

1 **Small Ruminant Lentivirus genotype E is widespread in Sarda Goat**

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3 Reina Ramsés^{1,2}, Bertolotti Luigi¹, Dei Giudici Silvia³, Puggioni Giantonella³,
4 Ponti Nicoletta³, Profiti Margherita¹, Patta Cristiana³, Rosati Sergio^{1*}

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6 ¹ Dipartimento di Produzioni Animali, Epidemiologia, Ecologia, Facoltà di
7 Medicina Veterinaria, Università degli Studi di Torino, Via Leonardo da Vinci 44,
8 10095 Grugliasco (TO), Italy

9 ² Instituto de Agrobiotecnología, CSIC-UPNA-Gobierno de Navarra, Ctra.
10 Mutilva Baja, 31192 Mutilva Baja, Navarra, Spain

11 ³ Istituto Zooprofilattico Sperimentale della Sardegna, Sassari, Italy

12 *Corresponding author:

13 Rosati Sergio, Dipartimento di Produzioni Animali, Epidemiologia ed Ecologia,
14 via Loenardo da Vinci, 44, 10095, Grugliasco (TO), Italy. Phone 0039 011
15 6709187, fax 00390116709196.

16

17 **Abstract**

18 The highly divergent SRLV genotype E has recently been characterized in Italy as
19 a low pathogenic caprine lentivirus in the Roccaverano breed. The availability of
20 a genotype specific diagnostic test based on a comparative assay, using a
21 combination of genotype specific recombinant antigens allows a wide serosurvey
22 in other goat populations. The island of Sardinia still has the highest small
23 ruminant population of any Italian region and crossbreeding has been limited to
24 goats, mainly with the Maltese breed.

25 A serological survey was carried out on sheep flocks and goat herds, using
26 individual sera as well as a bulk milk-adapted procedure. Genotype E was
27 identified in more than 50% of goat herds and none of the sheep flocks thus
28 supporting the idea that this genotype is specifically associated with the goat
29 species. The full length proviral sequence of a Sardinian isolate revealed and
30 confirmed the deletion of dUTPase subunit and the absence of both *vpr* gene and
31 the 71 bp repeat of the LTR. Genetic similarity of this isolate with the prototype
32 strain Roccaverano was no more than 84%, supporting the designation of two
33 subtypes within genotype E. Nevertheless, *in vitro* properties of the Sardinian
34 strain were different from those of the Roccaverano strain in terms of ability to
35 infect synovial membrane and produce syncytia. Remarkable differences in the
36 HV1 and HV2 of the *env* gene were recorded, with the Sardinian isolate
37 displaying sequence motif more similar to arthritic strains. Data presented suggest
38 diffusion of genotype E is wider than previously thought.

39

40 Keywords: **small ruminant lentivirus / genotype e / pathogenic subtype / sarda**

41 **goat.**

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43 **Introduction**

44 Small ruminant lentiviruses (SRLV) are a group of viruses displaying different
45 genetic, antigenic and biological properties in their natural hosts. These viruses
46 cause slow progressive multi systemic diseases involving joints, mammary
47 glands, brain and lungs. Beside the Maedi Visna Virus (MVV) and Caprine
48 Arthritis Encephalitis Virus (CAEV), prototypes of genotype A and B
49 respectively, additional genotypes C, D and E have been described (Reina *et al.*,
50 2009a; Shah *et al.*, 2004). The latter has so far been identified in the Roccaverano
51 goat, an endangered Italian breed. Full length genome analysis of the prototype
52 strain *Roccaverano* revealed unusual genetic organization with natural deletions
53 of the dUTPase subunit of the *pol* gene and the absence of *vpr* gene (previously
54 characterized as *tat* gene) (Reina *et al.*, 2009a). We proposed the designation of
55 low pathogenic caprine lentivirus to characterize this viral cluster for two main
56 reasons: i) reduced viral load and disease progression have been observed using
57 CAEV molecular clones artificially deleted for the same gene or gene subunit; ii)
58 the arthritic clinical index in a Roccaverano flock infected with genotype B is
59 significantly higher than that found in a flock infected with genotype E (personal
60 observations). A recent study has indicated that, due to antigenic diversity of *gag*
61 encoded proteins among genotypes A, B or E, distribution of E-like infection in
62 other small ruminant population would require a specific antigen design. To
63 address this problem a comparative assay was proposed using the recombinant
64 P16 (matrix) and P25 (capsid antigen) fusion protein from both B and E
65 genotypes. This test was able to selectively detect genotype E infected animals,

