardized parent company names into TRI-MEweb that were researched from the prior year submissions. This step was taken to improve the accuracy of parent company names as well as create a standard format for the names themselves. For example, only capital letters are used and all periods are eliminated from the parent names. In addition, standardized abbreviations are now used for common terms found in parent names such as 'CO for Company' and 'INC for Incorporated.' More detailed explanations and a facility-by-facility list of standardized parent names can be found at:

[https://ofmpub.epa.gov/apex/guideme\\_ext/f?p=guideme:rfi-home:0:#cr\\_sr\\_downloadable](https://ofmpub.epa.gov/apex/guideme_ext/f?p=guideme:rfi-home:0:#cr_sr_downloadable).

- A. To verify the accuracy of your facility and parent company Dun and Bradstreet number and name, as required in Section 5 of both Form R and Form A Certification Statement, go to: [https://www.dnb.com/product/dlw/form\\_cc4.htm](https://www.dnb.com/product/dlw/form_cc4.htm) or call 1-888-814-1435 to verify your information. Callers to the toll-free phone number should understand that the Dun and Bradstreet support representatives will need to verify that callers requesting the D&B numbers are agents of the business. Dun and Bradstreet recommends knowing basic information such as when the business originated, officer names, and the name, address, and phone number for the facility.
- B. Facilities reporting to TRI should also make sure they are providing the parent company name and Dun and Bradstreet number as of December 31<sup>st</sup> of the current reporting year.

## A. General Information

Reporting to the Toxic Chemical Release Inventory (i.e., Toxics Release Inventory (TRI)) is required by Section 313 of the Emergency Planning and Community Right to Know Act (EPCRA, or Title III of the Superfund Amendments and Reauthorization Act of 1986), Public Law 99 499. The information contained in the Form R constitutes a “report,” and the submission of a report to the appropriate authorities constitutes “reporting.”

The Pollution Prevention Act, of October 1990 (Pub. L. 101 508), added reporting requirements to the Form R. These requirements began with calendar year 1991 reports and affect all facilities required to submit a Form R under Section 313 of EPCRA.

Reporting is required to provide information to the public on releases and other waste management of EPCRA Section 313 chemicals in their communities and to provide EPA with release and other waste management information to assist the Agency in determining the need for future regulations. Facilities must report the quantities of routine and accidental releases, and releases resulting from catastrophic or other onetime events of EPCRA Section 313 chemicals, as well as the maximum amount of the EPCRA Section 313 chemical on-site during the calendar year and the amount contained in wastes managed on-site or transferred off-site.

A completed Form R or Form A Certification Statement must be submitted for each EPCRA Section 313 chemical manufactured, processed, or otherwise used at each covered facility as described in the reporting rules in 40 Code of Federal Regulations (CFR) Part 372 (originally published February 16, 1988, in the *Federal Register* and November 30, 1994, in the *Federal Register* (for Form A Certification Statement)).

The Electronic Reporting Rule was published in the *Federal Register* on [August 27, 2013 \(78 FR 52860\)](#), and requires all forms to be submitted electronically. Reports that are not submitted electronically using TRI-MEweb will not be processed as acceptable submissions. However, facilities submitting TRI reports containing trade secrets will still submit their reports to EPA on paper, not via TRI-MEweb. This electronic reporting requirement includes late submissions for prior reporting years, revisions, and withdrawals.

**July 1 is the TRI reporting deadline.** There is a legal obligation to file an accurate and complete Form R report for each chemical by July 1 each year. EPA may take enforcement action and assess civil administrative penalties regarding corrections to errors in Form R reports that are not changes based on previously unavailable information or procedures which improve the accuracy of the data initially reported. The kinds of errors which may result in enforcement and in penalties include but are not limited to the following: (1) errors caused by not using the most readily available information, for example, not using monitoring data collected for compliance or other purposes with other regulations in calculating releases; (2) omitting a major source of emissions; (3) a mathematical or transcription or typographical error which seriously compromises the accuracy of the information, and; (4) other errors which seriously affect the utility of the data, particularly errors in release reporting for which the facility has no records showing the derivation of the release calculation, and cannot provide a sufficient explanation of the report.

### A.1 Who Must Report

EPCRA Section 313 requires that reports be filed by owners and operators of facilities that meet all of the following criteria:

- The facility has 10 or more full-time employee equivalents (i.e., a total of 20,000 hours or greater; see 40 CFR 372.3);
- The facility is included in a North American Industry Classification System (NAICS) code listed in Table I; and

The facility manufactures (defined to include importing), processes, or otherwise uses any EPCRA Section 313 chemical in quantities greater than the established threshold in the course of a calendar year. Reporting thresholds are listed in Section B.4.

In 1993, Executive Order 12856 extended these reporting requirements to federal facilities, regardless of their SIC or NAICS code. Subsequent Executive Orders have not changed this requirement.



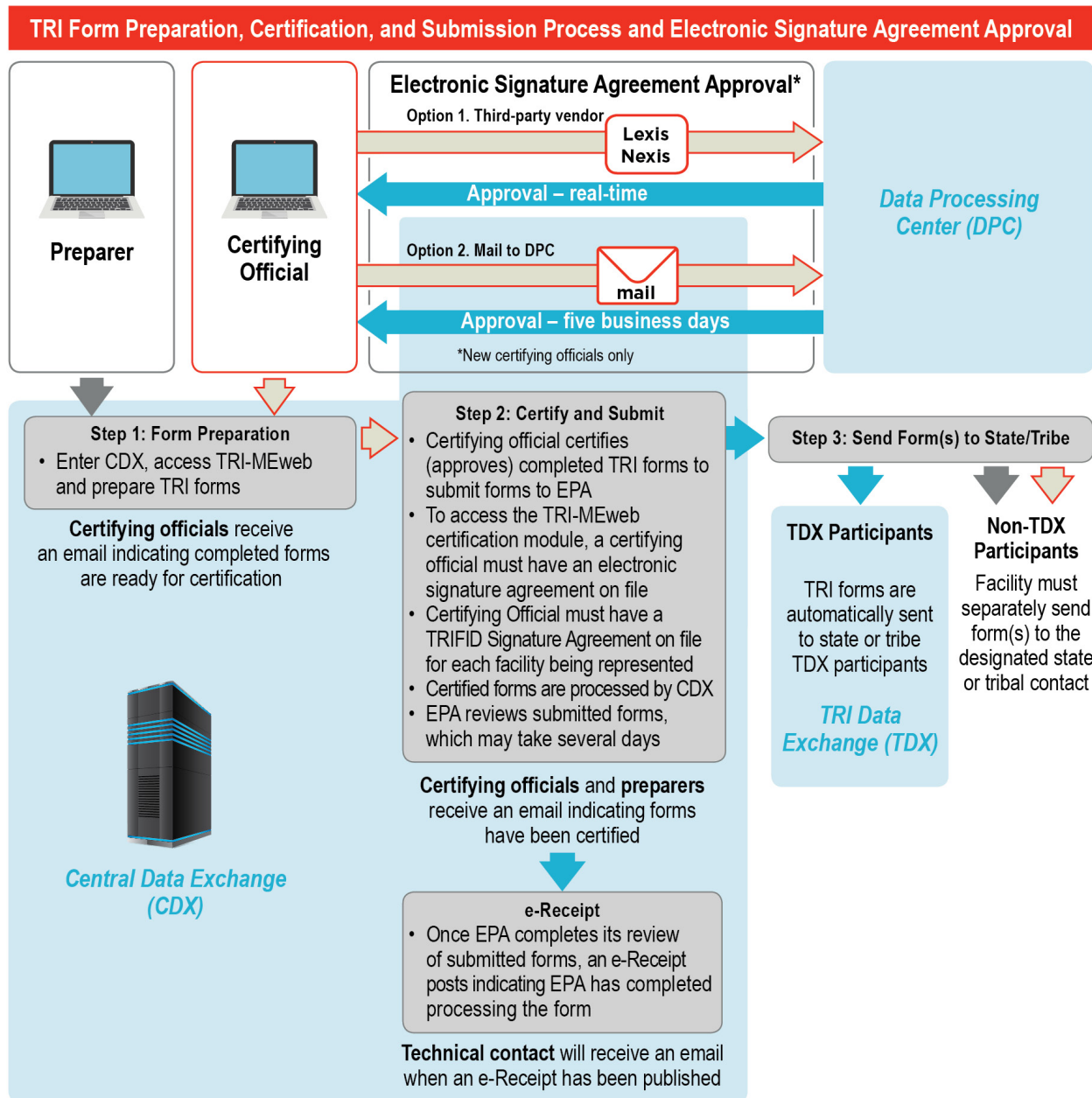


Figure 1. TRI-MEweb’s Preparation, Certification and Submission Process and Electronic Signature Agreement Approval

## A.2 How to Submit Forms

Facilities must use the TRI-MEweb application to submit non-trade secret TRI reports. TRI-MEweb is accessible online and assists facilities reporting TRI data.

Some facilities prepare TRI reporting forms using their own software. These facilities still need to load and submit their TRI reporting forms to EPA using

TRI-MEweb via the online reporting application’s Upload XML feature. More information on the Upload XML feature can be found on the TRI-MEweb webpage: <https://www.epa.gov/toxics-release-inventory-tri-program/tri-meweb-resources>.

Facilities must submit a copy of each reporting form sent to EPA to the state or tribe in which that facility is located. Conveniently, TRI-MEweb will simultaneously send a copy of each reporting form

submitted to EPA to the appropriate state or tribal official if the state or tribe participates in the TRI Data Exchange (TDX). (Internet submissions are not available for trade secret claims). This simultaneous submission satisfies a facility's legal obligation to report to EPA and the appropriate state or tribe. States and tribes participating in TDX are shown on this website:

<https://www.epa.gov/toxics-release-inventory-tri-program/tri-data-exchange>.

Please be aware that if your facility does not reside in a state or tribe participating in TDX, just transmitting TRI forms via the Internet does not satisfy your state or tribal reporting requirements for your facility. You must report to your state or tribe separately and in the required format specified by your state or tribe. However, if your state or tribe is not in TDX then TRI-MEweb can still be used by the reporting facility to prepare and print the proper paper TRI forms. A senior management official must certify the submission by signing the TRI forms. For non-TDX states and tribes, completed TRI forms must be printed from TRI-MEweb and mailed to the designated state or tribal contact. Do not send forms from the TRI-MEweb application to EPA's Data Processing Center (DPC), except for trade secret submissions, which still must be sent to the DPC.

### A.2.a. TRI-MEweb RY 2018 Version

Facilities use TRI-MEweb to fulfill their Emergency Planning and Community Right-to-Know (EPCRA) Section 313 and Pollution Prevention Act (PPA) Section 6607 reporting obligations. TRI-MEweb is an interactive, intelligent, user-friendly web-based application tool that guides facilities through TRI reporting. Using a series of logically ordered questions, TRI-MEweb streamlines the analysis needed to determine if a user must complete a Form R Report or if they meet thresholds that allow them to use the Form A Certification Statement for a particular chemical.

The TRI-MEweb software provides guidance for each data element on the TRI reporting Forms. TRI-MEweb checks the entered data for common errors and then prepares it for electronic transmission and certification in the Agency's Central Data Exchange (CDX) (see the flow diagram of the TRI-MEweb reporting process (Figure 1)) TRI-MEweb allows facilities to submit, revise, and withdraw TRI reporting forms for RYs 1991 through the current

reporting year, provided the forms do not contain trade secret information.

### A.2.b. How to Begin Using the RY 2018 TRI-MEweb Reporting Tool

**TRI-MEweb is accessed through EPA's Central Data Exchange (CDX).** The TRI-MEweb application uses EPA's CDX network to transmit and certify electronic submissions to EPA. CDX allows facilities to submit a paperless report and receive instant receipt confirmation of their submission via the Internet. TRI-MEweb supports most Web browsers; however, *should you encounter any problems in accessing CDX or TRI-MEweb, consult the TRI-MEweb Resource webpage:*

<https://www.epa.gov/toxics-release-inventory-tri-program/tri-meweb-resources>.

**Two user roles involved in TRI reporting.** There are two user roles in the TRI reporting process: a preparer role and a certifying official role. Figure 1 (Page 2) illustrates how these two roles are involved in the TRI reporting process. The "Preparer" is the person who prepares TRI forms for submission in TRI-MEweb but is not authorized to certify them. The "Certifying Official" is the person of authority or legal representative at a facility that will be certifying the data contained in the submitted TRI Form R or Form A Certification Statement in TRI-MEweb to EPA and their state or tribe. Certifying officials may also prepare forms, but the preparer cannot certify TRI forms. Both TRI roles require a CDX user account with the TRI-MEweb application added to the *MyCDX* profile. Step-by-step instructions for creating CDX user accounts for new preparers or certifying officials can be found on the TRI-MEweb Resources webpage: <https://www.epa.gov/toxics-release-inventory-tri-program/tri-meweb-resources>.

Establishing a CDX account and getting started in TRI-MEweb as a new preparer or certifying official.

- Access the CDX login web page at <https://cdx.epa.gov/>. Click the **Register with CDX** link to begin creating a new CDX user account.
- When registering with CDX, search for TRI-MEweb when adding a Program Service to your account.
- Note that CDX passwords expire after 90 days, so please be sure to provide answers to the three security questions that you will remember.

- All certifying officials must submit an Electronic Signature Agreement (ESA) form to EPA for approval before certifying and submitting TRI forms. If you are registering as a certifying official, then please review the Electronic Signature Agreement section below to learn how to become authorized to certify and submit TRI reporting forms.
- Users that already have a CDX account for other EPA reporting programs and have never reported to TRI before will only need to add TRI-MEweb by clicking the “Manage Your Program Services” link on their MyCDX page. This will enable TRI reporting through their CDX account.

**Linking your new CDX account to an existing TRI facility in TRI-MEweb.** If your facility has submitted a TRI reporting form for a prior reporting year, it will already have a TRI Facility Identification Number (TRIFID) assigned to it. *You should not create a new TRIFID for your facility if the facility has previously submitted a TRI reporting form.*

In TRI-MEweb, you can also load information about an existing TRI facility by providing the technical contact information and TRIFID used on a report during the prior reporting year. Or, you can enter a 6-digit access key for your facility. In TRI-MEweb, you may request for the access key for a facility to be emailed to you. Additionally, the person who previously prepared or certified forms for your facility can use TRI-MEweb to send the access key via email to allow a preparer or certifying official connect to an existing facility. You can also contact the CDX Help Desk at (888) 890-1995 to obtain an access key.

### A.2.c. Electronic Signature Agreement

An Electronic Signature Agreement (ESA) is a statement that declares that the person electronically signing a document (i.e., a reporting form) understands the electronic signature is as legally binding as a handwritten signature. EPA requires a certifying official to have a signed ESA on record before the certifying official can certify and submit a TRI form created in TRI-MEweb. Returning certifying officials since RY 2013 will likely have an ESA signed on record and will only need to navigate to the “Forms” tab and then to the “Pending Forms” subtab in TRI-MEweb to find any pending submission(s) that is ready to be certified.

ESAs are created when a user creates a new CDX account with a certifying official role. Currently, there are two ways to obtain an ESA approval from EPA.

#### **Option 1 - LexisNexis real-time ESA approval.**

A new certifying official may use a third-party identity verification vendor to obtain an ESA electronically. The certifying official will need to voluntarily provide personal identifying information to the third-party vendor (EPA does not collect any personal information from our users) to authenticate his or her identity. The most significant benefit gained from using this third-party identity verification is that users will no longer need to wait up to 5 business days for EPA to approve a paper ESA. If the certifying official does not wish to provide personal information to a third-party vendor, he or she should print and mail a paper ESA form to the TRI Data Processing Center instead well ahead of the July 1 reporting deadline.

A significant advantage of this real-time method, besides obtaining immediate ESA approval, is that the real-time approval is applicable to multiple CDX system flows. Programs like eTSCA and Risk Management Plan (RMP eSubmit) will be able to share the security credentials offered by the CDX ESA obtained under TRI. To obtain this real-time approval, the certifying official must provide personal identity authentication information such as name, address, etc. Please note that EPA does not collect any personal information from our users. The use of these third-party verification and identification widgets is common in banking systems.

**Option 2 - Paper ESA form.** A printable ESA form can be generated during the CDX registration process. The ESA form must be signed and mailed to EPA’s Data Processing Center (DPC in Figure 1) for approval before the certifying official can begin to certify any TRI forms completed by the preparer to CDX using TRI-MEweb. Hard copy ESA approval may take up to five business days, so please plan accordingly or consider option one, LexisNexis. Access to the TRI-MEweb application on the MyCDX page is activated when the ESA is approved.

Paper ESAs can be mailed to the address below:

Attention: TRI ESA Approval Request  
TRI Reporting Center  
P.O. Box 10163  
Fairfax, VA 22038

The hard copy ESA approval process requires the printing, completion, and mailing of an electronic signature agreement form. Please allow adequate time for the mailing and processing of this form, which is estimated to take a minimum of five (5) business days. Certifying officials who do not have a signed ESA, electronic or hard copy and has not been approved by the DPC, will not be able to certify forms in TRI-MEweb. It is recommended that certifying officials complete their ESA well in advance of the reporting deadline.


**Accidental deletion of ESA in TRI-MEweb.** The TRI-MEweb application also has the capability to manage user profiles (previously authorized preparers or certifying officials) that have been granted access to facility accounts. This capability includes revoking approved ESA(s) for any certifying official(s) that has left the facility's payroll or is no longer authorized to certify forms. An ESA could also be accidentally revoked by the preparer. If this occurs, there is a 45-day grace period to get the ESA reactivated by the CDX helpdesk without having to send a paper form to EPA for re-approval. An email notification is sent to the affected certifying official by CDX when an ESA has been revoked within TRI-MEweb.

**TRIFID Signature Agreement.** In addition to the ESA requirement, new certifying officials must sign a TRIFID Signature Agreement for each facility they represent. By signing the TRIFID Signature Agreement, certifying officials are confirming that they are owner/operators or senior management officials for the reporting facility and are authorized to certify forms for that facility. Certifying officials must complete the TRIFID Signature Agreement only once for each facility they represent as a certifying official. Returning certifying officials will be ready to certify any forms for a facility account for which a TRIFID Signature Agreement was previously signed. A single CDX ESA will also allow new and returning certifying officials to represent additional facility accounts without the need for an ESA approval for each facility account.

However, all newly added facility accounts will only require a TRIFID Signature Agreement to be signed.

A certifying official must have an approved ESA before they can log into TRI-MEweb. Once in TRI-MEweb, the certifying official should click on the "**Facility Management**" tab to access the *Manage TRIFID Signature Agreements* page, where a list of TRIFIDs pending a TRIFID Signature Agreement is displayed. Then select the check box next to the facility's TRIFID in the "Pending Signature" table and click "**Sign Agreement**" button. If your facility account is not visible in the "Signature Receive" section, click the "Add Facility" button to incorporate your missing facility account using the access key code method. Review the TRIFID Signature Agreement and click "**I Agree**" button. The electronic signature widget will prompt the certifying official to enter their CDX password, answer a secret question, and click "**Sign**" button. A confirmation box will appear, noting the successful signature.

**ESA and TRIFID Signature Agreement Status in TRI-MEweb.** The ESA and TRIFID Signature Agreement status of the certifying official(s) assigned to each facility is listed under the Status column on the "Manage Users" page in TRI-MEweb.

- A status of  **No CDX ESA** indicates that no certifying officials have been associated with a facility account.
- A status of  **Sign CDX ESA** indicates that the new certifying official has not signed an ESA. The certifying official must sign a new CDX ESA.
- A status of  **Sign TRIFID Signature Agreement** indicates that the certifying official has obtained approval of the CDX ESA, but still needs to sign the TRIFID Signature Agreement within the TRI-MEweb application to a facility account.
- A status of  **Active Certifying Official Available** indicates that your assigned certifying official has received approval of the ESA, signed the TRIFID Signature Agreement, and is ready to certify any pending forms completed by the preparer for a specific facility account.



#### A.2.d. Miscellaneous Information on TRI-MEweb and User Resources

**Resetting CDX Passwords.** CDX passwords expire after 90 days. You will likely need to reset your password. Click the *Forgot your password?* link to reset your password. If you do not remember the answers you provided to the security questions you completed when you registered with CDX then you will need to contact the CDX Help Desk at (888) 890-1995. Once you have successfully logged into your CDX account, you may edit the answers to your security questions by clicking the “**My Profile**” tab on the MyCDX webpage.

**Import previous year data into current year chemical forms.** TRI-MEweb can import prior year data (if RY 2017 data were provided by the facility in the previous year) into each selected current year TRI chemical form. Although it is optional, importing data can accelerate data entry if the same chemicals are reported to EPA each year. Importing data into any forms that have been already started in TRI-MEweb will result in the data being overwritten by the imported data fields.

**Error checker software in TRI-MEweb.** Once data entry has been completed or data has been imported into TRI forms using TRI-MEweb, you must click the “**Check for Errors**” button to begin the error checking software in TRI-MEweb.

**Processing TRI forms for Certification.** Once you have check for errors using TRI-MEweb’s Error Checking procedures and have passed with no detectable critical errors, you can proceed to designate a certifying official to review your completed TRI forms who will then be able to log into TRI-MEweb to review and digitally sign the forms. A certifying official can cancel a form to return it to an editable form should a correction to the form be necessary. If no corrections are needed and the certifying official is ready to submit the form to EPA, the certifying official can digitally sign the form and submit it. To sign and submit a TRI reporting form the certifying official will need to answer to a security question or use a SMS text verification service to verify identity. An email from CDX will confirm that the form has been properly certified and submitted

**Uncertified TRI-MEweb Submissions.** A facility’s registered certifying official must electronically sign

Form R and/or Form A Certification Statements via TRI-MEweb before the submission is complete. Uncertified TRI-MEweb electronic submissions are not considered complete according to the reporting requirements in EPCRA Section 313. Lack of certification will prevent the submission from being processed. Uncertified TRI forms do not satisfy the requirements of the TRI Program. Failure to adhere to the requirements of section 313 of EPCRA and its implementing regulations could result in an EPA enforcement action against a facility.

#### TRI-MEweb User Resources

- TRI-MEweb website:  
<https://www.epa.gov/toxics-release-inventory-tri-program/tri-meweb-resources>.  
Service notifications and reference materials for reporting are posted on this webpage.
- TRI-MEweb online tutorials:  
<https://www.epa.gov/toxics-release-inventory-tri-program/training>.  
Online Tutorials that provide step-by step instructions for using TRI-MEweb.

TRI Information Center Hotline [(800) 424-9346 - select option 3] and CDX Help Desk (888) 890-1995. These hotlines provide regulatory reporting assistance and CDX/TRI-MEweb technical support to TRI reporting facilities.

#### A.2.e. Confirmation of TRI Submission(s) to EPA

You can confirm that you have properly submitted your TRI Form R and Form A Certification Statement by the following methods:

**TRI-MEweb:** Confirmation of your Federal and State/Tribal submission can be found on the *Submission History* tab in the TRI-MEweb application.

**CDX Email:** A CDX email is sent to the registered email address of the certifying official, preparer and technical contact of the reporting facility after the form has been certified in TRI-MEweb. If you have not received a confirmation email, verify that your registered email address has not changed or that the CDX email is not being diverted to another inbox by your junk/spam email filter.

**Electronic Receipt (e-Receipt).** After a form is certified and submitted it goes through additional data quality checks. Once the form clears these checks, which may take several days, it enters EPA's TRI database. Once this happens, you will be able to access an e-Receipt report in TRI-MEweb by reviewing the “**Submission History**” tab in TRI-MEweb (formerly known as electronic Facility Data Profile or eFDP).

If the facility's Technical Contact provides an email address in the Form R/Form A Certification Statement, they will also receive an email notifying them when their e-Receipt has been published for review in TRI-MEweb. *Please Note:* the technical contact will typically receive this email following the certification and submission of a form; however, data quality checks performed after submittal of the form could delay for several days the sending of this e-Receipt email.

### A.2.f. State and Tribal Submissions

Facilities that reside in a state or tribe participating in the TRI Data Exchange (TDX) will have their RY 2005 - 2018 forms sent simultaneously to EPA and their state or tribal TRI representative in electronic format. Find which states are participating in TDX at: <https://www.epa.gov/toxics-release-inventory-tri-program/tri-data-exchange>

If the facility is in a state that is not in TDX, then the facility must also send a copy of the report to the state. To verify if your state is or is not in the TDX system, go to: <https://www.epa.gov/toxics-release-inventory-tri-program/tri-data-exchange>. “State” also includes: the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, Marshall Islands, the U.S. Virgin Islands, the Commonwealth of the Northern Mariana Islands, and any other jurisdiction and Indian country. Refer to Appendix E for the appropriate state submission addresses.

Facilities located within a tribe's Indian country will need to provide their three-digit Bureau of Indian Affairs (BIA) tribal code for their Indian country name in the “City/County/Tribe/State/ZIP code” field on the Form R or Form A Certification Statement in Section 4.1. In TRI-MEweb, these facilities should select the “My facility is located in Indian Country” checkbox and “Add BIA Code,” which provides a searchable list of BIA codes and

corresponding Indian country names. BIA tribal codes are also provided in Table III.

Hard copies of TRI forms must be mailed to the tribe's Chief Executive Officer because most tribal entities are not members of TDX. If tribes have entered into a cooperative agreement with states, report submissions should be sent to the entity designated in the cooperative agreement. Facilities using TRI-MEweb to fulfill their federal and tribal reporting requirements under EPCRA Section 313 will be able to print a hard copy of the TRI form to mail to their Indian country's Chief Executive Officer.

**RYs 1991 - 2004 submissions:** If a facility prepares and submits a TRI RY 1991 through RY 2004 form using TRI-MEweb, they must print/save a copy of their TRI form on a disk and send it to their State or Tribal TRI coordinator, even if State or Tribal Country is on the TRI Data Exchange (TDX) network. TDX is not configured to transmit pre-RY 2005 TRI forms.

### A.3 Trade Secret Claims

A trade secret claim may be submitted to prevent disclosure of the identity of an EPCRA Section 313 chemical. See Appendix G for instructions on preparing and submitting trade secret claims. Note that trade secret submissions must be on paper and that TRI-MEweb does not support the preparation of trade secret TRI reporting forms.

### A.4 Recordkeeping

Sound recordkeeping practices are essential for accurate and efficient TRI reporting. It is in the facility's interest, as well as EPA's, to maintain records properly. Facilities must keep a copy of each report filed for at least three years from the date of submission. These reports will be of use when completing future reports.

Facilities must also maintain those documents, calculations, worksheets, and other forms upon which they relied to gather information for prior reports. In the event of a problem with data elements on a facility's Form R or Form A Certification Statement, EPA may request documentation from the facility that supports the information reported.

EPA may conduct data quality reviews of Form R or Form A Certification Statement submissions. An

essential component of this process involves reviewing a facility's records for accuracy and completeness. EPA recommends that facilities keep a record for those EPCRA Section 313 chemicals for which they did not file EPCRA Section 313 reports.

EPA also recommends keeping records of all documentation containing your CDX account information for your preparer(s) and certifying official(s) that use TRI-MEweb to prepare and certify the reporting facility's TRI Form R and/or Form A Certification Statement. These CDX documents include the Electronic Signature Agreement (ESA) and the facility's unique 6-digit alphanumeric access key.

Records to maintain include:

- Previous years' EPCRA Section 313 reports;
- EPCRA Section 313 Reporting Threshold Worksheets;
- Engineering calculations and other notes;
- Purchase records from suppliers;
- Inventory data;
- EPA (NPDES) permits and monitoring reports;
- EPCRA Section 312 Tier II Reports;
- Monitoring records;
- Flowmeter data;
- RCRA Hazardous Waste Generator's Report;
- Pretreatment reports filed by the facility with the local government;
- Invoices from waste management companies;
- Manufacturer's estimates of treatment efficiencies;
- RCRA manifests;
- Process diagrams that indicate emissions and other releases;

Records for those EPCRA Section 313 chemicals for which they did not file EPCRA Section 313 reports; and

CDX account information including unique 6-digit access key to pre-load facility account into TRI-MEweb and copies of the Electronic Signature Agreement (s) submitted to EPA for approval.

## ***A.5 How to Revise, Withdraw or Cancel TRI Data***

### **A.5.a. Revising TRI Data**

Facilities that filed a Form R and/or Form A Certification Statement under EPCRA Section 313 may submit a request to revise a form that was previously submitted, stored in EPA's historical database called the Toxics Release Inventory Processing System (TRIPS), and made available to the public through Envirofacts and TRI Explorer.

Facilities may only revise TRI reporting forms submitted for RY 1991 through the current reporting year and must do so using TRI-MEweb.

Facilities may request a revision for one or more of the following reasons:

Revision codes:

- RR1 - New Monitoring Data
- RR2 - New Emissions Factor(s)
- RR3 - New Chemical Concentration Data
- RR4 - Recalculation(s)
- RR5 - Other Reason(s)

Please note that late submissions for chemicals not reported in a previous reporting year are not considered revisions for that year.

Facilities are reminded that there is a legal obligation to file an accurate and complete Form R or Form A Certification Statement for each chemical by July 1 each year. EPA may take enforcement action and assess civil administrative penalties regarding corrections to errors in Form R reports that are not changes based on previously unavailable information or procedures which improve the accuracy of the data initially reported. The kinds of errors which may result in enforcement and in penalties include but are not limited to the following: (1) Errors caused by not using the most readily available information, for example, not using monitoring data collected for compliance with other regulations in calculating releases; (2) omitting a major source of emissions; (3) a mathematical or transcription or typographical error which seriously compromises the accuracy of the information, and; (4) other errors which seriously affect the utility of the data, particularly errors in release reporting for which the facility has no records showing the derivation of the release calculation, and cannot provide a sufficient explanation of the report.

### How do I revise my submission(s)?

If you plan to revise a TRI submission, send revised report(s) to EPA and the appropriate state or tribal agency.

Use TRI-MEweb to submit revisions to TRI submissions. EPA will only accept revisions for RY 1991 through the current year.

If you have questions about using TRI-MEweb to revise your Form R/A, please refer to the *TRI-MEweb Tutorials* page at:

<https://www.epa.gov/toxics-release-inventory-tri-program/training>.

### A.5.b. Withdrawing TRI Data

Facilities that filed a Form R and/or Form A Certification Statement under EPCRA Section 313 may submit a request to withdraw a form that was previously submitted, stored in the Toxics Release Inventory Processing System (TRIPS), and made available to the public through Envirofacts and TRI Explorer. EPA may periodically review withdrawals.

Use TRI-MEweb to submit revisions to withdraw TRI reporting forms submitted for RY 1991 through the current reporting year.

Facilities may request a withdrawal for one or several reasons, such as:

Withdrawal codes:

- WT1 - Did not meet the reporting threshold for manufacturing, processing, or otherwise use
- WT2 - Did not meet the reporting threshold for number of employees
- WT3 - Not in a covered NAICS Code
- WO1 - Other reason(s)

### How do I withdraw my submission(s)?

If you plan to withdraw a TRI submission, send your request to EPA using TRI-MEweb – withdrawals on paper forms will not be accepted. Withdrawal requests for RY 2005 - 2018 forms will be automatically submitted to states participating in the TRI Data Exchange (TDX). Non-TDX state/tribal facilities need to mail in hard copy forms to their state or tribe. Keep in mind that successfully completed withdrawal requests remove the chemical release

data that was provided by the reporting facility and processed into TRI's publicly available database.

If the reporting facility needs to make a correction to data submitted to EPA, you should revise the form rather than withdraw and resubmit the form.

Use TRI-MEweb to withdraw TRI forms from RY 1991 through the current year. Withdrawals can only be done for TRI submissions that have been properly completed, certified and processed by EPA. If you have questions about using TRI-MEweb to withdraw your Form R/A, please refer to the *TRI-MEweb Tutorials* page at:

<https://www.epa.gov/toxics-release-inventory-tri-program/training>.

### A.5.c. Canceling a TRI Submission

Different situations may require a TRI-MEweb user to cancel an electronic TRI submission. For instance, a facility's preparer or certifying official may determine that a draft electronic submission(s) requires cancellation because the facility's chemical release did not, in fact, meet the reporting thresholds of EPCRA Section 313.

Another reason why a TRI-MEweb submission may require cancellation is if a preparer or certifying official has determined that a correction is needed on a TRI form that is pending certification in CDX, but has not yet been certified. To edit a TRI form in TRI-MEweb that is pending certification to CDX, the preparer will need to cancel the submission with a *Pending Certification* status in order to make the additional corrections in TRI-MEweb and reprocess the original submission or revision to be certified. EPA is considering issuing a Notice of Non-compliance for TRI Forms that have been completed but are not certified.

A preparer or a certifying official cannot cancel a TRI form submission that has already been certified by the certifying official. If a chemical form has a status of *Certified and Sent to EPA* in TRI-MEweb it cannot be called back to be edited or corrected. To change or remove data that has already been certified and submitted to EPA to be processed, either revise or withdraw the submission.

Note: ALL chemical forms that were included in the selected submission will be canceled.

**How to Cancel a TRI Submission that has not been Certified.** If your facility decides not to



complete the certification process for any pending electronic submission(s), you should **CANCEL** the submission(s) using one of the following methods:

**By the Preparer:** The preparer may use the TRI-MEweb application to cancel any unwanted pending submission(s). In TRI-MEweb, the preparer must click the “**Forms Home**” subtab under the “**Form**” tab, choose the Reporting Year corresponding to the unwanted submission(s), expand the form summary table by clicking the “+” sign, and select the “delete” button for the chemical form to be cancelled from the *Select a Form* page. Note: Only forms with a *Pending Certification* status can be canceled. In addition, all chemical forms that were included in the selected submission will be canceled.

**By the Certifying Official:** The certifying official may also cancel any unwanted TRI submission(s) pending certification (forms that have been assigned a certifying official). The certifying official must log into their CDX account and click the **TRI-MEweb: TRI Made Easy** –link from their *MyCDX* page. This will open the *Welcome* page of the TRI-MEweb application and then select the “**Forms**” tab and then select the “**Pending Forms**” subtab. If certifying official does not find the TRIFID for their reporting facility with pending submissions listed, they gain access to that facility account by entering the access key for the facility listed in the *Pending Authentication* section on the *Manage Facilities* page and signing the TRIFID Signature Agreement on the *Manage TRIFIDs Signature Agreement* page and clicking the “**Next**” button. The electronic signature widget will pop-up to confirm your authorized access to the facility account. Upon successful authentication of user identity, you may begin the cancellation process on the *Pending Forms* page. You may view the content of the submission by clicking the “**Check for Errors**” page and navigating to the *Passed Forms* section to confirm that this is the correct submission to be cancelled. Select the “**Cancel**” button to cancel submission.

### ***A.6 When the TRI Report Must Be Submitted***

As specified in EPCRA Section 313, the report for any calendar year must be submitted on or before midnight on July 1 of the following year whether using Form R or Form A Certification Statement. If the reporting deadline falls on a Saturday or Sunday,

EPA will accept forms submitted on the following Monday (i.e., the next business day).

Any voluntary revision to a report can be submitted anytime during the calendar year for the current or any previous reporting year. However, voluntary revisions for the current reporting year should be submitted by July 31 in order to be included in that year’s TRI National Analysis.

**Can I submit a paper form if I cannot certify forms before the July 1 deadline?** Please note that if you are not able to certify prior to the July 1 deadline, you will not be able to submit on paper. Please ensure you execute an electronic signature agreement (ESA) well ahead of the July 1 deadline. If your certifying official could not certify prior to the July 1 deadline because he or she had not established an approved Electronic Signature Agreement (ESA), he or she should log into CDX once it becomes approved by EPA and certify any pending submission(s). There is a legal obligation to file an accurate and complete Form R or Form A Certification Statement for each chemical by July 1 each year if TRI reporting is required, and EPA may take enforcement action and assess civil administrative penalties in regard to late or inaccurate submissions.

If a facility could not process their ESA on time, should their certifying official still certify electronically after the July 1 deadline? Yes. EPA encourages a facility to have a certifying official complete an ESA well before the July 1 deadline. Additionally, EPA provides a real-time ESA approval method in CDX that will allow most certifying officials instantaneous ESA approval to allow for ESA approval ahead of the July 1 deadline (see A.2.c Electronic Signature Agreement above for more details). However, if a certifying official cannot certify prior to the July 1 deadline because they do not have an approved ESA in place, they should log into CDX once it becomes approved by EPA and certify any pending forms(s). They may also call the CDX Helpdesk for support on using the real-time ESA option. There is a legal obligation to file an accurate and complete Form R or Form A Certification Statement for each chemical by July 1 each year if TRI reporting is required, and EPA may take enforcement action and assess civil administrative penalties in regard to late or inaccurate submissions.

### ***A.7 How to Obtain the TRI Reporting Forms***

The TRI Form R, Form R Schedule 1, Form A Certification Statement, and related guidance documents may be obtained from EPA's GuideME website at:

[https://ofmpub.epa.gov/apex/guideme\\_ext/f?p=guideme:rfi-home](https://ofmpub.epa.gov/apex/guideme_ext/f?p=guideme:rfi-home).

*However, non-trade-secret TRI reporting forms must be submitted to EPA using TRI-MEweb.* Except for trade secrets, paper forms are no longer processed by EPA. Please do not send any paper forms (except for trade secret submissions) to EPA's Data Processing Center.

### ***A.8 What to Do If You Do Not Need to Submit any TRI Reports?***

If a facility does not exceed an activity threshold for any listed toxic chemical, or is not in a covered NAICS code, or does not have ten or more full time employees, it is not required under EPCRA Section 313 to report (see **Section B. How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A** for more information on TRI reporting thresholds). Further, such a facility is not

required to maintain any records associated with its uses, releases, or other waste management activities involving listed toxic chemicals. Such facilities, however, may want to keep records of the amounts of listed toxic chemicals they manufacture, process, or otherwise use in order to defend against any claim that they failed to report.

To avoid future auditing, a facility may choose to provide voluntary information to EPA about the reason why they are not reporting to EPA. TRI-MEweb can also be used by a facility to indicate that it is not reporting should a facility elect to do so. Open the TRI-MEweb application. On the **My TRI** page click the "**Facility Management**" tab; select the "**Manage Facilities**" subtab. Click the "**Take Action**" dropdown menu for the facility providing voluntary information and then select the "**Not Reporting?**" option, which a facility may opt to use to indicate that it is not reporting for one or more chemicals.

See **Section F. Optional Facility-Level Information and Non-Reporting** for more information on how to elect to inform EPA that you will not be submitting one or more reporting forms for the current reporting year.

## **B. How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A Certification Statement**

This section will help you determine whether you must submit an EPCRA Section 313 report (EPA Form R or Form A Certification Statement). This section discusses EPCRA Section 313 reporting requirements such as the number of full-time employees, primary NAICS code, and chemical activity threshold quantities. The EPCRA Section 313 chemicals and chemical categories subject to reporting are listed in Table II (also see 40 CFR 372.65). (See Figure 2 for more information.)

### ***B.1 Full-Time Employee Determination***

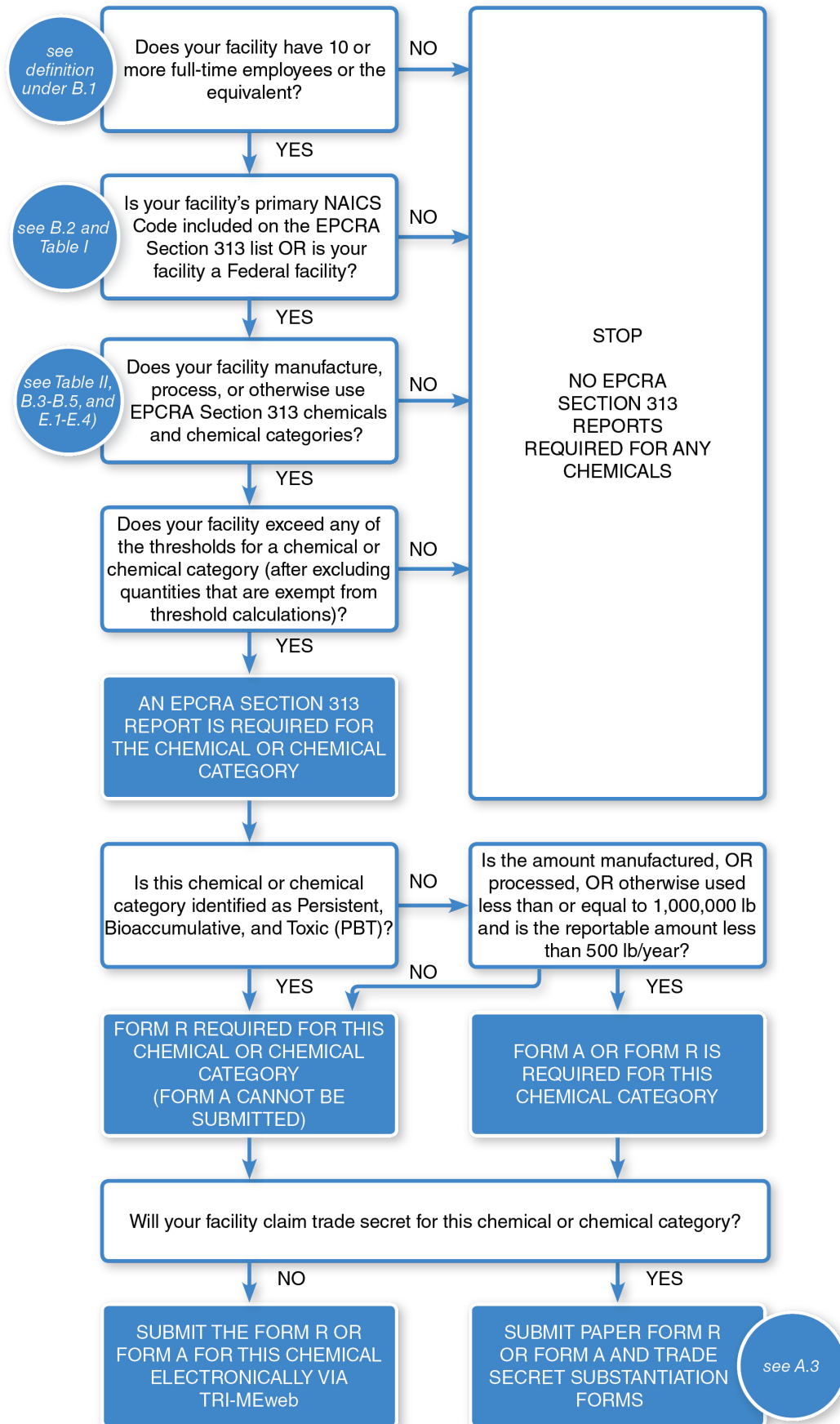
The number of full-time employees is dependent only upon the total number of hours worked by all employees and other individuals (e.g., contractors) for the facility during the calendar year and not the number of persons working. Therefore, a full-time employee, for purposes of EPCRA Section 313 reporting, is defined as 2,000 work hours per year. When making the full-time employee determination, the facility must consider all paid vacation and sick leave used as hours worked by each employee. In addition, EPA interprets the hours worked by an employee to include paid holidays. To determine the number of full-time employees working for your facility, add up the hours worked by all employees during the calendar year, including contract employees and sales and support staff working for the

facility, and divide the total by 2,000 hours. The result is the number of full-time employees. In other words, if the total number of hours worked by all employees for your facility is 20,000 hours or more, your facility meets the ten-employee threshold.

#### **Examples:**

- A facility consists of 11 employees who each worked 1,500 hours for the facility in a calendar year. Consequently, the total number of hours worked by all employees for the facility during the calendar year is 16,500 hours. The number of full-time employees for this facility is equal to 16,500 hours divided by 2,000 hours per full-time employee, or 8.3 full-time employees. Therefore, even though 11 persons worked for this facility during the calendar year, the number of hours worked is equivalent to 8.3 full-time employees. This facility does not meet the employee criteria and is not subject to EPCRA Section 313 reporting.

Another facility consists of six workers and three sales staff. The six workers each worked 2,000 hours for the facility during the calendar year. The sales staff also each worked 2,000 hours during the calendar year although they may have been on the road half of the year. In addition, five contract employees were hired for a period during which each worked 400 hours for the facility. The total number of hours is equal to the time worked by the workers (12,000 hours), plus the time worked by the sales staff for the facility (6,000 hours), plus the time worked by the contract employees (2,000 hours), or 20,000 hours. Dividing the 20,000 hours by 2,000 yields 10 full-time employees. This facility has met the full-time employee criteria and may be subject to reporting if the other criteria are met.



**Figure 2. EPCRA Section 313 Reporting Decision Diagram**



## **B.2 Primary NAICS Code Determination**

The facility should determine its own NAICS code(s), based on its activities on-site by conducting NAICS keyword and NAICS 2 to 6-digit code searches on the Census Bureau website at:

<http://www.census.gov/eos/www/naics/>

or referring to the 2017 NAICS Definitions at: [https://www.census.gov/eos/www/naics/2017NAICS/2017\\_NAICS\\_Manual.pdf](https://www.census.gov/eos/www/naics/2017NAICS/2017_NAICS_Manual.pdf).

For purposes of EPCRA Section 313 reporting, state assigned codes should not be used if they differ from codes assigned using the NAICS Manual.

The full list of NAICS codes for facilities that must report to TRI (including exceptions and/or limitations) if all other threshold determinations are met can be found in Table I and also at the TRI website at: <https://www.epa.gov/toxics-release-inventory-tri-program/my-facilitys-six-digit-naics-code-tri-covered-industry>.

Beginning with Reporting Year 2006, the TRI Program requires North American Industry Classification System (NAICS) codes instead of Standard Industrial Classification (SIC) codes. NAICS codes found in Table I correspond to the following Standard Industrial Classification (SIC) Codes: SIC 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under RCRA Subtitle C, 42 U.S.C. Section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis).

A final rule was published in the *Federal Register* on [December 26, 2017 \(82 FR 52674\)](#), to adopt 2017 NAICS codes for RY 2017 and subsequent reporting years.

### **B.2.a. Auxiliary Facilities**

Under the Standard Industrial Classification (SIC) system, an auxiliary facility was defined as one that supported another covered establishment's activities (e.g., research and development laboratories, warehouses, and storage facilities). An auxiliary facility could assume the SIC code of another covered establishment if its primary function was to service that other covered establishment's operations. The North American Industry Classification System (NAICS), that replaces the SIC system for TRI reporting, does not recognize the concept of auxiliary facilities and assigns NAICS codes to all establishments based on economic activity. In its rulemaking, "Toxic Chemical Release Reporting Using North American Industry Classification System," the TRI Program has adopted NAICS for TRI reporting and also the NAICS treatment of former "auxiliary facilities" as entities with their own distinct NAICS code.

### **B.2.b. Multi-establishment Facilities**

Your facility may include multiple establishments that have different NAICS codes. A multi-establishment facility is a facility that consists of two or more distinct and separate economic units. If your facility is a multi-establishment facility, calculate the value added of the products produced, shipped, or services provided from each establishment within the facility and then use the following rule to determine if your facility meets the NAICS code criterion:

- If the total value added of the products produced, shipped, or services provided at establishments with covered NAICS codes is greater than 50 percent of the value added of the entire facility's products and services, the entire facility meets the NAICS code criterion.
- If any one establishment with a covered NAICS code has a value added of services or products shipped or produced that is greater than any other establishment within the facility (40 CFR Section 372.22(b)(3)) the facility also meets the NAICS code criterion (see Figure 3).

**Multi-Establishment Facility:** Three separate establishments located on contiguous/adjacent property owned by same person(s), is one facility under EPCRA (40 CFR §§ 372.22 and 372.3)

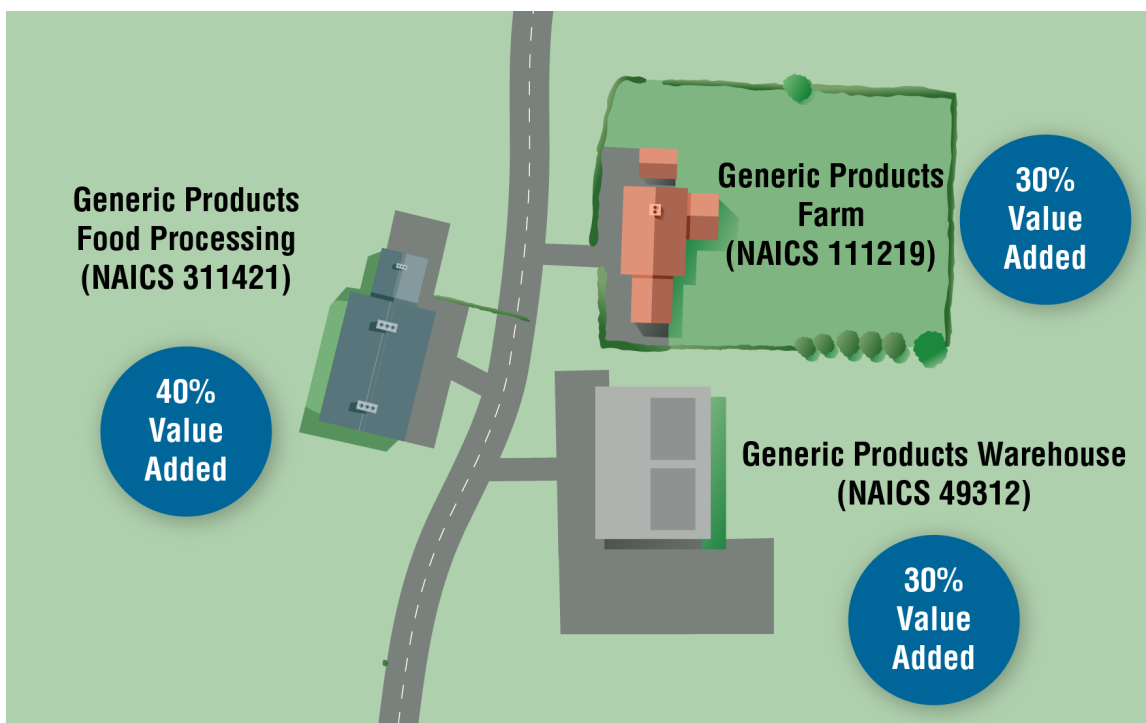


Figure 3. Example of a Multi-Establishment Facility

The value added of production or service attributable to a particular establishment may be isolated by subtracting the product value obtained from other establishments within the same facility from the total product or service value of the facility. The value added may be defined as:

**Equation 1**

$$\begin{aligned} \text{value added} \\ = \text{sum}(\text{value of products exiting the facility}) \\ - \text{sum}(\text{value of products entering the facility}) \end{aligned}$$

This procedure eliminates the potential for “double counting” production and services in situations where establishments are engaged in sequential production or service activities at a single facility.

Examples include:

- A facility in coating, engraving and allied services has two establishments. The first establishment, a general automotive repair service, is in NAICS code 811113 (SIC 7537), which is not a covered NAICS code. However, the second establishment, a metal paint shop is

in NAICS code 332812 (SIC 3479, which is a covered NAICS code). The metal paint shop paints the parts received from general automotive repair service. The facility determines the product is worth \$500/unit as received from the general automotive repair service (in non-covered NAICS code 811113) and the value of the product is \$1500/unit after processing by the metal paint shop (in covered NAICS code 332812). The value added by the metal paint shop is obtained by subtracting the value of the products from the general automotive repair service from that of the value of the products of the metal paint shop. (In this example, the value added = \$1,500/unit - \$500/unit = \$1,000/unit.) The value added (\$1,000/unit) by the establishment in NAICS code 332812 is more than 50 percent of the product value. Therefore, the facility’s primary NAICS code is 332812, which is a covered NAICS code.

A food processing establishment in a facility processes crops grown at the facility in a separate establishment. To determine the value added of the products of each establishment the facility could first determine the value of the crops grown at the agricultural establishment, and then calculate the contribution of the food processing establishment by subtracting the crop value from the total value of the product shipped from the processing establishment (value of product shipped from processing - crop value = value of processing establishment).

A covered multi-establishment facility must make EPCRA Section 313 chemical threshold determinations and, if required, report all relevant information about releases and other waste management activities, and source reduction activities associated with an EPCRA Section 313 chemical **for the entire facility**, even from establishments that are not in covered NAICS codes. EPA realizes, however, that certain establishments in a multi-establishment facility can be, for all practical purposes, separate and distinct business units. Therefore, while threshold determinations must be made for the entire facility, individual establishments which compose the entire facility may report their individual releases and other waste management activities separately. However, the total releases and other waste management quantities for the entire facility must be represented by the sum of the releases and other quantities managed as waste reported by each of the separate establishments. Note that establishments report using the same TRIFID that is used for the multi-establishment facility as a whole.

### **B.2.c. Property Owners**

You are not required to report if you merely own real estate on which a facility covered by this rule is located; that is, you have no other business interest in the operation of that facility (e.g., your company owns an industrial park). The operator of that facility, however, is subject to reporting requirements.

### **B.2.d. Federal Facilities**

In 1993, pursuant to an Executive Order (EO), federal facilities began complying with Section 313 of EPCRA regardless of their primary North American Industry Classification System (NAICS) code. Subsequent EOs reinforced this requirement. As a result, all federal facilities, regardless of NAICS

code, must report if they meet the employment and chemical activity thresholds. See Appendix A for additional information on Federal Facility reporting requirements.

## **B.3 Activity Determination**

### **B.3.a. Definitions of Manufacture, Process, and Otherwise Use**

**Manufacture:** The term “*manufacture*” means to produce, prepare, compound, or import an EPCRA Section 313 chemical. (See Part II, Section 3.1 of these instructions for further clarification.)

Import is defined as causing the EPCRA Section 313 chemical to be imported into the customs territory of the United States. If you order an EPCRA Section 313 chemical (or a mixture containing the chemical) from a foreign supplier, then you have imported the chemical when that shipment arrives at your facility directly from a source outside of the United States. By ordering the chemical, you have caused it to be imported, even though you may have used an import brokerage firm as an agent to obtain the EPCRA Section 313 chemical.

#### **Do Not Overlook Coincidental Manufacture:**

The term “*manufacture*” also includes coincidental production of an EPCRA Section 313 chemical (e.g., as a byproduct or impurity) as a result of the manufacture, processing, otherwise use or disposal of another chemical or mixture of chemicals. In the case of coincidental production of an impurity (i.e., an EPCRA Section 313 chemical that remains in the product that is distributed in commerce), the *de minimis* exemption, discussed in Section B.3.c of these instructions, applies. The *de minimis* exemption does not apply to byproducts (e.g., an EPCRA Section 313 chemical that is separated from a process stream and further processed or disposed of). Certain EPCRA Section 313 chemicals may be manufactured as a result of wastewater treatment or other treatment processes. For example, neutralization of wastewater containing nitric acid can result in the coincidental manufacture of a nitrate compound (solution), reportable as a member of the nitrate compounds category.

**Process:** The term “*process*” means the preparation of a listed EPCRA Section 313 chemical, after its manufacture, for distribution in commerce.

Processing is usually the incorporation of an EPCRA Section 313 chemical into a product (see Part II, Section 3.2 of these instructions for further clarification). However, a facility may process an impurity that already exists in a raw material by distributing that impurity in commerce. Processing includes preparation of the EPCRA Section 313 chemicals in the same physical state or chemical form as that received by your facility, or preparation that produces a change in physical state or chemical form. The term also applies to the processing of a mixture or other trade name product (see Section B.4.b of these instructions) that contains a listed EPCRA Section 313 chemical as one component.

**Otherwise Use:** The term “*otherwise use*” means any use of an EPCRA Section 313 chemical, including an EPCRA Section 313 chemical contained in a mixture or other trade name product or waste, that is not covered by the terms manufacture or process. Otherwise use of an EPCRA Section 313

chemical includes disposal, stabilization (without subsequent distribution in commerce), or treatment for destruction if:

(1) The EPCRA Section 313 chemical that was disposed of, stabilized, or treated for destruction was received from off-site for the purposes of further waste management;

Or

(2) The EPCRA Section 313 chemical that was disposed of, stabilized, or treated for destruction was manufactured as a result of waste management activities on materials received from off-site for the purposes of waste management activities. Relabeling or redistributing of the EPCRA Section 313 chemical where no repackaging of the EPCRA Section 313 chemical occurs does not constitute an otherwise use or processing of the EPCRA Section 313 chemical. (See 62 FR 23846 and Part II, Section 3.3 of these instructions for further clarification).

#### **Example 1: Coincidental Manufacture**

- ❑ Your company, a nitric acid manufacturer, uses aqueous ammonia in a waste treatment system to neutralize an acidic wastewater stream containing nitric acid. The reaction of ammonia and nitric acid produces a solution of ammonium nitrate. Ammonium nitrate (solution) is reportable under the nitrate compounds category and is manufactured as a byproduct. If the ammonium nitrate is produced in a quantity that exceeds the 25,000-pound manufacturing threshold, the facility must report under the nitrate compounds category.

The aqueous ammonia is considered to be otherwise used and 10 percent of the total aqueous ammonia would be counted towards the 10,000-pound otherwise use threshold. Reports for releases of ammonia must also include 10 percent of the total aqueous ammonia from the solution of ammonium nitrate (see the qualifier for the ammonia listing).

- ❑ As another example, combustion of coal or other fuel in boilers/furnaces can result in the coincidental manufacture of metal category compounds and sulfuric acid (acid aerosols), hydrochloric acid (acid aerosols), and hydrogen fluoride.

**Example 2: Typical Process and Manufacture Activities**

- Your company receives toluene, an EPCRA Section 313 chemical, from another facility, and reacts the toluene with air to form benzoic acid, which the company distributes in commerce. Your company processes toluene and manufactures and processes benzoic acid. Benzoic acid, however, is not an EPCRA Section 313 chemical and thus does not trigger reporting requirements.
- Your facility combines toluene purchased from a supplier with various materials to form paint which it then sells. Your facility processes toluene.
- Your company receives a nickel compound (nickel compounds is a listed EPCRA Section 313 chemical category) as a bulk solid and performs various size-reduction operations (e.g., grinding) before packaging the compound in 50-pound bags, which the company sells. Your company processes the nickel compound.
- Your company receives a prepared mixture of resin and chopped fiber to be used in the injection molding of plastic products. The resin contains a listed EPCRA Section 313 chemical that becomes incorporated into the plastic, which the company distributes in commerce. Your facility processes the EPCRA Section 313 chemical.
- In the combustion of coal or oil, metal category compounds may be produced from either the parent metal or a metal compound contained in the coal or oil. If a metal undergoes a change of valence, a metal compound is considered to be manufactured. For example, during the combustion process copper in valence state zero changes to copper in valence state +2 in a compound such as copper (II) oxide (CuO). Furthermore, a metallic compound could be transformed to another metallic compound without a change in valency (e.g., copper (II) chloride (CuCl<sub>2</sub>) is transformed to copper (II) oxide (CuO)). The transformation to a new compound by combustion without a change in valence state is also considered to be “manufactured” for purposes of EPCRA Section 313.

**Example 3: Typical Otherwise Use Activities**

- When your facility cleans equipment with toluene, you are otherwise using toluene. Your facility also separates two components of a mixture by dissolving one component in toluene, and subsequently recovers the toluene from the process for reuse or disposal. Your facility otherwise uses toluene.
- A covered facility receives a waste containing 12,000 pounds of Chemical A, a non-PBT EPCRA Section 313 chemical, from off-site. The facility treats the waste, destroying Chemical A and in the treatment process manufactures 10,500 pounds of Chemical B, another non-PBT EPCRA Section 313 chemical. Chemical B is disposed of on-site. Since the waste containing Chemical A was received from off-site for the purpose of waste management, the amount of Chemical A must be included in the otherwise use threshold determination for Chemical A. The otherwise use threshold for a non-PBT chemical is 10,000 pounds and since the amount of Chemical A exceeds this threshold, all releases and other waste management activities for Chemical A must be reported. Chemical B was manufactured in the treatment of a waste received from off-site. The facility disposed of Chemical B on-site. Since Chemical B was generated from waste received from off-site for treatment for destruction, disposal, or stabilization, the disposal of Chemical B is considered to be an otherwise use. Thus, the amount of Chemical B must be considered in the otherwise use threshold determination. Thus, the reporting threshold for Chemical B has also been exceeded and all releases and other waste management activities for Chemical B must be reported.



### **B.3.b. Persistent Bioaccumulative Toxic (PBT) Chemicals and Chemical Categories Overview**

On October 29, 1999, EPA published a final rule (64 FR 58666) adding certain chemicals and chemical categories to the EPCRA Section 313 list of toxic chemicals and lowering the reporting threshold for persistent bioaccumulative toxic (PBT) chemicals. In addition, on January 17, 2001, EPA published a final rule (66 FR 4500) that classified lead and lead compounds as PBT chemicals and lowered their reporting thresholds. The lower reporting thresholds for lead applies to all lead except when lead is contained in a stainless steel, brass or bronze alloy.

Dioxin and dioxin-like compounds, lead compounds, mercury compounds and polycyclic aromatic compounds (PACs) are the four PBT chemical categories with lower reporting thresholds. The 17 members of the dioxin and dioxin-like compounds category and the 21 members of the PACs category are listed in Table IIc of these instructions. The dioxin and dioxin-like compounds category has the qualifier, “Manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacturing of that chemical.”

EPA has added six individual chemicals to the EPCRA Section 313 list of toxic chemicals that also had their thresholds lowered:

- benzo(g,h,i)perylene,
- benzo(j,k)fluorene (fluoranthene),
- 3-methylcholanthrene,
- octachlorostyrene,
- pentachlorobenzene, and
- tetrabromobisphenol A (TBBPA).

Benzo(j,k)fluorene and 3-methyl-cholanthrene were added as members of the polycyclic aromatic compounds (PACs) chemical category.

EPA lowered the reporting thresholds for PBT chemicals to either 100 pounds, 10 pounds, or in the case of the dioxin and dioxin-like compounds chemical category, to 0.1 grams. The table at the beginning of Section B.4 of these instructions lists the applicable manufacture, process, and otherwise use thresholds for the listed PBT chemicals.

EPA eliminated the *de minimis* exemption for all PBT chemicals (except lead when contained in stainless steel, brass or bronze alloy). However, this action does not affect the applicability of the *de minimis* exemption to the supplier notification requirements (40 CFR Section 372.45(d) (1)). In addition, PBT chemicals are ineligible for range reporting for on-site releases and transfers off-site for further waste management. This will not affect the applicability of range reporting of the maximum amount on-site as required by EPCRA Section 313(g).

All releases and other waste management quantities greater than 0.1 pounds of a PBT chemical (except the dioxin and dioxin like compounds chemical category) should be reported at a level of precision supported by the accuracy of the underlying data and estimation techniques on which the estimate is based. If a facility’s release or other waste management estimates support reporting an amount that is more precise than whole numbers, then the more precise amount should be reported.

PBT chemical values of  $\leq 0.1$  pounds (e.g., 0.07 pounds) should either be rounded up to 0.1 pound or reported as they are if the underlying data and estimation techniques support that level of precision. It is up to the facility to determine, based on the accuracy of the underlying data and the estimation techniques on which the estimate is based, whether it would be appropriate to round the value to 0.1 pound, report the value as is, or round the value to zero.

For the dioxin and dioxin-like compounds chemical category, which has a reporting threshold of 0.1 grams, facilities need only report all release and other waste management quantities greater than 100 micrograms (i.e., 0.0001 grams). Notwithstanding the numeric precision used when determining reporting eligibility thresholds, facilities should report on the Form R to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA’s reporting software and data management systems support data precision to seven digits to the right of the decimal. If a facility has information on the individual members of the dioxin and dioxin-like compounds category they will also need to report the release and transfer quantities of each congener (see instructions in Section D).

### **Lead and Lead Compounds**

Lead and lead compounds are classified as PBT chemicals and are subject to the lower manufacturing, processing and otherwise use threshold of 100 pounds. However, when lead is contained in stainless steel, brass, or bronze alloys it remains subject to the higher 25,000 pound manufacturing and processing thresholds and the 10,000 pound otherwise use threshold.

Listed below are some important guidelines to use when calculating threshold and release and other waste management quantities for lead and lead compounds:

- 1) quantities of lead not contained in stainless steel, brass or bronze alloy are applied to both the 100-pound threshold and the 25,000/10,000 pound thresholds;
- 2) quantities of lead that are contained in stainless steel, brass or bronze alloys are only applied toward the 25,000/10,000 pound thresholds;
- 3) a facility may take the *de minimis* exemption for those quantities of lead in stainless steel, brass, or bronze alloys that meet the *de minimis* standard (e.g., manufactured as an impurity). Accordingly, the *de minimis* exemption may be considered for quantities of lead in stainless steel, brass, or bronze alloys but it may not be considered for lead not in stainless steel, brass, or bronze alloys;
- 4) If a facility exceeds the 100-pound threshold for lead other than in stainless steel, brass, or bronze alloys, the facility may not apply Form A Certification Statement eligibility for non-PBTs, range reporting in Sections 5 and 6 of the Form R or the use of whole numbers and 2 significant digits to any of the lead they report. If a facility that exceeds the 25,000/10,000 pound threshold for lead in stainless steel, brass, or bronze alloy without tripping the 100-pound threshold for non-alloyed lead, the facility may consider the Form A requirements for non-PBTs, range reporting in Sections 5 and 6 of the Form R, and the use of whole numbers and 2 significant digits.

### **B.3.c. Activity Exemptions**

**Otherwise Use Exemptions.** Certain otherwise uses of listed EPCRA Section 313 chemicals are specifically exempted:

- Otherwise use as a structural component of the facility;
- Otherwise use in routine janitorial or facility grounds maintenance;
- Personal uses by employees or other persons;
- Otherwise use of products containing EPCRA Section 313 chemicals for the purpose of maintaining motor vehicles operated by the facility; and

Otherwise use of EPCRA Section 313 chemicals contained in intake water (used for processing or non-contact cooling) or in intake air (used either as compressed air or for combustion).

The exemption of an EPCRA Section 313 chemical otherwise used 1) as a structural component of the facility; or 2) in routine janitorial or facility grounds maintenance; or 3) for personal use by an employee cannot be taken for activities involving process related equipment.

**Articles Exemption.** EPCRA Section 313 chemicals contained in articles that are processed or otherwise used at a covered facility are exempt from threshold determinations and release and other waste management calculations. The exemption applies when the facility receives the article from another facility or when the facility produces the article itself. The exemption applies only to the quantity of EPCRA Section 313 chemical present in the article. If the EPCRA Section 313 chemical is manufactured (including imported), processed, or otherwise used at the covered facility other than as part of the article, in excess of an applicable threshold quantity, the facility is required to report that use of a chemical (40 CFR Section 372.38(b)). For an EPCRA Section 313 chemical in an item to be exempt as part of the article, the item must meet all the following criteria in the EPCRA Section 313 article definition; that is, it must be a manufactured item (1) which is formed to a specific shape or design during manufacture, (2) which has end use functions dependent in whole or in part upon its shape or design during end use, and (3) which does not release a toxic chemical under normal conditions of processing or use of the item at the facility.

If the processing or otherwise use of all like items results in a total release of 0.5 pound or less of an EPCRA Section 313 chemical in a reporting year to

any environmental medium, EPA will allow this release to be rounded to zero, and the manufactured items retain their article status. The 0.5 pound threshold does not apply to each individual article, but applies to the sum of all releases from processing or otherwise use of all like articles. If all the releases of like articles over a reporting year are completely captured and recycled/reused on-site or off-site, those items retain their article status. Any amount that is released and is not recycled/reused will count toward the 0.5 pound per year cut off value.

The articles exemption applies to the normal processing or use of articles. This exemption does not apply to the manufacture of the article. EPCRA Section 313 chemicals incorporated into articles produced at a facility must be factored into threshold determinations and release and other waste management calculations.

#### **Example 4: Articles Exemption**

- ❑ Nickel that is incorporated into a brass doorknob is processed to manufacture the brass doorknob, and therefore must be counted toward threshold determinations and release and other waste management calculations. However, the use of the brass doorknobs elsewhere in the facility does not have to be counted. Disposal of the brass doorknob after its use does not constitute a “release;” thus, the brass doorknob remains an article.
- ❑ If an item used in the facility is fragmented, the item is still an article if those fragments being discarded remain identifiable as the article (e.g., recognizable pieces of a cylinder, pieces of wire). For instance, an eight-foot piece of wire is cut into two four-foot pieces of wire, without releasing any EPCRA Section 313 chemicals. Each four-foot piece is identifiable as a piece of wire; therefore, the article status for these pieces of wire remains intact.
- ❑ EPCRA Section 313 chemicals received in the form of pellets are not articles because the pellet form is simply a convenient form for further processing of the material.

If, in the course of processing or use, an item retains its initial thickness or diameter, in whole or in part, it meets the first part (i.e., it must be a manufactured item which is formed to a specific shape or design during manufacture) of the article definition. If the item’s basic dimensional characteristics are totally altered during processing or otherwise use, the item does not meet the first part of the definition. An example of items that do not meet the definition would be items that are cold extruded, such as lead ingots, which are formed into wire or rods. On the other hand, cutting a manufactured item into pieces that are recognizable as the article would not change the original dimensions as long as the diameter or the thickness of the item remained the same; the articles exemption would continue to apply. Metal wire may be bent and sheet metal may be cut, punched, stamped, or pressed without losing their article status as long as the diameter of the wire or tubing or the thickness of the sheet is not totally changed.

What constitutes a release of an EPCRA Section 313 chemical is important since processing or otherwise use of articles that result in a release to the environment (or more than 0.5 pounds) negate the article status and precludes eligibility for the exemption. Cutting, grinding, melting, or other processing of manufactured items could result in a release of an EPCRA Section 313 chemical during normal conditions of processing or otherwise use and therefore negate the exemption as articles.

