

# Tesler Matrices

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# Plan

1. Tesler Matrices

*(with A. Garsia, J. Haglund, B. Rhoades and B. Sagan)*

2. Tesler Polytopes

*(with A. Morales and K. Mészáros)*

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What is a Tesler Matrix?

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## Definition

We say that  $A = (a_{i,j}) \in \text{Mat}_n(\mathbb{Z})$  is a **Tesler matrix** if:

- ▶  $A$  is upper triangular.
- ▶ For all  $1 \leq k \leq n$  we have

$$(a_{k,k} + a_{k,k+1} + \cdots + a_{k,n}) - (a_{1,k} + a_{2,k} + \cdots + a_{k-1,k}) = 1.$$

Picture:

$$k \begin{pmatrix} & & & & & & k \\ & & & & & & - \\ & & & & & & - \\ k & \begin{pmatrix} 0 & & & & & & \\ 0 & 0 & + & + & + & + & \\ 0 & 0 & 0 & & & & \\ 0 & 0 & 0 & 0 & & & \\ 0 & 0 & 0 & 0 & 0 & & \end{pmatrix} & = 1 & \text{for all } k. \end{pmatrix}$$

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## Example

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 2 \\ 0 & 0 & 0 & 0 & 4 \end{pmatrix} = 1$$

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## Recursion

Let  $\text{Tesler}(n)$  be the set of  $n \times n$  Tesler matrices. There is a natural map

$$\text{Tesler}(n) \rightarrow \text{Tesler}(n-1)$$

defined by adding  $a_{k,n}$  to  $a_{k,k}$  then deleting the  $n$ -th row and column:

$$\left( \begin{array}{cccc|c} 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 2 \\ \hline 0 & 0 & 0 & 0 & 4 \end{array} \right) \rightarrow \left( \begin{array}{cccc} 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 2 \end{array} \right)$$

This can be used to efficiently generate  $\text{Tesler}(n)$ .

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## Example

Tesler(3)

$$\left( \begin{array}{cc|c} 1 & 0 & 0 \\ & 1 & 0 \\ \hline & & 1 \end{array} \right)$$

$$\left( \begin{array}{cc|c} 1 & 0 & 0 \\ & 0 & 1 \\ \hline & & 2 \end{array} \right)$$

$$\left( \begin{array}{cc|c} 0 & 0 & 1 \\ & 1 & 0 \\ \hline & & 2 \end{array} \right)$$

$$\left( \begin{array}{cc|c} 0 & 0 & 1 \\ & 0 & 1 \\ \hline & & 3 \end{array} \right)$$

$$\left( \begin{array}{cc|c} 0 & 1 & 0 \\ & 2 & 0 \\ \hline & & 1 \end{array} \right)$$

$$\left( \begin{array}{cc|c} 0 & 1 & 0 \\ & 0 & 2 \\ \hline & & 3 \end{array} \right)$$

$$\left( \begin{array}{cc|c} 0 & 1 & 0 \\ & 1 & 1 \\ \hline & & 2 \end{array} \right)$$

Tesler(2)

$$\left( \begin{array}{cc} 1 & 0 \\ & 1 \end{array} \right)$$

$$\left( \begin{array}{cc} 0 & 1 \\ & 2 \end{array} \right)$$

# What is a Tesler Matrix?

## History

- ▶ The sequence  $\text{Tes}(n) := \#\text{Tesler}(n)$  is A008608 in the OEIS:

1, 2, 7, 40, 357, 4820, 96030, 2766572, 113300265, ...

- ▶ Entered by Glenn Tesler (1996), then forgotten for 15 years.
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- ▶ Now everybody cares. Why?

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# Why Do We Care?

## Coinvariants

- ▶ The symmetric group  $\mathfrak{S}_n$  acts on  $S := \mathbb{C}[x_1, \dots, x_n]$ .
- ▶ (Newton) The subalgebra of **symmetric polynomials** is isomorphic to a polynomial algebra

$$S^{\mathfrak{S}_n} \approx \mathbb{C}[p_1, \dots, p_n],$$

where  $p_k = \sum_{i=1}^n x_i^k$  are the power sum polynomials.