66 based on different reactivity against homologous antigen (Reina *et al.*, 2009b).
67 Since only few infected flocks have been recorded to date in the Piedmont region
68 (North-West Italy), it is difficult both to speculate on the distribution of genotype
69 E infection in other countries and to assess if genotype E might be present in other
70 goat populations displaying different biological behaviour (i.e. virulence). In Italy,
71 several local goat populations have been subjected to unplanned crossbreeding
72 with imported breeds to increase milk production. The introduction of B1 subtype
73 (CAEV-like strains), especially from France, is commonly believed to have
74 occurred through importation of French Alpine and Saanen breeds in the early
75 eighties (Grego *et al.*, 2007). Pathogenic strains such as those belonging to
76 subtype B1, tend to spread horizontally among adult animals. For this reason,
77 even if more than 50 goat breeds are currently farmed, B1 strains appeared to be
78 widespread in many regions. Local breeds with limited crossbreeding or
79 introduction represent a good starting point to investigate the presence of
80 genotype E. The Sarda goat lives only in Sardinia and represents more than 20%
81 of the Italian goat population (about 300,000 heads). Crossbreeding has mainly
82 occurred in the past with the Maltese breed, while introduction of B1 infected
83 goats has been limited (Ajmone-Marsan *et al.*, 2001; Sechi *et al.*, 2007). In the
84 present study, a large number of small ruminant flocks were tested using genotype
85 E and B comparative ELISA assay. While sheep flocks were negative to genotype
86 E, surprisingly, more than 50% of the goat herds resulted positive, suggesting that
87 genotype E infection is widespread in the Sarda goat. Genome analysis of a
88 Sardinian viral isolate revealed a similar genome organization within genotype

89 and moderate pathogenic behaviour in vitro. Different viral evolutionary strategies
90 in the two different sized populations and potential genotype E reservoir in other
91 countries are also discussed.

92

93 **Materials and Methods**

94 *Blood (serum and DNA) and milk samples*

95 Caprine herds or ovine flocks were selected randomly among the Sardinian
96 population, involving the most populated areas on the island.
97 Individual whole blood from 20 ovine flocks and from 30 caprine herds were
98 initially collected and serum was stored at -20°C until ELISA testing. Buffy coats
99 and milk were obtained from 21 samples belonging to three of the caprine herds
100 and DNA was extracted using DNA blood minikit (Qiagen). Following this
101 preliminary serological survey, 186 bulk milk samples were collected from
102 additional caprine or mixed herds and subjected to milk-adapted ELISA (Fig. 1).
103 For both sera and bulk milk, appropriate positive and negative controls were
104 included in each test, including samples from three caprine herds characterized in
105 a previous study and known to be infected with genotype B, genotype E and both
106 genotypes (Reina *et al.*, 2009b).

107

108 *ELISA comparative assays*

109 A previously described ELISA test was used to serotype samples, consisting of
110 P16-25 recombinant antigen derived from genotypes B (strain IT-Pi1) and E
111 (strain Roccaverano) (Reina *et al.*, 2009a). Briefly, ELISA microplates

112 (Immunomaxi TPP) were coated with 100 ng of each recombinant antigen and
113 water as negative control. After drying and blocking steps, serum samples were
114 applied at 1/20 dilution and plates incubated at 37° for 1h. Following the washing
115 step, peroxidase labelled Mab anti-sheep/goat IgG was applied and plates
116 incubated as above. After the final wash, development was carried out using
117 ABTS and plates were read at 405 nm. Net absorbances were obtained by
118 subtracting the absorbance of negative antigen from the absorbance of each
119 recombinant antigen. Cut-off value was defined as percentage of reactivity $\geq 20\%$
120 of the absorbance of positive control included in each plate.

121 Bulk milk samples were tested using the same P16-25 ELISA and a previously
122 described subunit ELISA (sub-ELISA) (Reina *et al.*, 2009b) in which microplates
123 were coated with 200 ng/well of the immunodominant epitope of capsid antigen
124 derived from genotype B (sequence KLNEEAERWRRNNPPPP) and E (sequence
125 KLNKEAETWMRQNPQPP). Since both peptides were expressed as GST fusion
126 protein, an equimolar amount of GST was used as negative control. Net
127 absorbances were obtained by subtracting the absorbance against GST antigen
128 from that of each recombinant subunit. Milk samples were used at 1/2 dilution in
129 both assays and procedures were carried out as above. For P16-25 ELISA, a
130 standard curve was generated using two fold dilutions of bulk milk sample into
131 negative bulk milk, the former obtained from a caprine herd with known
132 seroprevalence and known to be infected only with E genotype. Cut off was
133 defined as the absorbance level of the dilution corresponding to 20% prevalence
134 and included in each plate.

