

1 **PROGNOSTIC VALUE OF microRNA EXPRESSION PATTERN IN UPPER TRACT**
2 **UROTHELIAL CARCINOMA**

3
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49 **ABSTRACT**

50 **Objective** To examine the microRNA (miRNA) expression pattern in tumour samples
51 of progressing and non-progressing upper tract urothelial carcinoma (UTUC) patients in
52 order to identify putative miRNAs that may be used as prognostic markers.

53 **Subjects and methods** Multicenter, retrospective study of formalin-fixed paraffin
54 embedded tissue samples from 150 UTUC patients who underwent radical
55 nephroureterectomy. Global miRNA expression patterns were analyzed in 18 selected
56 samples from UTUC patients using TaqMan arrays. Differential expression of five key
57 miRNAs was validated by quantitative PCR in an independent cohort of 132 samples
58 from UTUC patients. Tumour progression and cancer-specific survival predicting
59 models, including miRNA expression patterns, were developed by Cox regression
60 analysis.

61 **Results** Twenty-six miRNAs were found to be aberrantly expressed between
62 progressing and non-progressing UTUC patients and five of these were selected for

63 subsequent studies. The regression analysis identified tumour stage and miR-31 and
64 miR-149 expression as independently associated with tumour progression and tumour
65 stage and miR-149 expression as independently associated with cancer-specific
66 survival.

67 The risk scores (RS) derived from these miRNAs models were able to discriminate two
68 groups with a highly significant different probability of tumour progression (HR 4.78;
69 $p < 0.001$) and death (HR 2.76; $p = 0.0036$).

70 **Conclusion** There is a differential miRNA expression pattern between progressing and
71 non-progressing UTUC patients. Identification of new miRNAs associated with a high
72 probability of tumour recurrence and cancer-specific survival in UTUC patients and
73 their combination in a robust, easy-to-use and reliable algorithm may contribute to tailor
74 treatment and surveillance strategies in these patients.

75

76 **KEY-WORDS (MeSH)**

77 microRNAs; prognosis; real time PCR; upper tract urothelial carcinoma

78 INTRODUCTION

79 Radical nephroureterectomy (RNU) is the gold-standard treatment for localized upper
80 tract urothelial carcinoma (UTUC) [1]. There are only a few established prognostic
81 factors associated with tumour progression and survival, notably pathological stage
82 and tumour grade, but these are insufficient to predict the individual outcome of UTUC
83 patients [2]. Predictive tools such as nomograms have been proposed after RNU but
84 they are still lacking high accuracy [3]. Thus, more accurate knowledge regarding the
85 biological behaviour of tumours would allow tailored treatment schedules to be offered
86 to patients, in an attempt to increase survival and decrease morbidity.

87 The rapid advance in the understanding of the molecular biology of carcinogenic
88 processes has led to the appearance of promising new cancer biomarkers such as
89 microRNAs (miRNAs). miRNAs are a class of small non-coding RNAs that regulate
90 various biological processes post-transcriptionally and are dysregulated in most
91 cancer types [4-7]. However, to our knowledge, the complete miRNA profiling of
92 UTUC patients has not yet been explored. Unlike mRNA, miRNAs have been shown
93 to be unusually well-preserved in a range of specimens, including formalin-fixed
94 paraffin embedded tissue samples [8]. This stability offers a distinct advantage of
95 miRNA over mRNA as the analyte in the clinical setting, and has led to a
96 considerable interest in the development of miRNAs as biomarkers for molecular
97 diagnostic, prognostic and therapeutic applications.

98 Here, we aimed to examine, for the first time, the miRNA expression profiles of
99 progression and non-progression UTUC patients in order to identify putative miRNAs
100 that may be used as prognostic markers.

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104 PATIENTS AND METHODS

105 Patients

106 A retrospective study in which a total of 150 patients (mean age 70 yr, range 45-101 yr;
107 34 females, 116 males) with UTUC who underwent nephroureterectomy in three
108 different centers (Hospital Clinic of Barcelona-Spain, Pitié Salpêtrière Hôpital of Paris-
109 France and Claude Huriez Hôpital of Lille-France) between 1990 and 2004 were
110 included. The only exclusion criterion was the lack of tissue from the archive blocks.
111 Pathological characteristics of the UTUC patients are shown in table 1. Tumours were
112 graded and classified according to the WHO's [9] and the TNM's classification of the
113 International Union Against Cancer [10]. Tissue samples were obtained under
114 institutional review board-approved protocol.