**De Minimis Exemption.** The *de minimis* exemption allows facilities to disregard certain minimal concentrations of non-PBT chemicals in mixtures or other trade name products when making threshold determinations and release and other waste management calculations. The *de minimis* exemption does not apply to the manufacture of an EPCRA Section 313 chemical except if that EPCRA Section 313 chemical is manufactured as an impurity and remains in the product distributed in commerce, or if the EPCRA Section 313 chemical is imported below the appropriate *de minimis* level. The *de minimis* exemption does not apply to a byproduct manufactured coincidentally as a result of manufacturing, processing, otherwise use, or any waste management activities. The *de minimis* exemption does not apply to any PBT chemical (except lead when it is contained in stainless steel, brass or bronze alloy) or PBT chemical category. A



list of PBT chemicals may be found in Section B.4 of these instructions.

When determining whether the *de minimis* exemption applies to an EPCRA Section 313 chemical, the owner/operator must consider the concentration of the non-PBT EPCRA Section 313 chemical in mixtures and other trade name products. If the non-PBT EPCRA Section 313 chemical in a mixture or other trade name product is manufactured as an impurity, imported, processed, or otherwise used and is below the appropriate *de minimis* concentration level, then the quantity of the non-PBT EPCRA Section 313 chemical in that mixture or other trade name product does not have to be applied to threshold determinations nor included in release or other waste management determinations. If a non-PBT EPCRA Section 313 chemical in a mixture or other trade name product is below the appropriate *de minimis* level, all releases and other waste management activities associated with the EPCRA Section 313 chemical in that mixture or other trade name product are exempt from EPCRA Section 313 reporting. It is possible to meet an activity (e.g., processing) threshold for an EPCRA Section 313 chemical on a facility wide basis, but not be required to calculate releases or other waste management quantities associated with a particular process because that process involves only mixtures or other trade name products containing the non-PBT EPCRA Section 313 chemical below the *de minimis* level.

EPA interprets the *de minimis* exemption such that once a non-PBT EPCRA Section 313 chemical concentration is at or above the appropriate *de minimis* level in the mixture or other trade name product threshold determinations and release and other waste management calculations must be made, even if that chemical later falls below the *de minimis* level in the same mixture or other trade name product. Thus, EPA considers reportable all releases and other quantities managed as waste that occur after the *de minimis* level has been met or exceeded. If an EPCRA Section 313 chemical in a mixture or

other trade name product at or above *de minimis* is brought on-site, the *de minimis* exemption never applies.

*De minimis* levels for non-PBT EPCRA Section 313 chemicals and chemical categories are set at concentration levels of either 1 percent or 0.1 percent; PBT chemicals and chemical categories do not have *de minimis* levels with regard to this exemption. The 0.1 percent *de minimis* levels are dictated by determinations made by the National Toxicology Program (NTP) in its Annual Report on Carcinogens, the International Agency for Research on Cancer (IARC) in its Monographs, or 29 CFR part 1910, subpart Z. Therefore, once a non-PBT chemical's status under NTP, IARC, or 29 CFR part 1910, subpart Z indicates that the chemical is a carcinogen or potential carcinogen, the reporting facility may disregard levels of the chemical below the 0.1 percent *de minimis* concentration provided that the other criteria for the *de minimis* exemption are met. *De minimis* levels for chemical categories apply to the total concentration of all chemicals in the category within a mixture, not the concentration of each individual category member within the mixture.

#### *De Minimis* Application to the Processing or Otherwise Use of a Mixture

The *de minimis* exemption applies to the processing or otherwise use of a non-PBT EPCRA Section 313 chemical in a mixture. Threshold determinations and release and other waste management calculations begin at the point where the chemical meets or exceeds the *de minimis* level. If a non-PBT EPCRA Section 313 chemical is present in a mixture at a concentration below the *de minimis* level, this quantity of the substance does not have to be included for threshold determinations, release and other waste management reporting, or supplier notification requirements. The exemption will apply as long as the mixture containing *de minimis* amounts of a non-PBT EPCRA Section 313 chemical never equals or goes above the *de minimis* limit.

**Example 5: *De Minimis* Applications to Process and Otherwise Use Scenarios for Non-PBT Chemicals**

There are many cases in which the *de minimis* “limit” is crossed or re-crossed by non-PBT chemicals within a process or otherwise use scenario. The following examples are meant to illustrate these complex reporting scenarios.

**Increasing Concentration To or Above *De Minimis* Levels During Processing for Non-PBT Chemicals**

A manufacturing facility receives toluene that contains chlorobenzene at a concentration below its *de minimis* limit. Through distillation, the chlorobenzene content in process streams is increased over the *de minimis* concentration of 1 percent. From the point at which the chlorobenzene concentration equals 1 percent in process streams, the amount present must be factored into threshold determinations and release and other waste management estimates. The facility does not need to consider the amount of chlorobenzene in the raw material when below *de minimis* levels, i.e., prior to distillation to 1 percent, when making threshold determinations. The facility does not have to report emissions of chlorobenzene from storage tanks or any other equipment associated with that specific process where the chlorobenzene content is less than 1 percent.

**Fluctuating Concentration During Processing for Non-PBT Chemicals**

A manufacturer produces an ink product that contains toluene, an EPCRA Section 313 chemical, below the *de minimis* level. The process used causes the percentage of toluene in the mixture to fluctuate: it rises above the *de minimis* level for a time but drops below the level as the process winds down. The facility must consider the chemical toward threshold determinations from the point at which it first equals the *de minimis* limit. Once the *de minimis* limit has been met the exemption cannot be taken.

**Concentration Ranges Straddling the *De Minimis* Value**

There may be instances in which the concentration of a non-PBT chemical is given as a range straddling the *de minimis* limit. Example 6 illustrates how the *de minimis* exemption should be applied in such a scenario.

***De Minimis* Application in the Manufacture of the Listed Chemical in a Mixture**

The *de minimis* exemption generally does not apply to the manufacturing of an EPCRA Section 313 chemical. However, the *de minimis* exemption may apply to mixtures and other trade name products containing non-PBT EPCRA Section 313 chemicals that are imported into the United States. (See Example 5)

The exemption also applies to non-PBT EPCRA Section 313 chemicals that are manufactured as

impurities that remain in the product distributed in commerce below the *de minimis* levels. The amount remaining in the product is exempt from threshold determinations. If the chemical is separated from the final product, it cannot qualify for the exemption. Any amount that is separated, or is separate, from the product, is considered a byproduct and is subject to threshold determinations and release and other waste management calculations. Any amount of an EPCRA Section 313 chemical that is manufactured in a waste stream must be considered toward threshold determinations and release and other waste management calculations and accounted for on Form R even if that chemical is manufactured below the *de minimis* level.

The *de minimis* exemption also does not apply to situations where a toxic chemical in waste is diluted to below the *de minimis* level.

**Example 6: Concentration Ranges Straddling the *De Minimis* Value**

**Scenario 1:** A facility processes 8,000,000 pounds of a mixture containing 0.25 to 1.25 percent manganese. Manganese is eligible for the *de minimis* exemption at concentrations up to 1 percent. The amount of mixture subject to reporting is the quantity containing manganese at or above the *de minimis* concentration:

$$[(8,000,000) \times (1.25\% - 0.99\%)] \div (1.25\% - 0.25\%)$$

The average concentration of manganese that is not exempt (above the *de minimis*) is:

$$(1.25\% + 1.00\%) \div (2)$$

Therefore, the amount of manganese that is subject to threshold determination and release and other waste management estimates is:

$$\left[ \frac{(8,000,000) \times (1.25\% - 0.99\%)}{(1.25\% - 0.25\%)} \right] \times \left[ \frac{(1.25\% + 1.00\%)}{(2)} \right] = 23,400 \text{ pounds}$$

$$= 23,400 \text{ pounds manganese (which is below the processing threshold for manganese)}$$

In this scenario, because the facility's information pertaining to manganese was available to two decimal places, 0.99 was used to determine the amount below the *de minimis* concentrations. If the information was available to one decimal place, 0.9 should be used, as in the scenario below.

**Scenario 2:** As in the previous example, manganese is present in a mixture, of which 8,000,000 pounds is processed. The SDS states the mixture contains 0.2 percent to 1.2 percent manganese. The amount of mixture subject to reporting (at or above *de minimis* limit) is:

$$[(8,000,000) \times (1.2\% - 0.9\%)] \div (1.2\% - 0.2\%)$$

The average concentration of manganese that is not exempt (at or above *de minimis* limit) is:

$$(1.2\% + 1.0\%) \div (2)$$

Therefore, the amount of manganese that is subject to threshold determinations and release and other waste management estimates is:

$$\left[ \frac{(8,000,000) \times (1.2\% - 0.9\%)}{(1.2\% - 0.2\%)} \right] \times \left[ \frac{(1.2\% + 1.0\%)}{(2)} \right] = 26,400 \text{ pounds} = 26,400 \text{ pounds manganese}$$

(which is above the processing threshold for manganese)

**Example 7: *De Minimis* Application in the Manufacture of a Toxic Chemical in a Mixture**

**Manufacture as a Product Impurity**

Toluene 2,4 diisocyanate reacts with trace amounts of water to form trace quantities of 2,4-diaminotoluene. The resulting product contains 99 percent toluene 2,4-diisocyanate and 0.05 percent 2,4-diaminotoluene. The 2,4 diaminotoluene would not be subject to EPCRA Section 313 reporting nor would supplier notification be required because the concentration of 2,4- diaminotoluene is below its *de minimis* limit of 0.1 percent in the product.

**Manufacture as a Commercial Byproduct and Impurity**

Chloroform is a reaction byproduct in the production of carbon tetrachloride. It is removed by distillation to a concentration of less than 150 ppm (0.0150 percent) remaining in the carbon tetrachloride. The separated chloroform at 90 percent concentration is sold as a byproduct. Chloroform is subject to a 0.1 percent (1000 ppm) *de minimis* limit. Any amount of chloroform manufactured and separated as byproduct must be included in threshold determinations because EPA does not interpret the *de minimis* exemption to apply to the manufacture of a chemical as a byproduct. Releases of chloroform prior to and during purification of the carbon tetrachloride must be reported. The *de minimis* exemption can, however, be applied to the chloroform remaining in the carbon tetrachloride as an impurity. Because the concentration of chloroform remaining in the carbon tetrachloride is below the *de minimis* limit, this quantity of chloroform is exempt from threshold determinations, release and other waste management reporting, and supplier notification.

**Manufacture as a Waste Byproduct**

A small amount of formaldehyde is manufactured as a reaction byproduct during the production of phthalic anhydride. The formaldehyde is separated from the phthalic anhydride as a waste gas and burned, leaving no formaldehyde in the phthalic anhydride. The amount of formaldehyde produced and removed must be included in threshold determinations and release and other waste management estimates even if the formaldehyde were present below the *de minimis* level in the process stream where it was manufactured or in the waste stream to which it was separated because EPA does not interpret mixtures and trade name products to include wastes.

**Laboratory Activities Exemption.** EPCRA Section 313 chemicals that are manufactured, processed, or otherwise used in a laboratory at a covered facility under the direct supervision of a technically qualified individual do not have to be considered for threshold determinations and release and other waste management calculations. However, pilot plant scale and specialty chemical production does not qualify for this laboratory activities exemption, nor does the use of EPCRA Section 313 chemicals for laboratory support activities, such as the use of chemicals for equipment maintenance.

**Coal Extraction Activities Exemption.** If an EPCRA Section 313 chemical is manufactured, processed, or otherwise used in extraction by facilities in NAICS codes 212111, 212112 and

212113, a person is not required to consider the quantity of the EPCRA Section 313 chemical so manufactured, processed, or otherwise used when considering threshold determinations and release and other waste management calculations (see Example 8). Reclamation activities occurring simultaneously with coal extraction activities (e.g., cast blasting) are included in the exemption. However, otherwise use of ash, waste rock, or fertilizer for reclamation purposes are not considered part of extraction; non-exempt amounts of EPCRA Section 313 chemicals contained in these materials must be considered toward threshold determinations and release and other waste management calculations.

**Metal Mining Overburden Exemption.** If an EPCRA Section 313 chemical that is a constituent of

overburden is processed or otherwise used by facilities in NAICS codes 212221, 212222, 212230, and 212299, a person is not required to consider the quantity of the EPCRA Section 313 chemical so processed or otherwise used when considering threshold determinations and release and other waste management calculations.

For purposes of EPCRA Section 313 reporting, overburden is the unconsolidated material that overlies a deposit of useful material or ore. It does not include any portion of the ore or waste rock.

**Example 8: Coal mining extraction activities**

Included among these are explosives for blasting operations, solvents, lubricants, and fuels for extraction related equipment maintenance and use, as well as overburden and mineral deposits. The EPCRA Section 313 chemicals contained in these materials are exempt from threshold determinations and release and other waste management calculations, when manufactured, processed or otherwise used during extraction activities at coal mines.

**B.4 Threshold Determinations**

EPCRA Section 313 reporting is required if threshold quantities are exceeded. Separate thresholds apply to the amount of the EPCRA Section 313 chemical that is manufactured, processed or otherwise used.

You must submit a report for any EPCRA Section 313 chemical that is not listed as a PBT chemical and which is:

- Manufactured in excess of 25,000 pounds over the calendar year;
- Processed in excess of 25,000 pounds over the calendar year; or
- Otherwise used in excess of 10,000 pounds over the calendar year.

The PBT chemical names, Chemical Abstracts Service (CAS) numbers and their reporting thresholds are listed in the table below. See Table IIc of these instructions for lists of individual members of the dioxin and dioxin-like category, the polycyclic aromatic compounds (PACs) chemical category, and the hexabromocyclododecane category.

Chemical or chemical category name	CAS number or chemical category code	Threshold (lb, unless noted otherwise)
Aldrin	309-00-2	100
Benzo[g,h,i]perylene	191-24-2	10
Chlordane	57-74-9	10
Dioxin and dioxin-like compounds category (manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds category if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacturing of that chemical)	N150	0.1 gram
Heptachlor	76-44-8	10
Hexabromocyclododecane category	N270	100
Hexachlorobenzene	118-74-1	10
Isodrin	465-73-6	10
Lead (this lower threshold does not apply to lead when it is contained in stainless steel, brass or bronze alloy)	7439-92-1	100
Lead compounds category	N420	100
Mercury	7439-97-6	10
Mercury compounds category	N458	10
Methoxychlor	72-43-5	100
Octachlorostyrene	29082-74-4	10
Pendimethalin	40487-42-1	100
Pentachlorobenzene	608-93-5	10
Polychlorinated biphenyls (PCBs)	1336-36-3	10
Polycyclic aromatic compounds category (PACs)	N590	100
Tetrabromobisphenol A	79-94-7	100
Toxaphene	8001-35-2	10
Trifluralin	1582-09-8	100

### B.4.a. How to Determine if Your Facility Has Exceeded Thresholds

To determine whether your facility has exceeded an EPCRA Section 313 reporting threshold, compare quantities of EPCRA Section 313 chemicals that you manufacture, process, or otherwise use to the respective thresholds for those activities. A worksheet is provided in Figure 4A to assist facilities in determining whether they exceed any of the reporting thresholds for non-PBT chemicals; Figures 4B-D provide worksheets for PBT chemicals. (The worksheets can be found at the end of section B.5.) These worksheets also provide a format for maintaining reporting facility records. Use of these worksheets is not required and the completed worksheet(s) should not accompany Form R reports submitted to EPA and the state or tribe. Additionally, EPA provides an online threshold screening tool at:

<https://www.epa.gov/toxics-release-inventory-tri-program/tri-threshold-screening-tool>.

Complete the appropriate worksheet for each EPCRA Section 313 chemical or chemical category. Base your threshold determination for EPCRA Section 313 chemicals with qualifiers only on the quantity of the EPCRA Section 313 chemical satisfying the qualifier.

Use of the worksheets is divided into three steps:

- *Step 1* allows you to record the gross amount of the EPCRA Section 313 chemical or chemical category involved in activities throughout the facility. Pure forms as well as the amounts of the EPCRA Section 313 chemical or chemical category present in mixtures or other trade name products must be considered. The types of activity (i.e., manufacturing, processing, or otherwise using) for which the EPCRA Section 313 chemical is used must be identified because separate thresholds apply to each of these activities. A record of the information source(s) used should be kept. Possible information sources include purchase records, inventory data, and calculations by a process engineer. The data collected in Step 1 will be totaled for each activity to identify the overall amount of the EPCRA Section 313 chemical or chemical category manufactured (including imported), processed, or otherwise used.



- *Step 2* allows you to identify uses of the EPCRA Section 313 chemical or chemical category that were included in *Step 1* but are exempt under EPCRA Section 313. Do not include in *Step 2* exempt quantities of the EPCRA Section 313 chemical not included in the calculations in *Step 1*. For example, if Freon contained in the building's air conditioners was not reported in *Step 1*, you would not include the amount as exempt in *Step 2*. *Step 2* is intended for use when a quantity or use of the EPCRA Section 313 chemical is exempt while other quantities require reporting. Note the type of exemption for future reference. Also identify, if applicable, the fraction or percentage of the EPCRA Section 313 chemical present that is exempt. Add the amounts in each activity to obtain a subtotal for exempted amounts of the EPCRA Section 313 chemical or chemical categories at the facility.

*Step 3* involves subtracting the result of *Step 2* from the results of *Step 1* for each activity. Compare this net sum to the applicable activity threshold. If the threshold is exceeded for any of the three activities, a facility must submit a Form R for that EPCRA Section 313 chemical or chemical category. Do not sum quantities of the EPCRA Section 313 chemical that are manufactured, processed, and otherwise used at your facility, because each of these activities requires a separate threshold determination. For example, if in a calendar year you processed 20,000 pounds of a non-PBT EPCRA Section 313 chemical and you otherwise used 6,000 pounds of that same chemical, your facility has not exceeded any applicable threshold and thus is not required to report for that chemical.

Worksheets should be retained to document your determination for reporting or not reporting, but should not be submitted with the report.

You must submit a report if you exceed any threshold for any EPCRA Section 313 chemical or chemical category. For example, if your facility processes 22,000 pounds of a non-PBT EPCRA Section 313 chemical and also otherwise uses 16,000 pounds of that same chemical, it has exceeded the otherwise use threshold (10,000 pounds for a non-PBT chemical) and your facility must report even though it did not exceed the process threshold (25,000 pounds for a non-PBT chemical). In preparing your reports, you must consider all non-exempted activities and all

releases and other waste management quantities of the EPCRA Section 313 chemical from your facility, not just releases and other waste management quantities from the otherwise use activity.

Also note that threshold determinations are based upon the actual amounts of an EPCRA Section 313 chemical manufactured, processed, or otherwise used over the course of the calendar year. The threshold determination may not relate to the amount of an EPCRA Section 313 chemical brought on-site during the calendar year. For example, if a stockpile of 100,000 pounds of a non-PBT EPCRA Section 313 chemical is present on-site but only 20,000 pounds of that chemical is applied to a process, only the 20,000 pounds processed is counted toward a threshold determination, not the entire 100,000 pounds of the stockpile.

#### **B.4.b. Threshold Determinations for On-Site Reuse Operations**

Threshold determinations of EPCRA Section 313 chemicals that are reused at the facility are based only on the amount of the EPCRA Section 313 chemical that is added during the year, not the total volume in the system. For example, a facility operates a refrigeration unit that contains 15,000 pounds of anhydrous ammonia at the beginning of the year. The system is charged with 2,000 pounds of anhydrous ammonia during the year. The facility has therefore "otherwise used" only 2,000 pounds of anhydrous ammonia, a non-PBT EPCRA Section 313 chemical, which is below the otherwise use threshold for anhydrous ammonia and is not required to report (unless there are other "otherwise use" activities of ammonia, that when taken together, exceed the reporting threshold). If, however, the whole refrigeration unit was recharged with 15,000 pounds of anhydrous ammonia during the year, then the facility would have exceeded the otherwise use threshold, and would be required to report.

This does not apply to EPCRA Section 313 chemicals "recycled" or "reused" off-site and returned to a facility. Such EPCRA Section 313 chemicals returned to a facility are treated as the equivalent of newly purchased material for purposes of EPCRA Section 313 threshold determinations.

#### **B.4.c. Threshold Determinations for Ammonia**

The listing for ammonia includes the modifier “includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing.” The qualifier for ammonia means that anhydrous forms of ammonia are 100 percent reportable and aqueous forms are limited to 10 percent of total aqueous ammonia. Therefore, when determining threshold quantities, 100 percent of anhydrous ammonia is included but only 10 percent of total aqueous ammonia is included. If any ammonia evaporates from aqueous ammonia solutions, 100 percent of the evaporated ammonia is included in threshold determinations.

For example, if a facility processes aqueous ammonia, it has processed 100 percent of the aqueous ammonia in that solution. If the ammonia remains in solution, then 10 percent of the total aqueous ammonia is counted towards the threshold. If there are any evaporative losses of anhydrous ammonia, then 100 percent of those losses must be counted towards the processing threshold. If the manufacturing, processing, or otherwise use threshold for the ammonia listing is exceeded, the facility must report 100 percent of these evaporative losses in Sections 5 and 8 of the Form R.

#### **B.4.d. Threshold Determinations for Chemical Categories**

A number of chemical compound categories are subject to reporting. See Table IIc for a listing of these EPCRA Section 313 chemical categories. When preparing threshold determinations for one of these EPCRA Section 313 chemical categories, all individual members of a category that are manufactured, processed, or otherwise used must be counted. Where generic names are used at a facility, threshold determinations should be based on CAS numbers. For example, Poly-Solv EB does not appear among the reportable chemicals in Table IIa or IIb but its CAS number indicates Poly-Solv EB is a synonym for ethylene glycol mono-n-butyl ether, a member of the certain glycol ethers chemical category (code N230). For chemical compound categories, threshold determinations must be made separately for each of the three activities. Do not include in these threshold determinations for a

category any chemicals that are also individually listed EPCRA Section 313 chemicals (see Table IIa or IIb). Individually listed EPCRA Section 313 chemicals are subject to their own individual threshold determination.

#### **Organic Compounds**

For the organic compound categories, you are required to account for the entire weight of all compounds within a specific compound category (e.g., glycol ethers) at the facility for BOTH the threshold determination and release and other waste management estimates.

#### **Metal Category Compounds**

Threshold determinations for metal category compounds present a special case. If, for example, your facility processes several different nickel compounds, base your threshold determination on the total weight of all nickel compounds processed. However, if your facility processes both the “parent” metal (nickel) as well as one or more nickel compounds, you must make threshold determinations for both nickel (CAS number 7440-02-0) and nickel compounds (chemical category code N495) because they are separately listed EPCRA Section 313 chemicals. If your facility exceeds thresholds for both the parent metal and compounds of that same metal, EPA allows you to file one combined report (e.g., one report for nickel compounds, including nickel) because the release information you will report in connection with metal category compounds will be the total pounds of the metal released. If you file one combined report, you should put the name of the metal compound category on the Form R. In the example above, the facility that exceeded reporting thresholds for both the nickel and nickel compounds chemical category could submit a single Form R for the nickel compounds chemical category, which would contain release and other waste management information for both nickel and nickel compounds.

TRI-MEweb will prompt you to select a checkbox to indicate whether the form contains reports for both the parent metal and compounds of that same metal to indicate that the form contains reporting on both the parent metal and the metal compound (e.g., nickel and nickel compounds).

The case of metal category compounds involving more than one metal should be noted. Some metal category compounds may contain more than one



listed metal. For example, lead chromate is both a lead compound and a chromium compound. In such cases, if applicable thresholds are exceeded, you are required to file two separate reports, one for lead compounds and one for chromium compounds. Apply the total weight of the lead chromate to the threshold determinations for both lead compounds and chromium compounds. (Note: Only the quantity of each parent metal released or otherwise managed as waste, not the quantity of the compound, would be reported on the appropriate sections of both Form Rs. See section B.5.)

**Nitrate Compounds (water dissociable; reportable only when in aqueous solution)**

For the category nitrate compounds (water dissociable; reportable only when in aqueous solution), the entire weight of the nitrate compound is counted in making threshold determinations. A nitrate compound is covered by this listing only when in water and only if dissociated. If no information is available on the identity of the type of nitrate that is manufactured, processed or otherwise used, assume that the nitrate compound exists as sodium nitrate.

**B.4.e Threshold Determination for Persistent Bioaccumulative Toxic (PBT) Chemicals**

There are two separate thresholds for EPCRA Section 313 PBT chemicals; these thresholds are set based on the chemicals' potential to persist and bioaccumulate in the environment. The manufacturing, processing and otherwise use thresholds for PBT chemicals is 100 pounds, while for the subset of PBTs chemicals that are highly persistent and highly bioaccumulative, it is 10 pounds. One exception is the dioxin and dioxin-like compounds chemical category. The threshold for this category is 0.1 gram. The PBT chemicals, their CAS numbers or chemical category code, and their reporting thresholds are listed in a table in the introductory section of B.4. See Table IIc of these instructions for lists of individual members of the dioxin and dioxin-like compounds chemical category and the polycyclic aromatic compounds (PACs) chemical category.

**B.4.f. Mixtures and Other Trade Name Products**

EPCRA Section 313 chemicals contained in mixtures and other trade name products must be factored into threshold determinations and release and other waste management calculations.

If your facility processed or otherwise used mixtures or other trade name products during the calendar year, you are required to use the best readily available data (or reasonable estimates if such data are not readily available) to determine whether the toxic chemicals in a mixture meet or exceed the *de minimis* concentration and, therefore, whether they must be included in threshold determinations and release and other waste management calculations. If you know that a mixture or other trade name product contains a specific EPCRA Section 313 chemical, combine the amount of the EPCRA Section 313 chemical in the mixture or other trade name product with other amounts of the same EPCRA Section 313 chemical processed or otherwise used at your facility for threshold determinations and release and other waste management calculations. If you know that a mixture contains an EPCRA Section 313 chemical but it is present below the *de minimis* level, you do not have to consider the amount of the EPCRA Section 313 chemical present in that mixture for purposes of threshold determinations and release and other waste management calculations. PBT chemicals are not eligible for the *de minimis* exemption except lead when it is contained in stainless steel, brass or bronze alloy.

Observe the following guidelines in estimating concentrations of EPCRA Section 313 chemicals in mixtures when only limited information is available:

- If you only know the upper bound concentration, you must use it for threshold determinations (40 CFR Section 372.30(b)(ii)).
- If you know the lower and upper bound concentrations of an EPCRA Section 313 chemical in a mixture, EPA recommends you use the midpoint of these two concentrations for threshold determinations.
- If you know only the lower bound concentration, EPA recommends you subtract out the percentages of any other known components to

determine a reasonable upper bound concentration, and then determine a midpoint.

- If you have no information other than the lower bound concentration, EPA recommends you calculate a midpoint assuming an upper bound concentration of 100 percent.

### ***B.5 Release and Other Waste Management Determinations for Metals, Metal Category Compounds, and Nitrate Compounds***

#### **Metal Category Compounds**

Although the complete weight of the metal category compounds must be used in threshold determinations for the metal compounds category, only the weight of the metal portion of the metal category compound must be considered for release and other waste management determinations. Remember that for metal category compounds that consist of more than one metal, release and other waste management reporting must be based on the weight of each metal, provided that the appropriate thresholds have been exceeded.

#### **Metals and Metal Category Compounds**

For compounds within the metal compound categories, only the metal portion of the metal category compound must be considered in determining release and other waste management quantities for the metal category compounds. Therefore, if thresholds are separately exceeded for

both the “parent” metal and its compounds, EPA allows you to file a combined Form R for the “parent” metal and its category compounds. This Form R would contain all of the release and other waste management information for both the “parent” metal and metal portion of the related metal category compounds. For example, you exceed thresholds for chromium. You also exceed thresholds for chromium compounds. Instead of filing two Form Rs you can file one combined Form R. This Form R would contain information on quantities of chromium released or otherwise managed as waste and the quantities of the chromium portion of the chromium compounds released or otherwise managed as waste. When filing one combined Form R for an EPCRA Section 313 metal and metal compound category, facilities should identify the chemical reported as the metal compound category name and code in Section 1 of the Form R.

Note that these instructions do not apply to the Form A. See Section B.6.g for reporting instructions for reporting metals and metal category compounds using the Form A Certification Statement. See Appendix B for more information about reporting the release and other waste management of metals and metal compounds.

#### **Nitrate Compounds (water dissociable; reportable only in aqueous solution)**

Although the complete weight of the nitrate compound must be used for threshold determinations for the nitrate compounds category only the nitrate portion of the compound should be used for release and other waste management calculations.

**Example 9: Mixtures and Other Trade Name Products**

**Scenario #1:** Your facility otherwise uses 12,000 pounds of an industrial solvent (Solvent X) for equipment cleaning. The Safety Data Sheet (SDS) for the solvent indicates that it contains at least 50 percent n-hexane, an EPCRA Section 313 chemical; however, it also states that the solvent contains 20 percent non-hazardous surfactants. This is the only n-hexane-containing mixture used at the facility.

EPA recommends you follow these steps to determine if the quantity of the EPCRA Section 313 chemical in Solvent X exceeds the threshold for otherwise use.

- 1) Determine a reasonable maximum concentration for the EPCRA Section 313 chemical by subtracting out the non-hazardous surfactants (i.e.,  $100\% - 20\% = 80\%$ ).
- 2) Determine the midpoint between the known minimum (50%) and the reasonable maximum calculated above (i.e.,  $(80\% + 50\%)/2 = 65\%$ ).
- 3) Multiply total weight of Solvent X otherwise used by 65% (0.65).  
 $12,000 \text{ pounds} \times 0.65 = 7,800 \text{ pounds}$
- 4) Because the total amount of n-hexane otherwise used at the facility was less than the 10,000-pound otherwise use threshold, the facility is not required to file a Form R for n-hexane.

**Scenario #2:** Your facility otherwise used 15,000 pounds of Solvent Y to clean printed circuit boards. The SDS for the solvent lists only that Solvent Y contains at least 80 percent of an EPCRA Section 313 chemical that is only identified as chlorinated hydrocarbons.

EPA recommends you follow these steps to determine if the quantity of the EPCRA Section 313 chemical in the solvent exceeds the threshold for otherwise use.

- 1) Because the specific chemical is unknown, the Form R will be filed for “chlorinated hydrocarbons.” This name will be entered into Part II, Section 2.1, “Mixture Component Identity.” (Note: Because your supplier is claiming the EPCRA Section 313 chemical identity a trade secret, you do not have to file substantiation forms.)
- 2) The upper bound limit is assumed to be 100 percent and the lower bound limit is known to be 80 percent. Using this information, the specific concentration is estimated to be 90 percent (i.e., the midpoint between upper and lower limits).  
 $(100\% + 80\%)/2 = 90\%$
- 3) The total weight of Solvent Y is multiplied by 90 percent (0.90) when calculating for thresholds.  
 $15,000 \times 0.90 = 13,500$
- 4) Because the total amount of chlorinated hydrocarbons exceeds the 10,000-pound otherwise use threshold, you must file a Form R for this chemical.

*How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A*

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

EPCRA Section 313 Chemical or Chemical Category: \_\_\_\_\_

Prepared By: \_\_\_\_\_

CAS Registry Number: \_\_\_\_\_

Reporting Year: \_\_\_\_\_

Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.

Mixture Name or Other Identifier	Information Source	Total Weight (lb)	Percent EPCRA Section 313 Chemical by Weight	EPCRA Section 313 Chemical Weight (lb)	Amount of the EPCRA Section 313 Chemical or Chemical Category by Activity (lb):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
<b>Subtotal:</b>					(A) _____ lb	(B) _____ lb	(C) _____ lb

Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.

Mixture Name as Listed Above	Applicable Exemption (articles, facility, activity)	Fraction or Percent Exempt (if Applicable)	Amount of the EPCRA Section 313 Chemical Exempt from Above (lb):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
<b>Subtotal:</b>			(A <sub>1</sub> ) _____ lb	(B <sub>1</sub> ) _____ lb	(C <sub>1</sub> ) _____ lb

Amount subject to threshold:

(A-A<sub>1</sub>) \_\_\_\_\_ lb (B-B<sub>1</sub>) \_\_\_\_\_ lb (C-C<sub>1</sub>) \_\_\_\_\_ lb

Compare to threshold for EPCRA Section 313 reporting.

25,000 lb 25,000 lb 10,000 lb

If any threshold is exceeded, reporting is required for all activities. Keep this worksheet for your records.

Note: Chemicals listed as PBT have separate thresholds (dioxin and dioxin-like compounds chemical category = 0.1 g; highly persistent, highly bioaccumulative toxic chemicals = 10 lb; all other PBT chemicals = 100 lb). Make certain you are using the appropriate worksheet for the toxic chemical of concern.

*Figure 4A. EPCRA Section 313 Non-PBT Chemical Reporting Threshold Worksheet*

*How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A*

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

EPCRA Section 313 Chemical or Chemical Category: \_\_\_\_\_

Prepared By: \_\_\_\_\_

CAS Registry Number: \_\_\_\_\_

Reporting Year: \_\_\_\_\_

Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.

Mixture Name or Other Identifier	Information Source	Total Weight (lb)	Percent EPCRA Section 313 Chemical by Weight	EPCRA Section 313 Chemical Weight (lb)	Amount of the EPCRA Section 313 Chemical or Chemical Category by Activity (lb):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
<b>Subtotal:</b>					(A) _____ lb	(B) _____ lb	(C) _____ lb

Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.

Mixture Name as Listed Above	Applicable Exemption (articles, facility, activity) <sup>1</sup>	Fraction or Percent Exempt (if Applicable)	Amount of the EPCRA Section 313 Chemical Exempt from Above (lb):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
<b>Subtotal:</b>			(A <sub>1</sub> ) _____ lb	(B <sub>1</sub> ) _____ lb	(C <sub>1</sub> ) _____ lb

Amount subject to threshold:

(A-A<sub>1</sub>) \_\_\_\_\_ lb (B-B<sub>1</sub>) \_\_\_\_\_ lb (C-C<sub>1</sub>) \_\_\_\_\_ lb

Compare to threshold for EPCRA Section 313 reporting.

100 lb 100 lb 100 lb

If any threshold is exceeded, reporting is required for all activities. Keep this worksheet for your records.

<sup>1</sup> Chemicals listed as PBT are not eligible for the de minimis exemption.

*Figure 4B. EPCRA Section 313 Reporting Threshold Worksheet for PBT Chemicals with 100 Pound Thresholds*



*How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A*

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

EPCRA Section 313 Chemical or Chemical Category: \_\_\_\_\_

Prepared By: \_\_\_\_\_

CAS Registry Number: \_\_\_\_\_

Reporting Year: \_\_\_\_\_

Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.

Mixture Name or Other Identifier	Information Source	Total Weight (lb)	Percent EPCRA Section 313 Chemical by Weight	EPCRA Section 313 Chemical Weight (lb)	Amount of the EPCRA Section 313 Chemical or Chemical Category by Activity (lb):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
<b>Subtotal:</b>					(A) _____ lb	(B) _____ lb	(C) _____ lb

Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.

Mixture Name as Listed Above	Applicable Exemption (articles, facility, activity) <sup>1</sup>	Fraction or Percent Exempt (if Applicable)	Amount of the EPCRA Section 313 Chemical Exempt from Above (lb):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
<b>Subtotal:</b>			(A <sub>1</sub> ) _____ lb	(B <sub>1</sub> ) _____ lb	(C <sub>1</sub> ) _____ lb

Amount subject to threshold:

(A-A<sub>1</sub>) \_\_\_\_\_ lb (B-B<sub>1</sub>) \_\_\_\_\_ lb (C-C<sub>1</sub>) \_\_\_\_\_ lb

Compare to threshold for EPCRA Section 313 reporting.

10 lb 10 lb 10 lb

If any threshold is exceeded, reporting is required for all activities. Keep this worksheet for your records.

<sup>1</sup> Chemicals listed as PBT are not eligible for the *de minimis* exemption.

*Figure 4C. EPCRA Section 313 Reporting Threshold Worksheet for PBT Chemicals with 10 Pound Threshold*

*How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A*

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

EPCRA Section 313 Chemical or Chemical Category: Dioxin and Dioxin-like Compounds

Prepared By: \_\_\_\_\_

CAS Registry Number: \_\_\_\_\_

Reporting Year: \_\_\_\_\_

Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.

Mixture Name or Other Identifier	Information Source	Total Weight (g)	Percent EPCRA Section 313 Chemical by Weight	EPCRA Section 313 Chemical Weight (g)	Amount of the EPCRA Section 313 Chemical or Chemical Category by Activity (g):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
<b>Subtotal:</b>					(A) _____ g	(B) _____ g	(C) _____ g

Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.

Mixture Name as Listed Above	Applicable Exemption (articles, facility, activity) <sup>1</sup>	Fraction or Percent Exempt (if Applicable)	Amount of the EPCRA Section 313 Chemical Exempt from Above (g):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
<b>Subtotal:</b>			(A <sub>1</sub> ) _____ g	(B <sub>1</sub> ) _____ g	(C <sub>1</sub> ) _____ g

Amount subject to threshold:

(A-A<sub>1</sub>) \_\_\_\_\_ g (B-B<sub>1</sub>) \_\_\_\_\_ g (C-C<sub>1</sub>) \_\_\_\_\_ g

Compare to threshold for EPCRA Section 313 reporting.

0.1 g                      0.1 g                      0.1 g

If any threshold is exceeded, reporting is required for all activities. Keep this worksheet for your records.

<sup>1</sup> Chemicals listed as PBT are not eligible for the *de minimis* exemption.

*Figure 4D. EPCRA Section 313 Reporting Threshold Worksheet for Dioxin and Dioxin-Like Compounds Chemical Category*

## ***B.6. Facility Eligibility Determination for Alternate Threshold and for Reporting on TRI Form A Certification Statement***

This section will help to determine whether you can submit the simplified Form A Certification Statement (hereafter referred to as Form A). The criteria are based on the total annual reportable amount of the listed chemical or chemical category and the amount manufactured, processed, or otherwise used. Note that, effective in Reporting Year 2008, the TRI Burden Reduction Rule has been voided by Congress. The criterion for using Form A has returned to what they were prior to Reporting Year 2006. The criteria are explained below. For more information about the final rule, see the TRI homepage at: <https://www.epa.gov/toxics-release-inventory-tri-program/tri-laws-rulemakings-and-notices>.

### **B.6.a. Alternate Threshold**

On November 30, 1994, EPA published a final rule (59 FR 61488) that provides qualifying facilities an alternate threshold of 1 million pounds. Eligible facilities wishing to take advantage of this option may certify on a simplified two-page form referred to as Form A Certification Statement and do not have to use Form R. The "TRI Alternate Threshold for Facilities with Low Annual Reportable Amounts," provides facilities otherwise meeting EPCRA section 313 reporting thresholds the option of certifying on Form A provided that they do not exceed 500 pounds for the total annual reportable amount (defined below) for that chemical, and that their amounts manufactured or processed or otherwise used do not exceed one-million pounds. As with determining Section 313 reporting thresholds, amounts manufactured, processed, or otherwise used are to be considered independently. This modification does not apply to forms being submitted on or before July 1, 1995 (covering the 1994 reporting year). If you fill out a Form A for an EPCRA Section 313 chemical, do not fill out a Form R for that same chemical.

However, there is an exception to the alternate threshold rule described in the preceding paragraph. All PBT chemicals (except certain instances of reporting lead in stainless steel, brass or bronze alloys) are excluded from eligibility for the alternate threshold.

### **B.6.b. What is the Form A Certification Statement?**

The Form A, which is described as the "certification statement" in 59 FR 61488, is intended as a means to reduce the compliance burden associated with EPCRA section 313. If a facility chooses to use Form A as a substitute for Form R for any eligible chemical, it must be submitted on an annual basis. Facilities wishing to take advantage of this burden reducing option may only submit Form A for chemicals that meet the conditions described in section B.6.a, Alternate Threshold, and should not submit a Form R to the TRI Data Processing Center for the same chemicals. The information submitted on the Form A includes facility identification information and the chemical or chemical category identity. The information submitted on the Form A will appear in the TRI data base in the same manner that information submitted on Form R appears. An approved Form A can be accessed via TRI-MEweb or from the EPA TRI website.

### **B.6.c. What Is the Annual Reportable Amount (ARA)?**

For the purpose of this optional reporting modification, the annual reportable amount (ARA) is equal to the combined total quantities of the following waste management activities:

- released at the facility (including disposed of within the facility),
- treated at the facility (as represented by amounts destroyed or converted by treatment processes),
- recovered at the facility as a result of recycling operations,
- combusted for the purpose of energy recovery at the facility, and
- amounts transferred from the facility to off-site locations for the purpose of recycling, energy recovery, treatment, and/or disposal.

These quantities correspond to the sum of amounts reportable for data elements on EPA Form R as Part II column B of section 8, data elements 8.1 (quantity released), 8.2 (quantity used for energy recovery on-site), 8.3 (quantity used for energy recovery off-site), 8.4 (quantity recycled onsite), 8.5 (quantity recycled off-site), 8.6 (quantity treated on-site), and 8.7 (quantity treated off-site).

#### **B.6.d. Recordkeeping**

Each owner or operator who determines that they are eligible, and wishes to apply the alternate threshold to a particular chemical, must retain records substantiating this determination for a period of three years from the date of the submission of the Form A. These records must include sufficient documentation to support calculations as well as the calculations made by the facility that confirm their eligibility for each chemical for which the alternate threshold was applied.

A facility that fits within the category description, and manufactures, processes or otherwise uses no more than one million pounds of an EPCRA Section 313 chemical annually, and whose owner/operator elects to take advantage of the alternate threshold, is not considered an EPCRA Section 313 covered facility for that chemical for the purpose of submitting a Form R. This determination may provide further regulatory relief from other federal or state regulations that apply to facilities on the basis of their EPCRA Section 313 reporting status. A facility will need to reference other applicable regulations to determine if their actual requirements may be affected by this reporting modification.

#### **B.6.e. Multi-establishment Facilities**

For the purposes of using Form A, the facility must also make its determination based upon the entire facility's operations including all of its establishments (see 59 FR 61488 for greater detail). If the facility as a whole is able to take advantage of the alternate threshold, a single Form A is required. The eligibility to submit a Form A must be made on a whole facility determination. Thus, all of the information necessary to make the determination must be assembled to the facility level.

#### **B.6.f. Metals and Metal Category Compounds**

For metal category compounds, the amount applied toward the ARA is the amount of parent metal waste that is reported on Form R, but the thresholds apply to the amount of metal category compounds manufactured, processed, or otherwise used. For Form A certification involving both listed parent metals and associated metal compounds, the one million pound alternate threshold must be applied separately to the listed parent metal and the

associated metal compound(s). Threshold determinations must be made independently for each because they are separately listed EPCRA Section 313 chemicals.

- If the threshold is exceeded for the listed parent metal but not the associated metal category compounds, then the releases of metal reported on Form R for the parent metal need not include the releases from the metal category compounds.
- If both the parent metal and the associated metal compounds exceed the alternate threshold, then the facility has the option of filing one Form R for both, using the metal category compound name and reporting total releases based on parent metal content.

If neither the parent metal nor the associated metal compounds exceed the alternate threshold, then the facility must use a separate listing on Form A for each, since the reporting thresholds must be applied to each listed parent metal and all compounds in the associated compound category. EPA believes it is appropriate to make the distinction between filing the Form R and Form A because the Form R accounts for amounts of metal released or otherwise managed and Form A verifies that the alternate threshold for each listed chemical or chemical category has not been exceeded.

Similarly, separate listings on Form A must be submitted for all other listed chemicals even if EPA allows one listing on Form R to be filed for two or more listed chemicals (e.g., o-xylene, p-xylene and xylene (mixed isomers)). For example, if a facility processes in three separate process streams, xylene (mixed isomers), o-xylene, and p-xylene, and exceeds the conditions of the alternate threshold for each of these listed substances, the facility may combine the appropriate information on the o-xylene, p-xylene, and xylene (mixed isomers) into one Form R, but cannot combine the reports into one listing on Form A.

Facilities that process o-xylene, p-xylene, and xylene (mixed isomers) in separate process streams and do not exceed the conditions of the alternate threshold for one or more of the compounds may submit a separate Form A for each of the forms of xylene meeting the alternate threshold and report on Form R for those forms that do not. Similar to reporting on the parent metals and their associated category

## *How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A*

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compounds described above, facilities that separately process all types (i.e., isomers) of xylene with individual activity levels within the conditions of the

alternate threshold should file a separate Form A for each type of xylene.



## **Instructions for Completing TRI Forms R and A**

The following instructions provide information on how to enter data on Forms R and A for non-trade secret reporting using TRI-MEweb. Supplemental instructions for submitting trade secret claims are provided in Appendix G.

TRI-MEweb collects the same facility identification information and chemical specific information that facilities previously submitted on the paper TRI Forms. In some cases, TRI-MEweb does not sequentially follow the Sections numerically as listed on the Forms. As such, the TRI-MEweb experience differs somewhat from the sequential nature of the instructions in this document.

Facility identification information provided in Part I is entered only once per facility in TRI-MEweb and is duplicated on all forms submitted, with the exception of technical and public contact which are collected for each form separately (See Part I, Sections 4.3 and 4.4). For facilities that have previously submitted TRI Forms, the facility information remains with the facility's profile and needs to be updated only if facility or parent company changes have occurred.

Chemical specific information on Part II (including technical and public contact information) is entered separately for each chemical reported.

## C. Part I. Facility Identification Information (Form R & A)

### Section 1. Reporting Year

The reporting year is the calendar year to which the reported information applies, not the year in which you are submitting the report. Information for the 2018 reporting year must be submitted on or before July 1, 2019.

### Section 2. Trade Secret Information

Trade secret submission is not supported by TRI-MEweb. As such, Section 2 is not to be completed by TRI-MEweb users for non-trade secret reports. For instructions on completing trade secret submissions, see Appendix G of these instructions.

### Section 3. Certification

For both Form R and Form A, the certification statement must be signed by a senior official with management responsibility for the person (or persons) completing the form. A senior management official must certify the accuracy and completeness of the information reported on the form by signing and dating the form.

Electronic certification of completed forms prepared using TRI-MEweb is performed by certifying officials who have signed an Electronic Signature Agreement (ESA) and TRIFID Signature Agreement form. For more information regarding certification of forms, see Section A.2.

Unlike the certification statement contained on Form R, the certification statement provided on the Alternate Threshold Form A pertains to the facility's eligibility of having met the conditions as described in 40 CFR Section 372.27.

### Section 4. Facility Identification

#### 4.1 Facility Name, Location, TRI Facility Identification Number and Tribal Country Name

Enter the full name that the facility presents to the public and its customers in doing business (e.g., the name that appears on invoices, signs, and other official business documents). Do not use a nickname for the facility (e.g., Main Street Plant) unless that is

the legal name of the facility under which it does business. Also enter the physical street address, mailing address, city, county, three digit BIA code, if applicable, state, and ZIP code in the space provided. The street address provided must be the location where the EPCRA Section 313 chemicals are manufactured, processed, or otherwise used. You may not use PO Box as a facility address. If your mailing address and street address are the same, you should enter NA in the space for the mailing address. If the mailing address is outside of the US, include the FIPS country code.

If your facility is not in a county, put the name of your city, district (for example, District of Columbia), or parish (if you are in Louisiana) in the county block of the Form R and Form A Certification Statement as well as in the county field of TRI-MEweb. "NA" or "None" are not acceptable entries. TRI-MEweb provides a dropdown menu for the county name, including city districts and parish names.

If your facility is located in Indian country as defined by 18 USC §1151 you must enter the three-digit Bureau of Indian Affairs (BIA) tribal code in the "BIA Code" field. The BIA tribal codes are listed in Table III of the RFI. Facilities using TRI-MEweb to complete their forms will be asked if they are located within a tribe's Indian country and, upon answering "yes," be taken to a look-up table to determine the correct BIA code.

If your facility is not located in Indian country as defined by 18 USC §1151 (the overwhelming majority of TRI facilities are not in Indian country), you must enter only the city, county (as applicable), state and ZIP code.

#### Location information for a facility that has previously submitted data to EPA.

If your facility has submitted a Form R or A in previous reporting years, a TRI Facility Identification Number (TRIFID) has already been assigned to your facility. If you do not know your facility's information used in prior years' submissions, contact your Regional TRI Program representative, or utilize Envirofacts on the Web to look up the address, facility name, or TRIFID at: <https://www3.epa.gov/enviro>.

If you have previously submitted data for your facility using TRI-MEweb, the facility information including TRIFID remains with your profile. If you

have not submitted using TRI-MEweb, then you can add your facility to your profile using the 6-digit access key, which is e-mailed to all technical contacts, preparers, and certifying officials at facilities reporting for the prior year, or by submitting the TRIFID and technical contact information.

**Location information for a facility that has previously submitted data to EPA, but has changed physical location.**

If your facility has moved, you will need to request that a new TRIFID be assigned to your facility. To request a new TRIFID, add a new facility account to TRI-MEweb and choose to report as a new reporting facility (option 3). TRI-MEweb will automatically generate a new TRIFID for your facility. The TRIFID assigned to your new reporting facility should be used in all future reporting of TRI data.

**Location information for a facility that has changed ownership, but has not changed physical location.**

The TRIFID is established by the first Form R or A submitted by a facility at a particular location. Only a change in address warrants filing as a new facility; otherwise, the TRIFID is retained by the facility even if the facility changes name, ownership, production processes, NAICS codes, etc.

If your facility has changed ownership during the reporting year but not its physical location, the facility does not require a new TRIFID. Use the TRIFID used by the previous owner. TRI-MEweb can be used to update facility information to reflect the change of ownership.

**Location reporting TRI releases for the first time to EPA.**

If your facility is reporting for the first time, upon creating your CDX account, and adding the TRI-MEweb application, you will be prompted to add a new facility account into TRI-MEweb. TRI-MEweb will automatically generate a new TRIFID for your facility. The TRIFID assigned to your new reporting facility should be used in all future reporting of TRI data.

## **4.2 Full or Partial Facility Indication and Federal Facility Designation**

### **Full or Partial Facility Indication (Form R only)**

EPCRA Section 313 requires reports by “facilities,” which are defined as “all buildings, equipment,

structures, and other stationary items which are located on a single site or on contiguous or adjacent sites and which are owned or operated by the same person (or by any person which controls, is controlled by, or under common control with such person). A facility may contain more than one establishment.”

EPCRA Section 313 defines establishment as “an economic unit, generally at a single physical location, where business is conducted or where services or industrial operations are performed.” Under Section 372.30(c) of the reporting rule, you may submit a separate Form R for each establishment or for groups of establishments in your facility, provided all releases and other waste management activities and source reduction activities involving the EPCRA Section 313 chemical from the entire facility are reported. This allows you the option of reporting separately on the activities involving an EPCRA Section 313 chemical at each establishment, or group of establishments (e.g., part of a covered facility), rather than submitting a single Form R for that EPCRA Section 313 chemical for the entire facility. However, if an establishment or group of establishments does not manufacture, process, or otherwise use or release or otherwise manage as waste an EPCRA Section 313 chemical, you do not have to submit a report for that establishment or group of establishments for that particular chemical. (See also Section B.2.b of these instructions.)

A covered facility must report all releases and other waste management activities and source reduction activities of an EPCRA Section 313 chemical if the facility meets a reporting threshold for that EPCRA Section 313 chemical. Whether submitting a report for the entire facility or separate reports for the establishments, the threshold determination must be made based on the entire facility. Indicate in Section 4.2 whether your report is for the entire covered facility as a whole or for part of a covered facility (i.e., one or more establishments).

In TRI-MEweb, facilities that wish to submit separate Form Rs for each establishment or group of establishments may select “Reporting by Part” with the *Select Facility* page to set up unique establishments within the particular facility. All establishments reporting by part use the same TRIFID but should provide unique facility names.

Note that the reporting by part option is not applicable for facilities submitting a Form A

Certification Statement for a TRI chemical. Unlike the Form R, the Form A Certification Statement does not utilize Sections 4.2a or 4.2b, which provide the option of reporting full or partial facility information if the facility is composed of several distinct establishments.

### **Federal Facility Designation**

Executive Orders have directed federal facilities to comply with Right-To-Know Laws and Pollution Prevention Requirements. In TRI-MEweb, users should select the appropriate button for: 1) federal facility (Section 4.2c), 2) GOCO facility (Section 4.2d), or 3) neither. Federal facilities should select only ‘federal facility’ even if their TRI reports contain release and other waste management information from contractors located at the facility. Contractors at federal facilities that are required by EPCRA Section 313 to file TRI reports independently of the federal facility, should select GOCO. This information is important to prevent duplication of federal facility data. (See Appendix A for further guidance on these instructions.)

### **4.3 Technical Contact**

In TRI-MEweb, facilities must enter the name and telephone number (including area code) of a technical representative whom EPA, state, or tribal officials may contact for clarification of the information reported on Form R or A. If possible, this number should be for the technical representative rather than a general number for the facility. An email address should also be entered for this person. EPA encourages facilities to provide an email address for the Technical Contact on their TRI submissions because they will be able to receive important program updates and email alerts notifying them when their eFDP has been updated and published for their review. If the technical contact does not have an email address, leave the field blank. This contact person does not have to be the same person who prepares the report or signs the certification statement and does not necessarily need to be someone at the location of the reporting facility. However, this person should be familiar with the details of the report so that he or she can answer questions about the information provided. As facilities may report unique technical contacts for each form, technical contact details are entered in TRI-MEweb with chemical-specific data rather than facility-identification information.

### **4.4 Public Contact**

In TRI-MEweb, facilities must enter the name and telephone number (including area code) of a person who can respond to questions from the public about the form. You should also enter an e-mail address for this person. If the public contact does not have an email address, leave the field blank. If you choose to designate the same person as both the Technical and the Public Contact, or you do not have a Public Contact, you may enter “Same as Section 4.3” in this space. This contact person does not have to be the same person who prepares the form or signs the Certification Statement and does not necessarily need to be someone at the location of the reporting facility. As facilities may report unique public contacts for each form, public contact details are entered in TRI-MEweb with chemical-specific data rather than facility-identification information.

### **4.5 North American Industry Classification System (NAICS) Codes**

Enter the appropriate six-digit North American Industry Classification System (NAICS) Code that is the primary NAICS Code for your facility in Section 4.5(a). Use 2017 NAICS codes for RY 2017 reporting. For RY 2013 – 2016 reporting, use 2012 NAICS codes; for RY 2006 – 2012 reporting, use 2007 NAICS codes. Enter any other applicable NAICS for your facility in 4.5 (b)-(f), also called “secondary NAICS codes” in TRI-MEweb. If you do not know your NAICS code(s), consult the 2017 NAICS Manual or check the SIC to NAICS crosswalk tables at: <http://www.census.gov>.

The North American Industry Classification System (NAICS) is the economic classification system that replaced the 1987 SIC code system. A *Federal Register* notice was published on June 6, 2006 (71 FR 32464), adopting 2007 NAICS codes for TRI reporting. A direct final rule was published July 18, 2013 (78 FR 42875), adopting 2012 NAICS codes for RY 2013 and subsequent years. A final rule was published in the *Federal Register* on December 26, 2017 (82 FR 52674), to adopt 2017 NAICS codes for RY 2017 and subsequent years. Table I lists all industries that are covered under EPCRA 313 and their corresponding 2017 NAICS codes.

#### **4.6 Dun & Bradstreet Number(s)**

Enter the nine-digit number assigned by Dun & Bradstreet (D&B) for your facility or each establishment within your facility. These numbers code the facility for financial purposes. This number may be available from your facility's treasurer or financial officer. You can also obtain the numbers from Dun & Bradstreet by calling 1-888-814-1435, or by visiting this website:

[https://www.dnb.com/product/dlw/form\\_cc4.htm](https://www.dnb.com/product/dlw/form_cc4.htm).

If a facility does not subscribe to the D&B service, a number can be obtained, toll free at 800 234-3867 (8:00 AM to 6:00 PM, EST) or on the Web at:

<http://www.dnb.com>.

If none of your establishments has been assigned a D&B number, you should check "D&B Numbers Not Applicable." If only some of your establishments have been assigned D&B numbers, enter those numbers in Part I, section 4.6.

#### **Section 5. Parent Company Information**

You must provide information on your parent company. For TRI Reporting purposes, your parent company is the highest level company, located in the United States, and that directly owns at least 50 percent of the voting stock of your company. If there is no higher level U.S. company, select the "No U.S. Parent Company (for TRI reporting purposes)" check box. Corporate names should be treated as parent company names for companies with multiple facility sites. For example, the Bestchem Corporation is not owned or controlled by any other corporation but has sites throughout the country whose names begin with

Bestchem. In this case, Bestchem Corporation should be listed as the parent company. Note that a facility that is a 50:50 joint venture is its own parent company. When a facility is owned by more than one company and none of the facility owners directly owns at least 50 percent of its voting stock, the facility should provide the name of the parent company of either the facility operator or the owner with the largest ownership interest in the facility.

#### **5.1 Name of Parent Company**

Enter the name of the corporation or other business entity that is your highest level U.S. parent company. If your facility has no parent company based in the U.S., select the "No U.S. Parent Company (for TRI reporting purposes)" check box.

To improve data quality, TRI standardizes parent company names. TRI-MEweb is preloaded with the standardized parent company names. A full list of parent company names for RY 2018 is available for download at:

[https://ofmpub.epa.gov/apex/guideme\\_ext/f?p=guideme:rfi-home#cr\\_sr\\_downloadable](https://ofmpub.epa.gov/apex/guideme_ext/f?p=guideme:rfi-home#cr_sr_downloadable).

#### **5.2 Parent Company's Dun & Bradstreet Number**

Enter the D&B number for your ultimate U.S. parent company, if applicable. The number may be obtained from the treasurer or financial officer of the company or by calling 1-888-814-1435, or by visiting this website:

[https://www.dnb.com/product/dlw/form\\_cc4.htm](https://www.dnb.com/product/dlw/form_cc4.htm).

If your parent company does not have a D&B number, you should check "Parent Company D&B Number Not Applicable."



## D. Part II. Chemical Identification Information (Form R & A)

In Part II, you are to report on:

- The EPCRA Section 313 chemical being reported;
- The type of reporting form used (i.e., Form R or Form A Certification Statement);
- The general uses and activities involving the EPCRA Section 313 chemical at your facility (Form R only);
- On-site releases of the EPCRA Section 313 chemical from the facility to air, water, and land (Form R only);
- Quantities of the EPCRA Section 313 chemical transferred to off-site locations (Form R only);
- Information for on-site and off-site disposal, treatment, energy recovery, and recycling of the EPCRA Section 313 chemical (Form R only); and
- Source reduction activities (Form R only).

In TRI-MEweb, chemical specific information is entered by initiating a blank form for a chemical or chemical category. You may use the “Add New Chemical Forms” search tool to look up chemical and chemical categories by name or Chemical Abstracts Service (CAS) number to begin a new TRI reporting form. Alternately, you may use the Import Data function to create and pre-populate forms based on prior year forms submitted by the facility. TRI-MEweb will prompt users to indicate whether the form should be a TRI Form R or Form A.

The TRI listed chemicals for RY 2018 are listed both alphabetically and by CAS registry number in Table II. Chemical categories are listed separately in Table IIc. TRI-MEweb will not accept forms for chemicals not listed in a particular reporting year. For example, TRI-MEweb will not accept forms for the nonylphenol category prior to RY 2016 as it was first added for RY 2016. Facilities reporting a generic

name provided by a supplier should see instructions in Section 2.

Reporting on the Alternate Threshold Form A Certification Statement for metals, metal category compounds, and mixed isomers differs somewhat from Form R reporting. Please refer to Section B.6.g for these guidelines.

### Section 1. EPCRA Section 313 Chemical Identity (Form R & A)

#### 1.1 CAS Number

Initiating a Form R or A for a chemical or chemical category in TRI-MEweb automatically completes this section.

#### 1.2 EPCRA Section 313 Chemical or Chemical Category Name

Initiating a Form R or A for a chemical or chemical category in TRI-MEweb automatically completes this section.

#### 1.3 Generic Chemical Name

Section 1.3 is completed only for trade secret submissions. For instructions on reporting trade secret claims, see Appendix G.

#### Example 10: Mixture Containing Unidentified EPCRA Section 313 Chemical

Your facility uses 20,000 pounds of a solvent that your supplier has told you contains 80 percent “chlorinated aromatic,” their generic name for a non-PBT chemical subject to reporting under EPCRA Section 313. You, therefore, have used 16,000 pounds of some EPCRA Section 313 chemical and that exceeds the “otherwise use” threshold for a non-PBT chemical. You would file a Form R and enter the name “chlorinated aromatic” as the generic chemical name.

## Section 2. Mixture Component Identity (Form R & A)

Complete this section only if you are reporting for an EPCRA 313 chemical whose identity has been withheld by the chemical supplier. You do not need to supply trade secret substantiation forms for this EPCRA Section 313 chemical because it is your supplier who is claiming the chemical identity a trade secret.

### 2.1 Generic Chemical Name Provided by Supplier

Enter the generic chemical name in this section only if the following three conditions apply:

- 1) You determine that the mixture contains an EPCRA Section 313 chemical but the only identity you have for that chemical is a generic name;
- 2) You know either the specific concentration of that EPCRA Section 313 chemical component or a maximum or average concentration level; and
- 3) You multiply the concentration level by the total annual amount of the whole mixture processed or otherwise used and determine that you meet the process or otherwise use threshold for that single, generically identified mixture component.

To begin a TRI Form R or A for a generic chemical in TRI-MEweb, navigate to the Forms Home page, click the "Add Form(s)" button for the facility reporting on a generic chemical, click the **Generic Chemical Name Provided by Supplier** link on the search window pop-up, and then enter the generic chemical name. The generic chemical name may not be that of a listed TRI chemical or chemical category and must be less than 70 characters in length. Click the "Begin Form" button next to the generic chemical added to the list of forms for the facility to prepare the TRI form.

## Section 3. Activities and Uses of the EPCRA Section 313 Chemical at the Facility (Form R)

[Note that the remaining Part II Sections apply to the Form R only.]

Indicate whether the EPCRA Section 313 chemical is manufactured (including imported), processed, or otherwise used at the facility and the general nature of such activities and uses at the facility during the calendar year (see Figure 5). For each type of activity performed by the facility for the reported chemical (i.e., manufacturing, processing, or otherwise using), specify how that chemical was used and select the corresponding checkboxes, and provide the corresponding use codes as appropriate for categories that contain specific uses (e.g., processing as a reactant provides for P-codes to describe the processing activity with more detail). You are not required to report on Form R the quantity manufactured, processed or otherwise used. Report activities that take place only at your facility, not activities that take place at other facilities involving your products. You must check all the boxes in this section that apply. Starting with RY 2017, some processing and otherwise use codes contain sub-activities and sub-uses. Select all of these codes that apply. Refer to the definitions of "manufacture," "process," and "otherwise use" in Section B.3.a or Part 40, Section 372.3 of the CFR for additional explanations.

### 3.1 Manufacture the EPCRA Section 313 Chemical

Persons who manufacture (including import) the EPCRA Section 313 chemical must check at least one of the following:

- a. **Produce** — The EPCRA Section 313 chemical is produced at the facility.
- b. **Import** — The EPCRA Section 313 chemical is imported by the facility into the Customs Territory of the United States. (See Section B.3.a of these instructions for further clarification of import.)

And check at least one of the following:

- c. **For on-site use/processing** — The EPCRA Section 313 chemical is produced or imported and then further processed or otherwise used at

the same facility. If you check this block, generally you should also check at least one item in Part II, Section 3.2 or 3.3.

- d. **For sale/distribution** — The EPCRA Section 313 chemical is produced or imported specifically for sale or distribution outside the manufacturing facility.
- e. **As a byproduct** — The EPCRA Section 313 chemical is produced coincidentally during the manufacture, processing, or otherwise use of another chemical substance or mixture and, following its production, is separated from that other chemical substance or mixture. EPCRA Section 313 chemicals produced as a result of waste management are also considered byproducts.
- f. **As an impurity** — The EPCRA Section 313 chemical is produced coincidentally as a result of the manufacture, processing, or otherwise use of another chemical but is not separated and remains in the mixture or other trade name product with that other chemical.

In summary, if you are a manufacturer of the EPCRA Section 313 chemical, you must check (a) and/or (b), and at least one of (c), (d), (e), and (f) in Section 3.1.

### 3.2 Process the EPCRA Section 313 Chemical

Persons who process the EPCRA Section 313 chemical must enter at least one of the following processing use codes:

- a. **As a reactant** — A natural or synthetic EPCRA Section 313 chemical is used in chemical reactions for the manufacture of another chemical substance or of a product. If the chemical is processed as a reactant, you must indicate the applicable sub-uses:
  - **P101: Feedstocks**
  - **P102: Raw materials**
  - **P103: Intermediates**
  - **P104: Initiators**
  - **P199: Other**
- b. **As a formulation component** — An EPCRA Section 313 chemical is added to a product (or product mixture) prior to further distribution of the product that acts as a performance enhancer during use of the product. If the chemical is

processed as a formulation component, you must indicate the applicable sub-uses:

- **P201: Additives**
- **P202: Dyes**
- **P203: Reaction diluents**
- **P204: Initiators**
- **P205: Solvents**
- **P206: Inhibitors**
- **P207: Emulsifiers**
- **P208: Surfactants**
- **P209: Lubricants**
- **P210: Flame retardants**
- **P211: Rheological modifiers**
- **P299: Other**

- c. **As an article component** — An EPCRA Section 313 chemical becomes an integral component of an article distributed for industrial, trade, or consumer use. One example is the pigment components of paint applied to a chair that is sold.
- d. **Repackaging** — This consists of processing or preparation of an EPCRA Section 313 chemical (or product mixture) for distribution in commerce in a different form, state, or quantity. This includes, but is not limited to, the transfer of material from a bulk container, such as a tank truck to smaller containers such as cans or bottles. This does not include sending toxic chemicals off-site into commerce for recycling, which is indicated using (f) Recycling.
- e. **As an impurity** — The EPCRA Section 313 chemical is processed but is not separated and remains in the mixture or other trade name product with that/those other chemical(s).
- f. **Recycling** — This consists of processing or preparation of an EPCRA Section 313 chemical (or product mixture) for distribution in commerce in a different form, state, or quantity for purposes of recycling or reclamation.

In summary, if you are a processor of the EPCRA Section 313 chemical, you must check (a), (b), (c), (d), (e), or (f), and select all of the P-codes for (a) or (b) that apply.

### 3.3 Otherwise Use the EPCRA Section 313 Chemical (non-incorporative activities)

Persons who otherwise use the EPCRA Section 313 chemical must enter at least one of the following otherwise use activity codes:

a. *As a chemical processing aid* — An EPCRA Section 313 chemical that is added to a reaction mixture to aid in the manufacture or synthesis of another chemical substance but is not intended to remain in or become part of the product or product mixture is otherwise used as chemical processing aid. If the chemical is otherwise used as a chemical processing aid, you must indicate the applicable sub-uses:

- **Z101: Process solvents**
- **Z102: Catalysts**
- **Z103: Inhibitors**
- **Z104: Initiators**
- **Z105: Reaction terminators**
- **Z106: Solution buffers**
- **Z199: Other**

b. *As a manufacturing aid* — An EPCRA Section 313 chemical that aids the manufacturing process but does not become part of the resulting product and is not added to the reaction mixture during the manufacture or synthesis of another chemical substance is otherwise used as

a manufacturing aid. If the chemical is otherwise used as a manufacturing aid, you must indicate the applicable sub-uses:

- **Z201: Process lubricants**
- **Z202: Metalworking fluids**
- **Z203: Coolants**
- **Z204: Refrigerants**
- **Z205: Hydraulic fluids**
- **Z299: Other**

c. *Ancillary or other use* — An EPCRA Section 313 chemical that is used at a facility for purposes other than aiding chemical processing or manufacturing as described above is otherwise used as an ancillary or other use. If the chemical is otherwise used as an ancillary or other use, you must indicate the applicable sub-uses:

- **Z301: Cleaner**
- **Z302: Degreaser**
- **Z303: Lubricant**
- **Z304: Fuel**
- **Z305: Flame retardant**
- **Z306: Waste treatment**
- **Z307: Water treatment**
- **Z308: Construction Materials**
- **Z399: Other**

In summary, if you otherwise use the EPCRA Section 313 chemical, you must check (a), (b), and/or (c), and select all of the Z-codes for (a), (b), or (c) that apply.