135

136 *PCR, sequencing and phylogenetic analysis*

137 DNA was extracted from individual blood and milk samples and used to amplify a
138 partial region of the *gag* gene (Grego *et al.*, 2007). Briefly, DNA was analyzed by
139 a nested PCR designed to amplify a 1.3 kb fragment in the first round and a 0.8 kb
140 fragment in the second one. The result of the nested amplification was sequenced
141 directly using an ABI PRISM 310 Genetic Analyzer (Applied Biosystem, Monza,
142 Italy). Nucleotide sequences were aligned using Clustal X algorithm, in respect of
143 the amino acidic coding frame and were compared to SRLV homologous
144 sequences available on GenBank.

145 Genetic similarity was expressed as nucleotide and aminoacid diversity (Nei,
146 1987), or mean proportion of differences among sequences. Taken into account
147 the peculiar genomic organization of isolates within genotype E, the amount of G
148 to A transitions was analyzed to investigate the possible role of genome deletions
149 on the viral mutation rate. The evaluation of the amount of G-to-A substitutions
150 was carried out using hand made functions in R computer software (R
151 Development Core Team 2007), available upon request.

152 Selective pressure was evaluated calculating the ratio (ω) of non synonymous
153 substitutions per non synonymous sites (d_N) and the number of synonymous
154 substitutions per synonymous sites (d_S); evaluation of selective pressure was
155 performed considering the overall number of substitutions and analyzing
156 mutations at site-specific level (SNAP www.hiv.lanl.gov).

157 In order to describe the phylogenetic relationships among new and SRLV
158 reference sequences, we created a dataset including samples belonging to the
159 genotype E published previously (Grego *et al.*, 2007) and sequences from A, B
160 and C genotypes as outgroups. The phylogenetic tree was created evaluating the
161 best model of molecular evolution (ModelTest software, (Posada and Crandall,
162 1998)) and using Bayesian heuristic approaches (MrBayes software, (Ronquist
163 and Huelsenbeck, 2003)).

164

165 *Virus isolation and genome sequencing*

166 An uncharacterized viral isolate, which had been previously obtained by co-
167 culture of the buffy coat with a primary culture of choroid plexus cells, was traced
168 back in our laboratory as a frozen supernatant. It had been isolated in a Sardinian
169 caprine herd, found reactive against genotype E antigen in the present study, from
170 an adult animal suffering unspecific arthritis. DNA extracted from the buffy coat
171 of the same animal was also available. Viral isolate (hereafter named *Seui*) was
172 cultured on caprine foetal synovial membrane (CFSM) and analyzed for syncytia
173 formation, immunocytochemistry, RT activity (Cavidi) and PCR. DNA from
174 infected cells was used to obtain the complete genome sequence of the *Seui*
175 isolate using primers described in Table 1. Rev transcripts analysis was carried
176 out by RT-PCR with primers already described (Gjerset *et al.*, 2006).

177

178 *Nucleotide accession numbers*

179 Nucleotide sequences of partial *gag* fragments and the complete genome of strain
180 *Seui* were submitted to the GenBank database and given accession numbers
181 GQ428519-36 and GQ381130 respectively.

182

183 **Results**

184 *P16-25 ELISA and sub-ELISA*

185 Serological test was conducted on a total of 504 animals from 19 goat herds
186 (n=309) and 19 sheep flocks (n=195) and only goats showed the presence of
187 genotype E infection in Sardinia, reaching absorbance values comparable to those
188 of the positive controls used. Serum P16-25 ELISA was able to serotype the
189 infection and although some animals reacted against both antigens (genotype B
190 and E), most reacted in a type specific manner against genotype E antigen (Fig
191 2A).

192 Following this preliminary screening, we used bulk milk from a total of 186 goat
193 herds to estimate the real prevalence of genotype E within the Sarda goat
194 population. Based on milk adapted P16-25 ELISA, serotyping was not always
195 possible due to highly reactive samples, which reached a saturation level against
196 both B and E derived antigens. Although titration of highly reactive samples may
197 has overcome this drawback, subunit-ELISA was able to serotype most milk
198 samples but sensitivity was obviously lower than that obtained by P16-25 ELISA.
199 When both methods were merged, the estimation of 74% of SRLV
200 seroprevalence was found in the Sardinian goat herds. Among these, 19.41% were
201 infected with genotype B, 44.12% with genotype E and 10.59% were infected by

202 both genotypes or not characterised. Finally, 18.24% of the flocks were found
203 negative by both assays. Results clearly indicate that genotype E is widely
204 distributed on the island of Sardinia, reaching a prevalence twice the levels found
205 for genotype B.