115 The median follow-up of the studied population was 46 months (range 3-213 mo). All
116 patients were followed-up postoperatively in three-month intervals for the first year, in
117 6-month intervals for the next two years, and annually thereafter. Tumour was
118 considered to be in progression when distant metastasis or pathological nodes were
119 developed during the follow-up.

120 Tissue specimens and RNA isolation

121 Upon obtainment the tissue was fixed in 10% formalin within 24 h and subsequent
122 embedded in paraffin. A slide of each specimen was stained with haematoxylin-eosin
123 to determine the presence of tumour cells. Only those specimens with a minimum of
124 75% of tumour cells were considered for further analysis. Total RNA was isolated from
125 specimens (80- μ m) using the RecoverAll Total Nucleic Acid Isolation Kit (Ambion INC)
126 according to the manufacturer's protocol. Total RNA was quantified by
127 spectrophotometric analysis at 260 nm (NanoDrop Technologies, Wilmington, DE,
128 USA).

129

130 **Global screening phase**

131 A flowchart of the entire study is shown in Figure 1. Global miRNA profiling of 18
132 randomly selected UTUC cases from Hospital Clinic of Barcelona, nine progressing
133 (pTa=1, pT1=1, pT2=1, pT3=4, pT4=2; G1=7, G2=1, G3=1; mean progression= 17.2
134 months) and nine non-progressing (pTa=1, pT1=6, pT2=1, pT3=1; G2=6, G3=3; mean
135 follow-up= 94 months), was performed using TaqMan® Array Human MicroRNA A+B
136 Cards Set v2.0 (TA) that contain 754 unique assays specific to human miRNAs and
137 four control assays in each card (Applied Biosystems, Foster City, USA, hereafter
138 referred to as AB) according to manufacturer's instructions. Briefly, miRNAs were
139 reverse transcribed with the TaqMan MicroRNA Reverse Transcription kit (AB) using
140 500 ng of total RNA following manufacturer's instructions (Megaplex RT primers for
141 Human Pool A and B, AB). After reverse transcription, 2.5 µl of cDNA was preamplified
142 with Megaplex PreAmp primers following manufacturer's instructions (AB), except that
143 the final volume of the reaction was 25 µl instead of 50 µl. Then, an aliquot of 1 µl of
144 preamplified cDNA was applied to verify the actual amount of RNU48 using the specific
145 primer/probe set (AB) via quantitative PCR (qPCR). Standard reaction and
146 amplification conditions were used (final volume of qPCR reaction of 10 µl). Those
147 samples that provided cycle quantification (Cq) value for the RNU48 lower than 17-18
148 were diluted with water to ensure a homogeneous amount of cDNA in all samples and
149 the correct quantification of the miRNAs. Afterwards, miRNAs were analyzed in TA
150 using an ABI7900HT instrument.

151 **Technical validation of differentially expressed miRNAs**

152 **To select miRNAs for technical validation using a different methodology, we**
153 **used the false discovery rate (FDR) $\leq 10\%$ and absolute fold change (FC) ≥ 2**
154 **between distinct groups in TaqMan® Human MicroRNA Array (n=21). Then, we**
155 **checked whether these differentially expressed miRNAs belonged to the same**
156 **miRNA cluster and only one miRNA from each was then selected for further**

157 **validations (For example clusters hsa-miR-200a, hsa-miR-200b, hsa-miR-200a*,**
158 **hsa-miR-200b* or hsa-miR-141, hsa-miR-200c, hsa-miR-141* containing several**
159 **differentially expressed miRNAs from our list).** Finally, a total of eight differentially
160 expressed miRNAs obtained in TA were selected for validation in the 18 previously
161 analyzed samples, using miRCURY LNA Universal RT microRNA PCR kit (Exiqon,
162 Vedbaek, Denmark).

163 RT-qPCR reactions were performed according to the manufacturers' instructions
164 (Exiqon, Vedbaek, Denmark). Briefly, cDNA was synthesized using a poly(T) primer
165 and was amplified with locked nucleic acid (LNA) primers and SYBR Green master
166 mix. Specific LNA PCR primer sets used were hsa-miR-200a, hsa-miR-31, hsa-miR-
167 493, hsa-miR-99a, hsa-miR-378*, hsa-miR-181a-2*, hsa-miR-149 and hsa-miR-141.
168 hsa-miR-218 was used as an endogenous control. PCR reactions were carried out
169 using standard conditions in an ABI7900HT instrument. At the end of the PCR cycles,
170 melting curve analyses were performed.

