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# Soil Moisture Monitoring at the Field Scale Using Neutron Probe

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# 40 **Soil Moisture Monitoring at the Field Scale Using Neutron Probe**

41 J. Kodikara, P. Rajeev, D. Chan and C. Gallage

42

43 **Abstract:** Measurement of moisture variation in soils is required for geotechnical design and research  
44 since soil properties and behavior can vary as the moisture content changes. Neutron probe, which  
45 was developed more than 40 years ago, is commonly used to monitor the soil moisture variation in the  
46 field. This study reports a full-scale field monitoring of soil moisture using neutron moisture probe for  
47 a period of more than 2 years in Melbourne (Australia) region. On the basis of soil types available in  
48 Melbourne region, 23 sites were chosen for moisture monitoring down to a depth of 1500 mm. The  
49 field calibration method was used to develop correlations relating the volumetric water content and  
50 neutron counts. Observed results showed that the deepest “wetting front” during the wet season was  
51 limited to the top 800 mm to 1000 mm of soil whilst the top soil layer down to about 550 mm  
52 responded almost immediately to the rainfall events. At greater depths (550 to 800 mm and below 800  
53 mm), the moisture variations were relatively low and displayed predominantly periodic fluctuations.  
54 This periodic nature was captured with Fourier analysis to develop a cyclic moisture model on the  
55 basis of an analytical solution of one-dimensional moisture flow equation for homogeneous soils. It is  
56 argued that the model developed can be used to predict the soil moisture variations as applicable to  
57 buried structures such as buried pipes.

58

59 *Key words:* Soil moisture content, neutron probe, field calibration, expansive soil, Fourier analysis,  
60 moisture diffusivity

61

## 62 1. INTRODUCTION

63 Large areas of the surficial soil formations in the world are covered by clay soils with high potential  
64 for swelling and shrinking, commonly referred to as expansive soils. Shrinking and swelling of  
65 expansive soil in response to water content or suction change is one of the commonest geotechnical  
66 causes of damage to light structures, road pavements and buried infrastructures (Jones and Holtz  
67 1973; Krohn and Slossen 1980; Freeman *et al.* 1991). Richards *et al.* (1983) estimated that 20% of the  
68 surface soils of Australia can be classified as moderately to highly expansive. In fact, six out of eight  
69 of Australia's largest cities are significantly affected by expansive soils, which realise a significant  
70 proportion of their expansive potential (Fityus *at el.* 2004). Approximately half of the surface area in  
71 Victoria was covered by moderate to highly expansive soils; mostly derived from tertiary, quaternary  
72 and volcanic deposits (Mc Andrew 1965). Numerous light structures founded on expansive soils in  
73 Victoria suffered from ground movement due to heave or drying settlement in the clay beneath them.  
74 According to Archicentre Ltd. (2000), the western and north western suburbs in Melbourne showed  
75 significant foundation distress on average 50% of the houses. Gould *et al.* (2009) reported that the  
76 number of failures in the water and gas pipeline network have increased greatly in recent years across  
77 the world, especially in Australia. On the basis of field monitoring, Gallage *et al.* (2008) identified  
78 that the variation of soil moisture at the vicinity of the pipe leading to soil shrinkage/swelling and  
79 associated pipe deformation could develop pipe flexural stresses exceeding the strength of a corroded  
80 pipe. It follows then that the knowledge of seasonal soil moisture variation in expansive soil is  
81 important to determine the additional stresses and/or deformations that are imposes on the surficial  
82 structures.

83 In general, two different approaches have been reported in literature to calculate stresses and  
84 deformations on structures buried in or placed on expansive soil: (1) using suction as a governing  
85 variable (e.g., Fredlund and Vu, 2003; Masia *et al.*, 2004); and (2) using moisture content as a  
86 governing variable (e.g., Fityus, 1999; Rajeev and Kodikara, 2011). Fredlund and Vu (2003) modelled  
87 the stress and deformation under the slab as a function of variation in matric suction, defined as the

88 excess air pressure over the pore water pressure. Masia *et al.* (2004) undertook 3D numerical  
89 modelling of the expansive soil movement on the basis of soil suction profiles that developed beneath  
90 a structure. This numerical model was reported to be capable of generating continuous records of  
91 moisture variations and deformations over time on the basis of recorded climatic data and  
92 representative soil properties.

93 However, the long term monitoring of suction variation in field is difficult and not reliable (Fityus,  
94 1999; Gould *et al.*, 2011). In contrast, the measurement of moisture content is relatively easy, hence a  
95 ground movement prediction method based on moisture content offers some advantages. A number of  
96 researchers have followed this approach including Fityus (1999) and Rajeev and Kodikara (2011).

97 The accurate measurement of soil moisture is straightforward by oven drying. However, this requires  
98 a soil sample to be retrieved and tested, commonly in the laboratory. For non-destructive  
99 measurement of soil moisture, indirect tests are used such as neutron probe, time or frequency domain  
100 reflectometry and radiometry in remote sensing. Each of these indirect methods offers merits and  
101 demerits for moisture measurement in the field. The neutron probe is suitable for measurements  
102 involving an estimate of moisture within the upper 1000 to 2000 mm of the soil and generally, a  
103 description of moisture variations over large study areas (Schmugge *et al.*, 1980). The neutron probe  
104 has proved to provide satisfactory measurements in soil moisture investigations (Evet and Steiner,  
105 1995). The time domain reflectometry (TDR) determines the apparent dielectric properties of the soil,  
106 which is empirically related to the volumetric soil moisture content. The method is relatively quick  
107 and independent of soil type and is suited to automatic measurements. Remote sensing using low band  
108 radiometry has demonstrated the ability to measure the spatial variation of soil moisture content in the  
109 near-surface soil layer under a variety of topographic and land cover conditions (Schmugge and  
110 Jackson, 1994; Walker *et al.*, 2004). Although apparently less accurate for spot measurements, the  
111 advantage of this method is that it can be used to measure the soil moisture variation in very large  
112 areas in the order of km's. However, a major limitation is that it can only measure moisture content in  
113 the surficial layer of soil, typically within the top 10 to 15 centimetres.

114 In this study, the neutron probe is used to monitor the soil moisture variation in Melbourne region (in  
115 23 sites) for 2 more than years down to a depth of 1500 mm. Most of the monitored sites (i.e., 17 out  
116 of 23 sites) are in the western and north-western suburbs in Melbourne, the surficial natural soils of  
117 which are classified as highly expansive soils. The neutron probe was calibrated to measure the  
118 volumetric water content using the neutron counts, the output of the neutron probe. The calibration  
119 was carried out using field calibration method. The moisture content was measured at depth intervals  
120 of 150, 250, 350, 450, 550, 800, 1200 and 1400 mm. On the basis of the soil types encountered, 23  
121 sites were grouped into three main categories, namely basaltic clay, non basaltic clay and sandy soils.  
122 The variation of soil moisture over the measurement period was compared for each soil type.

123 Finally, a simplified cyclic moisture variation model based on the solution of the one-dimensional  
124 moisture flow equation for homogeneous soil was developed. The model was then used to back-figure  
125 the average moisture diffusivity of soil, arguably presenting a tool for the prediction of soil moisture  
126 fluctuation.

## 127 **2. NEUTRON SCATTERING METHOD**

128 The neutron method of measuring soil water content uses the principle of neutron thermalisation.  
129 Hydrogen nuclei have a marked property for scattering and slowing down neutrons. In presence of  
130 water molecules, high-energy neutrons emitted from a radioactive substance such as radium-beryllium  
131 or americium-beryllium slow down and change direction due to elastic collisions (i.e., thermalisation).  
132 The energy of the neutrons is reduced to about the thermal energy of colliding atoms of a substance at  
133 room temperature. Considering both energy transfer and scattering cross-section, it is evident that  
134 hydrogen, having a nucleus of about the same size and mass as the neutron, has a much greater  
135 thermalising effect than any other element. When both hydrogen and oxygen are considered, water  
136 has a marked effect on slowing or thermalising neutrons. Thermalised neutron density is easily  
137 measured with a detector, if the capture cross-section, except for that due to water, remains constant  
138 (i.e., chemical composition is constant), then the thermal neutron density may be calibrated against

139 water concentration on a volume basis, i.e., giving the volumetric water content. Detailed information  
140 on the neutron scattering method and calibration method can be found in Rajeev *et al.* (2010).

141 A neutron probe consists essentially of two parts: (1) shield with probe; and (2) electronic counting  
142 system. The probe is a sealed metallic cylinder of 30 to 50 mm in diameter and 200 to 300 mm in  
143 length. It contains a radioactive source that emits fast neutrons, a slow neutron detector and a pre-  
144 amplifier. The signal of the pre-amplifier goes through a 5 to 20 m long cable to the electronic  
145 counting system. The schematic diagram of neutron probe is shown in Figure 1.

### 146 **3. SITE SELECTION AND CHARACTERIZATION**

147 The monitoring sites were selected on the basis of several selection criteria. First, the location of the  
148 site should be within the area of reactive soil reflected from the history of high pipe and light  
149 foundation failure rate. Second, surrounding areas of the sites need be clear of other utilities such as  
150 gas, power, telecommunications, storm water and sewer, and the nature strip needs to sufficiently  
151 wide to facilitate installation of the aluminium access tube with reasonable side clearance. Third, the  
152 ground surface needs to be reasonably flat to avoid potential of flooding and other adverse effects of a  
153 sloping ground. Last, the monitoring sites are to be located in a reasonably quiet area with low traffic  
154 flow, so that the vehicle carrying the neutron probe can be parked easily and the any disturbance to  
155 the public during monthly field measurements can be minimised.