206

207 *PCR sequencing and phylogenetic analysis*

208 A total of 18 partial sequences (0.8 Kb) of the *gag* gene were obtained and
209 analyzed. The mean nucleotide diversity among Sardinian samples was 9.915%
210 (standard error of the mean 1.105%). Analyses on G to A transitions showed that
211 the amount of this specific mutation was 24.75% (standard error of the mean =
212 0.60%) of the total number of substitutions and it is similar to that of Roccaverano
213 cluster in Piedmont (Reina *et al.*, 2009a). The evaluation of selective pressure
214 showed the presence of purifying selection ($\omega = 0.032$).

215 Phylogenetic relationships among new Sardinian samples, sequences from
216 Piedmont belonging to genotype E and reference sequences are described in the
217 phylogenetic tree reported in Figure 3. Tree topology clearly indicates the
218 divergence between Roccaverano and Sardinian clusters.

219

220 *Complete genome sequencing and in vitro properties*

221 Sardinian genotype E (strain Seui) was able to infect synovial membrane as
222 assessed by the presence of characteristic CPE, immunocytochemistry, RT
223 activity and PCR.

224 DNA extracted from infected CFMSM with *Seui* strain was used to amplify the
225 complete proviral genome in six steps (LTR, LTR-*gag*, *gag*, *gag-pol*, *pol*, and
226 *env*). Rev transcripts were successfully generated by RT-PCR. Since the complete
227 sequence was obtained by overlapping PCR fragments, it may not reflect the
228 sequence of a single provirus. However *env* sequences obtained from PBMC,
229 coculture and milk from the isolation's animal presented a divergence less than
230 1%. Furthermore, *Rev* sequences presented a divergence of 0.14% compared with
231 *env* sequence obtained from DNA indicating that the provirus sequence is
232 representative of a replication competent virus.

233 The mean nucleotide diversity between *Seui* and Roccaverano strain was 14.643%
234 (SEM: 1.104%). This result supports the definition of two different subtypes
235 within the genotype E, according to the previously proposed criteria (Shah *et al.*,
236 2004).

237 Proviral sequence revealed that the hallmarks of genotype E were confirmed in
238 the Sardinian isolate. Residual dUTPase subunit presented additional four amino
239 acids respect to Roccaverano strain. Differences were also observed in the hyper
240 variable regions of *env* gene (HV1 and HV2), the Sardinian isolate displaying
241 sequence motifs more similar to arthritic strains (Table 2). Long terminal repeats
242 included all the described enhancer elements already present in the Roccaverano
243 strain, except for the AP-4 site tandem repeat, a common feature of CAEV
244 isolates.

245

246 **Discussion**

247 As hypothesised in the previous study, the lack of a specific serological tool
248 allowed no speculation as to the distribution of genotype E in geographical
249 locations different from the one where it was initially described (Reina *et al.*,
250 2009b). Serological data from different goat herds, sequence analysis of specific
251 PCR products from three infected flocks and the full length proviral genome
252 sequence of a local strain demonstrate that genotype E infection is associated and
253 widely distributed in the Sarda goat, while Sarda sheep seems to harbour a
254 genotype B (CAEV-like) lentivirus, a common feature in Italian sheep population
255 (Grego *et al.*, 2002).

256 Sarda goat, unlike the Roccaverano breed, represents an important goat population
257 with economic significance at a local and national level. Moreover, since the
258 population size of the Sarda goat is not comparable to the Roccaverano breed, in
259 terms of average number of head per flock, farming system, management and
260 productive levels, the biological significance of genotype E as low pathogenic
261 caprine lentivirus needs to be redefined. The tree topology indicates a clear
262 divergence between Roccaverano and *Seui* strains, showing quite different clade
263 structures and features. These differences in the evolutionary pathway can be
264 justified by epidemiological and historical data. In fact, Roccaverano goats were
265 at risk of extinction in the early sixties, when people abandoned rural areas in
266 favour to towns, following industrial development. This social behaviour forced
267 the goat breed to pass through a bottleneck, and drove viral evolution to take
268 advantage, on one hand of reducing virulence and on the other hand of persisting
269 in a small population, limiting the transmission to lactogenic route. In Sardinia,