171 **Classifier discovery phase**

172 A total of five key miRNAs (miR-31, miR-493, miR-99a, miR-181a-2* and miR-149)
173 were selected for validation in an independent series of 132 tissue samples; 56 from
174 Claude Huriez Hospital of Lille, 16 from Pitié Salpêtrière Hospital of Paris and 60 from
175 Hospital Clinic of Barcelona. **miR-493, miR-99a and miR-181a-2* were selected**
176 **because we found that they were differentially expressed ($p < 0.05$) between both**
177 **groups via two different techniques in the same cohort of patients (Table 2).**
178 **Moreover, we also decided to further analyze miR-31 and miR-149 expression in**
179 **the independent cohort because, although their expression was not statistically**
180 **significant between both groups in the technical validation step, they had an**
181 **absolute FC ≥ 2 and their differential expression was in the same direction using**
182 **both RT-qPCR based techniques. It should be taken into account that the initial**
183 **cohort of patients was small ($n=18$), so statistically significant differences should**

184 be analysed with care and furthermore, we were searching for biomarkers
185 predictors of tumour progression and cancer-specific survival, and it has been
186 previously reported that not significance but discrimination is important for a
187 biomarker [11].

188 RT-qPCR reactions were performed using miRCURY LNA kit as described above

189 Data analysis

190 TaqMan® Human MicroRNA Array: RT-qPCR data was processed with SDS 2.4 and
191 Enterprise software packages (AB). An automatic threshold and baseline was used for
192 all the miRNAs to record the Cq value. Data normalization was carried out using a
193 global mean normalization method [12]. Subsequently, miRNAs with expression levels
194 correlating to the global mean Cq values were identified and miR-218 was selected by
195 using GeNorm as reference miRNA [13].

196 Those miRNAs with Cq values above 35 in at least 45% of samples were filtered out,
197 giving a total of 409 valid miRNAs. Relative expression levels of target miRNAs within a
198 sample was expressed as ΔCq ($\Delta Cq = Cq_{miR-218} - Cq_{target\ miRNA}$). miRNAs with Cq values
199 above 35 were considered as lowly expressed, and their ΔCq were imputed to
200 minimum ΔCq value for that miRNA. Fold change values were generated from the
201 median expression of the miRNAs from the TaqMan® Human MicroRNA Array in the
202 groups compared. Differences in miRNA expression levels between progressing and
203 non-progressing patients were explored using the Student's *t* test. Significance was
204 defined as False Discovery Rate (FDR) values of less than 10%. R-software was used
205 for all calculations and to construct heatmap.

206 microRNA LNA™ real-time RT-qPCR: No miRNAs with Cq values above 35 were found
207 when using LNA primers. Samples with a miR-218 Cq value higher than 30 were
208 considered to have low RNA quality and were excluded from the analysis. ΔCq values
209 were calculated as described above.

210 **Statistical analysis**

211 Univariate Cox regression analysis was performed on each covariate to examine its
212 influence on tumour progression and cancer-specific survival. Thereafter, a
213 multivariate forward stepwise Cox regression analysis was performed. Statistical
214 significance was established at α -value of 0.05. SPSS 12.0 software was used for
215 statistical analysis.

216 After establishing the multivariate model, a risk score (RS) for the miRNAs of the model
217 was calculated for each patient according to the general form $RS = \exp \sum \beta_i x_i$, where i
218 = 1, . . . , k index variables, β_i represents the coefficient for each variable estimated
219 from the Cox regression model, and x_i is the corresponding value for each variable in a
220 given patient. RS was subjected to a ROC analysis in order to choose the most
221 appropriate threshold for predicting tumour progression and cancer-specific survival.

222 Thereafter, Kaplan-Meier curves were generated using the selected cut-off point and
223 compared according to the log-rank test. Since progression and time of death was not
224 available for three patients, survival analyses were performed using the 147 available
225 patients.

226 **Pathway enrichment analysis**

227 DIANA-mirPath tool [14], using TargetScan as the target prediction algorithm, was
228 used to identify targets of the key miRNAs, and subsequent target enrichment analysis
229 was performed in order to discover possible canonical altered pathways.

230

231 **RESULTS**

232 **Global screening phase**

233 Overall, analysis of TaqMan® Human MicroRNA Array-derived expression data from
234 nine progression and nine non-progression cases resulted in the identification of 26
235 miRNAs with a FDR of less than 10%; 20 downregulated and six upregulated miRNAs

236 in deceased with respect to live patients. Heat map based on differentially expressed
237 miRNAs shows a distinction between progression and non-progression group (Figure
238 2).