- ▶ (Chevalley) The **coinvariant algebra**

$$R := S / (p_1, \dots, p_n)$$

is isomorphic to the **regular representation** ( $R \approx \mathbb{C}\mathfrak{S}_n$ ) and its **Hilbert series** is given by the  $q$ -factorial:

$$\text{Hilb}(n; q) := \sum_i \dim(R_i) q^i = [n]_q!$$

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- ▶ The symmetric group  $\mathfrak{S}_n$  acts **diagonally** on the double algebra

$$DS := \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n].$$

- ▶ (Weyl) The subalgebra of **diagonal invariants** is generated by the polarized power sums

$$p_{k,\ell} = \sum_{i=1}^n x_i^k y_i^\ell \quad \text{for } k + \ell > 0,$$

but these are **not algebraically independent**.

- ▶ (Haiman) The algebra of **diagonal coinvariants**

$$DR := DS / (p_{k,\ell} : k + \ell > 0)$$

has **dimension**  $(n+1)^{n-1}$ . The proof is hard :(

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The **diagonal Hilbert series**

$$\text{Hilb}(n; q, t) := \sum_{i,j} \dim(\text{DR}_{i,j}) q^i t^j$$

satisfies:

- ▶  $\text{Hilb}(n; q, t) = \text{Hilb}(n; t, q)$
- ▶  $\text{Hilb}(n; q, 0) = \text{Hilb}(n; q) = [n]_q!$
- ▶  $\text{Hilb}(n; 1, 1) = (n+1)^{n-1}$
- ▶  $\text{Hilb}(n; q, q^{-1}) = ([n+1]_q)^{n-1} / q^{\binom{n}{2}}$

But after many years it is still a big mystery :(

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# Here's Why We Care.

## Haglund's Theorem (2011)

Given a Tesler matrix  $A \in \text{Tesler}(n)$ , let  $\text{Pos}(A)$  be the set of positive entries of  $A$  and let  $\text{pos}(A) := \#\text{Pos}(A)$ . We define the **weight** by

$$\text{weight}(A) := (-M)^{\text{pos}(A)-n} \prod_{a_{i,j} \in \text{Pos}(A)} [a_{i,j}]_{q,t} \in \mathbb{Z}[q,t],$$

where  $M = (1-q)(1-t)$  and  $[k]_{q,t} = (q^k - t^k)/(q - t)$ .

$$\text{weight} \left( \begin{pmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 2 \\ 0 & 0 & 0 & 0 & 4 \end{pmatrix} \right) = (-(1-q)(1-t))^1 [1]_{q,t}^4 [2]_{q,t} [4]_{q,t}$$

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In particular, we have

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# Here's Why We Care.

## Example ( $n = 3$ )

$$\begin{array}{c} \left( \begin{array}{cc} 1 & \\ & 1 & 1 \end{array} \right) \left| \begin{array}{c} \left( \begin{array}{cc} 1 & \\ & 1 & 2 \end{array} \right) \left| \begin{array}{c} \left( \begin{array}{cc} 1 & \\ 2 & 1 \end{array} \right) \left| \begin{array}{c} \left( \begin{array}{cc} 1 & \\ 1 & 1 \end{array} \right) \left| \begin{array}{c} \left( \begin{array}{cc} 1 & \\ & 2 & 3 \end{array} \right) \left| \begin{array}{c} \left( \begin{array}{cc} 1 & 1 \\ & 2 \end{array} \right) \left| \begin{array}{c} \left( \begin{array}{cc} 1 & \\ & 1 & 3 \end{array} \right) \end{array} \right. \\ 1 & & q+t & & q+t & & -M(q+t) & & (q+t)(q^2+qt+t^2) & & q+t & & q^2+qt+t^2 \\ \left[ \begin{array}{c} 1 \\ \\ \end{array} \right] & \left[ \begin{array}{cc} & 1 \\ 1 & \end{array} \right] & \left[ \begin{array}{cc} & 1 \\ 1 & \end{array} \right] & \left[ \begin{array}{ccc} & -1 & 1 \\ -1 & 2 & -1 \\ 1 & -1 & \end{array} \right] & \left[ \begin{array}{ccc} & & 1 \\ & 2 & 2 \\ 1 & & \end{array} \right] & \left[ \begin{array}{cc} & 1 \\ 1 & \end{array} \right] & \left[ \begin{array}{ccc} & & 1 \\ & 1 & 1 \\ 1 & & \end{array} \right] \end{array} \end{array}$$

