

RESTRICTED COMPOSITIONS

L. CARLITZ

Duke University, Durham, North Carolina 27706

1. INTRODUCTION

A *composition* of the integer n into k parts is defined [1, p. 107] as the number of ordered sets of non-negative integers (a_1, a_2, \dots, a_k) such that

$$(1.1) \quad a_1 + a_2 + \dots + a_k = n.$$

It is well known and easy to prove that the number of such compositions is equal to the binomial coefficient

$$\binom{n+k-1}{k-1}.$$

If we require that the a_i be strictly positive then of course the number of solutions of (1.1) is equal to

$$\binom{n-1}{k-1}.$$

In the present paper we consider the problem of determining the number of solutions of (1.1) when we require that

$$(1.2) \quad a_i \neq a_{i+1} \quad (i = 1, 2, \dots, k-1).$$

Let $c(n, k)$ denote the number of solutions of (1.1) and (1.2) in *positive* a_i and let $\bar{c}(n, k)$ denote the number of solutions of (1.1) and (1.2) in *non-negative* a_i . Then clearly

$$(1.3) \quad c(n, k) = \bar{c}(n-k, k).$$

Also it is evident from the definition that

$$(1.4) \quad \bar{c}(n, k) = 0 \quad (k > 2n+1).$$

We shall show that

$$(1.5) \quad \sum_{n, k=0}^{\infty} c(n, k) x^n z^k = \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{x^j z^j}{1-x^j}}.$$

For $z = 1$, this reduces to

$$(1.6) \quad \sum_{n=0}^{\infty} c(n) x^n = \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{x^j}{1-x^j}},$$

where

Supported in part by NSF grant GP-3724X1.

$$(1.7) \quad c(n) = \sum_{k=1}^n c(n,k), \quad c(0) = 1.$$

Thus $c(n)$ is the number of solutions of (1.1) and (1.2) with $a_i > 0$ when the number of parts is unrestricted. It follows from (1.3) and (1.6) that

$$(1.8) \quad 1 + \sum_{n,k=1}^{\infty} \bar{c}(n,k)x^n z^k = \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{z^j}{1-x^j}}.$$

This is also proved independently. The generating function for

$$(1.9) \quad \bar{c}(n) = \sum_k \bar{c}(n,k), \quad \bar{c}(0) = 1$$

is less immediate. It is proved that

$$(1.10) \quad \sum_0^{\infty} \bar{c}(n)x^n = \frac{1}{1 - (1-x) \sum_1^{\infty} \frac{x^{2j-1}}{(1-x^{2j-1})(1-x^{2j})}}.$$

It is of some interest to determine the radius of convergence of the series

$$(1.11) \quad \sum_0^{\infty} c(n)x^n, \quad \sum_0^{\infty} \bar{c}(n)x^n.$$

We show that the radius of convergence of the first is at least $\frac{1}{2}$; the radius of convergence of the second is also probably $> \frac{1}{2}$ but this is not proved.

2. GENERATING FUNCTIONS FOR $c(n,k)$ AND $c_a(n,k)$

It is convenient to define the following refinements of $c(n,k)$ and $\bar{c}(n,k)$. Let $c_a(n,k)$ denote the number of solutions of (1.1) and (1.2) in positive integers a_i with $a_i = a$; $\bar{c}_a(n,k)$ is defined as the corresponding number when the $a_i \geq 0$. Clearly

$$(2.1) \quad c(n,k) = \sum_{a=1}^n c_a(n,k), \quad \bar{c}(n,k) = \sum_{a=0}^n \bar{c}_a(n,k).$$