239 **Technical validation of differentially expressed miRNAs**

240 To assure the consistency in the experimental procedures followed in the screening
241 phase, we used a different approach, based on LNATM PCR primer sets, to quantify
242 eight selected miRNAs in the same 18 samples evaluated using the TaqMan® Human
243 MicroRNA Array. Even though there are several methodological differences between
244 both platforms, six of the eight miRNAs tested maintained the same fold change
245 direction when analyzed with LNATM PCR primer sets and in three of them (miR-181a-
246 2*, miR-493 and miR-99a), these differences were statistically significant ($p < 0.05$)
247 (Table 2).

248 **miRNAs associated with tumour progression and cancer-specific survival**

249 In order to identify miRNAs correlated with patient's progression and survival,
250 expression levels of five key miRNA, were analyzed by RT-qPCR in an independent
251 cohort of 132 UTUC tissue samples. During the follow-up period of these 132 patients,
252 39 (26%) developed tumour progression and 37 (24.7%) died due to UTUC. Five-year
253 tumour progression and cancer-specific survival of the series were 71.67% and
254 70.13%, respectively. The mean time to tumour progression and death were 15.91 and
255 30.81 months, respectively.

256 To verify whether these five selected miRNAs were independent prognostic factors of
257 patient's progression and survival, the miRNAs and the clinical variables in all 132
258 patients were analyzed by Cox regression model. First, the univariate analysis revealed
259 significant predictors of tumour progression and cancer-specific survival (Table 3).
260 Then, the multivariate regression analysis demonstrated that pathological tumour stage
261 and expression of miR-31 and miR-149 were independent prognostic factors of tumour

262 progression (HR 2.46; $p < 0.001$, HR 0.88; $p < 0.001$ and HR 0.78; $p = 0.006$, respectively)
263 and pathological tumour stage and miR-149 expression were independent prognostic
264 factors of cancer-specific survival (HR 1.79; $p = 0.0001$ and HR 0.82; $p = 0.0183$,
265 respectively).

266 The RS for tumour progression was calculated for each patient according to a
267 mathematical algorithm containing miR-31 and miR-149 expression values. The
268 median value of this RS was 0.574 (range 0.043-10.98). Thereafter, a ROC analysis of
269 the model allowed selecting a cut-off value of 0.86 to classify patients into a high-risk
270 group of tumour progression (27%) and low-risk group of tumour progression (73%).
271 Figure 3A depicts Kaplan-Meier curves generated using the selected cut-off point. As
272 shown, RS generated using miRNA expression values was able to discriminate two
273 groups with a highly significant different probability of tumour progression (HR 4.78;
274 $p < 0.001$).

275 In parallel, the RS for cancer-specific survival was calculated using miR-149
276 expression values (RS median value=0.784; range 0.188-2.431). The subsequent ROC
277 analysis allowed selecting a cut-off value of 0.93 to classify patients into a high-risk
278 group of cancer-specific survival (35%) and low-risk group of cancer-specific survival
279 (65%). The RS generated was able to discriminate two groups with a significantly
280 different probability of cancer-specific survival (HR 2.76; $p = 0.0036$) (Figure 3B).

281

282 **DISCUSSION**

283 Around 30% of UTUC patients analyzed in this study died due to their tumour after 5-
284 years follow-up. Pathological stage and histological grade are the established
285 prognostic factors for UTUC but they are insufficient to predict individual tumour
286 behaviour. Thus, it would be of interest to find more reliable and individualized
287 prognostic markers. To this end, different molecular markers have been previously

288 evaluated in samples from UTUC patients by using immunohistochemistry (IHC) [15-
289 21] or *in situ* hybridization [22], however, none of them have been incorporated into the
290 clinical setting. We have also previously analyzed gene expression patterns of several
291 genes in UTUC samples but we were not able to identify prognostic factors of UTUC
292 based on the genes analyzed [15].