270 viral evolution might have displayed a different behaviour, increasing or
271 maintaining a certain degree of both virulence and horizontal transmission. To
272 date it is difficult to speculate about the pathogenic role of genotype E for several
273 reasons. First of all, the genomic organization of the Sardinian isolate is similar to
274 the Roccaverano strain, lacking both dUTPase subunit and *vpr* gene. In other
275 SRLV models, dUTPase and *vpr* were specifically associated with an increased
276 viral load, tissue distribution and lesion severity, compared to the deleted
277 counterpart (Harmache *et al.*, 1998). In addition, the presence of other pathogens,
278 such as *Mycoplasma* spp, *Fusobacterium necrophorum*, *Bacteroides nodosus*,
279 which had consistently been reported in the Sarda goat, could lead to lentivirus-
280 induced overlapping clinical signs. Finally, the viral isolate used in this study had
281 originally been obtained from co-cultivation of peripheral blood mononuclear
282 cells with choroid plexus or synovial membrane cells, while viral isolates from
283 direct explantation of synovial membrane of arthritic goats are still unavailable for
284 genotype E. In vitro study, however, seems to attribute to the Seui strain a certain
285 degree of cytopathogenicity at least in terms of ability to infect synovial
286 membrane and syncytia formation, while replication of the Roccaverano isolate
287 in the same cell system is greatly reduced (personal observation; manuscript in
288 preparation). Cell tropism has been attributed to sequence variation in U3 region
289 of LTR, related to specific transcription factor binding sites, as well as variation in
290 the hyper variable (HV) regions of the *env* gene. We first analysed the structure of
291 viral enhancer elements and significant similarity was found between the two
292 strains, except for a genuine AP4 tandem repeat which is present in *Seui* as well as

293 several CAEV isolates, while a point mutation is present in one of the two
294 repetitions in the Roccaverano strain. In the *env* gene, amino acid motif in the
295 HV1 and HV2 regions were clearly different between strains, the Seui being more
296 similar to arthritic isolates. It should be noted that compartmentalization studies of
297 viral quasispecies revealed that different motifs in the HV1-2 regions of CAEV
298 are a normal finding in the same animal (Hotzel *et al.*, 2002) and arthritic related
299 sequences might have resulted from an in vitro adaptation of *Seui* isolate to
300 synovial membrane cells. For this reason we sequenced a PCR fragment
301 encompassing the HV region from PBMC of the same animal from which the
302 *Seui* strain was isolated and identical amino acid sequence was obtained.
303 Therefore, we suppose that higher, if any, in vivo virulence of the *Seui* strain
304 could be attributable to different cell tropism related to U3 and/or HV sequences.
305 Sequence analysis of the Sardinian strain *Seui* revealed 84% similarity with the
306 Roccaverano strain supporting the definition of the genotype E and, possibly two
307 subtypes, following the criteria recommended in the HIV field, where at least two
308 epidemiologically unlinked isolates should be sequenced in their entirety
309 (Robertson *et al.*, 2000). Divergence between genomes was not clearly
310 attributable to specific gene or gene fragment. Interestingly, a certain degree of
311 variability was found in the *pol* gene corresponding to residual dUTPase subunits.
312 This seems to confirm that dUTPase was lost during evolution and residual
313 sequence is not subjected to functional constrain except for frame conservation
314 and spacer function between RNaseH and Integrase subunits. On the contrary, the

315 entire ORF of *vpr* was absent in both strains and it is difficult to speculate if *vpr*
316 gene has ever been present in genotype E.

317 If we assume that the Sarda goat is an ancient breed which came to Sardinia
318 during the Mediterranean colonization of navigators from Middle East and, to the
319 best of our knowledge, there has been limited introduction of improved breeds ,
320 we may also assume that SRLV genotype E has strictly been associated with the
321 Sarda goat population, representing an excellent model to study a long lasting
322 host-pathogen interaction and co-evolution. Moreover, phylogeographical
323 partitioning of goat breeds suggests that the Sarda goat belongs to a West
324 Mediterranean cluster, including French (Corse, Rove, Pyreneene) and Spanish
325 (Brava, Verata, Payoya, Florida, Malagueña, Guadarrama) breeds (Canon *et al.*,
326 2006). Since serological tools adapted to bulk milk in this study proved to be very
327 sensitive and dependable for the detection of the genotype E, a wider serological
328 survey including these populations would be essential, in order to identify
329 additional infection foci and evaluate more accurately the biological significance
330 and impact of genotype E in SRLV control programs.