156 As a desk-top study, the geological map of Melbourne (Rixon, 1973) was used to select potential  
157 suburbs with highly expansive soil (e.g., Older Volcanics and Newer Volcanics formations according  
158 to the local geology) and a considerable number of potential sites were identified using drive-by and  
159 walking surveys around these suburbs. A total of 50 sites were selected during the initial surveys and  
160 the corresponding authorities and local council were contacted prior to starting the work. Eventually,  
161 23 sites were selected after considering the soil depth and the time required for monthly measurement.  
162 Figure 2 shows the location of all 23 monitoring sites around Melbourne. According to the Australian  
163 Standard of residential slab and footing design (AS2870, 1996), the change of suction depth in  
164 Melbourne area is approximately 1500 mm to 2300 mm, and from pervious field measurements

165 (Gallage et al. 2008; Gallage et al. 2009), it is found that the soil moisture content varies relatively  
166 less at a depth from 1700 to 2000 mm in Melbourne region in comparison to near surface soils.  
167 Therefore, the monitoring was undertaken up to the depth of 1500 mm.

168 Soil samples were collected from all the selected sites for classification. The soil tests were performed  
169 in accordance with the relevant Australian Standards. The particle size analysis (sieving and  
170 hydrometer), plastic limit, liquid limit and linear shrink test were performed. As mentioned above, 23  
171 sites were grouped into three main categories depending on the soil type. Two representative sites per  
172 each category, which is six sites in total, were chosen to present the result and discussion due to the  
173 page limitation. Table 1 gives the soil classification data for the six sites chosen. Figure 3 shows the  
174 particle size distributions of soils at selected six sites.

#### 175 **4. FIELD INSTALLATION OF ACCESS TUBE**

176 The measurement of moisture content using neutron probe requires an access tube to be installed  
177 permanently at each site. In this study, aluminium access tube is selected considering the factors such  
178 as susceptibility to corrosion, the need for mechanical strength, cost, the intended depth of installation  
179 and the need to obtain the maximum count rate. The access tubes used featured outer diameter of 50  
180 mm and inner diameter of 46.8 mm, and were closed at the bottom by a tapered plug of the same  
181 material.

182 The hole in the ground for the access tube was prepared using a suitably sized soil auger. This method  
183 may be unsatisfactory in some cases, especially when the presence of stones can easily deflect the  
184 auger bit causing the hole to be non-uniform. The repeated movement of the auger up and down the  
185 hole when removing soil could enlarge the hole at the top, leaving room for water to run down the  
186 enlarged interface between the access tube and the ground. These difficulties were overcome by  
187 careful auguring and, in some cases, small amount of back filling to close the gap between the ground  
188 and the exterior of the aluminium tube.



189 The hand operated soil auger used to prepare the hole for access tube is shown in Figure 4. In most  
190 cases, the access tube provided a tight fit to augured hole and gentle use of the rammer was required  
191 to push it in. It was important to make sure that the tube bottom was well embedded in the  
192 undisturbed ground (or sitting on rock) in order to prevent the possibility of subsequent sinking of the  
193 tube that could lead to erroneous depth readings. The jutting out part of the access tube was cut at  
194 slightly below the ground level to conceal the tube from vandalism as well as in the case of grassed  
195 ground to allow mowing of the grass. A rubber bung was used to close the tube top, which was in turn  
196 was covered by a steel box (see Figure 5.b), which was eventually covered with soil or grass. Under  
197 favourable conditions, 3 to 4 access tubes were installed in a day.

## 198 **5. CALIBRATION OF NEUTRON PROBE**

199 Calibration of neutron probe involves correlating neutron counts with known volumetric water  
200 contents of the soil. Two approaches are commonly employed, namely laboratory drum calibration,  
201 and in situ or field calibration (Allen, 1993; Babalola, 1978). The laboratory calibration is made by  
202 packing a drum of suitable dimensions with soil at known moisture content, installing an access tube  
203 as used in the field and measuring the neutron probe counts. Then the process is repeated for a range  
204 of soil moisture contents. The radius of the drum must be larger than the radius of influence of the  
205 neutron probe to prevent neutron leakage. The soil used in laboratory calibrations should have the  
206 same elemental composition and bulk density as the soil in the field. However, it is usually difficult to  
207 reproduce in a drum the soil fabric found in situ (IAEA 1970).

208 Field calibrations are accomplished by correlating the probe readings in an access tube installed in the  
209 field, with the measured volumetric moisture contents of the soil along the tube (or possibly  
210 immediately adjacent to the tube). These comparisons have to be repeated at different times of the  
211 year, so as to sample the soil at different moisture contents, in such as case, further retrieving of soil  
212 samples adjacent to the access tube would be necessary. The volumetric moisture contents are usually  
213 estimated from gravimetric soil moisture content and soil density. However, it is often difficult to  
214 obtain representative undisturbed soil samples from heterogeneous soil profiles. In addition, the soil

215 moisture content in the field may vary rapidly with depth, significantly complicating the interpretation  
216 of neutron readings. Detailed descriptions of the laboratory and field calibrations of neutron probe can  
217 be found in Greacen (1981) and IAEA (1970).

218 As stated above, the calibration of neutron probe consists of establishing a relation between probe  
219 output  $cpm$  ( $\text{counts}\cdot\text{min}^{-1}$ ) and soil volumetric water content  $\theta$  [ $(\text{cm}^3 \text{ of H}_2\text{O})\cdot(\text{cm}^3 \text{ of bulk soil})^{-1}$ ].  
220 Theoretically, the same sample volume “exposed” to the neutrons (at a particular  $cpm$ ) from the probe  
221 should be used to measure  $\theta$ . However, this volume is not well defined (for instance, assumed to be a  
222 sphere of 100 to 400 mm diameter), and classical soil moisture measurement methods use samples  
223 significantly smaller. This disparity can be minimized by taking several soil samples for determining  
224  $\theta$  around the access tube near the position of the probe where  $cpm$  was obtained. In most cases, it is  
225 never guaranteed that both methods sampled the same volume of soil. The sampling problem becomes  
226 worse in heterogeneous, layered or stony soils.

227 Having obtained the best set of data possible, a calibration is made from pairs of data ( $cpm$  and  $\theta$ ).  
228 However, the use of a count ratio ( $n_{CR}$ ) is preferred in place of  $cpm$  in order to avoid drifts,  
229 temperature and other effects on the electronics of the neutron probe. The count ratio  $n_{CR}$  is defined  
230 as:

231

$$n_{CR} = \frac{\text{count rate in soil}}{\text{count rate in standard}} = \frac{N}{N_s} = \frac{C\cdot T^{-1}}{C_s\cdot T_s^{-1}} \quad (1)$$

232

233 where  $C$  is number of counts measured in the soil during a period of time  $T$  (min),  $C_s$  number of  
234 counts measured in a standard material during a period of time  $T_s$  (min),  $N$  the count rate in the soil  
235 ( $cpm$ ) and  $N_s$  the count rate in the standard material ( $cpm$ ).

236 Further, the bulk density correction of the count ratio and water content data is carried out as proposed  
237 by Greacen and Schrale (1976). The corrected count ratio  $n_{CR,C}$  and corrected water content  $\theta_C$ , are  
238 determined from Eqs.(2) and (3) respectively:

239

$$n_{CR,C} = n_{CR} \sqrt{\frac{\rho_{bi}}{\rho_b}} \quad (2)$$

240

241 and

$$\theta_C = \theta \frac{\rho_{bi}}{\rho_b} \quad (3)$$

242

243 where  $\rho_{bi}$  = bulk density of soil at a given depth and  $\rho_b$  = average bulk density of the soil profile.

244 A least-squares linear regression of water contents on count ratios is developed using the corrected  
245 data. The calibration equation can be written as:

246

$$\theta_C = a + bn_{CR,C} \quad (4)$$

247

248 where  $a$  is intercept and  $b$  is calibration slope.

249 The intercept of a calibration curve varies from soil to soil and from probe to probe. It dose not need  
250 to pass through zero, since it is an extrapolated value, out of the calibration range. Although there is  
251 no strong theoretical meaning given to this intercept, it is considered to be related to the residual  
252 content of the soil.

253 The slope of the calibration also varies from soil to soil and from probe to probe. Being the derivative  
254 of the calibration line, it represents the sensitivity of the probe, namely the change in soil water  
255 content per unit change in the count ratio. Within certain limits, it can be said that the smaller is its  
256 value, the more sensitive the probe is. In other words, a small change in soil water content will show a  
257 significant change in count ratio, when the calibration slope is small.

258 Because of the processes of neutron interaction in the soil, geometry of the probe, type of neutron  
259 detector, electronics etc, each soil has a specific calibration line for a given neutron probe. Soil  
260 characteristics (mainly chemical composition and bulk density) also affect the calibration line.  
261 Therefore, for a specific soil, calibration lines are related to different soil bulk densities. In general,  
262 the calibration lines for different bulk densities of the same soil are parallel, having the same slope.  
263 For extremely layered soils, especially those with layers of different composition like some alluvial  
264 soils, the slopes differ for each layer (Greacen and Schrale, 1976).