The enumerant $c_a(n,k)$ satisfies the recurrence

$$(2.2) \quad c_a(n,k) = \sum_{b \neq a} c_b(n-a, k-1) \quad (k > 1).$$

If we put, for $k \geq 1$,

$$F_a(x,k) = \sum_{n=1}^{\infty} c_a(n,k)x^n, \quad \Phi_k(x,y) = \sum_{a=1}^{\infty} F_a(x,k)y^a,$$

it follows from (2.2) that

$$F_a(x, k) = x^a \sum_{b \neq a} F_b(x, k-1) \quad (k > 1).$$

Then

$$\Phi_k(x, y) = \sum_{a=1}^{\infty} (xy)^a \sum_{b \neq a} F_b(x, k-1) = \sum_{b=1}^{\infty} F_b(x, k-1) \sum_{a \neq b} (xy)^a = \sum_{b=1}^{\infty} F_b(x, k-1) \left(\frac{xy}{1-xy} - (xy)^b \right),$$

so that

$$(2.3) \quad \Phi_k(x, y) = \frac{xy}{1-xy} \Phi_{k-1}(x, 1) - \Phi_{k-1}(x, xy) \quad (k > 1).$$

Iterating (2.3), we get

$$\Phi_k(x, y) = \frac{xy}{1-xy} \Phi_{k-1}(x, 1) - \frac{x^2y}{1-x^2y} \Phi_{k-2}(x, 1) + \Phi_{k-2}(x, x^2y) \quad (k > 2)$$

and generally

$$\Phi_k(x, y) = \sum_{j=1}^s (-1)^{j-1} \frac{x^j y}{1-x^j y} \Phi_{k-j}(x, 1) + (-1)^s \Phi_{k-s}(x, x^s y) \quad (k > s).$$

In particular, for $s = k - 1$, this becomes

$$(2.4) \quad \Phi_k(x, y) = \sum_{j=1}^{k-1} (-1)^{j-1} \frac{x^j y}{1-x^j y} \Phi_{k-j}(x, 1) + (-1)^{k-1} \Phi_1(x, x^{k-1} y) \quad (k > 1).$$

Since

$$\Phi_1(x, y) = \sum_{a=1}^{\infty} (xy)^a = \frac{xy}{1-xy},$$

it is clear that (2.4) may be replaced by

$$(2.5) \quad \Phi_k(x, y) = \sum_{j=1}^k (-1)^{j-1} \frac{x^j y}{1-x^j y} \Phi_{k-1}(x, 1) \quad (k \geq 1),$$

where it is understood that

$$(2.6) \quad \Phi_0(x, y) = 1.$$

For $y = 1$, (2.5) reduces to

$$(2.7) \quad \Phi_k(x, 1) + \sum_{j=1}^k (-1)^j \frac{x^j}{1-x^j} \Phi_{k-j}(x, 1) = \delta_{k,1},$$

where $\delta_{k,1}$ is the Kronecker delta.

Using (2.6), this gives

$$\sum_{k=0}^{\infty} z^k \left\{ \Phi_k(x, 1) + \sum_{j=1}^k (-1)^j \frac{x^j}{1-x^j} \Phi_{k-j}(x, 1) \right\} = 1$$

and therefore

$$(2.8) \quad \sum_{k=0}^{\infty} \Phi_k(x, 1) z^k = \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{x^j z^j}{1-x^j}}.$$

In view of (2.1), (2.8) can be written in the more explicit form

$$(2.9) \quad \sum_{n,k=0}^{\infty} c(n,k)x^n z^k = \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{x^j z^j}{1-x^j}}.$$

We now put

$$(2.10) \quad \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{x^j z^j}{1-x^j}} = \sum_{k=0}^{\infty} \frac{P_k(x)}{(x)_k} z^k,$$

where

$$(x)_k = (1-x)(1-x^2)\cdots(1-x^k), \quad (x)_0 = 1.$$

Clearly

$$(2.11) \quad \Phi_k(x,1) = \frac{P_k(x)}{(x)_k}.$$

The $P_k(x)$ are polynomials in x that satisfy

$$(2.12) \quad P_k(x) = \sum_{j=1}^k (-1)^{j-1} \begin{bmatrix} k \\ j \end{bmatrix} (x)_{j-1} x^j P_{k-j}(x) \quad (k \geq 1),$$

where

$$\begin{bmatrix} k \\ i \end{bmatrix} = \frac{(x)_k}{(x)_i (x)_{k-i}}.$$

The first few values of $P_k(x)$ are

$$P_0(x) = 1, \quad P_1(x) = x, \quad P_2(x) = 2x^2, \quad P_3(x) = x^4 + x^5 + 4x^6.$$