$$\text{Tes}(3; q, t) = \begin{bmatrix} 1 & 2 & 2 & 1 \\ 2 & 3 & 1 & \\ 2 & 1 & & \\ 1 & & & \end{bmatrix}$$

# Here's Why We Care.

## A New Hope

- ▶ Maybe Haglund's Theorem can be used to prove the famous "Shuffle Conjecture" of HHLRU (2005).
- ▶ To do this we must relate Tesler matrices to **parking functions**.

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## A New Hope

- ▶ Maybe Haglund's Theorem can be used to prove the famous "Shuffle Conjecture" of HHLRU (2005).
- ▶ To do this we must relate Tesler matrices to **parking functions**.

# Refinement of Haglund's Theorem

## Definition

Given a Tesler matrix  $A \in \text{Tesler}(n)$  consider the lowest lattice path above the positive entries. Let  $\text{Touch}(A) \subseteq \{1, 2, \dots, n-1\}$  be the set of positions where this path touches the diagonal.

Examples:

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Examples:

$$\text{Touch} \left( \begin{array}{ccc|cc} 0 & 0 & 1 & 0 & 0 \\ & 1 & 0 & 0 & 0 \\ & & 0 & 1 & 1 \\ & & & 0 & 2 \\ & & & & 4 \end{array} \right) = \{ \}$$

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Examples:

$$\text{Touch} \left( \begin{array}{cccc} 0 & 1 & 0 & 0 & 0 \\ & 2 & 0 & 0 & 0 \\ & & 1 & 0 & 0 \\ & & & 0 & 1 \\ & & & & 2 \end{array} \right) = \{2, 3\}$$

# Refinement of Haglund's Theorem

## Definition

We say  $A \in \text{Tesler}(n)$  is **connected** if  $\text{Touch}(A) = \{ \}$ . Let

$$\text{CTesler}(n) := \{A \in \text{Tesler}(n) : A \text{ is connected}\}$$

and define

$$\text{CTes}(n; q, t) := \sum_{A \in \text{CTesler}(n)} \text{weight}(A).$$



# Refinement of Haglund's Theorem

## Example

Here are the first few values of  $\text{CTes}(n; q, t)$ :

$$\begin{array}{c|c|c|c} n = 1 & n = 2 & n = 3 & n = 4 \\ \hline [1] & \begin{bmatrix} & 1 \\ 1 & \end{bmatrix} & \begin{bmatrix} & & 2 & 1 \\ & 3 & 1 & \\ 2 & & & \\ 1 & & & \end{bmatrix} & \begin{bmatrix} & & & 4 & 5 & 3 & 1 \\ & & 9 & 9 & 4 & 1 & \\ & 9 & 9 & 4 & 1 & & \\ 4 & 9 & 4 & 1 & & & \\ 5 & 4 & 1 & & & & \\ 3 & 1 & & & & & \\ 1 & & & & & & \end{bmatrix} \end{array}$$

# Refinement of Haglund's Theorem

## Theorem

It is sufficient to study connected Tesler matrices:

$$\text{Tes}(n; q, t) = \sum_{\{s_1 < \dots < s_k\} \subseteq [n-1]} \prod_{i=1}^{k-1} \text{CTes}(s_{i+1} - s_i; q, t).$$