293 miRNAs have been described as novel prognostic molecules involved in several
294 tumours [4-7]. However, to our knowledge, miRNA expression profiles of progression
295 and non-progression UTUC patients had not been explored as yet. In the current study,
296 we first investigated global miRNA expression patterns in tissue samples from a
297 reduced cohort of UTUC patients. Here we identified a list of 26 miRNAs differentially
298 expressed between progressing and non-progressing UTUC patients. However, we
299 were aware that although global miRNA expression profiling of UTUC samples
300 provides miRNAs implied in UTUC progression, it represents early data that needs
301 further validation. To this end, we first selected eight differentially expressed miRNAs to
302 be validated in the same cohort using a different real time PCR-based approach. Five
303 of the eight miRNAs were technically validated indicating that although both
304 approaches used in this study are real time PCR-based, methodological differences
305 between both qPCR platforms such as the priming system for reverse transcription, the
306 employment of a preliminary cDNA preamplification step and the chemistry used in the
307 qPCR reaction could result in some discrepancies. In fact, the partial validation of
308 global profiling studies by RT-qPCR as well as differences in the magnitude of change
309 have been previously reported [23].

310 Finally, in order to identify miRNAs that correlate with tumour progression and shorter
311 survival, we tested these five miRNAs in an independent, larger, multicentre cohort of
312 UTUC patients. As shown, tumour stage and miR-31 and miR-149 expression
313 independently predict tumour progression and moreover, tumour stage and miR-149
314 expression independently predicts cancer-specific survival. As a result, the RS derived

315 from miRNAs in our multivariate model was able to discriminate two groups with a
316 highly significant different probability of tumour progression (HR=4.78; $p<0.001$) and
317 cancer-specific survival (HR=2.76; $p=0.0036$). Thus, a model composed of miR-31 and
318 miR-149 provides a robust, easy-to-use system to identify a subgroup of patients with a
319 higher probability of tumour progression, while expression of miR-149 is able to identify
320 a subgroup of patients with shorter survival. Thus, the analysis of these two miRNAs in
321 UTUC tissue samples refines the currently used clinico-pathological-based approach
322 by adding the analysis of a limited number of genetic markers which could be very
323 useful for making decisions in clinical practice.

324 Regarding the genetic markers included in our algorithm, miR-149 has been found to
325 be dysregulated in many tumours including clear renal cell carcinoma, squamous cell
326 carcinoma of the tongue, prostate cancer, glioblastoma and astrocytoma. In addition, it
327 has been described to serve as a diagnostic and prognostic marker for bladder and
328 colorectal cancer [24,25]. On the other hand, miR-31 expression has been found
329 altered not only in bladder cancer but also in prostate, gastric, breast and serous
330 ovarian cancer [26], but functional roles for miRNA-31 have yet to be defined.

331 Several possible pathways were predicted to be modified by the key miRNAs miR-31
332 and miR-149. The statistically significant altered pathways in tumour progression and
333 cancer-specific survival are shown in Table 4. Notably, some of these altered pathways
334 predicted by these two miRNAs have been previously associated with other cancers,
335 specially hematologic and breast neoplasms [27,28].

336 Regarding the application of miRNAs in the clinical setting, it is of interest to consider
337 that miRNAs have some methodological advantages over gene expression studies.
338 First, it is harder to obtain high quality long-chain mRNA from tissue samples. On the
339 other hand, short mature miRNAs are more stable against nuclease degradation due to
340 their smaller size and actually, the isolation of high quality miRNA from FFPE blocks
341 has already been reported [8], suggesting that miRNAs may escape the chemical

342 degradation induced by formalin fixation. Also, the average copy number of an
343 individual miRNA species has been estimated at approximately 500 per cell, which may
344 be higher than the average expression of mRNA species [29]. This implies that less
345 total RNA is required for a miRNA than for an mRNA expression experiment, which is
346 an important advantage when working with clinical samples.

347 The strength of this study relies on the fact that we have used a nontargeted,
348 exploratory approach to select the candidate miRNAs. Furthermore, we have used
349 archival FFPE samples to obtain miRNA expression patterns allowing an easy
350 translation of the results obtained to clinical practice. Finally, a multicenter cohort with
351 prospective data collection and long term follow-up is analyzed in the present study,
352 which eliminates the limitations of a single population study. We are aware, however, of
353 some limitations of the study. First, we chose a group of eight miRNAs to validate from
354 the initial study where 26 differentially expressed miRNAs were shown. It remains
355 possible that we may have ruled out some miRNAs highly predictors of tumour
356 progression and cancer-specific survival. Second, although we have tried to include a
357 substantial number of patients from three different centres, the total number of patients
358 analyzed can still be considered as low. Moreover, because of our interest in identifying
359 robust markers, all available patients were used to discover prognostic miRNAs, thus
360 preventing an independent validation. In that sense, although the data reported
361 warrants further prospective evaluation in carefully and specifically designed studies,
362 our study may contribute to the identification of a reliable prognostic system for UTUC
363 patients.