In the next place, by (2.5),

$$\sum_{k=1}^{\infty} \Phi_k(x,y)z^k = \sum_{k=1}^{\infty} z^k \sum_{j=1}^k (-1)^{j-1} \frac{x^j y}{1-x^j y} \Phi_{k-j}(x,1) = \sum_{j=1}^{\infty} (-1)^{j-1} \frac{x^j y z^j}{1-x^j y} \sum_{k=0}^{\infty} \Phi_k(x,1)z^k.$$

Hence, by (2.8),

$$(2.13) \quad \sum_{k=1}^{\infty} \Phi_k(x,y)z^k = \frac{\sum_{j=1}^{\infty} (-1)^{j-1} \frac{x^j y z^j}{1-x^j y}}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{x^j z^j}{1-x^j}}.$$

This evidently reduces to (2.8) when $y = 1$.

Note that the LHS of (2.13) is equal to

$$(2.14) \quad \sum_{n=1}^{\infty} \sum_{a,k} c_a(n,k) x^n y^a z^k.$$

3. GENERATING FUNCTION FOR $c(n)$ AND RELATED FUNCTIONS

For $z = 1$, (2.8) reduces to

$$(3.1) \quad \sum_{k=0}^{\infty} \Phi_k(x, 1) = \frac{1}{1 - \sum_{j=1}^{\infty} (-1)^{j-1} \frac{x^j}{1-x^j}}.$$

We have

$$\sum_{j=1}^{\infty} (-1)^{j-1} \frac{x^j}{1-x^j} = \sum_{j,k=1}^{\infty} (-1)^{j-1} x^{jk} = \sum_{n=1}^{\infty} x^n \sum_{j|n} (-1)^{j-1}.$$

Put

$$(3.2) \quad d'(n) = \sum_{j|n} (-1)^{j-1};$$

thus $d'(n)$ is the number of odd divisors of n less the number of even divisors.

For $n = 2^r m$, where m is odd, and $r \geq 0$,

$$d'(n) = \sum_{s=0}^r \sum_{j|m} (-1)^{2^s j-1} = (1-r) \sum_{j|m} 1,$$

so that

$$(3.3) \quad d'(n) = -(r-1)d(m),$$

where $d(n)$ is the number of divisors of n .

Thus we may replace (3.1) by

$$(3.4) \quad \sum_{k=0}^{\infty} \Phi_k(x, 1) = \frac{1}{1 - \sum_{n=1}^{\infty} d'(n)x^n}.$$

Since

$$\sum_{k=0}^{\infty} \Phi_k(x, 1) = 1 + \sum_{n,k_a=1}^{\infty} c_a(n, k)x^n = 1 + \sum_{n=1}^{\infty} c(n)x^n,$$

we have therefore

$$(3.5) \quad 1 + \sum_{n=1}^{\infty} c(n)x^n = \frac{1}{1 - \sum_{j=1}^{\infty} (-1)^{j-1} \frac{x^j}{1-x^j}} = \frac{1}{1 - \sum_{n=1}^{\infty} d'(n)x^n}.$$

It follows that $c(n)$ satisfies the recurrence

$$(3.6) \quad c(n) = \sum_{j=1}^n d'(j)c(n-j) \quad (n \geq 1),$$

where $c(0) = 1$.