265 In this study the field calibration method was adopted. Seven different sites around Melbourne region  
266 were selected for the field calibration of the neutron probe. A total of 62 disturbed samples of soil  
267 were collected from those fields at different depths. The gravimetric water content,  $w$ , was  
268 determined by weighing the samples before and after drying at 105°C over a 24h period. The bulk  
269 density ( $\rho_{bi}$ ) was measured at each level of neutron probe readings in the laboratory. Table 2 gives  
270 the bulk density variations with depth for the seven sites.

271 The volumetric water content of each sample was calculated by the following formula:

272

$$\theta = \frac{\rho_{bi}}{\rho_w} w \quad (5)$$

273

274 The linear regression (calibration) lines were fitted for the seven sites using the corrected count ratio  
275 and calculated volumetric water content. Table 3 summarises the properties of the fitted calibration  
276 lines for seven sites (i.e., intercept, slope, and coefficient of determination).

277 The data collected from the site No 3 show a very poor linear correlation. It is suspected that the  
278 uncertain sampling protocol and the highly stratified soil profile resulted in the poor correlation  
279 between  $\theta$  and  $n$ , on this occasion. To develop a general calibration equation for all 23 sites, the data  
280 collected from the six sites (except site No 3) were then combined for the regression analysis. The  
281 total number of data point used for the analysis is 53. Figure 6 shows the volumetric water content  
282 against corrected neutron count ratio together with the corresponding regression line for the combined  
283 data set. Figure 6 also shows the  $\pm \sigma$  and  $\pm 2 \sigma$  lines from the mean.

284 The residuals (i.e., the difference between the measured values of water content and the corresponding  
285 values from the regression equation) were plotted as a function of the corrected count ratio to  
286 determine whether the data: (1) were homoscedastic such that the linear regression can be applied;  
287 and (2) were such that residuals did not have outliers greater than two standard deviations away from  
288 zero. On the basis of this analysis, two more data points, which yielded the residuals greater than two  
289 standard deviations away from zero, were removed.

290 Finally, after adjusting the data sets as described, a final least-squares regression was performed and  
291 the residuals were checked for homoscedasticity compliance again. Altogether 37 data points out of  
292 51 points lie between  $\pm$  one standard deviation from the regression line (i.e., more than 68% of the  
293 data lie within  $\pm$  one standard deviation). So the data are approximately normally distributed about  
294 the regression line. Figure 7 shows the processed data and the corresponding regression line (Total of  
295 51 data points). This regression line is considered as the overall calibration equation for volumetric  
296 water content with corrected neutron count ratio and is given as:

$$\theta = -0.050 + 0.318n_{CR} \quad (6)$$

297

298 This equation is used to determine the volumetric water content variation in 23 sites using the periodic  
299 neutron probe measurements undertaken over more than 24 month period, as described in the  
300 following section.

## 301 **6. RESULTS AND DISCUSSION**

302 The soil moisture changes with time due to local climate variations are given in Figure 8 to Figure 13  
303 together with the rainfall data for the selected 6 sites. For each site, the corresponding rainfall data  
304 were obtained from the nearest monitoring station of the Department of Meteorology, Victoria. On  
305 the basis of the measured data and the magnitude of the moisture variation, each soil profile was  
306 divided into three primary layers. Figure 14 shows the moisture variation of basaltic clays with  
307 respect to the soil moisture content measured up to December 2011. The increase and decrease of  
308 moisture content in percentage was calculated with respect to the value at the beginning (i.e., June  
309 2009). It is clear that the soil layer within top 550 mm was highly sensitive to rainfall as evident from  
310 the high moisture variation shown. At lower depths of 550 to 800 mm and below 800 mm, moisture  
311 variations were relatively low with wetting peaking around November 2009, and driest period  
312 occurring around February to March 2010. The moisture content in June 2010 was higher than that of  
313 the previous year.

314 Variations of soil moisture at non-basaltic clay sites are summarized in Figure 15. It is apparent that a  
315 significant peak and a relatively small peak of moisture content occur within the first year for the top  
316 800 mm thick layer. For the layer below 800 mm depth, the peak of moisture content only occurred in  
317 November 2009, arguably as a result of the smoothing effect of moisture content in deeper soil.  
318 Figure 16 shows the variations of moisture content of Quaternary alluvial and tertiary sediments soils  
319 from the sites located at Eastern and Southern Melbourne. Since these soils are predominantly coarse  
320 grained soils, they are more sensitive to rainfall as moisture can seep relatively easily to deeper layers.  
321 The changes below 800mm depth (i.e., typical buried pipe depth) were greater than in other two  
322 categories of sites. However, the changes of soil volume (i.e., shrinking and swelling) due to moisture  
323 are expected to be less in these less reactive sediments.

## 324 7. MODELLING THE SOIL MOISTURE VARIATION

325 As indicated earlier, the knowledge of moisture variation in surficial soil is a key advantage in  
326 predicting the behaviour of structures that are either shallow buried or based on the ground. In  
327 addition, identifying current trends in moisture variation can help understand the likely future  
328 variation in moisture variations in short and long term, which can be due to perceived anthropological  
329 climate change effects. These models of moisture variation may then be used to quantify the effects  
330 of climate change on the surficial infrastructure. In the following section, a relatively simplified  
331 model attempting to capture the essential features of the moisture variation is presented. A detailed  
332 method of modelling climate/ground interaction in two of these sites were presented by Rajeev et al.  
333 (2012).

334 The annual variation of the monthly average soil moisture within the uniform soil at different depths  
335 is considered using the one-dimensional nonlinear diffusion equation:

336

$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left\{ D(\theta) \frac{\partial \theta}{\partial z} \right\} \quad (7)$$

337

338 where  $\theta$  is the volumetric soil moisture content at depth  $z$  at time  $t$ , and  $D(\theta)$  is the soil moisture  
339 diffusivity.

340 In order to develop an analytical solution for the above non-linear equation, the following simplifying  
341 assumptions are made: (1) the soil surface (i.e.,  $z = 0$ ) is subjected to a harmonic sinusoidal moisture  
342 variation ignoring transient moisture variation due to rainfall events; (2) at infinite depth, the soil  
343 moisture is constant and is equal to the average soil moisture content; (3) a constant average moisture  
344 diffusivity is used throughout the soil profile and throughout the year. In general, the moisture  
345 diffusivity depends on the soil water content. However, experiments with certain undisturbed field  
346 soils and clays have shown that the assumption of a rapidly increasing moisture diffusivity is too

347 limiting (Kutřlek and Valentova, 1986). In fact, the diffusivity may increase only mildly with moisture  
 348 content (Clothier and White, 1981) or it may even remain constant or decrease with increasing water-  
 349 content specially for heavy clays (Kutřlek, 1984; Kutřlek, 1983). The detailed experimental and  
 350 numerical study by Kutřlek (1984) showed that assuming constant moisture diffusivity is a good  
 351 working approximation for clay soils. Further, the observed data showed that moisture variation at  
 352 greater depths are not significant. Therefore, the assumption 3 is reasonably valid for practical  
 353 applications in clay soils. Thus, the solution for the Eq.(7) can be presented as in Eq.(8) on the basis  
 354 of the solution for one-dimensional heat flow problem given by Hillel (1982) and Marshall and  
 355 Holmes (1988):

$$\theta(z,t) = \theta_0 + \theta_s e^{-z/d} \sin\left(\omega t - \frac{z}{d} + C_0\right) \quad (8)$$

356

357  
 358 where  $\theta(z,t)$  is the soil moisture,  $\theta_0$  is average soil moisture at  $z$  over a single period,  $\theta_s$  is surface  
 359 soil moisture amplitude,  $C_0$  is a phase angle correction, and  $\omega$  is the angular frequency of periodic  
 360 soil moisture fluctuation (i.e.,  $\omega = 2\pi/T$ ) where  $T$  is the time period. The damping depth  $d$ , which is  
 361 a constant characterizing the decrease in soil moisture amplitude with an increase in distance from the  
 362 soil surface, is defined in terms of moisture diffusivity through

363

$$d = \sqrt{\left(\frac{2D}{\omega}\right)} \quad (9)$$

364

365 However, variable weather conditions are not true harmonic function as depicted by the sinusoidal  
 366 boundary condition. Thus, the solution given in Eq. (8) may not be strictly valid for variable weather  
 367 conditions. As Hurley and Wiltshire (1992) explained in relation to temperature variation within soil,



368 the variations in weather boundary condition can be captured by using Fourier analysis. If we consider  
 369 the linear diffusion equation (with constant  $D$ ) as in Eq. (7), the resulting Fourier solution for the  
 370 variable weather boundary condition can be expressed as:

371

$$\theta(z, t) = \theta_0 + \sum_{L=1}^{\infty} R_L(z) \sin(L\omega t + \Phi_L(z)) \quad (10)$$

372

373 where

$$R_L(z) = \theta_{SL} e^{\left(\frac{-z\sqrt{L}}{d}\right)} \quad (11)$$

374

375 and

$$\Phi_L(z) = \frac{-z\sqrt{L}}{d} + C_L \quad (12)$$

376

377 In this equation, general periodic soil moisture variation is represented by a combination of infinite  
 378 number of harmonics defined by  $L$ . Fourier analysis allows the computation of the coefficients  $R_L(z)$ ,  
 379  $\Phi_L(z)$ , and  $\theta_0$  on the basis of a set of soil moisture measurements at different depths and times as  
 380 given below.