364 In conclusion, our results demonstrate that there is a differential miRNA expression
365 pattern between non-progressing and progressing UTUC patients. We also show that
366 an algorithm that combines miR-31 and miR-149 expression is able discriminate two
367 groups associated with different probability of tumour progression. Furthermore, miR-
368 149 expression was able to distinguish two groups with different cancer-specific

369 survival. Although independent validation of the data is necessary, identification of new
370 miRNAs associated with a high probability of tumour recurrence and cancer-specific
371 survival in UTUC patients and its combination in a robust, easy-to-use and reliable
372 algorithm may contribute to tailor treatment and surveillance strategies in these
373 patients.

374

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468 **LEGENDS TO ILLUSTRATIONS**

469 **Figure 1. Study outline.** Tissue samples were obtained from a total of 150 UTUC
470 patients. Samples were divided into a screening (18 samples) and discovery phase
471 (132 samples). miRNAs differentially expressed between progressing and non-
472 progressing UTUC patients were first identified in the screening phase using the
473 TaqMan® Human MicroRNA Array. **Eight of the miRNAs with false discovery rate**
474 **(FDR) $\leq 10\%$ and absolute fold change (FC) ≥ 2 between distinct groups were**
475 **selected for technical validation in the same cohort using a different platform.**
476 **This was followed by a search to identify genetic UTUC prognostic markers by**
477 **analyzing five miRNAs that had gave $p < 0.05$ or/and absolute FC ≥ 2 between**
478 **distinct groups in the results from the technical validation.** None of the samples
479 from the screening set were employed for the genetic markers discovery process.

480

481 **Figure 2. miRNAs differentially expressed between progressing and non**
482 **progressing UTUC patients.** (A) Heat map displaying the 26 miRNAs differentially
483 expressed (FDR <10) between progressing and non-progressing UTUC patients in the
484 TaqMan® Human MicroRNA Array (n=18). Normalization was carried out with the
485 global mean method. Red pixels correspond to an increased abundance of miRNA in
486 the urine samples, whereas green pixels indicate decreased mRNA levels. Rows
487 represent individual miRNAs and columns represent experimental samples. (B) List of
488 the differentially expressed miRNAs and their fold change values.

489 Abbreviations: Pool=Pools of primers (Pool A and Pool B) for reverse transcription and
490 preamplification (Applied Biosystems); p value=Student's *t* test *P* values; FC=Fold change
491 values were generated from the median expression of the miRNAs from the TaqMan® Human
492 MicroRNA Array in the groups compared. FDR=False Discovery Rate. Statistical significance
493 FDR $<10\%$.

494

495 **Figure 3. Kaplan-Meier curves for tumour progression and cancer-specific**
496 **survival. (A)** Kaplan-Meier estimates of probability of being free of tumour progression
497 according to the identified model including miRNA-149 and miRNA-31 expression. Blue
498 line represents low risk patients ($RS < 0.86$; $n=108$), and red line high risk patients
499 ($RS \geq 0.86$; $n=39$). **(B)** Kaplan-Meier estimates of probability of cancer-specific survival
500 according to miRNA-149 expression. Blue line represents low risk patients ($RS < 0.93$;
501 $n=96$), and red line high risk patients ($RS \geq 0.93$; $n=51$).

502

503 **TABLES AND THEIR LEGENDS**

504

505 **Table 1. Pathological features of UTUC patients.**

506

		Hospital Clinic of Barcelona	Claude Huriez Hospital of Lille	Pitié Salpêtrière Hospital of Paris	Total (%)
N Total		78	56	16	150 (100)
Histological Grade	I	6	7	1	14 (9.3)
	II	34	11	3	48 (32)
	III	38	38	12	88 (58.7)
Pathological Stage	pTa	9	13	4	26 (17.3)
	pT1	20	17	5	42 (28)
	pT2	17	7	4	28 (18.7)
	pT3	18	17	3	38 (25.3)
	pT4	14	2	0	16 (10.7)

507

508

509 **Table 2. Technical validation of TaqMan Array data using LNA primers in the**

510 **same cohort of patients (n=18).**

miRNA	TaqMan Array (GM)		LNA primers (miRNA-218)	
	FC	p value	FC	p value
hsa-miR-141	-3.38	0.01*	-1.37	0.26
hsa-miR-149	-7.89	0.003*	-5.02	0.06
hsa-miR-181a-2*	-2.88	0.03*	-2.09	0.03*
hsa-miR-200a	-2.90	0.01*	1.06	0.77
hsa-miR-31	-7.56	0.01*	-2.16	0.45
hsa-miR-378*	-7.23	0.003*	4.47	0.05
hsa-miR-493	4.42	0.006*	8.52	0.03*
hsa-miR-99a	2.67	0.01*	3.12	0.01*

511 Abbreviations: p value=Student's *t* test *P* values; GM= global mean normalization method;
512 miRNA-218=data normalization with miRNA-218; FC=Fold change. *Statistically significant
513 (p<0.05).