## Part II. Chemical Identification Information

<b>SECTION 1. TOXIC CHEMICAL IDENTITY</b> (Important: DO NOT complete this section if you are reporting a mixture component in Section 2 below.)			
1.1	CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)		
	334-88-3		
1.2	Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)		
	Diazomethane		
1.3	Generic Chemical Name (Important: Complete only if Part I, Section 2.1 is checked "Yes". Generic Name must be structurally descriptive.)		
<b>SECTION 2. MIXTURE COMPONENT IDENTITY</b> (Important: DO NOT complete this section if you completed Section 1.)			
2.1	Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)		
<b>SECTION 3. ACTIVITIES AND USES OF THE TOXIC CHEMICAL AT THE FACILITY</b> (Important: Check all that apply.)			
3.1	Manufacture the toxic chemical:	3.2	Process the toxic chemical:
	a. <input type="checkbox"/> Produce b. <input type="checkbox"/> Import		
	If Produce or Import		
	c. <input type="checkbox"/> For on-site use/processing	a. <input type="checkbox"/> As a reactant	<input type="text"/>
	d. <input type="checkbox"/> For sale/distribution	b. <input type="checkbox"/> As a formulation component	<input type="text"/> Enter 4-digit
	e. <input type="checkbox"/> As a byproduct	c. <input type="checkbox"/> As an article component	code from
	f. <input type="checkbox"/> As an impurity	d. <input type="checkbox"/> Repackaging	instruction
		e. <input type="checkbox"/> As an impurity	package
		f. <input type="checkbox"/> Recycling	<input type="text"/>
			<input type="text"/>
3.3	Otherwise use the toxic chemical:		
	a. <input type="checkbox"/> As a chemical processing aid	<input type="text"/>	Enter 4-digit
	b. <input type="checkbox"/> As a manufacturing aid	<input type="text"/>	code from
	c. <input type="checkbox"/> Ancillary or other use	<input type="text"/>	instruction
		<input type="text"/>	package
		<input type="text"/>	

*Figure 5. Reporting EPCRA Section 313 Chemicals*

### Example 11: Manufacturing and Processing Activities of EPCRA Section 313 Chemicals

In the two examples below, it is assumed that the threshold quantities for manufacture, process, or otherwise use (25,000 pounds, 25,000 pounds, and 10,000 pounds, respectively for non-PBT chemicals; 100 pounds for certain PBT chemicals; 10 pounds for highly persistent, highly bioaccumulative toxic chemicals; and 0.1 grams for the PBT chemical category comprised of dioxin and dioxin-like compounds) have been exceeded and the reporting of EPCRA Section 313 chemicals is therefore required.

1. Your facility manufactures diazomethane. Fifty percent is sold as a product, thus it is processed. The remaining fifty percent is reacted with alpha-naphthylamine, forming N-methyl-alpha-naphthylamine and also producing nitrogen gas.

- Your company manufactures diazomethane, an EPCRA Section 313 chemical, both for sale/distribution as a commercial product and for on-site use/processing as a feedstock in the N-methyl-alpha-naphthylamine production process. Because the diazomethane is a reactant, it is also processed. See Figure 5 for how this information would be reported in Part II, Section 3 of Form R.
- Your facility also processes alpha-naphthylamine, as a reactant to produce N-methyl-alpha-naphthylamine, a chemical not on the EPCRA Section 313 list.

2. Your facility is a commercial distributor of Missouri bituminous coal, which contains mercury at 1.5 ppm (w:w). You should check the box on Part II, Section 3.2.e for processing mercury as an impurity.



**Section 4. Maximum Amount of the EPCRA Section 313 Chemical On-site at Any Time during the Calendar Year (Form R)**

For data element 4.1 of Part II, select the code (see codes below) that indicates the maximum quantity of the EPCRA Section 313 chemical (e.g., in storage tanks, process vessels, on-site shipping containers, or in wastes generated) at your facility at any time during the calendar year. If the EPCRA Section 313 chemical was present at several locations within your facility, use the maximum total amount present at the entire facility at any one time. While range reporting is not allowed for PBT chemicals elsewhere on the Form R, range reporting for PBT chemicals is allowed for the Maximum Amount On-site.

**Weight Range in Pounds**

Range Code	From	To
01	0	99
02	100	999
03	1,000	9,999
04	10,000	99,999
05	100,000	999,999
06	1,000,000	9,999,999
07	10,000,000	49,999,999
08	50,000,000	99,999,999
09	100,000,000	499,999,999
10	500,000,000	999,999,999
11	1 billion	more than 1 billion

If the EPCRA Section 313 chemical present at your facility was part of a mixture or other trade name product, determine the maximum quantity of the EPCRA Section 313 chemical present at the facility by calculating the weight percent of the EPCRA Section 313 chemical only.

Do not include the weight of the entire mixture or other trade name product. These data may be found in the Tier II form your facility may have prepared under Section 312 of EPCRA. See Part 40, Section 372.30(b) of the CFR for further information on how to calculate the weight of the EPCRA Section 313 chemical in the mixture or other trade name product. For EPCRA Section 313 chemical categories (e.g., nickel compounds), include all chemical compounds in the category when calculating the maximum amount, using the entire weight of each compound.

**Weight Range in Grams (Dioxin and Dioxin-like Compounds)**

When reporting for the dioxin and dioxin-like compounds category use the following gram quantity range codes:

Range Code	From	To
12	0	0.099
13	0.1	0.99
14	1.0	9.99
15	10	99
16	100	999
17	1,000	9,999
18	10,000	99,999
19	100,000	999,999
20	1,000,000	more than 1 million

### **Section 5. Quantity of the Toxic Chemical Entering Each Environmental Medium On-site (Form R)**

In Section 5, you must account for the total aggregate on-site releases of the EPCRA Section 313 chemical to the environment from your facility for the calendar year.

On-site releases to the environment include emissions to the air, discharges to surface waters, and releases to land (including underground injection wells).

For all toxic chemicals (except the dioxin and dioxin-like compound category), do not enter the values in Section 5 in gallons, tons, liters, or any measure other than pounds. You must also enter the values as whole numbers (do not use scientific notation). Numbers following a decimal point are not acceptable for toxic chemicals other than those designated as PBT chemicals. For PBT chemicals, facilities should report release and other waste management quantities greater than 0.1 pound (except the dioxin and dioxin-like compounds category), provided the accuracy and the underlying data on which the estimate is based supports this level of precision.

For the dioxin and dioxin-like compounds category, facilities should report at a level of precision supported by the accuracy of the underlying data and the estimation techniques on which the estimate is based. For the dioxin and dioxin like compounds chemical category, which has a reporting threshold of 0.1 gram, facilities need only report all release and other waste management quantities greater than 100 micrograms (i.e., 0.0001 grams). (See Example 12) Notwithstanding the numeric precision used when determining reporting eligibility thresholds, facilities should report on Form R to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA's reporting software and data management systems support data precision up to seven digits to the right of the decimal.

#### **Example 12: Reporting Dioxins and Dioxin-Like Compounds**

If the total quantity for Section 5.2 of the Form R (i.e., stack or point air emissions) is 0.00005 grams or less, then zero can be entered. If the total quantity is between 0.00005 and 0.0001 grams, then 0.0001 grams can be entered or the actual number can be entered (e.g., 0.000075).

**NA vs. a Numeric Value (e.g., Zero).** Generally, NA is applicable if the waste stream that contains or contained the EPCRA Section 313 chemical is not directed to the relevant environmental medium, or if leaks, spills and fugitive emissions cannot occur. If the waste stream that contains or contained the EPCRA Section 313 chemical is directed to the environmental medium, or if leaks, spills or fugitive emissions can occur, NA should not be used, even if treatment or emission controls result in a release of zero. If the annual aggregate release of that chemical was equal to or less than 0.5 pound, the value reported is zero (unless the chemical is a listed PBT chemical).

For Section 5.1, NA generally is not applicable for volatile organic compounds (VOCs). For Section 5.5.4, NA generally would not be applicable, recognizing the possibility of accidental spills or leaks of the EPCRA Section 313 chemical.

An example that illustrates the use of NA vs. a numeric value (e.g., zero) would be nitric acid involved in a facility's processing activities. If the facility neutralizes the wastes containing nitric acid to a pH of 6 or above, then the facility reports a release of zero for the EPCRA Section 313 chemical, not NA. Another example is when the facility has no underground injection well, in which case NA should be checked in Part II, Section 5.4.1 and 5.4.2 of Form R. Also, if the facility does not landfill the acidic waste, NA should be checked in Part II, Section 5.5.1.B of Form R.

All releases of the EPCRA Section 313 chemical to the air must be classified as either stack or fugitive emissions, and included in the total quantity reported for these releases in Sections 5.1 and 5.2. Instructions for columns A, B, and C follow the discussions of Sections 5.1 through 5.5. (Column C only applies to Section 5.3.)

## 5.1 Fugitive or Non-Point Air Emissions

Report the total of all releases of the EPCRA Section 313 chemical to the air that are not released through stacks, vents, ducts, pipes, or any other confined air stream. You must include (1) fugitive equipment leaks from valves, pump seals, flanges, compressors, sampling connections, open-ended lines, etc.; (2) evaporative losses from surface impoundments and spills; (3) releases from building ventilation systems; and (4) any other fugitive or non-point air emissions. Engineering estimates and mass balance calculations (using purchase records, inventories, engineering knowledge or process specifications of the quantity of the EPCRA Section 313 chemical entering product, hazardous waste manifests, or monitoring records) may be useful in estimating fugitive emissions. You should check the NA box in Section 5.1 if you do not engage in activities that result in fugitive or non-point air emissions of this listed toxic chemical. For VOCs, NA generally would not be applicable.

## 5.2 Stack or Point Air Emissions

Report the total of all releases of the EPCRA Section 313 chemical to the air that occur through stacks, confined vents, ducts, pipes, or other confined air streams. You must include storage tank emissions. Air releases from air pollution control equipment would generally fall in this category. Monitoring data, engineering estimates, and mass balance calculations may help you to complete this section. You should check the NA box in Section 5.2 if there are no stack air activities involving the waste stream that contains or contained the EPCRA Section 313 chemical.

## 5.3 Discharges to Receiving Streams or Water Bodies

In Section 5.3 you are to enter all the names of the streams or water bodies to which your facility directly discharges the EPCRA Section 313 chemical on which you are reporting. Facilities may enter releases to as many unique receiving streams or water bodies as needed in TRI-MEweb. In addition, you may also enter the 14-digit reach code, which is a unique code that identifies a continuous piece of surface water with similar

hydrologic characteristics, assigned to each receiving water body by the United States Geographical Society's (USGS) National Hydrography Dataset (NHD). Note that reach data are not available for Alaska, Guam, American Samoa and the Northern Mariana Islands, so facilities located in these areas should leave this field blank.

EPA maps all reported discharges to reaches for purposes of its Risk Screening Environmental Indicators (RSEI) model, Discharge Monitoring Reports (DMR) Pollutant Loading Tool, and for other analyses. Identifying your stream or water body by entering a reach code in this section ensures that EPA will map your discharges to the correct reach.

In TRI-MEweb, facilities have the option of using an interactive map interface to locate and identify the receiving stream or water body to which the chemical was released. TRI-MEweb will automatically populate the appropriate reach code field when you select your receiving water body on the map provided in the user interface for this section.

The name of the receiving stream or water body and reach code may be manually entered by following the ***Can't find or identify your stream or water body on the map?*** link. In such a case, you should report the name of the receiving stream or water body and reach code as it appears on a discharge permit or other appropriate documentation. If the stream is not included in the NPDES permit or its name is not identified in the NPDES permit, enter the name of the off-site stream or water body by which it is publicly known or enter the first publicly named water body to which the receiving waters are a tributary, if the receiving waters are unnamed. Do not list a series of streams through which the EPCRA Section 313 chemical flows. Be sure to include all the receiving streams or water bodies that receive stormwater runoff from your facility. Do not enter names of streams to which off-site treatment plants discharge.

You should check the NA box in Section 5.3 if there are no discharges to receiving streams or water bodies of the waste stream that contains or contained the EPCRA Section 313 chemical (See

discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 5).

For each unique stream or water body, enter the total annual amount of the EPCRA Section 313 chemical released from all discharge points at the facility to each receiving stream or water body. Include process outfalls such as pipes and open trenches, releases from on-site wastewater treatment systems, and the contribution from stormwater runoff, if applicable (see instructions for column C below). Do not include discharges to a POTW or other off-site wastewater treatment facilities in this section. These off-site transfers must be reported in Part II, Section 6 of Form R. Wastewater analyses and flowmeter data may provide the quantities you will need to complete this section.

Discharges of listed acids (e.g., hydrogen fluoride, nitric acid) may be reported as zero if the discharges have been neutralized to pH 6 or above. If wastewater containing a listed acid is discharged below pH 6, then releases of the acid must be reported. In this case, pH measurements may be used to estimate the amount of mineral acid released.

#### **5.4-5.5 Disposal to Land On-site**

Eight predefined subcategories for reporting quantities released to land within the boundaries of the facility (including underground injection) are provided. Do not report land disposal at off-site locations in this section. Consulting accident histories and spill records may be useful when preparing this section (e.g., release notification reports required under Section 304 of EPCRA, Section 103 of CERCLA, and accident histories required under Section 112(r)(7)(B)(ii) of the Clean Air Act). Where relevant, you should check the NA box in sections 5.4.1 through 5.5.3 if there are no disposal activities for the waste stream that contains or contained the EPCRA Section 313 chemical (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 5). For 5.5.4, facilities generally should report zero, recognizing the potential for spills or leaks.

##### **5.4.1 Class I Underground Injection Wells**

Enter the total amount of the EPCRA Section 313 chemical that was injected into Class I wells at the facility. Chemical analyses, injection rate meters,

and RCRA Hazardous Waste Generator Reports are good sources for obtaining data that will be useful in completing this section. You should check the NA box in Section 5.4.1 if you do not inject the waste stream that contains or contained the EPCRA Section 313 chemical into Class I underground wells (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 5).

##### **5.4.2 Class II-V Underground Injection Wells**

Enter the total amount of the EPCRA Section 313 chemical that was injected into wells at the facility other than Class I wells. Chemical analyses and injection rate meters are good sources for obtaining data that will be useful in completing this section. You should check the NA box in Section 5.4.2 if you do not inject the waste stream that contains or contained the EPCRA Section 313 chemical into Class II-V underground wells (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 5).

##### **5.5.1A RCRA Subtitle C Landfills**

Enter the total amount of the EPCRA Section 313 chemical that was placed in RCRA Subtitle C landfills. EPA has not required facilities to estimate leaks from landfills because the amount of the EPCRA Section 313 chemical has already been reported as a release.

##### **5.5.1B Other Landfills**

Enter the total amount of the EPCRA Section 313 chemical that was placed in landfills other than RCRA Subtitle C landfills. EPA has not required facilities to estimate leaks from landfills because the amount of the EPCRA Section 313 chemical has already been reported as a release.

##### **5.5.2 Land Treatment/Application Farming**

Land treatment is a disposal method in which a waste containing an EPCRA Section 313 chemical is applied onto or incorporated into soil. While this disposal method is considered a release to land, any volatilization of EPCRA Section 313 chemicals into the air occurring during the disposal operation must not be included in this section but must be included in the total fugitive air releases reported in Part II, Section 5.1 of Form R.

##### **5.5.3 Surface Impoundments**

A surface impoundment is a natural topographic depression, man-made excavation, or diked area formed primarily of earthen materials (although



some may be lined with man-made materials), that is designed to hold an accumulation of liquid wastes or wastes containing free liquids. Examples of surface impoundments are holding, settling, storage, and elevation pits; ponds, and lagoons. If the pit, pond, or lagoon is intended for storage or holding without discharge, it would be considered to be a surface impoundment used as a final disposal method. A facility must determine, to the best of its ability, the percentage of a volatile chemical, e.g., benzene, that is in waste sent to a surface impoundment that evaporates during the reporting year. The facility must report this as a fugitive air emission in section 5.1. The balance should be reported in either section 5.5.3A or 5.5.3B.

Quantities of the EPCRA Section 313 chemical released to surface impoundments that are used merely as part of a wastewater treatment process generally should not be reported in this section. However, if an impoundment accumulates sludges containing the EPCRA Section 313 chemical, you must include an estimate in this section unless the sludges are removed and otherwise disposed of (in which case they must be reported under the appropriate section of the form). For the purposes of this reporting, storage tanks are not considered to be a type of disposal and are not to be reported in this section of Form R.

#### 5.5.3A RCRA Subtitle C Surface Impoundments

Enter the total amount of the EPCRA Section 313 chemical that was placed in RCRA Subtitle C surface impoundments.

#### 5.5.3B Other Surface Impoundments

Enter the total amount of the EPCRA Section 313 chemical that was placed in surface impoundments other than RCRA Subtitle C surface impoundments.

#### 5.5.4 Other Disposal

Includes any amount of an EPCRA Section 313 chemical released to land that does not fit the categories of landfills, land treatment, or surface impoundment. This other disposal would include any spills or leaks of EPCRA Section 313 chemicals to land. For example, 2,000 pounds of benzene leaks from an underground pipeline into the land at a facility. Because the pipe was only a few feet from the surface at the erupt point, 30 percent of the benzene evaporates into the air. The

600 pounds released to the air would be reported as a fugitive air release (Part II, Section 5.1) and the remaining 1,400 pounds would be reported as a release to land, other disposal (Part II, Section 5.5.4).

#### Section 5 Column A: Total Release

Only on-site releases of the EPCRA Section 313 chemical to the environment for the calendar year are to be reported in this section of Form R. The total on-site releases from your facility do not include transfers or shipments of the EPCRA Section 313 chemical from your facility for sale or distribution in commerce, or of wastes to other facilities for disposal, treatment, energy recovery, or recycling (see Part II, Section 6 of these Instructions). Both routine releases, such as fugitive air emissions, and accidental or non-routine releases, such as chemical spills, must be included in your estimate of the quantity released.

**Releases of Less Than 1,000 Pounds.** For total annual releases or off-site transfers of an EPCRA Section 313 chemical from the facility of less than 1,000 pounds, the amount may be reported either as an estimate or by using the range codes that have been developed (range reporting in section 5 does not apply to PBT chemicals). Do not enter a range code and an estimate in the same box in column A.

The reporting range codes to be used are:

Code	Reporting Range (in pounds)
A	1-10
B	11-499
C	500-999

Total annual on-site releases of an EPCRA Section 313 chemical from the facility of less than 1 pound may be reported in one of several ways. You should round the value to the nearest pound. If the estimate is greater than 0.5 pound, you should either enter the range code "A" for "1-10" or enter "1" in column A. If the release is equal to or less than 0.5 pounds, you may round to zero and enter "0" in column A.

Note that total annual releases of 0.5 pound or less from the processing or otherwise use of an article maintain the article status of that item. Thus, if the only releases you have are from processing an article, and such releases are equal to or less than 0.5 pound per year, you are not required to submit



a report for that EPCRA Section 313 chemical. The 0.5-pound release determination does not apply to just a single article. It applies to the cumulative releases from the processing or otherwise use of the same type of article (e.g., sheet metal or plastic film) that occurs over the course of the reporting year.

If you enter a range code in column A, some TRI data tools used by the public will display the midpoint of the range (i.e., 5, 250, or 750 lb).

**Releases of 1,000 Pounds or More.** For releases to any medium that amount to 1,000 pounds or more for the year, you must provide an estimate in pounds per year in column A.

**Data Precision.** Generally, estimates provided need not be reported to more than two significant figures. This estimate should be in whole numbers. However, facilities should report releases and other waste management amounts at a level of precision supported by the accuracy of the underlying data and the estimation techniques on which the estimate is based. If a facility's release or other management calculations support reporting an amount that is more precise than two significant digits, then the facility should report that more precise amount.

**Calculating On-Site Releases.** To provide the release information in column A, EPCRA Section 313(g) (2) requires a facility to use readily available data (including monitoring data) collected pursuant to other provisions of law, or, where such data are not readily available, "reasonable estimates" of the amounts involved. If available data (including monitoring data) are known to be non-representative, facilities must make reasonable estimates using the best readily available information.

Reasonable estimates of the amounts released should be made using published emissions factors, material balance calculations, or engineering calculations. You may not use emissions factors or calculations to estimate releases if more accurate data are available.

No additional monitoring or measurement of the quantities or concentrations of any EPCRA Section 313 chemical released into the environment, or of the frequency of such releases, beyond that required under other provisions of law or

regulation or as part of routine plant operations, is required for the purpose of completing Form R.

You must estimate the quantity (in pounds) of the EPCRA Section 313 chemical or chemical category that is released annually to each environmental medium on-site. Include only the quantity of the EPCRA Section 313 chemical in this estimate. If the EPCRA Section 313 chemical present at your facility was part of a mixture or other trade name product, calculate only the releases of the EPCRA Section 313 chemical, not the other components of the mixture or other trade name product. If you are only able to estimate the releases of the mixture or other trade name product as a whole, you should assume that the release of the EPCRA Section 313 chemical is proportional to its concentration in the mixture or other trade name product. See Part 40, Section 372.30(b) of the CFR for further information on how to calculate the concentration and weight of the EPCRA Section 313 chemical in the mixture or other trade name product.

If you are reporting an EPCRA Section 313 chemical category listed in Table II of these instructions rather than a specific EPCRA Section 313 chemical, you must combine the release data for all chemicals in the EPCRA Section 313 chemical category (e.g., all listed members of certain glycol ethers or all listed members of chlorophenols) and report the aggregate amount for that EPCRA Section 313 chemical in that category separately. For example, if your facility releases 3,000 pounds per year of 2-chlorophenol, 4,000 pounds per year of 3-chlorophenol, and 4,000 pounds per year of 4-chlorophenol to air as fugitive emissions, you must report that your facility releases 11,000 pounds per year of chlorophenols to air as fugitive emissions in Part II, Section 5.1.

For aqueous ammonia solutions, releases must be reported based on 10 percent of total aqueous ammonia. Ammonia evaporating from aqueous ammonia solutions is considered to be anhydrous ammonia; therefore, 100 percent of the anhydrous ammonia should be reported if it is released to the environment.

For dissociable nitrate compounds, release estimates should be based on the weight of the nitrate only.

For metal category compounds (e.g., chromium compounds), report releases of only the parent metal. For example, a user of various inorganic chromium salts would report the total chromium released regardless of the chemical compound and exclude any contribution to mass made by the other portion of the compound.

### **Section 5 Column B: Basis of Estimate**

For each release and otherwise managed waste estimate (Sections 5 & 6), you are required to indicate the principal method used to determine the amount of release and otherwise managed waste reported. You should enter a letter code identifying the method that applies to the largest portion of the total estimated release and otherwise managed waste quantity.

The codes are as follows:

- M1 Estimate is based on continuous monitoring data or measurements for the EPCRA Section 313 chemical.
- M2 Estimate is based on periodic or random monitoring data or measurements for the EPCRA Section 313 chemical.
- C Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in streams entering and leaving process equipment.
- E1 Estimate is based on published emissions factors, such as those relating release quantity to through-put or equipment type (e.g., air emissions factors). This may include emissions factors in a trade association's publication or AP-42.
- E2 Estimate is based on-site specific emissions factors, such as those relating release quantity to through-put or equipment type (e.g., air emissions factors). This may include emissions factors that are developed for a specific piece of equipment and that consider climate conditions on-site.
- O Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

For example, if 40 percent of stack emissions of the reported EPCRA Section 313 chemical were derived using source testing data, 30 percent by mass balance, and 30 percent by published chemical-specific emissions factors, you should enter the code letter "M2" for periodic or random emission monitoring.

If the monitoring data, mass balance, or emissions factor used to estimate the release is not specific to the EPCRA Section 313 chemical being reported, the form should identify the estimate based on other methods of estimation (O).

If a mass balance calculation yields the flow rate of a waste, but the quantity of reported EPCRA Section 313 chemical in the waste is based on solubility data, you should report "O" because engineering calculations were used as the basis of estimate of the quantity of the EPCRA Section 313 chemical in the waste.

If the concentration of the EPCRA Section 313 chemical in the waste was measured by continuous emissions monitoring equipment and the flow rate of the waste was determined by mass balance, then the primary basis of the estimate should be "continuous emission monitoring" (M1). Even though a mass balance calculation also contributed to the estimate, "continuous emission monitoring" should be indicated because monitoring data were used to estimate the concentration of the chemical in waste.

Mass balance (C) should only be indicated if it is directly used to calculate the mass (weight) of EPCRA Section 313 chemical released. Monitoring data should be indicated as the basis of estimate only if the EPCRA Section 313 chemical concentration is measured in the waste. Monitoring data should not be indicated, for example, if the monitoring data relate to a concentration of the EPCRA Section 313 chemical in other process streams within the facility.

It is important to realize that the accuracy and proficiency of release estimation will improve over time. However, submitters are not required to use new emissions factors or estimation techniques to revise previous Form R submissions.

**Section 5 Column C: Percent from Stormwater**

This column relates only to Section 5.3 - discharges to receiving streams or water bodies. If your facility has monitoring data on the amount of the EPCRA Section 313 chemical in stormwater runoff (including unchanneled runoff), you must include that quantity of the EPCRA Section 313 chemical in your water release in column A and indicate the percentage of the total quantity (by weight) of the EPCRA Section 313 chemical contributed by stormwater in column C (Section 5.3C).

If your facility has monitoring data on the EPCRA Section 313 chemical and an estimate of flow rate, you must use these data to determine the percent stormwater.

If you have monitored stormwater but did not detect the EPCRA Section 313 chemical, enter zero in column C. If your facility has no stormwater monitoring data for the chemical, you should check the NA box.

If your facility does not have periodic measurements of stormwater releases of the EPCRA Section 313 chemical, but has submitted chemical-specific monitoring data in permit applications, then these data must be used to calculate the percent contribution from stormwater. One way to calculate the flow rates from stormwater runoff is the Rational Method. In this method, flow rates, Q, can be estimated by multiplying the land area of the facility, A, by the runoff coefficient, C, and then multiplying that figure by the annual rainfall intensity, I. The rainfall intensity, I, is specific to the geographical area of the country where the facility is located, and may be obtained from most standard engineering manuals for hydrology. The flow rate, Q, will have volumetric dimensions per unit time, and will have to be converted to units of pounds per year.

**Equation 2**  

$$Q = A \times C \times I$$

where:

- Q = flow rate
- A = land area of the facility
- C = runoff coefficient (see Equation 3)
- I = rainfall intensity

The runoff coefficient represents the fraction of rainfall that does not seep into the ground but runs off as stormwater. The runoff coefficient is directly related to how the land in the drainage area is used. (See table below).

Description of Land Area	Runoff Coefficient
<b>Business</b>	
Downtown areas	0.70-0.95
Neighborhood areas	0.50-0.70
<b>Industrial</b>	
Light areas	0.50-0.80
Heavy areas	0.60-0.90
<b>Industrial</b>	
Railroad yard areas	0.20-0.40
Unimproved areas	0.10-0.30
<b>Streets</b>	
Asphaltic	0.70-0.95
Concrete	0.80-0.95
Brick	0.70-0.85
Drives and walks	0.70-0.85
Roofs	0.75-0.95
<b>Lawns: Sandy Soil</b>	
Flat, 2 percent	0.05-0.10
Average, 2 - 7 percent	0.10-0.15
Steep, 7 percent	0.15-0.20
<b>Lawns: Heavy Soil</b>	
Flat, 2 percent	0.13-0.17
Average, 2 - 7 percent	0.18-0.22
Steep, 7 percent	0.25-0.35

You should choose the most appropriate runoff coefficient for your site or calculate a weighted-average coefficient, which takes into account different types of land use at your facility:

**Equation 3**

Weighted-average runoff coefficient =  

$$(Area\ 1\ \% \ of\ total)(C1) + (Area\ 2\ \% \ of\ total)(C2) + (Area\ 3\ \% \ of\ total)(C3) + \dots + (Area\ i\ \% \ of\ total)(Ci)$$

where:

C<sub>i</sub> = runoff coefficient for a specific land use of Area i.

**Example 13: Stormwater Runoff**

Your facility is located in a semi-arid region of the United States that has an annual precipitation (including snowfall) of 12 inches of rain. (Snowfall should be converted to the equivalent inches of rain; assume one foot of snow is equivalent to one inch of rain.) The total area covered by your facility is 42 acres (about 170,000 square meters or 1,829,520 square feet). The area of your facility is 50 percent unimproved area, 10 percent asphaltic streets, and 40 percent concrete pavement.

The total stormwater runoff from your facility is therefore calculated as follows:

Land Use	% Total Area	Runoff Coefficient
Unimproved area	50	0.20
Asphaltic streets	10	0.85
Concrete pavement	40	0.90

$$\text{Weighted-average runoff coefficient} = [(50\%) \times (0.20)] + [(10\%) \times (0.85)] + [(40\%) \times (0.90)] = 0.545$$

$$\begin{aligned} &(\text{Rainfall}) \times (\text{land area}) \times (\text{conversion factor}) \times (\text{runoff coefficient}) = \text{stormwater runoff} \\ &(1 \text{ ft/year}) \times (1,829,520 \text{ ft}^2) \times (7.48 \text{ gal/ft}^3) \times (0.545) = 7,458,222 \text{ gallons/year} \end{aligned}$$

$$\text{Total stormwater runoff} = 7,458,222 \text{ gallons/year}$$

Your stormwater monitoring data shows that the average concentration of zinc in the stormwater runoff from your facility from a biocide containing a zinc compound is 1.4 milligrams per liter. The total amount of zinc discharged to surface water through the plant wastewater discharge (non-stormwater) is 250 pounds per year. The total amount of zinc discharged with stormwater is:

$$(7,458,222 \text{ gallons stormwater}) \times (3.785 \text{ liters/gallon}) = 28,229,370 \text{ liters stormwater}$$

$$(28,229,370 \text{ liters stormwater}) \times (1.4 \text{ mg zinc/liter}) \times 10^3 \text{ g/mg} \times (1/454) \text{ lb/g} = 87 \text{ lb zinc.}$$

The total amount of zinc discharged from all sources of your facility is:

$$\begin{array}{r} 250 \text{ pounds zinc from wastewater discharged} \\ +87 \text{ pounds zinc from stormwater runoff} \\ \hline 337 \text{ pounds zinc total water discharged} \end{array}$$

The percentage of zinc discharge through stormwater reported in section 5.3 column C on Form R is:

$$(87/337) \times 100\% = 26\%$$

**Section 5.5 Optional Waste Rock Piles Information**

If you manage the EPCRA Section 313 chemical in waste rock that was disposed of on-site, you may elect to provide additional optional information. Waste rock refers to rock that contains insufficient metal concentration to economically process at any given time and is thus typically removed from the mine to allow access to the ore-grade rock. Waste rock does not refer to slag, tailings, or other beneficiated rock or ore. Check the optional box if

you would like to indicate that your reported Section 5.5 quantities include management of the chemical in “waste rock piles.” Additionally, you may enter the quantity of the chemical reported in Section 5.5 that was managed in waste rock piles. TRI-MEweb will allow for the inclusion of optional free text that a facility may use to further characterize its on-site management of waste rock. Any information provided in the free-text field will be added to Section 9.1 (Miscellaneous Information).

## Section 6. Transfer(s) of the Toxic Chemical in Wastes to Off-Site Locations (Form R)

You must report in this section the total annual quantity of the EPCRA Section 313 chemical in wastes sent to any off-site facility for the purposes of disposal, treatment, energy recovery, or recycling. Report the total amount of the EPCRA Section 313 chemical transferred off-site after any on-site waste treatment, recycling, or removal is completed.

For all toxic chemicals (except the dioxin and dioxin-like compounds category), do not enter the values in Section 6 in gallons, tons, liters, or any measure other than pounds. You must also enter the values as whole numbers. Numbers following a decimal point are not acceptable for toxic chemicals other than those designated as PBT chemicals. For PBT chemicals, facilities should report release and other waste management quantities greater than 0.1 pound (except the dioxin and dioxin-like compounds category) provided the accuracy and the underlying data on which the estimate is based supports this level of precision.

**Dioxin and dioxin-like compounds category.** Facilities should report at a level of precision supported by the accuracy of the underlying data and the estimation techniques on which the estimate is based. Notwithstanding the numeric precision used when determining reporting eligibility thresholds, facilities should report on Form R to the level of accuracy that their data supports, up to seven digits to the right of the decimal. TRI-MEweb and EPA's data management systems support data precision to seven digits to the right of the decimal. The smallest quantity that needs to be reported on the Form R for the dioxin and dioxin-like compounds category is 0.0001 grams (see Example 12).

**NA vs. a Numeric Value (e.g., Zero).** You must enter a numeric value if you transfer an EPCRA Section 313 chemical to a Publicly Owned Treatment Works (POTW) or transfer wastes containing that toxic chemical to other off-site locations. If the aggregate amount transferred was less than 0.5 pound, then you should enter zero (unless the chemical is listed as a PBT chemical). Also report zero for transfers of listed mineral acids (i.e., hydrogen fluoride and nitric acid) if they have been

neutralized to a pH of 6 or above prior to discharge to a POTW; do not check NA.

However, if you do not discharge wastewater containing the reported EPCRA Section 313 chemical to a POTW, you should check the "Not Applicable" box in Section 6.1. If you do not ship or transfer wastes containing the reported EPCRA Section 313 chemical to other off-site locations, you should check the "Not Applicable" box in Section 6.2. In TRI-MEweb, users may enter as many unique transfers as needed.

### 6.1 Discharges to Publicly Owned Treatment Works

In Section 6.1, facilities using TRI-MEweb can click "**Add New POTW**" to use a search tool to search POTWs by location or facility identifiers including EPA Registry ID (FRS ID), NPDES ID, or RCRA ID. If the receiving POTW cannot be identified using the search, the user may enter the POTW information manually by clicking "**Enter New POTW**," and then provide the receiving POTWs' name and address.

Facilities should report for each POTW to which the facility discharges or otherwise transfers wastewater containing the reported EPCRA Section 313 chemical. The most common transfers of this type will be conveyances of the toxic chemical in facility wastewater through underground sewage pipes; however, materials may also be trucked or transferred via some other direct methods to a POTW.

If you do not discharge wastewater containing the reported EPCRA Section 313 chemical to a POTW, enter NA in the box in Section 6.1. (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 6).

6.1[ ] Column A: Quantity Transferred to this POTW

Enter the total amount, in pounds, of the reported EPCRA Section 313 chemical that is contained in the wastewaters transferred to each POTW. Do not enter the total poundage of the wastewaters. If the total amount transferred is less than 1,000 pounds, you may report a range by entering the appropriate range code (range reporting in section 6.1.[ ]\_A. does not apply to PBT chemicals). The following reporting range codes are to be used:



## Part II. Chemical Identification Information

Code	Reporting Range (in pounds)
A	1-10
B	11-499
C	500-999

If you enter a range code in column A, some TRI data tools used by the public will display the midpoint of the range (i.e., 5, 250, or 750 lb).

If you transfer the EPCRA Section 313 chemical in wastewater to an off-site POTW for distinct and multiple purposes, you must report those activities for each off-site POTW, along with the quantity of the reported EPCRA Section 313 chemical associated with each activity. These quantities and the associated activity codes must be reported separately in Section 6.1. For example, if you transferred 100 lb of the chemical to the POTW and 30 lb were released to air, 40 lb were disposed of as sludge, and 30 lb were transformed into sludge and then incinerated, you would provide three lines using P-codes P-32, P-33, and P-38, respectively, with the corresponding quantities and the basis of estimate(s). If you do not know the ultimate disposition of transferred quantities then please use codes P-36 (Other or Unknown Disposal) and/or P-37 (Other or Unknown Treatment) along with corresponding quantities and the basis of estimate(s). EPA provides suggested removal and treatment rates for certain chemicals to help you report this data element. If you have better information on the final disposition of the chemical readily available then use that information instead (Table IV).

If you have fewer than four total transfers in Section 6.1 Column A, an NA should be placed in Column A of the first unused row to indicate the termination of the sequence. If all four rows are used, there is no need to terminate the sequence. If there are more than four total transfers, re-enter the name of the off-site location, address, etc. in the next row (6.1.2) and then you should enter NA when the sequence has terminated if there are fewer than 8 (i.e. anytime there are fewer than 4 transfers listed in a Section 6.1 block, an NA should be used to terminate the sequence).

If a reported EPCRA Section 313 chemical is sent to an off-site POTW for sequential activities, you should report the final disposition of the toxic chemical. **6.1] ] Column B: Basis of Estimate**

You must identify the basis for your estimates of the quantities of the reported EPCRA Section 313 chemical in the wastewater transferred to each POTW. Enter one of the following letter codes that applies to the method by which the largest percentage of the estimate was derived.

- M1 Estimate is based on continuous monitoring data or measurements for the EPCRA Section 313 chemical.
- M2 Estimate is based on periodic or random monitoring data or measurements for the EPCRA Section 313 chemical.
- C Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in streams entering and leaving process equipment.
- E1 Estimate is based on published emissions factors, such as those relating release quantity to through-put or equipment type (e.g., air emissions factors). This may include emissions factors in a trade association's publication or AP-42.
- E2 Estimate is based on-site specific emissions factors, such as those relating release quantity to through-put or equipment type (e.g., air emissions factors). This may include emissions factors that are developed for a specific piece of equipment and that consider climate conditions on-site.
- O Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

### **6.1] ] Column C: Disposal/Treatment**

You should enter one of the following P codes to identify the type of disposal or treatment methods used by the POTW for the reported EPCRA Section 313 chemical. You must use separate transfers and codes for a single location when distinct quantities of the reported EPCRA Section 313 chemical are subject to different waste management activities. You must use the code that represents the ultimate disposition of the chemical.

### **Metals and Metal Category Compounds**

Remember that the release and other waste management information that you report for metal category compounds will be the total amount of the parent metal released and NOT the whole metal category compound. The metal cannot be treated because it cannot be destroyed. Thus, transfers of metals and metal category compounds for further waste management should be reported as a disposal. The applicable codes for transfers of metals and metal category compounds in wastewater to a POTW for disposal include P-30, P-31, P-32, P-33, P-34, P-35, and P-36.

Applicable codes for Part II, Section 6.1, column C are:

#### Disposal Codes:

- P-30 – POTWs Only – Discharged to Water Stream
- P-31 – POTWs Only – Discharged to Other Activities
- P-32 – POTWs Only – Released to Air
- P-33 – POTWs Only – Sludge to disposal
- P-34 – POTWs Only – Metals and metal compounds only – Sludge to incineration
- P-35 – POTWs Only – Sludge to agricultural applications
- P-36 – POTWs Only – Other or Unknown Disposal

#### Treatment Codes:

- P-37 – POTWs Only – Other or Unknown treatment
- P-38 – POTWs Only – Sludge to incineration
- P-39 – POTWs Only – Experimental and Estimated Treatment Data (TRI provided)

Facilities should provide the ultimate disposition of toxic chemicals at POTWs. For example, if the toxic chemical is:

- in the POTWs' effluent and is discharged to surface waters/water stream – use P-30
- discharged to other activities such as watering golf courses, agricultural land, etc. – use P-31
- released to air – use P-32
- in the POTWs' sludge and is disposed via landfill disposal or land application – use P-33
- incinerated – use P-38 (P-34 for metals and metal compounds)

- disposed via agricultural applications or other activities – use P-35

If facilities do not have specific information about the fate of chemicals transferred to a POTW then use P-36 and/or P-37. If you use a treatment rate provided in Table IV then use P-39. (Table IV provides release and removal and destruction rates for toxic chemicals sent to POTWs that are based on experimental and estimated data compiled by EPA). If you have better information on the final disposition of the chemical readily available then use that information instead.

In addition, TRI-MEweb will assist a facility in completing this section when the facility lacks data on the ultimate disposition of a chemical transferred to a POTW by applying default distribution removal and release percentages to quantities transferred to a POTW.

### **6.2 Transfers to Other Off-Site Locations**

In Section 6.2, facilities using TRI-MEweb can click “**New Location**” to access a form to search off-site transfer locations by location or RCRA ID. to which the facility ships or transfers wastes containing the reported EPCRA Section 313 chemical for the purposes of disposal, treatment, energy recovery, or recycling. If the receiving other off-site location cannot be identified using the search, the user may enter the off-site location information clicking “**Enter New Location**,” and then indicating the receiving other off-site locations' name and address. Reporters must also indicate if the receiving location is under the control of the reporting facility or parent company.

In general, a RCRA ID Number (also called an EPA Identification Number) will commonly be found on the Uniform Hazardous Waste Manifest, which is required by RCRA regulations for the transfer of hazardous wastes. However, please note that an off-site transfer of a non-hazardous waste containing a TRI chemical may be received by a facility with a RCRA ID. If the receiving facility's RCRA ID is known, even if it is not associated with the waste transfer that you are initiating, it should be provided in Section 6.2. The purpose of the RCRA ID number is for the identification of the off-site transfer facility and not just to indicate a hazardous waste transfer. If you ship or transfer wastes containing an EPCRA Section 313 chemical and the off-site location does

not have an EPA Identification Number, enter NA in the box for the off-site location EPA Identification Number.

Specifically for other off-site transfers, facilities must also report the type of disposal, treatment, energy recovery, or recycling methods used by the off-site location for the reported EPCRA Section 313 chemical (see Section 6.2 Column C). If appropriate, you must report multiple activities for each off-site location. For example, if your facility sends a reported EPCRA Section 313 chemical in a single waste stream to an off-site location where some of the EPCRA Section 313 chemical is to be recycled while the remainder of the quantity transferred is to be treated, you must report both the waste treatment and recycle activities, along with the quantity associated with each activity.

If your facility transfers an EPCRA Section 313 chemical to an off-site location and that off-site location performs more than four activities on that chemical, multiple transfers may be listed by clicking “+ Add Transfer.”

If you do not ship or transfer wastes containing the EPCRA Section 313 chemical to other off-site locations, you should check the Not Applicable box in Section 6.2, “Transfers to Other Off-Site Locations.”

If you ship or transfer the reported EPCRA Section 313 chemical in wastes to another country, you do not need to report a RCRA ID for that waste. You should check “Not Applicable” for the RCRA ID field. Select the non-U.S. transfer location check box when adding a new off-site transfer site that is located outside the borders of the United States in Section 6.2. Enter the location information for the non-U.S. facility including: location name, address, city, province, country, and postal code. TRI-MEweb provides a dropdown for selecting countries and their Federal Information Processing Standards (FIPS) codes.

**6.2] Column A: Total Transfers**

For each off-site location, enter the total amount, in pounds (in grams for dioxin and dioxin-like compounds), of the EPCRA Section 313 chemical that is contained in the waste transferred to that location. **Do not enter the total quantities of the waste.** If you do not ship or transfer wastes containing the EPCRA Section 313 chemical to other off-site

locations, you should enter NA (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 6) in the box for the off-site location’s EPA Identification Number (defined in 40 CFR 260.10 and therefore commonly referred to as the RCRA ID Number).

If the total amount transferred is less than 1,000 pounds, you may report a range by entering the appropriate range code (range reporting in section 6.2 does not apply to PBT chemicals). The following reporting range codes are to be used:

Code	Reporting Range (in pounds)
A	1-10
B	11-499
C	500-999

Note that if you enter a range code in column A, some TRI data tools used by the public will display the midpoint of the range (i.e., 5, 250, or 750 lb).

If you transfer the EPCRA Section 313 chemical in wastes to an off-site facility for distinct and multiple purposes, you must report those activities for each off-site location, along with the quantity of the reported EPCRA Section 313 chemical associated with each activity. For example, your facility transfers a total of 15,000 pounds of toluene to an off-site location that will use 5,000 pounds for the purposes of energy recovery, will enter 7,500 pounds into a recovery process, and will dispose of the remaining 2,500 pounds. These quantities and the associated activity codes must be reported separately in Section 6.2. (See Figure 6 for a hypothetical Section 6.2 completed for two off-site locations, one of which receives the transfer of 15,000 pounds of toluene as detailed.) If you have fewer than four total transfers in Section 6.2 Column A (see examples in Figure 6), an NA should be placed in Column A of the first unused row to indicate the termination of the sequence. If all four rows are used, there is no need to terminate the sequence. If there are more than four total transfers, re-enter the name of the off-site location, address, etc. in the next row (6.2.2) and then you should enter NA when the sequence has terminated if there are fewer than 8 (i.e. anytime there are fewer than 4 transfers listed in a Section 6.2 block, an NA should be used to terminate the sequence).

If a reported EPCRA Section 313 chemical is sent to an off-site facility for sequential activities, you

## Part II. Chemical Identification Information

should report the final disposition of the toxic chemical.

**Summary of Residue Quantities From Pilot-Scale Experimental Study<sup>a,b</sup>  
(weight percent of drum capacity)**

Unloading Method	Vessel Type	Value	Material			
			Kerosene <sup>c</sup>	Water <sup>d</sup>	Motor Oil <sup>e</sup>	Surfactant Solution <sup>f</sup>
Pumping	Steel drum	Range	1.93 - 3.08	1.84 - 2.61	1.97 - 2.23	3.06
		Mean	2.48	2.29	2.06	3.06
Pumping	Plastic drum	Range	1.69 - 4.08	2.54 - 4.67	1.70 - 3.48	Not Available
		Mean	2.61	3.28	2.30	
Pouring	Bung-top steel drum	Range	0.244 - 0.472	0.266 - 0.458	0.677 - 0.787	0.485
		Mean	0.404	0.403	0.737	0.485
Pouring	Open-top steel drum	Range	0.032 - 0.080	0.026 - 0.039	0.328 - 0.368	0.089
		Mean	0.054	0.034	0.350	0.089
Gravity Drain	Slope-bottom steel tank	Range	0.020 - 0.039	0.016 - 0.024	0.100 - 0.121	0.048
		Mean	0.033	0.019	0.111	0.048
Gravity Drain	Dish-bottom steel tank	Range	0.031 - 0.042	0.033 - 0.034	0.133 - 0.191	0.058
		Mean	0.038	0.034	0.161	0.058
Gravity Drain	Dish-bottom glass-lined tank	Range	0.024 - 0.049	0.020 - 0.040	0.112 - 0.134	0.040
		Mean	0.040	0.033	0.127	0.040

<sup>a</sup> From "Releases During Cleaning of Equipment." Prepared by PEI Associates, Inc., for the U.S. Environmental Protection Agency, Office of Pesticides and Toxic Substances, Washington DC, Contract No. 68-02-4248. June 30, 1986.

<sup>b</sup> The values listed in this table should only be applied to similar vessel types, unloading methods, and bulk fluid materials. At viscosities greater than 200 centipoise, the residue quantities can rise dramatically and the information on this table is not applicable.

<sup>c</sup> For kerosene, viscosity = 5 centipoise, surface tension = 29.3 dynes/cm<sup>2</sup>

<sup>d</sup> For water, viscosity = 4 centipoise, surface tension = 77.3 dynes/cm<sup>2</sup>

<sup>e</sup> For motor oil, viscosity = 94 centipoise, surface tension = 34.5 dynes/cm<sup>2</sup>

<sup>f</sup> For surfactant solution, viscosity = 3 centipoise, surface tension = 31.4 dynes/cm<sup>2</sup>

**Example 14: Container Residue**

You have determined that a Form R for an EPCRA Section 313 chemical must be submitted. The facility purchases and uses one thousand 55-gallon steel drums that contain a 10 percent solution of the chemical. Further, it is assumed that the physical properties of the solution are similar to water. The solution is pumped from the drums directly into a mixing vessel and the “empty” drums are triple-rinsed with water. The rinse water is indirectly discharged to a POTW and the cleaned drums are sent to a drum reclaimer.

In this example, it can be assumed that all of the residual solution in the drums was transferred to the rinse water. Therefore, the quantity transferred to the drum reclaimer should be reported as “zero.” The annual quantity of residual solution that is transferred to the rinse water can be estimated by multiplying the mean weight percent of residual solution remaining in water from pumping a steel drum (2.29 percent from the preceding table, “Summary of Residue Quantities From Pilot-Scale Experimental Study”) by the total annual weight of solution in the drum (density of solution multiplied by drum volume). If the density is not known, it may be appropriate to use the density of water (8.34 pounds per gallon):

$$(2.29\%) \times (8.34 \text{ pounds/gallon}) \times (55 \text{ gallons/drum}) \times (1,000 \text{ drums}) = 10,504 \text{ pounds solution}$$

The concentration of the EPCRA Section 313 chemical in the solution is only 10%.

$$(10,504 \text{ pounds solution}) \times (10\%) = 1,050 \text{ pounds}$$

Therefore, 1,050 pounds of the chemical are transferred to the POTW.

**6.2] ] Column B: Basis of Estimate**

You must identify the basis for your estimates of the quantities of the reported EPCRA Section 313 chemical in waste transferred to each off-site location. Enter one of the following letter codes that applies to the method by which the largest percentage of the estimate was derived.

- M1 Estimate is based on continuous monitoring data or measurements for the EPCRA Section 313 chemical.
- M2 Estimate is based on periodic or random monitoring data or measurements for the EPCRA Section 313 chemical.
- C Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in streams entering and leaving process equipment.
- E1 Estimate is based on published emissions factors, such as those relating release quantity to through-put or equipment type (e.g., air emissions factors).

- E2 Estimate is based on site specific emissions factors, such as those relating release quantity to through-put or equipment type (e.g., air emissions factors).
- O Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

**6.2] ] Column C: Type of Waste Management: Disposal/Treatment/Energy Recovery/Recycling**

You should enter one of the following M codes to identify the type of disposal, treatment, energy recovery, or recycling methods used by the off-site location for the reported EPCRA Section 313 chemical. You must use separate transfers and codes for a single location when distinct quantities of the reported EPCRA Section 313 chemical are subject to



## Part II. Chemical Identification Information

different waste management activities, including disposal, treatment, energy recovery, or recycling. You must use the code that represents the ultimate disposition of the chemical.

If the EPCRA Section 313 chemical is sent off-site for further direct reuse (e.g., an EPCRA Section 313 chemical in used solvent that will be used as lubricant at another facility) and does not undergo a waste management activity (i.e., release (including disposal), treatment, energy recovery, or recycling (recovery)) prior to that reuse, it need not be reported in section 6.2 or section 8.

### Incineration vs. Energy Recovery

You must distinguish between incineration which is waste treatment, and legitimate energy recovery. For you to claim that a reported EPCRA Section 313 chemical sent off-site is used for the purposes of energy recovery and not for treatment for destruction, the EPCRA Section 313 chemical must have a significant heating value and must be combusted in an energy recovery unit such as an industrial boiler, furnace, or kiln. In a situation where the reported EPCRA Section 313 chemical is in a waste that is combusted in an energy recovery unit, but the EPCRA Section 313 chemical does not have a significant heating value, e.g., CFCs, you should use code M54, Incineration/Insignificant Fuel Value, to indicate that the EPCRA Section 313 chemical was incinerated in an energy recovery unit but did not contribute to the heating value of the waste.

### Metals and Metal Category Compounds

Metals and metal category compounds will be managed in waste either by being released (including disposed of) or by being recycled. Remember that the release and other waste management information that you report for metal category compounds will be the total amount of the parent metal released or recycled and NOT the whole metal category compound. The metal has no heat value and thus cannot be combusted for energy recovery and cannot be treated because it cannot be destroyed. Thus, transfers of metals and metal category compounds for further waste management should be reported as either a transfer for recycling or a transfer for disposal. The applicable waste management codes for transfers of metals and metal category compounds for recycling are M24, metals recovery, M93, waste broker - recycling, or M26, other reuse/recovery. Applicable

codes for transfers for disposal include M10, M41, M62, M64, M65, M66, M67, M73, M79, M81, M82, M90, M94, and M99. These codes are for off-site transfers for further waste management in which the waste stream may be treated but the metal contained in the waste stream is not treated and is ultimately released. For example, M41 should be used for a metal or metal category compound that is stabilized in preparation for disposal.

Applicable codes for Part II, Section 6.2, column C are:

#### Disposal

M10	Storage Only
M41	Solidification/Stabilization - Metals and Metal Category Compounds only
M62	Wastewater Treatment (Excluding POTW) - Metals and Metal Category Compounds only
M64	Other Landfills
M65	RCRA Subtitle C Landfills
M66	Subtitle C Surface Impoundment
M67	Other Surface Impoundments
M73	Land Treatment
M79	Other Land Disposal
M81	Underground Injection to Class I Wells
M82	Underground Injection to Class II-V Wells
M90	Other Off-Site Management
M94	Transfer to Waste Broker - Disposal
M99	Management Method Unknown

#### Treatment

M40	Solidification/Stabilization
M50	Incineration/Thermal Treatment
M54	Incineration/Insignificant Fuel Value
M61	Wastewater Treatment (Excluding POTW)
M69	Other Waste Treatment
M95	Transfer to Waste Broker - Waste Treatment

#### Energy Recovery

M56	Energy Recovery
M92	Transfer to Waste Broker - Energy Recovery

#### Recycling

M20	Solvents/Organics Recovery
M24	Metals Recovery
M26	Other Reuse or Recovery
M28	Acid Regeneration
M93	Transfer to Waste Broker - Recycling

## Part II. Chemical Identification Information

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M codes that have previously been used for Section 6.2, column C, but which are no longer applicable include:

M63	Surface Impoundment
M71	Underground Injection
M72	Landfill/Disposal Surface Impoundment

### Example 15: Reporting Metals and Metal Category Compounds that are sent Off-site

A facility manufactures a product containing elemental copper, exceeding the processing threshold for copper. Various metal fabrication operations for the process produce a wastewater stream that contains some residual copper and off-specification copper material. The wastewater is collected and sent directly to a POTW. Periodic monitoring data show that 500 pounds of copper were transferred to the POTW in the reporting year. The POTW eventually releases these chemicals to a stream. The off-specification products (containing copper) are collected and sent off-site to a RCRA Subtitle C landfill. Sampling analyses of the product combined with hazardous waste manifests were used to determine that 1,200 pounds of copper in the off-spec product were sent to the off-site landfill.

Therefore, the facility must report 500 pounds in Sections 6.1 and 8.1d, and 1200 pounds in Sections 6.2 (waste code M65 (RCRA Subtitle C Landfill) should be used) and 8.1c.

Note that for EPCRA Section 313 chemicals that are not metals or metal category compounds, the quantity sent for treatment at POTWs and to other off-site treatment locations must be reported in Section 8.7 - Quantity Treated Off-site. However, if you know that some or all of the chemical is not treated for destruction at the off-site location you must report that quantity in Section 8.1.

**Part II. Chemical Identification Information**

SECTION 6. TRANSFER(S) OF THE TOXIC CHEMICAL IN WASTES TO OFF-SITE LOCATIONS										
6.1 DISCHARGES TO PUBLICLY OWNED TREATMENT WORKS (POTWs)						NA <input type="checkbox"/>				
6.1. POTW Name										
POTW Address										
City			County			State		ZIP		
A. Quantity Transferred to this POTW (pounds/year*) (Enter range code** or estimate)				B. Basis of Estimate (Enter code)			C. Disposal/Treatment (Enter code)			
1.				1.			1. P			
2.				2.			2. P			
3.				3.			3. P			
If additional pages of Part II, Section 6.1 are attached, indicate the total number of pages in this box <input type="text"/>										
and indicate the Part II, Section 6.1 page number in this box: <input type="text"/> (Example: 1, 2, 3, etc.)										
SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATIONS						NA <input checked="" type="checkbox"/>				
6.2. Off-Site EPA Identification Number (RCRA ID No.)						COD56616246				
Off-Site Location Name: Acme Waste Services										
Off-Site Address: 5 Market Street										
City		Anywhere		County	Hill	State	CO	ZIP	80461	Country (non-US)
Is this location under control of reporting facility or parent company? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No										

This off-site location receives a transfer of 15,000 pounds of toluene and will combust 5,000 pounds for the purposes of energy recovery, will enter 7,500 pounds into a recovery process, and will dispose of the remaining 2,500 pounds.

SECTION 6.2. TRANSFERS TO OTHER OFF-SITE LOCATION (CONTINUED)										
A. Total Transfer (pounds/year*) (Enter a range code** or estimate)				B. Basis of Estimate (Enter code)			C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (Enter code)			
1. 5,000				1. O			1. M 56			
2. 7,500				2. C			2. M 20			
3. 2,500				3. O			3. M 60			
6.2 Off-Site EPA Identification Number (RCRA ID No.)						COD16772543				
Off-Site Location Name: Combustion, Inc.										
Off-Site Address: 25 Facility Road										
City		Dumfry		County	Burns	State	CO	ZIP	80500	Country (non-US)
Is this location under control of reporting facility or parent company? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No										
A. Total Transfer (pounds/year*) (Enter a range code** or estimate)				B. Basis of Estimate (Enter code)			C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (Enter code)			
1. 12,500				1. O			1. M 54			
2. NA				2.			2. M			
3.				3.			3. M			

This off-site location receives a transfer of 12,500 pounds of tetrachloroethylene (perchloroethylene) that is part of a waste that is combusted for the purposes of energy recovery in an industrial furnace. Note that the tetrachloroethylene should be reported using code M54 to indicate that it is combusted in an energy recovery unit but it does not contribute to the heating value of the waste.

**Figure 6. Hypothetical Section 6.2 Completed for Two Off-Site Locations**

## Section 7. On-Site Waste Treatment, Energy Recovery, and Recycling Methods (Form R)

You must report in this section the methods of waste treatment, energy recovery, and recycling applied to the reported EPCRA Section 313 chemical in wastes on-site. There are three separate sections for reporting such activities. Section 7A column c and Section 7A column e were deleted from Form R in 2005. Section 7A column d remained on the form until 2010. In 2011, column d was renamed column c which is addressed below.

### Section 7A: On-Site Waste Treatment Methods and Efficiency

Most of the chemical-specific information required by EPCRA Section 313 that is reported on Form R is specific to the EPCRA Section 313 chemical rather than the waste stream containing the EPCRA Section 313 chemical. However, EPCRA Section 313 does require that waste treatment methods applied on-site to waste streams that contain the EPCRA Section 313 chemical be reported. This information is reportable regardless of whether the facility actively applies treatment or the treatment of the waste stream occurs passively. This information is collected in Section 7A of Form R.

In Section 7A, you must provide the following information if you treat waste streams containing the reported EPCRA Section 313 chemical on-site:

- The general waste stream types containing the EPCRA Section 313 chemical being reported;
- The waste treatment method(s) or sequence used on all waste streams containing the EPCRA Section 313 chemical; and
- The efficiency of each waste treatment method or waste treatment sequence in destroying or removing the EPCRA Section 313 chemical.

When entering on-site treatment data in TRI-MEweb, use a separate waste treatment profile in Section 7A for each general waste stream type. Each profile contains the general waste stream type (7A Column a) and all waste treatment methods associated with that stream (7A Column b). In TRI-MEweb, each profile treatment stream is assigned a name. Each waste treatment profile generated for a facility is available to be used for other forms from the same

facility for the same reporting year. Report only information about treatment of waste streams at your facility, not information about off-site waste treatment.

For each waste treatment profile, provide the appropriate waste treatment efficiency code (7A Column c) for that chemical.

TRI-MEweb may also simultaneously collect total quantities treated on-site for the current reporting year for this chemical (see Section 8.6).

If you do not perform on-site treatment of waste streams containing the reported EPCRA Section 313 chemical, check the “Not Applicable” box for Section 7A.

#### 7A Column a: General Waste Stream

For each waste treatment method, indicate the type of waste stream containing the EPCRA Section 313 chemical that is treated. Select the letter code that corresponds to the general waste stream type:

- A Gaseous (gases, vapors, airborne particulates)
- W Wastewater (aqueous waste)
- L Liquid waste streams (non-aqueous waste)
- S Solid waste streams (including sludges and slurries)

If a waste is a combination of water and organic liquid and the organic content is less than 50 percent, report it as a wastewater (W). Slurries and sludges containing water should be reported as solid waste if they contain appreciable amounts of dissolved solids, or solids that may settle, such that the viscosity or density of the waste is considerably different from that of process wastewater.

#### 7A Column b: Waste Treatment Method(s) Sequence

Enter the appropriate waste treatment code from the list below for each on-site waste treatment method used on a waste stream containing the EPCRA Section 313 chemical, regardless of whether the waste treatment method actually removes the specific EPCRA Section 313 chemical being reported. Waste treatment methods must be reported for each type of waste stream being treated (i.e., gaseous waste streams, aqueous waste streams, liquid non-aqueous waste streams, and solids). Except for the air emission treatment codes, the waste treatment codes are not restricted to any medium.

## *Part II. Chemical Identification Information*

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Waste streams containing the EPCRA Section 313 chemical may have a single source or may be aggregates of many sources. For example, process water from several pieces of equipment at your facility may be combined prior to waste treatment. Report waste treatment methods that apply to the aggregate waste stream, as well as waste treatment methods that apply to individual waste streams. If your facility treats various wastewater streams containing the EPCRA Section 313 chemical in different ways, the different waste treatment methods must be listed separately.

If your facility has several pieces of equipment performing a similar service in a waste treatment sequence, you may combine the reporting for such equipment. It is not necessary to enter four codes to cover four scrubber units, for example, if all four are treating waste streams of similar character (e.g., sulfuric acid mist emissions), have similar influent concentrations, and have similar removal efficiencies. If, however, any of these parameters differs from one unit to the next, each scrubber should be listed separately.



**Example 16: Calculating Releases and Other Waste Management Quantities**

Your facility disposes of 14,000 pounds of lead chromate (PbCrO<sub>4</sub>·PbO) in an on-site landfill and transfers 16,000 pounds of lead selenite (PbSeO<sub>4</sub>) to an off-site land disposal facility. You would therefore be submitting three separate reports on the following: lead compounds, selenium compounds, and chromium compounds. However, the quantities you would be reporting would be the pounds of “parent” metal being released on-site or transferred off-site for further waste management. All quantities are based on mass balance calculations (See Section 5, Column B for information on Basis of Estimate and Section 6.2, Column C for waste management codes and information on transfers of EPCRA Section 313 chemicals in wastes). You would calculate releases of lead, chromium, and selenium by first determining the percentage by weight of these metals in the materials you use as follows:

**Lead Chromate (PbCrO<sub>4</sub>·PbO)**

Lead (2 Pb atoms)

Chromium (1 Cr atom)

Molecular weight = 546.37

Atomic weight = 207.2 × 2 = 414.4

Atomic weight = 51.996

Lead chromate is therefore (percent by weight):

$(414.4/546.37) = 75.85\%$  lead and

$(51.996/546.37) = 9.52\%$  chromium.

**Lead Selenite (PbSeO<sub>4</sub>)**

Lead (1 Pb atom)

Selenium (1 Se atom)

Molecular weight = 350.17

Atomic weight = 207.2

Atomic weight = 78.96

Lead selenite is therefore (percent by weight):

$(207.2/350.17) = 59.17\%$  lead and

$(78.96/350.17) = 22.55\%$  selenium.

The total pounds of lead, chromium, and selenium disposed of on or off-site from your facility are as follows:

**Lead**

Disposal on-site:

$0.7585 \times 14,000 = 10,619$  pounds from lead chromate

Transfer off-site for disposal:

$0.5917 \times 16,000 = 9,467$  pounds from lead selenite

**Chromium**

Disposal on-site:

$0.0952 \times 14,000 = 1,333$  pounds from lead chromate

**Selenium**

Transfer off-site for disposal:

$0.2255 \times 16,000 = 3,608$  pounds from lead selenite

*Part II. Chemical Identification Information*

SECTION 7A. ON-SITE WASTE TREATMENT METHODS AND EFFICIENCY						
<input type="checkbox"/> Not Applicable (NA) - Check here if no on-site waste treatment method is applied to any waste stream containing the toxic chemical or chemical category.						
a. General Waste Stream (Enter code)		b. Waste Treatment Method(s) Sequence (Enter 3- or 4-character code(s))				c. Waste Treatment Efficiency (Enter 2 character code)
7A.1a	7A.1b		1	2		7A.1c
W	3	H101	4	H123	5	H124
	6	H082	7	H129	8	H083
				H081		H075
7A.2a	7A.2b		1	H077	2	NA
	3		4		5	
	6		7		8	E4
7A.3a	7A.3b		1	A01	2	NA
A	3		4		5	
	6		7		8	E5

*Figure 7. Hypothetical Section 7A*

Waste Treatment Codes

- A01 Flare
- A02 Condenser
- A03 Scrubber
- A04 Absorber
- A05 Electrostatic Precipitator
- A06 Mechanical Separation
- A07 Other Air Emission Treatment
- H040 Incineration--thermal destruction other than use as a fuel
- H071 Chemical reduction with or without precipitation
- H073 Cyanide destruction with or without precipitation
- H075 Chemical oxidation
- H076 Wet air oxidation
- H077 Other chemical precipitation with or without pre-treatment
- H081 Biological treatment with or without precipitation
- H082 Adsorption
- H083 Air or steam stripping
- H101 Sludge treatment and/or dewatering
- H103 Absorption
- H111 Stabilization or chemical fixation prior to disposal
- H112 Macro-encapsulation prior to disposal
- H121 Neutralization
- H122 Evaporation
- H123 Settling or clarification
- H124 Phase separation
- H129 Other treatment

*7A Column c: Waste Treatment Efficiency Estimate*

In the space provided, enter the range code, based upon the codes listed below, indicating the percentage of the EPCRA Section 313 chemical removed from the waste stream through destruction, biological degradation, chemical conversion, or physical removal. The waste treatment efficiency (expressed as a range of percent removal) represents the percentage of the EPCRA Section 313 chemical destroyed or removed (based on amount or mass), not merely changes in volume or concentration of the EPCRA Section 313 chemical in the waste stream. The efficiency, which can reflect the overall removal from sequential treatment methods applied to the general waste stream, refers only to the percent destruction, degradation, conversion, or removal of the EPCRA Section 313 chemical from the waste stream; it does not refer to the percent conversion or removal of other constituents in the waste stream. The efficiency also does not refer to the general efficiency of the treatment method for any waste stream. For some waste treatment methods, the percent removal will represent removal by several mechanisms, as in an aeration basin, where an EPCRA Section 313 chemical may evaporate, biodegrade, or be physically removed from the sludge.

Percent removal can be calculated as follows:

$$\text{Equation 4} \\ \frac{(I - E)}{I} \times 100\%$$

where:

I = amount of the EPCRA Section 313 chemical in the influent waste stream (entering the waste treatment step or sequence) and

E = amount of the EPCRA Section 313 chemical in the effluent waste stream (exiting the waste treatment step or sequence).

Calculate the amount of the EPCRA Section 313 chemical in the influent waste stream by multiplying the concentration (by weight) of the EPCRA Section 313 chemical in the waste stream by the total amount or weight of the waste stream. In most cases, the percent removal compares the treated effluent to the influent for the particular type of waste stream. For solidification of wastewater, the waste treatment efficiency can be reported as code E1 (greater than 99.9999 percent) if no volatile EPCRA Section 313 chemicals were removed with the water or evaporated into the air. Percent removal does not apply to incineration because the waste stream, such as wastewater or liquids, may not exist in a comparable form after waste treatment and the purpose of incineration as a waste treatment is to destroy the EPCRA Section 313 chemical by converting it to carbon dioxide and water or other byproducts. In cases where the EPCRA Section 313 chemical is incinerated, the percent efficiency must be based on the amount of the EPCRA Section 313 chemical destroyed or combusted, except for metals or metal category compounds. In the cases in which a metal or metal category compound is incinerated, the efficiency is reported as code E6 (equal to or greater than 0 percent, but less than or equal to 50 percent).

Similarly, an efficiency of zero must be reported for any waste treatment method(s) that does not destroy, chemically convert or physically remove the EPCRA Section 313 chemical from the waste stream.

For metal category compounds, the calculation of the reportable concentration and waste treatment efficiency must be based on the weight of the parent metal, not on the weight of the metal compound. Metals are not destroyed, only physically removed or chemically converted from one form into another.

The waste treatment efficiency reported must represent only physical removal of the parent metal from the waste stream (except for incineration), not the percent chemical conversion of the metal compound. If a listed waste treatment method converts but does not remove a metal (e.g., chromium reduction), the method must be reported with a waste treatment efficiency of code E6 (equal to or greater than 0 percent, but less than or equal to 50 percent).

EPCRA Section 313 chemicals that are strong mineral acids neutralized to a pH of 6 or above are considered treated at 100 percent efficiency.

When calculating waste treatment efficiency, EPCRA Section 313(g)(2) requires a facility to use readily available data (including monitoring data) collected pursuant to other provisions of law, or, where such data are not readily available, “reasonable estimates” of the amounts involved.

#### Waste Treatment Efficiency Range Codes:

E1 = greater than 99.9999%

E2 = greater than 99.99%, but less than or equal to 99.9999%

E3 = greater than 99%, but less than or equal to 99.99%

E4 = greater than 95%, but less than or equal to 99%

E5 = greater than 50%, but less than or equal to 95%

E6 = equal to or greater than 0%, but less than or equal to 50%

### **Section 7B: On-site Energy Recovery Processes**

In Section 7B, you must indicate the on-site energy recovery methods used on the reported EPCRA Section 313 chemical.

EPA considers an EPCRA Section 313 chemical to be combusted for energy recovery if the toxic chemical has a significant heat value and is combusted in an energy recovery device. If a reported EPCRA Section 313 chemical is incinerated on-site but does not contribute energy to the process (e.g., chlorofluorocarbons), it must be considered waste treated on-site and reported in Section 7A. Metals and metal category compounds cannot be combusted for energy recovery and should NOT be reported in this section. Do not include the combustion of fuel oils, such as fuel oil #6, in this section. Energy

recovery may take place only in an industrial kiln, furnace, or boiler.

**NA vs. a Numerical Value (e.g., Zero).** If you do not perform on-site energy recovery for a waste stream that contains or contained the EPCRA Section 313 chemical, check the NA box at the top of Section 7B and enter NA in Section 8.2. If you perform on-site energy recovery for the waste stream that contains or contained the EPCRA Section 313 chemical, enter the appropriate code in Section 7B and enter the appropriate value in Section 8.2. If this quantity is less than or equal to 0.5 pound, round to zero (unless the chemical is a listed PBT chemical) and enter zero in 8.2. (Note: for metals and metal compounds, you should only report NA in Section 7B and Section 8.2.)

Energy Recovery Codes

- U01 Industrial Kiln
- U02 Industrial Furnace
- U03 Industrial Boiler

If your facility uses more than one on-site energy recovery method for the reported EPCRA Section 313 chemical, list the methods used in descending order (greatest to least) based on the amount of the EPCRA Section 313 chemical entering such methods.

TRI-MEweb will also simultaneously collect total quantity used for energy recovery on-site for the current reporting year for this chemical (see Section 8.2).

**Section 7C: On-site Recycling Processes**

In Section 7C, you must report the recycling methods used on the EPCRA Section 313 chemical.