381

$$R_L(z) = \sqrt{a_L^2(z) + b_L^2(z)} \quad (13)$$

382

$$\tan \Phi_L(z) = \frac{a_L(z)}{b_L(z)} \quad (14)$$

383 where

$$a_L(z) = \frac{\omega}{\pi} \int_0^{2\pi/\omega} \theta(z,t) \cos(L\omega t) dt \quad L > 0 \quad (15)$$

384

385 and

$$b_L(z) = \frac{\omega}{\pi} \int_0^{2\pi/\omega} \theta(z,t) \sin(L\omega t) dt \quad L > 0 \quad (16)$$

386

387 In this manner, higher harmonics are used to describe the periodic variation in soil temperature at  
388 different depths. The observed soil moisture data for 12 months are used develop the moisture model  
389 for each site and  $R_L(z)$  and  $\Phi_L(z)$  are computed on the basis of respective data for each site.

390 For temperature variation within soil layer, Van Wijk (1966) and Carson (1963) have shown that the  
391 slope of  $\ln(R_L(z))$  vs.  $z$  will provide an estimate of thermal diffusivity of soil. Using this approach and  
392 Eq. (11), the slope of  $\ln(R_L(z))$  vs.  $z\sqrt{L}$  was used to calculate the moisture diffusivity  $k$  and the  
393 damping depth  $d$ . Similarly, from Eq.(16), the slope of  $\Phi_L(z)$  vs.  $z\sqrt{L}$  can also be used to estimate  $k$   
394 and  $d$ . The slopes were determined for all dominant harmonics, and in a truly uniform bare soil the  
395 values for each  $k$  and  $d$  should be identical.

396 Fourier analysis was carried out using the measured moisture data in 2010 for all six sites. Finally, a  
397 linear relationship for  $\ln(R_L(z))$  vs.  $z\sqrt{L}$  is developed only for the dominant harmonics (i.e., 1<sup>st</sup> five  
398 harmonics). Figure 17 shows the linear fit of  $\ln(R_L(z))$  vs.  $z\sqrt{L}$  for basaltic clay and non basaltic  
399 clay sites. The linear fits for basaltic clay sites show good agreement with higher coefficients of  
400 determination in comparison to the non basaltic clay sites for all the dominant harmonics. The  
401 analysis indicated that for sandy soil sites, a linear fit for  $\ln(R_L(z))$  vs.  $z\sqrt{L}$  is not suitable. Using the  
402 slope of the linear correlations, the moisture diffusivity of the soil is calculated and summarized in

403 Table 4. The calculated moisture diffusivity values are in the reasonable order of heavy and light clay  
404 (e.g., Mitchell, 1980; Staple, 1964; Van den Berg and Louters, 1988).

405 Figure 18 shows the model predicted moisture variation together with measured moisture data, at  
406 different depth for Avondale Heights. The measured data which were used to develop the model is  
407 marked. Figure 19 shows the comparison of predicted and measured moisture contents. The model  
408 predictions show very good agreement with the measured data at greater depths (i.e., below 550 mm)  
409 and the variation between the predicted and measured data increases at shallow depths (i.e., up to 450  
410 mm). The moisture variation at shallower depths is directly effected by climate events. Further, the  
411 rainfall is relatively high in the monitoring period compared to previous years, thereby leading to  
412 moisture build up in the ground. The moisture prediction model may be improved using 4 to 5 year  
413 monitored data to calibrate the model parameters. This is because the typically observed cycle of  
414 moisture build up and depletion in Melbourne, Australia is about 4 to 5 years (Rajeev *et al.* 2012).

## 415 **8. SUMMARY AND CONCLUSION**

416 This work has been undertaken to study the soil moisture variation at different depths along the soil  
417 profile in 23 sites around Melbourne region, Victoria, Australia. The neutron scattering method was  
418 used to monitor the soil moisture variation on monthly basis. The neutron probe is calibrated to get  
419 the volumetric water content from the collected neutron counts. The paper presents the data collected  
420 for more than two years including the rainfall data, the soil classification data for six representative  
421 sites, and soil moisture model developed to predict future moisture variations.

422 The monitored moisture data show that the soil moisture variation at a particular site depends on the  
423 soil type and the local climate variations. The moisture variation within shallower soils (i.e., up to 450  
424 mm depth) closely follows the local climatic events. The moisture variation within deeper soils mostly  
425 depends on the soil type. If the soil is predominantly sandy, the water can infiltrate easily and the  
426 influence of local climate is felt to deeper soils (i.e., up to 1000 mm depth). In contrast, the infiltration  
427 of water is substantially slow in clayey soils (especially in shrinking/swelling soils), and therefore, the  
428 moisture variation within deeper soils depends also on the evaporation rate at the ground surface.

429 Consequently, the moisture content changes within deeper soils can have a time lag of three to four  
430 months or more in comparison to shallower soil. The data also indicates that the soil moisture changes  
431 at the deeper soils are also cyclic in nature.

432 A simplified moisture model is developed using the one-directional moisture flow equation for  
433 homogeneous soil with constant moisture diffusivity. This moisture diffusivity could be considered as  
434 an apparent value representative of the field soil profile considered. The Fourier analysis was carried  
435 out incorporate variable climatic conditions at the ground/soil interface and to find the average  
436 moisture diffusivity applicable to the zone of ground analysed. The basaltic and non-basaltic clays  
437 show reasonable the linear fits for magnitude of Fourier coefficients with depth but sandy soils do not  
438 show acceptable linear trends of these coefficients. For clay soils, it seems possible to back calculate  
439 the average moisture diffusivity using moisture content data using the simplified analysis proposed.  
440 Further, the model developed to predict the moisture variation shows good agreement with the  
441 monitored data at greater depths in comparison to the shallower depths. The model developed can be  
442 used to predict the future possible moisture variation in clayey soils due to climate events and may be  
443 applied to predict the soil movements and swelling stress induced on buried and on ground structures.

444

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583 **Table 5. Summary of the soil classification test results**

<b>Oakleigh South</b>		<b>Doveton</b>	
Plastic limit	-	Plastic limit	26.9
Liquid limit	-	Liquid limit	60.5
Plasticity index	-	Plasticity index	33.6
Linear shrinkage	-	Linear shrinkage	11.4%
Soil group	SM	Soil group	CH
Geological formation	Quaternary alluvial and tertiary sediments, gravel, clay	Geological formation	Quaternary alluvial and tertiary sediments, gravel, clay
<b>Bulleen</b>		<b>Heidelberg West</b>	
Plastic limit	20.7	Plastic limit	20.8
Liquid limit	49.8	Liquid limit	61.3
Plasticity index	29.1	Plasticity index	40.5
Linear shrinkage	14.2%	Linear shrinkage	16.2%
Soil group	CI	Soil group	CH
Geological formation	Non-basaltic clay	Geological formation	Non-basaltic clay
<b>Avondale Heights</b>		<b>Deer Park</b>	
Plastic limit	26.4	Plastic limit	30.8
Liquid limit	87.2	Liquid limit	108.4
Plasticity index	60.6	Plasticity index	77.6
Linear shrinkage	22.8%	Linear shrinkage	25.6%
Soil group	CH	Soil group	CH
Geological formation	Basaltic clay	Geological formation	Basaltic clay

585 **Table 6. Variation of soil bulk density with depth**

Site. No	Depth (mm)									Average Bulk density (g/cm <sup>3</sup> )
	150	250	350	450	550	800	1000	1200	1400	
	Bulk density (g/cm <sup>3</sup> )									
1	1.56	1.45	1.45	1.59	1.71	1.48	1.62	2.05	2.18	<b>1.68</b>
2	1.56	1.45	1.93	2.12	1.98	2.01	1.97	2.07	2.01	<b>1.90</b>
3	1.95	2.00	1.92	2.13	1.97	1.98	1.88	2.06	2.11	<b>2.00</b>
4	1.94	1.94	1.94	1.94	1.94	2.01	2.01	2.12	2.10	<b>1.99</b>
5	0.87	1.53	2.18	2.00	2.10	2.09	2.07	2.11	-	<b>1.87</b>
6	1.96	2.05	2.04	2.02	1.81	2.20	1.95	1.94	2.20	<b>2.02</b>
7	1.74	1.56	1.82	1.76	1.69	1.76	1.77	1.75	1.59	<b>1.72</b>

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589 **Table 7. Summary of Regression Analysis Results and Local Error Estimates**

Site. No	1	2	3	4	5	6	7
Number of data points	9	9	9	9	8	9	9
$R^2$	0.961	0.853	0.053	0.953	0.875	0.584	0.705
$a$	-0.052	-0.594	+0.258	-0.158	-0.093	+0.003	-0.056
$b$	0.260	0.600	0.113	0.430	0.372	0.304	0.379

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593 **Table 8. Moisture diffusivity of soil**