331

332 **Acknowledgements**

333 This work has been partially funded by the Italian Ministry of Health, “Ricerca
334 Corrente” program id IZSSA/03.

335

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399

400

401 **Figure 1.** Map of the Sardinia island, divided in municipalities. A) goat herds
402 density. Grey level indicates goat herd density within the municipality: white =
403 less than 1st quartile (3 herds); light grey = between 1st and 2nd quartile (11 herds);
404 grey = between 2nd and 3rd quartile (15 herds); dark grey = more than the 3rd
405 quartile. B) Municipalities including flocks tested with bulk milk analysis (grey).
406 Circles = E positive flocks; squares = B positive flocks; triangles = coinfecting (or
407 uncharacterised) flocks.

408

409 **Figure 2.** Net absorbance against E (x axis) and B (y axis) antigens. Dashed
410 diagonal line represents equal reactivity versus both antigens. Vertical and
411 horizontal dotted lines represent ELISA E and B cut-offs respectively.

412 A) Data from 19 ovine flocks and from 19 caprine herds. White circles: median
413 absorbance of samples belonging to goat herds. White triangles: median
414 absorbance of samples belonging to sheep flocks. Black squares: reactivity
415 detected in herds previously characterized (Reina *et al.*, 2009b) infected with B
416 (a), E (c) or both strains (b). Vertical and horizontal bars represent the variation
417 (interquartile range) in the distribution of absorbances within flock against B and
418 E antigens respectively.

419 B) Data from 186 goat herds tested using bulk milk sub-ELISA. Dots represent
420 tested flocks.

421

422 **Figure 3.** Phylogenetic tree constructed by Bayesian analysis of 33 partial *gag*
423 gene sequences (consensus alignment length: 525 bp). New sequences are

424 reported in bold. Genbank accession numbers are reported within brackets.

425 Posterior probabilities of clades are indicated above branches.

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1 **Table 1.** Nucleotide sequence of primer pairs.

Amplicon	Length (Kb)	Primer forward (5'→3')	Primer reverse (3'→5')
LTR	0.3	TGACACAGCAAATGTAACCGCAAG	CCACGTTGGGCGCCAGCTGCGAGA
LTR-GAG	0.8	TGACACAGCAAATGTAACCGCAAG	CCCTGGGGGCTGTGGATTCTG
GAG	1.3	TGGTGARKCTAGMTAGAGACATGG	CATAGGRGGHCGGACGGCASC
GAG-POL	2.6	AACCGGGTCATCTAGCAAGAC	CTATCCAGAGAATTTGCACGTCTTG
POL	0.8	GGTGCCTGGACATAAAGGGATTG	GCCACTCTCCTGRATGTCCTCT
ENV	3.0	ATGGACAAGAAGGACGGG	GTGGTTACATTTGCTATGTC
REV	0.5	TGCGGTCCTCGCAGGTGGC	TGAGGCGATCTCCACTCCATC