Accepted

514

515 **Table 3. Univariate analysis of predictors of tumour progression and cancer-**

516 **specific survival**

517

	TUMOUR PROGRESSION			CANCER SPECIFIC-SURVIVAL		
	HR	95% CI	p value	HR	95% CI	p value
miR-149	0.77	0.66 - 0.90	0.0011*	0.80	0.68 - 0.94	0.0071*
miR-181a.2	0.80	0.67 - 0.96	0.0139*	0.81	0.67 - 0.98	0.0337*
miR-31	0.85	0.80 - 0.90	<0.0001*	0.96	0.88 - 1.05	0.3735
miR-493	0.96	0.84 - 1.10	0.5516	0.93	0.81 - 1.06	0.2922
miR-99a	0.97	0.83 - 1.13	0.6767	1.00	0.85 - 1.17	0.9844
Pathological Stage	2.82	2.02 - 3.93	<0.0001*	1.88	1.39 - 2.55	<0.0001*
Histological Grade	3.52	1.70 - 7.31	0.0007*	2.29	1.25 - 4.21	0.0075 *

518

519 Abbreviations: HR, hazard ratio; 95% CI, 95% confidence interval. * Statistically significant
520 (p<0.05).

521

522 **Table 4. Altered predicted KEGG pathways in tumour progression and cancer**

523 **specific survival by miR-31 and miR-149.**

524

KEGG Pathway	p value
Progression	
T cell receptor signaling pathway -hsa04660	0.028
B cell receptor signaling pathway -hsa04662	0.006
GnRH signaling pathway -hsa04912	0.034
ErbB signaling pathway -hsa04012	0.026
Gap junction - hsa04540	0.033
Epithelial cell signaling in Helicobacter pylori -hsa05120	0.008
VEGF signaling pathway -hsa04370	0.000
Adherens junction -hsa04520	0.013
Survival	
Methane metabolism -hsa00680	0.000
Heparan sulfate biosynthesis -hsa00534	0.029
Vitamin B6 metabolism- hsa00750	0.038

525

526 Abbreviations: KEGG, Kyoto Encyclopedia of Genes and Genomes; hsa value, KEGG

527 reference of each pathway

Accepted

Figure 1

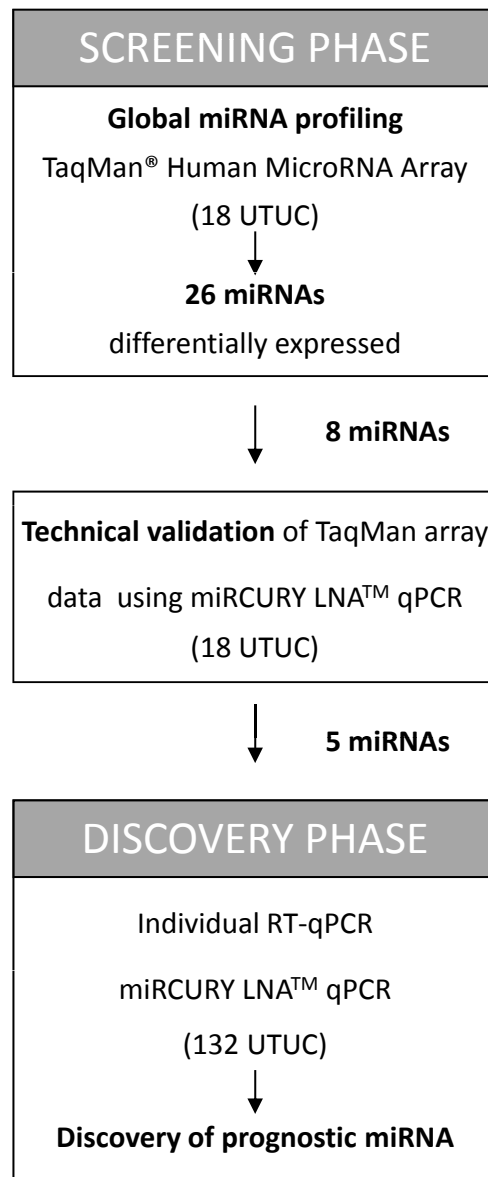
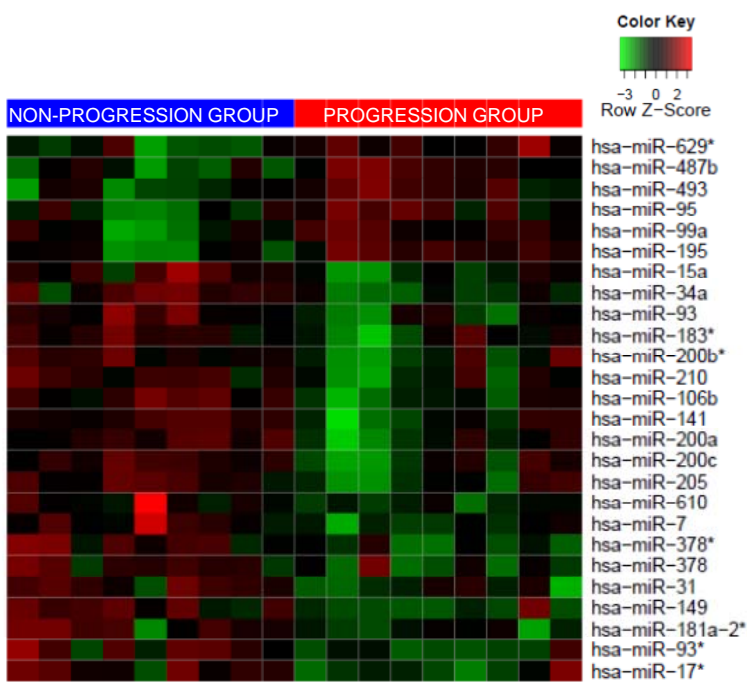