In this section, use the codes below to report only the recycling methods in place at your facility that are applied to the EPCRA Section 313 chemical. Do not list any off-site recycling activities. (Information about off-site recycling must be reported in Part II, Section 6, “Transfers of the Toxic Chemical in Wastes to Off-site Locations.”)

**NA vs. a Numerical Value (e.g., Zero).** If you do not perform on-site recycling for the reported EPCRA Section 313 chemical, check the NA box at the top of Section 7C and enter NA in Section 8.4. If you perform on-site recycling for the reported EPCRA Section 313 chemical, enter the appropriate code in Section 7C and enter the appropriate value in Section 8.4. If this quantity is less than or equal to 0.5 pound, round to zero (unless the chemical is a listed PBT chemical) and enter 0 in Section 8.4.

On-Site Recycling Codes

- H10 Metal recovery (by retorting, smelting, or chemical or physical extraction)
- H20 Solvent recovery (including distillation, evaporation, fractionation or extraction)
- H39 Other recovery or reclamation for reuse (including acid regeneration or other chemical reaction process)

If your facility uses more than one on-site recycling method for an EPCRA Section 313 chemical, enter the codes in the space provided in descending order (greatest to least) based on the volume of the reported EPCRA Section 313 chemical recovered by each process.

TRI-MEweb will also simultaneously collect total quantity recycled on-site for the current reporting year for this chemical (see Section 8.4).

**Example 17: On-Site Waste Treatment**

A process at the facility generates a wastewater stream containing an EPCRA Section 313 chemical (chemical A). A second process generates a wastewater stream containing two EPCRA Section 313 chemicals, a metal (chemical B) and a mineral acid (chemical C). Thresholds for all three chemicals have been exceeded and you are in the process of completing separate Form Rs for each chemical.

These two wastewater streams are combined and sent to an on-site wastewater treatment system before being discharged to a POTW. This system consists of an oil/water separator that removes 99 percent of chemical A; a neutralization tank in which the pH is adjusted to 7.5, thereby destroying 100 percent of the mineral acid (chemical C); and a settling tank where 95 percent of the metal (chemical B) is removed from the water (and eventually landfilled off-site).

Section 7A should be completed slightly differently when you file the Form R for each of the chemicals. The table accompanying this example shows how Section 7A should be completed for each chemical. First, on each Form R you should identify the type of waste stream in Section 7A.1a as wastewater (aqueous waste, code W). Next, on each Form R you should list the code for each of the treatment steps that is applied to the entire waste stream, regardless of whether the operation affects the chemical for which you are completing the Form R (for instance, the first four blocks of Section 7A.1b of all three Form Rs should show: H124 (phase separation), H121 (neutralization), H123 (settling or clarification), and N/A (to signify the end of the treatment system). Note that Section 7A.1b is not chemical specific. It applies to the entire waste stream being treated. Section 7A.1c applies to the efficiency of the entire system in destroying and/or removing the chemical for which you are preparing the Form R. You should enter E4 when filing for chemical A, E5 for chemical B, and E1 for chemical C.

**Chemical A**

7A.1a	7A.1b	1. H124	2. H121	7A.1c	
W	3. H123	4. N/A	5.	E4	
	6.	7.	8.		

**Chemical B**

7A.1a	7A.1b	1. H124	2. H121	7A.1c	
W	3. H123	4. N/A	5.	E5	
	6.	7.	8.		

**Chemical C**

7A.1a	7A.1b	1. H124	2. H121	7A.1c	
W	3. H123	4. N/A	5.	E1	
	6.	7.	8.		

Note that the *quantity* removed and/or destroyed is not reported in Section 7 and that the efficiency reported in Section 7A.1c refers to the amount of EPCRA Section 313 chemical destroyed *and/or removed* from the applicable waste stream. The amount actually destroyed should be reported in Section 8.6 (quantity treated on-site). For example, when completing the Form R for chemical B you should report “N/A” pounds in Section 8.6 because the metal has been removed from the wastewater stream, but not actually destroyed. The quantity of chemical B that is ultimately landfilled off-site should be reported in Sections 6.2 and 8.1c. However, when completing the Form R for chemical C, you should report the entire quantity in Section 8.6 because raising the pH to 7.5 will completely destroy the mineral acid.



**Example 18: Reporting On-Site Energy Recovery**

One waste stream generated by your facility contains, among other chemicals, toluene and Freon 113. Threshold quantities are exceeded for both of these EPCRA Section 313 chemicals, and you would, therefore, submit two separate Form R reports. This waste stream is sent to an on-site industrial furnace that uses the heat generated in a thermal hydrocarbon cracking process at your facility. Because toluene has a significant heat value (17,440 BTU/pound) and the energy is recovered in an industrial furnace, the code "U02-Industrial Furnace" would be selected for the energy recovery method in Section 7B for the Form R submitted for toluene.

However, as Freon 113 does not contribute any value for energy recovery purposes, the combustion of Freon 113 in the industrial furnace is considered waste treatment, not energy recovery. You would report Freon 113 as entering a waste treatment step (i.e., incineration), in Section 7A, column b. In Section 7B the facility should report zero.

## Section 8. Source Reduction and Waste Management (Form R)

This section includes the data elements mandated by Section 6607 of the [Pollution Prevention Act of 1990 \(PPA\)](#). The PPA calls for pollution to be prevented or reduced at the source whenever feasible and released to the environment only as a last resort, as shown in Figure 8.

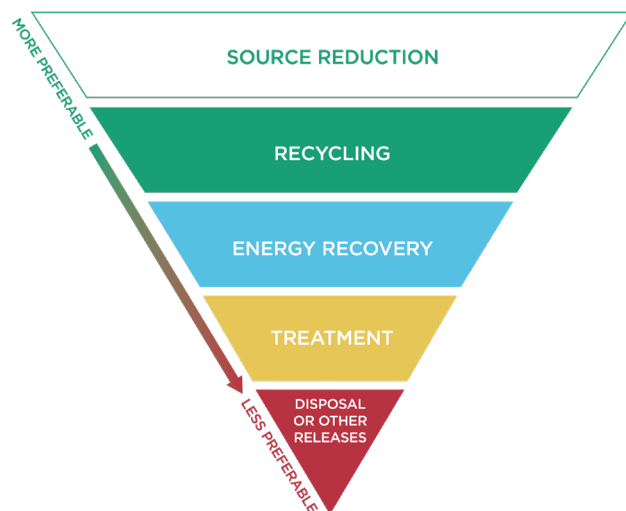


Figure 8. Waste Management Hierarchy

TRI collects information to track industry progress in reducing waste generation and moving towards safer waste management alternatives. Many facilities provide descriptions of measures they have taken to prevent pollution and reduce the amount of toxic chemicals entering the environment. As a result, TRI serves as a tool for identifying effective environmental practices and highlighting pollution prevention successes.

In Section 8, you must provide information about source reduction activities and quantities of the EPCRA Section 313 chemicals managed as waste. For all appropriate questions, report only the quantity, in pounds, (or, for the dioxin and dioxin-like compounds category, grams) of the reported EPCRA Section 313 chemical itself. Do not include the weight of water, soil, or other waste constituents. When reporting on the metal category compounds, you should report only the amount of the metal portion of the compound as you do when estimating release amounts.

Sections 8.1 through 8.9 must be completed for each EPCRA Section 313 chemical. Section 8.10 must be completed only if a source reduction activity was newly implemented specifically (in whole or in part) for the reported EPCRA Section 313 chemical during the reporting year. Section 8.11 allows you to submit additional optional information on source reduction, recycling, or pollution control activities implemented for the reported EPCRA Section 313 chemical at any time at your facility. For example, you may provide additional information on new or on-going practices.

Sections 8.1 through 8.7 require reporting of production-related waste management quantities for the current reporting year, the prior year, and quantities anticipated in both the first year immediately following the reporting year and the second year following the reporting year (future estimates).

Do not enter the values in Section 8 in gallons, tons, liters, or any measure other than pounds (or, for the dioxin and dioxin-like compounds category, grams). For non-PBT chemicals, you must generally enter the values as whole numbers; numbers following a decimal point are not acceptable for non-PBT chemicals except as noted in the instructions for Sections 8.1c-d and 8.7. For PBT chemicals (except the dioxin and dioxin-like compounds category), facilities should report release and other waste management quantities greater than 0.1 pound provided the accuracy and the underlying data on which the estimate is based supports this level of precision.

For the dioxin and dioxin-like compounds category, facilities should report at a level of precision supported by the accuracy of the underlying data and the estimation techniques on which the estimate is based. However, the smallest quantity that need be reported on the Form R for the dioxin and dioxin-like compounds category is 0.0001 grams (see Example 12). Notwithstanding the numeric precision used when determining reporting eligibility thresholds, facilities should report on Form R to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA's reporting software and data management systems support data precision to seven digits to the right of the decimal.

**NA vs. a Numeric Value (e.g., Zero).** You should enter a numeric value in the relevant sections of Section 8 if your facility has released, treated,

combusted for energy recovery or recycled any quantity of an EPCRA Section 313 chemical during the reporting year. If the aggregate quantity of that toxic chemical was equal to or less than 0.5 pound for a particular waste management method, you should enter the value zero (unless the chemical is a PBT chemical) in the relevant section. In the case of PBTs (excluding dioxin) if the aggregate quantity of the toxic chemical is equal to or less than 0.1 pound for a particular waste management method, you should enter the value zero in the relevant section. For dioxin, if the aggregate quantity is equal to or less than .0001 grams for a particular waste management method, you should enter the value zero in the relevant section. For both PBTs and dioxin, the accuracy of the underlying data on which the estimate is based must support the specified level of precision in order to round to zero.

However, if there has been no on-site or off-site treatment, combustion for energy recovery, or recycling of the waste stream containing the EPCRA Section 313 chemical, then you should enter NA in the relevant section. (Note: for metals and metal category compounds, you should enter NA in Sections 8.2, 8.3, 8.6 and 8.7, as treatment and combustion for energy recovery generally are not applicable waste management methods for metals and metal compounds). For Section 8.1b, NA generally is not applicable recognizing the potential for spills, leaks, or fugitive emissions of the EPCRA Section 313 chemical. You should enter NA in Section 8.8 if there were no remedial actions, catastrophic events such as earthquakes, fires, or floods or one-time events not associated with normal or routine production processes for that toxic chemical. If there was a catastrophic event at your facility, but you were able to prevent any releases from occurring, then enter zero in Section 8.8.

### Relationship to Other Laws

The reporting categories for quantities recycled, used for energy recovery, treated, and disposed of apply to completing Section 8 of Form R as well as to the rest of Form R. These categories are to be used only for TRI reporting. They are not intended for use in determining, under the Resource Conservation and Recovery Act (RCRA) Subtitle C regulations, whether a secondary material is a waste when recycled. These categories also do not apply to the information that may be submitted in the Biennial Report required under RCRA. In addition, these

categories do not imply any future redefinition of RCRA terms and do not affect EPA's RCRA authority or authority under any other statute administered by EPA.

Differences in terminology and reporting requirements for EPCRA Section 313 chemicals reported on Form R and for hazardous wastes regulated under RCRA occur because EPCRA and the PPA focus on specific chemicals, while the RCRA regulations and the Biennial Report focus on waste streams that may include more than one chemical. For example, assume that a RCRA hazardous waste containing an EPCRA Section 313 chemical is recycled to recover certain constituents of that waste, but not the toxic chemical reported under EPCRA Section 313. The EPCRA Section 313 chemical simply passes through the recycling process and remains in the residual from the recycling process, which is disposed of. While the waste may be considered recycled under RCRA, for TRI purposes, the EPCRA Section 313 chemical constituent would be considered to be disposed of (as part of the residual from the recycling process).

An EPCRA Section 313 chemical or an EPCRA Section 313 chemical in a mixture that is a waste under RCRA must be reported in Sections 8.1 through 8.8.

**Example 19: Reporting Future Estimates**

A pharmaceutical manufacturing facility uses an EPCRA Section 313 chemical in the manufacture of a prescription drug. During the reporting year (2018), the company received approval from the Food and Drug Administration to begin marketing their product as an over-the-counter drug beginning in 2019. This approval is publicly known and does not constitute confidential business information. As a result of this expanded market, the company estimates that sales and subsequent production of this drug will increase their use of the reported EPCRA Section 313 chemical by 30 percent per year for the two years following the reporting year. The facility treats the EPCRA Section 313 chemical on-site and the quantity treated is directly proportional to production activity. The facility thus estimates the total quantity of the reported EPCRA Section 313 chemical treated for the following year (2019) by adding 30 percent to the amount in column B (the amount for the current reporting year). The second following year (2020) figure can be calculated by adding an additional 30 percent to the amount reported in column C (the amount for the following year (2019) projection).

**Column B: Current Reporting Year.** Quantities for Sections 8.1 through 8.7 must be reported for the current reporting year in column B.

**Columns C and D: Following Year and Second Following Year.** Quantities for Sections 8.1 through 8.7 must be estimated for the following two years. EPA expects reasonable future quantity estimates using a logical basis. Information available at the facility to estimate quantities of the chemical expected during these years include (but are not limited to) planned source reduction activities, market projections, expected contracts, anticipated new product lines, company growth projections, and production capacity figures.

**Quantities Reportable in Sections 8.1 - 8.7**

Section 8 of Form R uses data collected to complete Part II, Sections 5 through 7. For this reason, Section 8 should be completed last. The relationship between Sections 5, 6, and 8.8 to Sections 8.1, 8.3, 8.5, and 8.7 are summarized below in a table (Relationship between Form R Sections 8.1-8.7 and Sections 5, 6 and 7) and explicitly described in equation form in the text. EPA recommends that you use these equations to complete Sections 8.1, 8.3, 8.5, and 8.7 for the current year and discourages rounding. For Column B (current year), TRI-MEweb will use these equations to complete these Sections automatically.

**Note on Equations.** Where an equation includes a value followed by a parenthetical, this means that the equation is referring only to the portion of that value described by the parenthetical. For example, “**Section 6.2 (recycling)**” refers to the portion of the value for Section 6.2 that is recycled, while “**Section 6.2 (treatment)**” refers to the portion of the value for Section 6.2 that is treated.

**Sections 8.1 – 8.7: Production-Related Waste Managed**

**Column A: Prior Year.** Quantities for Sections 8.1 through 8.7 must be reported for the year immediately preceding the reporting year in column A. For reports due July 1, 2019 (reporting year 2018), the prior year is 2017. Information available at the facility that may be used to estimate the prior year’s quantities include the prior year’s Form R submission, supporting documentation, and recycling, energy recovery, treatment, or disposal operating logs or invoices. When reporting prior year estimates, facilities are not required to use quantities reported on the previous year’s form if better information is available. TRI-MEweb prepopulates this column on the TRI form if the facility reported the previous year. If the facility wants to change data that was certified and submitted to EPA for the prior year, then the prior year’s reporting form must be revised and submitted.

**Relationship between Form R Sections 8.1-8.7 and Sections 5, 6 and 7**

Category	Section 8 Subsection	Corresponding Section 5, 6 or 7 Subsection
Disposal and Other Releases	<b>Section 8.1a:</b> Total on-site disposal to Class I Underground Injection Wells, RCRA Subtitle C landfills, and other landfills	Production related on-site disposal to: <ul style="list-style-type: none"> <li>• <b>Section 5.4.1</b> (on-site Class I wells)</li> <li>• <b>Section 5.5.1A</b> (on-site RCRA Subtitle C landfills)</li> <li>• <b>Section 5.5.1B</b> (on-site other landfills)</li> </ul>
	<b>Section 8.1b:</b> Total other on-site disposal or other releases	Production related on-site releases and disposal to: <ul style="list-style-type: none"> <li>• <b>Section 5.1</b> (Fugitive emissions)</li> <li>• <b>Section 5.2</b> (Stack or point emissions)</li> <li>• <b>Section 5.3</b> (Discharges to water bodies)</li> <li>• <b>Section 5.4.2</b> (Class II-V wells)</li> <li>• <b>Section 5.5.2</b> (Land treatment)</li> <li>• <b>Section 5.5.3A</b> (Subtitle C surface impoundments)</li> <li>• <b>Section 5.5.3B</b> (Other surface impoundments)</li> <li>• <b>Section 5.5.4</b> (Other disposal)</li> </ul>
	<b>Section 8.1c:</b> Total off-site disposal to Class I Underground Injection Wells, RCRA Subtitle C landfills, and other landfills	Production related off-site transfers to: <ul style="list-style-type: none"> <li>• <b>Section 6.1</b> (quantities associated with P codes P-33 and P-34)</li> <li>• <b>Section 6.2</b> (quantities associated with M codes M64, M65 and M81)</li> </ul>
	<b>Section 8.1d:</b> Total other off-site disposal or other releases	Production related transfers to: <ul style="list-style-type: none"> <li>• <b>Section 6.1</b> (quantities associated with P codes P-30, P-31, P-32, P-35, and P-36)</li> <li>• <b>Section 6.2</b> (quantities associated with M codes M10, M41, M62, M66, M67, M73, M79, M82, M90, M94, and M99)</li> </ul>
Energy Recovery	<b>Section 8.2:</b> Quantity used for energy recovery on-site	All quantities used for on-site energy recovery associated with methods reported in <b>Section 7B</b> (on-site energy recovery processes)
	<b>Section 8.3:</b> Quantity used for energy recovery off-site	Production related off-site transfers to: <ul style="list-style-type: none"> <li>• <b>Section 6.2</b> (off-site energy recovery)</li> </ul>
Recycling	<b>Section 8.4:</b> Quantity recycled on-site	All quantities recycled on-site associated with methods reported in <b>Section 7C</b> (on-site recycling processes)
	<b>Section 8.5:</b> Quantity recycled off-site	Production related off-site transfers to: <ul style="list-style-type: none"> <li>• <b>Section 6.2</b> (recycling)</li> </ul>
Treatment	<b>Section 8.6:</b> Quantity treated on-site	All quantities treated on-site associated with methods reported in <b>Section 7A</b> (on-site waste treatment methods and efficiency)
	<b>Section 8.7:</b> Quantity treated off-site	Production related off-site transfers to: <ul style="list-style-type: none"> <li>• <b>Section 6.1</b> (quantities associated with P codes P-37, P-38, and P-39)</li> <li>• <b>Section 6.2</b> (off-site treatment)</li> </ul>



## 8.1 On- and Off-Site Disposal and Other Releases

In Section 8.1, facilities report disposal and other releases. This includes on-site disposal and other releases reported in Section 5 and off-site disposal and other releases reported in Section 6, but excludes quantities reported in Section 5 and 6 due to remedial actions, catastrophic events, or non-production related one-time events (see the discussion on Section 8.8). Note that EPCRA Section 329(8) defines release as “any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing into the environment (including the abandonment of barrels, containers, and other closed receptacles).”

Metals and metal category compounds reported in 1) Section 6.2 as sent off-site for stabilization/solidification (M41) or wastewater treatment (excluding POTWs) (M62) and/or 2) Section 6.1 – discharges to POTWs, should be reported in Section 8.1. These quantities should NOT be reported in Section 8.7 because the metals are not ultimately destroyed.

Beginning in the 2003 reporting year, Section 8.1 was divided into four Subsections (8.1a, 8.1b, 8.1c and 8.1d). Please refer to the following equations that show the relationship between Sections 5, 6, 8.8, and 8.1a through 8.1d.

**Sections 8.1a and 8.1b.** Toxic chemicals disposed of or otherwise released on-site are reported in 8.1a or 8.1b as appropriate. Toxic chemicals sent off-site for disposal are reported in 8.1c or 8.1d.

### Equation 5

Section 8.1a (Total on-site disposal to Class I Underground Injection Wells, RCRA Subtitle C landfills, and other landfills) = Section 5.4.1 + Section 5.5.1A + Section 5.5.1B – Section 8.8 (on-site disposal to landfills or UIC Class I Wells) <sup>1</sup>

### Equation 6

Section 8.1b (Total other on-site disposal or other releases) = Section 5.1 + Section 5.2 + Section 5.3 + Section 5.4.2 + Section 5.5.2 + Section 5.5.3A + Section 5.5.3B + Section 5.5.4 – Section 8.8 (on-site

disposal or other releases, other than disposal to landfills or UIC Class I Wells) <sup>1</sup>

**Sections 8.1c and 8.1d.** Toxic chemicals transferred off-site to POTWs or other off-site locations and then disposed of or otherwise released should be reported in 8.1c or 8.1d as appropriate. For example, quantities of a toxic chemical sent to a landfill, or sent to a POTW and subsequently sent to a landfill are reported in Section 8.1c, while quantities of a toxic chemical sent to a surface impoundment, or sent to a POTW and subsequently released to a stream, are reported in Section 8.1d. Metals and metal category compounds sent to POTWs should be reported in one of these two sections and should not be reported as treated for destruction in Section 8.7.

### Equation 7

Section 8.1c (Total off-site disposal to Class I Underground Injection Wells, RCRA Subtitle C landfills, and other landfills) = Section 6.1 (quantities associated with P codes P-33 and P-34) + Section 6.2 (quantities associated with M codes M64, M65 and M81) – Section 8.8 (off-site disposal to landfills or UIC Class I Wells) <sup>1</sup>

### Equation 8

Section 8.1d (Total other off-site disposal or other releases) = Section 6.1 (quantities associated with P codes P-30, P-31, P-32, P-35, and P-36) + Section 6.2 (quantities associated with M codes M10, M41, M62, M66, M67, M73, M79, M82, M90, M94, and M99) – Section 8.8 (off-site disposal or other releases, other than disposal to landfills or UIC Class I Wells) <sup>1</sup>

Some chemicals in addition to metals and metal category compounds might not be treated for destruction at a POTW. If you know that some or all of a chemical is not treated for destruction at the POTW, you should report that quantity in Section 8.1 (as indicated in the equations above) instead of Section 8.7 (which is the quantity treated off-site). In such cases, you may report using up to two decimal places.

<sup>1</sup> § 8.8 includes quantities of toxic chemicals disposed of or otherwise released on-site or managed as a waste off-site due to remedial actions, catastrophic events, or one-

time events not associated with the production process. In each equation, the parenthetical following “Section 8.8” indicates which portion of § 8.8 is subtracted.

Removal and destruction rates for toxic chemicals sent to POTWs, based on experimental and estimated data, can be found in Table IV.

### Sections 8.2 and 8.3: Energy Recovery

These relate to an EPCRA Section 313 chemical or a mixture containing an EPCRA Section 313 chemical that is used for energy recovery on-site or is sent off-site for energy recovery, unless it is a commercially available fuel (e.g., fuel oil no. 6). For the purposes of reporting on Form R, reportable on-site and off-site energy recovery is the combustion of a waste stream containing an EPCRA Section 313 chemical when:

- (a) The combustion unit is integrated into an energy recovery system (i.e., industrial furnaces, industrial kilns, and boilers); and
- (b) The EPCRA Section 313 chemical is combustible and has a significant heating value (e.g., 5000 BTU)

Note: Metals and metal category compounds cannot be combusted for energy recovery. For metals and metal category compounds, you should enter NA in Sections 8.2 and 8.3.

Quantities used for energy recovery off-site that are reported in Section 8.8 are excluded from Section 8.3.

#### Equation 9

Section 8.2 (Energy recovery on-site) = All quantities used for on-site energy recovery associated with methods reported in Section 7B (on-site energy recovery processes)

Section 8.2 is not related to Sections 5 or 6.

#### Equation 10

Section 8.3 (Energy recovery off-site) = Section 6.2 (energy recovery) – Section 8.8 (off-site energy recovery, not related to production)<sup>1</sup>

### Sections 8.4 and 8.5: Recycling

These relate to an EPCRA Section 313 chemical in a waste that is recycled on-site or is sent off-site for

recycling. Quantities recycled off-site that are reported in Section 8.8 are excluded from Section 8.5.

#### Equation 11

Section 8.4 (Recycling on-site) = All quantities used for on-site recycling associated with methods reported in Section 7C (on-site recycling processes)

Section 8.4 is not related to Sections 5 or 6.

#### Equation 12

Section 8.5 (Recycling off-site) = Section 6.2 (recycling) - Section 8.8 (off-site recycling)<sup>1</sup>

### Sections 8.6 and 8.7: Treatment

These relate to an EPCRA Section 313 chemical (except for most metals and metal category compounds) or a waste containing an EPCRA Section 313 chemical that is treated for destruction on-site or is sent to a POTW or other off-site location for treatment for destruction. Most metal and category compounds are not reported in this section because they cannot be destroyed (see Appendix B). Quantities treated off-site that are reported in Section 8.8 are excluded from Section 8.7.

#### Equation 13

Section 8.6 (Treatment on-site) = All quantities used for on-site treatment associated with methods reported in Section 7A (on-site waste treatment methods and efficiency)

Section 8.6 is not related to Sections 5 or 6.

#### Equation 14

Section 8.7 (Treatment off-site) = Section 6.1 (quantities associated with P codes P-37, P-38, and P-39) + Section 6.2 (treatment) – Section 8.8 (off-site treatment)<sup>1</sup>

Some chemicals in addition to metals and metal category compounds might not be treated for destruction at a POTW. If you know that some or all of a chemical is not treated for destruction at the POTW, you should report that quantity in Section 8.1 instead of Section 8.7. Facilities should use their best readily available information to determine the final disposition of the toxic chemical sent to the POTW,

<sup>1</sup> § 8.8 includes quantities of toxic chemicals disposed of or otherwise released on-site or managed as a waste off-site due to remedial actions, catastrophic events, or one-time events not associated with the production process. In

each equation, the parenthetical following “Section 8.8” indicates which portion of § 8.8 is subtracted.

and then distribute the amount reported in Section 6.1 among Sections 8.1c, 8.1d, and 8.7, as appropriate. Removal and destruction rates for toxic chemicals sent to POTWs, based on experimental and estimated data, can be found in Table IV.

**Example 20: Avoiding Double-Counting Quantities in Sections 8.1 through 8.7**

5,000 pounds of an EPCRA Section 313 chemical enters a treatment operation. Three thousand pounds of the EPCRA Section 313 chemical exits the treatment operation and then enters a recycling operation. Five hundred pounds of the EPCRA Section 313 chemical are in residues from the recycling operation that is subsequently sent off-site to a landfill for disposal. These quantities would be reported as follows in Section 8:

Section 8.1c: 500 pounds disposed of

Section 8.4: 2,500 pounds recycled

Section 8.6: 2,000 pounds treated (5,000 that initially entered - 3,000 that subsequently entered recycling)

*To report that 5,000 pounds were treated, 3,000 pounds were recycled, and that 500 pounds were sent off-site for disposal would result in over-counting the quantities of EPCRA Section 313 chemical recycled, treated, and disposed of by 3,500 pounds.*

**8.8 Non-Production-Related Waste**

In Section 8.8, enter the total quantity of the EPCRA Section 313 chemical disposed of or released directly into the environment or sent off-site for recycling, energy recovery, treatment, or disposal during the reporting year due to any of the following events:

- (1) remedial actions;
- (2) catastrophic events such as earthquakes, fires, or floods; or
- (3) other one-time events not associated with normal or routine production processes.

These quantities should not be included in Sections 8.1, 8.3, 8.5, or 8.7.

The purpose of this section is to separate quantities recycled, used for energy recovery, treated, or released (including disposals) that are associated with normal or routine production operations from those

that are not. While all quantities released, recycled, combusted for energy recovery, or treated may ultimately be preventable, this section separates the quantities that are more likely to be reduced or eliminated by process oriented source reduction activities from those releases that are largely unpredictable and are less amenable to such source reduction activities. For example, spills that occur as a routine part of production operations and could be reduced or eliminated by improved handling, loading, or unloading procedures are included in the quantities reported in Section 8.1 through 8.7 as appropriate. A total loss of containment resulting from a tank rupture caused by a tornado would be included in the quantity reported in Section 8.8.

Similarly, the amount of an EPCRA Section 313 chemical cleaned up from spills resulting from normal operations during the reporting year would not be included in Section 8.8. However, the quantity of the reported EPCRA Section 313 chemical disposed of from a remedial action (e.g., RCRA corrective action) to clean up the environmental contamination resulting from past practices should be reported in Section 8.8 because they cannot currently be addressed by source reduction methods. A remedial action for purposes of Section 8.8 is a waste cleanup (including RCRA and CERCLA operations) within the facility boundary. Most remedial activities involve collecting and treating contaminated material.

Also, releases caused by catastrophic events are to be incorporated into the quantity reported in Section 8.8. Such releases may be caused by natural disasters (e.g., hurricanes and earthquakes) or by large scale accidents (e.g., fires and explosions). In addition, releases due to other one-time events not associated with production (e.g., terrorist bombing) are to be included in Section 8.8. These amounts are generally unanticipated and cannot be addressed by routine process oriented accident prevention techniques. By checking your documentation for calculating estimates made for Part II, Section 5, "Quantity of the Toxic Chemical Entering Each Environmental Medium On-site," you may be able to identify disposal and release amounts from the above sources. Emergency notifications under CERCLA and EPCRA as well as accident histories required under the Clean Air Act may provide useful information. You should also check facility incident reports and

## Part II. Chemical Identification Information

maintenance records to identify one time or catastrophic events.

Note: While the information reported in Section 8.8 represents only remedial, catastrophic, or other one-time events not associated with production processes, Section 5 of Form R (on-site disposal and other releases to the environment) and Section 6 (off-site transfers for further waste management) must include all on-site disposal and other releases and transfers for disposal as appropriate, regardless of whether

they arise from catastrophic, remedial, or routine process operations.

### **Avoid Double Counting in Sections 8.1 Through 8.8**

Do not double or multiple count quantities in Sections 8.1 through 8.8. The quantities reported in each of those sections should be mutually exclusive. In TRI-MEweb, any amounts that you designate as non-production-related-waste (Section 8.8) will be automatically excluded from production-related-waste (Sections 8.1-8.7).

#### **Example 21: Non-Production-Related Waste Managed (Quantity Released to the Environment or Transferred Off-Site as a Result of Remedial Actions, Catastrophic Events, or Other One-Time Events Not Associated with Production Processes).**

A chemical manufacturer produces an EPCRA Section 313 chemical in a reactor that operates at low pressure. The reactants and the EPCRA Section 313 chemical product are piped in and out of the reactor at monitored and controlled temperatures. During normal operations, small amounts of fugitive emissions occur from the valves and flanges in the pipelines.

Due to a malfunction in the control panel (which is state-of-the-art and undergoes routine inspection and maintenance), the temperature and pressure in the reactor increase, the reactor ruptures, and the EPCRA Section 313 chemical is released. Because the malfunction could not be anticipated and, therefore, could not be reasonably addressed by specific source reduction activities, the amount released is included in Section 8.8. In this case, much of the EPCRA Section 313 chemical is released as a liquid and pools on the ground. It is estimated that 1,000 pounds of the EPCRA Section 313 chemical pooled on the ground and was subsequently collected and sent off-site for treatment. In addition, it is estimated that another 200 pounds of the EPCRA Section 313 chemical vaporized directly to the air from the rupture. The total amount reported in Section 8.8 is the 1,000 pounds that pooled on the ground (and subsequently sent off-site), plus the 200 pounds that vaporized into the air, a total of 1,200 pounds. The quantity sent off-site must also be reported in Section 6 (but not in Section 8.7) and the quantity that vaporized must be reported as a fugitive emission in Section 5 (but not in Section 8.1b).

## 8.9 Production Ratio or Activity Ratio

For Section 8.9, you must provide either a production or activity ratio and indicate which type of ratio you reported using the checkboxes provided. The production or activity ratio allows year-to-year changes in release and other waste management quantities to be viewed within the context of production. For example, your production ratio lets data users know whether your releases per unit of output have gone up or down.

### What Variable is Used to Calculate the Production or Activity Ratio?

To calculate a production or activity ratio, you must first select the variable(s) on which the ratio will be based. In all cases, the production or activity\_ratio must be based on the variable(s) that best reflect the output or outcome of the process(es) in which the EPCRA Section 313 chemical is involved. Examples of production or activity variables selected by various industries can be found in Example 25. Instructions for calculating a production or activity ratio based on either a single variable or multiple variables can be found below.

#### Production Ratio

A production ratio is a ratio of reporting year production to prior year production. Calculate a production ratio when the chemical is involved in production processes. The equation for production ratio is as follows:

#### Equation 15

$$\text{Production Ratio} = \frac{[\text{Production Variable}]_{\text{Current Year}}}{[\text{Production Variable}]_{\text{Prior Year}}}$$

A production ratio may be based on production levels for either the facility's end product or on the intermediate product of the process in which the chemical is manufactured, processed, or otherwise used. If an EPCRA Section 313 chemical is used in the production of refrigerators, for example, the production ratio would be based on the number of refrigerators produced. This is shown in Example 22 and in the sample equation below:

$$\text{Example P.R.} = \frac{\# \text{ of refrigerators produced}_{\text{Current Year}}}{\# \text{ of refrigerators produced}_{\text{Prior Year}}}$$

If the EPCRA Section 313 chemical is itself the final product, the production ratio would be based on the

amount of the chemical manufactured. Generally, however, the production ratio would be based on a variable other than the quantity of the EPCRA Section 313 chemical manufactured, processed, or otherwise used.

#### Activity Ratio

An activity ratio is also a ratio of current year to prior year values, but is reported when a chemical is involved in an activity not directly related to production or production levels. An activity ratio is appropriate if a chemical is used in an auxiliary activity such as cleaning or pollution control, for example, and is calculated as follows:

#### Equation 16

$$\text{Activity Ratio} = \frac{[\text{Activity Variable}]_{\text{Current Year}}}{[\text{Activity Variable}]_{\text{Prior Year}}}$$

In all cases, the variable used to calculate an activity ratio should represent the intended outcome of the activity in which the chemical is used or produced, not the inputs of throughputs for the activity. If the EPCRA Section 313 chemical is used to clean molds, for example, the activity ratio could be based on the number of cleanings or the number of molds cleaned. It would not be based on the usage of the EPCRA Section 313 chemical or the total volume of cleaning solution used. This is shown in Example 23 and in the sample equation below:

$$\text{Example A.R.} = \frac{\# \text{ of Molds Cleaned}_{\text{Current Year}}}{\# \text{ of Molds Cleaned}_{\text{Prior Year}}}$$

#### Production or Activity Ratios Based on Multiple Variables

In some cases, your facility may use the same EPCRA Section 313 chemical in more than one process. If there is no single variable that adequately reflects the output or outcome of the process(es) in which the reported EPCRA Section 313 chemical is involved, a production or activity ratio can be calculated by weighting the different production or activity variables for the different processes in which the chemical is involved. The procedure for this calculation is described in Example 26.

If the reported value is based on both production and activity variables, you would report the final value as a "production ratio" if the production ratio(s) were weighted more heavily than the activity ratio(s) in the



calculations (and as an “activity ratio” if the opposite were true).

**Reporting Tips:**

- TRI-MEweb includes a production or activity ratio wizard to help you calculate your ratio automatically.
  - The ratio must be reported to the nearest tenths or hundredths place (i.e., one or two digits to the right of the decimal point) for all EPCRA 313 chemicals, including PBT chemicals. A zero is not an acceptable response unless the calculated value is less than 0.005, which can be rounded to zero.
  - If the manufacture, processing, or other use of the reported EPCRA Section 313 chemical began during the current reporting year, select NA as the production or activity ratio. Otherwise, you must enter a value even if your facility did not exceed a reporting threshold for the chemical in the previous reporting year.
  - The ratio is not to be reported as a percent change between years (i.e., for a 10 percent increase, you would report the ratio 1.10, not 10% or 10). A production ratio of 1 indicates no change in production from the prior year.
- It is important to realize that if your facility reports more than one reported EPCRA Section 313 chemical, the production or activity ratio may vary for different chemicals if the chemicals are used in different processes with different outputs.
  - Details regarding the method used to calculate the Production or Activity Ratio can be included in Section 9.1, “Additional Information.” This information will provide context for the production or activity ratio and may help TRI data users better understand changes in releases or other waste management quantities. In Example 22, the facility could report, “Used the number of refrigerators painted as the production variable, because our facility uses toluene to paint refrigerators” in order to provide more information in Section 9.1.

**Example 22: Determining a Production Ratio**

Your facility's only use of toluene is as a paint carrier for a painting operation. You painted 12,000 refrigerators in the current reporting year and 10,000 refrigerators during the preceding year. The production ratio for toluene in this case is 1.2 (12,000/10,000) because refrigerator production levels best reflect the output of the processes in which toluene is used.

A facility manufactures inorganic pigments, including titanium dioxide. Hydrochloric acid (acid aerosols) is produced as a waste byproduct during the production process. An appropriate production ratio for hydrochloric acid (acid aerosols) is the annual titanium dioxide production, not the amount of byproduct generated. If the facility produced 20,000 pounds of titanium dioxide during the reporting year and 26,000 pounds in the preceding year, the production ratio would be 0.77 (20,000/26,000).

**Example 23: Determining an Activity Ratio**

Your facility manufactures organic dyes in a batch process. Different colors of dyes are manufactured, and between color changes, all equipment must be thoroughly cleaned with solvent containing glycol ethers to reduce color carryover. During the preceding year, the facility produced 2,000 pounds of yellow dye in January, 9,000 pounds of green dye for February through September, 2,000 pounds of red dye in November, and another 2,000 pounds of yellow dye in December. This adds up to a total of 15,000 pounds and four color changeovers. During the reporting year, the facility produced 10,000 pounds of green dye during the first half of the year and 10,000 pounds of red dye in the second half. If your facility uses glycol ethers in this cleaning process only, an activity ratio of 0.5 (based on two color changeovers for the reporting year divided by four changeovers for the preceding year) is more appropriate than a production ratio of 1.33 (based on 20,000 pounds of dye produced in the current year divided by 15,000 pounds in the preceding year). In this case, an activity ratio is more appropriate than a production ratio because the process in which the glycol ethers are used is not directly related to production or to production levels.

A facility that manufactures thermoplastic composite parts for aircraft uses toluene as a wipe solvent to clean molds. The solvent is stored in 55-gallon drums and is transferred to 1-gallon dispensers. The molds are cleaned on an as-needed basis that is not necessarily a function of the parts production rate. Operators cleaned 5,200 molds during the reporting year, but only cleaned 2,000 molds in the previous year. An activity ratio of 2.6 (5,200/2,000) represents the outcome of the activities involving toluene usage in the facility.

A facility manufactures surgical instruments and cleans the metal parts with 1,1,1-trichloromethane in a vapor degreaser. The degreasing unit is operated in a batch mode and the metal parts are cleaned according to an irregular schedule. The activity ratio can be based upon the total time the metal parts are in the degreasing operation. If the degreasing unit operated 3,900 hours during the reporting year and 3,000 hours the prior year, the activity ratio is 1.3 (3,900/3,000).

**Example 24: "NA" is Entered Instead of a Production Ratio or Activity Ratio**

Your facility began production of semiconductor chips during this reporting year. Perchloroethylene is used as a cleaning solvent for this operation and this is the only use of the EPCRA Section 313 chemical in your facility. You would enter NA in Section 8.9 because you have no basis of comparison in the prior year for the purposes of developing the activity ratio.

**Example 25: Selecting a Production or Activity Variable**

The table below provides examples of production or activity variables used by facilities in various industries to calculate a production ratio or activity ratio.

<b>Industry</b>	<b>Sample Production / Activity Variable</b>
Agriculture, Construction, and Mining Machinery Manufacturing	Drill rigs produced
Cement and Concrete Product Manufacturing	Tons of clinker produced
Clay Product and Refractory Manufacturing	Tons of brick manufactured
Chemical and Allied Products Merchant Wholesalers	Total gallons of glycol ethers packaged
Coal Mining	Mine production in tons of coal
Fossil Fuel Electric Power Generation	Number of megawatt-hours of electricity produced
National Security and International Affairs	Man-days of training per year
Nitrogenous Fertilizer Manufacturing	Ammonium thiosulfate product produced (in tons)
Plastics Product Manufacturing	Pounds extruded
Synthetic Dye and Pigment Manufacturing	Number of color changeovers
Waste Treatment and Disposal	Tons of waste landfilled on-site
Petroleum Refineries	Gallons of gasoline repackaged

**Example 26: Determining the Production Ratio Based on a Weighted Average**

At many facilities, a reported EPCRA Section 313 chemical is used in more than one production process. In these cases, a production ratio or activity ratio can be estimated by weighting the production ratio for each process based on the respective contribution of each process to the quantity of the reported EPCRA Section 313 chemical managed as waste (recycled, used for energy recovery, treated, or disposed of).

Your facility paints bicycles with paint containing toluene. Sixteen thousand bicycles were produced in the reporting year and 14,500 were produced in the prior year. There were no significant design modifications that changed the total surface area to be painted for each bike. The production ratio for bicycles is 1.1 (16,000/14,500). You estimate 12,500 pounds of toluene was managed as waste (recycled, used for energy recovery, treated, disposed of or released) as a result of bicycle production processes.

Your facility also uses toluene as a solvent in a glue that is used to make components and add-on equipment for the bicycles. Thirteen thousand components were manufactured in the reporting year as compared to 15,000 during the prior year. The production ratio for the components using toluene is 0.87 (13,000/15,000). You estimate 1,000 pounds of toluene was managed as waste as a result of components production processes. The reported production ratio can be calculated by weighting the ratios for the different variables based on the relative contribution each has to the total quantity of toluene managed as waste during the reporting year (13,500 pounds). The production ratio is calculated as follows:

$$\text{Production ratio} = 1.1 \times (12,500/13,500) + 0.87 \times (1,000/13,500) = 1.08$$

## 8.10 Did Your Facility Engage in Any Newly Implemented Source Reduction Activities for This Chemical During the Reporting Year?

Section 8.10 must be completed if a source reduction activity involving the reported EPCRA Section 313 chemical was newly implemented at your facility. An activity is considered newly implemented if it went into effect, in whole or in part, during this reporting year. Some activities may be multi-faceted or multi-phased and impact different facility processes or span across multiple years. For those activities, report on the discrete projects that went into effect entirely or in part during the reporting year. Accordingly, in successive reporting years, you may report on later facets or phases of the activity.

### What Is Source Reduction?

Source reduction, as defined by the Pollution Prevention Act, means any practice that:

- Reduces the amount of any hazardous substance, pollutant, or contaminant entering any waste stream or otherwise released into the environment (including fugitive emissions) prior to recycling, energy recovery, treatment, or disposal; and
- Reduces the hazards to public health and the environment associated with the release of such substances, pollutants, or contaminants.

The term “source reduction” does not include any practice that alters the physical, chemical, or biological characteristics or the volume of a hazardous substance, pollutant, or contaminant through a process or activity that itself is not integral to and necessary for the production of a product or the providing of a service.

Source reduction activities include equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, and improvements in housekeeping, maintenance, training, or inventory control. Newly implemented source reduction activities include activities that were implemented, in whole or in part, during the reporting (e.g., improved loading procedures).

### How Does Source Reduction Relate to the Quantities Reported in Sections 8.1-8.8?

Source reduction activities reduce the amount of the reported EPCRA Section 313 chemical disposed of or otherwise released (as reported in Section 8.1), used for energy recovery (as reported in Sections 8.2–8.3), recycled (as reported in Sections 8.4–8.5), or treated (as reported in Sections 8.6–8.7). Recycling, energy recovery, and treatment are not themselves considered source reduction activities because these practices occur *after* the chemical has entered a waste stream.

The focus of the section includes only those activities that are applied to reduce routine or reasonably anticipated releases or other quantities of the reported EPCRA Section 313 chemical managed as waste). Thus, you do not report in this section any activities taken to reduce or eliminate the quantities reported in Section 8.8.

### Why Is Reporting on Source Reduction Activities Important?

The Pollution Prevention Act established the national policy “that pollution should be prevented or reduced at the source whenever feasible...” Reporting on source reduction activities provides important information for assessing progress towards this goal.

To promote pollution prevention, EPA has increased the prominence and accessibility of the pollution prevention information reported in Sections 8.10 and 8.11 of the Form R. For example, companies reporting source reduction are featured in the annual [TRI National Analysis](#) report and the popular [TRI Pollution Prevention \(P2\) Search Tool](#). To learn more, visit: <https://www.epa.gov/tri/p2>.

### How Do I Report Source Reduction Activities and Methods?

Instructions on how to report source reduction activities (as defined above) and the methods used to identify such activities are provided below.

- **If Your Facility Implemented Source Reduction Activities.** If your facility implemented a new source reduction activity for the reported EPCRA Section 313 chemical during the reporting year, report the activity or activities that were implemented by selecting the most relevant activity code(s) from the dropdown list in TRI-MEweb (see W-codes listed below).

For each source reduction code you enter in TRI-MEweb, a text box allows you to provide additional details on that source reduction practice. Similarly, to describe how each source reduction practice was identified, a text box allows you to enter additional information on the identification method(s) you selected. Optional additional information about source reduction provided via these text boxes is then added to the next section of the Form R (Section 8.11, Optional Pollution Prevention Information) preceded by the W- or T-code to which it relates.

For each source reduction code you enter in TRI-MEweb, a button to the right of the entry opens a text box that allows you to provide additional details on that source reduction practice. Similarly, to describe how each source reduction practice was identified, a button to the right of the entry opens a text box that allows you to enter additional information on the identification method(s) you selected. Optional additional information about source reduction provided via these text boxes is then added to the next section of the Form R (Section 8.11, Optional Pollution Prevention Information) preceded by the W- or T-code to which it relates.

- **If Your Facility Did Not Implement Source Reduction Activities.** If your facility did not implement any new source reduction activity for the reported EPCRA Section 313 chemical, check the “NA” box in Section 8.10.

TRI-MEweb then provides you with the option of selecting from one or more possible barriers that your facility might be facing with regard to the implementation of source reduction activities. A list of barrier codes is provided below. For each code, you also have the option to provide additional information in a text box. (This information is then added to your entry in Section 8.11; see Section 8.11 instructions for additional information on barriers to P2.)

#### How Do I Report Estimated Annual Reduction?

For each “Source Reduction Activity” reported, you have the option to provide an estimate of the resulting reduction in the annual amount of the chemical managed as waste (i.e., released, recycled, treated, or used for energy recovery). The estimated annual reduction can be calculated as follows:

$$\text{Equation 17} \\ \frac{(B - A) \times 100\%}{B}$$

where:

A = estimated amount of the EPCRA Section 313 chemical to be managed as waste in the year after the source reduction activity has been implemented and

B = estimated amount of the EPCRA Section 313 chemical that would have been managed as waste had the source reduction activity not been implemented.

If you choose to complete this field, the reductions associated with your pollution prevention efforts will be featured on EPA’s website through the TRI Pollution Prevention Search Tool at <https://www.epa.gov/tri/p2>. The estimated annual reduction should be reported using the range codes listed beneath the source reduction method codes.

#### Reporting Tips:

This estimate is based on the facility’s best readily available information at the time the activity is reported and will not necessarily reflect the actual reduction once implementation of the activity is completed.

The estimated annual reduction only accounts for the impact of the particular source reduction activity. For example, if production is expected to double, but chemical quantities are expected to remain constant (when they also would have doubled if not for the source reduction activity), then the estimated annual reduction for the activity is 50%.

#### Source Reduction Activity Codes

Source reduction activity codes are listed below. In recent years many facilities have implemented green chemistry and green engineering practices to prevent pollution. In order to more closely represent these practices, EPA has developed six new source reduction codes. These codes are represented as: W15; W43; W50; W56; W57; and W84 and are provided in the list of source reductions below. Scenarios as to when these codes should be used are provided in Example 28.



## *Part II. Chemical Identification Information*

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### Good Operating Practices

- W13 Improved maintenance scheduling, record keeping, or procedures
- W14 Changed production schedule to minimize equipment and feedstock changeovers
- W15 Introduced in-line product quality monitoring or other process analysis system
- W19 Other changes made in operating practices

### Inventory Control

- W21 Instituted procedures to ensure that materials do not stay in inventory beyond shelf-life
- W22 Began to test outdated material — continue to use if still effective
- W23 Eliminated shelf-life requirements for stable materials
- W24 Instituted better labeling procedures
- W25 Instituted clearinghouse to exchange materials that would otherwise be discarded
- W29 Other changes made in inventory control

### Spill and Leak Prevention

- W31 Improved storage or stacking procedures
- W32 Improved procedures for loading, unloading, and transfer operations
- W33 Installed overflow alarms or automatic shut-off valves
- W35 Installed vapor recovery systems
- W36 Implemented inspection or monitoring program of potential spill or leak sources
- W39 Other changes made in spill and leak prevention

### Raw Material Modifications

- W41 Increased purity of raw materials
- W42 Substituted raw materials
- W43 Substituted a feedstock or reagent chemical with a different chemical
- W49 Other raw material modifications made

### Process Modifications

- W50 Optimized reaction conditions or otherwise increased efficiency of synthesis
- W51 Instituted re-circulation within a process
- W52 Modified equipment, layout, or piping
- W53 Used a different process catalyst
- W54 Instituted better controls on operating bulk containers to minimize discarding of empty containers
- W55 Changed from small volume containers to bulk containers to minimize discarding of empty containers
- W56 Reduced or eliminated use of an organic solvent
- W57 Used biotechnology in manufacturing process
- W58 Other process modifications made

### Cleaning and Degreasing

- W59 Modified stripping/cleaning equipment
- W60 Changed to mechanical stripping/cleaning devices (from solvents or other materials)
- W61 Changed to aqueous cleaners (from solvents or other materials)
- W63 Modified containment procedures for cleaning units
- W64 Improved draining procedures
- W65 Redesigned parts racks to reduce drag out
- W66 Modified or installed rinse systems
- W67 Improved rinse equipment design
- W68 Improved rinse equipment operation
- W71 Other cleaning and degreasing modifications made

### Surface Preparation and Finishing

- W72 Modified spray systems or equipment
- W73 Substituted coating materials used
- W74 Improved application techniques
- W75 Changed from spray to other system
- W78 Other surface preparation and finishing modifications made

### Product Modifications

- W81 Changed product specifications
- W82 Modified design or composition of product
- W83 Modified packaging
- W84 Developed a new chemical product to replace a previous chemical product
- W89 Other product modifications made

## Part II. Chemical Identification Information

### Methods to Identify Source Reduction Activities

T01	Internal pollution prevention opportunity audit(s)
T02	External pollution prevention opportunity audit(s)
T03	Materials balance audits
T04	Participative team management
T05	Employee recommendation (independent of a formal company program)
T06	Employee recommendation (under a formal company program)
T07	State government technical assistance program
T08	Federal government technical assistance program
T09	Trade association/industry technical assistance program
T10	Vendor assistance
T11	Other

### Estimated Annual Reduction Range Codes

R1 =	100% (elimination of the chemical)
R2 =	greater than or equal to 50%, but less than 100%
R3 =	greater than or equal to 25%, but less than 50%
R4 =	greater than or equal 15%, but less than to 25%
R5 =	greater than or equal 5%, but less than to 15%
R6 =	greater than 0%, but less than 5%

### **Example 27: Source Reduction**

At a facility that manufactures and paints wood furniture various processes contain EPCRA Section 313 chemicals. Below are examples of the activities considered for reporting in Section 8.10.

- A. Source Reduction initiated during the reporting year. By examining the gluing process, the facility discovered that a new drum of glue is opened at the beginning of each shift, whether or not the old drum is empty. By adding a mechanism that prevents the drum from being changed before it is empty, the facility eliminated the need for disposing unused glue (W54). This activity eliminates the glue at its source and is considered source reduction.
- B. Source Reduction implemented over multiple years. With the assistance of a vendor and through a team assessment of the processes and chemicals used, the facility identified several changes and planned for their implementation over a three-year span. The first year the facility installed internal stop-loss valves and leak detection to finishing processes (W33); the second year they substituted coating materials for a table top finish from an acetone to a water based finish (W73); and the third year they modified their in-line product quality monitoring system (W15). The activities all reduce or eliminate quantities of a chemical entering the waste stream and released into the environment and are considered source reduction, each reported for the year implementation commenced.
- C. An activity that is NOT considered Source Reduction. The painting process at the facility generates a solvent waste that is collected and recovered. The recovered solvent is recycled and used to clean the painting equipment. This activity does not reduce the amount of EPCRA Section 313 chemical from entering the waste stream, and therefore is not considered a source reduction activity.

**Example 28: Green Chemistry**

Six codes that describe green chemistry and green engineering practices were added to the list of source reduction activity codes in Reporting Year 2012. These codes are listed below with a description of when to use each to report a green chemistry or engineering activity.

W15 *Introduced in-line product quality monitoring or other process analysis system.* Select this code if the introduction of such a system led to a reduction in the amount of the EPCRA Section 313 chemical generated as waste.

W43 *Substituted a feedstock or reagent chemical with a different chemical.* Select this code if the EPCRA Section 313 chemical was a feedstock or reagent chemical and you replaced it (in whole or in part) with a different chemical.

For raw material substitutions not at the level of the individual chemical (e.g., the substitution of natural gas for coal), select instead W42 *Substituted raw materials.*

If use of a feedstock or reagent chemical was reduced or eliminated because of a change in the final product, select instead one of the codes listed under *Product Modifications.*

W50 *Optimized reaction conditions or otherwise increased efficiency of synthesis.* Select this code if the amount of the EPCRA Section 313 chemical generated as waste was reduced by increasing the overall efficiency of the synthesis.

If efficiency of syntheses was improved by using of a different catalyst, select instead W53 *Used a different process catalyst.*

W56 *Reduced or eliminated use of an organic solvent.* Select this code if the EPCRA Section 313 chemical was used as a solvent in the process and the process was modified such that the EPCRA Section 313 chemical was either replaced or no longer used in as large a quantity.

W57 *Used biotechnology in manufacturing process.* Select this code if the use of biotechnology in the process reduced or eliminated the use of the TRI chemical.

W84 *Developed a new chemical product to replace previous chemical product.* Select this code if the EPCRA Section 313 chemical had been produced at the facility but was replaced it (in whole or in part) with the production of a different chemical or chemicals.

## 8.11 Optional Pollution Prevention Information

In Section 8.11, you have the opportunity to provide more detail about activities your facility undertook to reduce releases of the EPCRA Section 313 chemical, including source reduction, recycling, energy recovery, treatment or other pollution controls. EPA encourages you to provide detail in Section 8.11, as it offers your organization the opportunity to showcase its achievements in preventing pollution.

You can use the provided text boxes in TRI-MEweb to describe your source reduction, recycling, or pollution control activities.

While EPA welcomes submissions about recycling and pollution control activities, the Agency is most interested in collecting information about innovative and effective source reduction activities, such as green chemistry or green engineering practices. In addition, the Agency wishes to encourage reporters to provide enough detailed information about their most effective source reduction activities to spur other facilities to adopt similar practices, as well as to inform the public about such activities being implemented in their communities.

To encourage submissions with additional pollution prevention information, EPA is increasing the prominence and accessibility of this information. Visit <https://www.epa.gov/tri/p2> to learn how to access this information (e.g., through the [P2 Search Tool](#)) and to view examples of optional pollution prevention information highlighted in EPA's annual TRI National Analysis report.

The following tips can help you provide meaningful additional information.

Be Specific:

- Which processes and products were affected?
- Which technologies and materials were used?
- Which release (to air, water land) or waste management quantities changed?
- Were there other benefits (e.g., costs, product quality?)
- Who provided the idea or assisted with implementation?
- Why did you implement this activity?

Enter useful URLs:

- For equipment manufacturers
- To other information sources related to the activity described

A tip-sheet with additional guidance and sample entries can be found at [https://www.epa.gov/sites/production/files/documents/tri\\_p2\\_tipsheet.pdf](https://www.epa.gov/sites/production/files/documents/tri_p2_tipsheet.pdf). If you wish to provide additional information that is not related to pollution prevention or other environmentally friendly practices, use Section 9.1.

When completing this section in TRI-MEweb, you may indicate that you have submitted information pertaining to one or more of the following topics by checking a box next to the topic to which your information pertains:

- Source Reduction
- Recycling
- Energy Recovery
- Waste Treatment
- General Environmental Management
- Methods for Identifying P2 Opportunities
- Ways P2 Was Incorporated in Original Process Design

If you do so, each topic you have selected will be included in your Section 8.11 entry, followed by the information you have provided about that topic. Using these checkboxes will facilitate searches for information about P2 and other environmentally-friendly practices by users of the TRI database.

### ***Barriers to Implementing Pollution Prevention Activities***

You may also provide details on any barriers your facility faces in implementing additional source reduction, recycling or pollution control activities. If you choose to provide this information, EPA encourages you to select one or more of the following barrier categories from the checklist provided in TRI-MEweb and describe specifically how one of these barrier categories applies to your facility:

- B1. Insufficient capital to install new source reduction equipment or implement new source reduction activities/initiatives.
- B2. Require technical information on pollution prevention techniques applicable to specific production processes.

- B3. Concern that product quality may decline as a result of source reduction.
- B4. Source reduction activities were implemented but were unsuccessful.
- B5. Specific regulatory/permit burdens
- B6. Pollution prevention previously implemented- additional reduction does not appear technically or economically feasible.
- B7. No known substitutes or alternative technologies.
- B8. Reduction does not appear to be technically feasible.
- B99. Other Barriers

Each category you select in TRI-MEweb will be included in your Section 8.11 entry, followed by the additional details you provided on that topic (if any).

EPA believes this information is valuable in giving a full picture of the source reduction activities your facility engages in and what barriers you face in the implementation of source reduction activities. EPA also believes this information may allow for an exchange between those that have knowledge of source reduction practices, such as the EPA P2 Program, and those that are seeking additional help. In addition, it will better enable EPA to identify those technological areas for which EPA can support basic research to identify alternative technologies that are less polluting.

## Section 9. Miscellaneous Information (Form R & A)

### 9.1 Miscellaneous, Optional, and Additional Information for Your Form R Report

Your facility may provide additional information pertaining to any portion of your Form R submission in the box provided in the free text box provided in TRI-MEweb. Your submissions to Section 9.1 regarding miscellaneous, additional, optional information may provide the Agency and/or the public with useful data that helps explain why your facility submitted data in one or more data elements that might appear unusual or inconsistent with previous TRI Form R submissions or with other data supplied by your facility during this reporting year. Such additional data may help EPA reduce the need for additional data quality control as well as additional TRI-related enforcement and compliance

efforts. **Do not submit information you consider to be CBI or otherwise protected on your Form R.**

When completing this section in TRI-MEweb, you may indicate that you have submitted information pertaining to one or more of the following topics by checking a box next to the topic to which your information pertains:

- Changes in Production Levels
- Calculation Methods, e.g., Emissions factors
- One-time or Intermittent Events Impacting Reported Quantities
- Issues or Difficulties Encountered in Submitting Form
- Other Regulatory Requirements Related to This Chemical
- No TRI Reports Expected for This TRIFID Next Year
- No TRI Report Expected for This Chemical Next Year

If you do so, each topic you have selected will be included in your Section 9.1 entry, followed by the information you have provided about that topic (if any). Using these checkboxes will ensure that EPA and other TRI data users understand the factors that have contributed to any apparent data quality issues. Note that if you select one of the last two topics above, it is helpful to include the reason you will not be submitting a report next year (e.g., facility closure, move, temporary shutdown, etc.).

### 9.2 Optional Pollution Prevention and Additional Information for This Toxic Chemical on Your Form A Certification Statement

Your facility may provide additional information pertaining to pollution prevention or other topics for each Toxic Chemical or Mixture Component included on your Form A Certification Statement submission. Information provided in this section may provide the Agency and/or the public with useful data that helps explain your use of Form A Certification Statement. For example, your facility could include information on steps it has taken to reduce its manufacture, processing, or other use of the chemical. Do not submit information you consider to be CBI or otherwise protected.



## *Part II. Chemical Identification Information*

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TRI-MEweb allows you to categorize optional information provided by checking a box next to the topic to which your information pertains:

- Changes in Production Levels
- Source Reduction Activity Reduced Activity Involving this Chemical
- One-Time or Intermittent Events Involving this Chemical
- No TRI Report Expected for this Chemical Next Year

If you do so, each topic you have selected will be included in your Section 9.2 entry, followed

by the information you have provided about that topic (if any). Using these checkboxes will ensure that EPA and other TRI data users understand useful factors related to how your facility deals with any chemicals included on the Form A Certification Statement. Note that if you select the last topic listed above, it is helpful to include the reason you will not be submitting a report next year (e.g., facility closure, move, temporary shutdown).

## **E. Instructions for Completing Form R Schedule 1 (Dioxin and Dioxin-like Compounds)**

### ***E.1 What is the Form R Schedule 1?***

The Form R Schedule 1 is an adjunct to the Form R that mirrors the data elements from Form R Part II Chemical-Specific Information sections 5, 6, and 8 (current year only) and requires the reporting of the individual grams data for each member of the dioxin and dioxin-like compounds category present. Facilities that file Form R reports for the dioxin and dioxin-like compounds category are required to determine if they have any of the information required by the Form R Schedule 1. Facilities that have any of the information required by Form R Schedule 1 must submit individual member data via the Form R Schedule 1 in addition to the Form R.

### ***E.2 Who is required to file a Form R Schedule 1?***

Only facilities that file reports for the dioxin and dioxin-like compounds category may be required to file a Form R Schedule 1. Facilities that have any of the data required by Form R Schedule 1 for the individual members of the dioxin and dioxin-like compounds category must submit a Form R Schedule 1, in addition to the Form R. EPA notes that dioxin and dioxin-like compounds are not measured as a total quantity; the measurements are based on the individual compounds within the category. Emissions factors for dioxin and dioxin-like compounds are also based on emissions factors for the individual compounds within the category. [EPA's guidance document for dioxin and dioxin-like compounds](#) (Emergency Planning And Community Right-To-Know Act - Section 313: Guidance for Reporting Toxic Chemicals within the Dioxin and Dioxin-like Compounds Category, EPA-745-B-00-021, December 2000) includes tables that contain the emissions factors for the individual members of the dioxin and dioxin-like compounds category. Since

measured data and emissions factor data are based upon data for the individual members of the dioxin and dioxin-like compounds category, the information required by Form R Schedule 1 should be available to facilities that file Form R reports for the dioxin and dioxin-like compounds category.

### ***E.3 What information is reported on the Form R Schedule 1?***

The only data reported on the Form R Schedule 1 is the mass quantity information required in sections 5, 6, and 8 (current year only) of the Form R. All of the other information required in sections 5, 6, and 8 of the Form R (off-site location names, stream or water body names, etc.) would be the same so this information is not duplicated on Form R Schedule 1. For example, if a facility reported 5.3306 grams on Form R Section 5.1 for fugitive or non-point air emissions for the dioxin and dioxin-like compounds category then the facility would report on the Form R Schedule 1 the grams data for each individual member of the category that contributed to the 5.3306 gram total. The sum of the gram quantities reported for each individual member of the category should equal the total gram quantity reported for the category on Form R for each data element (see examples in Figure 9). The NA box has the same meaning on Form R Schedule 1 as it does on the Form R and should only be marked if it is marked on the Form R.

It is extremely important that facilities enter their grams data for the individual members of the category based on the order shown in the **Individual Members of the Dioxin and Dioxin-like Compounds Category** table that follows Figure 9. This information will be used to calculate toxic equivalency values using toxic equivalency factors that are specific to each member of the category. As with reporting on the Form R, facilities should report on the Form R Schedule 1 to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA's reporting software and data management systems support data precision to seven digits to the right of the decimal.

**Form R Section 5 Example**

SECTION 5. QUANTITY OF THE TOXIC CHEMICAL ENTERING EACH ENVIRONMENTAL MEDIUM ON-SITE					
			A. Total Release (pounds/year*) (Enter a range code** or estimate)	B. Basis of Estimate (Enter code)	C. Percent from Stormwater
5.1	Fugitive or non-point air emissions	NA <input type="checkbox"/>	5.3306	M2	

**Form R Schedule 1 Section 5 Example**

SECTION 5. QUANTITY OF DIOXIN AND DIOXIN-LIKE COMPOUNDS ENTERING EACH ENVIRONMENTAL MEDIUM ON-SITE									
		5.1	NA	5.2	NA	5.3	Discharges to receiving streams or water bodies (Enter data for one stream or water body per box) NA <input type="checkbox"/>		
		Fugitive or non-point air emissions		Stack or point air emissions		5.3.1		5.3.2	5.3.3
D. Mass (grams) of each compound in the category (1-17)	1	0.0035							
	2	0.0059							
	3	0.0071							
	4	0.0008							
	5	0.0065							
	6	0.0923							
	7	0.5720							
	8	0.0723							
	9	0.0695							
	10	0.0399							
	11	0.3562							
	12	0.1309							
	13	0.0132							
	14	0.0815							
	15	1.4625							
	16	0.3126							
	17	2.1039							
If additional pages of Section 5.3 are attached, indicate the total number of pages in this box <input type="text"/>									
and indicate the Section 5.3 page number in this box <input type="text"/> (Example: 1, 2, 3, etc.)									

The Form R Schedule 1 provides boxes for recording the gram quantities for all 17 individual members of the dioxin and dioxin-like compounds category. The boxes on the Form R Schedule 1 for each release type are divided into 17 boxes. Each of the boxes (1-17) corresponds to the individual members of the dioxin category as presented in Table I.

*Figure 9. Hypothetical Form R, Section 5.1 and Form R Schedule 1, Section 5.1*

**Individual Members of the Dioxin and Dioxin-like Compounds Category**

Box #	CAS#	Chemical Name	Abbreviation
1.	01746-01-6	2,3,7,8-Tetrachlorodibenzo- p-dioxin	2,3,7,8-TCDD
2.	40321-76-4	1,2,3,7,8-Pentachlorodibenzo- p-dioxin	1,2,3,7,8-PeCDD
3.	39227-28-6	1,2,3,4,7,8-Hexachlorodibenzo- p-dioxin	1,2,3,4,7,8-HxCDD
4.	57653-85-7	1,2,3,6,7,8-Hexachlorodibenzo- p-dioxin	1,2,3,6,7,8-HxCDD
5.	19408-74-3	1,2,3,7,8,9-Hexachlorodibenzo- p-dioxin	1,2,3,7,8,9-HxCDD
6.	35822-46-9	1,2,3,4,6,7,8-Heptachlorodibenzo- p-dioxin	1,2,3,4,6,7,8-HpCDD
7.	03268-87-9	1,2,3,4,6,7,8,9-Octachlorodibenzo- p-dioxin	1,2,3,4,6,7,8,9-OCDD
8.	51207-31-9	2,3,7,8-Tetrachlorodibenzofuran	2,3,7,8-TCDF
9.	57117-41-6	1,2,3,7,8-Pentachlorodibenzofuran	1,2,3,7,8-PeCDF
10.	57117-31-4	2,3,4,7,8-Pentachlorodibenzofuran	2,3,4,7,8-PeCDF
11.	70648-26-9	1,2,3,4,7,8-Hexachlorodibenzofuran	1,2,3,4,7,8-HxCDF
12.	57117-44-9	1,2,3,6,7,8-Hexachlorodibenzofuran	1,2,3,6,7,8-HxCDF
13.	72918-21-9	1,2,3,7,8,9-Hexachlorodibenzofuran	1,2,3,7,8,9-HxCDF
14.	60851-34-5	2,3,4,6,7,8-Hexachlorodibenzofuran	2,3,4,6,7,8-HxCDF
15.	67562-39-4	1,2,3,4,6,7,8-Heptachlorodibenzofuran	1,2,3,4,6,7,8-HpCDF
16.	55673-89-7	1,2,3,4,7,8,9-Heptachlorodibenzofuran	1,2,3,4,7,8,9-HpCDF
17.	39001-02-0	1,2,3,4,6,7,8,9-Octachlorodibenzofuran	1,2,3,4,6,7,8,9-OCDF

***E.4 How do I report Form R Schedule 1 Data?***

The Electronic Reporting of Toxics Release Inventory Data rule requires that all Dioxin and Dioxin-like Compound data must be submitted electronically via TRI-MEweb. For each data element in Sections 5, 6, and 8 (current year only), TRI-MEweb has a clickable button labeled “**Schedule 1**” that loads a separate page *Release/*

*Transfer Quantities by Category Member.* In this page, you can enter the individual quantities for each category member. TRI-MEweb will automatically calculate the category total. If any releases or transfer were due to non-production-related wastes (see Chapter 2, Part II, Section 8.8), enter those values on the same page. If your facility does not have individual member data, you can select the checkbox labeled “I would like to enter total grams of Dioxin and Dioxin-like Compounds” and the “**Next**” button to enter total quantities.

## **F. Optional Facility-Level Information and Non-Reporting**

Although there is no requirement to inform the EPA of updates to a facility's contact and location information outside of what is required on a TRI reporting form, each year some facilities voluntarily elect to provide this information to the EPA. Additionally, each reporting year some facilities contact EPA to indicate that they will no longer be reporting to TRI or will not be submitting a form for one or more specific TRI-listed chemicals.