2

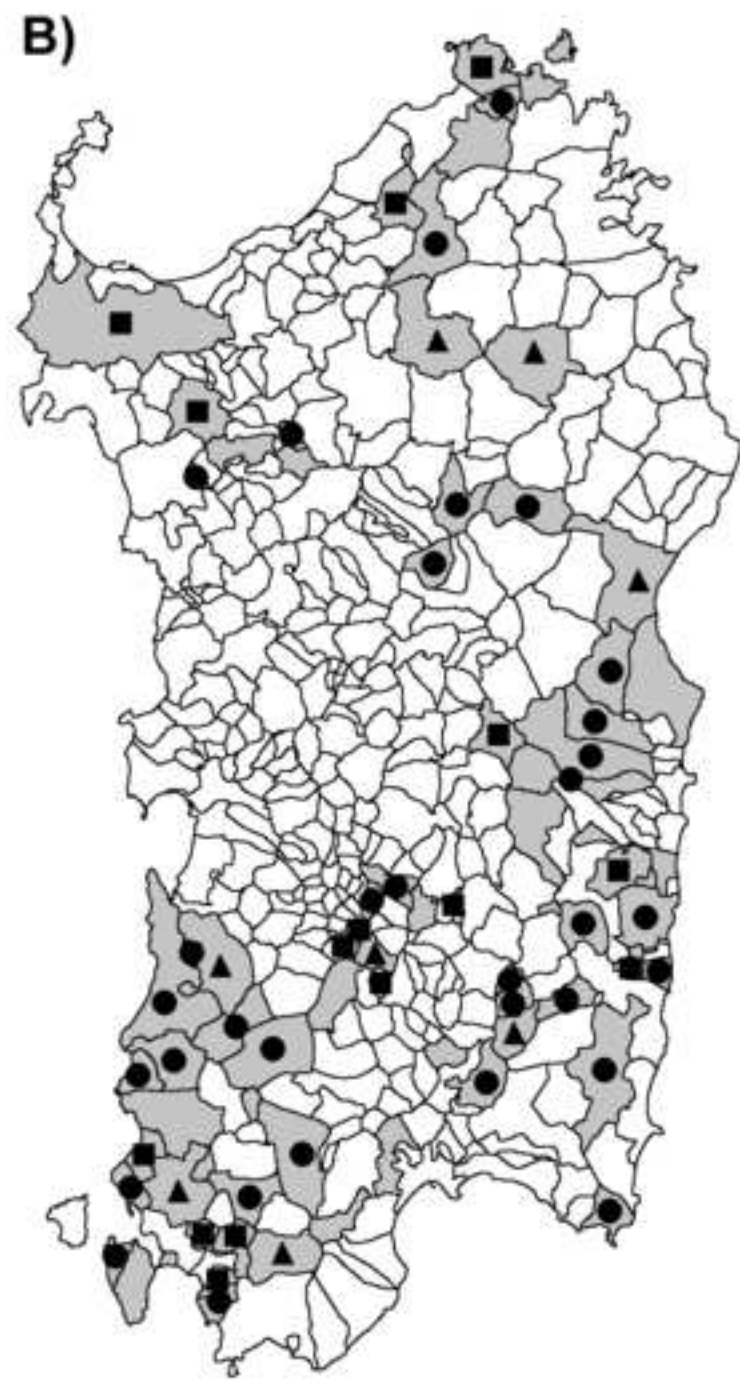
3 **Table 2.** Comparison of HV1 and HV2 *env* protein aminoacidic motifs between

4 Seui strain, different SRLV genotypes and the Roccaverano strain. Dots indicate

5 identity. HV1 and HV2 regions are highlighted in grey (Hotzel *et al.*, 2002).

Strain	Genotype	Ref	HV1	HV2
Seui strain	E2	This work	I-GNNTVIGNCSAQK	GHWTCKPRTKEGKTDLSLYI-GGKK
PBMC colture	E2	This work
SM colture	E2	This work
CAEV Cork	B1	(Saltarelli <i>et al.</i> , 1990)	V...G.IT...TTN	NK...A..QRD.....A....
CAEV-63	B1	(Hotzel <i>et al.</i> , 2002)	VDR.Q.IT...VTN	NK...A..QR.....A....
Ov496	B2	(Glaria <i>et al.</i> , 2009)	V...G.IT...VTN	NK...A..WRG.MS.....A...Q
K1514	A	(Staskus <i>et al.</i> 1991)	V...G.IT...VTN	NK...AA.R.GSRR.....A.-RD
1GA	C	(Gjerset <i>et al.</i> , 2006)	I...S.LQ.Q.NRSN	R.YVN----- .D
Roccaverano	E1	(Reina <i>et al.</i> , 2009a)	L.DAQQ.....KEN	NQ.....QRGNR...V..GA-RR