Figure 2

A

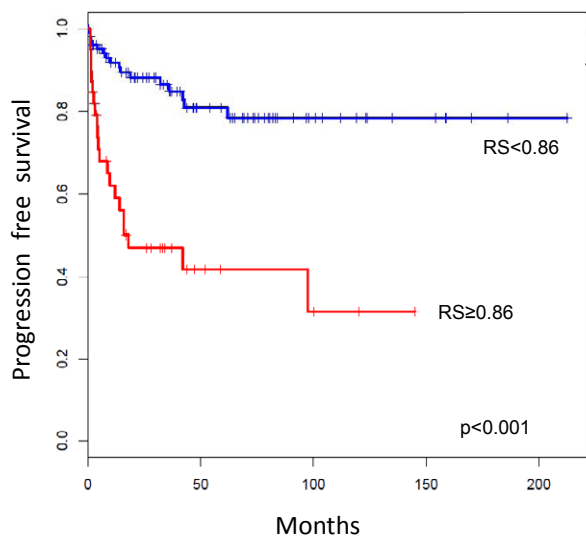


B

miRNA	pool	p value	FC	FDR
hsa-miR-31	A	0.011	-7.56	0
hsa-miR-34a	A	0.001	-2.67	0
hsa-miR-141	A	0.011	-3.38	0
hsa-miR-149	A	0.003	-7.89	0
hsa-miR-200a	A	0.011	-2.90	0
hsa-miR-205	A	0.013	-3.51	0
hsa-miR-378*	B	0.004	-7.23	0
hsa-miR-93*	B	0.004	-6.32	0
hsa-miR-7	B	0.014	-2.07	0
hsa-miR-15a	A	0.011	-1.92	5.319
hsa-miR-106b	A	0.002	-1.96	5.319
hsa-miR-200c	A	0.011	-3.45	5.319
hsa-miR-210	A	0.014	-2.91	5.319
hsa-miR-610	B	0.043	-2.10	5.319
hsa-miR-99a	A	0.012	2.67	6.383
hsa-miR-195	A	0.002	1.44	6.383
hsa-miR-487b	A	0.003	4.73	6.383
hsa-miR-493	A	0.006	4.42	6.383
hsa-miR-629*	B	0.005	2.89	6.383
hsa-miR-17*	B	0.022	-2.92	6.383
hsa-miR-95	A	0.011	3.52	8.326
hsa-miR-200b*	B	0.038	-2.31	8.704
hsa-miR-181a-2*	B	0.026	-2.88	8.704
hsa-miR-93	A	0.007	-1.40	9.820
hsa-miR-183*	B	0.044	-1.95	9.820
hsa-miR-378	B	0.043	-3.56	9.820

Figure 3

A



B