# Refinement of Haglund's Theorem

## Example

$$\text{Tes}(3; q, t) = \text{CTes}(1; q, t)^3 + 2 \text{CTes}(1; q, t) \text{Tes}(2; q, t) + \text{CTes}(3; q, t)$$

$$\begin{bmatrix} 1 & 2 & 2 & 1 \\ 2 & 3 & 1 & \\ 2 & 1 & & \\ 1 & & & \end{bmatrix} = [1]^3 + 2 [1] \begin{bmatrix} 1 & 1 \\ 1 & \end{bmatrix} + \begin{bmatrix} 2 & 2 & 1 \\ 3 & 1 & \\ 1 & & \end{bmatrix}$$

# Refinement of Haglund's Theorem

## Conjectures and Problems

- ▶  $\text{CTes}(n; q, t) \in \mathbb{N}[q, t]$  for all  $n \in \mathbb{N}$ .
- ▶  $\text{CTes}(n; 1, 1) = \#$  “connected parking functions”  $\text{CPF}(n)$  in the sense of Novelli and Thibon (2007). This is A122708 in OEIS:

1, 2, 11, 92, 1014, 13795, 223061, 4180785, 89191196, ...

- ▶ Find statistics  $q\text{stat}, t\text{stat} : \text{CPF}(n) \rightarrow \mathbb{N}$  such that

$$\text{CTes}(n; q, t) = \sum_{\text{cpf} \in \text{CPF}(n)} q^{q\text{stat}(\text{cpf})} t^{t\text{stat}(\text{cpf})}.$$

- ▶ Prove the Shuffle Conjecture.

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Pause



# Generalized Tesler Matrices

## Definition

Given any positive integer vector  $\mathbf{r} = (r_1, \dots, r_n) \in \mathbb{N}^n$  we say that  $A = (a_{i,j}) \in \text{Mat}_n(\mathbb{Z})$  is an **r-Tesler matrix** if:

- ▶  $A$  is upper triangular.
- ▶ For all  $1 \leq k \leq n$  we have

$$(a_{k,k} + a_{k,k+1} + \dots + a_{k,n}) - (a_{1,k} + a_{2,k} + \dots + a_{k-1,k}) = r_k.$$

Picture: The  $k$ -th "hook sum" equals  $r_k$

$$k \left( \begin{array}{cccccc} & & & & & k \\ & & & & & - \\ & & & & & - \\ k & & & & & + & + & + & + \\ & 0 & 0 & 0 & & & & & \\ & 0 & 0 & 0 & 0 & & & & \\ & 0 & 0 & 0 & 0 & 0 & & & \end{array} \right) = r_k \quad \text{for all } k.$$

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# Generalized Tesler Matrices

## Definition

Given  $\mathbf{r} \in \mathbb{N}^n$ , let  $\text{Tesler}(\mathbf{r})$  be the set of  $\mathbf{r}$ -Tesler matrices and define

$$\begin{aligned} \text{Tes}(\mathbf{r}; q, t) &:= \sum_{A \in \text{Tesler}(\mathbf{r})} \text{weight}(A) \\ &= \sum_{A \in \text{Tesler}(\mathbf{r})} (-M)^{\text{pos}(A) - n} \prod_{a_{i,j} \in \text{Pos}(A)} [a_{i,j}]_{q,t}, \end{aligned}$$

as before.

# Generalized Tesler Matrices

## Conjecture (Haglund)

If  $\mathbf{r} \in \mathbb{N}^n$  is **increasing** ( $1 \leq r_1 \leq r_2 \leq \cdots \leq r_n$ ) then we have

$$\text{Tes}(\mathbf{r}; q, t) \in \mathbb{N}[q, t].$$

## Question

For which other  $\mathbf{r} \in \mathbb{N}^n$  is  $\text{Tes}(\mathbf{r}; q, t) \in \mathbb{N}[q, t]$ ?