It is also of some interest to take $z = -1$ in (2.8). We get

$$\sum_{k=0}^{\infty} (-1)^k \Phi_k(x, 1) = \frac{1}{1 + \sum_{j=1}^{\infty} \frac{x^j}{1-x^j}} = \frac{1}{1 + \sum_{n=1}^{\infty} d(n)x^n}$$

Since

$$\sum_{k=0}^{\infty} (-1)^k \Phi_k(x, 1) = 1 + \sum_{n,k,a=1}^{\infty} (-1)^k c_a(n, k) x^n = 1 + \sum_{n=1}^{\infty} c^*(n) x^n,$$

where

$$(3.7) \quad c^*(n) = \sum_{k,a=1}^n (-1)^k c_a(n, k),$$

we get

$$(3.8) \quad 1 + \sum_1^{\infty} c^*(n) x^n = \frac{1}{1 + \sum_1^{\infty} d(n) x^n}.$$

This yields the recurrence

$$(3.9) \quad c^*(n) + \sum_{j=1}^n d(j) c^*(n-j) = 0 \quad (n \geq 1),$$

where $c^*(0) = 1$.

The first few values of $c^*(n)$ are

$$c^*(1) = -1, \quad c^*(2) = -1, \quad c^*(3) = 1, \quad c^*(4) = 0, \quad c^*(5) = 1, \quad c^*(6) = -2.$$

It is also of interest to take $y = -1$ in (2.13). For $y = -1, z = 1$ we get

$$\sum_{k=1}^{\infty} \Phi_k(x, -1) = \frac{\sum_1^{\infty} (-1)^j \frac{x^j}{1+x^j}}{1 + \sum_1^{\infty} (-1)^j \frac{x^j}{1-x^j}},$$

so that

$$(3.10) \quad \sum_{k=0}^{\infty} \Phi_k(x, -1) = \frac{1 + 2 \sum_1^{\infty} (-1)^j \frac{x^j}{1-x^{2j}}}{1 + \sum_1^{\infty} (-1)^j \frac{x^j}{1-x^j}}.$$

If we take $y = z = -1$ in (2.13) we get

$$\sum_{k=1}^{\infty} (-1)^k \Phi_k(x, -1) = \frac{\sum_1^{\infty} \frac{x^j}{1+x^j}}{1 + \sum_1^{\infty} \frac{x^j}{1-x^j}},$$

so that

$$(3.11) \quad \sum_{k=0}^{\infty} (-1)^k \Phi_k(x, -1) = \frac{1 + 2 \sum_1^{\infty} \frac{x^j}{1-x^{2j}}}{1 + \sum_1^{\infty} \frac{x^j}{1-x^j}} = \frac{1 + 2 \sum_1^{\infty} d_0(n) x^n}{1 + \sum_1^{\infty} d(n) x^n},$$

where $d_o(n)$ denotes the number of odd divisors of n . Note that the LHS of (3.11) is equal to

$$(3.12) \quad 1 + \sum_{n=1}^{\infty} x^n \sum_{a,k} (-1)^{a+k} c_a(n,k).$$

4. GENERATING FUNCTION FOR $\bar{c}(n,k)$ AND $\bar{c}_a(n,k)$

While generating functions for $\bar{c}(n,k)$ and $\bar{c}_a(n,k)$ can be obtained from those for $c(n,k)$ and $c_a(n,k)$ by using (1.3), it is of some interest to derive them independently. Put

$$\bar{F}_a(x,k) = \sum_{n=0}^{\infty} \bar{c}_a(n,k) x^n, \quad \bar{\Phi}_k(x,y) = \sum_{a=0}^{\infty} \bar{F}_a(x,k) y^a.$$

Then, exactly as in Section 2,

$$\bar{c}_a(n,k) = \sum_{b \neq a} c_b(n-a,k),$$

so that

$$\bar{F}_a(n,k) = x^a \sum_{b \neq a} \bar{F}_b(x, k-1)$$

and

$$\bar{\Phi}_k(x,y) = \sum_{a=0}^{\infty} (xy)^a \sum_{b \neq a} \bar{F}_b(x, k-1) = \sum_{b=0}^{\infty} \bar{F}_b(x, k-1) \left(\frac{1}{1-xy} - (xy)^b \right).$$