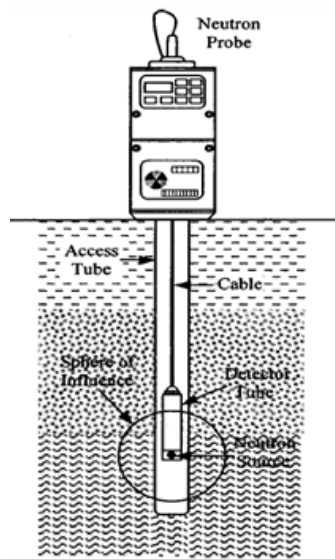
Site	Moisture diffusivity (mm <sup>2</sup> /s)					
	1 <sup>st</sup> harmonics	2 <sup>nd</sup> harmonics	3 <sup>rd</sup> harmonics	4 <sup>th</sup> harmonics	5 <sup>th</sup> harmonics	Average
Avondale Heights	0.0049	0.0126	0.0258	0.0505	0.0156	0.0219
Deer Park	0.0417	0.0789	0.0140	0.0789	0.0789	0.0585
Bulleen	0.2020	0.1403	0.0505	0.1031	0.5611	0.2114
Heidelberg West	0.0197	0.5611	0.0351	0.5611	0.5611	0.3476

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**Figures**



**Figure 1. Schematic of neutron probe**

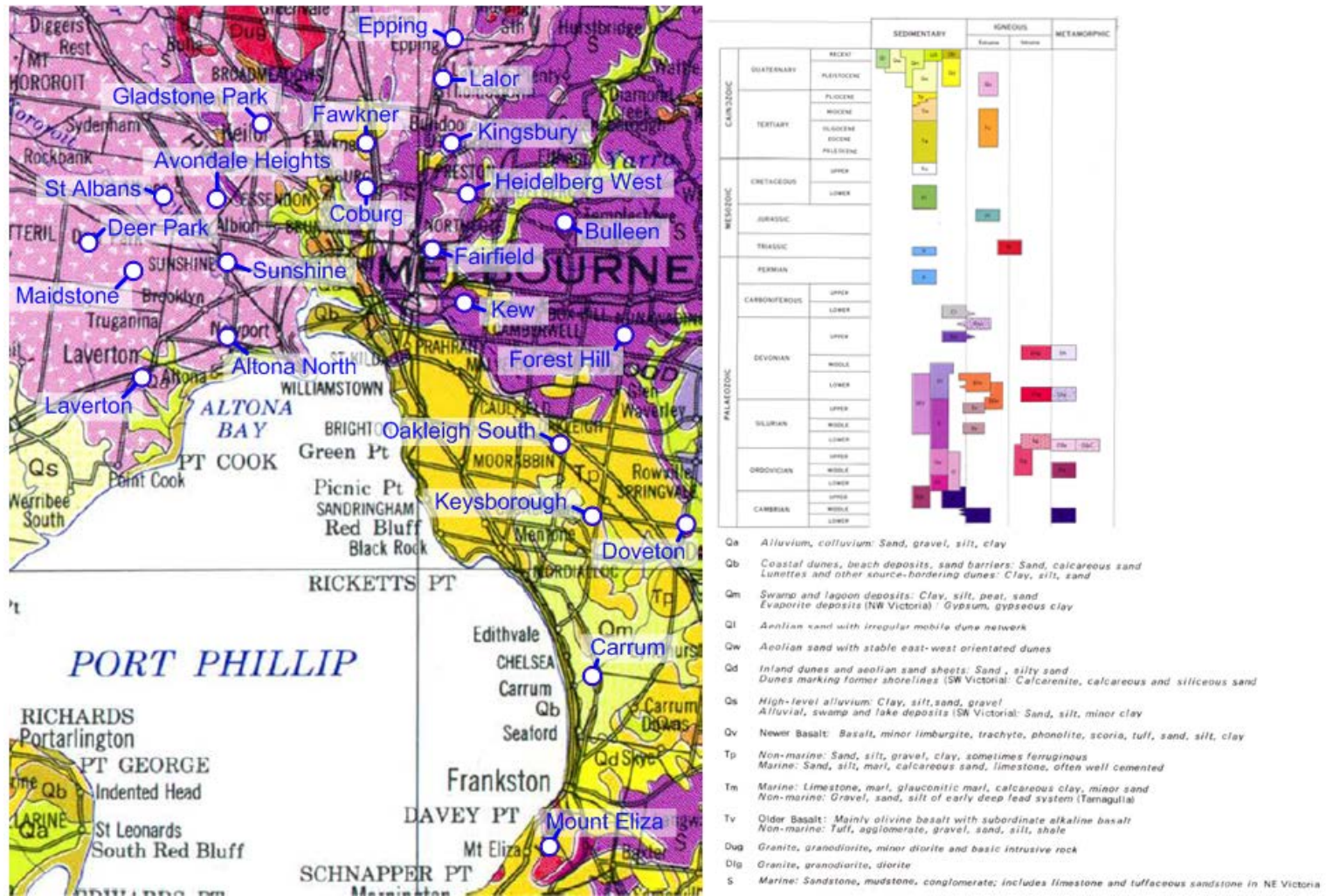


Figure 2. Location of the monitoring sites in Melbourne marked into geological map



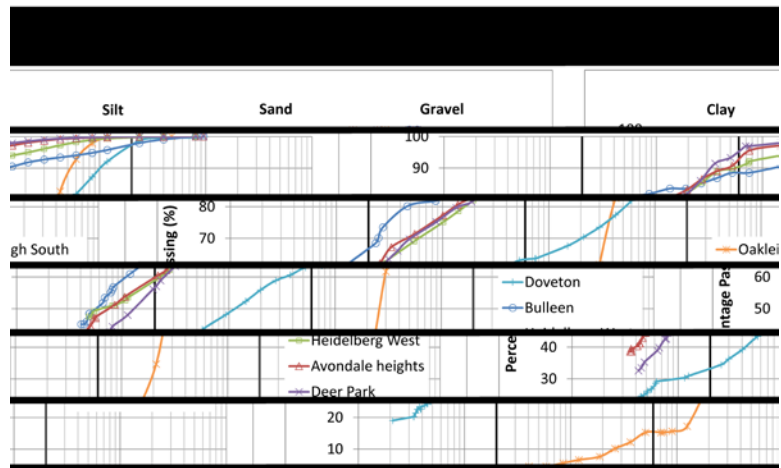
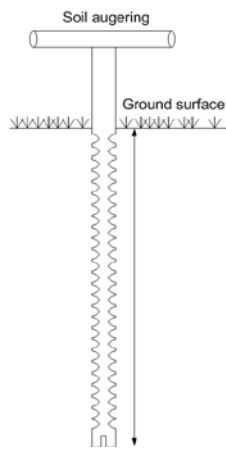


Figure 3. Particle size distribution of selected six sites



(a)



(b)

Figure 4. (a) Schematic of hand soil auger, (b) making hole for access tube in the field

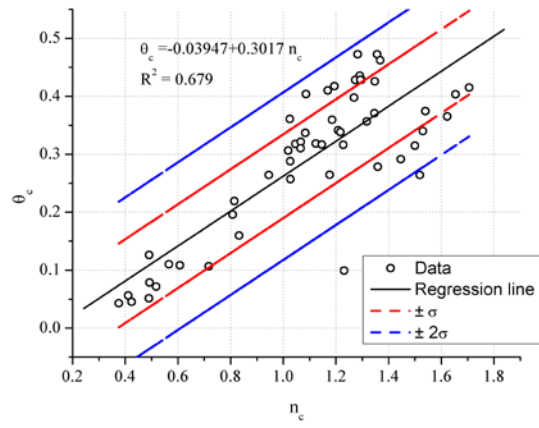


(a)

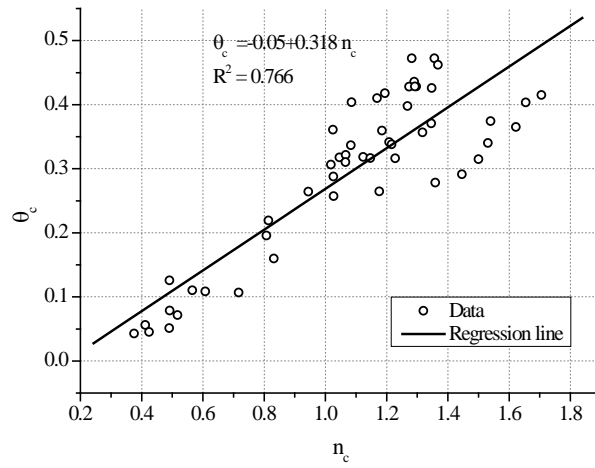


(b)

Figure 5. (a) Pushing the access tube into the hole, (b) installed access tube protected by steel box