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# Generalized Tesler Matrices

Theorem (Armstrong  $\rightarrow$  Sagan  $\rightarrow$  Haglund)

Recall from Haglund's and Haiman's Theorems that we have

$$\text{Tes}(n; 1, 1) = \text{Hilb}(n; 1, 1) = (n + 1)^{n-1}.$$

For general  $\mathbf{r} = (r_1, \dots, r_n) \in \mathbb{N}^n$  we have

$$\text{Tes}(\mathbf{r}; 1, 1) = r_1(r_1 + nr_2)(r_1 + r_2 + (n-1)r_3) \cdots (r_1 + \cdots + r_{n-1} + 2r_n).$$

Conjectured by me; proved by Bruce.



# Generalized Tesler Matrices

## Theorem (Armstrong $\rightarrow$ Sagan $\rightarrow$ Haglund)

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# Generalized Tesler Matrices

Theorem (Armstrong  $\rightarrow$  Sagan  $\rightarrow$  Haglund)

Then Jim defined **r-parking functions**  $\text{PF}(\mathbf{r})$  such that

$$\text{Tes}(\mathbf{r}; 1, 1) = \#\text{PF}(\mathbf{r}).$$

Special Case: **m-parking functions**

$$\text{Tes}((1, m, m, \dots, m); 1, 1) = (mn + 1)^{n-1}.$$

# Generalized Parking Functions

## Conjecture

For all  $\mathbf{r} \in \mathbb{N}^n$  we have

$$q^{(\sum_i i \cdot r_{n-i+1}) - n} \cdot \text{Tes}(\mathbf{r}; q, q^{-1}) = [r_1]_{q^{n+1}} [r_1 + nr_2]_q [r_1 + r_2 + (n-1)r_3]_q \cdots [r_1 + \cdots + r_{n-1} + 2r_n]_q.$$

## Problem

Find an algebraic interpretation of  $\text{Tes}(\mathbf{r}; q, t)$ .

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Pause

# Tesler Polytopes (New!)

# Tesler Polytopes

## Definition

We say that  $A = (a_{i,j}) \in \text{Mat}_n(\mathbb{R})$  is a “**null-hook matrix**” if

- ▶  $A$  is upper triangular.
- ▶ For all  $1 \leq k \leq n$  we have

$$(a_{k,k} + a_{k,k+1} + \cdots + a_{k,n}) - (a_{1,k} + a_{2,k} + \cdots + a_{k-1,k}) = 0.$$

Picture: The  $k$ -th “hook sum” equals 0

$$k \quad \begin{matrix} & & & & & & k \\ & & & & & & - \\ & & & & & & - \\ k & \begin{pmatrix} 0 & & & & & \\ 0 & 0 & + & + & + & + \\ 0 & 0 & 0 & & & \\ 0 & 0 & 0 & 0 & & \\ 0 & 0 & 0 & 0 & 0 & \end{pmatrix} & = 0 & \text{for all } k. \end{matrix}$$

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# Tesler Polytopes

## Observations

Let  $\text{Hook}_0 \subseteq \text{Mat}_n(\mathbb{R})$  be the set of null-hook matrices. These form an  $\mathbb{R}$ -subspace of  $\text{Mat}_n(\mathbb{R})$  of dimension  $\binom{n}{2}$ :

$$\text{Hook}_0 \approx \mathbb{R}^{\binom{n}{2}}$$

with **basis** given by the following “**null-transposition**” matrices:

$$T(i,j) := \begin{matrix} & & & i & & j \\ & & & & & & \\ i & & & & & & \\ & & & & & & \\ & & & & & & \\ j & & & & & & \end{matrix} \begin{pmatrix} 0 & & & & & & \\ 0 & 0 & -1 & & 1 & & \\ 0 & 0 & 0 & & & & \\ 0 & 0 & 0 & 0 & 1 & & \\ 0 & 0 & 0 & 0 & 0 & & \end{pmatrix} \quad \text{for all } 1 \leq i < j \leq n.$$

# Tesler Polytopes

## Observations

Let  $\mathbb{Z}\text{Hook}_0$  denote the lattice of integer points in  $\text{Hook}_0$ :

$$\mathbb{Z}\text{Hook}_0 := \mathbb{Z}\{T(i,j) : 1 \leq i < j \leq n\} \leq \text{Hook}_0.$$