Facilities can use TRI-MEweb to provide optional facility-level information for the following categories:

- Facility name has changed
- Facility technical contact has changed
- Facility public contact has changed
- Facility has relocated to a new physical address
- Facility merged with another location
- Facility has closed
- Facility was temporarily shut down
- Facility did not have 10 or more full-time employee equivalents
- Facility is not in a covered NAICS sector
- Facility fell below reporting threshold for one or more chemicals due to source reduction
- Facility fell below reporting threshold for one or more chemicals due to exemption
- Facility fell below reporting threshold for one or more chemicals due to reason(s) other than source reduction or use of an exemption



**Table I. NAICS Codes**

**1.1 NAICS codes that correspond to SIC codes 20 through 39:**

<b>311</b>	<b>Food Manufacturing</b>	<b>31134</b>	<b>Nonchocolate Confectionery Manufacturing</b>
<b>3111</b>	<b>Animal Food Manufacturing</b>	311340	Nonchocolate Confectionery Manufacturing (except facilities primarily engaged in the retail sale of candy, nuts, popcorn and other confections not for immediate consumption made on the premises)
<b>31111</b>	<b>Animal Food Manufacturing</b>	311411	Frozen Fruit, Juice, and Vegetable Manufacturing
311111	Dog and Cat Food Manufacturing	311412	Frozen Specialty Food Manufacturing
311119	Other Animal Food Manufacturing (except facilities primarily engaged in Custom Grain Grinding for Animal Feed)	<b>31142</b>	<b>Fruit and Vegetable Canning, Pickling and Drying</b>
<b>3112</b>	<b>Grain and Oilseed Milling</b>	311421	Fruit and Vegetable Canning
<b>31121</b>	<b>Flour Milling and Malt Manufacturing</b>	311422	Specialty Canning
311211	Flour Milling	311423	Dried and Dehydrated Food Manufacturing
311212	Rice Milling	<b>3115</b>	<b>Dairy Product Manufacturing</b>
311213	Malt Manufacturing	<b>31151</b>	<b>Dairy Product (except Frozen) Manufacturing</b>
<b>31122</b>	<b>Starch and Vegetable Fats and Oils Manufacturing</b>	311511	Fluid Milk Manufacturing
311221	Wet Corn Milling	311512	Creamery Butter Manufacturing
311224	Soybean and Other Oilseed Processing	311513	Cheese Manufacturing
311225	Fats and Oils Refining and Blending	311514	Dry, Condensed, and Evaporated Dairy Product Manufacturing
<b>31123</b>	<b>Breakfast Cereal Manuf.</b>	<b>31152</b>	<b>Ice Cream and Frozen Dessert Manufacturing</b>
311230	Breakfast Cereal Manufacturing	311520	Ice Cream and Frozen Dessert Manufacturing
<b>3113</b>	<b>Sugar and Confectionery Product Manufacturing</b>	<b>3116</b>	<b>Animal Slaughtering and Processing</b>
<b>31131</b>	<b>Sugar Manufacturing</b>	<b>31161</b>	<b>Animal Slaughtering and Processing</b>
311313	Beet Sugar Manufacturing	311611	Animal (except Poultry) Slaughtering (except for facilities primarily engaged in Custom Slaughtering for individuals)
311314	Cane Sugar Manufacturing		
<b>31133</b>	<b>Chocolate and Confectionery Manufacturing</b>		
311351	Chocolate and Confectionery Manufacturing from Cacao Beans		
311352	Confectionery Manufacturing from Purchased Chocolate		

Table I. NAICS Codes

311612	Meat Processed from Carcasses [except for facilities primarily engaged in the cutting up and resale of purchased fresh carcasses for the trade (including boxed beef)]	<b>31194</b>	<b>Seasoning and Dressing Manufacturing</b>
311613	Rendering and Meat Byproduct Processing	311941	Mayonnaise, Dressing, and Other Prepared Sauce Manufacturing
311615	Poultry Processing	311942	Spice and Extract Manufacturing
<b>3117</b>	<b>Seafood Product Preparation and Packaging</b>	<b>31199</b>	<b>All Other Miscellaneous Food Manufacturing</b>
311710	Seafood Product Preparation and Packaging	311991	Perishable Prepared Food Manufacturing
		311999	All Other Miscellaneous Food Manufacturing
<b>3118</b>	<b>Bakeries and Tortilla Manufacturing</b>	<b>312</b>	<b>Beverage and Tobacco Product Manufacturing</b>
<b>31181</b>	<b>Bread and Bakery Product Manufacturing</b>	<b>3121</b>	<b>Beverage Manufacturing</b>
311812	Commercial Bakeries	<b>31211</b>	<b>Soft Drink and Ice Manufacturing</b>
311813	Frozen Cakes, Pies, and Other Pastries Manufacturing	312111	Soft Drink Manufacturing
<b>31182</b>	<b>Cookie, Cracker, and Pasta Manufacturing</b>	312112	Bottled Water Manufacturing (except facilities primarily engaged in bottling mineral or spring water)
311821	Cookie and Cracker Manufacturing	312113	Ice Manufacturing
311824	Dry Pasta ,Dough, and Flour Mixes Manufacturing from Purchased Flour	<b>31212</b>	<b>Breweries</b>
		312120	Breweries
<b>31183</b>	<b>Tortilla Manufacturing</b>	<b>31213</b>	<b>Wineries</b>
311830	Tortilla Manufacturing	312130	Wineries
<b>3119</b>	<b>Other Food Manufacturing</b>	<b>31214</b>	<b>Distilleries</b>
<b>31191</b>	<b>Snack Food Manufacturing</b>	312140	Distilleries
311911	Roasted Nuts and Peanut Butter Manufacturing	<b>3122</b>	<b>Tobacco Manufacturing</b>
311919	Other Snack Food Manufacturing	<b>31221</b>	<b>Tobacco Stemming and Redrying</b>
<b>31192</b>	<b>Coffee and Tea Manufacturing</b>	312210	Tobacco Stemming and Redrying
311920	Coffee and Tea Manufacturing	<b>31223</b>	<b>Tobacco Product Manufacturing</b>
<b>31193</b>	<b>Flavoring Syrup and Concentrate Manufacturing</b>	312230	Tobacco Manufacturing
311930	Flavoring Syrup and Concentrate Manufacturing		

Table I. NAICS Codes

<b>313</b>	<b>Textile Mills</b>	<b>314</b>	<b>Textile Product Mills</b>
<b>3131</b>	<b>Fiber, Yarn, and Thread Mills</b>	<b>3141</b>	<b>Textile Furnishing Mills</b>
<b>31311</b>	<b>Fiber, Yarn, and Thread Mills</b>	<b>31411</b>	<b>Carpet and Rug Mills</b>
313110	Fiber, Yarn, and Thread Mills	314110	Carpet and Rug Mills
<b>3132</b>	<b>Fabric Mills</b>	<b>31412</b>	<b>Curtain and Linen Mills</b>
<b>31321</b>	<b>Broadwoven Fabric Mills</b>	314120	Curtain and Linen Mills (except facilities primarily engaged in making custom drapery for retail sale)
313210	Broadwoven Fabric Mills	<b>3149</b>	<b>Other Textile Product Mills</b>
<b>31322</b>	<b>Narrow Fabric Mills and Schiffli Machine Embroidery</b>	<b>31491</b>	<b>Textile Bag and Canvas Mills</b>
313220	Narrow Fabric Mills and Schiffli Machine Embroidery	314910	Textile Bag and Canvas Mills
<b>31323</b>	<b>Nonwoven Fabric Mills</b>	<b>31499</b>	<b>All Other Textile Product Mills</b>
313230	Nonwoven Fabric Mills	314994	Rope, Cordage, Twine, Tire Cord, and Tire Fabric Mills
<b>31324</b>	<b>Knit Fabric Mills</b>	314999	All Other Miscellaneous Textile Product Mills (except facilities engaged in binding carpets and rugs for the trade, carpet cutting and binding, and embroidering on textile products (except apparel) for the trade)
3132401	Knit Fabric Mills	<b>315</b>	<b>Apparel Manufacturing</b>
<b>3133</b>	<b>Textile and Fabric Finishing and Fabric Coating Mills</b>	<b>3151</b>	<b>Apparel Knitting Mills</b>
<b>31331</b>	<b>Textile and Fabric Finishing Mills</b>	<b>31511</b>	<b>Hosiery and Sock Mills</b>
313310	Textile and Fabric Finishing Mills (except facilities primarily engaged in converting broadwoven piece goods and broadwoven textiles and facilities primarily engaged in sponging fabric for tailors and dressmakers and facilities primarily engaged in converting narrow woven textiles and narrow woven piece goods)	315110	Hosiery and Sock Mills
<b>31332</b>	<b>Fabric Coating Mills</b>	<b>31519</b>	<b>Other Apparel Knitting Mills</b>
313320	Fabric Coating Mills	315190	Other Apparel Knitting Mills
		<b>3152</b>	<b>Cut and Sew Apparel Manufacturing</b>
		<b>31521</b>	<b>Cut and Sew Apparel Contractors</b>
		315210	Cut and Sew Apparel Contractors

Table I. NAICS Codes

<b>31522</b>	<b>Men's and Boys' Cut and Sew Apparel Manufacturing</b>	<b>3169</b>	<b>Other Leather and Allied Product Manufacturing</b>
315220	Men's and Boys' Cut and Sew Apparel Manufacturing (except custom tailors primarily engaged in making and selling men's and boy's suits, cut and sewn from purchased fabric)	<b>31699</b>	<b>Other Leather and Allied Product Manufacturing</b>
<b>31524</b>	<b>Women's, Girls', and Infants' Cut and Sew Apparel Manufacturing</b>	316992	Women's Handbag and Purse Manufacturing
315240	Women's, Girls', and Infants' Cut and Sew Apparel Manufacturing	316998	All Other Leather Good and Allied Product Manufacturing
<b>31528</b>	<b>Other Cut and Sew Apparel Manufacturing</b>	<b>321</b>	<b>Wood Product Manufacturing</b>
315280	Other Cut and Sew Apparel Manufacturing	<b>3211</b>	<b>Sawmills and Wood Preservation</b>
<b>3159</b>	<b>Apparel Accessories and Other Apparel Manufacturing</b>	321113	Sawmills
<b>31599</b>	<b>Apparel Accessories and Other Apparel Manufacturing</b>	321114	Wood Preservation
315990	Apparel Accessories and Other Apparel Manufacturing	<b>3212</b>	<b>Veneer, Plywood, and Engineered Wood Product Manufacturing</b>
<b>316</b>	<b>Leather and Allied Product Manufacturing</b>	<b>32121</b>	<b>Veneer, Plywood, and Engineered Wood Product Manufacturing</b>
<b>3161</b>	<b>Leather and Hide Tanning and Finishing</b>	321211	Hardwood Veneer and Plywood Manufacturing
<b>31611</b>	<b>Leather and Hide Tanning and Finishing</b>	321212	Softwood Veneer and Plywood Manufacturing
316110	Leather and Hide Tanning and Finishing	321213	Engineered Wood Member (except Truss) Manufacturing
<b>3162</b>	<b>Footwear Manufacturing</b>	321214	Truss Manufacturing
<b>31621</b>	<b>Footwear Manufacturing</b>	321219	Reconstituted Wood Product Manufacturing
316210	Footwear Manufacturing	<b>3219</b>	<b>Other Wood Product Manufacturing</b>
		<b>32191</b>	<b>Millwork</b>
		321911	Wood Window and Door Manufacturing
		321912	Cut Stock, Resawing Lumber, and Planing
		321918	Other Millwork (including Flooring)

Table I. NAICS Codes

<b>32192</b>	<b>Wood Container and Pallet Manufacturing</b>	<b>32223</b>	<b>Stationery Product Manufacturing</b>
321920	Wood Container and Pallet Manufacturing	322230	Stationery Product Manufacturing
<b>32199</b>	<b>All Other Wood Product Manufacturing</b>	<b>32229</b>	<b>Other Converted Paper Product Manufacturing</b>
321991	Manufactured Home (Mobile Home) Manufacturing	322291	Sanitary Paper Product Manufacturing
321992	Prefabricated Wood Building Manufacturing	322299	All Other Converted Paper Product Manufacturing
321999	All Other Miscellaneous Wood Product Manufacturing	<b>323</b>	<b>Printing and Related Support Activities</b>
<b>322</b>	<b>Paper Manufacturing</b>	<b>3231</b>	<b>Printing and Related Support Activities</b>
<b>3221</b>	<b>Pulp, Paper, and Paperboard Mills</b>	<b>32311</b>	<b>Printing</b>
<b>32211</b>	<b>Pulp Mills</b>	323111	Commercial Printing (Except Screen and Books) (except facilities primarily engaged in reproducing text, drawings, plans, maps, or other copy by blueprinting, photocopying, mimeographing, or other methods of duplication other than printing or microfilming ( <i>i.e.</i> , instant printing))
322110	Pulp Mills	323113	Commercial Screen Printing
<b>32212</b>	<b>Paper Mills</b>	323117	Books Printing
322121	Paper (except Newsprint) Mills	<b>32312</b>	<b>Support Activities for Printing</b>
322122	Newsprint Mills	3231201	Support Activities for Printing
<b>32213</b>	<b>Paperboard Mills</b>	<b>324</b>	<b>Petroleum and Coal Products Manufacturing</b>
322130	Paperboard Mills	<b>3241</b>	<b>Petroleum and Coal Products Manufacturing</b>
<b>3222</b>	<b>Converted Paper Product Manufacturing</b>	<b>32411</b>	<b>Petroleum Refineries</b>
<b>32221</b>	<b>Paperboard Container Manufacturing</b>	324110	Petroleum Refineries
322211	Corrugated and Solid Fiber Box Manufacturing	<b>32412</b>	<b>Asphalt Paving, Roofing, and Saturated Materials Manufacturing</b>
322212	Folding Paperboard Box Manufacturing	324121	Asphalt Paving Mixture and Block Manufacturing
322219	Other Paperboard Container Manufacturing	324122	Asphalt Shingle and Coating Materials Manufacturing
<b>32222</b>	<b>Paper Bag and Coated and Treated Paper Manufacturing</b>		
322220	Paper Bag and Coated and Treated Paper Manufacturing		



Table I. NAICS Codes

<b>32419</b>	<b>Other Petroleum and Coal Products Manufacturing</b>	<b>32521</b>	<b>Resin and Synthetic Rubber Manufacturing</b>
324191	Petroleum Lubricating Oil and Grease Manufacturing	325211	Plastics Material and Resin Manufacturing
324199	All Other Petroleum and Coal Products Manufacturing	325212	Synthetic Rubber Manufacturing
<b>325</b>	<b>Chemical Manufacturing</b>	<b>32522</b>	<b>Artificial and Synthetic Fibers and Filaments Manufacturing</b>
<b>3251</b>	<b>Basic Chemical Manufacturing</b>	325220	Artificial and Synthetic Fibers and Filaments Manufacturing
<b>32511</b>	<b>Petrochemical Manufacturing</b>	<b>3253</b>	<b>Pesticide, Fertilizer, and Other Agricultural Chemical Manufacturing</b>
325110	Petrochemical Manufacturing	<b>32531</b>	<b>Fertilizer Manufacturing</b>
<b>32512</b>	<b>Industrial Gas Manufacturing</b>	325311	Nitrogenous Fertilizer Manufacturing
325120	Industrial Gas Manufacturing	325312	Phosphatic Fertilizer Manufacturing
<b>32513</b>	<b>Synthetic Dye and Pigment Manufacturing</b>	325314	Fertilizer (Mixing Only) Manufacturing
325130	Synthetic Dye and Pigment Manufacturing	<b>32532</b>	<b>Pesticide and Other Agricultural Chemical Manufacturing</b>
<b>32518</b>	<b>Other Basic Inorganic Chemical Manufacturing</b>	325320	Pesticide and Other Agricultural Chemical Manufacturing
325180	Other Basic Inorganic Chemical Manufacturing	<b>3254</b>	<b>Pharmaceutical and Medicine Manufacturing</b>
<b>32519</b>	<b>Other Basic Organic Chemical Manufacturing</b>	<b>32541</b>	<b>Pharmaceutical and Medicine Manufacturing</b>
325193	Ethyl Alcohol Manufacturing	325411	Medicinal and Botanical Manufacturing
325194	Cyclic Crude, Intermediate, and Gum and Wood Chemical Manufacturing	325412	Pharmaceutical Preparation Manufacturing
325199	All Other Basic Organic Chemical Manufacturing	325413	In-Vitro Diagnostic Substance Manufacturing
<b>3252</b>	<b>Resin, Synthetic Rubber, and Artificial Synthetic Fibers and Filaments Manufacturing</b>	325414	Biological Product (except Diagnostic) Manufacturing
		<b>3255</b>	<b>Paint, Coating, and Adhesive Manufacturing</b>
		<b>32551</b>	<b>Paint and Coating Manufacturing</b>
		325510	Paint and Coating Manufacturing

Table I. NAICS Codes

<b>32552</b>	<b>Adhesive Manufacturing</b>	<b>326</b>	<b>Plastics and Rubber Products Manufacturing</b>
325520	Adhesive Manufacturing		
<b>3256</b>	<b>Soap, Cleaning Compound, and Toilet Preparation Manufacturing</b>	<b>3261</b>	<b>Plastics Product Manufacturing</b>
<b>32561</b>	<b>Soap and Cleaning Compound Manufacturing</b>	<b>32611</b>	<b>Plastics Packaging Materials and Unlaminated Film and Sheet Manufacturing</b>
325611	Soap and Other Detergent Manufacturing	326111	Plastics Bag and Pouch Manufacturing
325612	Polish and Other Sanitation Good Manufacturing	326112	Plastics Packaging Film and Sheet (including Laminated) Manufacturing
325613	Surface Active Agent Manufacturing	326113	Unlaminated Plastics Film and Sheet (except Packaging) Manufacturing
<b>32562</b>	<b>Toilet Preparation Manufacturing</b>	<b>32612</b>	<b>Plastics, Pipe, Pipe Fitting, and Unlaminated Profile Shape Manufacturing</b>
325620	Toilet Preparation Manufacturing	326121	Unlaminated Plastics Profile Shape Manufacturing
<b>3259</b>	<b>Other Chemical Product and Preparation Manufacturing</b>	326122	Plastics Pipe and Pipe Fitting Manufacturing
<b>32591</b>	<b>Printing Ink Manufacturing</b>	<b>32613</b>	<b>Laminated Plastics Plate, Sheet (except Packaging), and Shape Manufacturing</b>
325910	Printing Ink Manufacturing	326130	Laminated Plastics Plate, Sheet (except Packaging), and Shape Manufacturing
<b>32592</b>	<b>Explosives Manufacturing</b>	<b>32614</b>	<b>Polystyrene Foam Product Manufacturing</b>
325920	Explosives Manufacturing	326140	Polystyrene Foam Product Manufacturing
<b>32599</b>	<b>All Other Chemical Product and Preparation Manufacturing</b>	<b>32615</b>	<b>Urethane and Other Foam Product (except Polystyrene) Manufacturing</b>
325991	Custom Compounding of Purchased Resins	326150	Urethane and Other Foam Product (except Polystyrene) Manufacturing
325992	Photographic Film, Paper, Plate, and Chemical Manufacturing	<b>32616</b>	<b>Plastics Bottle Manufacturing</b>
325998	All Other Miscellaneous Chemical Product and Preparation Manufacturing (except facilities primarily engaged in Aerosol can filling on a job order or contract Basis)	326160	Plastics Bottle Manufacturing

Table I. NAICS Codes

<b>32619</b>	<b>Other Plastics Product Manufacturing</b>	<b>3272</b>	<b>Glass and Glass Product Manufacturing</b>
326191	Plastics Plumbing Fixture Manufacturing	<b>32721</b>	<b>Glass and Glass Product Manufacturing</b>
326199	All Other Plastics Product Manufacturing	327211	Flat Glass Manufacturing
<b>3262</b>	<b>Rubber Product Manufacturing</b>	327212	Other Pressed and Blown Glass and Glassware Manufacturing
<b>32621</b>	<b>Tire Manufacturing</b>	327213	Glass Container Manufacturing
326211	Tire Manufacturing (except Retreading)	327215	Glass Product Manufacturing Made of Purchased Glass
<b>32622</b>	<b>Rubber and Plastics Hoses and Belting Manufacturing</b>	<b>3273</b>	<b>Cement and Concrete Product Manufacturing</b>
326220	Rubber and Plastics Hoses and Belting Manufacturing	<b>32731</b>	<b>Cement Manufacturing</b>
<b>32629</b>	<b>Other Rubber Product Manufacturing</b>	327310	Cement Manufacturing
326291	Rubber Product Manufacturing for Mechanical Use	<b>32732</b>	<b>Ready-Mix Concrete Manufacturing</b>
326299	All Other Rubber Product Manufacturing	327320	Ready-Mix Concrete Manufacturing
<b>327</b>	<b>Nonmetallic Mineral Product Manufacturing</b>	<b>32733</b>	<b>Concrete Pipe, Brick, and Block Manufacturing</b>
<b>3271</b>	<b>Clay Product and Refractory Manufacturing</b>	327331	Concrete Block and Brick Manufacturing
<b>32711</b>	<b>Pottery, Ceramics, and Plumbing Fixture Manufacturing</b>	327332	Concrete Pipe Manufacturing
327110	Pottery, Ceramics, and Plumbing Fixture Manufacturing	<b>32739</b>	<b>Other Concrete Product Manufacturing</b>
<b>32712</b>	<b>Clay Building Material and Refractories Manufacturing</b>	327390	Other Concrete Product Manufacturing
327120	Clay Building Material and Refractories Manufacturing	<b>3274</b>	<b>Lime and Gypsum Product Manufacturing</b>
		<b>32741</b>	<b>Lime Manufacturing</b>
		327410	Lime Manufacturing
		<b>32742</b>	<b>Gypsum Product Manufacturing</b>
		327420	Gypsum Product Manufacturing

Table I. NAICS Codes

<b>3279</b>	<b>Other Nonmetallic Mineral Product Manufacturing</b>	<b>3313</b>	<b>Alumina and Aluminum Production and Processing</b>
<b>32791</b>	<b>Abrasive Product Manufacturing</b>	<b>33131</b>	<b>Alumina and Aluminum Production and Processing</b>
327910	Abrasive Product Manufacturing	331313	Alumina Refining and Primary Aluminum Production
<b>32799</b>	<b>All Other Nonmetallic Mineral Product Manufacturing</b>	331314	Secondary Smelting and Alloying of Aluminum
327991	Cut Stone and Stone Product Manufacturing	331315	Aluminum Sheet, Plate, and Foil Manufacturing
327992	Ground or Treated Mineral and Earth Manufacturing	331318	Other Aluminum Rolling, Drawing, and Extruding
327993	Mineral Wool Manufacturing	<b>3314</b>	<b>Nonferrous Metal (except Aluminum) Production and Processing</b>
327999	All Other Miscellaneous Nonmetallic Mineral Product Manufacturing	<b>33141</b>	<b>Nonferrous Metal (except Aluminum) Smelting and Refining</b>
<b>331</b>	<b>Primary Metal Manufacturing</b>	331410	Nonferrous Metal (except Aluminum) Smelting and Refining
<b>3311</b>	<b>Iron and Steel Mills and Ferroalloy Manufacturing</b>	<b>33142</b>	<b>Copper Rolling, Drawing, Extruding, and Alloying</b>
<b>33111</b>	<b>Iron and Steel Mills and Ferroalloy Manufacturing</b>	331420	Copper Rolling, Drawing, Extruding, and Alloying
331110	Iron and Steel Mills and Ferroalloy Manufacturing	<b>33149</b>	<b>Nonferrous Metal (except Copper and Aluminum) Rolling, Drawing, Extruding, and Alloying</b>
<b>3312</b>	<b>Steel Product Manufacturing from Purchased Steel</b>	331491	Nonferrous Metal (except Copper and Aluminum) Rolling, Drawing, and Extruding
<b>33121</b>	<b>Iron and Steel Pipe and Tube Manufacturing from Purchased Steel</b>	331492	Secondary Smelting, Refining, and Alloying of Nonferrous Metal (except Copper and Aluminum)
331210	Iron and Steel Pipe and Tube Manufacturing from Purchased Steel	<b>3315</b>	<b>Foundries</b>
<b>33122</b>	<b>Rolling and Drawing of Purchased Steel</b>	<b>33151</b>	<b>Ferrous Metal Foundries</b>
331221	Rolled Steel Shape Manufacturing	331511	Iron Foundries
331222	Steel Wire Drawing	331512	Steel Investment Foundries
		331513	Steel Foundries (except Investment)

Table I. NAICS Codes

<b>33152</b>	<b>Nonferrous Metal Foundries</b>	<b>33232</b>	<b>Ornamental and Architectural Metal Products Manufacturing</b>
331523	Nonferrous Metal Die-Casting Foundries	332321	Metal Window and Door Manufacturing
331524	Aluminum Foundries (except Die-Casting)	332322	Sheet Metal Work Manufacturing
331529	Other Nonferrous Metal Foundries (except Die-Casting)	332323	Ornamental and Architectural Metal Work Manufacturing
<b>332</b>	<b>Fabricated Metal Product Manufacturing</b>	<b>3324</b>	<b>Boiler, Tank, and Shipping Container Manufacturing</b>
<b>3321</b>	<b>Forging and Stamping</b>	<b>33241</b>	<b>Power Boiler and Heat Exchanger Manufacturing</b>
<b>33211</b>	<b>Forging and Stamping</b>	332410	Power Boiler and Heat Exchanger Manufacturing
332111	Iron and Steel Forging	<b>33242</b>	<b>Metal Tank (Heavy Gauge) Manufacturing</b>
332112	Nonferrous Forging	332420	Metal Tank (Heavy Gauge) Manufacturing
332114	Custom Roll Forming	<b>33243</b>	<b>Metal Can, Box, and Other Metal Container (Light Gauge) Manufacturing</b>
332117	Powder Metallurgy Part Manufacturing	332431	Metal Can Manufacturing
332119	Metal Crown, Closure, and Other Metal Stamping (Except Automotive)	332439	Other Metal Container Manufacturing
<b>3322</b>	<b>Cutlery and Handtool Manufacturing</b>	<b>3325</b>	<b>Hardware Manufacturing</b>
<b>33221</b>	<b>Cutlery and Handtool Manufacturing</b>	<b>33251</b>	<b>Hardware Manufacturing</b>
332215	Metal Kitchen Cookware, Utensil, Cutlery, and Flatware (except Precious) Manufacturing	332510	Hardware Manufacturing
332216	Saw Blade and Handtool Manufacturing	<b>3326</b>	<b>Spring and Wire Product Manufacturing</b>
<b>3323</b>	<b>Architectural and Structural Metals Manufacturing</b>	<b>33261</b>	<b>Spring and Wire Product Manufacturing</b>
<b>33231</b>	<b>Plate Work and Fabricated Structural Product Manufacturing</b>	332613	Spring Manufacturing
332311	Prefabricated Metal Building and Component Manufacturing	332618	Other Fabricated Wire Product Manufacturing
332312	Fabricated Structural Metal Manufacturing	<b>3327</b>	<b>Machine Shops; Turned Product; and Screw, Nut and Bolt Manufacturing</b>
332313	Plate Work Manufacturing	<b>33271</b>	<b>Machine Shops</b>
		332710	Machine Shops



Table I. NAICS Codes

<b>33272</b>	<b>Turned Product and Screw, Nut and Bolt Manufacturing</b>	<b>333</b>	<b>Machinery Manufacturing</b>
332721	Precision Turned Product Manufacturing	<b>3331</b>	<b>Agriculture, Construction, and Mining Machinery Manufacturing</b>
332722	Bolt, Nut, Screw, Rivet, and Washer Manufacturing	<b>33311</b>	<b>Agricultural Implement Manufacturing</b>
<b>3328</b>	<b>Coating, Engraving, Heat Treating, and Allied Activities</b>	333111	Farm Machinery and Equipment Manufacturing
<b>33281</b>	<b>Coating, Engraving, Heat Treating, and Allied Activities</b>	333112	Lawn and Garden Tractor and Home Lawn and Garden Equipment Manufacturing
332811	Metal Heat Treating	<b>33312</b>	<b>Construction Machinery Manufacturing</b>
332812	Metal Coating, Engraving (except Jewelry and Silverware), and Allied Services to Manufacturers	333120	Construction Machinery Manufacturing
332813	Electroplating, Plating, Polishing, Anodizing, and Coloring	<b>33313</b>	<b>Mining and Oil and Gas Field Machinery Manufacturing</b>
<b>3329</b>	<b>Other Fabricated Metal Product Manufacturing</b>	333131	Mining Machinery and Equipment Manufacturing
<b>33291</b>	<b>Metal Valve Manufacturing</b>	333132	Oil and Gas Field Machinery and Equipment Manufacturing
332911	Industrial Valve Manufacturing	<b>3332</b>	<b>Industrial Machinery Manufacturing</b>
332912	Fluid Power Valve and Hose Fitting Manufacturing	<b>33324</b>	<b>Industrial Machinery Manufacturing</b>
332913	Plumbing Fixture Fitting and Trim Manufacturing	333241	Food Product Machinery Manufacturing
332919	Other Metal Valve and Pipe Fitting Manufacturing	333242	Semiconductor Machinery Manufacturing
<b>33299</b>	<b>All Other Fabricated Metal Product Manufacturing</b>	333243	Sawmill, Woodworking, and Paper Machinery Manufacturing
332991	Ball and Roller Bearing Manufacturing	333244	Printing Machinery and Equipment Manufacturing
332992	Small Arms Ammunition Manufacturing	333249	Other Industrial Machinery Manufacturing
332993	Ammunition (except Small Arms) Manufacturing		
332994	Small Arms, Ordnance, and Ordnance Accessories Manufacturing		
332996	Fabricated Pipe and Pipe Fitting Manufacturing		
332999	All Other Miscellaneous Fabricated Metal Product Manufacturing		

Table I. NAICS Codes

<b>3333</b>	<b>Commercial and Service Industry Machinery Manufacturing</b>	<b>3336</b>	<b>Engine, Turbine, and Power Transmission Equipment Manufacturing</b>
<b>33331</b>	<b>Commercial and Service Industry Machinery Manufacturing</b>	<b>33361</b>	<b>Engine, Turbine, and Power Transmission Equipment Manufacturing</b>
333314	Optical Instrument and Lens Manufacturing	333611	Turbine and Turbine Generator Set Units Manufacturing
333316	Photographic and Photocopying Equipment Manufacturing	333612	Speed Changer, Industrial High-Speed Drive, and Gear Manufacturing
333318	Other Commercial and Service Industry Machinery Manufacturing	333613	Mechanical Power Transmission Equipment Manufacturing
<b>3334</b>	<b>Ventilation, Heating, Air-Conditioning, and Commercial Refrigeration</b>	333618	Other Engine Equipment Manufacturing
<b>33341</b>	<b>Equipment Manufacturing Ventilation, Heating, Air-Conditioning, and Commercial Refrigeration Equipment Manufacturing</b>	<b>3339</b>	<b>Other General Purpose Machinery Manufacturing</b>
333413	Industrial and Commercial Fan and Blower and Air Purification Equipment Manufacturing	<b>33391</b>	<b>Pump and Compressor Manufacturing</b>
333414	Heating Equipment (except Warm Air Furnaces) Manufacturing	333912	Air and Gas Compressor Manufacturing
333415	Air-Conditioning and Warm Air Heating Equipment and Commercial and Industrial Refrigeration Equipment Manufacturing	333914	Measuring, Dispensing, and Other Pumping Equipment Manufacturing
<b>3335</b>	<b>Metalworking Machinery Manufacturing</b>	<b>33392</b>	<b>Material Handling Equipment Manufacturing</b>
<b>33351</b>	<b>Metalworking Machinery Manufacturing</b>	333921	Elevator and Moving Stairway Manufacturing
333511	Industrial Mold Manufacturing	333922	Conveyor and Conveying Equipment Manufacturing
333514	Special Die and Tool, Die Set, Jig, and Fixture Manufacturing	333923	Overhead Traveling Crane, Hoist, and Monorail System Manufacturing
333515	Cutting Tool and Machine Tool Accessory Manufacturing	333924	Industrial Truck, Tractor, Trailer, and Stacker Machinery Manufacturing
333517	Machine Tool Manufacturing	<b>33399</b>	<b>All Other General Purpose Machinery Manufacturing</b>
333519	Rolling Mill and Other Metalworking Machinery Manufacturing	333991	Power-Driven Handtool Manufacturing
		333992	Welding and Soldering Equipment Manufacturing
		333993	Packaging Machinery Manufacturing
		333994	Industrial Process Furnace and Oven Manufacturing
		333995	Fluid Power Cylinder and Actuator Manufacturing

Table I. NAICS Codes

333996	Fluid Power Pump and Motor Manufacturing		
333997	Scale and Balance Manufacturing		
333999	All Other Miscellaneous General Purpose Machinery Manufacturing		
<b>334</b>	<b>Computer and Electronic Product Manufacturing</b>		
<b>3341</b>	<b>Computer and Peripheral Equipment Manufacturing</b>		
<b>33411</b>	<b>Computer and Peripheral Equipment Manufacturing</b>		
334111	Electronic Computer Manufacturing		
334112	Computer Storage Device Manufacturing		
334118	Computer Terminal and Other Computer Peripheral Equipment Manufacturing		
<b>3342</b>	<b>Communications Equipment Manufacturing</b>		
<b>33421</b>	<b>Telephone Apparatus Manufacturing</b>		
334210	Telephone Apparatus Manufacturing		
<b>33422</b>	<b>Radio and Television Broadcasting and Wireless Communications Equipment Manufacturing</b>		
334220	Radio and Television Broadcasting and Wireless Communications Equipment Manufacturing		
<b>33429</b>	<b>Other Communications Equipment Manufacturing</b>		
334290	Other Communications Equipment Manufacturing		
<b>3343</b>	<b>Audio and Video Equipment Manufacturing</b>		
<b>33431</b>	<b>Audio and Video Equipment Manufacturing</b>		
334310	Audio and Video Equipment Manufacturing		
		<b>3344</b>	<b>Semiconductor and Other Electronic Component Manufacturing</b>
		<b>33441</b>	<b>Semiconductor and Other Electronic Component Manufacturing</b>
		334412	Bare Printed Circuit Board Manufacturing
		334413	Semiconductor and Related Device Manufacturing
		334416	Capacitor, Resistor, Coil, Transformer, and Other Inductor Manufacturing
		334417	Electronic Connector Manufacturing
		334418	Printed Circuit Assembly (Electronic Assembly) Manufacturing
		334419	Other Electronic Component Manufacturing
		<b>3345</b>	<b>Navigational, Measuring, Electromedical, and Control Instruments Manufacturing</b>
		<b>33451</b>	<b>Navigational, Measuring, Electromedical, and Control Instruments Manufacturing</b>
		334510	Electromedical and Electrotherapeutic Apparatus Manufacturing
		334511	Search, Detection, Navigation, Guidance, Aeronautical, and Nautical System and Instrument Manufacturing
		334512	Automatic Environmental Control Manufacturing for Residential, Commercial, and Appliance Use
		334513	Instruments and Related Products Manufacturing for Measuring, Displaying, and Controlling Industrial Process Variables
		334514	Totalizing Fluid Meter and Counting Device Manufacturing
		334515	Instrument Manufacturing for Measuring and Testing Electricity and Electrical Signals
		334516	Analytical Laboratory Instrument Manufacturing

Table I. NAICS Codes

334517	Irradiation Apparatus Manufacturing	<b>33522</b>	<b>Major Appliance Manufacturing</b>
334519	Other Measuring and Controlling Device Manufacturing	335220	Major Household Appliance Manufacturing
<b>3346</b>	<b>Manufacturing and Reproducing Magnetic and Optical Media</b>	<b>3353</b>	<b>Electrical Equipment Manufacturing</b>
<b>33461</b>	<b>Manufacturing and Reproducing Magnetic and Optical Media</b>	<b>33531</b>	<b>Electrical Equipment Manufacturing</b>
334613	Blank Magnetic and Optical Recording Media Manufacturing	335311	Power, Distribution, and Specialty Transformer Manufacturing
334614	Software and Other Prerecorded Compact Disc, Tape and Record Reproducing (except facilities primarily engaged in mass reproducing pre-recorded Video Cassettes, and mass reproducing Video tape or disk)	335312	Motor and Generator Manufacturing (except facilities primarily engaged in armature rewinding on a factory basis)
<b>335</b>	<b>Electrical Equipment, Appliance, and Component Manufacturing</b>	335313	Switchgear and Switchboard Apparatus Manufacturing
<b>3351</b>	<b>Electric Lighting Equipment Manufacturing</b>	335314	Relay and Industrial Control Manufacturing
<b>33511</b>	<b>Electric Lamp Bulb and Part Manufacturing</b>	<b>3359</b>	<b>Other Electrical Equipment and Component Manufacturing</b>
335110	Electric Lamp Bulb and Part Manufacturing	<b>33591</b>	<b>Battery Manufacturing</b>
<b>33512</b>	<b>Lighting Fixture Manufacturing</b>	335911	Storage Battery Manufacturing
335121	Residential Electric Lighting Fixture Manufacturing	335912	Primary Battery Manufacturing
335122	Commercial, Industrial, and Institutional Electric Lighting Fixture Manufacturing	<b>33592</b>	<b>Communication and Energy Wire and Cable Manufacturing</b>
335129	Other Lighting Equipment Manufacturing	335921	Fiber Optic Cable Manufacturing
<b>3352</b>	<b>Household Appliance Manufacturing</b>	335929	Other Communication and Energy Wire Manufacturing
<b>33521</b>	<b>Small Electrical Appliance Manufacturing</b>	<b>33593</b>	<b>Wiring Device Manufacturing</b>
335210	Small Electrical Appliance Manufacturing	335931	Current-Carrying Wiring Device Manufacturing
		335932	Noncurrent-Carrying Wiring Device Manufacturing

Table I. NAICS Codes

<b>33599</b>	<b>All Other Electrical Equipment and Component Manufacturing</b>	<b>33632</b>	<b>Motor Vehicle Electrical and Electronic Equipment Manufacturing</b>
335991	Carbon and Graphite Product Manufacturing	336320	Motor Vehicle Electrical and Electronic Equipment Manufacturing
335999	All Other Miscellaneous Electrical Equipment and Component Manufacturing	<b>33633</b>	<b>Motor Vehicle Steering and Suspension Components (except Spring) Manufacturing</b>
<b>336</b>	<b>Transportation Equipment Manufacturing</b>	336330	Motor Vehicle Steering and Suspension Components (except Spring) Manufacturing
<b>3361</b>	<b>Motor Vehicle Manufacturing</b>	<b>33634</b>	<b>Motor Vehicle Brake System Manufacturing</b>
<b>33611</b>	<b>Automobile and Light Duty Motor Vehicle Manufacturing</b>	336340	Motor Vehicle Brake System Manufacturing
336111	Automobile Manufacturing	<b>33635</b>	<b>Motor Vehicle Transmission and Power Train Parts Manufacturing</b>
336112	Light Truck and Utility Vehicle Manufacturing	336350	Motor Vehicle Transmission and Power Train Parts Manufacturing
<b>33612</b>	<b>Heavy Duty Truck Manufacturing</b>	<b>33636</b>	<b>Motor Vehicle Seating and Interior Trim Manufacturing</b>
336120	Heavy Duty Truck Manufacturing	336360	Motor Vehicle Seating and Interior Trim Manufacturing
<b>3362</b>	<b>Motor Vehicle Body and Trailer Manufacturing</b>	<b>33637</b>	<b>Motor Vehicle Metal Stamping</b>
<b>33621</b>	<b>Motor Vehicle Body and Trailer Manufacturing</b>	336370	Motor Vehicle Metal Stamping
336211	Motor Vehicle Body Manufacturing	<b>33639</b>	<b>Other Motor Vehicle Parts Manufacturing</b>
336212	Truck Trailer Manufacturing	336390	Motor Vehicle Parts Manufacturing
336213	Motor Home Manufacturing	<b>3364</b>	<b>Aerospace Product and Parts Manufacturing</b>
336214	Travel Trailer and Camper Manufacturing	<b>33641</b>	<b>Aerospace Product and Parts Manufacturing</b>
<b>3363</b>	<b>Motor Vehicle Parts Manufacturing</b>	336411	Aircraft Manufacturing
<b>33631</b>	<b>Motor Vehicle Gasoline Engine and Engine Parts Manufacturing</b>	336412	Aircraft Engine and Engine Parts Manufacturing
336310	Motor Vehicle Gasoline Engine and Engine Parts Manufacturing		



Table I. NAICS Codes

336413	Other Aircraft Parts and Auxiliary Equipment Manufacturing	337110	Wood Kitchen Cabinet and Countertop Manufacturing (except facilities primarily engaged in the retail sale of household furniture and that manufacture custom wood kitchen cabinets and counter tops)
336414	Guided Missile and Space Vehicle Manufacturing	<b>33712 Household and Institutional Furniture Manufacturing</b>	<b>337121 Upholstered Household Furniture Manufacturing</b> (except facilities primarily engaged in the retail sale of household furniture and that manufacture custom made upholstered household furniture)
336415	Guided Missile and Space Vehicle Propulsion Unit and Propulsion Unit Parts Manufacturing		
336419	Other Guided Missile and Space Vehicle Parts and Auxiliary Equipment Manufacturing	337122	Nonupholstered Wood Household Furniture Manufacturing (except facilities primarily engaged in the retail sale of household furniture and that manufacture nonupholstered, household type, custom wood furniture)
<b>3365 Railroad Rolling Stock Manufacturing</b>		337124	Metal Household Furniture Manufacturing
<b>33651 Railroad Rolling Stock Manufacturing</b>		337125	Household Furniture (except Wood and Metal) Manufacturing
336510	Railroad Rolling Stock Manufacturing	337127	Institutional Furniture Manufacturing
<b>3366 Ship and Boat Building</b>		<b>3372 Office Furniture (including Fixtures) Manufacturing</b>	
<b>33661 Ship and Boat Building</b>		<b>33721 Office Furniture (including Fixtures) Manufacturing</b>	
336611	Ship Building and Repairing	337211	Wood Office Furniture Manufacturing
336612	Boat Building	337212	Custom Architectural Woodwork and Millwork Manufacturing
<b>3369 Other Transportation Equipment Manufacturing</b>		337214	Office Furniture (except Wood) Manufacturing
<b>33699 Other Transportation Equipment Manufacturing</b>		337215	Showcase, Partition, Shelving, and Locker Manufacturing
336991	Motorcycle, Bicycle, and Parts Manufacturing	<b>3379 Other Furniture Related Product Manufacturing</b>	
336992	Military Armored Vehicle, Tank, and Tank Component Manufacturing	<b>33791 Mattress Manufacturing</b>	
336999	All Other Transportation Equipment Manufacturing	337910	Mattress Manufacturing
<b>337 Furniture and Related Product Manufacturing</b>		<b>33792 Blind and Shade Manufacturing</b>	
<b>3371 Household and Institutional Furniture and Kitchen Cabinet Manufacturing</b>		337920	Blind and Shade Manufacturing
<b>33711 Wood Kitchen Cabinet and Countertop Manufacturing</b>			

Table I. NAICS Codes

<b>339</b>	<b>Miscellaneous Manufacturing</b>	<b>33994</b>	<b>Office Supplies (except Paper) Manufacturing</b>
<b>3391</b>	<b>Medical Equipment and Supplies Manufacturing</b>	339940	Office Supplies (except Paper) Manufacturing
<b>33911</b>	<b>Medical Equipment and Supplies Manufacturing</b>	339942	Lead Pencil and Art Good Manufacturing
339112	Surgical and Medical Instrument Manufacturing	339943	Marking Device Manufacturing
339113	Surgical Appliance and Supplies Manufacturing (except facilities primarily engaged in manufacturing orthopedic devices to prescription in a retail environment )	339944	Carbon Paper and Inked Ribbon Manufacturing
339114	Dental Equipment and Supplies Manufacturing	<b>33995</b>	<b>Sign Manufacturing</b>
339115	Ophthalmic Goods Manufacturing (except lens grinding facilities that are primarily engaged in the retail sale of eyeglasses and contact lenses to prescription for individuals)	339950	Sign Manufacturing
<b>3399</b>	<b>Other Miscellaneous Manufacturing</b>	<b>33999</b>	<b>All Other Miscellaneous Manufacturing</b>
<b>33991</b>	<b>Jewelry and Silverware Manufacturing</b>	339991	Gasket, Packing, and Sealing Device Manufacturing
339910	Jewelry and Silverware Manufacturing	339992	Musical Instrument Manufacturing
339912	Silverware and Hollowware Manufacturing	339993	Fastener, Button, Needle, and Pin Manufacturing
339913	Jewelers' Material and Lapidary Work Manufacturing	339994	Broom, Brush, and Mop Manufacturing
339914	Costume Jewelry and Novelty Manufacturing	339995	Burial Casket Manufacturing
<b>33992</b>	<b>Sporting and Athletic Goods Manufacturing</b>	339999	All Other Miscellaneous Manufacturing
339920	Sporting and Athletic Goods Manufacturing	113310	<b>Logging</b>
<b>33993</b>	<b>Doll, Toy, and Game Manufacturing</b>	111998	<b>All Other Miscellaneous Crop Farming</b> (limited to facilities primarily engaged in reducing maple sap to maple syrup)
339930	Doll Toy, and Game Manufacturing	211130	<b>Natural Gas Extraction</b> (limited to facilities that recover sulfur from natural gas)
339932	Game, Toy, and Children's Vehicle Manufacturing	212324	<b>Kaolin and Ball Clay Mining</b> (limited to facilities operating without a mine or quarry and that are primarily engaged in beneficiating kaolin and clay)
		212325	<b>Clay and Ceramic and Refractory Minerals Mining</b> (limited to facilities operating without a mine or quarry and that are primarily engaged in beneficiating clay and ceramic and refractory minerals)
		212393	<b>Other Chemical and Fertilizer Mineral Mining</b> (limited to facilities operating without a mine or quarry that are primarily engaged in beneficiating chemical or fertilizer mineral raw materials)

*Table I. NAICS Codes*

212399	<b>All Other Nonmetallic Mineral Mining</b> (limited to facilities operating without a mine or quarry that are primarily engaged in beneficiating nonmetallic minerals)	541715	<b>Research and Development in the Physical, Engineering, and Life Sciences (except Nanotechnology and Biotechnology)</b> (limited to facilities that are primarily engaged in Guided missile and space vehicle engine research and development, and in Guided missile and space vehicle parts (except engines) research and development)
488390	<b>Other Support Activities for Water Transportation</b> (limited to facilities that are primarily engaged in providing routine repair and maintenance of ships and boats from floating drydocks)	811490	<b>Other Personal and Household Goods Repair and Maintenance (limited to facilities that are primarily engaged in repairing and servicing pleasure and sail boats without retailing new boats (previously classified under SIC 3732, Boat Building and Repairing (pleasure boat building))</b>
511110	<b>Newspaper Publishers</b>		
511120	<b>Periodical Publishers</b>		
511130	<b>Book Publishers</b>		
511140	<b>Directory and Mailing List Publishers</b> (except Facilities that are primarily engaged in furnishing services for direct mail advertising including address list compilers, address list publishers, address list publishers and printing combined, address list publishing, business directory publishers, catalog of collections publishers and printing combined, mailing list compilers, directory compilers, and mailing list compiling services)		
511191	<b>Greeting Card Publishers</b>		
511199	<b>All Other Publishers</b>		
512250	<b>Record Production and Distribution</b>		
512230	<b>Music Publishers</b> (except facilities primarily Engaged in Music copyright authorizing use, Music copyright buying and licensing, and Music publishers working on their own account)		
519130	<b>Internet Publishing and Broadcasting and Web Search portals</b> (limited to facilities primarily engaged in Internet newspaper publishing, Internet periodical publishing, internet book publishing, Miscellaneous Internet publishing, Internet greeting card publishers except web search portals)		
541713	<b>Research and Development in Nanotechnology</b>		

Table I. NAICS Codes

**1.2 NAICS codes that correspond to SIC codes other than 20 through 39:**

<b>212</b>	<b>Mining (except Oil and Gas)</b>	221330	<b>Steam and Air Conditioning Supply</b> Limited to facilities engaged in providing combinations of electric, gas and other services, not elsewhere classified (NEC) (previously classified under SIC 4939, Combination Utility Services Not Elsewhere Classified.)
<b>2121</b>	<b>Coal Mining</b>	424690	<b>Other Chemical and Allied Products Merchant Wholesalers</b>
212111	Bituminous Coal and Lignite Surface Mining	424710	<b>Petroleum Bulk Stations and Terminals</b>
212112	Bituminous Coal Underground Mining	425110	<b>Business to Business Electronic Markets</b> (limited to facilities previously classified in 5169, Chemicals and Allied Products, NEC)
212113	Anthracite Mining	425120	<b>Wholesale Trade Agents and Brokers</b> (limited to facilities previously classified in 5169, Chemicals and Allied Products, NEC)
<b>2122</b>	<b>Metal Ore Mining</b>	562112	<b>Hazardous Waste Collection</b> (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis)
212221	Gold Ore Mining	562211	<b>Hazardous Waste Treatment and Disposal</b> (limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921, <i>et seq.</i> )
212222	Silver Ore Mining	562212	<b>Solid Waste Landfill</b> (limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921, <i>et seq.</i> )
212230	Copper, Nickel, Lead, and Zinc Mining	562213	<b>Solid Waste Combustors and Incinerators</b> (Limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921 <i>et seq.</i> )
212299	All Other Metal Ore Mining	562219	<b>Other Nonhazardous Waste Treatment and Disposal</b> (Limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921 <i>et seq.</i> )
<b>221</b>	<b>Utilities</b>	562920	<b>Materials Recovery Facilities</b> (Limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921 <i>et seq.</i> )
<b>22111</b>	<b>Electric Power Generation (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce)</b>		
221111	Hydroelectric Power Generation		
221112	Fossil Fuel Electric Power Generation		
221113	Nuclear Electric Power Generation		
221118	Other Electric Power Generation		
221121	Electric Bulk Power Transmission and Control		
221122	Electric Power Distribution		

## Table II. EPCRA Section 313 Chemical List For Reporting Year 2018 (including Toxic Chemical Categories)

Individually listed EPCRA section 313 chemicals with CAS numbers are arranged alphabetically starting on page II-3. Following the alphabetical list, the EPCRA section 313 chemicals are arranged in CAS number order. Covered chemical categories follow. Note that EPA has added a new nonlyphenol ethoxylates (NPEs) category that will be reportable for RY 2019 (for the July 1, 2020 reporting deadline) (for more details see: <https://www.epa.gov/toxics-release-inventory-tri-program/addition-npes-category-tri-list-final-rule>). As such, facilities should begin tracking and collecting data on the members of the NPEs category in 2019.

**Note:** Chemicals may be added to or deleted from the list. The Emergency Planning and Community Right-to-Know Call Center or the TRI-Listed Chemicals website will provide up-to-date information on the status of these changes. See section B.3.c of the instructions for more information on the *de minimis* % limits listed below. There are no *de minimis* levels for PBT chemicals since the *de minimis* exemption is not available for these chemicals (an asterisk appears where a *de minimis* limit would otherwise appear in Table II). However, for purposes of the supplier notification requirement only, such limits are provided in Appendix C.

### Chemical Qualifiers

Certain EPCRA section 313 chemicals listed in Table II have parenthetical “qualifiers.” These qualifiers indicate that these EPCRA section 313 chemicals are subject to the section 313 reporting requirements if manufactured, processed, or otherwise used in a specific form or when a certain activity is performed. An EPCRA section 313 chemical that is listed without a qualifier is subject to reporting in all forms in which it is manufactured, processed, and otherwise used. The following chemicals are reportable only if they are manufactured, processed, or otherwise used in the specific form(s) listed below:

Chemical/ Chemical Category	CAS Number	Qualifier
<b>Aluminum</b> (fume or dust)	7429-90-5	<b>Only</b> if it is a fume or dust form.
<b>Aluminum oxide</b> (fibrous forms)	1344-28-1	<b>Only</b> if it is a fibrous form.
<b>Ammonia</b> (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	7664-41-7	<b>Only</b> 10% of aqueous forms. 100% of anhydrous forms.
<b>Asbestos</b> (friable)	1332-21-4	<b>Only</b> if it is a friable form.
<b>Hydrochloric acid</b> (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	7647-01-0	<b>Only</b> if it is an aerosol form as defined.
<b>Nitrate compounds</b> (water dissociable; reportable only when in aqueous solution)	NA	<b>Only</b> if in aqueous solution
<b>Phosphorus</b> (yellow or white)	7723-14-0	<b>Only</b> if it is a yellow or white form.
<b>Sulfuric acid</b> (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	7664-93-9	<b>Only</b> if it is an aerosol form as defined.
<b>Vanadium</b> (except when contained in an alloy)	7440-62-2	<b>Except</b> if it is contained in an alloy.
<b>Zinc</b> (fume or dust)	7440-66-6	<b>Only</b> if it is in a fume or dust form.

The qualifier for the following three chemicals is based on the chemical activity rather than the form of the chemical. These chemicals are subject to EPCRA section 313 reporting requirements only when the indicated activity is performed.



*Table II. EPCRA Section 313 Chemical List for Reporting Year 2018*

Chemical/ Chemical Category	CAS Number	Qualifier
<b>Dioxin and dioxin-like compounds</b> (manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacture of that chemical.)	NA	<b>Only</b> if they are manufactured at the facility; or are processed or otherwise used when present as contaminants in a chemical but only if they were created during the manufacture of that chemical.
<b>Isopropyl alcohol</b> (only persons who manufacture by the strong acid process are subject, no supplier notification)	67-63-0	<b>Only</b> if it is being manufactured by the strong acid process. Facilities that process or otherwise use isopropyl alcohol are <u>not</u> covered and should <u>not</u> file a report.
<b>Saccharin</b> (only persons who manufacture are subject, no supplier notification)	81-07-2	<b>Only</b> if it is being manufactured.

### Supplier Notification Implications

There are no supplier notification requirements for isopropyl alcohol and saccharin since the processors and users of these chemicals are not required to report. Manufacturers of these chemicals do not need to notify their customers that these are reportable EPCRA section 313 chemicals.

### Qualifier Definitions

**Fume or dust.** Two of the metals on the list (aluminum and zinc) contain the qualifier “fume or dust.” Fume or dust refers to dry forms of these metals but does not refer to “wet” forms such as solutions or slurries. As explained in Section B.3.a of these instructions, the term manufacture includes the generation of an EPCRA section 313 chemical as a byproduct or impurity. In such cases, a facility should determine if, for example, it generated more than 25,000 pounds of aluminum fume or dust in the reporting year as a result of its activities. If so, the facility must report that it manufactures “aluminum (fume or dust).” Similarly, there may be certain technologies in which one of these metals is processed in the form of a fume or dust to make other EPCRA section 313 chemicals or other products for distribution in commerce. In reporting releases, the facility would only report releases of the fume or dust.

EPA considers dusts to consist of solid particles generated by any mechanical processing of materials including crushing, grinding, rapid impact, handling, detonation, and decrepitation of organic and inorganic materials such as rock, ore, and metal. Dusts do not tend to flocculate, except under electrostatic forces.

EPA considers a fume to be an airborne dispersion consisting of small solid particles created by

condensation from a gaseous state, in distinction to a gas or vapor. Fumes arise from the heating of solids such as lead. The condensation is often accompanied by a chemical reaction, such as oxidation. Fumes flocculate and sometimes coalesce.

**Manufacturing qualifiers.** Two of the entries in the EPCRA section 313 chemical list contain a qualifier relating to manufacture. For isopropyl alcohol, the qualifier is “only persons who manufacture by the strong acid process are subject, no supplier notification.” For saccharin, the qualifier is “only persons who manufacture are subject, no supplier notification.” For isopropyl alcohol, the qualifier means that only facilities manufacturing isopropyl alcohol by the strong acid process are required to report. In the case of saccharin, only manufacturers of the EPCRA section 313 chemical are subject to the reporting requirements. A facility that only processes or otherwise uses either of these EPCRA section 313 chemicals is not required to report for these EPCRA section 313 chemicals. In both cases, supplier notification does not apply because only manufacturers, not users, of these two EPCRA section 313 chemicals must report.

**Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing).** The qualifier for ammonia means that anhydrous forms of ammonia are 100% reportable and aqueous forms are limited to 10% of total aqueous ammonia. Therefore when determining threshold and releases and other waste management quantities all anhydrous ammonia is included but only 10% of total aqueous ammonia is included. Any evaporation of ammonia from aqueous ammonia solutions is considered anhydrous ammonia and should be

included in threshold determinations and release and other waste management calculations.

**Sulfuric acid and Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size).** The qualifier for sulfuric acid and hydrochloric acid means that the only forms of these chemicals that are reportable are airborne forms. Aqueous solutions are not covered by this listing but any aerosols generated from aqueous solutions are covered.

**Nitrate compounds (water dissociable; reportable only when in aqueous solution).** The qualifier for the nitrate compounds category limits the reporting to nitrate compounds that dissociate in water, generating nitrate ion. For the purposes of threshold determinations the entire weight of the nitrate compound must be included in all calculations. For the purposes of reporting releases and other waste management quantities only the weight of the nitrate ion should be included in the calculations of these quantities.

**Phosphorus (yellow or white).** The listing for phosphorus is qualified by the term “yellow or white.” This means that only manufacturing, processing, or otherwise use of phosphorus in the yellow or white chemical form triggers reporting. Conversely, manufacturing, processing, or otherwise use of “black” or “red” phosphorus does not trigger reporting. Supplier notification also applies only to distribution of yellow or white phosphorus.

**Asbestos (friable).** The listing for asbestos is qualified by the term “friable,” referring to the physical characteristic of being able to be crumbled, pulverized, or reducible to a powder with hand pressure. Only manufacturing, processing, or otherwise use of asbestos in the friable form triggers reporting. Supplier notification applies only to distribution of mixtures or other trade name products containing friable asbestos.

**Aluminum Oxide (fibrous forms).** The listing for aluminum oxide is qualified by the term “fibrous forms.” Fibrous refers to a man-made form of aluminum oxide that is processed to produce strands or filaments which can be cut to various lengths depending on the application. Only manufacturing, processing, or otherwise use of aluminum oxide in the fibrous form triggers reporting. Supplier notification applies only to distribution of mixtures or other trade name products containing fibrous forms of aluminum oxide.

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

**Notes for Sections A and B of following list of TRI chemicals:**

“Color Index” indicated by “C.I.”

\* There are no *de minimis* levels for PBT chemicals, except for supplier notification purposes (see Appendix C).

**a. Individually-Listed Toxic Chemicals Arranged Alphabetically**

CAS Number	Chemical Name	<i>De minimis</i> % Limit
71751-41-2	Abamectin [Avermectin B1]	1.0
30560-19-1	Acephate (Acetylphosphoramidothioic acid O,S-dimethyl ester)	1.0
75-07-0	Acetaldehyde	0.1
60-35-5	Acetamide	0.1
75-05-8	Acetonitrile	1.0
98-86-2	Acetophenone	1.0
53-96-3	2-Acetylaminofluorene	0.1
62476-59-9	Acifluorfen, sodium salt [5-(2-Chloro-4-(trifluoromethyl)phenoxy)-2-nitrobenzoic acid, sodium salt]	1.0
107-02-8	Acrolein	1.0
79-06-1	Acrylamide	0.1
79-10-7	Acrylic acid	1.0
107-13-1	Acrylonitrile	0.1
15972-60-8	Alachlor	1.0
116-06-3	Aldicarb	1.0
309-00-2	Aldrin [1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a-hexahydro-(1 $\alpha$ ,4 $\alpha$ ,4a $\beta$ ,5 $\alpha$ ,8 $\alpha$ ,8a $\beta$ )-]	*
28057-48-9	d-trans-Allethrin [d-trans-Chrysanthemic acid of d-allethrine]	1.0
107-18-6	Allyl alcohol	1.0
107-11-9	Allylamine	1.0
107-05-1	Allyl chloride	1.0
7429-90-5	Aluminum (fume or dust)	1.0
20859-73-8	Aluminum phosphide	1.0
1344-28-1	Aluminum oxide (fibrous forms)	1.0
834-12-8	Ametryn (N-Ethyl-N'-(1-	1.0

CAS Number	Chemical Name	<i>De minimis</i> % Limit
	methylethyl)-6-(methylthio)-1,3,5-triazine-2,4-diamine)	
117-79-3	2-Aminoanthraquinone	0.1
60-09-3	4-Aminoazobenzene	0.1
92-67-1	4-Aminobiphenyl	0.1
82-28-0	1-Amino-2-methylantraquinone	0.1
81-49-2	1-Amino-2,4-dibromoanthraquinone	0.1
33089-61-1	Amitraz	1.0
61-82-5	Amitrole	0.1
7664-41-7	Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	1.0
101-05-3	Anilazine [4,6-Dichloro-N-(2-chlorophenyl)-1,3,5-triazin-2-amine]	1.0
62-53-3	Aniline	1.0
90-04-0	o-Anisidine	0.1
104-94-9	p-Anisidine	1.0
134-29-2	o-Anisidine hydrochloride	0.1
120-12-7	Anthracene	1.0
7440-36-0	Antimony	1.0
7440-38-2	Arsenic	0.1
1332-21-4	Asbestos (friable)	0.1
1912-24-9	Atrazine (6-Chloro-N-ethyl-N'-(1-methylethyl)-1,3,5-triazine-2,4-diamine)	1.0
7440-39-3	Barium	1.0
22781-23-3	Bendiocarb [2,2-Dimethyl-1,3-benzodioxol-4-ol methylcarbamate]	1.0
1861-40-1	Benfluralin (N-Butyl-N-ethyl-2,6-dinitro-4-(trifluoromethyl)benzenamine)	1.0
17804-35-2	Benomyl	1.0
98-87-3	Benzal chloride	1.0
55-21-0	Benzamide	1.0
71-43-2	Benzene	0.1
92-87-5	Benzidine	0.1
98-07-7	Benzoic trichloride	0.1

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
	(Benzotrichloride)	
191-24-2	Benzo(g,h,i)perylene	*
98-88-4	Benzoyl chloride	1.0
94-36-0	Benzoyl peroxide	1.0
100-44-7	Benzyl chloride	1.0
7440-41-7	Beryllium	0.1
82657-04-3	Bifenthrin	1.0
92-52-4	Biphenyl	1.0
3296-90-0	2,2-bis(Bromomethyl)-1,3-propanediol	0.1
111-91-1	Bis(2-chloroethoxy)methane	1.0
111-44-4	Bis(2-chloroethyl)ether	1.0
542-88-1	Bis(chloromethyl)ether	0.1
108-60-1	Bis(2-chloro-1-methylethyl)ether	1.0
56-35-9	Bis(tributyltin)oxide	1.0
10294-34-5	Boron trichloride	1.0
7637-07-2	Boron trifluoride	1.0
314-40-9	Bromacil (5-Bromo-6-methyl-3-(1-methylpropyl)-2,4(1H,3H)-pyrimidinedione)	1.0
53404-19-6	Bromacil, lithium salt [2,4(1H,3H)-Pyrimidinedione, 5-bromo-6-methyl-3-(1-methylpropyl), lithium salt]	1.0
7726-95-6	Bromine	1.0
35691-65-7	1-Bromo-1-(bromomethyl)-1,3-propanedicarbonitrile	1.0
353-59-3	Bromochlorodifluoromethane (Halon 1211)	1.0
75-25-2	Bromoform (Tribromomethane)	1.0
74-83-9	Bromomethane (Methyl bromide)	1.0
106-94-5	1-Bromopropane	0.1
75-63-8	Bromotrifluoromethane (Halon 1301)	1.0
1689-84-5	Bromoxynil (3,5-Dibromo-4-hydroxybenzonitrile)	1.0
1689-99-2	Bromoxynil octanoate (Octanoic acid, 2,6-dibromo-4-cyanophenylester)	1.0
357-57-3	Brucine	1.0

CAS Number	Chemical Name	De minimis % Limit
106-99-0	1,3-Butadiene	0.1
141-32-2	Butyl acrylate	1.0
71-36-3	n-Butyl alcohol	1.0
78-92-2	sec-Butyl alcohol	1.0
75-65-0	tert-Butyl alcohol	1.0
106-88-7	1,2-Butylene oxide	0.1
123-72-8	Butyraldehyde	1.0
7440-43-9	Cadmium	0.1
156-62-7	Calcium cyanamide	1.0
133-06-2	Captan [1H-Isoindole-1,3(2H)-dione, 3a,4,7,7a-tetrahydro-2-[(trichloromethyl)thio]-]	1.0
63-25-2	Carbaryl [1-Naphthalenol, methylcarbamate]	1.0
1563-66-2	Carbofuran	1.0
75-15-0	Carbon disulfide	1.0
56-23-5	Carbon tetrachloride	0.1
463-58-1	Carbonyl sulfide	1.0
5234-68-4	Carboxin (5,6-Dihydro-2-methyl-N-phenyl-1,4-oxathiin-3-carboxamide)	1.0
120-80-9	Catechol	0.1
2439-01-2	Chinomethionat [6-Methyl-1,3-dithiolo[4,5-b]quinoxalin-2-one]	1.0
133-90-4	Chloramben [Benzoic acid, 3-amino-2,5-dichloro-]	1.0
57-74-9	Chlordane [4,7-Methanoindan, 1,2,4,5,6,7,8,8-octachloro-2,3,3a,4,7,7a-hexahydro-]	*
115-28-6	Chlorendic acid	0.1
90982-32-4	Chlorimuron ethyl [Ethyl-2-[[[(4-chloro-6-methoxyprimidin-2-yl)amino]carbonyl]amino]sulfonyl]benzoate]	1.0
7782-50-5	Chlorine	1.0
10049-04-4	Chlorine dioxide	1.0
79-11-8	Chloroacetic acid	1.0
532-27-4	2-Chloroacetophenone	1.0
4080-31-3	1-(3-Chloroallyl)-3,5,7-triaza-1-azoniaadamantane chloride	1.0
106-47-8	p-Chloroaniline	0.1
108-90-7	Chlorobenzene	1.0
510-15-6	Chlorobenzilate [Benzenecetic acid, 4-	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
	chloro- $\alpha$ -(4-chlorophenyl)- $\alpha$ -hydroxy-, ethyl ester]	
75-68-3	1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0
75-45-6	Chlorodifluoromethane (HCFC-22)	1.0
75-00-3	Chloroethane (Ethyl chloride)	1.0
67-66-3	Chloroform	0.1
74-87-3	Chloromethane (Methyl chloride)	1.0
107-30-2	Chloromethyl methyl ether	0.1
563-47-3	3-Chloro-2-methyl-1-propene	0.1
104-12-1	p-Chlorophenyl isocyanate	1.0
76-06-2	Chloropicrin	1.0
126-99-8	Chloroprene	0.1
542-76-7	3-Chloropropionitrile	1.0
63938-10-3	Chlorotetrafluoroethane	1.0
354-25-6	1-Chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	1.0
2837-89-0	2-Chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	1.0
1897-45-6	Chlorothalonil [1,3-Benzenedicarbonitrile, 2,4,5,6-tetrachloro-]	0.1
95-69-2	p-Chloro-o-toluidine	0.1
75-88-7	2-Chloro-1,1,1-trifluoroethane (HCFC-133a)	1.0
75-72-9	Chlorotrifluoromethane (CFC-13)	1.0
460-35-5	3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	1.0
5598-13-0	Chlorpyrifos methyl [O,O-Dimethyl-O-(3,5,6-trichloro-2-pyridyl)phosphorothioate]	1.0
64902-72-3	Chlorsulfuron [2-Chloro-N-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]benzenesulfonamide]	1.0
7440-47-3	Chromium	1.0
4680-78-8	C.I. Acid Green 3	1.0

CAS Number	Chemical Name	De minimis % Limit
6459-94-5	C.I. Acid Red 114	0.1
569-64-2	C.I. Basic Green 4	1.0
989-38-8	C.I. Basic Red 1	1.0
1937-37-7	C.I. Direct Black 38	0.1
2602-46-2	C.I. Direct Blue 6	0.1
28407-37-6	C.I. Direct Blue 218	1.0
16071-86-6	C.I. Direct Brown 95	0.1
2832-40-8	C.I. Disperse Yellow 3	1.0
3761-53-3	C.I. Food Red 5	0.1
81-88-9	C.I. Food Red 15	1.0
3118-97-6	C.I. Solvent Orange 7	1.0
97-56-3	C.I. Solvent Yellow 3	0.1
842-07-9	C.I. Solvent Yellow 14	1.0
492-80-8	C.I. Solvent Yellow 34 (Auramine)	0.1
128-66-5	C.I. Vat Yellow 4	1.0
7440-48-4	Cobalt	0.1
7440-50-8	Copper	1.0
8001-58-9	Creosote	0.1
120-71-8	p-Cresidine	0.1
108-39-4	m-Cresol	1.0
95-48-7	o-Cresol	1.0
106-44-5	p-Cresol	1.0
1319-77-3	Cresol (mixed isomers)	1.0
4170-30-3	Crotonaldehyde	1.0
98-82-8	Cumene	0.1
80-15-9	Cumene hydroperoxide	1.0
135-20-6	Cupferron [Benzeneamine, N-hydroxy-N-nitroso, ammonium salt]	0.1
21725-46-2	Cyanazine	1.0
1134-23-2	Cycloate	1.0
110-82-7	Cyclohexane	1.0
108-93-0	Cyclohexanol	1.0
68359-37-5	Cyfluthrin [3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl)methyl ester]	1.0
68085-85-8	Cyhalothrin [3-(2-Chloro-3,3,3-trifluoro-1-propenyl)-2,2-dimethylcyclopropanecarboxylic acid cyano(3-phenoxyphenyl)methyl ester]	1.0
94-75-7	2,4-D [Acetic acid, (2,4-	0.1



Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
	dichlorophenoxy)-]	
533-74-4	Dazomet (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione)	1.0
53404-60-7	Dazomet, sodium salt [Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione, ion(1-), sodium]	1.0
94-82-6	2,4-DB	1.0
1929-73-3	2,4-D butoxyethyl ester	0.1
94-80-4	2,4-D butyl ester	0.1
2971-38-2	2,4-D chlorocrotyl ester	0.1
1163-19-5	Decabromodiphenyl oxide	1.0
13684-56-5	Desmedipham	1.0
1928-43-4	2,4-D 2-ethylhexyl ester	0.1
53404-37-8	2,4-D 2-ethyl-4-methylpentyl ester	0.1
2303-16-4	Diallate [Carbamothioic acid, bis(1-methylethyl)-S-(2,3-dichloro-2-propenyl)ester]	1.0
615-05-4	2,4-Diaminoanisole	0.1
39156-41-7	2,4-Diaminoanisole sulfate	0.1
101-80-4	4,4'-Diaminodiphenyl ether	0.1
95-80-7	2,4-Diaminotoluene	0.1
25376-45-8	Diaminotoluene (mixed isomers)	0.1
333-41-5	Diazinon	0.1
334-88-3	Diazomethane	1.0
132-64-9	Dibenzofuran	1.0
96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	0.1
106-93-4	1,2-Dibromoethane (Ethylene dibromide)	0.1
124-73-2	Dibromotetrafluoroethane (Halon 2402)	1.0
84-74-2	Dibutyl phthalate	1.0
1918-00-9	Dicamba (3,6-Dichloro-2-methoxybenzoic acid)	1.0
99-30-9	Dichloran [2,6-Dichloro-4-nitroaniline]	1.0
95-50-1	1,2-Dichlorobenzene	1.0
541-73-1	1,3-Dichlorobenzene	1.0
106-46-7	1,4-Dichlorobenzene	0.1
25321-22-6	Dichlorobenzene (mixed isomers)	0.1
91-94-1	3,3'-Dichlorobenzidine	0.1
612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1

CAS Number	Chemical Name	De minimis % Limit
64969-34-2	3,3'-Dichlorobenzidine sulfate	0.1
75-27-4	Dichlorobromomethane	0.1
764-41-0	1,4-Dichloro-2-butene	1.0
110-57-6	trans-1,4-Dichloro-2-butene	1.0
1649-08-7	1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1.0
75-71-8	Dichlorodifluoromethane (CFC-12)	1.0
107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1
540-59-0	1,2-Dichloroethylene	1.0
1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0
75-43-4	Dichlorofluoromethane (HCFC-21)	1.0
75-09-2	Dichloromethane (Methylene chloride)	0.1
127564-92-5	Dichloropentafluoropropane	1.0
13474-88-9	1,1-Dichloro-1,2,2,3,3-pentafluoropropane (HCFC-225cc)	1.0
111512-56-2	1,1-Dichloro-1,2,3,3,3-pentafluoropropane (HCFC-225eb)	1.0
422-44-6	1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	1.0
431-86-7	1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	1.0
507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	1.0
136013-79-1	1,3-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225ea)	1.0
128903-21-9	2,2-Dichloro-1,1,1,3,3-pentafluoropropane (HCFC-225aa)	1.0
422-48-0	2,3-dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba)	1.0
422-56-0	3,3-Dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca)	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
97-23-4	Dichlorophene [2,2'-Methylenebis(4-chlorophenol)]	1.0
120-83-2	2,4-Dichlorophenol	1.0
78-87-5	1,2-Dichloropropane	0.1
10061-02-6	trans-1,3-Dichloropropene	0.1
78-88-6	2,3-Dichloropropene	1.0
542-75-6	1,3-Dichloropropylene	0.1
76-14-2	Dichlorotetrafluoroethane (CFC-114)	1.0
34077-87-7	Dichlorotrifluoroethane	1.0
90454-18-5	Dichloro-1,1,2-trifluoroethane	1.0
812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0
354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0
306-83-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0
62-73-7	Dichlorvos [Phosphoric acid, 2,2-dichloroethenyl dimethyl ester]	0.1
51338-27-3	Diclofop methyl [2-[4-(2,4-Dichlorophenoxy)phenoxy]propanoic acid, methyl ester]	1.0
115-32-2	Dicofol [Benzenemethanol, 4-chloro- $\alpha$ -(4-chlorophenyl)- $\alpha$ -(trichloromethyl)-]	1.0
77-73-6	Dicyclopentadiene	1.0
1464-53-5	Diepoxybutane	0.1
111-42-2	Diethanolamine	1.0
38727-55-8	Diethyl ethyl	1.0
117-81-7	Di(2-ethylhexyl)phthalate (DEHP)	0.1
64-67-5	Diethyl sulfate	0.1
35367-38-5	Diflubenzuron	1.0
101-90-6	Diglycidyl resorcinol ether	0.1
94-58-6	Dihydrosafrole	0.1
55290-64-7	Dimethipin [2,3-Dihydro-5,6-dimethyl-1,4-dithiin-1,1,4,4-tetraoxide]	1.0
60-51-5	Dimethoate	1.0
119-90-4	3,3'-Dimethoxybenzidine	0.1

CAS Number	Chemical Name	De minimis % Limit
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride (o-Dianisidine dihydrochloride)	0.1
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride (o-Dianisidine hydrochloride)	0.1
124-40-3	Dimethylamine	1.0
2300-66-5	Dimethylamine dicamba	1.0
60-11-7	4-Dimethylaminoazobenzene	0.1
121-69-7	N,N-Dimethylaniline	1.0
119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1
612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1
41766-75-0	3,3'-Dimethylbenzidine dihydrofluoride (o-Tolidine dihydrofluoride)	0.1
79-44-7	Dimethylcarbanyl chloride	0.1
2524-03-0	Dimethyl chlorothiophosphate	1.0
68-12-2	N,N-Dimethylformamide	1.0
57-14-7	1,1-Dimethyl hydrazine	0.1
105-67-9	2,4-Dimethylphenol	1.0
131-11-3	Dimethyl phthalate	1.0
77-78-1	Dimethyl sulfate	0.1
99-65-0	m-Dinitrobenzene	1.0
528-29-0	o-Dinitrobenzene	1.0
100-25-4	p-Dinitrobenzene	1.0
88-85-7	Dinitrobutyl phenol (Dinoseb)	1.0
534-52-1	4,6-Dinitro-o-cresol	1.0
51-28-5	2,4-Dinitrophenol	1.0
121-14-2	2,4-Dinitrotoluene	0.1
606-20-2	2,6-Dinitrotoluene	0.1
25321-14-6	Dinitrotoluene (mixed isomers)	1.0
39300-45-3	Dinocap	1.0
123-91-1	1,4-Dioxane	0.1
957-51-7	Diphenamid	1.0
122-39-4	Diphenylamine	1.0
122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1
2164-07-0	Dipotassium endothall [7-Oxabicyclo(2.2.1)heptane-2,3-dicarboxylic acid,	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
	dipotassium salt]	
136-45-8	Dipropyl isocinchomeronate	1.0
138-93-2	Disodium cyanodithioimidocarbonate	1.0
94-11-1	2,4-D isopropyl ester	0.1
541-53-7	2,4-Dithiobiuret	1.0
330-54-1	Diuron	1.0
2439-10-3	Dodine [Dodecylguanidine monoacetate]	1.0
120-36-5	2,4-DP	0.1
1320-18-9	2,4-D propylene glycol butyl ether ester	0.1
2702-72-9	2,4-D sodium salt	0.1
106-89-8	Epichlorohydrin	0.1
13194-48-4	Ethoprop [Phosphorodithioic acid O-ethyl S,S-dipropyl ester]	1.0
110-80-5	2-Ethoxyethanol	1.0
140-88-5	Ethyl acrylate	0.1
100-41-4	Ethylbenzene	0.1
541-41-3	Ethyl chloroformate	1.0
759-94-4	Ethyl dipropylthiocarbamate (EPTC)	1.0
74-85-1	Ethylene	1.0
107-21-1	Ethylene glycol	1.0
151-56-4	Ethyleneimine (Aziridine)	0.1
75-21-8	Ethylene oxide	0.1
96-45-7	Ethylene thiourea	0.1
75-34-3	Ethylidene dichloride	1.0
52-85-7	Famphur	1.0
60168-88-9	Fenarimol [ $\alpha$ -(2-Chlorophenyl)- $\alpha$ -(4-chlorophenyl)-5-pyrimidinemethanol]	1.0
13356-08-6	Fenbutatin oxide (Hexakis(2-methyl-2-phenylpropyl)distannoxane)	1.0
66441-23-4	Fenoxaprop ethyl [2-(4-((6-Chloro-2-benzoxazolylen)oxy)phenoxy)propanoic acid, ethyl ester]	1.0
72490-01-8	Fenoxycarb [[2-(4-Phenoxyphenoxy)ethyl]carbamic acid ethyl ester]	1.0
39515-41-8	Fenpropathrin [2,2,3,3-	1.0

CAS Number	Chemical Name	De minimis % Limit
	Tetramethylcyclopropane carboxylic acid cyano(3-phenoxyphenyl)methyl ester]	
55-38-9	Fenthion [O,O-Dimethyl O-[3-methyl-4-(methylthio)phenyl]ester, phosphorothioic acid]	1.0
51630-58-1	Fenvalerate [4-Chloro- $\alpha$ -(1-methylethyl)benzeneacetic acid cyano(3-phenoxyphenyl)methyl ester]	1.0
14484-64-1	Ferbam [Tris(dimethylcarbamodithioato-S,S')iron]	1.0
69806-50-4	Fluazifop butyl [2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]phenoxy]propanoic acid, butyl ester]	1.0
2164-17-2	Fluometuron [Urea, N,N-dimethyl-N'-[3-(trifluoromethyl)phenyl]-]	1.0
7782-41-4	Fluorine	1.0
51-21-8	Fluorouracil (5-Fluorouracil)	1.0
69409-94-5	Fluvalinate [N-[2-Chloro-4-(trifluoromethyl)phenyl]-DL-valine(+)-cyano(3-phenoxyphenyl)methyl ester]	1.0
133-07-3	Folpet	1.0
72178-02-0	Fomesafen [5-(2-Chloro-4-(trifluoromethyl)phenoxy)-N-methylsulfonyl-2-nitrobenzamide]	1.0
50-00-0	Formaldehyde	0.1
64-18-6	Formic acid	1.0
76-13-1	Freon 113 [Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-]	1.0
110-00-9	Furan	0.1
556-52-5	Glycidol	0.1
76-44-8	Heptachlor [1,4,5,6,7,8,8-Heptachloro-3a,4,7,7a-tetrahydro-4,7-methano-1H-indene]	*
118-74-1	Hexachlorobenzene	*
87-68-3	Hexachloro-1,3-butadiene	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
319-84-6	alpha-Hexachlorocyclohexane	0.1
77-47-4	Hexachlorocyclopentadiene	1.0
67-72-1	Hexachloroethane	0.1
1335-87-1	Hexachloronaphthalene	1.0
70-30-4	Hexachlorophene	1.0
680-31-9	Hexamethylphosphoramide	0.1
110-54-3	n-Hexane	1.0
51235-04-2	Hexazinone	1.0
67485-29-4	Hydramethylnon [Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethenyl]-2-propenylidene]hydrazone]	1.0
302-01-2	Hydrazine	0.1
10034-93-2	Hydrazine sulfate	0.1
7647-01-0	Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0
74-90-8	Hydrogen cyanide	1.0
7664-39-3	Hydrogen fluoride	1.0
7783-06-4	Hydrogen sulfide	1.0
123-31-9	Hydroquinone	1.0
35554-44-0	Imazalil [1-[2-(2,4-Dichlorophenyl)-2-(2-propenyloxy)ethyl]-1H-imidazole]	1.0
55406-53-6	3-Iodo-2-propynyl butylcarbamate	1.0
13463-40-6	Iron pentacarbonyl	1.0
78-84-2	Isobutyraldehyde	1.0
465-73-6	Isodrin	*
25311-71-1	Isofenphos [2-[[[Ethoxy][(1-methylethyl)amino]phosphinothioyl]oxy]benzoic acid 1-methylethyl ester]	1.0
78-79-5	Isoprene	0.1
67-63-0	Isopropyl alcohol (only persons who manufacture by the strong acid process are subject, no supplier	1.0

CAS Number	Chemical Name	De minimis % Limit
	notification)	
80-05-7	4,4'-Isopropylidenediphenol	1.0
120-58-1	Isosafrole	1.0
77501-63-4	Lactofen [Benzoic acid, 5-[2-Chloro-4-(trifluoromethyl)phenoxy]-2-nitro-, 2-ethoxy-1-methyl-2-oxoethyl ester]	1.0
7439-92-1	Lead (when lead is contained in stainless steel, brass or bronze alloys the de minimis level is 0.1)	*
58-89-9	Lindane [Cyclohexane, 1,2,3,4,5,6-hexachloro-, (1 $\alpha$ ,2 $\alpha$ ,3 $\beta$ ,4 $\alpha$ ,5 $\alpha$ ,6 $\beta$ )-]	0.1
330-55-2	Linuron	1.0
554-13-2	Lithium carbonate	1.0
121-75-5	Malathion	0.1
108-31-6	Maleic anhydride	1.0
109-77-3	Malononitrile	1.0
12427-38-2	Maneb [Carbamodithioic acid, 1,2-ethanediyldis-, manganese complex]	1.0
7439-96-5	Manganese	1.0
93-65-2	Mecoprop	0.1
149-30-4	2-Mercaptobenzothiazole (MBT)	1.0
7439-97-6	Mercury	*
150-50-5	Merphos	1.0
126-98-7	Methacrylonitrile	1.0
137-42-8	Metham sodium (Sodium methylthiocarbamate)	1.0
67-56-1	Methanol	1.0
20354-26-1	Methazole [2-(3,4-Dichlorophenyl)-4-methyl-1,2,4-oxadiazolidine-3,5-dione]	1.0
2032-65-7	Methiocarb	1.0
94-74-6	Methoxone ((4-Chloro-2-methylphenoxy)acetic acid) (MCPA)	0.1
3653-48-3	Methoxone sodium salt ((4-Chloro-2-methylphenoxy)acetate sodium salt)	0.1
72-43-5	Methoxychlor [Benzene, 1,1'-(2,2,2-trichloroethylidene)bis[4-	*

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
	methoxy-]	
109-86-4	2-Methoxyethanol	1.0
96-33-3	Methyl acrylate	1.0
1634-04-4	Methyl tert-butyl ether	1.0
79-22-1	Methyl chlorocarbonate	1.0
101-14-4	4,4'-Methylenebis(2-chloroaniline) (MBOCA)	0.1
101-61-1	4,4'-Methylenebis(N,N-dimethyl)benzenamine	0.1
74-95-3	Methylene bromide	1.0
101-77-9	4,4'-Methylenedianiline	0.1
93-15-2	Methyleugenol	0.1
60-34-4	Methyl hydrazine	1.0
74-88-4	Methyl iodide	1.0
108-10-1	Methyl isobutyl ketone	0.1
624-83-9	Methyl isocyanate	1.0
556-61-6	Methyl isothiocyanate [Isothiocyanatomethane]	1.0
75-86-5	2-Methylacetonitrile	1.0
80-62-6	Methyl methacrylate	1.0
924-42-5	N-Methylolacrylamide	1.0
298-00-0	Methyl parathion	1.0
109-06-8	2-Methylpyridine	1.0
872-50-4	N-Methyl-2-pyrrolidone	1.0
9006-42-2	Metiram	1.0
21087-64-9	Metribuzin	1.0
7786-34-7	Mevinphos	1.0
90-94-8	Michler's ketone	0.1
2212-67-1	Molinate (1H-Azepine-1-carbothioic acid, hexahydro-, S-ethyl ester)	1.0
1313-27-5	Molybdenum trioxide	1.0
76-15-3	Monochloropentafluoroethane (CFC-115)	1.0
150-68-5	Monuron	1.0
505-60-2	Mustard gas [Ethane, 1,1'-thiobis[2-chloro-]]	0.1
88671-89-0	Myclobutanil [ $\alpha$ -Butyl- $\alpha$ -(4-chlorophenyl)-1H-1,2,4-triazole-1-propanenitrile]	1.0
142-59-6	Nabam	1.0
300-76-5	Naled	1.0
91-20-3	Naphthalene	0.1
134-32-7	alpha-Naphthylamine	0.1
91-59-8	beta-Naphthylamine	0.1
7440-02-0	Nickel	0.1
1929-82-4	Nitrapyrin (2-Chloro-6-(trichloromethyl)pyridine)	1.0

CAS Number	Chemical Name	De minimis % Limit
7697-37-2	Nitric acid	1.0
139-13-9	Nitrilotriacetic acid	0.1
100-01-6	p-Nitroaniline	1.0
91-23-6	o-Nitroanisole	0.1
99-59-2	5-Nitro-o-anisidine	1.0
98-95-3	Nitrobenzene	0.1
92-93-3	4-Nitrobiphenyl	0.1
1836-75-5	Nitrofen [Benzene, 2,4-dichloro-1-(4-nitrophenoxy)-]	0.1
51-75-2	Nitrogen mustard [2-Chloro-N-(2-chloroethyl)-N-methylethanamine]	0.1
55-63-0	Nitroglycerin	1.0
75-52-5	Nitromethane	0.1
88-75-5	2-Nitrophenol	1.0
100-02-7	4-Nitrophenol	1.0
79-46-9	2-Nitropropane	0.1
924-16-3	N-Nitrosodi-n-butylamine	0.1
55-18-5	N-Nitrosodiethylamine	0.1
62-75-9	N-Nitrosodimethylamine	0.1
86-30-6	N-Nitrosodiphenylamine	1.0
156-10-5	p-Nitrosodiphenylamine	1.0
621-64-7	N-Nitrosodi-n-propylamine	0.1
759-73-9	N-Nitroso-N-ethylurea	0.1
684-93-5	N-Nitroso-N-methylurea	0.1
4549-40-0	N-Nitrosomethylvinylamine	0.1
59-89-2	N-Nitrosomorpholine	0.1
16543-55-8	N-Nitrosornicotine	0.1
100-75-4	N-Nitrosopiperidine	0.1
88-72-2	o-Nitrotoluene	0.1
99-55-8	5-Nitro-o-toluidine	1.0
27314-13-2	Norflurazon [4-Chloro-5-(methylamino)-2-[3-(trifluoromethyl)phenyl]-3(2H)-pyridazinone]	1.0
2234-13-1	Octachloronaphthalene	1.0
29082-74-4	Octachlorostyrene	*
19044-88-3	Oryzalin [4-(Dipropylamino)-3,5-dinitrobenzene sulfonamide]	1.0
20816-12-0	Osmium tetroxide	1.0
301-12-2	Oxydemeton methyl [S-(2-(Ethylsulfinyl)ethyl) O,O-dimethyl ester phosphorothioic acid]	1.0



Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
19666-30-9	Oxydiazon [3-[2,4-Dichloro-5-(1-methylethoxy)phenyl]-5-(1,1-dimethylethyl)-1,3,4-oxadiazol-2(3H)-one]	1.0
42874-03-3	Oxyfluorfen	1.0
10028-15-6	Ozone	1.0
123-63-7	Paraldehyde	1.0
1910-42-5	Paraquat dichloride	1.0
56-38-2	Parathion [Phosphorothioic acid, O,O-diethyl-O-(4-nitrophenyl)ester]	0.1
1114-71-2	Pebulate [Butylethylcarbamothioic acid S-propyl ester]	1.0
40487-42-1	Pendimethalin [N-(1-Ethylpropyl)-3,4-dimethyl-2,6-dinitrobenzenamine]	*
608-93-5	Pentachlorobenzene	*
76-01-7	Pentachloroethane	1.0
87-86-5	Pentachlorophenol (PCP)	0.1
57-33-0	Pentobarbital sodium	1.0
79-21-0	Peracetic acid	1.0
594-42-3	Perchloromethyl mercaptan	1.0
52645-53-1	Permethrin [3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, (3-phenoxyphenyl)methyl ester]	1.0
85-01-8	Phenanthrene	1.0
108-95-2	Phenol	1.0
77-09-8	Phenolphthalein	0.1
26002-80-2	Phenothrin [2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (3-phenoxyphenyl)methyl ester]	1.0
95-54-5	1,2-Phenylenediamine	1.0
108-45-2	1,3-Phenylenediamine	1.0
106-50-3	p-Phenylenediamine	1.0
615-28-1	1,2-Phenylenediamine dihydrochloride	1.0
624-18-0	1,4-Phenylenediamine dihydrochloride	1.0
90-43-7	2-Phenylphenol	1.0
57-41-0	Phenytol	0.1

CAS Number	Chemical Name	De minimis % Limit
75-44-5	Phosgene	1.0
7803-51-2	Phosphine	1.0
7723-14-0	Phosphorus (yellow or white)	1.0
85-44-9	Phthalic anhydride	1.0
1918-02-1	Picloram	1.0
88-89-1	Picric acid	1.0
51-03-6	Piperonyl butoxide	1.0
29232-93-7	Pirimiphos methyl [O-(2-(Diethylamino)-6-methyl-4-pyrimidinyl)-O,O-dimethylphosphorothioate]	1.0
1336-36-3	Polychlorinated biphenyls (PCBs)	*
7758-01-2	Potassium bromate	0.1
128-03-0	Potassium dimethyldithiocarbamate	1.0
137-41-7	Potassium N-methyldithiocarbamate	1.0
41198-08-7	Profenofos [O-(4-Bromo-2-chlorophenyl)-O-ethyl-S-propyl phosphorothioate]	1.0
7287-19-6	Prometryn [N,N'-Bis(1-methylethyl)-6-methylthio-1,3,5-triazine-2,4-diamine]	1.0
23950-58-5	Pronamide	1.0
1918-16-7	Propachlor [2-Chloro-N-(1-methylethyl)-N-phenylacetamide]	1.0
1120-71-4	Propane sultone	0.1
709-98-8	Propanil [N-(3,4-Dichlorophenyl)propanamide]	1.0
2312-35-8	Propargite	1.0
107-19-7	Propargyl alcohol	1.0
31218-83-4	Propetamphos [3-[[[(Ethylamino)methoxyphosphinothioyl]oxy]-2-butenic acid, 1-methylethyl ester]	1.0
60207-90-1	Propiconazole [1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3-dioxolan-2-yl]methyl-1H-1,2,4,-triazole]	1.0
57-57-8	beta-Propiolactone	0.1
123-38-6	Propionaldehyde	1.0
114-26-1	Propoxur [Phenol, 2-(1-methylethoxy)-,	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
	methylcarbamate]	
115-07-1	Propylene (Propene)	1.0
75-55-8	Propyleneimine	0.1
75-56-9	Propylene oxide	0.1
110-86-1	Pyridine	1.0
91-22-5	Quinoline	1.0
106-51-4	Quinone	1.0
82-68-8	Quintozene (Pentachloronitrobenzene)	1.0
76578-14-8	Quizalofop-ethyl [2-[4-[(6-Chloro-2-quinoxalanyl)oxy]phenoxy]propanoic acid ethyl ester]	1.0
10453-86-8	Resmethrin [[5-(Phenylmethyl)-3-furanyl]methyl-2,2-dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarb oxylate]	1.0
81-07-2	Saccharin (only persons who manufacture are subject, no supplier notification)	1.0
94-59-7	Safrole	0.1
7782-49-2	Selenium	1.0
74051-80-2	Sethoxydim [2-[1-(Ethoxyimino)butyl]-5-[2-(ethylthio)propyl]-3-hydroxyl-2-cyclohexen-1-one]	1.0
7440-22-4	Silver	1.0
122-34-9	Simazine	1.0
26628-22-8	Sodium azide	1.0
1982-69-0	Sodium dicamba [3,6-Dichloro-2-methoxybenzoic acid, sodium salt]	1.0
128-04-1	Sodium dimethyldithiocarbamate	1.0
62-74-8	Sodium fluoroacetate	1.0
7632-00-0	Sodium nitrite	1.0
131-52-2	Sodium pentachlorophenate	0.1
132-27-4	Sodium o-phenylphenoxide	0.1
100-42-5	Styrene	0.1
96-09-3	Styrene oxide	0.1
7664-93-9	Sulfuric acid (acid aerosols including mists, vapors,	1.0

CAS Number	Chemical Name	De minimis % Limit
	gas, fog, and other airborne forms of any particle size)	
2699-79-8	Sulfuryl fluoride (Vikane)	1.0
35400-43-2	Sulprofos [O-Ethyl O-[4-(methylthio)phenyl]phosphorodithioic acid S-propylester]	1.0
34014-18-1	Tebuthiuron [N-[5-(1,1-Dimethylethyl)-1,3,4-thiadiazol-2-yl]-N,N'-dimethylurea]	1.0
3383-96-8	Temephos	1.0
5902-51-2	Terbacil [5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione]	1.0
79-94-7	Tetrabromobisphenol A	*
630-20-6	1,1,1,2-Tetrachloroethane	0.1
79-34-5	1,1,2,2-Tetrachloroethane	0.1
127-18-4	Tetrachloroethylene (Perchloroethylene)	0.1
354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0
354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0
961-11-5	Tetrachlorvinphos [Phosphoric acid, 2-chloro-1-(2,4,5-trichlorophenyl)ethenyl dimethyl ester]	0.1
64-75-5	Tetracycline hydrochloride	1.0
116-14-3	Tetrafluoroethylene	0.1
509-14-8	Tetranitromethane	0.1
7696-12-0	Tetramethrin [2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarb oxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isoindol-2-yl)methyl ester]	1.0
7440-28-0	Thallium	1.0
148-79-8	Thiabendazole [2-(4-Thiazolyl)-1H-benzimidazole]	1.0
62-55-5	Thioacetamide	0.1
28249-77-6	Thiobencarb [Carbamic acid, diethylthio-, S-(p-chlorobenzyl)ester]	1.0
139-65-1	4,4'-Thiodianiline	0.1
59669-26-0	Thiodicarb	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
23564-06-9	Thiophanate ethyl [[1,2-Phenylenebis(iminocarbonothioyl)]biscarbamic acid diethylester]	1.0
23564-05-8	Thiophanate methyl	1.0
79-19-6	Thiosemicarbazide	1.0
62-56-6	Thiourea	0.1
137-26-8	Thiram	1.0
1314-20-1	Thorium dioxide	1.0
7550-45-0	Titanium tetrachloride	1.0
108-88-3	Toluene	1.0
584-84-9	Toluene-2,4-diisocyanate	0.1
91-08-7	Toluene-2,6-diisocyanate	0.1
26471-62-5	Toluene diisocyanate (mixed isomers)	0.1
95-53-4	o-Toluidine	0.1
636-21-5	o-Toluidine hydrochloride	0.1
8001-35-2	Toxaphene	*
43121-43-3	Triadimefon [1-(4-Chlorophenoxy)-3,3-dimethyl-1-(1H-1,2,4-triazol-1-yl)-2-butanone]	1.0
2303-17-5	Triallate	1.0
68-76-8	Triaziquone [2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-aziridinyl)-]	1.0
101200-48-0	Tribenuron methyl [Benzoic acid, 2-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)methylamino]carbonyl]amino]sulfonyl]-, methyl ester]	1.0
1983-10-4	Tributyltin fluoride	1.0
2155-70-6	Tributyltin methacrylate	1.0
78-48-8	S,S,S-Tributyltrithiophosphate (DEF)	1.0
52-68-6	Trichlorfon [Phosphoric acid, (2,2,2-trichloro-1-hydroxy-ethyl)-, dimethyl ester]	1.0
76-02-8	Trichloroacetyl chloride	1.0
120-82-1	1,2,4-Trichlorobenzene	1.0
71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0
79-00-5	1,1,2-Trichloroethane	1.0
79-01-6	Trichloroethylene	0.1
75-69-4	Trichlorofluoromethane	1.0

CAS Number	Chemical Name	De minimis % Limit
	(CFC-11)	
95-95-4	2,4,5-Trichlorophenol	1.0
88-06-2	2,4,6-Trichlorophenol	0.1
96-18-4	1,2,3-Trichloropropane	0.1
57213-69-1	Triclopyr triethylammonium salt	1.0
121-44-8	Triethylamine	1.0
1582-09-8	Trifluralin [Benzeneamine, 2,6-dinitro-N,N-dipropyl-4-(trifluoromethyl)-]	*
26644-46-2	Triforine [N,N'-[1,4-Piperazinediylbis-(2,2,2-trichloroethylidene)]bisformamide]	1.0
95-63-6	1,2,4-Trimethylbenzene	1.0
2655-15-4	2,3,5-Trimethylphenyl methylcarbamate	1.0
639-58-7	Triphenyltin chloride	1.0
76-87-9	Triphenyltin hydroxide	1.0
126-72-7	Tris(2,3-dibromopropyl)phosphate	0.1
72-57-1	Trypan blue	0.1
51-79-6	Urethane (Ethyl carbamate)	0.1
7440-62-2	Vanadium (except when contained in an alloy)	1.0
50471-44-8	Vinclozolin [3-(3,5-Dichlorophenyl)-5-ethenyl-5-methyl-2,4-oxazolidinedione]	1.0
108-05-4	Vinyl acetate	0.1
593-60-2	Vinyl bromide	0.1
75-01-4	Vinyl chloride	0.1
75-02-5	Vinyl fluoride	0.1
75-35-4	Vinylidene chloride	1.0
108-38-3	m-Xylene	1.0
95-47-6	o-Xylene	1.0
106-42-3	p-Xylene	1.0
1330-20-7	Xylene (mixed isomers)	1.0
87-62-7	2,6-Xylidine	0.1
7440-66-6	Zinc (fume or dust)	1.0
12122-67-7	Zineb [Carbamodithioic acid, 1,2-ethanediyibis-, zinc complex]	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

**b. Individually Listed Toxic Chemicals  
Arranged by CAS Number**

CAS Number	Chemical Name	De minimis % Limit
50-00-0	Formaldehyde	0.1
51-03-6	Piperonyl butoxide	1.0
51-21-8	Fluorouracil (5-Fluorouracil)	1.0
51-28-5	2,4-Dinitrophenol	1.0
51-75-2	Nitrogen mustard [2-Chloro-N-(2-chloroethyl)-N-methylethanamine]	0.1
51-79-6	Urethane (Ethyl carbamate)	0.1
52-68-6	Trichlorfon [Phosphoric acid, (2,2,2-trichloro-1-hydroxy-ethyl)-, dimethyl ester]	1.0
52-85-7	Famphur	1.0
53-96-3	2-Acetylaminofluorene	0.1
55-18-5	N-Nitrosodiethylamine	0.1
55-21-0	Benzamide	1.0
55-38-9	Fenthion [O,O-Dimethyl O-[3-methyl-4-(methylthio)phenyl]ester, phosphorothioic acid]	1.0
55-63-0	Nitroglycerin	1.0
56-23-5	Carbon tetrachloride	0.1
56-35-9	Bis(tributyltin)oxide	1.0
56-38-2	Parathion [Phosphorothioic acid, O,O-diethyl-O-(4-nitrophenyl)ester]	0.1
57-14-7	1,1-Dimethyl hydrazine	0.1
57-33-0	Pentobarbital sodium	1.0
57-41-0	Phenytoin	0.1
57-57-8	beta-Propiolactone	0.1
57-74-9	Chlordane [4,7-Methanoindan, 1,2,4,5,6,7,8,8-octachloro-2,3,3a,4,7,7a-hexahydro-]	*
58-89-9	Lindane [Cyclohexane, 1,2,3,4,5,6-hexachloro-, (1 $\alpha$ ,2 $\alpha$ ,3 $\beta$ ,4 $\alpha$ ,5 $\alpha$ ,6 $\beta$ )-]	0.1
59-89-2	N-Nitrosomorpholine	0.1
60-09-3	4-Aminoazobenzene	0.1
60-11-7	4-Dimethylaminoazobenzene	0.1
60-34-4	Methyl hydrazine	1.0
60-35-5	Acetamide	0.1
60-51-5	Dimethoate	1.0

CAS Number	Chemical Name	De minimis % Limit
61-82-5	Amitrole	0.1
62-53-3	Aniline	1.0
62-55-5	Thioacetamide	0.1
62-56-6	Thiourea	0.1
62-73-7	Dichlorvos [Phosphoric acid, 2,2-dichloroethenyl dimethyl ester]	0.1
62-74-8	Sodium fluoroacetate	1.0
62-75-9	N-Nitrosodimethylamine	0.1
63-25-2	Carbaryl [1-Naphthalenol, methylcarbamate]	1.0
64-18-6	Formic acid	1.0
64-67-5	Diethyl sulfate	0.1
64-75-5	Tetracycline hydrochloride	1.0
67-56-1	Methanol	1.0
67-63-0	Isopropyl alcohol (only persons who manufacture by the strong acid process are subject, no supplier notification)	1.0
67-66-3	Chloroform	0.1
67-72-1	Hexachloroethane	0.1
68-12-2	N,N-Dimethylformamide	1.0
68-76-8	Triaziquone [2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-aziridinyl)-]	1.0
70-30-4	Hexachlorophene	1.0
71-36-3	n-Butyl alcohol	1.0
71-43-2	Benzene	0.1
71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0
72-43-5	Methoxychlor [Benzene, 1,1'-(2,2,2-trichloroethylidene)bis[4-methoxy-]]	*
72-57-1	Trypan blue	0.1
74-83-9	Bromomethane (Methyl bromide)	1.0
74-85-1	Ethylene	1.0
74-87-3	Chloromethane (Methyl chloride)	1.0
74-88-4	Methyl iodide	1.0
74-90-8	Hydrogen cyanide	1.0
74-95-3	Methylene bromide	1.0
75-00-3	Chloroethane (Ethyl chloride)	1.0
75-01-4	Vinyl chloride	0.1
75-02-5	Vinyl fluoride	0.1
75-05-8	Acetonitrile	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
75-07-0	Acetaldehyde	0.1
75-09-2	Dichloromethane (Methylene chloride)	0.1
75-15-0	Carbon disulfide	1.0
75-21-8	Ethylene oxide	0.1
75-25-2	Bromoform (Tribromomethane)	1.0
75-27-4	Dichlorobromomethane	0.1
75-34-3	Ethylidene dichloride	1.0
75-35-4	Vinylidene chloride	1.0
75-43-4	Dichlorofluoromethane (HCFC-21)	1.0
75-44-5	Phosgene	1.0
75-45-6	Chlorodifluoromethane (HCFC-22)	1.0
75-52-5	Nitromethane	0.1
75-55-8	Propyleneimine	0.1
75-56-9	Propylene oxide	0.1
75-63-8	Bromotrifluoromethane (Halon 1301)	1.0
75-65-0	tert-Butyl alcohol	1.0
75-68-3	1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0
75-69-4	Trichlorofluoromethane (CFC-11)	1.0
75-71-8	Dichlorodifluoromethane (CFC-12)	1.0
75-72-9	Chlorotrifluoromethane (CFC-13)	1.0
75-86-5	2-Methylacetonitrile	1.0
75-88-7	2-Chloro-1,1,1-trifluoroethane (HCFC-133a)	1.0
76-01-7	Pentachloroethane	1.0
76-02-8	Trichloroacetyl chloride	1.0
76-06-2	Chloropicrin	1.0
76-13-1	Freon 113 [Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-]	1.0
76-14-2	Dichlorotetrafluoroethane (CFC-114)	1.0
76-15-3	Monochloropentafluoroethane (CFC-115)	1.0
76-44-8	Heptachlor [1,4,5,6,7,8,8-Heptachloro-3a,4,7,7a-tetrahydro-4,7-methano-1H-indene]	*
76-87-9	Triphenyltin hydroxide	1.0
77-09-8	Phenolphthalein	0.1

CAS Number	Chemical Name	De minimis % Limit
77-47-4	Hexachlorocyclopentadiene	1.0
77-73-6	Dicyclopentadiene	1.0
77-78-1	Dimethyl sulfate	0.1
78-48-8	S,S,S-Tributyltrithiophosphate (DEF)	1.0
78-79-5	Isoprene	0.1
78-84-2	Isobutyraldehyde	1.0
78-87-5	1,2-Dichloropropane	0.1
78-88-6	2,3-Dichloropropene	1.0
78-92-2	sec-Butyl alcohol	1.0
79-00-5	1,1,2-Trichloroethane	1.0
79-01-6	Trichloroethylene	0.1
79-06-1	Acrylamide	0.1
79-10-7	Acrylic acid	1.0
79-11-8	Chloroacetic acid	1.0
79-19-6	Thiosemicarbazide	1.0
79-21-0	Peracetic acid	1.0
79-22-1	Methyl chlorocarbonate	1.0
79-34-5	1,1,2,2-Tetrachloroethane	0.1
79-44-7	Dimethylcarbamyl chloride	0.1
79-46-9	2-Nitropropane	0.1
79-94-7	Tetrabromobisphenol A	*
80-05-7	4,4'-Isopropylidenediphenol	1.0
80-15-9	Cumene hydroperoxide	1.0
80-62-6	Methyl methacrylate	1.0
81-07-2	Saccharin (only persons who manufacture are subject, no supplier notification)	1.0
81-49-2	1-Amino-2,4-dibromoanthraquinone	0.1
81-88-9	C.I. Food Red 15	1.0
82-28-0	1-Amino-2-methylantraquinone	0.1
82-68-8	Quintozone (Pentachloronitrobenzene)	1.0
84-74-2	Dibutyl phthalate	1.0
85-01-8	Phenanthrene	1.0
85-44-9	Phthalic anhydride	1.0
86-30-6	N-Nitrosodiphenylamine	1.0
87-62-7	2,6-Xylidine	0.1
87-68-3	Hexachloro-1,3-butadiene	1.0
87-86-5	Pentachlorophenol (PCP)	0.1
88-06-2	2,4,6-Trichlorophenol	0.1
88-72-2	o-Nitrotoluene	0.1
88-75-5	2-Nitrophenol	1.0



Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
88-85-7	Dinitrobutyl phenol (Dinoseb)	1.0
88-89-1	Picric acid	1.0
90-04-0	o-Anisidine	0.1
90-43-7	2-Phenylphenol	1.0
90-94-8	Michler's ketone	0.1
91-08-7	Toluene-2,6-diisocyanate	0.1
91-20-3	Naphthalene	0.1
91-22-5	Quinoline	1.0
91-23-6	o-Nitroanisole	0.1
91-59-8	beta-Naphthylamine	0.1
91-94-1	3,3'-Dichlorobenzidine	0.1
92-52-4	Biphenyl	1.0
92-67-1	4-Aminobiphenyl	0.1
92-87-5	Benzidine	0.1
92-93-3	4-Nitrobiphenyl	0.1
93-15-2	Methyleugenol	0.1
93-65-2	Mecoprop	0.1
94-11-1	2,4-D isopropyl ester	0.1
94-36-0	Benzoyl peroxide	1.0
94-58-6	Dihydrosafrole	0.1
94-59-7	Safrole	0.1
94-74-6	Methoxone ((4-Chloro-2-methylphenoxy)acetic acid) (MCPA)	0.1
94-75-7	2,4-D [Acetic acid, (2,4-dichlorophenoxy)-]	0.1
94-80-4	2,4-D butyl ester	0.1
94-82-6	2,4-DB	1.0
95-47-6	o-Xylene	1.0
95-48-7	o-Cresol	1.0
95-50-1	1,2-Dichlorobenzene	1.0
95-53-4	o-Toluidine	0.1
95-54-5	1,2-Phenylenediamine	1.0
95-63-6	1,2,4-Trimethylbenzene	1.0
95-69-2	p-Chloro-o-toluidine	0.1
95-80-7	2,4-Diaminotoluene	0.1
95-95-4	2,4,5-Trichlorophenol	1.0
96-09-3	Styrene oxide	0.1
96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	0.1
96-18-4	1,2,3-Trichloropropane	0.1
96-33-3	Methyl acrylate	1.0
96-45-7	Ethylene thiourea	0.1
97-23-4	Dichlorophene [2,2'-Methylenebis(4-chlorophenol)]	1.0
97-56-3	C.I. Solvent Yellow 3	0.1

CAS Number	Chemical Name	De minimis % Limit
98-07-7	Benzoic trichloride (Benzotrichloride)	0.1
98-82-8	Cumene	0.1
98-86-2	Acetophenone	1.0
98-87-3	Benzal chloride	1.0
98-88-4	Benzoyl chloride	1.0
98-95-3	Nitrobenzene	0.1
99-30-9	Dichloran [2,6-Dichloro-4-nitroaniline]	1.0
99-55-8	5-Nitro-o-toluidine	1.0
99-59-2	5-Nitro-o-anisidine	1.0
99-65-0	m-Dinitrobenzene	1.0
100-01-6	p-Nitroaniline	1.0
100-02-7	4-Nitrophenol	1.0
100-25-4	p-Dinitrobenzene	1.0
100-41-4	Ethylbenzene	0.1
100-42-5	Styrene	0.1
100-44-7	Benzyl chloride	1.0
100-75-4	N-Nitrosopiperidine	0.1
101-05-3	Anilazine [4,6-Dichloro-N-(2-chlorophenyl)-1,3,5-triazin-2-amine]	1.0
101-14-4	4,4'-Methylenebis(2-chloroaniline) (MBOCA)	0.1
101-61-1	4,4'-Methylenebis(N,N-dimethyl)benzenamine	0.1
101-77-9	4,4'-Methylenedianiline	0.1
101-80-4	4,4'-Diaminodiphenyl ether	0.1
101-90-6	Diglycidyl resorcinol ether	0.1
104-12-1	p-Chlorophenyl isocyanate	1.0
104-94-9	p-Anisidine	1.0
105-67-9	2,4-Dimethylphenol	1.0
106-42-3	p-Xylene	1.0
106-44-5	p-Cresol	1.0
106-46-7	1,4-Dichlorobenzene	0.1
106-47-8	p-Chloroaniline	0.1
106-50-3	p-Phenylenediamine	1.0
106-51-4	Quinone	1.0
106-88-7	1,2-Butylene oxide	0.1
106-89-8	Epichlorohydrin	0.1
106-93-4	1,2-Dibromoethane (Ethylene dibromide)	0.1
106-94-5	1-Bromopropane	0.1
106-99-0	1,3-Butadiene	0.1
107-02-8	Acrolein	1.0
107-05-1	Allyl chloride	1.0
107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
107-11-9	Allylamine	1.0
107-13-1	Acrylonitrile	0.1
107-18-6	Allyl alcohol	1.0
107-19-7	Propargyl alcohol	1.0
107-21-1	Ethylene glycol	1.0
107-30-2	Chloromethyl methyl ether	0.1
108-05-4	Vinyl acetate	0.1
108-10-1	Methyl isobutyl ketone	0.1
108-31-6	Maleic anhydride	1.0
108-38-3	m-Xylene	1.0
108-39-4	m-Cresol	1.0
108-45-2	1,3-Phenylenediamine	1.0
108-60-1	Bis(2-chloro-1-methylethyl)ether	1.0
108-88-3	Toluene	1.0
108-90-7	Chlorobenzene	1.0
108-93-0	Cyclohexanol	1.0
108-95-2	Phenol	1.0
109-06-8	2-Methylpyridine	1.0
109-77-3	Malononitrile	1.0
109-86-4	2-Methoxyethanol	1.0
110-00-9	Furan	0.1
110-54-3	n-Hexane	1.0
110-57-6	trans-1,4-Dichloro-2-butene	1.0
110-80-5	2-Ethoxyethanol	1.0
110-82-7	Cyclohexane	1.0
110-86-1	Pyridine	1.0
111-42-2	Diethanolamine	1.0
111-44-4	Bis(2-chloroethyl)ether	1.0
111-91-1	Bis(2-chloroethoxy)methane	1.0
114-26-1	Propoxur [Phenol, 2-(1-methylethoxy)-, methylcarbamate]	1.0
115-07-1	Propylene (Propene)	1.0
115-28-6	Chlorendic acid	0.1
115-32-2	Dicofol [Benzenemethanol, 4-chloro- $\alpha$ -(4-chlorophenyl)- $\alpha$ -(trichloromethyl)-]	1.0
116-06-3	Aldicarb	1.0
116-14-3	Tetrafluoroethylene	0.1
117-79-3	2-Aminoanthraquinone	0.1
117-81-7	Di(2-ethylhexyl)phthalate (DEHP)	0.1
118-74-1	Hexachlorobenzene	*
119-90-4	3,3'-Dimethoxybenzidine	0.1

CAS Number	Chemical Name	De minimis % Limit
119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1
120-12-7	Anthracene	1.0
120-36-5	2,4-DP	0.1
120-58-1	Isosafrole	1.0
120-71-8	p-Cresidine	0.1
120-80-9	Catechol	0.1
120-82-1	1,2,4-Trichlorobenzene	1.0
120-83-2	2,4-Dichlorophenol	1.0
121-14-2	2,4-Dinitrotoluene	0.1
121-44-8	Triethylamine	1.0
121-69-7	N,N-Dimethylaniline	1.0
121-75-5	Malathion	0.1
122-34-9	Simazine	1.0
122-39-4	Diphenylamine	1.0
122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1
123-31-9	Hydroquinone	1.0
123-38-6	Propionaldehyde	1.0
123-63-7	Paraldehyde	1.0
123-72-8	Butyraldehyde	1.0
123-91-1	1,4-Dioxane	0.1
124-40-3	Dimethylamine	1.0
124-73-2	Dibromotetrafluoroethane (Halon 2402)	1.0
126-72-7	Tris(2,3-dibromopropyl)phosphate	0.1
126-98-7	Methacrylonitrile	1.0
126-99-8	Chloroprene	0.1
127-18-4	Tetrachloroethylene (Perchloroethylene)	0.1
128-03-0	Potassium dimethyldithiocarbamate	1.0
128-04-1	Sodium dimethyldithiocarbamate	1.0
128-66-5	C.I. Vat Yellow 4	1.0
131-11-3	Dimethyl phthalate	1.0
131-52-2	Sodium pentachlorophenate	0.1
132-27-4	Sodium o-phenylphenoxide	0.1
132-64-9	Dibenzofuran	1.0
133-06-2	Captan [1H-Isoindole-1,3(2H)-dione, 3a,4,7,7a-tetrahydro-2-[(trichloromethyl)thio]-]	1.0
133-07-3	Folpet	1.0
133-90-4	Chloramben [Benzoic acid, 3-amino-2,5-dichloro-]	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
134-29-2	o-Anisidine hydrochloride	0.1
134-32-7	alpha-Naphthylamine	0.1
135-20-6	Cupferron [Benzeneamine, N-hydroxy-N-nitroso, ammonium salt]	0.1
136-45-8	Dipropyl isocinchomeronate	1.0
137-26-8	Thiram	1.0
137-41-7	Potassium N-methyldithiocarbamate	1.0
137-42-8	Metham sodium (Sodium methyldithiocarbamate)	1.0
138-93-2	Disodium cyanodithioimidocarbonate	1.0
139-13-9	Nitrilotriacetic acid	0.1
139-65-1	4,4'-Thiodianiline	0.1
140-88-5	Ethyl acrylate	0.1
141-32-2	Butyl acrylate	1.0
142-59-6	Nabam	1.0
148-79-8	Thiabendazole [2-(4-Thiazolyl)-1H-benzimidazole]	1.0
149-30-4	2-Mercaptobenzothiazole (MBT)	1.0
150-50-5	Merphos	1.0
150-68-5	Monuron	1.0
151-56-4	Ethyleneimine (Aziridine)	0.1
156-10-5	p-Nitrosodiphenylamine	1.0
156-62-7	Calcium cyanamide	1.0
191-24-2	Benzo(g,h,i)perylene	*
298-00-0	Methyl parathion	1.0
300-76-5	Naled	1.0
301-12-2	Oxydemeton methyl [S-(2-(Ethylsulfinyl)ethyl) O,O-dimethyl ester phosphorothioic acid]	1.0
302-01-2	Hydrazine	0.1
306-83-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0
309-00-2	Aldrin [1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a-hexahydro-(1 $\alpha$ ,4 $\alpha$ ,4a $\beta$ ,5 $\alpha$ ,8 $\alpha$ ,8a $\beta$ )-]	*
314-40-9	Bromacil (5-Bromo-6-methyl-3-(1-methylpropyl)-2,4(1H,3H)-pyrimidinedione)	1.0

CAS Number	Chemical Name	De minimis % Limit
319-84-6	alpha-Hexachlorocyclohexane	0.1
330-54-1	Diuron	1.0
330-55-2	Linuron	1.0
333-41-5	Diazinon	0.1
334-88-3	Diazomethane	1.0
353-59-3	Bromochlorodifluoromethane (Halon 1211)	1.0
354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0
354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0
354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0
354-25-6	1-Chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	1.0
357-57-3	Brucine	1.0
422-44-6	1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	1.0
422-48-0	2,3-dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba)	1.0
422-56-0	3,3-Dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca)	1.0
431-86-7	1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	1.0
460-35-5	3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	1.0
463-58-1	Carbonyl sulfide	1.0
465-73-6	Isodrin	*
492-80-8	C.I. Solvent Yellow 34 (Auramine)	0.1
505-60-2	Mustard gas [Ethane, 1,1'-thiobis[2-chloro-]]	0.1
507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	1.0
509-14-8	Tetranitromethane	0.1
510-15-6	Chlorobenzilate [Benzenecetic acid, 4-chloro- $\alpha$ -(4-chlorophenyl)- $\alpha$ -hydroxy-, ethyl ester]	1.0
528-29-0	o-Dinitrobenzene	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
532-27-4	2-Chloroacetophenone	1.0
533-74-4	Dazomet (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione)	1.0
534-52-1	4,6-Dinitro-o-cresol	1.0
540-59-0	1,2-Dichloroethylene	1.0
541-41-3	Ethyl chloroformate	1.0
541-53-7	2,4-Dithiobiuret	1.0
541-73-1	1,3-Dichlorobenzene	1.0
542-75-6	1,3-Dichloropropylene	0.1
542-76-7	3-Chloropropionitrile	1.0
542-88-1	Bis(chloromethyl)ether	0.1
554-13-2	Lithium carbonate	1.0
556-52-5	Glycidol	0.1
556-61-6	Methyl isothiocyanate [Isothiocyanatomethane]	1.0
563-47-3	3-Chloro-2-methyl-1-propene	0.1
569-64-2	C.I. Basic Green 4	1.0
584-84-9	Toluene-2,4-diisocyanate	0.1
593-60-2	Vinyl bromide	0.1
594-42-3	Perchloromethyl mercaptan	1.0
606-20-2	2,6-Dinitrotoluene	0.1
608-93-5	Pentachlorobenzene	*
612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1
612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1
615-05-4	2,4-Diaminoanisole	0.1
615-28-1	1,2-Phenylenediamine dihydrochloride	1.0
621-64-7	N-Nitrosodi-n-propylamine	0.1
624-18-0	1,4-Phenylenediamine dihydrochloride	1.0
624-83-9	Methyl isocyanate	1.0
630-20-6	1,1,1,2-Tetrachloroethane	0.1
636-21-5	o-Toluidine hydrochloride	0.1
639-58-7	Triphenyltin chloride	1.0
680-31-9	Hexamethylphosphoramide	0.1
684-93-5	N-Nitroso-N-methylurea	0.1
709-98-8	Propanil [N-(3,4-Dichlorophenyl)propanamide]	1.0
759-73-9	N-Nitroso-N-ethylurea	0.1
759-94-4	Ethyl	1.0

CAS Number	Chemical Name	De minimis % Limit
	dipropylthiocarbamate (EPTC)	
764-41-0	1,4-Dichloro-2-butene	1.0
812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0
834-12-8	Ametryn (N-Ethyl-N'-(1-methylethyl)-6-(methylthio)-1,3,5-triazine-2,4-diamine)	1.0
842-07-9	C.I. Solvent Yellow 14	1.0
872-50-4	N-Methyl-2-pyrrolidone	1.0
924-16-3	N-Nitrosodi-n-butylamine	0.1
924-42-5	N-Methylolacrylamide	1.0
957-51-7	Diphenamid	1.0
961-11-5	Tetrachlorvinphos [Phosphoric acid, 2-chloro-1-(2,4,5-trichlorophenyl)ethenyl dimethyl ester]	0.1
989-38-8	C.I. Basic Red 1	1.0
1114-71-2	Pebulate [Butylethylcarbamothioic acid S-propyl ester]	1.0
1120-71-4	Propane sultone	0.1
1134-23-2	Cycloate	1.0
1163-19-5	Decabromodiphenyl oxide	1.0
1313-27-5	Molybdenum trioxide	1.0
1314-20-1	Thorium dioxide	1.0
1319-77-3	Cresol (mixed isomers)	1.0
1320-18-9	2,4-D propylene glycol butyl ether ester	0.1
1330-20-7	Xylene (mixed isomers)	1.0
1332-21-4	Asbestos (friable)	0.1
1335-87-1	Hexachloronaphthalene	1.0
1336-36-3	Polychlorinated biphenyls (PCBs)	*
1344-28-1	Aluminum oxide (fibrous forms)	1.0
1464-53-5	Diepoxybutane	0.1
1563-66-2	Carbofuran	1.0
1582-09-8	Trifluralin [Benzeneamine, 2,6-dinitro-N,N-dipropyl-4-(trifluoromethyl)-]	*
1634-04-4	Methyl tert-butyl ether	1.0
1649-08-7	1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
1689-84-5	Bromoxynil (3,5-Dibromo-4-hydroxybenzotrile)	1.0
1689-99-2	Bromoxynil octanoate (Octanoic acid, 2,6-dibromo-4-cyanophenylester)	1.0
1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0
1836-75-5	Nitrofen [Benzene, 2,4-dichloro-1-(4-nitrophenoxy)-]	0.1
1861-40-1	Benfluralin (N-Butyl-N-ethyl-2,6-dinitro-4-(trifluoromethyl)benzenamine)	1.0
1897-45-6	Chlorothalonil [1,3-Benzenedicarbonitrile, 2,4,5,6-tetrachloro-]	0.1
1910-42-5	Paraquat dichloride	1.0
1912-24-9	Atrazine (6-Chloro-N-ethyl-N'-(1-methylethyl)-1,3,5-triazine-2,4-diamine)	1.0
1918-00-9	Dicamba (3,6-Dichloro-2-methoxybenzoic acid)	1.0
1918-02-1	Picloram	1.0
1918-16-7	Propachlor [2-Chloro-N-(1-methylethyl)-N-phenylacetamide]	1.0
1928-43-4	2,4-D 2-ethylhexyl ester	0.1
1929-73-3	2,4-D butoxyethyl ester	0.1
1929-82-4	Nitrapyrin (2-Chloro-6-(trichloromethyl)pyridine)	1.0
1937-37-7	C.I. Direct Black 38	0.1
1982-69-0	Sodium dicamba [3,6-Dichloro-2-methoxybenzoic acid, sodium salt]	1.0
1983-10-4	Tributyltin fluoride	1.0
2032-65-7	Methiocarb	1.0
2155-70-6	Tributyltin methacrylate	1.0
2164-07-0	Dipotassium endothall [7-Oxabicyclo(2.2.1)heptane-2,3-dicarboxylic acid, dipotassium salt]	1.0
2164-17-2	Fluometuron [Urea, N,N-dimethyl-N'-[3-(trifluoromethyl)phenyl]-]	1.0
2212-67-1	Molinate (1H-Azepine-1-carbothioic acid,	1.0

CAS Number	Chemical Name	De minimis % Limit
	hexahydro-, S-ethyl ester)	
2234-13-1	Octachloronaphthalene	1.0
2300-66-5	Dimethylamine dicamba	1.0
2303-16-4	Diallate [Carbamothioic acid, bis(1-methylethyl)-S-(2,3-dichloro-2-propenyl)ester]	1.0
2303-17-5	Triallate	1.0
2312-35-8	Propargite	1.0
2439-01-2	Chinomethionat [6-Methyl-1,3-dithiolo[4,5-b]quinoxalin-2-one]	1.0
2439-10-3	Dodine [Dodecylguanidine monoacetate]	1.0
2524-03-0	Dimethyl chlorothiophosphate	1.0
2602-46-2	C.I. Direct Blue 6	0.1
2655-15-4	2,3,5-Trimethylphenyl methylcarbamate	1.0
2699-79-8	Sulfuryl fluoride (Vikane)	1.0
2702-72-9	2,4-D sodium salt	0.1
2832-40-8	C.I. Disperse Yellow 3	1.0
2837-89-0	2-Chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	1.0
2971-38-2	2,4-D chlorocrotyl ester	0.1
3118-97-6	C.I. Solvent Orange 7	1.0
3296-90-0	2,2-bis(Bromomethyl)-1,3-propanediol	0.1
3383-96-8	Temephos	1.0
3653-48-3	Methoxone sodium salt ((4-Chloro-2-methylphenoxy)acetate sodium salt)	0.1
3761-53-3	C.I. Food Red 5	0.1
4080-31-3	1-(3-Chloroallyl)-3,5,7-triaza-1-azoniaadamantane chloride	1.0
4170-30-3	Crotonaldehyde	1.0
4549-40-0	N-Nitrosomethylvinylamine	0.1
4680-78-8	C.I. Acid Green 3	1.0
5234-68-4	Carboxin (5,6-Dihydro-2-methyl-N-phenyl-1,4-oxathiin-3-carboxamide)	1.0
5598-13-0	Chlorpyrifos methyl [O,O-Dimethyl-O-(3,5,6-trichloro-2-pyridyl)phosphorothioate]	1.0



Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
5902-51-2	Terbacil [5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione]	1.0
6459-94-5	C.I. Acid Red 114	0.1
7287-19-6	Prometryn [N,N'-Bis(1-methylethyl)-6-methylthio-1,3,5-triazine-2,4-diamine]	1.0
7429-90-5	Aluminum (fume or dust)	1.0
7439-92-1	Lead (when lead is contained in stainless steel, brass or bronze alloys the de minimis level is 0.1)	*
7439-96-5	Manganese	1.0
7439-97-6	Mercury	*
7440-02-0	Nickel	0.1
7440-22-4	Silver	1.0
7440-28-0	Thallium	1.0
7440-36-0	Antimony	1.0
7440-38-2	Arsenic	0.1
7440-39-3	Barium	1.0
7440-41-7	Beryllium	0.1
7440-43-9	Cadmium	0.1
7440-47-3	Chromium	1.0
7440-48-4	Cobalt	0.1
7440-50-8	Copper	1.0
7440-62-2	Vanadium (except when contained in an alloy)	1.0
7440-66-6	Zinc (fume or dust)	1.0
7550-45-0	Titanium tetrachloride	1.0
7632-00-0	Sodium nitrite	1.0
7637-07-2	Boron trifluoride	1.0
7647-01-0	Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0
7664-39-3	Hydrogen fluoride	1.0
7664-41-7	Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	1.0
7664-93-9	Sulfuric acid (acid aerosols including mists, vapors,	1.0

CAS Number	Chemical Name	De minimis % Limit
	gas, fog, and other airborne forms of any particle size)	
7696-12-0	Tetramethrin [2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isoindol-2-yl)methyl ester]	1.0
7697-37-2	Nitric acid	1.0
7723-14-0	Phosphorus (yellow or white)	1.0
7726-95-6	Bromine	1.0
7758-01-2	Potassium bromate	0.1
7782-41-4	Fluorine	1.0
7782-49-2	Selenium	1.0
7782-50-5	Chlorine	1.0
7783-06-4	Hydrogen sulfide	1.0
7786-34-7	Mevinphos	1.0
7803-51-2	Phosphine	1.0
8001-35-2	Toxaphene	*
8001-58-9	Creosote	0.1
9006-42-2	Metiram	1.0
10028-15-6	Ozone	1.0
10034-93-2	Hydrazine sulfate	0.1
10049-04-4	Chlorine dioxide	1.0
10061-02-6	trans-1,3-Dichloropropene	0.1
10294-34-5	Boron trichloride	1.0
10453-86-8	Resmethrin [[5-(Phenylmethyl)-3-furanyl]methyl-2,2-dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylate]	1.0
12122-67-7	Zineb [Carbamodithioic acid, 1,2-ethanediyibis-, zinc complex]	1.0
12427-38-2	Maneb [Carbamodithioic acid, 1,2-ethanediyibis-, manganese complex]	1.0
13194-48-4	Ethoprop [Phosphorodithioic acid O-ethyl S,S-dipropyl ester]	1.0
13356-08-6	Fenbutatin oxide (Hexakis(2-methyl-2-phenylpropyl)distannoxane)	1.0
13463-40-6	Iron pentacarbonyl	1.0
13474-88-9	1,1-Dichloro-1,2,2,3,3-pentafluoropropane	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
	(HCFC-225cc)	
13684-56-5	Desmedipham	1.0
14484-64-1	Ferbam [Tris(dimethylcarbamodithioato-S,S')iron]	1.0
15972-60-8	Alachlor	1.0
16071-86-6	C.I. Direct Brown 95	0.1
16543-55-8	N-Nitrosornicotine	0.1
17804-35-2	Benomyl	1.0
19044-88-3	Oryzalin [4-(Dipropylamino)-3,5-dinitrobenzene sulfonamide]	1.0
19666-30-9	Oxydiazon [3-[2,4-Dichloro-5-(1-methylethoxy)phenyl]-5-(1,1-dimethylethyl)-1,3,4-oxadiazol-2(3H)-one]	1.0
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride (o-Dianisidine dihydrochloride)	0.1
20354-26-1	Methazole [2-(3,4-Dichlorophenyl)-4-methyl-1,2,4-oxadiazolidine-3,5-dione]	1.0
20816-12-0	Osmium tetroxide	1.0
20859-73-8	Aluminum phosphide	1.0
21087-64-9	Metribuzin	1.0
21725-46-2	Cyanazine	1.0
22781-23-3	Bendiocarb [2,2-Dimethyl-1,3-benzodioxol-4-ol methylcarbamate]	1.0
23564-05-8	Thiophanate methyl	1.0
23564-06-9	Thiophanate ethyl [[1,2-Phenylenebis(iminocarbonothioyl)]biscarbamic acid diethylester]	1.0
23950-58-5	Pronamide	1.0
25311-71-1	Isofenphos [2-[[[Ethoxyl[(1-methylethyl)amino]phosphinothioyl]oxy]benzoic acid 1-methylethyl ester]	1.0
25321-14-6	Dinitrotoluene (mixed isomers)	1.0
25321-22-6	Dichlorobenzene (mixed isomers)	0.1
25376-45-8	Diaminotoluene (mixed	0.1

CAS Number	Chemical Name	De minimis % Limit
	isomers)	
26002-80-2	Phenothrin [2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (3-phenoxyphenyl)methyl ester]	1.0
26471-62-5	Toluene diisocyanate (mixed isomers)	0.1
26628-22-8	Sodium azide	1.0
26644-46-2	Triforine [N,N'-[1,4-Piperazinediylbis-(2,2,2-trichloroethylidene)]bisformamide]	1.0
27314-13-2	Norflurazon [4-Chloro-5-(methylamino)-2-[3-(trifluoromethyl)phenyl]-3(2H)-pyridazinone]	1.0
28057-48-9	d-trans-Allethrin [d-trans-Chrysanthemic acid of d-allethron]	1.0
28249-77-6	Thiobencarb [Carbamic acid, diethylthio-, S-(p-chlorobenzyl)ester]	1.0
28407-37-6	C.I. Direct Blue 218	1.0
29082-74-4	Octachlorostyrene	*
29232-93-7	Pirimiphos methyl [O-(2-(Diethylamino)-6-methyl-4-pyrimidinyl)-O,O-dimethylphosphorothioate]	1.0
30560-19-1	Acephate (Acetylphosphoramidothioic acid O,S-dimethyl ester)	1.0
31218-83-4	Propetamphos [3-[[[(Ethylamino)methoxyphosphinothioyl]oxy]-2-butenic acid, 1-methylethyl ester]	1.0
33089-61-1	Amitraz	1.0
34014-18-1	Tebuthiuron [N-[5-(1,1-Dimethylethyl)-1,3,4-thiadiazol-2-yl]-N,N'-dimethylurea]	1.0
34077-87-7	Dichlorotrifluoroethane	1.0
35367-38-5	Diflubenzuron	1.0
35400-43-2	Sulprofos [O-Ethyl O-[4-(methylthio)phenyl]phosphorodithioic acid S-propylester]	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
35554-44-0	Imazalil [1-[2-(2,4-Dichlorophenyl)-2-(2-propenyloxy)ethyl]-1H-imidazole]	1.0
35691-65-7	1-Bromo-1-(bromomethyl)-1,3-propanedicarbonitrile	1.0
38727-55-8	Diethyl ethyl	1.0
39156-41-7	2,4-Diaminoanisole sulfate	0.1
39300-45-3	Dinocap	1.0
39515-41-8	Fenpropathrin [2,2,3,3-Tetramethylcyclopropane carboxylic acid cyano(3-phenoxyphenyl)methyl ester]	1.0
40487-42-1	Pendimethalin [N-(1-Ethylpropyl)-3,4-dimethyl-2,6-dinitrobenzenamine]	*
41198-08-7	Profenofos [O-(4-Bromo-2-chlorophenyl)-O-ethyl-S-propyl phosphorothioate]	1.0
41766-75-0	3,3'-Dimethylbenzidine dihydrofluoride (o-Tolidine dihydrofluoride)	0.1
42874-03-3	Oxyfluorfen	1.0
43121-43-3	Triadimefon [1-(4-Chlorophenoxy)-3,3-dimethyl-1-(1H-1,2,4-triazol-1-yl)-2-butanone]	1.0
50471-44-8	Vinclozolin [3-(3,5-Dichlorophenyl)-5-ethenyl-5-methyl-2,4-oxazolinedione]	1.0
51235-04-2	Hexazinone	1.0
51338-27-3	Diclofop methyl [2-[4-(2,4-Dichlorophenoxy)phenoxy]propanoic acid, methyl ester]	1.0
51630-58-1	Fenvalerate [4-Chloro- $\alpha$ -(1-methylethyl)benzeneacetic acid cyano(3-phenoxyphenyl)methyl ester]	1.0
52645-53-1	Permethrin [3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, (3-	1.0

CAS Number	Chemical Name	De minimis % Limit
	phenoxyphenyl)methyl ester]	
53404-19-6	Bromacil, lithium salt [2,4(1H,3H)-Pyrimidinedione, 5-bromo-6-methyl-3-(1-methylpropyl), lithium salt]	1.0
53404-37-8	2,4-D 2-ethyl-4-methylpentyl ester	0.1
53404-60-7	Dazomet, sodium salt [Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione, ion(1-), sodium]	1.0
55290-64-7	Dimethipin [2,3-Dihydro-5,6-dimethyl-1,4-dithiin-1,1,4,4-tetraoxide]	1.0
55406-53-6	3-Iodo-2-propynyl butylcarbamate	1.0
57213-69-1	Triclopyr triethylammonium salt	1.0
59669-26-0	Thiodicarb	1.0
60168-88-9	Fenarimol [ $\alpha$ -(2-Chlorophenyl)- $\alpha$ -(4-chlorophenyl)-5-pyrimidinemethanol]	1.0
60207-90-1	Propiconazole [1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3-dioxolan-2-yl]methyl-1H-1,2,4,-triazole]	1.0
62476-59-9	Acifluorfen, sodium salt [5-(2-Chloro-4-(trifluoromethyl)phenoxy)-2-nitrobenzoic acid, sodium salt]	1.0
63938-10-3	Chlorotetrafluoroethane	1.0
64902-72-3	Chlorsulfuron [2-Chloro-N-[[4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]benzenesulfonamide]	1.0
64969-34-2	3,3'-Dichlorobenzidine sulfate	0.1
66441-23-4	Fenoxaprop ethyl [2-(4-((6-Chloro-2-benzoxazolyl)oxy)phenoxy)propanoic acid, ethyl ester]	1.0
67485-29-4	Hydramethylnon	1.0

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

CAS Number	Chemical Name	De minimis % Limit
	[Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethyl]-2-propenylidene]hydrazone]	
68085-85-8	Cyhalothrin [3-(2-Chloro-3,3,3-trifluoro-1-propenyl)-2,2-dimethylcyclopropanecarboxylic acid cyano(3-phenoxyphenyl)methyl ester]	1.0
68359-37-5	Cyfluthrin [3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl)methyl ester]	1.0
69409-94-5	Fluvalinate [N-[2-Chloro-4-(trifluoromethyl)phenyl]-DL-valine(+)-cyano(3-phenoxyphenyl)methyl ester]	1.0
69806-50-4	Fluazifop butyl [2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]phenoxy]propanoic acid, butyl ester]	1.0
71751-41-2	Abamectin [Avermectin B1]	1.0
72178-02-0	Fomesafen [5-(2-Chloro-4-(trifluoromethyl)phenoxy)-N-methylsulfonyl-2-nitrobenzamide]	1.0
72490-01-8	Fenoxycarb [[2-(4-Phenoxyphenoxy)ethyl]carbamic acid ethyl ester]	1.0
74051-80-2	Sethoxydim [2-[1-(Ethoxyimino)butyl]-5-[2-(ethylthio)propyl]-3-hydroxyl-2-cyclohexen-1-one]	1.0
76578-14-8	Quinalofop-ethyl [2-[4-[(6-Chloro-2-quinoxalinyloxy]phenoxy]propanoic acid ethyl ester]	1.0
77501-63-4	Lactofen [Benzoic acid, 5-[2-Chloro-4-	1.0

CAS Number	Chemical Name	De minimis % Limit
	(trifluoromethyl)phenoxy]-2-nitro-, 2-ethoxy-1-methyl-2-oxoethyl ester]	
82657-04-3	Bifenthrin	1.0
88671-89-0	Myclobutanil [ $\alpha$ -Butyl- $\alpha$ -(4-chlorophenyl)-1H-1,2,4-triazole-1-propanenitrile]	1.0
90454-18-5	Dichloro-1,1,2-trifluoroethane	1.0
90982-32-4	Chlorimuron ethyl [Ethyl-2-[[[(4-chloro-6-methoxyprimidin-2-yl)amino]carbonyl]amino]sulfonyl]benzoate]	1.0
101200-48-0	Tribenuron methyl [Benzoic acid, 2-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)methylamino]carbonyl]amino]sulfonyl]-, methyl ester]	1.0
111512-56-2	1,1-Dichloro-1,2,3,3,3-pentafluoropropane (HCFC-225eb)	1.0
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride (o-Dianisidine hydrochloride)	0.1
127564-92-5	Dichloropentafluoropropane	1.0
128903-21-9	2,2-Dichloro-1,1,1,3,3-pentafluoropropane (HCFC-225aa)	1.0
136013-79-1	1,3-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225ea)	1.0

### c. Chemical Categories

Section 313 requires reporting on the EPCRA section 313 chemical categories listed below, in addition to the specific EPCRA section 313 chemicals listed above.