**Figure 6. Combined data together with linear regression line**



**Figure 7. Cleared data points together with linear regression line**

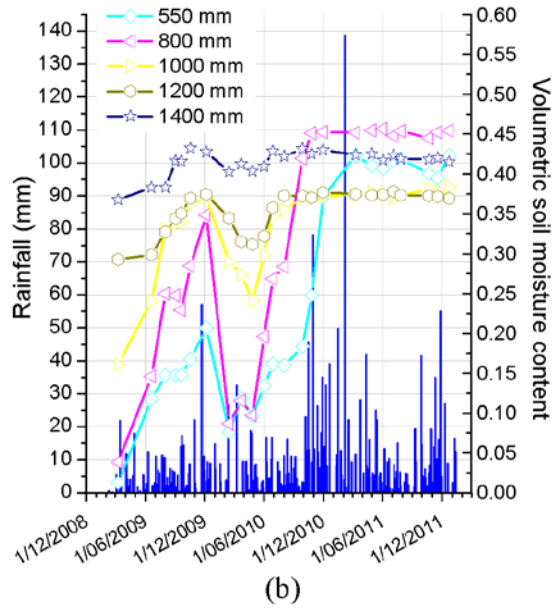
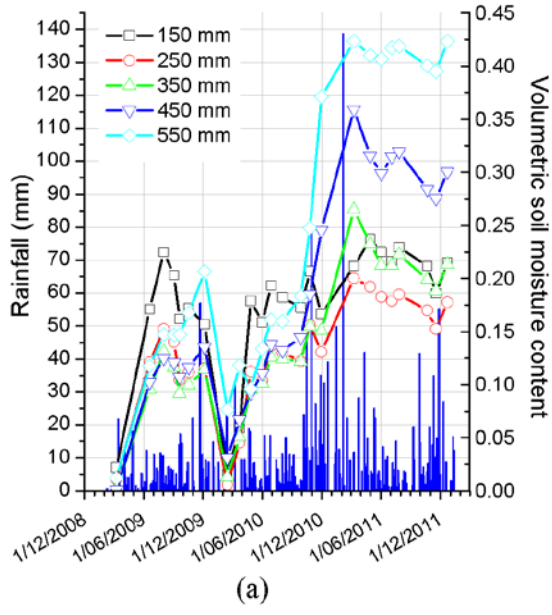


Figure 8. Oakleigh South soil moisture content data

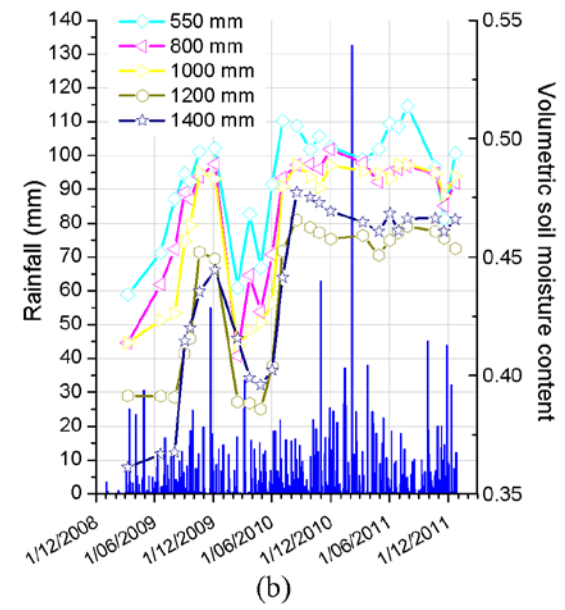
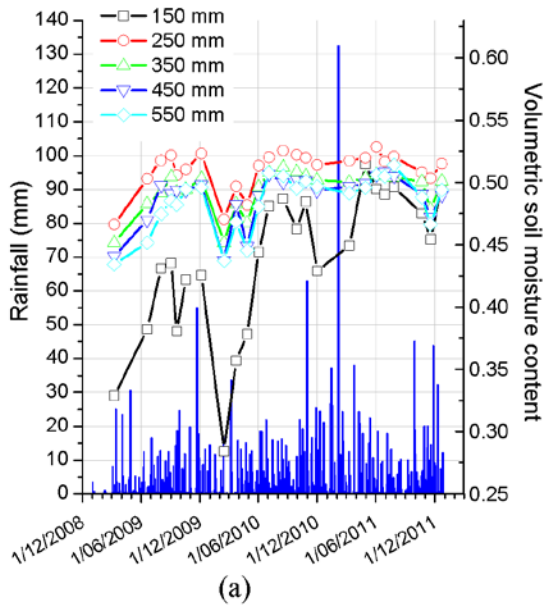


Figure 9. Doveton soil moisture variation

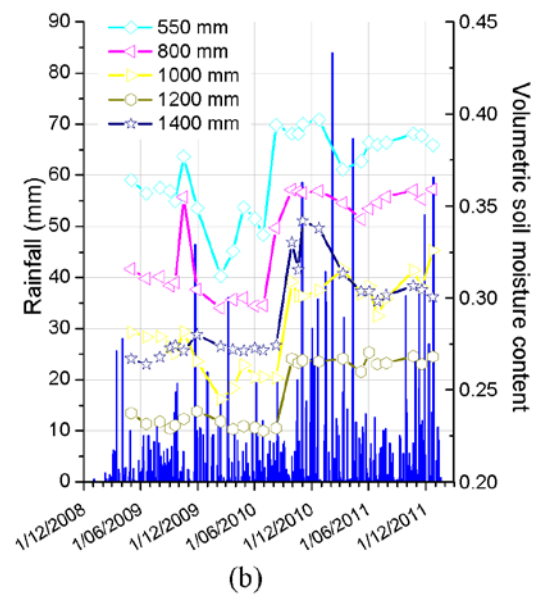
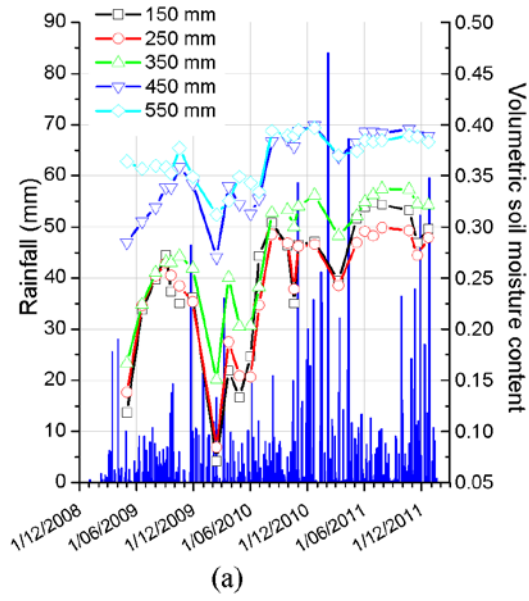


Figure 10. Bulleen soil moisture variation

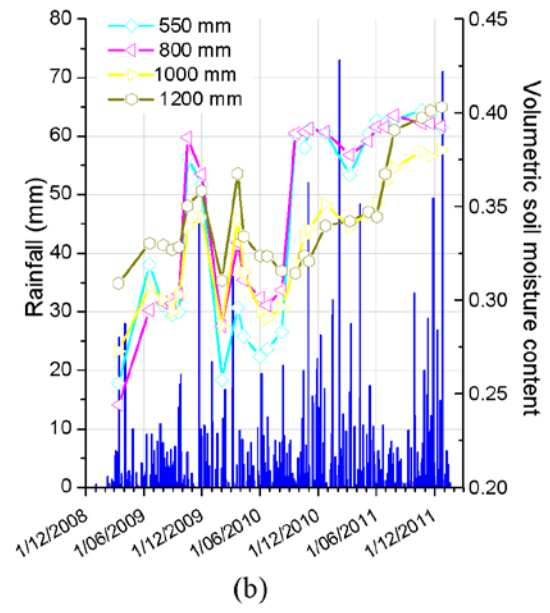
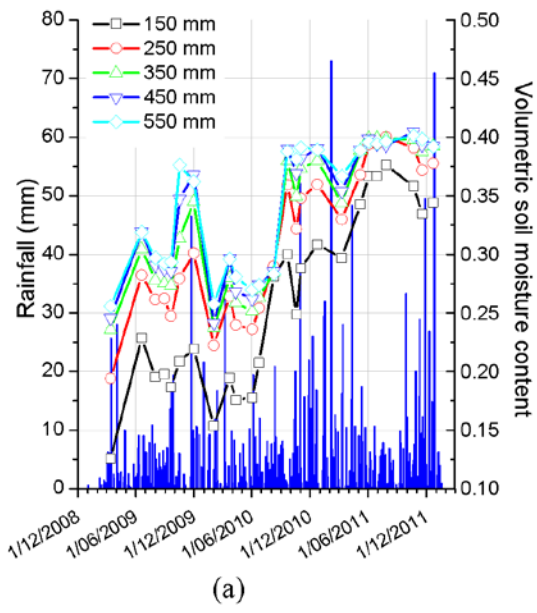