Thus

$$(4.1) \quad \bar{\Phi}_k(x,y) = \frac{1}{1-xy} \bar{\Phi}_{k-1}(x,1) - \bar{\Phi}_{k-1}(x,xy) \quad (k > 1).$$

As above, iteration yields

$$\bar{\Phi}_k(x,y) = \sum_{j=1}^{k-1} \frac{(-1)^{j-1}}{1-x^j y} \bar{\Phi}_{k-j}(x,1) + (-1)^{k-1} \bar{\Phi}_1(x, x^{k-1} y) \quad (k > 1).$$

Since

$$\bar{\Phi}_1(x,y) = \sum_{a=0}^{\infty} (xy)^a = \frac{1}{1-xy},$$

we get

$$(4.2) \quad \bar{\Phi}_k(x,y) = \sum_{j=1}^k \frac{(-1)^{j-1}}{1-x^j y} \bar{\Phi}_{k-j}(x,1) \quad (k \geq 1),$$

where

$$(4.3) \quad \bar{\Phi}_0(x,y) = 1.$$

For $y = 1$, (4.2) reduces to

$$(4.4) \quad \bar{\Phi}_k(x,1) + \sum_{j=1}^k \frac{(-1)^j}{1-x^j} \bar{\Phi}_{k-j}(x,1) = \delta_{k,0}.$$

It follows that

$$(4.5) \quad \sum_{k=0}^{\infty} \bar{\Phi}_k(x, 1) z^k = \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{z^j}{1-x^j}}.$$

Now put

$$\frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{z^j}{1-x^j}} = \sum_{k=0}^{\infty} \frac{\bar{P}_k(x)}{(x)_k} z^k,$$

so that

$$(4.6) \quad \bar{\Phi}_k(x, 1) = \frac{\bar{P}_k(x)}{(x)_k}.$$

The $\bar{P}_k(x)$ are polynomials in x that satisfy the recurrence

$$(4.7) \quad \bar{P}_k(x) = \sum_{j=1}^k (-1)^{j-1} \begin{bmatrix} k \\ j \end{bmatrix} (x)_{j-1} \bar{P}_{k-j}(x) \quad (k \geq 1);$$

also it is clear from the definition that

$$(4.8) \quad P_k(x) = x^k \bar{P}_k(x).$$

For $x = 1$, (4.7) reduces to

$$\bar{P}_k(1) = k \bar{P}_{k-1}(1),$$

so that

$$(4.9) \quad \bar{P}_k(1) = k!.$$

Also it is easy to show by induction that

$$\deg \bar{P}_j(x) \leq \frac{1}{2} j(j-1).$$

Indeed, assuming that this holds for $j < k$, it follows that the degree of the j^{th} term on the right of (4.7)

$$\leq j(k-j) + \frac{1}{2} j(j-1) + \frac{1}{2} (k-j)(k-j-1) = \frac{1}{2} k(k-1).$$

Let γ_k denote the coefficient of $x^{\frac{1}{2}k(k-1)}$ in $\bar{P}_k(x)$. Then we have

$$\gamma_k = \sum_{j=1}^k \gamma_{k-j} = \sum_{j=0}^{k-1} \gamma_j \quad (k \geq 1).$$

This gives

$$\sum_{k=0}^{\infty} \gamma_k x^k \left(1 - \sum_{j=1}^{\infty} x^j \right) = 1,$$

so that

$$\sum_{k=0}^{\infty} \gamma_k x^k = \frac{1-x}{1-2x}.$$

Thus $\gamma_k = 2^{k-1}$, $k \geq 1$, and so

$$(4.10) \quad \deg \bar{P}_k(x) = \frac{1}{2} k(k-1).$$

Since, by (2.4),

$$\bar{c}(n, k) = 0 \quad (k > 2n + 1),$$

it follows that $\bar{P}_k(x)$ begins with a term in $x^{\lfloor k/2 \rfloor}$; moreover the coefficient of this term is 1 for k odd and 2 for k even and positive.

It is clear from the recurrence (4.7) that all the coefficients are integers. It would be interesting to know if they are positive.