The metal compound categories listed below, unless otherwise specified, are defined as including any unique chemical substance that contains the named metal (e.g., antimony, nickel, etc.) as part of that chemical's structure.

EPCRA section 313 chemical categories are subject to the 1% *de minimis* concentration unless the substance involved meets the definition of an OSHA carcinogen in which case the 0.1% *de minimis* concentration applies. The *de minimis* concentration for each category is provided in parentheses. The *de minimis* exemption is not available for PBT chemicals, therefore an asterisk appears where a *de minimis* limit would otherwise appear. However, for purposes of the supplier notification requirement only, such limits are provided in Appendix D.

**N010 Antimony Compounds (1.0)**

*Includes any unique chemical substance that contains antimony as part of that chemical's infrastructure.*

**N020 Arsenic Compounds (inorganic compounds: 0.1; organic compounds: 1.0)**

*Includes any unique chemical substance that contains arsenic as part of that chemical's infrastructure.*

**N040 Barium Compounds (1.0)**

*Includes any unique chemical substance that contains barium as part of that chemical's infrastructure. This category does not include: Barium sulfate CAS Number 7727-43-7*

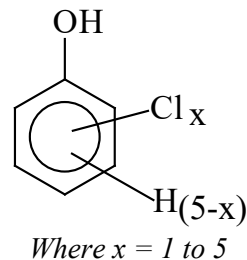
**N050 Beryllium Compounds (0.1)**

*Includes any unique chemical substance that contains beryllium as part of that chemical's infrastructure.*

**N078 Cadmium Compounds (0.1)**

*Includes any unique chemical substance that contains cadmium as part of that chemical's infrastructure.*

**N084 Chlorophenols (0.1)**



**N090 Chromium Compounds**

**(except for chromite ore mined in the Transvaal Region of South Africa and the unreacted ore component of the chromite ore processing residue (COPR). COPR is the solid waste remaining after aqueous extraction of oxidized chromite ore that has been combined with soda ash and kiln roasted at approximately 2,000 °F.) (chromium VI compounds: 0.1; chromium III compounds: 1.0)**

*Includes any unique chemical substance that contains chromium as part of that chemical's infrastructure.*

**N096 Cobalt Compounds (inorganic compounds: 0.1; organic compounds: 1.0)**

*Includes any unique chemical substance that contains cobalt as part of that chemical's infrastructure.*

**N100 Copper Compounds (1.0)**

*Includes any unique chemical substance that contains copper as part of that chemical's infrastructure. This category does not include copper phthalocyanine compounds that are substituted with only hydrogen, and/or chlorine, and/or bromine.*

**N106 Cyanide Compounds (1.0)**

*X<sup>+</sup>CN<sup>-</sup> where X = H<sup>+</sup> or any other group where a formal dissociation can be made. For example KCN or Ca(CN)<sub>2</sub>*



Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

**N120 Diisocyanates (1.0)**

This category includes only those chemicals listed below.

CAS Number	Chemical Name
38661-72-2	1,3-Bis(methylisocyanate)cyclohexane
10347-54-3	1,4-Bis(methylisocyanate)cyclohexane
2556-36-7	1,4-Cyclohexane diisocyanate
134190-37-7	Diethyldiisocyanatobenzene
4128-73-8	4,4'-Diisocyanatodiphenyl ether
75790-87-3	2,4'-Diisocyanatodiphenyl sulfide
91-93-0	3,3'-Dimethoxybenzidine-4,4'-diisocyanate
91-97-4	3,3'-Dimethyl-4,4'-diphenylene diisocyanate
139-25-3	3,3'-Dimethyldiphenylmethane-4,4'-diisocyanate
822-06-0	Hexamethylene-1,6-diisocyanate
4098-71-9	Isophorone diisocyanate
75790-84-0	4-Methyldiphenylmethane-3,4-diisocyanate
5124-30-1	1,1-Methylenebis(4-isocyanatocyclohexane)
101-68-8	Methylenebis(phenylisocyanate) (MDI)
3173-72-6	1,5-Naphthalene diisocyanate
123-61-5	1,3-Phenylene diisocyanate
104-49-4	1,4-Phenylene diisocyanate
9016-87-9	Polymeric diphenylmethane diisocyanate
16938-22-0	2,2,4-Trimethylhexamethylene diisocyanate
15646-96-5	2,4,4-Trimethylhexamethylene diisocyanate

**N150 Dioxin and dioxin-like compounds (Manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacturing of that chemical.) (\*)**

This category includes only those chemicals listed below. [Note: When completing the Form R Schedule 1, enter the data for each member of the category in the order they are listed here (i.e., 1-17).]

Box #	CAS Number	Chemical Name
1	1746-01-6	2,3,7,8-Tetrachlorodibenzo- <i>p</i> -dioxin
2	40321-76-4	1,2,3,7,8- Pentachlorodibenzo- <i>p</i> -dioxin
3	39227-28-6	1,2,3,4,7,8-Hexachlorodibenzo- <i>p</i> -dioxin
4	57653-85-7	1,2,3,6,7,8-Hexachlorodibenzo- <i>p</i> -dioxin
5	19408-74-3	1,2,3,7,8,9-Hexachlorodibenzo- <i>p</i> -dioxin
6	35822-46-9	1,2,3,4,6,7,8-Heptachlorodibenzo- <i>p</i> -dioxin
7	3268-87-9	1,2,3,4,6,7,8,9-Octachlorodibenzo- <i>p</i> -dioxin
8	51207-31-9	2,3,7,8-Tetrachlorodibenzofuran
9	57117-41-6	1,2,3,7,8-Pentachlorodibenzofuran
10	57117-31-4	2,3,4,7,8-Pentachlorodibenzofuran
11	70648-26-9	1,2,3,4,7,8-Hexachlorod-benzofuran
12	57117-44-9	1,2,3,6,7,8-Hexachlorodibenzofuran
13	72918-21-9	1,2,3,7,8,9-Hexachlorodibenzofuran
14	60851-34-5	2,3,4,6,7,8-Hexachlorodibenzofuran
15	67562-39-4	1,2,3,4,6,7,8-Heptachlorodibenzofuran
16	55673-89-7	1,2,3,4,7,8,9-Heptachlorodibenzofuran
17	39001-02-0	1,2,3,4,6,7,8,9-Octachlorodibenzofuran

**N171 Ethylenebisdithiocarbamic acid, salts and esters EBDCs) (1.0)**

*Includes any unique chemical substance that contains an EBDC or an EBDC salt as part of that chemical's infrastructure.*

Table II. EPCRA Section 313 Chemical List for Reporting Year 2018

**N230 Certain Glycol Ethers (1.0)**

R - (OCH<sub>2</sub>CH<sub>2</sub>)<sub>n</sub> - OR'

where:

n = 1, 2, or 3;

R = Alkyl C7 or less; or

R = phenyl or alkyl substituted phenyl;

R' = H or alkyl C7 or less; or

OR' consisting of carboxylic acid ester, sulfate, phosphate, nitrate, or sulfonate.

**N270 Hexabromocyclododecane (\*)**

(This category includes only those chemicals covered by the CAS numbers listed below)

CAS Number	Chemical Name
3194-55-6	1,2,5,6,9,10-Hexabromocyclododecane
25637-99-4	Hexabromocyclododecane

**N420 Lead Compounds (\*)**

*Includes any unique chemical substance that contains lead as part of that chemical's infrastructure.*

**N450 Manganese Compounds (1.0)**

*Includes any unique chemical substance that contains manganese as part of that chemical's infrastructure.*

**N458 Mercury Compounds (\*)**

*Includes any unique chemical substance that contains mercury as part of that chemical's infrastructure.*

**N495 Nickel Compounds (0.1)**

*Includes any unique chemical substance that contains nickel as part of that chemical's infrastructure.*

**N503 Nicotine and salts (1.0)**

*Includes any unique chemical substance that contains nicotine or a nicotine salt as part of that chemical's infrastructure.*

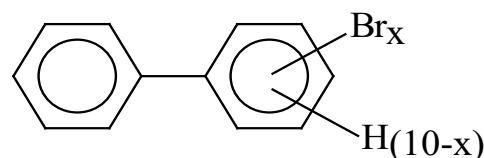
**N511 Nitrate compounds (water dissociable; reportable only when in aqueous solution) (1.0)**

**N530 Nonylphenol (1.0)**

This category includes only those chemicals listed below.

CAS Number	Chemical Name
104-40-5	4-Nonylphenol
11066-49-2	Isononylphenol
25154-52-3	Nonylphenol
26543-97-5	4-Isononylphenol
84852-15-3	4-Nonylphenol, branched
90481-04-2	Nonylphenol, branched

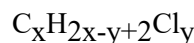
**N575 Polybrominated Biphenyls (PBBs) (0.1)**



where x = 1 to 10

**N583 Polychlorinated alkanes (C<sub>10</sub> to C<sub>13</sub>) (1.0, except for those members of the category that have an average chain length of 12 carbons and contain an average chlorine content of 60% by weight which are subject to the 0.1% *de minimis*)**

*Includes those chemicals defined by the following formula:*



Where x = 10 to 13;

y = 3 to 12; and

where the average chlorine content ranges from 40-70% with the limiting molecular formulas C<sub>10</sub>H<sub>19</sub>Cl<sub>3</sub> and C<sub>13</sub>H<sub>16</sub>Cl<sub>12</sub>

**Table II. EPCRA Section 313 Chemical List for Reporting Year 2018**

**N590 Polycyclic aromatic compounds (PACs) (\*)**  
 This category includes the chemicals listed below.

CAS Number	Chemical Name
56-55-3	Benz(a)anthracene
205-99-2	Benzo(b)fluoranthene
205-82-3	Benzo(j)fluoranthene
207-08-9	Benzo(k)fluoranthene
206-44-0	Benzo(j,k)fluorene
189-55-9	Benzo(r,s,t)pentaphene
218-01-9	Benzo(a)phenanthrene
50-32-8	Benzo(a)pyrene
226-36-8	Dibenz(a,h)acridine
224-42-0	Dibenz(a,j)acridine
53-70-3	Dibenzo(a,h)anthracene
194-59-2	7H-Dibenzo(c,g)carbazole
5385-75-1	Dibenzo(a,e)fluoranthene
192-65-4	Dibenzo(a,e)pyrene
189-64-0	Dibenzo(a,h)pyrene
191-30-0	Dibenzo(a,l)pyrene
57-97-6	7,12-Dimethylbenz(a)-anthracene
42397-64-8	1,6-Dinitropyrene
42397-65-9	1,8-Dinitropyrene
193-39-5	Indeno(1,2,3-cd)pyrene
56-49-5	3-Methylcholanthrene
3697-24-3	5-Methylchrysene
7496-02-8	6-Nitrochrysene
5522-43-0	1-Nitropyrene
57835-92-4	4-Nitropyrene

**N725 Selenium Compounds (1.0)**  
*Includes any unique chemical substance that contains selenium as part of that chemical's infrastructure.*

**N740 Silver Compounds (1.0)**  
*Includes any unique chemical substance that contains silver as part of that chemical's infrastructure.*

**N746 Strychnine and salts (1.0)**  
*Includes any unique chemical substance that contains strychnine or a strychnine salt as part of that chemical's infrastructure.*

**N760 Thallium Compounds (1.0)**  
*Includes any unique chemical substance that contains thallium as part of that chemical's infrastructure.*

**N770 Vanadium compounds (1.0)**  
*Includes any unique chemical substance that contains vanadium as part of that chemical's infrastructure.*

**N874 Warfarin and salts (1.0)**  
*Includes any unique chemical substance that contains warfarin or a warfarin salt as part of that chemical's infrastructure.*

**N982 Zinc Compounds (1.0)**  
*Includes any unique chemical substance that contains zinc as part of that chemical's infrastructure.*

### Table III. Bureau of Indian Affairs (BIA) Tribal Codes

Indian Country Name	BIA Tribal Code	Indian Country Name	BIA Tribal Code
Absentee-Shawnee Tribe of Indians of Oklahoma	820	Cahto Indian Tribe of the Laytonville Rancheria, California	524
Agua Caliente Band of Cahuilla Indians of the Agua Caliente Indian Reservation, California	584	Cahuilla Band of Mission Indians of the Cahuilla Reservation, California	569
Ak Chin Indian Community of the Maricopa (Ak Chin) Indian Reservation, Arizona	612	California Valley Miwok Tribe, California	628
Alabama-Coushatta Tribes of Texas	830	Campo Band of Diegueno Mission Indians of the Campo Indian Reservation, California	570
Alabama-Quassarte Tribal Town, Oklahoma	901	Capitan Grande Band of Diegueno Mission Indians of California: Barona Group of Capitan Grande Band of Mission Indians of the Barona Reservation, California; Viejas (Baron Long) Group of Capitan Grande Band of Mission Indians of the Viejas Reservation, California	571
Alturas Indian Rancheria, California	502	Catawba Indian Nation (aka Catawba Tribe of South Carolina)	32
Apache Tribe of Oklahoma	809	Cayuga Nation of New York	13
Arapahoe Tribe of the Wind River Reservation, Wyoming	281	Cedarville Rancheria, California	621
Aroostook Band of Micmac Indians of Maine	31	Chemehuevi Indian Tribe of the Chemehuevi Reservation, California	695
Assiniboine and Sioux Tribes of the Fort Peck Indian Reservation, Montana	206	Cher-Ae Heights Indian Community of the Trinidad Rancheria, California	566
Augustine Band of Cahuilla Indians, California (formerly the Augustine Band of Cahuilla Mission Indians of the Augustine Reservation)	567	Cherokee Nation, Oklahoma	905
Bad River Band of the Lake Superior Tribe of Chippewa Indians of the Bad River Reservation, Wisconsin	430	Cheyenne and Arapaho Tribes, Oklahoma (formerly the Cheyenne-Arapaho Tribes of Oklahoma)	801
Bay Mills Indian Community, Michigan	470	Cheyenne River Sioux Tribe of the Cheyenne River Reservation, South Dakota	340
Bear River Band of the Rohnerville Rancheria, California	560	Chickasaw Nation, Oklahoma	906
Berry Creek Rancheria of Maidu Indians of California	504	Chicken Ranch Rancheria of Me-Wuk Indians of California	523
Big Lagoon Rancheria, California	554	Chippewa-Cree Indians of the Rocky Boy's Reservation, Montana	205
Big Pine Band of Owens Valley Paiute Shoshone Indians of the Big Pine Reservation, California	530	Chitimacha Tribe of Louisiana	970
Big Sandy Rancheria of Mono Indians of California	506	Choctaw Nation of Oklahoma	907
Big Valley Band of Pomo Indians of the Big Valley Rancheria, California	507	Citizen Potawatomi Nation, Oklahoma	821
Blackfeet Tribe of the Blackfeet Indian Reservation of Montana	201	Cloverdale Rancheria of Pomo Indians of California	510
Blue Lake Rancheria, California	558	Cocopah Tribe of Arizona	602
Bridgeport Paiute Indian Colony of California	691	Coeur D'Alene Tribe of the Coeur D'Alene Reservation, Idaho	181
Buena Vista Rancheria of Me-Wuk Indians of California	508	Cold Springs Rancheria of Mono Indians of California	511
Burns Paiute Tribe of the Burns Paiute Indian Colony of Oregon	144	Colorado River Indian Tribes of the Colorado River Indian Reservation, Arizona and California	603
Cabazon Band of Mission Indians, California	568	Comanche Nation, Oklahoma	808
Cachil DeHe Band of Wintun Indians of the Colusa Indian Community of the Colusa Rancheria, California	512		
Caddo Nation of Oklahoma	806		

**Table III. Bureau of Indian Affairs (BIA) Tribal Codes**

<b>Indian Country Name</b>	<b>BIA Tribal Code</b>	<b>Indian Country Name</b>	<b>BIA Tribal Code</b>
Confederated Salish & Kootenai Tribes of the Flathead Reservation, Montana	203	Ely Shoshone Tribe of Nevada	644
Confederated Tribes and Bands of the Yakama Nation, Washington	124	Enterprise Rancheria of Maidu Indians of California	517
Confederated Tribes of Siletz Indians of Oregon (previously listed as the Confederated Tribes of the Siletz Reservation)	142	Ewiiapaayp Band of Kumeyaay Indians, California	573
Confederated Tribes of the Chehalis Reservation, Washington	105	Federated Indians of Graton Rancheria, California	622
Confederated Tribes of the Colville Reservation, Washington	101	Flandreau Santee Sioux Tribe of South Dakota	341
Confederated Tribes of the Coos, Lower Umpqua and Siuslaw Indians of Oregon	152	Forest County Potawatomi Community, Wisconsin	434
Confederated Tribes of the Goshute Reservation, Nevada and Utah	681	Fort Belknap Indian Community of the Fort Belknap Reservation of Montana	204
Confederated Tribes of the Grand Ronde Community of Oregon	141	Fort Bidwell Indian Community of the Fort Bidwell Reservation of California	518
Confederated Tribes of the Umatilla Reservation, Oregon	143	Fort Independence Indian Community of Paiute Indians of the Fort Independence Reservation, California	525
Confederated Tribes of the Warm Springs Reservation of Oregon	145	Fort McDermitt Paiute and Shoshone Tribes of the Fort McDermitt Indian Reservation, Nevada and Oregon	646
Coquille Tribe of Oregon	155	Fort McDowell Yavapai Nation, Arizona	613
Cortina Indian Rancheria of Wintun Indians of California	513	Fort Mojave Indian Tribe of Arizona, California & Nevada	604
Coushatta Tribe of Louisiana	971	Fort Sill Apache Tribe of Oklahoma	803
Cow Creek Band of Umpqua Indians of Oregon	153	Gila River Indian Community of the Gila River Indian Reservation, Arizona	614
Cowlitz Indian Tribe, Washington	132	Grand Traverse Band of Ottawa and Chippewa Indians, Michigan	468
Coyote Valley Band of Pomo Indians of California	638	Greenville Rancheria of Maidu Indians of California	545
Crow Creek Sioux Tribe of the Crow Creek Reservation, South Dakota	342	Grindstone Indian Rancheria of Wintun-Wailaki Indians of California	519
Crow Tribe of Montana	202	Habematolel Pomo of Upper Lake, California	636
Death Valley Timbi-Sha Shoshone Band of California	693	Hannahville Indian Community, Michigan	471
Delaware Nation, Oklahoma	807	Havasupai Tribe of the Havasupai Reservation, Arizona	605
Delaware Tribe of Indians, Oklahoma	816	Ho-Chunk Nation of Wisconsin	439
Dry Creek Rancheria of Pomo Indians of California	515	Hoh Indian Tribe of the Hoh Indian Reservation, Washington	106
Duckwater Shoshone Tribe of the Duckwater Reservation, Nevada	642	Hoopa Valley Tribe, California	561
Eastern Band of Cherokee Indians of North Carolina	1	Hopi Tribe of Arizona	608
Eastern Shawnee Tribe of Oklahoma	921	Hopland Band of Pomo Indians of the Hopland Rancheria, California	521
Elem Indian Colony of Pomo Indians of the Sulphur Bank Rancheria, California	632	Houlton Band of Maliseet Indians of Maine	19
Elk Valley Rancheria, California	559	Hualapai Indian Tribe of the Hualapai Indian Reservation, Arizona	606
		Iipay Nation of Santa Ysabel, California (formerly	592



*Table III. Bureau of Indian Affairs (BIA) Tribal Codes*

Indian Country Name	BIA Tribal Code	Indian Country Name	BIA Tribal Code
the Santa Ysabel Band of Diegueno Mission Indians of the Santa Ysabel Reservation)		Las Vegas Tribe of Paiute Indians of the Las Vegas Indian Colony, Nevada	648
Inaja Band of Diegueno Mission Indians of the Inaja and Cosmit Reservation, California	574	Little River Band of Ottawa Indians, Michigan	482
Ione Band of Miwok Indians of California	529	Little Traverse Bay Bands of Odawa Indians, Michigan	483
Iowa Tribe of Kansas and Nebraska	860	Los Coyotes Band of Cahuilla and Cupeno Indians, California (formerly the Los Coyotes Band of Cahuilla & Cupeno Indians of the Los Coyotes Reservation)	578
Iowa Tribe of Oklahoma	822	Lovelock Paiute Tribe of the Lovelock Indian Colony, Nevada	649
Jackson Rancheria of Me-Wuk Indians of California	522	Lower Brule Sioux Tribe of the Lower Brule Reservation, South Dakota	343
Jamestown S'Klallam Tribe of Washington	129	Lower Elwha Tribal Community of the Lower Elwha Reservation, Washington	125
Jamul Indian Village of California	575	Lower Lake Rancheria, California	625
Jena Band of Choctaw Indians, Louisiana	34	Lower Sioux Indian Community in the State of Minnesota	402
Jicarilla Apache Nation, New Mexico	701	Lummi Tribe of the Lummi Reservation, Washington	107
Kaibab Band of Paiute Indians of the Kaibab Indian Reservation, Arizona	617	Lytton Rancheria of California	509
Kalispel Indian Community of the Kalispel Reservation, Washington	103	Makah Indian Tribe of the Makah Indian Reservation, Washington	108
Karuk Tribe (formerly the Karuk Tribe of California)	555	Manchester Band of Pomo Indians of the Manchester-Point Arena Rancheria, California	527
Kashia Band of Pomo Indians of the Stewarts Point Rancheria, California	547	Manzanita Band of Diegueno Mission Indians of the Manzanita Reservation, California	579
Kaw Nation, Oklahoma	810	Mashantucket Pequot Tribe of Connecticut	20
Kewa Pueblo, New Mexico (formerly the Pueblo of Santo Domingo)	717	Mashpee Wampanoag Tribe, Massachusetts	35
Keweenaw Bay Indian Community, Michigan	475	Match-e-be-nash-she-wish Band of Pottawatomi Indians of Michigan	484
Kialegee Tribal Town, Oklahoma	902	Mechoopda Indian Tribe of Chico Rancheria, California	531
Kickapoo Traditional Tribe of Texas	826	Menominee Indian Tribe of Wisconsin	440
Kickapoo Tribe of Indians of the Kickapoo Reservation in Kansas	861	Mesa Grande Band of Diegueno Mission Indians of the Mesa Grande Reservation, California	580
Kickapoo Tribe of Oklahoma	823	Mescalero Apache Tribe of the Mescalero Reservation, New Mexico	702
Kiowa Indian Tribe of Oklahoma	802	Miami Tribe of Oklahoma	925
Klamath Tribes, Oregon	140	Miccosukee Tribe of Indians of Florida	26
Kootenai Tribe of Idaho	183	Middletown Rancheria of Pomo Indians of California	528
La Jolla Band of Luiseno Indians, California (formerly the La Jolla Band of Luiseno Mission Indians of the La Jolla Reservation)	576	Minnesota Chippewa Tribe, Minnesota (Six component reservations: Bois Forte Band (Nett Lake); Fond du Lac Band; Grand Portage Band; Leech Lake Band; Mille Lacs Band; White Earth	400
La Posta Band of Diegueno Mission Indians of the La Posta Indian Reservation, California	577		
Lac Courte Oreilles Band of Lake Superior Chippewa Indians of Wisconsin	431		
Lac du Flambeau Band of Lake Superior Chippewa Indians of the Lac du Flambeau Reservation of Wisconsin	432		
Lac Vieux Desert Band of Lake Superior Chippewa Indians, Michigan	479		

*Table III. Bureau of Indian Affairs (BIA) Tribal Codes*

Indian Country Name	BIA Tribal Code	Indian Country Name	BIA Tribal Code
Band)		Band of Paiutes, Koosharem Band of Paiutes, Indian Peaks Band of Paiutes, and Shivwits Band of Paiutes))	
Mississippi Band of Choctaw Indians, Mississippi	980	Paiute-Shoshone Indians of the Bishop Community of the Bishop Colony, California	549
Moapa Band of Paiute Indians of the Moapa River Indian Reservation, Nevada	650	Paiute-Shoshone Indians of the Lone Pine Community of the Lone Pine Reservation, California	624
Modoc Tribe of Oklahoma	927	Paiute-Shoshone Tribe of the Fallon Reservation and Colony, Nevada	645
Mohegan Indian Tribe of Connecticut	33	Pala Band of Luiseno Mission Indians of the Pala Reservation, California	583
Mooretown Rancheria of Maidu Indians of California	626	Pascua Yaqui Tribe of Arizona	665
Morongo Band of Mission Indians, California (formerly the Morongo Band of Cahuilla Mission Indians of the Morongo Reservation)	582	Paskenta Band of Nomlaki Indians of California	533
Muckleshoot Indian Tribe of the Muckleshoot Reservation, Washington	109	Passamaquoddy Tribe of Maine	14
Muscogee (Creek) Nation, Oklahoma	908	Pauma Band of Luiseno Mission Indians of the Pauma & Yuima Reservation, California	585
Narragansett Indian Tribe of Rhode Island	27	Pawnee Nation of Oklahoma	812
Navajo Nation, Arizona, New Mexico & Utah	780	Pechanga Band of Luiseno Mission Indians of the Pechanga Reservation, California	586
Nez Perce Tribe, Idaho (previously listed as Nez Perce Tribe of Idaho)	182	Penobscot Tribe of Maine	18
Nisqually Indian Tribe of the Nisqually Reservation, Washington	110	Peoria Tribe of Indians of Oklahoma	926
Nooksack Indian Tribe of Washington	111	Picayune Rancheria of Chukchansi Indians of California	534
Northern Cheyenne Tribe of the Northern Cheyenne Indian Reservation, Montana	207	Pinoleville Pomo Nation, California (formerly the Pinoleville Rancheria of Pomo Indians of California)	535
Northfork Rancheria of Mono Indians of California	532	Pit River Tribe, California (includes XL Ranch, Big Bend, Likely, Lookout, Montgomery Creek and Roaring Creek Rancherias)	536
Northwestern Band of Shoshoni Nation of Utah (Washakie)	195	Pokagon Band of Potawatomi Indians, Michigan and Indiana	480
Nottawaseppi Huron Band of the Potawatomi, Michigan (formerly the Huron Potawatomi, Inc.)	481	Ponca Tribe of Indians of Oklahoma	813
Oglala Sioux Tribe of the Pine Ridge Reservation, South Dakota	344	Ponca Tribe of Nebraska	381
Ohkay Owingeh, New Mexico (formerly the Pueblo of San Juan)	714	Port Gamble Indian Community of the Port Gamble Reservation, Washington	113
Omaha Tribe of Nebraska	380	Potter Valley Tribe, California	537
Oneida Nation of New York	11	Prairie Band of Potawatomi Nation, Kansas	862
Oneida Tribe of Indians of Wisconsin	433	Prairie Island Indian Community in the State of Minnesota	403
Onondaga Nation of New York	6	Pueblo of Acoma, New Mexico	703
Osage Nation, Oklahoma (formerly the Osage Tribe)	930	Pueblo of Cochiti, New Mexico	704
Otoe-Missouria Tribe of Indians, Oklahoma	811	Pueblo of Isleta, New Mexico	705
Ottawa Tribe of Oklahoma	922	Pueblo of Jemez, New Mexico	706
Paiute Indian Tribe of Utah (Cedar Band of Paiutes, Kanosh Band of Paiutes, Koosharem Band of Paiutes, Indian Peaks Band of Paiutes, and Shivwits Band of Paiutes) (formerly Paiute Indian Tribe of Utah (Cedar City Band of Paiutes, Kanosh	692	Pueblo of Laguna, New Mexico	707

*Table III. Bureau of Indian Affairs (BIA) Tribal Codes*

Indian Country Name	BIA Tribal Code	Indian Country Name	BIA Tribal Code
Pueblo of Nambe, New Mexico	708	Sac & Fox Nation, Oklahoma	824
Pueblo of Picuris, New Mexico	709	Sac & Fox Tribe of the Mississippi in Iowa	490
Pueblo of Pojoaque, New Mexico	710	Saginaw Chippewa Indian Tribe of Michigan	472
Pueblo of San Felipe, New Mexico	712	Saint Regis Mohawk Tribe, New York (formerly the St. Regis Band of Mohawk Indians of New York)	7
Pueblo of San Ildefonso, New Mexico	713	Salt River Pima-Maricopa Indian Community of the Salt River Reservation, Arizona	615
Pueblo of Sandia, New Mexico	711	Samish Indian Tribe, Washington	133
Pueblo of Santa Ana, New Mexico	715	San Carlos Apache Tribe of the San Carlos Reservation, Arizona	616
Pueblo of Santa Clara, New Mexico	716	San Juan Southern Paiute Tribe of Arizona	689
Pueblo of Taos, New Mexico	718	San Manuel Band of Mission Indians, California (previously listed as the San Manuel Band of Serrano Mission Indians of the San Manuel Reservation)	588
Pueblo of Tesuque, New Mexico	719	San Pasqual Band of Diegueno Mission Indians of California	589
Pueblo of Zia, New Mexico	720	Santa Rosa Band of Cahuilla Indians, California (formerly the Santa Rosa Band of Cahuilla Mission Indians of the Santa Rosa Reservation)	590
Puyallup Tribe of the Puyallup Reservation, Washington	115	Santa Rosa Indian Community of the Santa Rosa Rancheria, California	542
Pyramid Lake Paiute Tribe of the Pyramid Lake Reservation, Nevada	651	Santa Ynez Band of Chumash Mission Indians of the Santa Ynez Reservation, California	591
Quapaw Tribe of Indians, Oklahoma	920	Santee Sioux Nation, Nebraska	382
Quartz Valley Indian Community of the Quartz Valley Reservation of California	563	Sauk-Suiattle Indian Tribe of Washington	119
Quechan Tribe of the Fort Yuma Indian Reservation, California & Arizona	696	Sault Ste. Marie Tribe of Chippewa Indians of Michigan	469
Quileute Tribe of the Quileute Reservation, Washington	116	Scotts Valley Band of Pomo Indians of California	503
Quinault Tribe of the Quinault Reservation, Washington	117	Seminole Nation of Oklahoma	909
Ramona Band of Cahuilla, California (formerly the Ramona Band or Village of Cahuilla Mission Indians of California)	597	Seminole Tribe of Florida (Dania, Big Cypress, Brighton, Hollywood & Tampa Reservations)	21
Red Cliff Band of Lake Superior Chippewa Indians of Wisconsin	435	Seneca Nation of New York	12
Red Lake Band of Chippewa Indians, Minnesota	409	Seneca-Cayuga Tribe of Oklahoma	923
Redding Rancheria, California	538	Shakopee Mdewakanton Sioux Community of Minnesota	411
Redwood Valley Rancheria of Pomo Indians of California	539	Shawnee Tribe, Oklahoma	911
Reno-Sparks Indian Colony, Nevada	653	Sherwood Valley Rancheria of Pomo Indians of California	629
Resighini Rancheria, California	556	Shingle Springs Band of Miwok Indians, Shingle Springs Rancheria (Verona Tract), California	546
Rincon Band of Luiseno Mission Indians of the Rincon Reservation, California	587	Shoalwater Bay Tribe of the Shoalwater Bay Indian Reservation, Washington	118
Robinson Rancheria of Pomo Indians of California	516	Shoshone Tribe of the Wind River Reservation, Wyoming	282
Rosebud Sioux Tribe of the Rosebud Indian Reservation, South Dakota	345		
Round Valley Indian Tribes of the Round Valley Reservation, California	540		
Sac & Fox Nation of Missouri in Kansas and Nebraska	863		

*Table III. Bureau of Indian Affairs (BIA) Tribal Codes*

Indian Country Name	BIA Tribal Code	Indian Country Name	BIA Tribal Code
Shoshone-Bannock Tribes of the Fort Hall Reservation of Idaho	180	Torres Martinez Desert Cahuilla Indians, California (formerly the Torres-Martinez Band of Cahuilla Mission Indians of California)	595
Shoshone-Paiute Tribes of the Duck Valley Reservation, Nevada	641	Tulalip Tribes of the Tulalip Reservation, Washington	123
Sisseton-Wahpeton Oyate of the Lake Traverse Reservation, South Dakota	347	Tule River Indian Tribe of the Tule River Reservation, California	553
Skokomish Indian Tribe of the Skokomish Reservation, Washington	120	Tunica-Biloxi Indian Tribe of Louisiana	336
Skull Valley Band of Goshute Indians of Utah	682	Tuolumne Band of Me-Wuk Indians of the Tuolumne Rancheria of California	634
Smith River Rancheria, California	564	Turtle Mountain Band of Chippewa Indians of North Dakota	304
Snoqualmie Tribe, Washington	126	Tuscarora Nation of New York	9
Soboba Band of Luiseno Indians, California	593	Twenty-Nine Palms Band of Mission Indians of California	598
Sokaogon Chippewa Community, Wisconsin	437	United Auburn Indian Community of the Auburn Rancheria of California	637
Southern Ute Indian Tribe of the Southern Ute Reservation, Colorado	750	United Keetoowah Band of Cherokee Indians in Oklahoma	904
Spirit Lake Tribe, North Dakota	303	Upper Sioux Community, Minnesota	401
Spokane Tribe of the Spokane Reservation, Washington	102	Upper Skagit Indian Tribe of Washington	131
Squaxin Island Tribe of the Squaxin Island Reservation, Washington	121	Ute Indian Tribe of the Uintah & Ouray Reservation, Utah	687
St. Croix Chippewa Indians of Wisconsin	436	Ute Mountain Tribe of the Ute Mountain Reservation, Colorado, New Mexico & Utah	751
Standing Rock Sioux Tribe of North & South Dakota	302	Ututu Gwaitu Paiute Tribe of the Benton Paiute Reservation, California	520
Stillaguamish Tribe of Washington	139	Walker River Paiute Tribe of the Walker River Reservation, Nevada	656
Stockbridge Munsee Community, Wisconsin	438	Wampanoag Tribe of Gay Head (Aquinnah) of Massachusetts	30
Summit Lake Paiute Tribe of Nevada	655	Washoe Tribe of Nevada & California (Carson Colony, Dresslerville Colony, Woodfords Community, Stewart Community, & Washoe Ranches)	672
Suquamish Indian Tribe of the Port Madison Reservation, Washington	114	White Mountain Apache Tribe of the Fort Apache Reservation, Arizona	607
Susanville Indian Rancheria, California	550	Wichita and Affiliated Tribes (Wichita, Keechi, Waco & Tawakonie), Oklahoma	804
Swinomish Indians of the Swinomish Reservation, Washington	122	Winnebago Tribe of Nebraska	383
Sycuan Band of the Kumeyaay Nation	594	Winnemucca Indian Colony of Nevada	659
Table Mountain Rancheria of California	551	Wiyot Tribe, California (formerly the Table Bluff Reservation—Wiyot Tribe)	565
Te-Moak Tribe of Western Shoshone Indians of Nevada (Four constituent bands: Battle Mountain Band; Elko Band; South Fork Band and Wells Band)	640	Wyandotte Nation, Oklahoma	924
Thlopthlocco Tribal Town, Oklahoma	903	Yankton Sioux Tribe of South Dakota	346
Three Affiliated Tribes of the Fort Berthold Reservation, North Dakota	301	Yavapai-Apache Nation of the Camp Verde Indian	601
Tohono O'odham Nation of Arizona	610		
Tonawanda Band of Seneca Indians of New York	8		
Tonkawa Tribe of Indians of Oklahoma	814		
Tonto Apache Tribe of Arizona	674		

*Table III. Bureau of Indian Affairs (BIA) Tribal Codes*

<b>Indian Country Name</b>	<b>BIA Tribal Code</b>
Reservation, Arizona	
Yavapai-Prescott Tribe of the Yavapai Reservation, Arizona	618
Yerington Paiute Tribe of the Yerington Colony & Campbell Ranch, Nevada	660
Yocha Dehe Wintun Nation, California (formerly the Rumsey Indian Rancheria of Wintun Indians of California)	541
Yomba Shoshone Tribe of the Yomba Reservation, Nevada	661
Ysleta Del Sur Pueblo of Texas	725
Yurok Tribe of the Yurok Reservation, California	562
Zuni Tribe of the Zuni Reservation, New Mexico	721



## Table IV. Removal and Destruction Rates for POTWs

When completing Section 8 of the Form R, facilities should use their best readily available information to determine the final disposition of toxic chemical sent to the publicly owned treatment works (POTW). Table VI presents data from EPA's Risk-Screening Environmental Indicators (RSEI) model that can be used to assist with these calculations.

To predict the fate and transport of TRI chemicals, the RSEI model uses estimates of chemical removal efficiencies at POTWs and of the ultimate fate of the chemical amount removed. The amount of the chemical removed is divided into the percentages removed by (1) sorbing to sludge, (2) volatilizing into the air or (3) being biodegraded by microorganisms. Table IV assigns the portion of the influent diverted to sludge to Section 8.1c (off-site disposal to landfills and Class I UIC wells), the portion volatilizing into the air to Section 8.1d (other off-site releases), and the portion being biodegraded to Section 8.7 (off-site treatment). The percentage of the influent chemical that passes through the POTW and is not removed is also assigned to Section 8.1d.

POTW removal efficiencies are a function of many factors, including the treatment technology in place at the POTW. Information about the final disposition of chemicals at the specific POTW in question should therefore be used in place of the percentages in Table IV if available.

For chemicals not included in this table, the default assumption is that 100% of the chemical sent to the POTW is treated for destruction (except for metals, which for which the default is that 100% of the chemical is released).

CAS Number	Chemical Name	% of §6.1 to §:		
		8.1c	8.1d	8.7
<b>Arranged by CAS Number</b>				
50-00-0	Formaldehyde	0	8	92
51-03-6	Piperonyl butoxide	39	3	58
51-21-8	Fluorouracil	1	55	44
51-28-5	2,4-Dinitrophenol	1	24	75
51-79-6	Urethane (Ethyl carbamate)	1	55	44
52-68-6	Trichlorfon	0	8	92
53-96-3	2-Acetylaminofluorene	5	42	53
55-63-0	Nitroglycerin	1	24	75
56-23-5	Carbon tetrachloride	2	88	10
56-38-2	Parathion	9	2	89
57-14-7	1,1-Dimethyl hydrazine	1	25	74
57-33-0	Pentobarbital sodium	2	53	45
57-41-0	Phenytoin	2	51	47
57-74-9	Chlordane	61	1	38
58-89-9	Lindane	13	24	63
60-09-3	4-Aminoazobenzene	8	35	57
60-11-7	4-Dimethylaminoazobenzene	35	5	60
60-34-4	Methyl hydrazine	1	25	74
60-35-5	Acetamide	0	8	92
60-51-5	Dimethoate	1	55	44
61-82-5	Amitrole	1	55	44
62-53-3	Aniline	0	8	92
62-55-5	Thioacetamide	1	55	44
62-56-6	Thiourea	1	25	74
62-73-7	Dichlorvos	1	25	74
62-74-8	Sodium fluoroacetate	1	25	74
63-25-2	Carbaryl	1	12	87
64-18-6	Formic acid	0	8	92
64-67-5	Diethyl sulfate	0	5	95
64-75-5	Tetracycline hydrochloride	1	55	44
67-56-1	Methanol	0	8	92
67-66-3	Chloroform	1	73	26
67-72-1	Hexachloroethane	18	56	26
68-12-2	N,N-Dimethylformamide	0	8	92
70-30-4	Hexachlorophene	62	1	37
71-36-3	n-Butyl alcohol	0	8	92
71-43-2	Benzene	1	23	76
71-55-6	1,1,1-trichloroethane	1	95	4
72-43-5	Methoxychlor	45	2	53
72-57-1	Trypan blue	1	55	44
74-83-9	Bromomethane	0	80	20
74-85-1	Ethylene	0	92	8
74-87-3	Chloromethane	1	59	40
74-88-4	Methyl iodide	1	78	21
74-90-8	Hydrogen cyanide	2	98	0
74-95-3	Methylene bromide	1	61	38
75-00-3	Chloroethane	1	85	14
75-01-4	Vinyl chloride	0	92	8
75-05-8	Acetonitrile	1	25	74
75-07-0	Acetaldehyde	0	9	91

*Table IV. Removal and Destruction Rates for POTWs*

CAS	Chemical Name	% of §6.1 to §:			CAS	Chemical Name	% of §6.1 to §:		
75-09-2	Dichloromethane	1	44	55	79-19-6	Thiosemicarbazide	1	55	44
75-15-0	Carbon disulfide	1	87	12	79-21-0	Peracetic acid	0	8	92
75-21-8	Ethylene oxide	0	9	91	79-22-1	Methyl chlorocarbonate	0	1	99
75-25-2	Bromoform	2	57	41	79-34-5	1,1,2,2-Tetrachloroethane	2	78	20
75-27-4	Dichlorobromomethane	1	68	31	79-44-7	Dimethylcarbamyl chloride	0	0	100
75-34-3	Ethylidene dichloride	1	78	21	79-46-9	2-Nitropropane	1	26	73
75-35-4	Vinylidene chloride	1	91	8	80-05-7	4,4'-Isopropylidenediphenol	5	14	81
75-43-4	Dichlorofluoromethane	1	91	8	80-15-9	Cumene hydroperoxide	1	24	75
75-44-5	Phosgene	0	0	100	80-62-6	Methyl methacrylate	0	10	90
75-45-6	Chlorodifluoromethane	1	88	11	81-07-2	Saccharin (only persons who manufacture are subject, no supplier notification)	1	25	74
75-55-8	Propyleneimine	1	25	74	82-68-8	Quintozene	43	11	46
75-56-9	Propylene oxide	0	9	91	84-74-2	Dibutyl phthalate	29	1	70
75-63-8	Bromotrifluoromethane	0	99	1	85-01-8	Phenanthrene	32	6	62
75-65-0	tert-Butyl alcohol	1	55	44	85-44-9	Phthalic anhydride	0	1	99
75-68-3	1-Chloro-1,1-difluoroethane	1	98	1	86-30-6	N-Nitrosodiphenylamine	5	42	53
75-69-4	Trichlorofluoromethane (CFC-11)	1	98	1	87-62-7	2,6-Xylidine	2	53	45
75-71-8	Dichlorodifluoromethane (CFC-12)	0	99	1	87-68-3	Hexachloro-1,3-butadiene	45	23	32
75-72-9	Chlorotrifluoromethane (CFC-13)	0	99	1	87-86-5	Pentachlorophenol (PCP)	54	4	42
75-86-5	2-Methylacetonitrile	0	0	100	88-06-2	2,4,6-Trichlorophenol	9	9	82
75-88-7	2-Chloro-1,1,1-trifluoroethane	0	99	1	88-75-5	2-Nitrophenol	1	59	40
76-01-7	Pentachloroethane	6	75	19	88-85-7	Dinitrobutyl phenol	12	54	34
76-06-2	Chloropicrin	1	88	11	88-89-1	Picric acid	1	78	21
76-13-1	Freon 113	3	96	1	90-04-0	o-Anisidine	1	25	74
76-14-2	Dichlorotetrafluoroethane (CFC-114)	2	97	1	90-43-7	2-Phenylphenol	3	5	92
76-15-3	Monochloropentafluoroethane (CFC-115)	1	98	1	91-08-7	Toluene-2,6-diisocyanate	2	1	97
76-44-8	Heptachlor	50	1	49	91-20-3	Naphthalene	4	6	90
76-87-9	Triphenyltin hydroxide	14	86	0	91-22-5	Quinoline	1	24	75
77-47-4	Hexachlorocyclopentadiene	44	11	45	91-59-8	beta-Naphthylamine	1	23	76
77-73-6	Dicyclopentadiene	7	84	9	91-94-1	3,3'-Dichlorobenzidine	9	32	59
77-78-1	Dimethyl sulfate	0	3	97	92-52-4	Biphenyl	10	2	88
78-48-8	S,S,S-Tributyltrithiophosphate (DEF)	37	0	63	92-67-1	4-Aminobiphenyl	3	47	50
78-84-2	Isobutyraldehyde	0	9	91	92-87-5	Benzidine	1	25	74
78-87-5	1,2-Dichloropropane	1	70	29	93-65-2	Mecoprop	5	42	53
78-88-6	2,3-Dichloropropene	1	67	32	94-11-1	2,4-D isopropyl ester	8	2	90
78-92-2	sec-Butyl alcohol	0	8	92	94-36-0	Benzoyl peroxide	5	3	92
79-00-5	1,1,2-Trichloroethane	1	82	17	94-58-6	Dihydrosafrole	10	30	60
79-01-6	Trichloroethylene	1	93	6	94-59-7	Safrole	8	34	58
79-06-1	Acrylamide	0	8	92	94-74-6	Methoxone ((4-Chloro-2-methylphenoxy) acetic acid) (MCPA)	6	39	55
79-10-7	Acrylic acid	0	8	92	94-75-7	2,4-D	2	6	92
79-11-8	Chloroacetic acid	0	8	92	94-80-4	2,4-D butyl ester	15	1	84

*Table IV. Removal and Destruction Rates for POTWs*

CAS	Chemical Name	% of §6.1 to §:			CAS	Chemical Name	% of §6.1 to §:		
95-47-6	o-Xylene	3	16	81	106-89-8	Epichlorohydrin	1	55	44
95-48-7	o-Cresol	0	8	92	106-93-4	1,2-Dibromoethane	1	60	39
95-50-1	1,2-Dichlorobenzene	7	47	46	106-99-0	1,3-Butadiene	1	86	13
95-53-4	o-Toluidine	0	94	6	107-02-8	Acrolein	0	9	91
95-54-5	1,2-Phenylenediamine	1	55	44	107-05-1	Allyl chloride	1	85	14
95-63-6	1,2,4-Trimethylbenzene	11	21	68	107-06-2	1,2-Dichloroethane	1	64	35
95-80-7	2,4-Diaminotoluene	1	55	44	107-11-9	Allylamine	1	25	74
95-95-4	2,4,5-Trichlorophenol	13	25	62	107-13-1	Acrylonitrile	0	9	91
96-09-3	Styrene oxide	1	25	74	107-18-6	Allyl alcohol	0	8	92
96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	4	72	24	107-19-7	Propargyl alcohol	0	8	92
96-18-4	1,2,3-Trichloropropane	2	56	42	107-21-1	Ethylene glycol	0	8	92
96-33-3	Methyl acrylate	0	9	91	107-30-2	Chloromethyl methyl ether	0	0	100
96-45-7	Ethylene thiourea	1	55	44	108-05-4	Vinyl acetate	0	11	89
98-07-7	Benzoic trichloride	0	0	100	108-10-1	Methyl isobutyl ketone	0	9	91
98-82-8	Cumene	7	13	80	108-31-6	Maleic anhydride	0	0	100
98-86-2	Acetophenone	0	8	92	108-38-3	m-Xylene	3	18	79
98-87-3	Benzal chloride	0	0	100	108-39-4	m-Cresol	0	8	92
98-88-4	Benzoyl chloride	0	0	100	108-45-2	1,3-Phenylenediamine	1	55	44
98-95-3	Nitrobenzene	0	8	92	108-60-1	Bis(2-chloro-1-methylethyl) ether	2	53	45
99-55-8	5-Nitro-o-toluidine	1	54	45	108-88-3	Toluene	1	23	76
99-65-0	m-Dinitrobenzene	1	54	45	108-90-7	Chlorobenzene	2	39	59
100-01-6	p-Nitroaniline	1	54	45	108-93-0	Cyclohexanol	0	9	91
100-02-7	4-Nitrophenol	0	93	7	108-95-2	Phenol	0	8	92
100-25-4	p-Dinitrobenzene	1	54	45	109-06-8	2-Methylpyridine	0	8	92
100-41-4	Ethylbenzene	3	45	52	109-77-3	Malononitrile	1	55	44
100-42-5	Styrene	2	13	85	109-86-4	2-Methoxyethanol	0	8	92
100-44-7	Benzyl chloride	1	27	72	110-54-3	n-Hexane	9	53	38
100-75-4	N-Nitrosopiperidine	1	55	44	110-57-6	trans-1,4-Dichloro-2-butene	2	27	71
101-05-3	Anilazine	16	19	65	110-80-5	2-Ethoxyethanol	0	8	92
101-14-4	4,4'-Methylenebis(2-chloroaniline) (MBOCA)	17	18	65	110-82-7	Cyclohexane	6	19	75
101-77-9	4,4'-Methylenedianiline	1	24	75	110-86-1	Pyridine	0	8	92
101-80-4	4,4'-Diaminodiphenyl ether	1	24	75	111-42-2	Diethanolamine	0	8	92
101-90-6	Diglycidyl resorcinol ether	1	25	74	111-44-4	Bis(2-chloroethyl) ether	2	78	20
105-67-9	2,4-Dimethylphenol	1	23	76	111-91-1	Bis(2-chloroethoxy) methane	1	78	21
106-42-3	p-Xylene	3	19	78	114-26-1	Propoxur	0	8	92
106-44-5	p-Cresol	0	8	92	115-07-1	Propylene (Propene)	0	91	9
106-46-7	1,4-Dichlorobenzene	7	49	44	115-32-2	Dicofol	44	2	54
106-47-8	p-Chloroaniline	1	54	45	116-06-3	Aldicarb	1	54	45
106-50-3	p-Phenylenediamine	1	55	44	117-79-3	2-Aminoanthraquinone	2	52	46
106-51-4	Quinone	1	59	40	117-81-7	Di(2-ethylhexyl) phthalate	38	0	62
106-88-7	1,2-Butylene oxide	0	27	73	118-74-1	Hexachlorobenzene	60	2	38
					119-90-4	3,3'-Dimethoxybenzidine	1	54	45

*Table IV. Removal and Destruction Rates for POTWs*

CAS	Chemical Name	% of §6.1 to §:			CAS	Chemical Name	% of §6.1 to §:		
119-93-7	3,3'-Dimethylbenzidine	1	23	76	149-30-4	2-Mercaptobenzothiazole (MBT)	2	52	46
120-12-7	Anthracene	31	8	61	150-50-5	Merphos	22	0	78
120-36-5	2,4-DP	8	34	58	151-56-4	Ethyleneimine (Aziridine)	1	55	44
120-58-1	Isosafrole	7	36	57	156-62-7	Calcium cyanamide	2	98	0
120-71-8	p-Cresidine	1	54	45	298-00-0	Methyl parathion	2	6	92
120-80-9	Catechol	0	8	92	300-76-5	Naled	1	25	74
120-82-1	1,2,4-Trichlorobenzene	19	22	59	302-01-2	Hydrazine	0	15	85
120-83-2	2,4-Dichlorophenol	3	5	92	306-83-2	2,2-Dichloro-1,1,1-trifluoroethane	1	98	1
121-14-2	2,4-Dinitrotoluene	1	54	45	309-00-2	Aldrin	62	1	37
121-44-8	Triethylamine	1	56	43	314-40-9	Bromacil	2	53	45
121-69-7	N,N-Dimethylaniline	2	53	45	330-54-1	Diuron	2	50	48
121-75-5	Malathion	1	7	92	330-55-2	Linuron	5	41	54
122-34-9	Simazine	2	77	21	333-41-5	Diazinon	12	7	81
122-39-4	Diphenylamine	7	12	81	353-59-3	Bromochlorodifluoromethane	1	98	1
122-66-7	1,2-Diphenylhydrazine	4	46	50	354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	3	84	13
123-31-9	Hydroquinone	0	8	92	354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	3	84	13
123-38-6	Propionaldehyde	0	9	91	354-23-4	1,2-Dichloro-1,1,2-trifluoroethane	1	98	1
123-63-7	Paraldehyde	1	55	44	354-25-6	1-Chloro-1,1,2,2-tetrafluoroethane	0	99	1
123-72-8	Butyraldehyde	0	9	91	357-57-3	Brucine	1	55	44
123-91-1	1,4-Dioxane	1	55	44	422-56-0	3,3-Dichloro-1,1,1,2,2-pentafluoropropane	3	96	1
124-40-3	Dimethylamine	0	8	92	460-35-5	3-Chloro-1,1,1-trifluoropropane	1	98	1
124-73-2	Dibromotetrafluoroethane	2	97	1	463-58-1	Carbonyl sulfide	0	84	16
126-98-7	Methacrylonitrile	1	27	72	465-73-6	Isodrin	62	1	37
126-99-8	Chloroprene	1	93	6	492-80-8	C.I. Solvent Yellow 34 (Auramine)	2	50	48
127-18-4	Tetrachloroethylene (Perchloroethylene)	6	87	7	505-60-2	Mustard gas	0	0	100
128-03-0	Potassium dimethyldithiocarbamate	1	28	71	507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane	3	96	1
128-04-1	Sodium dimethyldithiocarbamate	1	28	71	510-15-6	Chlorobenzilate	39	3	58
131-11-3	Dimethyl phthalate	0	8	92	528-29-0	o-Dinitrobenzene	1	54	45
132-64-9	Dibenzofuran	18	4	78	533-74-4	Dazomet	0	3	97
133-06-2	Captan	1	23	76	534-52-1	4,6-Dinitro-o-cresol	2	53	45
133-07-3	Folpet	2	20	78	540-59-0	1,2-Dichloroethylene	1	74	25
134-32-7	alpha-Naphthylamine	1	24	75	541-41-3	Ethyl chloroformate	1	43	56
136-45-8	Dipropyl isocinchomeronate	6	3	91	541-53-7	2,4-Dithiobiuret	1	51	48
137-26-8	Thiram	1	24	75	541-73-1	1,3-Dichlorobenzene	8	47	45
137-41-7	Potassium N-methyldithiocarbamate	0	27	73	542-75-6	1,3-Dichloropropylene	1	44	55
137-42-8	Metham sodium	0	27	73	542-76-7	3-Chloropropionitrile	1	55	44
139-13-9	Nitrilotriacetic acid	0	8	92	542-88-1	Bis(chloromethyl) ether	0	0	100
140-88-5	Ethyl acrylate	0	10	90	554-13-2	Lithium carbonate	2	98	0
141-32-2	Butyl acrylate	1	9	90	556-61-6	Methyl isothiocyanate	0	0	100
142-59-6	Nabam	0	10	90					
148-79-8	Thiabendazole	2	51	47					

*Table IV. Removal and Destruction Rates for POTWs*

CAS	Chemical Name	% of §6.1 to §:			CAS	Chemical Name	% of §6.1 to §:		
563-47-3	3-Chloro-2-methyl-1-propene	1	93	6	1918-16-7	Propachlor	1	24	75
584-84-9	Toluene-2,4-diisocyanate	2	1	97	1928-43-4	2,4-D 2-ethylhexyl ester	22	0	78
606-20-2	2,6-Dinitrotoluene	2	53	45	1929-73-3	2,4-D butoxyethyl ester	12	1	87
612-83-9	3,3'-Dichlorobenzidine dihydrochloride	9	32	59	1929-82-4	Nitrapyrin (2-Chloro-6-(trichloromethyl)pyridine)	7	36	57
621-64-7	N-Nitrosodi-n-propylamine	1	54	45	1982-69-0	Sodium dicamba	1	53	46
624-83-9	Methyl isocyanate	0	0	100	2164-07-0	Dipotassium endothall	1	24	75
630-20-6	1,1,1,2-Tetrachloroethane	3	82	15	2164-17-2	Fluometuron	2	52	46
636-21-5	o-Toluidine hydrochloride	1	54	45	2234-13-1	Octachloronaphthalene	62	1	37
684-93-5	N-Nitroso-N-methylurea	1	55	44	2300-66-5	Dimethylamine dicamba	1	54	45
709-98-8	Propanil (N-(3,4-Dichlorophenyl)propanamide)	4	44	52	2303-16-4	Diallate	21	14	65
759-73-9	N-Nitroso-N-ethylurea	1	55	44	2303-17-5	Triallate	35	5	60
759-94-4	Ethyl dipropylthiocarbamate (EPTC)	5	41	54	2312-35-8	Propargite	42	44	14
764-41-0	1,4-Dichloro-2-butene	1	84	15	2699-79-8	Sulfuryl fluoride	2	98	0
834-12-8	Ametryn	4	45	51	2702-72-9	2,4-D sodium salt	2	6	92
872-50-4	N-Methyl-2-pyrrolidone	0	8	92	2837-89-0	2-Chloro-1,1,1,2-tetrafluoroethane	0	99	1
924-42-5	N-Methylolacrylamide	0	8	92	2971-38-2	2,4-D chlorocrotyl ester	16	0	84
961-11-5	Tetrachlorvinphos	7	11	82	3383-96-8	Temphos	38	0	62
1120-71-4	Propane sultone	1	29	70	3653-48-3	Methoxone sodium salt ((4-Chloro-2-methylphenoxy) acetate sodium salt)	1	25	74
1163-19-5	Decabromodiphenyl oxide	62	1	37	4080-31-3	1-(3-Chloroallyl)-3,5,7-triaza-1-azoniaadamantane chloride	1	55	44
1313-27-5	Molybdenum trioxide	2	98	0	4170-30-3	Crotonaldehyde	0	10	90
1314-20-1	Thorium dioxide	90	10	0	4549-40-0	N-Nitrosomethylvinylamine	9	51	40
1319-77-3	Cresol (mixed isomers)	0	8	92	5234-68-4	Carboxin	1	24	75
1320-18-9	2,4-D propylene glycol butyl ether ester	15	0	85	7287-19-6	Prometryn	11	56	33
1330-20-7	Xylene (mixed isomers)	3	17	80	7429-90-5	Aluminum (fume or dust)	66	34	0
1336-36-3	Polychlorinated biphenyls (PCBs)	61	1	38	7439-92-1	Lead	63	37	NA
1344-28-1	Aluminum oxide (fibrous forms)	2	98	0	7439-96-5	Manganese	39	61	NA
1464-53-5	Diepoxybutane	1	25	74	7439-97-6	Mercury	69	31	NA
1563-66-2	Carbofuran	1	7	92	7440-02-0	Nickel	38	62	NA
1582-09-8	Trifluralin	57	3	40	7440-22-4	Silver	66	34	NA
1634-04-4	Methyl tert-butyl ether	1	60	39	7440-28-0	Thallium	54	46	NA
1649-08-7	1,2-Dichloro-1,1-difluoroethane	1	97	2	7440-36-0	Antimony	32	68	NA
1689-84-5	Bromoxynil	6	13	81	7440-38-2	Arsenic	49	51	NA
1689-99-2	Bromoxynil octanoate	38	0	62	7440-39-3	Barium	69	31	NA
1717-00-6	1,1-Dichloro-1-fluoroethane	1	96	3	7440-41-7	Beryllium	37	63	NA
1861-40-1	Benfluralin	56	3	41	7440-43-9	Cadmium	68	32	NA
1897-45-6	Chlorothalonil	3	18	79	7440-47-3	Chromium	76	24	NA
1910-42-5	Paraquat dichloride	1	55	44	7440-48-4	Cobalt	32	68	NA
1912-24-9	Atrazine	3	74	23	7440-50-8	Copper	72	28	NA
1918-00-9	Dicamba	1	53	46	7440-62-2	Vanadium (except when contained in an alloy)	32	68	NA
1918-02-1	Picloram	2	90	8	7440-66-6	Zinc (fume or dust)	66	34	NA



*Table IV. Removal and Destruction Rates for POTWs*

CAS	Chemical Name	% of §6.1 to §:			CAS	Chemical Name	% of §6.1 to §:		
7550-45-0	Titanium tetrachloride	2	98	0	25321-14-6	Dinitrotoluene (mixed isomers)	1	53	46
7632-00-0	Sodium nitrite	2	98	0	25321-22-6	Dichlorobenzene (mixed isomers)	8	47	45
7637-07-2	Boron trifluoride	2	98	0	25376-45-8	Diaminotoluene (mixed isomers)	1	78	21
7647-01-0	Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	0	0	100	26002-80-2	Phenothrin	38	0	62
7664-39-3	Hydrogen fluoride	2	98	0	26471-62-5	Toluene diisocyanate (mixed isomers)	2	1	97
7664-41-7	Ammonia	0	40	60	26628-22-8	Sodium azide	2	98	0
7664-93-9	Sulfuric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	0	0	100	28249-77-6	Thiobencarb	8	35	57
7697-37-2	Nitric acid	0	0	100	30560-19-1	Acephate	1	55	44
7723-14-0	Phosphorus (yellow or white)	60	40	0	34014-18-1	Tebuthiuron	2	77	21
7726-95-6	Bromine	2	98	0	34077-87-7	Dichlorotrifluoroethane	1	98	1
7758-01-2	Potassium bromate	2	98	0	35367-38-5	Diflubenzuron	13	6	81
7782-41-4	Fluorine	2	98	0	35554-44-0	Imazalil	15	21	64
7782-49-2	Selenium	44	56	NA	40487-42-1	Pendimethalin	47	1	52
7782-50-5	Chlorine	2	98	0	42874-03-3	Oxyfluorfen	39	3	58
7803-51-2	Phosphine	2	98	0	43121-43-3	Triadimefon	3	48	49
8001-35-2	Toxaphene	62	1	37	51235-04-2	Hexazinone	19	16	65
10028-15-6	Ozone	2	98	0	52645-53-1	Permethrin	38	0	62
10034-93-2	Hydrazine sulfate	2	98	0	53404-37-8	2,4-D 2-ethyl-4-methylpentyl ester	21	0	79
10049-04-4	Chlorine dioxide	2	98	0	55290-64-7	Dimethipin	1	55	44
10061-02-6	trans-1,3-Dichloropropene	1	31	68	55406-53-6	3-Iodo-2-propynyl butylcarbamate	1	23	76
10294-34-5	Boron trichloride	2	98	0	57213-69-1	Triclopyr triethylammonium salt	1	25	74
12122-67-7	Zineb	0	2	98	59669-26-0	Thiodicarb	1	24	75
12427-38-2	Maneb	2	98	0	60207-90-1	Propiconazole	9	32	59
13194-48-4	Ethoprop	10	29	61	62476-59-9	Acifluorfen, sodium salt	12	25	63
13684-56-5	Desmedipham	5	9	86	64902-72-3	Chlorsulfuron	1	54	45
15972-60-8	Alachlor	7	11	82	67485-29-4	Hydramethylnon	53	0	47
17804-35-2	Benomyl	1	49	50	68359-37-5	Cyfluthrin	38	0	62
19044-88-3	Oryzalin	3	49	48	71751-41-2	Abamectin	44	2	54
19666-30-9	Oxydiazon	40	3	57	72178-02-0	Fomesafen	3	47	50
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride (o-Dianisidine dihydrochloride)	1	55	44	77501-63-4	Lactofen	31	0	69
20816-12-0	Osmium tetroxide	2	98	0	82657-04-3	Bifenthrin	38	0	62
20859-73-8	Aluminum phosphide	2	98	0	88671-89-0	Myclobutanil	9	32	59
21087-64-9	Metribuzin	1	54	45	90982-32-4	Chlorimuron ethyl	1	23	76
21725-46-2	Cyanazine	2	76	22	101200-48-0	Tribenuron methyl	2	22	76
22781-23-3	Bendiocarb	1	23	76	127564-92-5	Dichloropentafluoropropane	3	96	1
23564-05-8	Thiophanate-methyl	1	25	74	N010	Antimony Compounds	32	68	NA
23950-58-5	Pronamide	10	30	60	N020	Arsenic Compounds	49	51	NA
					N040	Barium Compounds	69	31	NA
					N050	Beryllium Compounds	37	63	NA

**Table IV. Removal and Destruction Rates for POTWs**

CAS	Chemical Name	% of §6.1 to §:			CAS	Chemical Name	% of §6.1 to §:		
N078	Cadmium Compounds	68	32	NA	N530	Nonylphenol	60	2	38
N084	Chlorophenols	54	4	42	N590	Polycyclic Aromatic Compounds	92	7	1
N090	Chromium Compounds (except chromite ore mined in the transvaal region)	76	24	NA	N725	Selenium Compounds	44	56	NA
N096	Cobalt Compounds	32	68	NA	N740	Silver Compounds	66	34	NA
N100	Copper Compounds	72	28	NA	N746	Strychnine and salts	2	98	0
N106	Cyanide Compounds	2	98	0	N760	Thallium Compounds	54	46	NA
N171	Ethylenebisdithiocarbamic acid, salts and esters	2	98	0	N770	Vanadium Compounds	32	68	NA
N230	Certain Glycol Ethers	0	8	92	N874	Warfarin And Salts	3	97	0
N270	Hexabromocyclododecane	0	6	94	N982	Zinc Compounds	66	34	NA
N420	Lead Compounds	63	37	NA	<p><sup>a</sup> N511: Nitrate compounds (water dissociable) are reportable only when in aqueous solution. Removal of nitrate compounds from wastewater and/or aqueous solution therefore constitutes treatment for destruction for TRI reporting purposes. Data source for nitrate removal rate is US EPA. [2012]. EPIWEB- Estimation Programs Interface Suite™ for Microsoft® Windows, v 4.11. Sewage Treatment Plant Model (STPWIN). United States Environmental Protection Agency, Washington, DC.</p>				
N450	Manganese Compounds	39	61	NA					
N458	Mercury Compounds	69	31	NA					
N495	Nickel Compounds	38	62	NA					
N503	Nicotine and salts	2	98	0					
N511 <sup>a</sup>	Nitrate Compounds	0	10	90					

## Appendix A. TRI Federal Facility Reporting Information

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### Special Instructions for TRI Federal Facility Reporting

#### *A.1 Why Do Federal Facilities Need to Report?*

In 1993, Executive Order 12856, “Federal Compliance With Right-To-Know Laws and Pollution Prevention Requirements,” required federal agencies to comply with the Emergency Planning and Community Right-To-Know Act of 1986 (EPCRA) and the Pollution Prevention Act of 1990 (PPA). Subsequent Executive Orders have not changed this requirement. Federal facilities have been subject to EPCRA section 313 and PPA since reporting year 1994. TRI submissions are due to EPA on July 1 of the year following each reporting (calendar) year. Reporting by the federal facility does not alter the reporting obligation of on-site contractors. Contracts entered into after the date of this order for contractor operation of government-owned facilities or vehicles require the contractor to comply with the provisions of this order with respect to such facilities or vehicles to the same extent as the agency would be required to comply if the agency operated facilities or vehicles.

For more information on Executive Orders for federal facilities reporting to TRI, please refer to the implementing instructions which can be found on the TRI web page: <https://www.epa.gov/toxics-release-inventory-tri-program/tri-laws-rulemakings-and-notices>

#### *A.2 Identifying Federal Facility Reports*

Federal facility reports are identified as federal by several indicators on the form. The facility name and parent company name are critical indicators and must be reported as described below. Another critical indicator is the federal facility report box, Part I, 4.2c. Federal facilities only should check this box to indicate that the report is from a federal agency for a federal facility; federal facilities should not check the GOCO box, (Part I, Section 4.2d of the Form R). Contractors located at federal facilities (GOCOs) should check the GOCO box (Part I, Section 4.2d of the Form R); they should not check the box 4.2c. Facilities should also complete the partial or complete

facility blocks (Form R page 2, block 4.2a and 4.2b) as appropriate. If you are a federal facility reporting for the first time, you should write "new" in the TRI Facility ID (TRIFID) box, even if a contractor has reported for your facility in the past. The contractor will retain the original TRIFID. You will be assigned a new TRIFID the first time you report.

#### *A.3 The “Double Counting” Problem*

As structured, the law and the executive order require both regulated industries and the federal government to report TRI data, sometimes for the same site. In order to prevent duplicate data in the TRI database, which could result in “double counting” data for some chemicals and locations, EPA must be able to identify and distinguish the GOCO reports submitted by the federal contractor from the federal facility reports which contain data for the same site. To accomplish this, federal facility reports should be accompanied by either 1) exact electronic copies of all contractor TRI reports, including when the totals reported by the federal facility are greater than those reported by the contractor(s), or 2) a cover letter with a list of the facility contractors that submit TRI reports to EPA, identifying each contractor by name, TRI technical contact, and TRI facility name and address. Additionally, federal facilities should check Form R, Part I, Section 4.2c, while contractors at federal facilities should check Form R, Part I, Section 4.2d.

#### *A.4 How to Report Your Facility Name*

Facility name is a critical data element. It is used by EPA to create the TRI facility ID number (TRIFID), which is a unique number designed to identify a facility site. The facility name and TRIFID number are used by all TRI data users to link data from a single site across multiple reporting years. A federal facility is assigned a new TRIFID number when the federal report is entered into the Toxics Release Inventory system for the first time. This TRIFID number, generated when the first report is entered into the Toxics Release Inventory System, will be included in future reporting packages sent to the federal facility, and should be used by the federal facility in all future reports.

Federal facilities should report their facility name in Section 4.1 as shown in the following example:

US DOE Savannah River Site

It is very important that the agency name appear first, followed by the specific plant or site name.

Federal contractors at GOCO facilities should report their names as shown in the following example:

US DOE Savannah River Site -  
Westinghouse Operations.

### ***A.5 How to Report Your North American Industry Classification System (NAICS) Code***

Federal facilities should report the NAICS code which most closely represents the activities taking place at the site. Section A.10 lists the Public Administration NAICS codes covering executive, legislative, judicial, administrative and regulatory activities of the Federal government. Government-owned and operated business establishments are classified in major NAICS groups according to the activity in which they are engaged. For example, a Veterans Hospital would be classified in Group 806 - Hospitals.

### ***A.6 How to Report Your “Parent Company” Name***

Federal facilities should report their parent company name on page 2 of the Form Rs (Section 5.1) by reporting their complete Department or Agency name, as shown in the following example:

US Department of Energy

Block 5.2, Parent Company’s Dun & Bradstreet Number, should be marked NA.