Figure 11. Heidelberg West soil moisture variation

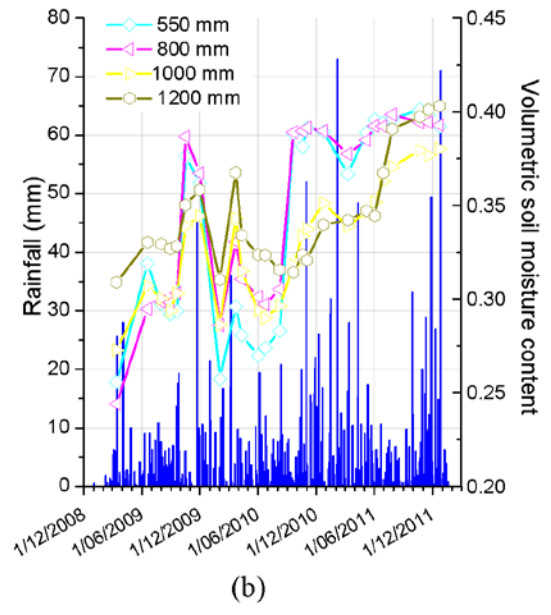
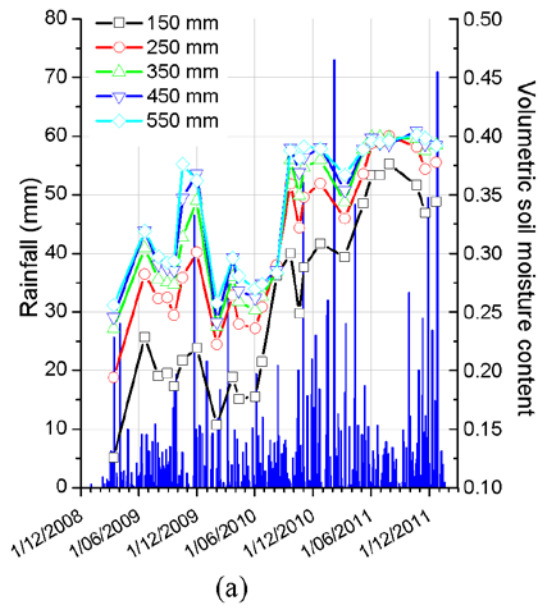


Figure 12. Avondale Heights soil moisture variation

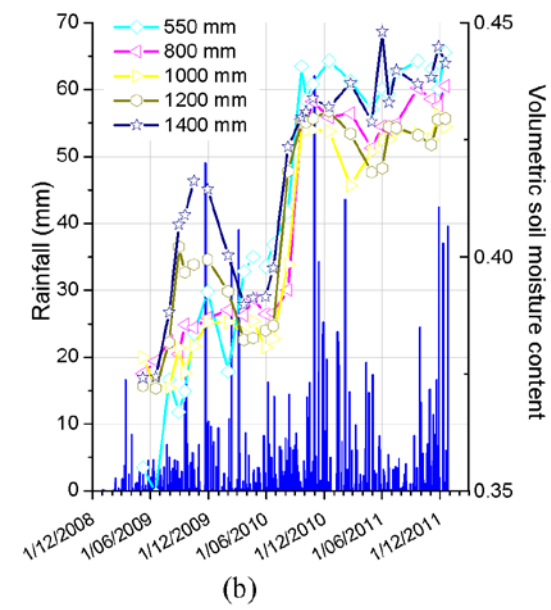
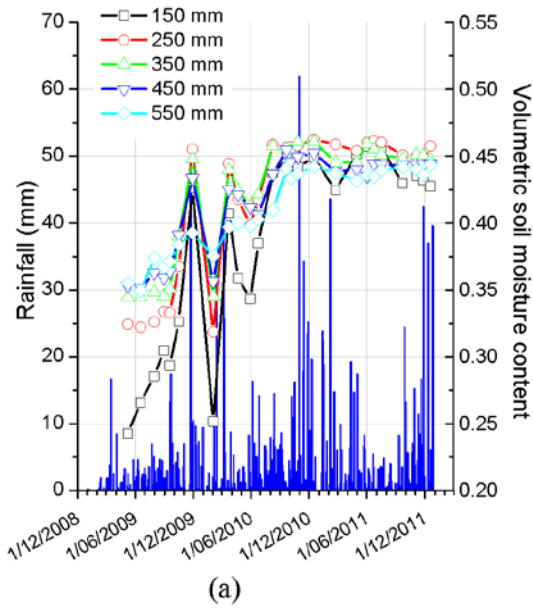


Figure 13. Deer Park soil moisture variation

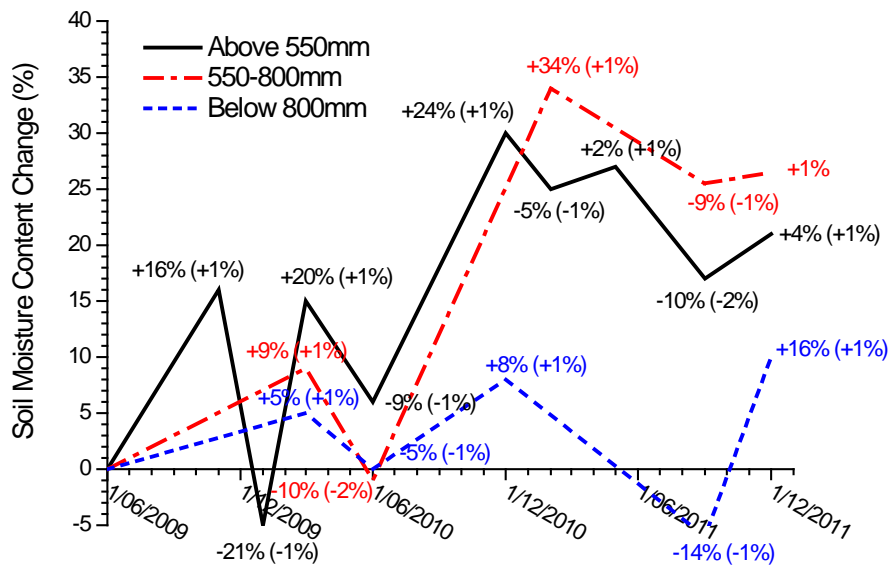


Figure 14. Soil moisture variation at each layer of Basaltic clays sites

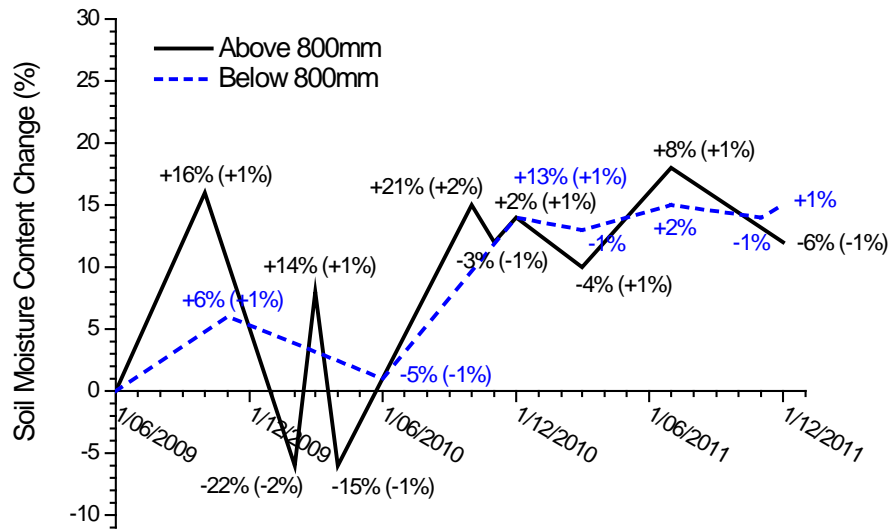


Figure 15. Soil moisture variation at each layer of Non-basaltic clays sites

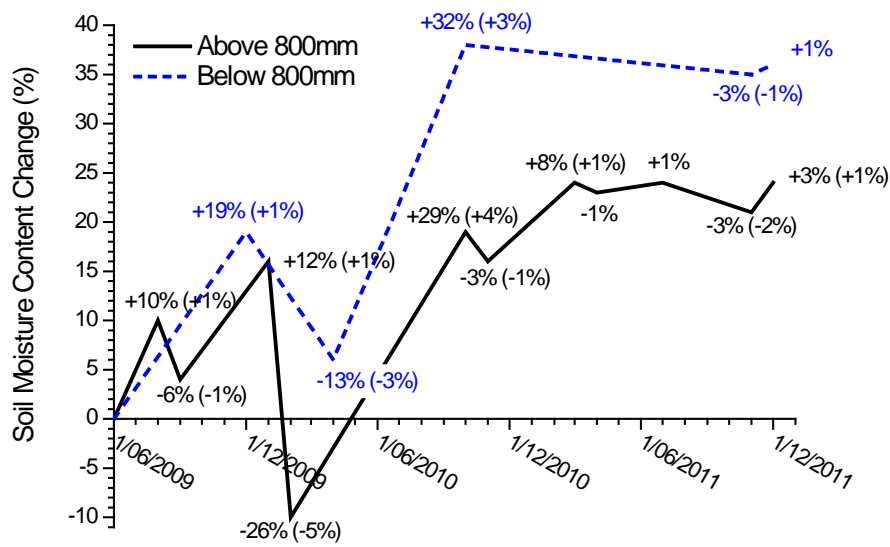


Figure 16. Soil moisture variation at each layer of Quaternary alluvials and tertiary sediments sites