If we put

$$\bar{P}_k(x) = \sum_j \gamma(k,j)x^j \quad \text{and} \quad \frac{1}{(x)_k} = \sum_{n=0}^{\infty} p(n,k)x^n,$$

so that $p(n,k)$ is the number of partitions (in the usual sense) of n into parts $\leq k$, it follows from (4.6) that

$$(4.11) \quad \bar{c}(n,k) = \sum_j p(n-j, k)\gamma(k,j).$$

Returning to (4.2), we have

$$\sum_{k=1}^{\infty} \bar{\Phi}_k(x,y)z^k = \sum_{j=1}^{\infty} (-1)^{j-1} \frac{z^j}{1-x^j y} \sum_{k=0}^{\infty} \bar{\Phi}_k(x,1)z^k.$$

This gives

$$(4.12) \quad \sum_{k=1}^{\infty} \bar{\Phi}_k(x,y)z^k = \frac{\sum_{j=1}^{\infty} (-1)^{j-1} \frac{z^j}{1-x^j y}}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{z^j}{1-x^j}}.$$

We may rewrite (4.5) and (4.12) as

$$(4.13) \quad 1 + \sum_{n,k=1}^{\infty} \bar{c}(n,k)x^n z^k = \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{z^j}{1-x^j}},$$

$$(4.14) \quad 1 + \sum_{n=1}^{\infty} \sum_{a,k} \bar{c}_a(n,k)x^n y^a z^k = \frac{\sum_{j=1}^{\infty} (-1)^{j-1} \frac{z^j}{1-x^j y}}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{z^j}{1-x^j}}.$$

By (1.3) we have

$$(4.15) \quad \bar{c}(n,k) = c(n+k, k).$$

Hence, replacing z by xz in (4.5), we have

$$(4.16) \quad 1 + \sum_{n,k=1}^{\infty} c(n+k, k)x^{n+k} z^k = \frac{1}{1 + \sum_{j=1}^{\infty} (-1)^j \frac{x^j z^j}{1-x^j}}.$$

This is of course equivalent to (2.9).

Since

$$\bar{c}_a(n,k) = c_{a+1}(n+k, k) \quad (k > 0),$$

the equivalence of (4.16) and (2.9) follows easily.

Note that it follows from (4.6) and (4.12) that

$$(4.17) \quad \bar{\Phi}_k(x,y) = \sum_{j=1}^k \frac{(-1)^{j-1}}{1-x^j y} \frac{\bar{P}_{k-j}(x)}{(x)_{k-j}}.$$

In addition to (4.15) another relation expressing $\bar{c}(n, k)$ in terms of $c(n, k)$ can be obtained by considering the possible location of zero elements. There may be a zero on the extreme left or the extreme right; also there may be one or more zeros on the inside. Thus we get relations such as the following.

$$\begin{aligned}\bar{c}(0, 0) = \bar{c}(0, 1) = 1, \quad \bar{c}(n, 1) = c(n, 1) = 1 \quad (n \geq 1), \\ \bar{c}(n, 2) = c(n, 2) + 2c(n, 1) \quad (n \geq 2),\end{aligned}$$

$$\bar{c}(n, 3) = c(n, 3) + 2c(n, 2) + c(n, 1) + \sum_{n_1+n_2=n} c(n_1, 1)c(n_2, 1),$$

$$\bar{c}(n, 4) = c(n, 4) + 2c(n, 3) + c(n, 2) + 2 \sum_{n_1+n_2=n} c(n_1, 1)c(n_2, 1) + 2 \sum_{n_1+n_2=n} c(n_1, 1)c(n_2, 2).$$

It follows that

$$\begin{aligned}\sum_0^{\infty} \bar{\Phi}_k(x, 1)z^k &= 1 + z + (1+z)^2 \sum_1^{\infty} \Phi_k(x, 1)z^k + (1+z)^2 z \left\{ \sum_1^{\infty} \Phi_k(x, 1)z^k \right\}^2 + (1+z)^3 z \left\{ \sum_1^{\infty} \Phi_k(x, 1)z^k \right\}^3 + \dots \\ &= 1 + z + \frac{(1+z)^2 \sum_1^{\infty} \Phi_k(x, 1)z^k}{1 - z \sum_1^{\infty} \Phi_k(x, 1)z^k}.\end{aligned}$$

It is easily verified that this is in agreement with (2.8) and (4.5).