Federal contractors at GOCO facilities should not report a federal department or agency name as their parent company. A federal name in the parent company name field will classify the report as federal, and the GOCO may be identified as a non-reporter.

### ***A.7 How to Revise Your Data After It Has Been Submitted***

Effective January 21, 2013, facilities may only revise TRI reporting forms submitted for Reporting Year 1991 through the current reporting year. Use TRI-

MEweb to submit revisions to non-trade secret TRI submissions.

If you have questions about using TRI-MEweb to revise your Form R/A, please refer to the TRI-MEweb tutorial page at: <https://www.epa.gov/toxics-release-inventory-tri-program/training>.

Facilities may request a revision for one or more of the following reasons:

Revision codes:

- RR1 - New Monitoring Data
- RR2 - New Emission Factor(s)
- RR3 - New Chemical Concentration Data
- RR4 - Recalculation(s)
- RR5 - Other Reason(s)

Please note that late submissions for chemicals not reported in a previous reporting year are not considered revisions for that year.

Facilities are reminded that there is a legal obligation to file an accurate and complete Form R or Form A report for each chemical by July 1 each year. EPA may take enforcement action and assess civil administrative penalties regarding corrections to errors in Form R reports that are not changes based on previously unavailable information or procedures which improve the accuracy of the data initially reported. The kinds of errors which may result in enforcement and in penalties include but are not limited to the following: (1) Errors caused by not using the most readily available information, for example, not using monitoring data collected for compliance with other regulations in calculating releases; (2) omitting a major source of emissions; (3) a mathematical or transcription or typographical error which seriously compromises the accuracy of the information, and; (4) other errors which seriously affect the utility of the data, particularly errors in release reporting for which the facility has no records showing the derivation of the release calculation, and cannot provide a sufficient explanation of the report.

### **How do I revise my submission(s)?**

If you plan to revise a TRI submission, you must send revised report(s) to EPA and the appropriate state or tribal agency.

You must use TRI-MEweb to submit revisions to non-trade secret TRI submissions. EPA will only

accept revisions for Reporting Year 1991 through the current year.

### **A.8 Who Should Sign Federal Form R Reports?**

Federal Form R reports should be certified by the senior federal employee on-site. If no federal employee is on-site, federal Form R reports must be certified by the senior federal employee with management responsibility for the site. Federal Form R reports should be certified by a federal employee. Contractor employee certifications are not considered valid on federal reports.

### **A.9 More Help is Available!**

Federal facilities may call the EPA/TRI Information Center to ask specific questions concerning how to submit their Form R report. For contact information, see the "Contact Us" link on the TRI Home Page at <http://www.epa.gov/tri>. Additional information may also be found in the Federal Facilities guidance document at: [https://ofmpub.epa.gov/apex/guideme\\_ext/f?p=guide:me:gd::::gd:1999ff](https://ofmpub.epa.gov/apex/guideme_ext/f?p=guide:me:gd::::gd:1999ff).

### **A.10 North American Industry Classification System Codes 921-928**

#### **Sector 92 - Public Administration**

#### **921 Executive, Legislative, and Other General Government Support**

- 92111 Executive Offices
- 92112 Legislative Bodies
- 92113 Public Finance Activities
- 92114 Executive and Legislative Offices Combined
- 92115 American Indian and Alaska Native Tribal Governments
- 92119 Other General Government Support

#### **922 Justice, Public Order, and Safety Activities**

- 92211 Courts
- 92212 Police Protection
- 92213 Legal Counsel and Prosecution
- 92214 Correctional Institutions
- 92215 Parole Offices and Probation Offices
- 92216 Fire Protection
- 92219 Other Justice, Public Order and Safety Activities

#### **923 Administration of Human Resource Programs**

- 92311 Administration of Educational Programs
- 92312 Administration of Public Health Programs
- 92313 Administration of Human Resource Programs (Except Education, Public Health, and Veterans' Affairs Programs)
- 92314 Administration of Veterans Affairs

#### **924 Administration of Environmental Quality Programs**

- 92411 Administration of Air and Water Resource and Solid Waste Management Programs
- 92412 Administration of Conservation Programs

#### **925 Administration of Housing Programs, Urban Planning, and Community Development**

- 92511 Administration of Housing Programs
- 92512 Administration of Urban Planning and Community and Rural Development

#### **926 Administration of Economic Programs**

- 92611 Administration of General Economic Programs
- 92612 Regulation and Administration of Transportation Programs
- 92613 Regulation and Administration of Communications, Electric, Gas, and Other Utilities
- 92614 Regulation of Agricultural Marketing and Commodities
- 92615 Regulation, Licensing, and Inspection of Miscellaneous Commercial Sectors

#### **927 Space Research and Technology**

- 92711 Space Research and Technology

#### **928 National Security and International Affairs**

- 92811 National Security
- 92812 International Affairs



# Appendix B. Reporting Codes for EPA Form R and Instructions for Reporting Metals

## B.1 Form R Part II

### Revision Codes:

- RR1 New Monitoring Data
- RR2 New Emission Factor(s)
- RR3 New Chemical Concentration Data
- RR4 Recalculation(s)
- RR5 Other Reason(s)

### Withdrawal Codes:

- WT1 Did not meet the reporting threshold for manufacturing, processing, or otherwise use
- WT2 Did not meet the reporting threshold for number of employees
- WT3 Not in a covered NAICS Code
- WO1 Other reason(s)

### Section 1.1. CAS Number

### EPCRA Section 313 Chemical Category Codes

- N010 Antimony compounds
- N020 Arsenic compounds
- N040 Barium compounds
- N050 Beryllium compounds
- N078 Cadmium compounds
- N084 Chlorophenols
- N090 Chromium compounds
- N096 Cobalt compounds
- N100 Copper compounds
- N106 Cyanide compounds
- N120 Diisocyanates
- N150 Dioxin and dioxin-like compounds
- N171 Ethylenedisithiocarbamic acid, salts and esters (EBDCs)
- N230 Certain glycol ethers
- N420 Lead compounds
- N450 Manganese compounds
- N458 Mercury compounds
- N495 Nickel compounds
- N503 Nicotine and salts
- N511 Nitrate compounds
- N575 Polybrominated biphenyls (PBBs)
- N583 Polychlorinated alkanes
- N590 Polycyclic aromatic compounds
- N725 Selenium compounds
- N740 Silver compounds
- N746 Strychnine and salts

- N760 Thallium compounds
- N770 Vanadium compounds
- N874 Warfarin and salts
- N982 Zinc compounds

### Section 4. Maximum Amount of the Toxic Chemical On-Site at Any Time During the Calendar Year

Range Code	Range (pounds)	
	From	To
01	0	99
02	100	999
03	1,000	9,999
04	10,000	99,999
05	100,000	999,999
06	1,000,000	9,999,999
07	10,000,000	49,999,999
08	50,000,000	99,999,999
09	100,000,000	499,999,999
10	500,000,000	999,999,999
11	1 billion	more than 1 billion

### Section 5. Quantity of the Non-PBT Chemical Entering Each Environmental Medium On-site and Section 6. Transfers of the Toxic Chemical in Wastes to Off-Site Locations

Code	Total Release or Transfer Range (pounds)	
	From	To
A	1-10	
B	11-499	
C	500-999	

### Basis of Estimate

- M1- Estimate is based on continuous monitoring data or measurements for the EPCRA section 313 chemical.
- M2- Estimate is based on periodic or random monitoring data or measurements for the EPCRA section 313 chemical.
- C- Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA section 313

chemical in streams entering and leaving process equipment.

- E1- Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- E2- Estimate is based on site specific emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- O- Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

**Section 6. Transfers of the Toxic Chemical in Wastes to Off-Site Locations**

**Type of Disposal (POTW)**

- P30 Discharged to Water Stream
- P31 Discharged to Other Activities
- P32 Released to Air
- P33 Sludge to disposal
- P34 Sludge to incineration
- P35 Sludge to agricultural applications
- P36 Other or Unknown Disposal

**Type of Waste Disposal/Treatment/Energy Recovery/Recycling**

- M10 Storage Only
- M20 Solvents/Organics Recovery
- M24 Metals Recovery
- M26 Other Reuse or Recovery
- M28 Acid Regeneration
- M40 Solidification/Stabilization
- M41 Solidification/Stabilization-Metals and Metal Category Compounds only
- M50 Incineration/Thermal Treatment
- M54 Incineration/Insignificant Fuel Value
- M56 Energy Recovery
- M61 Wastewater Treatment (Excluding POTW)
- M62 Wastewater Treatment (Excluding POTW) - Metals and Metal Category Compounds only
- M64 Other Landfills
- M65 RCRA Subtitle C Landfills

- M66 Subtitle C Surface Impoundment
- M67 Other Surface Impoundments
- M69 Other Waste Treatment
- M73 Land Treatment
- M79 Other Land Disposal
- M81 Underground Injection to Class I Wells
- M82 Underground Injection to Class II-V Wells
- M90 Other Off-Site Management
- M92 Transfer to Waste Broker - Energy Recovery
- M93 Transfer to Waste Broker - Recycling
- M94 Transfer to Waste Broker - Disposal
- M95 Transfer to Waste Broker - Waste Treatment
- M99 Unknown

**Section 7A. On-Site Waste Treatment Methods and Efficiency**

**General Waste Stream**

- A Gaseous (gases, vapors, airborne particulates)
- W Wastewater (aqueous waste)
- L Liquid waste streams (non-aqueous waste)
- S Solid waste streams (including sludges and slurries)

**Waste Treatment Methods**

**Air Emissions Treatment**

- A01 Flare
- A02 Condenser
- A03 Scrubber
- A04 Absorber
- A05 Electrostatic Precipitator
- A06 Mechanical Separation
- A07 Other Air Emission Treatment

**Chemical Treatment**

- H040 Incineration--thermal destruction other than use as a fuel
- H071 Chemical reduction with or without precipitation
- H073 Cyanide destruction with or without precipitation
- H075 Chemical oxidation
- H076 Wet air oxidation
- H077 Other chemical precipitation with or without pre-treatment

**Biological Treatment**

- H081 Biological treatment with or without precipitation

**Physical Treatment**

- H082 Adsorption
- H083 Air or steam stripping
- H101 Sludge treatment and/or dewatering
- H103 Absorption
- H111 Stabilization or chemical fixation prior to disposal
- H112 Macro-encapsulation prior to disposal
- H121 Neutralization
- H122 Evaporation
- H123 Settling or clarification
- H124 Phase separation
- H129 Other treatment

**Section 7B. On-Site Energy Recovery Processes**

- U01 Industrial Kiln
- U02 Industrial Furnace
- U03 Industrial Boiler

**Section 7C. On-Site Recycling Processes**

- H10 Metal recovery (by retorting, smelting, or chemical or physical extraction)
- H20 Solvent recovery (including distillation, evaporation, fractionation or extraction)
- H39 Other recovery or reclamation for reuse (including acid regeneration or other chemical reaction process)

**Section 8.10. Source Reduction Activity Codes Good Operating Practices**

- W13 Improved maintenance scheduling, record keeping, or procedures
- W14 Changed production schedule to minimize equipment and feedstock changeovers
- W15 Introduced in-line product quality monitoring or other process analysis system
- W19 Other changes in operating practices

**Inventory Control**

- W21 Instituted procedures to ensure that materials do not stay in inventory beyond shelf-life
- W22 Began to test outdated material - continue to use if still effective
- W23 Eliminated shelf-life requirements for stable materials
- W24 Instituted better labeling procedures
- W25 Instituted clearinghouse to exchange materials that would otherwise be discarded
- W29 Other changes in inventory control

**Spill and Leak Prevention**

- W31 Improved storage or stacking procedures
- W32 Improved procedures for loading, unloading, and transfer operations
- W33 Installed overflow alarms or automatic shut-off valves
- W35 Installed vapor recovery systems
- W36 Implemented inspection or monitoring program of potential spill or leak sources
- W39 Other changes made in spill and leak prevention

**Raw Material Modifications**

- W41 Increased purity of raw materials
- W42 Substituted raw materials
- W43 Substituted a feedstock or reagent chemical with a different chemical
- W49 Other raw material modifications made

**Process Modifications**

- W50 Optimized reaction conditions or otherwise increased efficiency of synthesis
- W51 Instituted recirculation within a process
- W52 Modified equipment, layout, or piping
- W53 Use of a different process catalyst
- W54 Instituted better controls on operating bulk containers to minimize discarding of empty containers
- W55 Changed from small volume containers to bulk containers to minimize discarding of empty containers
- W56 Reduced or eliminated use of an organic solvent
- W57 Used biotechnology in manufacturing process
- W58 Other process modifications

**Cleaning and Degreasing**

- W59 Modified stripping/cleaning equipment
- W60 Changed to mechanical stripping/cleaning devices (from solvents or other materials)
- W61 Changed to aqueous cleaners (from solvents or other materials)
- W63 Modified containment procedures for cleaning units
- W64 Improved draining procedures
- W65 Redesigned parts racks to reduce drag out
- W66 Modified or installed rinse systems
- W67 Improved rinse equipment design
- W68 Improved rinse equipment operation
- W71 Other cleaning and degreasing modifications

**Surface Preparation and Finishing**

- W72 Modified spray systems or equipment
- W73 Substituted coating materials used
- W74 Improved application techniques
- W75 Changed from spray to other system
- W78 Other surface preparation and finishing modifications

**Product Modifications**

- W81 Changed product specifications
- W82 Modified design or composition of products
- W83 Modified packaging
- W84 Developed a new chemical product to replace a previous chemical product
- W89 Other product modifications

**Section 8.10. Methods Used to Identify Source Reduction Activities**

For each source reduction activity, enter up to three of the following codes that correspond to the method(s)

which contributed most to the decision to implement that activity.

- T01 Internal Pollution Prevention Opportunity Audit(s)
- T02 External Pollution Prevention Opportunity Audit(s)
- T03 Materials Balance Audits
- T04 Participative Team Management
- T05 Employee Recommendation (independent of a formal company program)
- T06 Employee Recommendation (under a formal company program)
- T07 State Government Technical Assistance Program
- T08 Federal Government Technical Assistance Program
- T09 Trade Association/Industry Technical Assistance Program
- T10 Vendor Assistance
- T11 Other

## B.2 Reporting the Waste Management of Metals

This appendix outlines how the TRI-MEweb reporting software restricts reporting for metals when the specific data element or waste management code is not applicable for a particular chemical. Below is a list of metals divided into four groups along with charts that help explain where quantities of these chemicals can and cannot be reported on the Form R using TRI-MEweb. In addition, there are charts that explain restrictions on reporting waste management codes for the toxic chemicals in each of the four groups. This appendix only shows where reporting is restricted in TRI-MEweb, it does not indicate every situation where a metal should not be reported in a specific section of the form. For example, TRI-MEweb does not restrict the reporting of most individually-listed metal compounds as used for energy recovery (Sections 8.2 and 8.3) even though some of these chemicals do not have a heat value greater than 5000 British thermal units (Btu) and, thus, cannot be combusted for energy recovery. It is left to the facility to decide which of these toxic chemicals can be used for energy recovery. If you are not using TRI-MEweb this appendix can serve as a guide to help you understand where it is not appropriate to report certain quantities of toxic chemicals or waste management codes on your Form R.

### Parent Metals:

Antimony  
Arsenic  
Barium  
Beryllium  
Cadmium  
Chromium  
Cobalt  
Copper  
Lead  
Manganese  
Mercury  
Nickel  
Selenium  
Silver  
Thallium

### Metal Compound Categories:

Antimony Compounds  
Arsenic Compounds  
Barium Compounds  
Beryllium Compounds  
Cadmium Compounds  
Chromium Compounds  
Cobalt Compounds  
Copper Compounds  
Lead Compounds  
Manganese Compounds  
Mercury Compounds  
Nickel Compounds  
Selenium Compounds  
Silver Compounds  
Thallium Compounds  
Vanadium Compounds  
Zinc Compounds

### Metals with Qualifiers:

Aluminum (fume or dust)  
Vanadium (except when in an alloy)  
Zinc (fume or dust)

### Individually-Listed Metal Compounds:

Bis(tributyltin) oxide  
Triphenyltin hydroxide  
Triphenyltin chloride  
Molybdenum trioxide  
Thorium dioxide  
Asbestos (friable)  
Aluminum oxide (fibrous forms)  
Tributyltin fluoride

Tributyltin methacrylate  
Titanium tetrachloride  
Boron trifluoride  
Metiram  
Boron trichloride  
Zineb  
Maneb  
Fenbutatin oxide  
Iron pentacarbonyl  
Ferbam  
C.I. Direct Brown 95  
Osmium tetroxide  
Aluminum phosphide  
C.I. Direct Blue 218

## Sections 5.3 - Discharges to Water and 6.1 - Transfers to POTWs

The following chart indicates which metals can be reported as released to water in Section 5.3 or to POTW's in Section 6.1. Only zinc (fume or dust) and aluminum (fume or dust) are not reported in these sections because the fume or dust form of a toxic chemical cannot exist in water.

Form R Section in Part II	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
Section 5.3 - Discharges to receiving streams or water bodies	All	All	Vanadium (except when contained in an alloy)	All except Asbestos
Section 6.1- Discharges to POTWs	All	All	Vanadium (except when contained in an alloy)	All except Asbestos



## Section 6.2. Transfers to Other Off-Site Locations

Any toxic chemical may be reported in Section 6.2. However, TRI-MEweb will not allow certain M codes to be used when reporting metals. The chart below indicates which M codes can be reported in Section 6.2 for the four groups of metals. Note that all disposal M codes other than M41 and M62 can be used for all toxic chemicals. Code M24 is only made available for the four groups of metals.

Waste Management Code for Section 6.2	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
M41 and M62 (disposal codes-for metals only)	All	All	Vanadium (except when contained in an alloy)	All except Asbestos
M56 and M92 (energy recovery codes)	None	None	None	All except Asbestos <sup>1</sup>
M20 and M28 (recycling codes)	None	None	None	All
M24, M26 and M93 (recycling codes)	All	All	All	All
M40, M50, M54, (treatment codes)	None	None	All except Vanadium (except when contained in an alloy)	All
M61, M69, M95 (treatment codes)	Barium <sup>2</sup>	Barium Compounds <sup>2</sup>	Same as above	All

<sup>1</sup> Although TRI-MEweb does not restrict reporting of most individually-listed metal compounds as transferred off site for energy recovery, only chemicals with a heat value greater than 5000 British thermal units that are combusted in a device that is an industrial furnace or boiler (40 CFR Section 372.3) should be reported as used for energy recovery.

<sup>2</sup> The toxic chemical category barium compounds (N040) does not include barium sulfate. Because barium sulfate is not a listed toxic chemical, the conversion in a waste stream of barium or barium compound to barium sulfate is considered treatment for destruction (40 CFR Section 372.3).

## Section 7A. On-site Waste Treatment Methods and Efficiency

TRI-MEweb allows any toxic chemical to be reported in Section 7A, however, it limits reporting in two ways. First, TRI-MEweb limits the treatment codes that can be reported based on the General Waste Stream Code selected. If a TRI-MEweb user selects General Waste Stream code “A – Gaseous”, all Waste Treatment Codes are made available. However, if a user selects from the remaining three General Waste Stream Codes (W - Wastewater, L - Liquid waste streams, or S - Solid waste streams), the “Air Emissions Treatment” Waste Treatment Codes are not made available. Second, the software restricts reporting for certain toxic chemicals with qualifiers. When reporting zinc (fume or dust) or aluminum (fume or dust) TRI-MEweb will not allow the user to select General Waste Stream Codes W-Wastewater and L-Liquid waste streams because the fume or dust form of a toxic chemical cannot exist in a liquid or water waste. For asbestos (friable) only S - Solid or A - Gaseous can be selected. When reporting hydrochloric acid (acid aerosols) or sulfuric acid (acid aerosols) only A - Gaseous can be selected.

## Section 7B. On-site Energy Recovery Processes

The chart below indicates which energy recovery codes can be reported in TRI-MEweb in Section 7B for the four groups of metals.

Energy Recovery Code for Section 7B	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
U01, U02, U03	None	None	None	All except Asbestos <sup>1</sup>

<sup>1</sup> Although TRI-MEweb does not restrict reporting of most individually-listed metal compounds as transferred off site for energy recovery, only chemicals with a heat value greater than 5000 British thermal units that are combusted in a device that is an industrial furnace or boiler (40 CFR Section 372.3) should be reported as used for energy recovery.

### Section 7C. On-site Recycling Processes

Any chemical can be reported in Section 7C. However, certain waste management codes should not be reported for certain toxic chemicals. The chart below indicates which codes can be reported in Section 7C when using TRI-MEweb.

Recycling Code for Section 7C	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
H10 (this code is for metals only)	All	All	All	All
H20	None	None	None	All
H39	All	All	All	All

### Section 8. Source Reduction and Recycling Activities

The chart below indicates which metals can be reported in Sections 8.2, 8.3, 8.6 and 8.7 of the Form R when using TRI-MEweb. Note that all toxic chemicals can be reported in Sections 8.1, 8.4, 8.5 and 8.8.

Waste Management Activity	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
Quantity used for energy recovery on site and off site (Sections 8.2 and 8.3)	None	None	None	All except Asbestos <sup>1</sup>
Quantity treated for destruction on site and off site (Sections 8.6 and 8.7)	None except Barium <sup>2</sup>	None except Barium Compounds <sup>2</sup>	All except Vanadium (except when contained in an alloy)	All

<sup>1</sup> Although TRI-MEweb does not restrict reporting of most individually-listed metal compounds as transferred off site for energy recovery, only chemicals with a heat value greater than 5000 British thermal units that are combusted in a device that is an industrial furnace or boiler (40 CFR Section 372.3) should be reported as used for energy recovery.

<sup>2</sup> The toxic chemical category barium compounds (N040) does not include barium sulfate. Because barium sulfate is not a listed toxic chemical, the conversion in a waste stream of barium or barium compound to barium sulfate is considered treatment for destruction (40 CFR Section 372.3).

## Appendix C. Supplier Notification Requirements

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EPA requires some suppliers of mixtures or other trade name products containing one or more of the EPCRA section 313 chemicals to notify their customers. This requirement has been in effect since January 1, 1989.

This appendix explains which suppliers must notify their customers, who must be notified, what form the notice must take, and when it must be sent.

### ***C.1 Who Must Supply Notification***

You are covered by the section 313 supplier notification requirements if you own or operate a facility which meets all of the following criteria:

1. Your facility is in a North American Industry Classification System (NAICS) code that corresponds to Standard Industrial Classification [SIC] codes 20–39;
2. You manufacture (including import) or process an EPCRA section 313 chemical; and
3. You sell or otherwise distribute a mixture or other trade name product containing the EPCRA section 313 chemical to either:
  - A facility in a covered NAICS code (see Table I).
  - A person that then may sell the same mixture or other trade name product to a firm in a covered NAICS code (see Table I).

Note that you may be covered by the supplier notification rules even if you are not covered by the section 313 release reporting requirements. For example, even if you have fewer than 10 fulltime employees or do not manufacture or process any of the EPCRA section 313 chemicals in sufficient quantities to trigger the release and other waste management reporting requirements, you may still be required to notify certain customers.

### ***C.2 Who Must Be Notified***

Industries whose primary NAICS code does not correspond to SIC codes 20 through 39 are not required to initiate the distribution of notifications for EPCRA section 313 chemicals in mixtures or other trade name products that they send to their customers.

However, if these facilities receive notifications from their suppliers about EPCRA section 313 chemicals in mixtures or other trade name products, they should forward the notifications with the EPCRA section 313 chemicals they send to other covered users.

An example would be if you sold a lacquer containing toluene to distributors who then may sell the product to other manufacturers. The distributors are not in a covered NAICS code, but because they sell the product to companies in covered NAICS codes, they must be notified so that they may pass the notice along to their customers, as required.

The language of the supplier notification requirements covers mixtures or other trade name products that are sold or otherwise distributed. The “otherwise distributes” language includes intra-company transfers and, therefore, the supplier notification requirements at 40 CFR Section 372.45 apply.

### ***C.3 Supplier Notification Content***

The supplier notification must include the following information:

1. A statement that the mixture or other trade name product contains an EPCRA section 313 chemical or chemicals subject to the reporting requirements of EPCRA section 313 (40 CFR 372);
2. The name of each EPCRA section 313 chemical and the associated Chemical Abstracts Service (CAS) registry number of each chemical if applicable. (CAS numbers are not used for chemical categories, since they can represent several individual EPCRA section 313 chemicals.); and
3. The percentage, by weight, of each EPCRA section 313 chemical (or all EPCRA section 313 chemicals within a listed category) contained in the mixture or other trade name product.

For example, if a mixture contains a chemical (i.e., 12 percent zinc oxide) that is a member of a reportable EPCRA section 313 chemical category (i.e., zinc compounds), the notification must indicate that the mixture contains a zinc compound at 12 percent by weight. Supplying only the weight percent of the parent

metal (zinc) does not fulfill the requirement. The customer must be told the weight percent of the entire compound within an EPCRA section 313 chemical category present in the mixture.

### ***C.4 How the Notification Must Be Made***

The required notification must be provided at least annually in writing. Acceptable forms of notice include letters, product labeling, and product literature distributed to customers. If you are required to prepare and distribute a Safety Data Sheet (SDS) for the mixture under the Occupational Safety and Health Act (OSHA) Hazard Communication Standard, your section 313 notification must be attached to the SDS or the SDS must be modified to include the required information. (A sample letter and recommended text for inclusion in an SDS appear at the end of this appendix.)

You must make it clear to your customers that any copies or redistribution of the SDS or other form of notification must include the section 313 notice. In other words, your customers should understand their requirement to include the section 313 notification if they give your SDS to their customers.

### ***C.5 When Notification Must Be Provided***

You must notify each customer receiving a mixture or other trade name product containing an EPCRA section 313 chemical with the first shipment of each calendar year. You may send the notice with subsequent shipments as well, but it is required that you send it with the first shipment each year. Once customers have been provided with an SDS containing the section 313 information, you may refer to the SDS by a written letter in subsequent years (as long as the SDS is current).

If EPA adds EPCRA section 313 chemicals to the section 313 list, and your products contain the newly added EPCRA section 313 chemicals, notify your customers with the first shipment made during the next calendar year following EPA's final decision to add the chemical to the list. For example, if EPA adds chemical ABC to the list in September 1998, supplier notification for chemical ABC would have begun with the first shipment in 1999.

You must send a new or revised notice to your customers if you:

1. Change a mixture or other trade name product by adding, removing, or changing the percentage by weight of an EPCRA section 313 chemical; or
2. Discover that your previous notification did not properly identify the EPCRA section 313 chemicals in the mixture or correctly indicate the percentage by weight.

In these cases, you must:

1. Supply a new or revised notification within 30 days of a change in the product or the discovery of misidentified EPCRA section 313 chemical(s) in the mixture or incorrect percentages by weight; and
2. Identify in the notification the prior shipments of the mixture or product in that calendar year to which the new notification applies (e.g., if the revised notification is made on August 12, indicate which shipments were affected during the period January 1–August 12).

### ***C.6 When Notifications Are Not Required***

Supplier notification is not required for a “pure” EPCRA section 313 chemical unless a trade name is used. The identity of the EPCRA section 313 chemical will be known based on label information.

You are not required to make a “negative declaration.” That is, you are not required to indicate that a product contains no EPCRA section 313 chemicals.

If your mixture or other trade name product contains one of the EPCRA section 313 chemicals, you are not required to notify your customers if:

1. Your mixture or other trade name product contains the EPCRA section 313 chemical in percentages by weight of less than the following levels (These are known as *de minimis* levels)
  - 0.1 percent if the EPCRA section 313 chemical is defined as an “OSHA carcinogen;”
  - 1 percent for other EPCRA section 313 chemicals.

*De minimis* levels for each EPCRA section 313 chemical and chemical category are listed in Table II. PBT chemicals (except lead when contained in stainless steel, brass or bronze alloys) are not eligible for the *de minimis exemption*. Therefore, *de minimis* levels are not provided for these chemicals in Table II. However, for purposes of supplier notification requirements only, such notification is not required when the following PBT chemicals are contained in mixtures below their respective *de minimis* levels:

Chemical or chemical category name	CAS number or chemical category code	Supplier notification limit (%)
Aldrin	309-00-2	1.0
Benzo[g,h,i]perylene	191-24-2	1.0
Chlordane	57-74-9	0.1
Dioxin and dioxin-like compounds (manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacturing of that chemical)	N150	1.0*
Heptachlor	76-44-8	0.1
Hexachlorobenzene	118-74-1	0.1
Isodrin	465-73-6	1.0
Lead	7439-92-1	0.1
Lead compounds	N420	0.1**
Mercury	7439-97-6	1.0
Mercury compounds	N458	1.0
Methoxychlor	72-43-5	1.0
Octachlorostyrene	29082-74-4	1.0
Pendimethalin	40087-42-1	1.0
Pentachlorobenzene	608-93-5	1.0
Polychlorinated biphenyls (PCBs)	1336-36-3	0.1
Polycyclic aromatic compounds category	N590	0.1***
Tetrabromobisphenol A	79-94-7	1.0

Chemical or chemical category name	CAS number or chemical category code	Supplier notification limit (%)
Toxaphene	8001-35-2	0.1
Trifluralin	1582-09-8	1.0

\*The *de minimis* level is 1.0 for all members except for 2,3,7,8-Tetrachlorodibenzo-*p*-dioxin which has a 0.1% *de minimis* level.

\*\*The *de minimis* level is 0.1 for inorganic lead compounds and 1.0 for organic lead compounds

\*\*\*The *de minimis* level is 0.1 except for benzo(a)phenanthrene, dibenzo(a,e)fluoranthene, benzo(j,k)fluorene, and 3-methylcholanthrene which are subject to the 1.0% *de minimis* level.

- Your mixture or other trade name product is one of the following:
  - An article that does not release an EPCRA section 313 chemical under normal conditions of processing or otherwise use.
  - Foods, drugs, cosmetics, alcoholic beverages, tobacco, or tobacco products packaged for distribution to the general public.
  - Any consumer product, as the term is defined in the Consumer Product Safety Act, packaged for distribution to the general public. For example, if you mix or package one-gallon cans of paint designed for use by the general public, notification is not required.
- A waste sent off site for further waste management. The supplier notification requirements apply only to mixtures and trade name products. They do not apply to wastes.
- You are initiating distribution of a mixture or other trade name product containing one or more EPCRA section 313 chemicals and your facility is in any of the covered SIC codes added during the 1997 industry expansion rulemaking, including facilities whose SIC code is within SIC major group codes 10 (except 1011, 1081, and 1094), 12 (except 1241); industry codes 4911 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), or 4939 (limited to facilities that



combust coal and/or oil for the purpose of generating power for distribution in commerce); or 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. Section 6921 et seq.) or 5169, or 5171, or 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis).

### **C.7 Trade Secrets**

Chemical suppliers may consider the chemical name or the specific concentration of an EPCRA section 313 chemical in a mixture or other trade name product to be a trade secret. If they consider:

1. The specific identity of an EPCRA section 313 chemical to be a trade secret, the notice must contain a generic chemical name that is descriptive of the structure of that EPCRA section 313 chemical (for example, decabromodiphenyl oxide could be described as a halogenated aromatic);
2. The specific percentage by weight of an EPCRA section 313 chemical in the mixture or other trade name product to be a trade secret, the notice must contain a statement that the EPCRA section 313 chemical is present at a concentration that does not exceed a specified upper bound. For example, if a mixture contains 12 percent toluene and you consider the percentage a trade secret, the

notification may state that the mixture contains toluene at no more than 15 percent by weight. The upper bound value chosen must be no larger than necessary to adequately protect the trade secret.

If you claim this information to be trade secret, you must have documentation that provides the basis for your claim.

### **C.8 Recordkeeping Requirements**

You are required to keep records of the following for three years:

1. Notifications sent to recipients of your mixture or other trade name product;
2. All supporting materials used to develop the notice;
3. If claiming a specific EPCRA section 313 chemical identity a trade secret, you should record why the EPCRA section 313 chemical identity is considered a trade secret and the appropriateness of the generic chemical name provided in the notification; and
4. If claiming a specific concentration a trade secret, you should record explanations of why a specific concentration is considered a trade secret and the basis for the upper bound concentration limit.

Information retained under 40 CFR 372 must be readily available for inspection by EPA.

## ***C.9 Sample Notification Letter***

January 2, 2017

Mr. Edward Burke  
Furniture Company of North Carolina  
1000 Main Street  
Anytown, North Carolina 99999  
Dear Mr. Burke:

This letter is to inform you that a product that we sell to you, Furniture Lacquer KXZ1390, contains one or more chemicals subject to section 313 of Emergency Planning and Community Right-to-Know Act (EPCRA). We are required to notify you of the presence of these chemicals in the product under EPCRA section 313. This law requires certain industrial facilities to report on annual emissions and other waste management of specified EPCRA section 313 chemicals and chemical categories. Our product contains:

Toluene, Chemical Abstract Service (CAS) number 108-88-3, 20 percent, and

Zinc compounds, 15 percent.

If you are unsure whether you are subject to the reporting requirements of EPCRA section 313, or need more information, call the EPA/TRI Information Center. For contact information, please see the TRI Home Page at <http://www.epa.gov/tri>. Your other suppliers should also be notifying you about EPCRA section 313 chemicals in the mixtures and other trade name products they sell to you.

Finally, please note that if you repackage or otherwise redistribute this product to industrial customers, a notice similar to this one should be sent to those customers.

Sincerely,  
Emma Sinclair  
Sales Manager  
Furniture Products

**C.10 Sample Notification on an Example SDS**

**Section 15 – Regulatory Information**

This product contains the following EPCRA section 313 chemicals subject to the reporting requirements of section 313 of the Emergency Planning and Community Right-To-Know- Act of 1986 (40 CFR 372):

<u>CAS Number</u>	<u>Chemical Name</u>	<u>Percent by Weight</u>
108-88-3	Toluene	20%
NA	Zinc Compounds	15%

This information must be included in all SDSs that are copied and distributed for this material.

**Safety Data Sheet**

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## Appendix D. TRI State, Tribal, and Regional Contacts

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EPCRA section 313 requires facilities to submit reports to both EPA and their state or tribe (if located in Indian country as defined by 18 USC §1151). TRI coordinators are also designated for each EPA region to assist with TRI matters within their region. For a current list of state, tribal, and regional designated section 313 contacts, see the TRI website at:

- State TRI Contact Information:  
<http://www.epa.gov/toxics-release-inventory-tri-program/tri-state-contacts>
- Tribal TRI Contact Information:  
<http://www.epa.gov/toxics-release-inventory-tri-program/tri-tribal-contacts>
- Regional TRI Coordinator Information:  
<http://www.epa.gov/toxics-release-inventory-tri-program/tri-regional-coordinators>

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## Appendix E. Guidance Documents

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### *E.1 General Guidance*

Many of the TRI guidance documents are available via EPA's GuideME application at <https://www.epa.gov/toxics-release-inventory-tri-program/guidance-documents>

- **40 CFR 372, Toxic Chemical Release Reporting; Community Right-to-Know; Final Rule**  
A reprint of the final EPCRA section 313 rule as it appeared in the *Federal Register* (FR) February 16, 1988 (53 FR 4500) (OTSFR 021688).
- **Common Synonyms for Chemicals Listed Under Section 313 of the Emergency Planning and Community Right-to-Know Act**  
March 1995 (EPA 745R-95-008)

This glossary contains chemical names and their synonyms for substances covered by the reporting requirements of EPCRA section 313. The glossary was developed to aid in determining whether a facility manufactures, processes, or otherwise uses a chemical subject to EPCRA section 313 reporting.

- **EPCRA Section 313 Questions and Answers - Revised 1998 Version**  
December 1998 (EPA 745-B-98-004)

The revised 1998 *EPCRA Section 313 Questions and Answers* document assists regulated facilities in complying with the reporting requirements of EPCRA section 313. This updated document presents interpretive guidance in the form of answers to many commonly asked questions on compliance with EPCRA section 313. In addition, this document includes comprehensive written directives to assist covered facilities in understanding some of the more complicated regulatory issues. This updated guidance document is intended to supplement the instructions for completing the Form R and the Alternate Threshold Certification Statement (Form A).

- **EPCRA Section 313 Questions and Answers - Addendum to the Revised 1998 Version**  
December 2004 (EPA 260-B-04-002)

As a result of Executive Order 13148, regulatory actions, and legal decisions over the past five years, some of the Qs & As contained in the 1998 Q & A Document were updated. The 1998 Q & A Document remains valid guidance in all other respects.

- **EPCRA Section 313 Questions and Answers Addendum for Federal Facilities**  
May 2000 (EPA 745-R-00-003)

This document is an addendum to the EPCRA section 313 Questions and Answers: Revised 1998 Version. It provides additional assistance to federal facilities in complying with EPCRA section 313. Federal facilities, which are subject to compliance under EPCRA through Executive Order 13693, frequently have operations that are different from the private sector facilities subject to EPCRA. The document contains questions and answers that address some of those differences.

- **EPCRA Section 313 Release and Other Waste Management Reporting Requirements**  
February 2001 (EPA 260/K-01-001)

The brochure alerts businesses to their reporting obligations under EPCRA section 313 and assists in determining whether their facility is required to report. The brochure contains the EPA regional contacts, the list of EPCRA section 313 toxic chemicals and a description of the Standard Industrial Classification (SIC) codes subject to EPCRA section 313.



- **Toxic Chemical Release Reporting Using 2007 North American Industry Classification System (NAICS) Final Rule (73 FR 32466; June 9, 2008):** This final rule incorporates 2007 Office of Management and Budget (OMB) revisions and other corrections to the NAICS codes used for TRI Reporting.
- **Toxic Chemical Release Reporting Using North American Industry Classification System (NAICS) Final Rule (71 FR 32464; June 6, 2006):** With this rulemaking, Toxics Release Inventory (TRI) reporting will require North American Industry Classification System (NAICS) codes in place of Standard Industrial Classification (SIC) codes. North American Industry Classification System (NAICS), United States, 2002, Executive Office of the President, Office of Management and Budget, NTIS Order Number: PB2002-101430
- **Persistent Bioaccumulative Toxic (PBT) Chemicals; Final Rule (64 FR 58666)**  
A reprint of the final rule that appeared in the *Federal Register* of October 29, 1999. This rule adds certain PBT chemicals and chemical categories for reporting year 2000 and beyond under EPCRA section 313, lowers their activity thresholds and modifies certain reporting exemptions and requirements for PBT chemicals and chemical categories. In a separate action, as part of the October 29, 1999 rulemaking, EPA added vanadium (except when contained in alloy) and vanadium compounds. These are not listed as PBT chemicals.

## ***E.2 Supplier Notification Requirements***

(EPA 560-4-91-006)

This pamphlet assists chemical suppliers who may be subject to the supplier notification requirements, gives examples of situations which require notification, describes the trade secret provision, and contains a sample notification.

- **Toxic Chemical Release Inventory Reporting Forms and Instructions Revised 2006 Version**  
February 2007 (EPA 260-C-06-901)
- **Toxics Release Inventory: Reporting Modifications Beginning with 1995 Reporting Year**  
February 1995 (EPA 745-R-95-009)
- **Trade Secrets Rule and Substantiation Form**  
(53 FR 28772) A reprint of the final rule that appeared in the *Federal Register* of July 29, 1988. This rule implements the trade secrets provision of the Emergency Planning and Community Right-to-Know Act (section 322). The current trade secret substantiation form can be accessed at:  
<http://www.epa.gov/tri/report/index.htm#forms>

## ***E.3 Chemical-Specific Guidance***

EPA has developed a group of guidance documents specific to individual chemicals and chemical categories.

- **Emergency Planning and Community Right-to-Know Section 313: List of Toxic Chemicals within the Chlorophenols Category**  
June 1999 (EPA 745-B-99-013)
- **Toxics Release Inventory List of Toxic Chemicals within the Glycol Ethers Category and Guidance for Reporting**  
December 2000 (EPA 745-R-00-004)

- **Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Reporting Hydrochloric Acid (acid aerosols including mists, vapors, gas, fog and other airborne forms of any particle size)**  
December 1999 (EPA 745-B-99-014)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Releases and Other Waste Management Activities of Toxic Chemicals: Lead and Lead Compounds**  
November 2001 (EPA-260-B-01-027)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Toxic Chemicals: Mercury and Mercury Compounds Category**  
August 2001 (EPA 260-B-01-004)
- **Toxics Release Inventory List of Toxic Chemicals within the Nicotine and Salt Category and Guidance for Reporting**  
June 1999 (EPA 745-R-99-010)
- **Toxics Release Inventory List of Toxic Chemicals within the Water Dissociable Nitrate Compounds Category and Guidance for Reporting**  
December 2000 (EPA 745-R-00-006)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Toxic Chemicals: Pesticides and Other Persistent Bioaccumulative Toxic (PBT) Chemicals**  
August 2001 (EPA 260-B-01-005)
- **Toxics Release Inventory List of Toxic Chemicals within the Polychlorinated Alkanes Category and Guidance for Reporting**  
June 1999 (EPA 745-B-99-023)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Toxic Chemicals: Polycyclic Aromatic Compounds Category**  
August 2001 (EPA 260-B-01-003)
- **Toxics Release Inventory List of Toxic Chemicals within the Strychnine and Salts Category and Guidance for Reporting**  
June 1999 (EPA 745-R-99-011)
- **Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Reporting Sulfuric Acid (acid aerosols including mists, vapors, gas, fog and other airborne forms of any particle size)**  
March 1998 (EPA 745-R-97-007)
- **Toxics Release Inventory List of Toxic Chemicals within Warfarin Category**  
June 1999 (EPA 745-B-99-011)
- **Toxics Release Inventory List of Toxic Chemicals within Ethylenebisdithiocarbamic Acid, Salts and Esters Category and List of Mixtures that Contain the Individually listed Chemicals Maneb, Metiram, Nabam, and Zineb**  
September 2001 (EPA 260-B-01-026)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Aqueous Ammonia**  
December 2000 (EPA 745-R-00-005)

- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Toxic Chemicals within the Dioxin and Dioxin-like Compounds Category**  
August 2017 (EPA 745-B-00-021)

#### ***E.4 Industry-Specific Guidance***

EPA has developed specific guidance documents for certain industries.

- **EPCRA Section 313: Guidance for Chemical Distribution Facilities**  
January 1999 (EPA 745-B-99-005)
- **EPCRA Section 313: Guidance for Petroleum Terminals and Bulk Storage Facilities**  
February 2000 (EPA 745-B-00-002)
- **EPCRA Section 313: Guidance for Coal Mining Facilities**  
February 2000 (EPA 745-B-00-003)
- **EPCRA Section 313: Guidance for Electricity Generating Facilities**  
February 2000 (EPA 745-B-00-004)
- **EPCRA Section 313 Reporting Guidance for Food Processors**  
September 1998 (EPA 745-R-98-011)
- **EPCRA Section 313 Reporting Guidance for the Leather Tanning and Finishing Industry**  
April 2000 (EPA 745-B-00-012)
- **EPCRA Section 313: Guidance for Metal Mining Facilities**  
January 1999 (EPA 745-B-99-001)
- **Emergency Planning and Community Right-to-Know Act Section 313 Reporting Guidance for the Presswood and Laminated Products Industry**  
August 2001 (EPA 260-B-01-013)
- **EPCRA Section 313 Reporting Guidance for the Printing, Publishing, and Packaging Industry**  
May 2000 (EPA 745-B-00-005)
- **EPCRA Section 313: Guidance for RCRA Subtitle C TSD Facilities and Solvent Recovery Facilities**  
January 1999 (EPA 745-B-99-004)
- **EPCRA Section 313 Reporting Guidance for Rubber and Plastics Manufacturing**  
May 2000 (EPA 745-B-00-017)
- **EPCRA Section 313 Reporting Guidance for Semiconductor Manufacturing**  
July 1999 (EPA 745-R-99-007)
- **EPCRA Section 313 Reporting Guidance for the Textile Processing Industry**  
May 2000 (EPA 745-B-00-008)
- **EPCRA Section 313 Reporting Guidance for Spray Application and Electrodeposition of Organic Coatings**  
December 1998 (EPA 745-R-98-014)

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## Appendix F. Questions and Answers Regarding Facility Identification Information

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### *F.1 Categories*

This document provides additional information about TRI reporting procedures based on some frequently asked questions. The questions and their answers are organized into three groups:

Section F.2 Identifying the parent company.

Section F.3 Reporting after a change in name or ownership.

Section F.4 Reporting for multiple sites and/or owners.

### *F.2 Identifying the Parent Company*

#### **A. Question**

When a facility changes ownership after a Form R has been submitted, who is required to respond to a Notice of Noncompliance (NON) related to the Form R? Is the current or prior owner/operator required to respond to the NON?

#### **A. Answer**

The current owner/operator has the primary responsibility for responding to a NON. However, all prior owners/operators back to January 1 of the reporting year may also be held responsible if the current owner/operator does not respond to the NON in an accurate, complete, and timely manner.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #52 (EPA 745-B-98-004)).

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#### **B. Question**

Who is the parent company for a 50/50 joint venture?

#### **B. Answer**

The 50/50 joint venture is its own parent company.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #54 (EPA 745-B-98-004)).

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#### **C. Question**

Mom and Pop Plastics is a wholly owned subsidiary of a major chemical company which is a wholly owned

subsidiary of Big Oil Corporation, located in St. Paul, Minnesota. Which is the parent company?

#### **C. Answer**

Big Oil Corporation is the parent company.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #56 (EPA 745-B-98-004)).

### *F.3 Reporting After a Change in Name or Ownership*

#### **A. Question**

The owner/operator of a covered facility is preparing Form Rs for a facility. The facility and its parent company both changed their names after the reporting year. What names should be reported by the owner/operator (for both the facility and the parent company) on the Form Rs covering the reporting year?

#### **A. Answer**

The facility should report the names used by the facility and parent company during that reporting year. When the owner/operator submits Form Rs for the next reporting year, these reports should reflect the names used by the facility and parent company during the new reporting year. Note that the TRI facility identification number will not change.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #614 (EPA 745-B-98-004)).

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#### **B. Question**

If a covered facility does not have a Dun & Bradstreet (D&B) number but the parent corporation does, should this number be reported?

#### **B. Answer**

Report the D&B number for the facility. If a facility does not have a D&B number, enter "NA" in Part I, Section 4.7. The corporate D&B number should be entered in Part I, Section 5.2 relating to parent company information.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #621 (EPA 745-B-98-004)).

**C. Question**

In October 2015, Facility X changes ownership and is purchased by Company Y. For the 2015 reporting year, which facility is obligated to submit the Form R or Form A, and whose name and what TRI identification number should be on the form?

**C. Answer**

The owner or operator of the facility on the annual July 1 reporting deadline (i.e., Company Y) is primarily responsible for reporting the data for the entire previous year's operations at that facility. Any other owner or operator of the facility before the reporting deadline may also be held liable. The form submitted for a given reporting year must reflect the names used by the facility and its parent company on December 31 of that reporting year, even if the facility changed its name or ownership at any time during the reporting year. In this scenario, because Facility X changed ownership before December 31 of the reporting year, Company Y's name should appear on the form. The TRI identification number is location-specific; thus, the identification number will stay the same even if the facility changes names, production processes, or NAICS codes.

(Source: Monthly Call Center Report Question EPA530-R-98---5j; October 1998).

**F.4 Reporting for Multiple Sites and/or Owners****A. Question**

If two plants are separate establishments under the same site management, must they have separate D&B numbers?

**A. Answer**

They may have separate D&B numbers, especially if they are distinctly separate business units. However, different divisions of a company located at the same facility usually do not have separate D&B numbers.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #622 (EPA 745-B-98-004)).

**B. Question**

An electricity generating facility (EGF) is comprised of multiple independent owners. Each individual owner runs his/her own separate operation, but each has a financial interest in the operation of the entire facility. What name should be entered as the parent company in

Part I, Section 5.1 of the Form R? Should the facility report under one holding company name?

**B. Answer**

The EGF should enter in Part I, Section 5.1 of the Form R the name of the holding or parent company, consortium, joint venture, or other entity that owns, operates, or controls the facility.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #625 (EPA 745-B-98-004)).

**C. Question**

A covered facility sells one of its establishments to a new owner. The operator of the newly sold establishment, however, does not change. The same operator operates the newly sold establishment and the rest of the facility. Although the facility makes its threshold determinations based on the activities at the entire facility (including the newly sold establishment), the facility chooses to report separately for the different establishments. What parent name should the newly sold establishment use, the parent name of the owner or the parent name of the operator (i.e., the same as the rest of the facility)?

**C. Answer**

All establishments of a covered facility must report the parent name of the facility. Therefore, in the instance described above, the newly sold establishment should use the parent name of the facility operator (i.e., the same parent name the rest of the facility is using).

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #626 (EPA 745-B-98-004)).

**D. Question**

Two distinct NAICS code operations that are covered under EPCRA section 313 (e.g., an electricity generating unit and a cement plant) are located on adjacent properties and are owned by the same parent company. The two operations are operated completely independently of one another (e.g., separate accounting procedures, employees, etc.). Are these two operations considered one facility under EPCRA section 313?

**D. Answer**

Yes. Under EPCRA section 313, a facility is defined as, "all buildings, equipment, structures, and other stationary items which are located on a single site or on contiguous or adjacent sites and which are owned or



operated by the same person.” Because these two operations are located on adjacent properties and are owned by the same person they are considered one facility for EPCRA section 313 reporting purposes. Additional information can be found in the Toxic Release Inventory Reporting Forms and Instructions.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #9 (EPA 745-B-98-004)).

**E. Question**

Company A purchases a facility from Company B between January 1, 2015 and June 30, 2015. For the 2015 reporting year, which company’s name and identification number should appear on the Form R or Form A submission?

**E. Answer**

In the case that a facility is purchased between January 1 and June 30, the form submitted for the previous year must reflect the name used by the facility on December 31 of that reporting year. In this example, company B’s name should appear on the form because it owned the facility for the duration of the reporting year. The TRI identification number is location-specific; thus, the identification number will stay the same even if the facility changes names, production processes, or NAICS codes.

With regard to reporting, the owner or operator of the facility on the annual July 1 reporting deadline (Company A) is primarily responsible for reporting the data for the previous year’s operations at that facility. However, all prior owners and operators back to January 1 of the year covered in the report may also be held responsible if the current owner or operator does not submit a report.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #52 (EPA 745-B-98-004)).

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**F. Question**

A piece of contiguous property consists of three covered sites with various buildings, structures and equipment. The three sites are owned by two different

companies – Company A and Company B. All three sites operate completely independently of each other and have separate personnel, finances, and environmental reporting systems. Site 1 and its buildings and structures are owned and operated by Company A and site 3 and its buildings and structures are owned and operated by Company B. The middle site, site 2 and its surrounding buildings and structures, are owned by Company A and operated by Company B. Are all three sites and their buildings and structures considered separate facilities under EPCRA section 313? Who is responsible for reporting for each?

**F. Answer**

Under 40 CFR Section 372.3 a facility is defined as “all buildings, equipment, structures, and other stationary items which are located on a single site or on contiguous or adjacent sites and which are owned or operated by the same person.” Because all buildings and structures located on sites 1 and 2 are located on contiguous property and are owned by the same person, they are considered one facility. Because all buildings and structures located on sites 2 and 3 are located on contiguous property and are operated by the same person, they are also considered one facility. Therefore, for purposes of determining thresholds, the toxic chemicals manufactured, processed, and otherwise used at site 2 must be counted toward both Facility A’s and Facility B’s threshold determinations. Because the operator is primarily responsible for reporting, estimating and reporting releases and other waste management calculations for sites 2 and 3 are the primary responsibility of Company B, and the release and other waste management reporting for site 1 is the primary responsibility of Company A. EPA allows the release and other waste management reporting to be done in this manner to avoid “double counting” releases and waste management activities at site 2. However, provided thresholds have been exceeded, if no reports are received from a covered facility, determinations can be found in the 2015 Toxic Release Inventory Reporting Forms and Instructions.

(Source: 1998 EPCRA Section 313 Questions and Answers Document, Question #59 (EPA 745-B-98-004)).



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## Appendix G. Trade Secret Submissions

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### *G.1 Instructions for Trade Secret Submissions*

For any EPCRA section 313 chemical whose identity is claimed as trade secret, two versions of the substantiation form must be submitted to EPA as prescribed in 40 CFR Part 350, published July 29, 1988, in the *Federal Register* (53 FR 28772) as well as two versions of the EPCRA section 313 report. Trade secret reporting must be done via hard-copy, paper reporting.

The current substantiation form is available on the TRI website at: <https://www.epa.gov/toxics-release-inventory-tri-program/trade-secret-reporting>. One set of reports, the unsanitized version, must provide the actual identity of the EPCRA section 313 chemical. The other set of reports, i.e., the “sanitized” version, must provide a generic class or category for the chemical that is structurally descriptive of the EPCRA section 313 chemical. If EPA deems the trade secret substantiation form valid, only the sanitized set of forms will be made available to the public.

Paper submissions must be sent to both EPA and the state or the designated official of an Indian tribe and follow the requirements for reporting trade secrets. If a report is not received by both EPA and the state (or the designated official of an Indian tribe), the submitter is considered out of compliance and subject to enforcement action. Facilities submitting paper forms must use the corresponding reporting year forms. These reporting forms can be found on the TRI website: <https://www.epa.gov/toxics-release-inventory-tri-program/reporting-forms-and-instructions>.

E-mailed submissions will not be accepted.

#### **Form R Reporting**

EPA requests that the EPCRA section 313 chemical, chemical category, or generic name also be placed in the box marked “Toxic Chemical, Category, or Generic Name” in the upper right-hand corner on all pages of Form R. While this space is not a required data element, providing this information will help you in preparing a complete Form R report.

#### **Form A Reporting**

When making a trade secret claim on a Form A submission, EPA is requiring that a facility submit a unique Form A for each EPCRA section 313 chemical meeting the conditions of the alternate threshold. Facilities may assert a trade secrecy claim for a chemical identity on the Form A as on the Form R. Reports submitted on a per chemical basis protect against the disclosure of trade secrets. Form As with trade secrecy claims, like Form Rs with similar claims, will be separately handled upon receipt to protect against disclosure. Commingling trade secret chemical identities with non-trade secret chemical identities on the same submission increases the risk of disclosure.

#### **All Submissions**

A complete report to EPA for an EPCRA section 313 chemical claimed as a trade secret must include all of the following:

- A completed unsanitized version of Form R or Form A report including the EPCRA section 313 chemical identity (staple the pages together); and
- A sanitized version of a completed Form R or Form A report in which the EPCRA section 313 chemical identity items (Part II, Sections 1.1 and 1.2) have been left blank but in which a generic chemical name that is structurally descriptive has been supplied (Part II, Section 1.3) (staple the pages together); and
- A completed unsanitized version of a trade secret substantiation form (staple the pages together); and
- A sanitized version of a completed trade secret substantiation form (staple the pages together).

Securely fasten all four reports together.

Some states or tribes also require submission of both sanitized and unsanitized reports for EPCRA section 313 chemicals whose identity is claimed as a trade secret. Others require only a sanitized version. Facilities may jeopardize the trade secret status of an EPCRA section 313 chemical by submitting an unsanitized version of the EPCRA section 313 report to a state agency or Indian tribe that does not require unsanitized forms. You may identify an individual

state or tribe's submission requirements by contacting the appropriate state or tribe designated EPCRA section 313 contact (see Appendix D).

### Where to send your trade secret submission

Please send only trade secret submissions to the P.O. Box below. Send trade secret submissions by *regular mail* to:

Attention: EPCRA Substantiation Packages  
TRI Reporting Center  
P.O. Box 10163  
Fairfax, VA 22038

Send trade secret submissions by *certified mail or overnight mail* (i.e. Fed Ex, UPS, etc.) to:

Attention: EPCRA Substantiation Packages  
CGI Federal, Inc.  
c/o EPA Reporting Center  
12601 Fair Lakes Circle  
Fairfax, VA 22033

### Revising or withdrawing trade secret submissions

Revisions and withdrawals must be performed using paper forms.

## G.2 Supplemental Form R and Form A Instructions

The sections below are supplemental instructions to Chapters C and D for completing hard copy forms submitted with a trade secret submission.

# Part I. Facility Identification Information

## Section 2. Trade Secret Information

### 2.1 Are you claiming the EPCRA section 313 chemical identified on Page 2 a trade secret?

The specific identity of the EPCRA section 313 chemical being reported in Part II, Section 1 may be designated as a trade secret. If you are making a trade secret claim, mark "yes" and proceed to Section 2.2. Only check "yes" if you manufacture, process, or otherwise use the EPCRA section 313 chemical whose identity is a trade secret. If you checked "no," you should submit your non-trade secret form data electronically using TRI-MEweb.

If facilities wish to report more than one eligible chemical on the same Form A, then all chemicals included must be non-trade secrecy claims. Any trade secrecy claims should be made on a separate form, and then the process is the same as using the Form R and as described in the following instructions.

### 2.2 If "yes" in 2.1, is this copy sanitized or unsanitized?

Answer this question only after you have completed the rest of the report. Check "sanitized" if this copy of the report is the public version that does not contain the EPCRA section 313 chemical identity but does contain a generic name that is structurally descriptive in its place, and if you have claimed the EPCRA section 313 chemical identity trade secret in Part I, Section 2.1. Otherwise, check "unsanitized."

### 4.1 Facility Name, Location, TRI Facility Identification Number and Tribal Country Name

Facilities filing a trade secret paper form should leave a blank in the BIA field if the facility is not located within tribal boundaries.

*Location information for a facility that has previously submitted data to EPA.*

Enter your TRIFID in Part I, Section 4.1.

*Location information for a facility that has previously submitted data to EPA, but has changed physical location.*

If your facility has moved, do not enter your previously assigned TRIFID, enter "New Facility". If you are filing a separate Form R or A for each establishment at your facility, you should use the same "New Facility" field for each establishment. If you are uncertain if a TRIFID has been assigned to your new facility location, use Envirofacts on the Web to look up the address or facility name at: <https://www.epa.gov/enviro>.

*Location information for a facility that has changed ownership, but has not changed physical location.*

The TRIFID will always stay with the physical location of a facility. If a new facility unit moves to this location it should use this TRIFID. Establishments of a facility (for facilities that report by part) that report separately should use the TRIFID of the primary facility.

*Location reporting TRI releases for the first time to EPA.*

If you are preparing a hard copy TRI form for the first time for your facility's location and have never

reported to TRI in previous years, you should enter “New Facility” in the space on the hard copy form designated for the TRI Facility Identification number (TRIFID).

## Part II. Chemical Identification Information

### Section 1. EPCRA Section 313 Chemical Identity (Form R & A)

#### 1.1 CAS Number

You must report the CAS number or category code on your unsanitized Form R or A and unsanitized substantiation form. Enter the CAS registry number exactly as it appears in Table II of these instructions for the chemical being reported. CAS numbers are cross-referenced with an alphabetical list of chemical names in Table II. If you are reporting one of the EPCRA section 313 chemical categories (e.g., chromium compounds), you should enter the applicable category code in the CAS number space. EPCRA section 313 chemical category codes are listed below and can also be found in Table IIc.

Do not include the CAS number or category code on your sanitized Form R or A, or sanitized substantiation form.

#### 1.2 EPCRA Section 313 Chemical or Chemical Category Name

You must report the specific EPCRA section 313 chemical identity on your unsanitized Form R or A and unsanitized substantiation form. Enter the name of the EPCRA section 313 chemical or chemical category exactly as it appears in Table II. If the EPCRA section 313 chemical name is followed by a synonym in parentheses, report the chemical by the name that directly follows the CAS number (i.e., not the synonym). If the EPCRA section 313 chemical identity is actually a product trade name (e.g., Dicofol), the *Chemical Abstracts 9th Collective Index* name is listed below it in brackets. You may report either name in this case.

**Do not** list the name of a chemical that does not appear in Table II, such as individual members of an EPCRA section 313 chemical category. For example, if you use silver chloride, **do not** report silver chloride with its CAS number. Report this chemical as “silver compounds” with its category code, N740.

Do not report the name of the EPCRA section 313 chemical on your sanitized Form R or A, or sanitized substantiation form. Include a generic name that is structurally descriptive in Part II, Section 1.3 of your sanitized Form R or A report.

#### 1.3 Generic Chemical Name

Section 1.3 is used only when claiming the specific EPCRA section 313 chemical identity of the EPCRA section 313 chemical as a trade secret.

Enter a generic chemical name that is descriptive of the chemical structure. You should limit the generic name to 70 characters (e.g., numbers, letters, spaces, punctuation) or less. Do not enter mixture names in Section 1.3.

In-house plant codes and other substitute names that are not structurally descriptive of the EPCRA section 313 chemical identity being withheld as a trade secret are not acceptable as a generic name. The generic name must appear on both sanitized and unsanitized Form Rs and As, and the name must be the same as that used on your substantiation forms.

### Section 5. Quantity of the Toxic Chemical Entering Each Environmental Medium On-site (Form R)

#### 5.3 Discharges to Receiving Streams or Water Bodies

Enter the receiving stream(s) and water body or bodies in Column A. A total of three spaces is provided on Page 2 of Form R. If you discharge the EPCRA section 313 chemical to more than three streams or water bodies, you should photocopy Page 2 of Form R as many times as necessary and then number the boxes consecutively for each stream or water body. At the bottom of Page 2 you will find instructions for indicating the total number of Page 2s that you are submitting as part of the Form R as well as indicating the sequence of those pages.

### Section 6. Transfer(s) of the Toxic Chemical in Wastes to Off-Site Locations (Form R)

Number the boxes for reporting the information for each sequential POTW or other off-site location in Sections 6.1 and 6.2. In the upper left hand corner of each box, the section number is either 6.1.[ ] or 6.2.[ ]. This section is required only for paper filers (trade secret submissions only); TRI-MEweb does this task automatically for the reporting facility.

If you report a transfer of the listed EPCRA section 313 chemical to one or more off-site locations,

POTWs, you should number the boxes in Section 6.1 as 6.1.1, 6.1.2, etc. If you transfer the EPCRA section 313 chemical to more than one POTW, you should photocopy Page 3 of Form R as many times as necessary and then number the boxes consecutively for each POTW (e.g., 6.1.2, 6.1.3, etc.). At the bottom of each page 3 that is submitted, indicate the total number of pages numbered “3” that you are submitting as part of Form R, as well as indicating the sequence of those pages. For example, your facility transfers the reported EPCRA section 313 chemical in wastewaters to two POTWs. You would photocopy Page 3 once, indicate at the bottom of each Page 3 that there are a total of two pages numbered “3” and then indicate the first and second Page 3. The box for the first POTW on the first Page 3 should be numbered 6.1.1 and while the box for second POTW on the second Page 3 should be numbered 6.1.2.

If you report a transfer of the EPCRA section 313 chemical to one or more other off-site locations, you should number the boxes in section 6.2 as 6.2.1, 6.2.2, etc. If you transfer the EPCRA section 313 chemical to more than two other off-site locations, you should photocopy Page 4 of Form R as many times as necessary and then number the boxes consecutively for each off-site location. At the bottom of Page 4 you will find instructions for indicating the total number of Page 4s that you are submitting as part of the Form R as well as indicating the sequence of those pages. For example, your facility transfers the reported EPCRA section 313 chemical to three other off-site locations. You should photocopy page 4 once, indicate at the bottom of Section 6.2 on each Page 4 that there are a total of two Page 4s and then indicate the first and second Page 4. The boxes for the two off-site locations on the first Page 4 would be numbered 6.2.1 and 6.2.2, while the box for the third off-site location on the second Page 4 should be numbered 6.2.3. Please note that section 6.2 starts on Page 3 and continues on Page 4.

## Section 7. On-Site Waste Treatment, Energy Recovery, and Recycling Methods (Form R)

### Section 7A: On-Site Waste Treatment Methods and Efficiency

If your facility performs more than eight sequential waste treatment methods on a single general waste stream, continue listing the methods in the next row and renumber appropriately those waste treatment method code boxes you used to continue the sequence. For example, if the general waste stream in box 7A.1a had nine treatment methods applied to it,

the ninth method would be indicated in the first method box for row 7A.2a. The numeral “1” would be crossed out, and a “9” would be inserted.

## Section 8. Source Reduction and Waste Management (Form R)

### 8.10 Did Your Facility Engage in Any Newly Implemented Source Reduction Activities for This Chemical During the Reporting Year?

Instructions on how to report source reduction activities on hard copy Form R are provided below.

- **If Your Facility Implemented Source Reduction Activities.** Source reduction activity codes must be entered in the first column of Sections 8.10.1 through 8.10.4. Next, indicate any methods to identify the reported source reduction activity using the T-codes provided below.
- If you have fewer than four source reduction codes in Section 8.10, an NA should be placed in the first column of the first unused row to indicate the termination of the sequence. If all four rows are used, there is no need to terminate the sequence.
- **If Your Facility Did Not Implement Source Reduction Activities.** If your facility did not implement any new source reduction activity for the reported EPCRA section 313 chemical, check the “NA” box in Section 8.10.

### 8.11 Optional Pollution Prevention Information

In Section 8.11, you have the opportunity to provide more detail about activities your facility undertook to reduce releases of the EPCRA section 313 chemical, including source reduction, recycling, energy recovery, treatment or other pollution controls. EPA encourages you to provide detail in Section 8.11, as it offers your organization the opportunity to showcase its achievements in preventing pollution.

While EPA welcomes submissions about recycling and pollution control activities, the Agency is most interested in collecting information about innovative and effective source reduction activities, such as green chemistry or green engineering practices. In addition, the Agency wishes to encourage reporters to provide enough detailed information about their most effective source reduction activities to spur other facilities to adopt similar practices, as well as to inform the public about such activities being implemented in their communities.



To encourage submissions with additional pollution prevention information, EPA is increasing the prominence and accessibility of this information. Visit <https://www.epa.gov/tri/p2> to learn how to access this information (e.g., through the [P2 Search](#) tool) and to view examples of optional pollution prevention information highlighted in EPA's annual TRI National Analysis report.

The following tips can help you provide meaningful additional information.

Be Specific:

- Which processes and products were affected?
- Which technologies and materials were used?
- Which release (to air, water land) or waste management quantities changed?
- Were there other benefits (e.g., costs, product quality?)
- Who provided the idea or assisted with implementation?
- Why did you implement this activity?

Enter useful URLs:

- For equipment manufacturers
- To other information sources related to the activity described

A tip-sheet with additional guidance and sample entries can be found at [https://www.epa.gov/sites/production/files/document/s/tri\\_p2\\_tipsheet.pdf](https://www.epa.gov/sites/production/files/document/s/tri_p2_tipsheet.pdf). If you wish to provide additional information that is not related to pollution prevention or other environmentally friendly practices, use Section 9.1.

***Barriers to Implementing Pollution Prevention Activities***

- B1. Insufficient capital to install new source reduction equipment or implement new source reduction activities/initiatives.
- B2. Require technical information on pollution prevention techniques applicable to specific production processes.
- B3. Concern that product quality may decline as a result of source reduction.
- B4. Source reduction activities were implemented but were unsuccessful.
- B5. Specific regulatory/permit burdens
- B6. Pollution prevention previously implemented- additional reduction does not appear technically or economically feasible.

- B7. No known substitutes or alternative technologies.
- B8. Other barriers.

EPA believes this information is valuable in giving a full picture of the source reduction activities your facility engages in and what barriers you face in the implementation of source reduction activities. EPA also believes this information may allow for an exchange between those that have knowledge of source reduction practices, such as the EPA P2 Program, and those that are seeking additional help. In addition, it will better enable EPA to identify those technological areas for which EPA can support basic research to identify alternative technologies that are less polluting.

**Section 9. Miscellaneous Information (Form R)**

**9.1 Miscellaneous, Optional, and Additional Information for Your Form R Report**

Your facility may provide additional information pertaining to any portion of your Form R submission in the box provided in the free text box provided. Your submissions to Section 9.1 regarding miscellaneous, additional, optional information may provide the Agency and/or the public with useful data that helps explain why your facility submitted data in one or more data elements that might appear unusual or inconsistent with previous TRI Form R submissions or with other data supplied by your facility during this reporting year. Such additional data may help EPA reduce the need for additional data quality control as well as additional TRI-related enforcement and compliance efforts.

EPA suggests you consider the following topics should you provide optional information in the 9.1 box:

- Changes in Production Levels
- Calculation Methods, e.g., Emission Factors
- One-time or Intermittent Events Impacting Reported Quantities
- Issues or Difficulties Encountered in Submitting Form
- Other Regulatory Requirements Related to This Chemical

- No TRI Reports Expected for This TRIFID Next Year
- No TRI Report Expected for This Chemical Next Year

*Do not submit information you consider to be CBI or otherwise protected on your Form R.*

## **9.2 Optional Pollution Prevention and Additional Information for This Toxic Chemical on Your Form A Certification Statement**

Your facility may provide additional information pertaining to pollution prevention or other topics for each Toxic Chemical or Mixture Component included on your Form A Certification Statement submission. Information provided in this section may provide the Agency and/or the public with useful data that helps explain your use of Form A Certification Statement. For example, your facility could include

information on steps it has taken to reduce its manufacture, processing, or other use of the chemical. Do not submit information you consider to be CBI or otherwise protected.

EPA suggests you consider the following topics should you provide optional information in the 9.2 box:

- Changes in Production Levels
- Source Reduction Activity Reduced Activity Involving this Chemical
- One-Time or Intermittent Events Involving this Chemical
- No TRI Report Expected for this Chemical Next Year

*Do not submit information you consider to be CBI or otherwise protected on your Form A.*