And given any  $\mathbf{r} \in \mathbb{N}^n$ , let  $\mathbb{Z}\text{Hook}_{\mathbf{r}}$  be the lattice of integer points in the affine space of " $\mathbf{r}$ -hook matrices":

$$\mathbb{Z}\text{Hook}_{\mathbf{r}} \leq \text{Hook}_{\mathbf{r}} := \text{Hook}_0 + \begin{pmatrix} r_1 & & & & \\ & r_2 & & & \\ & & \ddots & & \\ & & & \ddots & \\ & & & & r_n \end{pmatrix}.$$

Given any two  $\mathbf{r}$ -Tesler matrices  $A, B \in \text{Tesler}(\mathbf{r})$  observe that we have  $A - B \in \text{Hook}_0$ , and hence

$$\text{Tesler}(\mathbf{r}) \subseteq \mathbb{Z}\text{Hook}_{\mathbf{r}}.$$

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# Tesler Polytopes

## Observations

In fact, the  $\mathbf{r}$ -Tesler matrices are precisely the integer points in the polytope of “positive  $\mathbf{r}$ -hook matrices”:

$$\text{Tesler}(\mathbf{r}) \subseteq \text{Hook}_r \cap (\mathbb{R}_{\geq 0})^{\binom{n}{2}}$$

# Tesler Polytopes

## Suggestion

Study this polytope. Call it the “**r-Tesler polytope**”:

$$\Delta_{\text{Tes}}(\mathbf{r}) := \text{Hook}_{\mathbf{r}} \cap (\mathbb{R}_{\geq 0})^{\binom{n}{2}}.$$

One example has been studied before. If  $\mathbf{r} = (1, 2, 3, \dots, n)$  then

$$\text{CRY}_n := \Delta_{\text{Tes}}(\mathbf{r})$$

is called the Chan-Robbins-Yuen polytope. Its volume was conjectured by Chan-Robbins-Yuen and proved by Zeilberger (1998) to be a product of Catalan numbers:

$$\text{Vol}(\text{CRY}_n) = \prod_{i=1}^{n-2} \frac{1}{i+1} \binom{2i}{i}.$$

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# Tesler Polytopes

More generally, the  $r$ -Tesler polytope is an example of a **flow polytope**. These have been studied by Postnikov-Stanley, Baldoni-Vergne, Mészáros and Morales. They have beautiful properties related to the **Kostant partition function** for type  $A$  root systems.

# Tesler Polytopes

## Conjecture (Morales)

If  $\mathbf{r} = (1, 1, \dots, 1) \in \mathbb{N}^n$  we say that

$$\Delta_{\text{Tes}}(n) := \Delta_{\text{Tes}}(\mathbf{r})$$

is the **standard Tesler polytope**. Its volume seems to be

$$\text{Vol}(\Delta_{\text{Tes}}(n)) = 2^{\binom{n}{2}} \frac{\binom{n}{2}!}{1!2! \cdots n!}.$$

# Tesler Polytopes

## Final Suggestions

- ▶ Can one learn more about diagonal Hilbert series by studying Tesler polytopes?
- ▶ Is there a way to incorporate  $q$  and  $t$  into the Ehrhart series?
- ▶ It seems that the vertices of  $\Delta_{\text{Tes}}(\mathbf{r})$  are the **monomial  $\mathbf{r}$ -Tesler matrices**:

$$\text{MTesler}(\mathbf{r}) := \{A \in \text{Tesler}(\mathbf{r}) : \text{pos}(A) - n = 0\}.$$

*Paul Levande gave a natural bijection  $\text{MTesler}(\mathbf{r}) \leftrightarrow \mathfrak{S}_n$ .*

- ▶ More generally, given  $A \in \text{Tesler}(\mathbf{r})$  it seems that  $\text{pos}(A) - n$  is the **dimension** of the face of  $\Delta_{\text{Tes}}(\mathbf{r})$  containing  $A$ . That should be important.

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Happy Birthday Bruce!