5. GENERATING FUNCTIONS FOR $c(n)$ AND $\bar{c}(n)$

We may not put $z = 1$ in (4.5) since the right-hand side then becomes meaningless. We can get around this difficulty in the following way.

To begin with, we shall get crude upper bounds for $c(n)$ and $\bar{c}(n)$. Let $\nu(n, k)$ denote the number of solutions in positive integers of

$$n = a_1 + a_2 + \dots + a_k$$

and let $\bar{\nu}(n, k)$ denote the number of solutions in non-negative integers. Then

$$\nu(n, k) = \binom{n-1}{k-1}, \quad \bar{\nu}(n, k) = \binom{n+k-1}{k-1}.$$

Clearly

$$c(n, k) \leq \nu(n, k), \quad \bar{c}(n, k) \leq \bar{\nu}(n, k).$$

It follows that

$$(5.1) \quad c(n) \leq 2^{n-1} \quad (n \geq 1),$$

so that the radius of convergence of

$$(5.2) \quad \sum_0^{\infty} c(n)x^n$$

is at least $\frac{1}{2}$.

As for $\bar{c}(n)$, since

$$\bar{c}(n, k) = 0 \quad (k > 2n + 1),$$

we get

$$\bar{c}(n) \leq \sum_{k=1}^{2n+1} \binom{n+k-1}{k-1} = \sum_{k=0}^{2n} \binom{n+k}{k} \leq \sum_{k=0}^{2n} \binom{3n}{k},$$

so that

(5.3)

$$\bar{c}(n) \leq 2^{3n}.$$

Hence the radius of convergence of

(5.4)

$$\sum_0^{\infty} \bar{c}(n)x^n$$

is at least $1/8$;Presumably these bounds are by no means best possible. It seems likely that the radius of convergence of (5.4) is about $1/2$.

Next consider

$$\sum_{j=1}^{2k} (-1)^{j-1} \frac{z^j}{1-x^j} = \sum_{j=1}^k \left(\frac{z^{2j-1}}{1-x^{2j-1}} - \frac{z^{2j}}{1-x^{2j}} \right) = \sum_{j=1}^{\infty} \frac{1-z+x^{2j-1}(z-x)}{(1-x^{2j-1})(1-x^{2j})} z^{2j-1}.$$

Thus (4.5) becomes

$$(5.5) \quad \sum_0^{\infty} \Phi_k(x, 1)z^k = \frac{1}{1 - \sum_1^{\infty} \frac{1-z+x^{2j-1}(z-x)}{(1-x^{2j-1})(1-x^{2j})}}.$$

It is now permissible to let $z \rightarrow 1$. We get

$$(5.6) \quad \sum_0^{\infty} \bar{c}(n)x^n = \frac{1}{1 - (1-x) \sum_1^{\infty} \frac{x^{2j-1}}{(1-x^{2j-1})(1-x^{2j})}}.$$

For $x = 1/2$ we get

$$\frac{1/2}{\left(1 - \frac{1}{2}\right)\left(1 - \frac{1}{4}\right)} + \frac{1/8}{\left(1 - \frac{1}{8}\right)\left(1 - \frac{1}{16}\right)} + \frac{1/32}{\left(1 - \frac{1}{32}\right)\left(1 - \frac{1}{64}\right)} = \frac{4}{3} + \frac{16}{105} + \frac{32}{31.63} < 1.$$

Thus the radius of convergence of (5.4) is probably somewhat greater than $1/2$.

REFERENCE

1. John Riordan, *An Introduction to Combinatorial Analysis*, Wiley, New York, 1958.