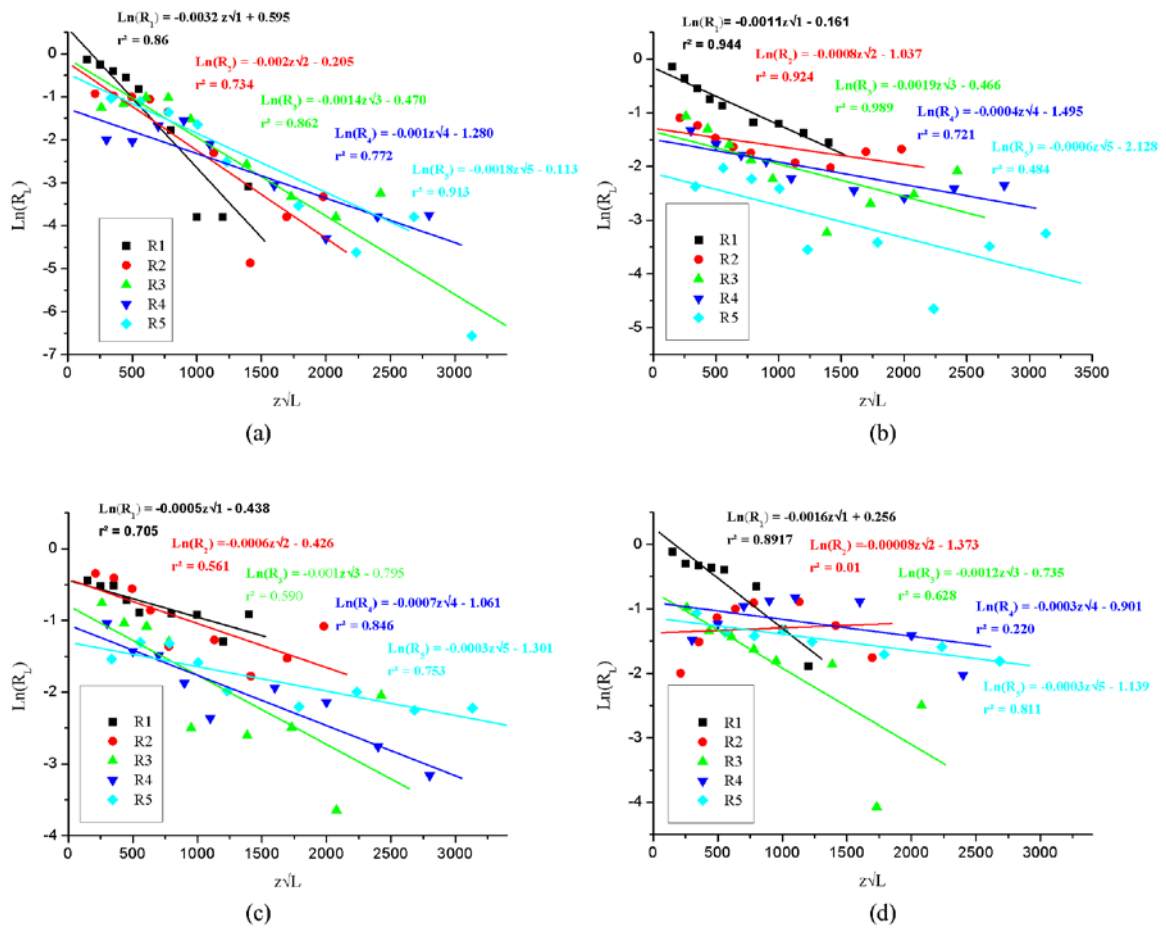
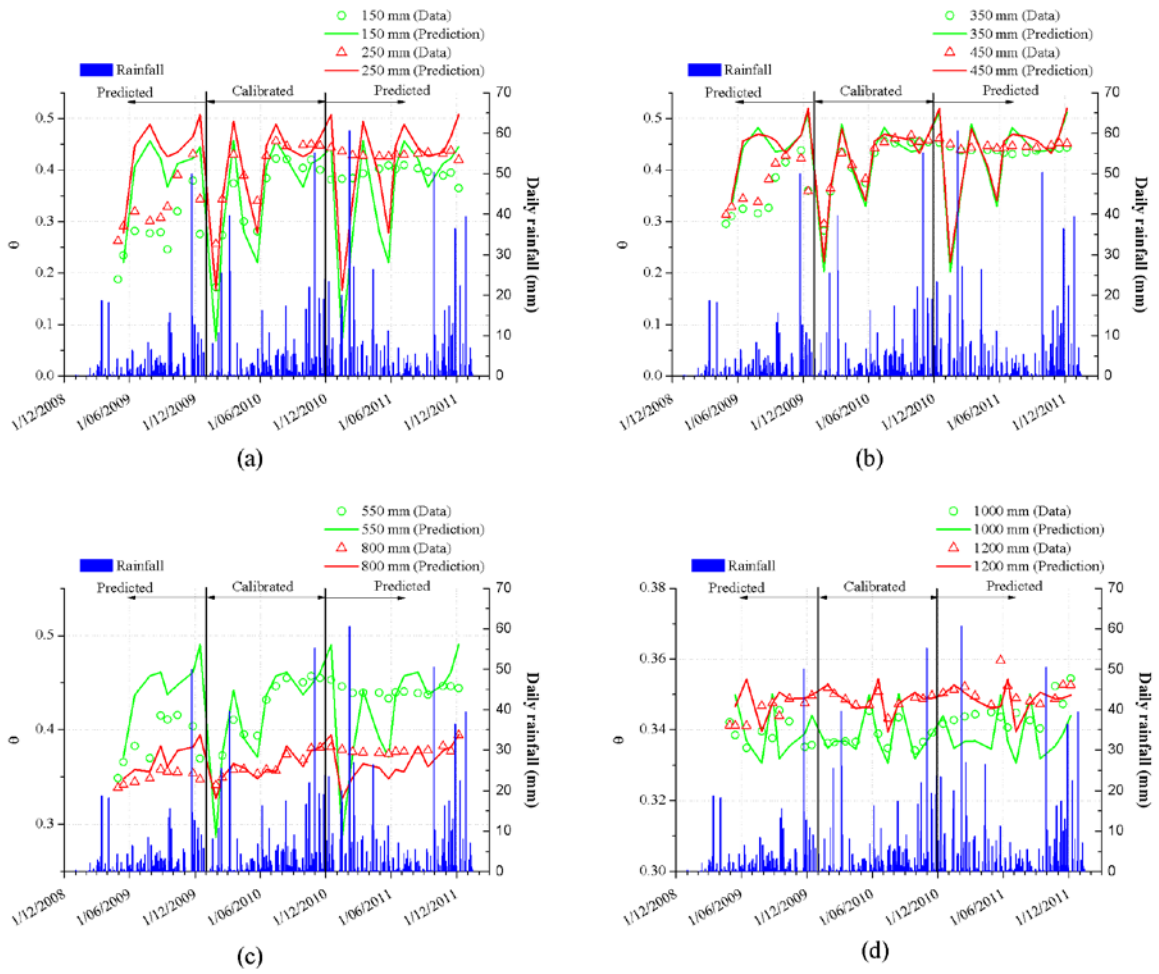


Figure 17. Linear fit of  $R_L(z)$  vs.  $z\sqrt{L}$ : Basaltic clay sites (a) Avondale Heights, (b) Deer Park; and non basaltic clay site (c) Bulleen, (d) Heidelberg West





**Figure 18. Neutron probe moisture data and the model prediction for Avondale Heights at different depth: (a) at 150 mm & 250 mm, (b) at 350 mm & 450 mm, (c) at 550 mm & 800 mm, (d) at 1000 mm & 1200 mm**

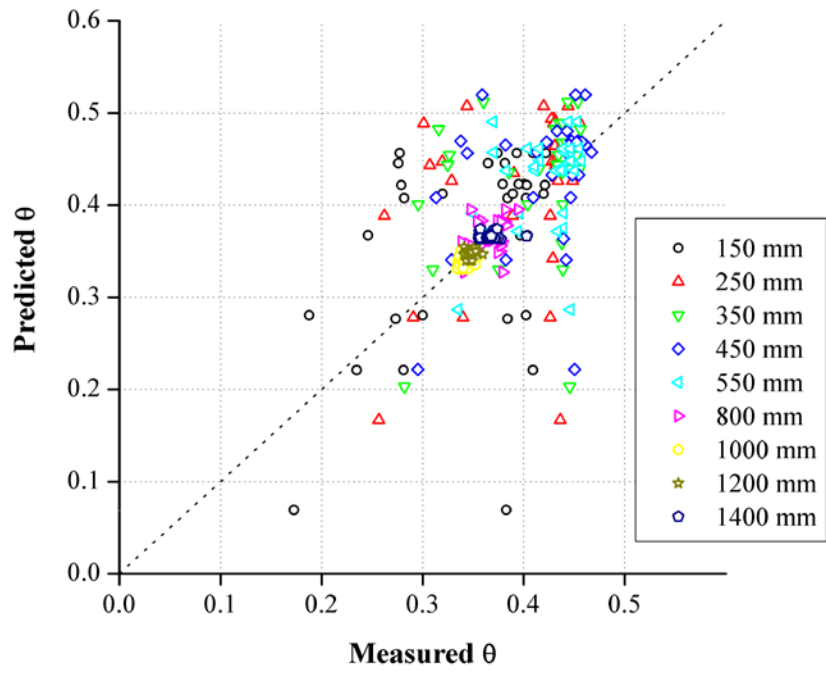


Figure 19. Comparison of measured and predicted moisture content