6



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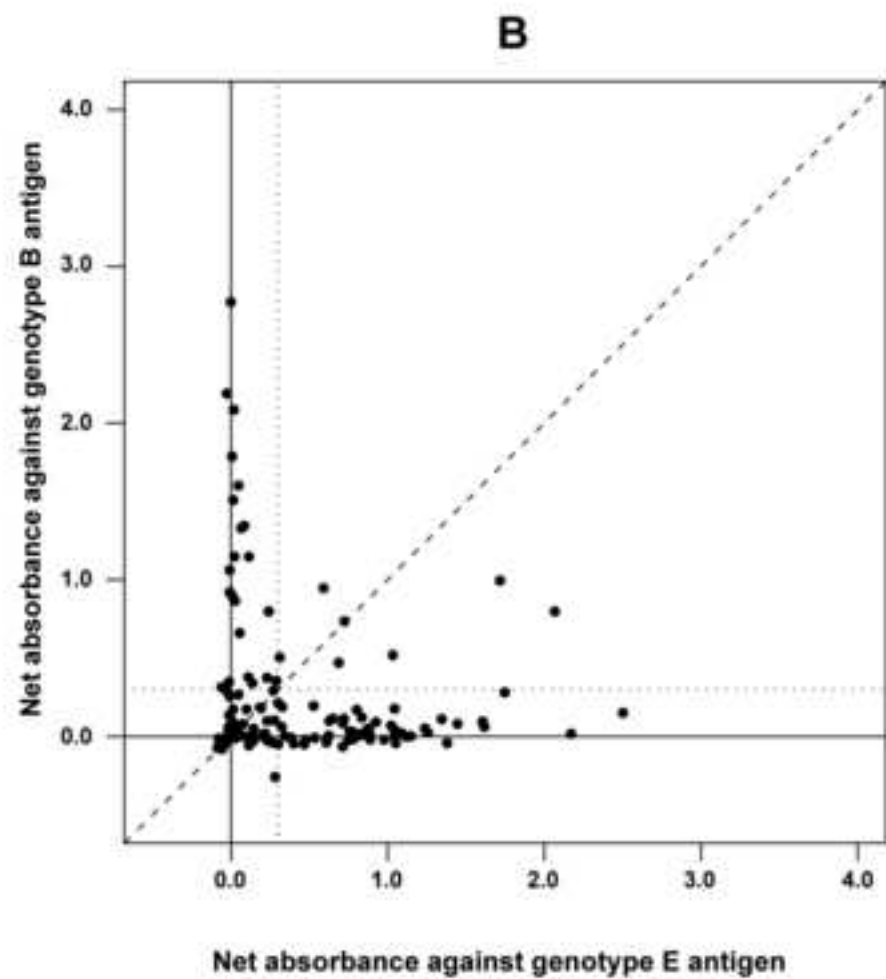
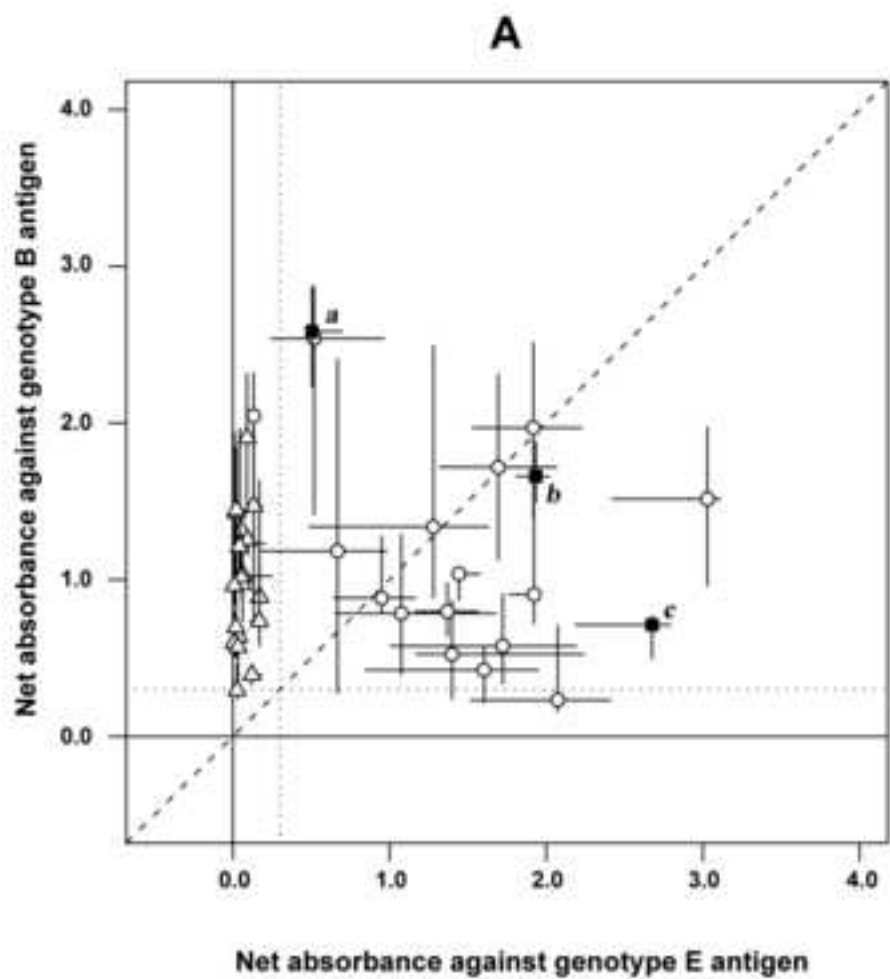


Figure 3
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