

# Straight Line Embeddings of Planar Graphs on Point Sets

Netzahualcoyotl Castañeda, Department of Applied Mathematics  
Universidad Nacional Autonoma de Mexico  
and  
Jorge Urrutia, Department of Computer Science  
University of Ottawa

## Abstract

Given a finite point set  $P_n$  of  $n$  points in the plane in general position, we say that  $P_n$  supports an  $n$  vertex planar graph  $G$  if there is a rectilinear embedding of  $G$  such that all the vertices of  $G$  lie on the elements of  $P_n$ .  $G$  is called universal if any point set  $P_n$  supports it. In this paper we prove that the set of universal graphs is exactly the set of outerplanar graphs. We also give an  $O(n)^2$  time algorithm that produces planar embeddings of outerplanar graphs on point sets.

*Keywords:* Universal graphs; finite points in the plane; geometric graphs

## 1 Introduction.

Let  $P_n$  be a set of points on the plane in general position, and  $G$  a graph with  $n$  vertices. We say that  $P_n$  supports  $G$  if there is an embedding of  $G$  on the plane in such a way that the vertices of  $G$  are mapped to the elements of  $P_n$ , and its edges to non-intersecting open straight line segments joining pairs of elements of  $P_n$  which correspond to pairs of adjacent vertices in  $G$ . We call any such embedding a straight-line embedding of  $G$  on  $P_n$ .

In 1990, Perles introduced the problem of embedding rooted trees on point sets with the root of the tree located at a specific element of the point set. In [3] it is shown that this embedding is always possible. Further results on this topic are presented in [4] and [1] where an optimal  $O(n \log n)$  time algorithm to obtain such embeddings is presented. A graph  $G$  is called

*outerplanar* if there is a straight line embedding of  $G$  on the vertices of a convex polygon.

In this paper, we extend the results in [3] as follows: We call a planar graph  $G$  on  $n$  vertices a *universal graph* if *any*  $n$  point set supports  $G$ . By the results in [3] it follows that trees are universal. Notice that if we choose  $P_n$  to be the set of vertices of a convex polygon, it follows right away that if a graph  $G$  is universal, then it is outerplanar. In this paper we prove that the set of universal graphs is exactly the set of outerplanar graphs. We also give an algorithm to produce embeddings of  $n$  vertex planar graphs on  $n$  point set  $P_n$  in general position in  $O(n)^2$  time.

## 2 Terminology and definitions

An embedding of a graph  $G$  on the plane is called a *straight-line* embedding if all the edges of  $G$  are represented by line segments. A graph  $G$  is called outerplanar if there is a straight-line embedding of  $G$  on the vertices of a convex polygon. All point sets considered here will be assumed to be in general position and  $P_n$  will be used to denote such sets.

## 3 Outerplanar graphs are universal

In this section we prove the following result:

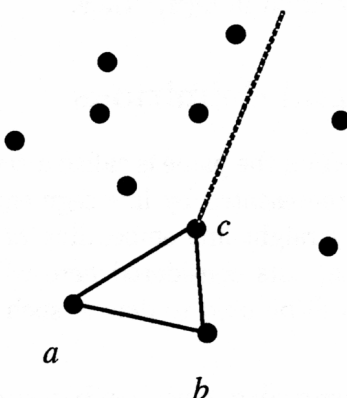
**Theorem 1** *The set of universal graphs is exactly the set of outerplanar graphs.*

Some lemmas and results will be needed to prove Theorem 1.

Without loss of generality, we will assume that  $G$  is a maximal outerplanar graph. We further assume that the vertices of  $G$  are labeled  $v_0, \dots, v_{n-1}$  such that  $v_i$  is adjacent to  $v_{i-1}$ ,  $i = 1, \dots, n-1$  addition taken mod  $n$ , i.e. the unique Hamiltonian cycle of  $G$  is given by the ordered labeling of its vertices. The edge  $v_i - v_{i+1}$  will be called an external edge of  $G$ ,  $i = 1, \dots, n-1$ . Consider three mutually adjacent vertices  $u, v$  and  $w$  of  $G$ . We say that the triangle  $u, v, w$  is an external triangle of  $G$  if at least one of its edges is on the hamiltonean cycle of  $G$ . We call  $uvw$  an  $(r, s)$  - *triangle* of  $G$  if the components of  $G - u, v, w$  have  $r$  and  $s$  vertices respectively. We will allow either one of  $r$  or  $s$  to be 0; this allows us to cover the case when  $u, v, w$  contains two consecutive edges of the Hamiltonian cycle of  $G$ .

Consider a  $n$  point set  $P_n$ , two integers  $r$  and  $s$  such that  $r + s = n - 3$ , and a triangle  $t(a, b, c)$  with  $a$  and  $b$  consecutive vertices of  $\text{Conv}(P_n)$ , and  $c \in P_n$ . We say that  $t(a, b, c)$  is an  $(r, s)$ -triangle of  $P_n$  if:

- i) No element of  $P_n$  lies in the interior of  $t(a, b, c)$
- ii) There is a line  $l$  through  $c$  that intersects the interior of  $t(a, b, c)$  such that there are  $r$  elements of  $P_n - \{a, b, c\}$  on the same side of  $l$  as  $a$ , and  $s$  elements of  $P_n - \{a, b, c\}$  on the other side of  $l$ . See Figure 1.



A 6,2-triangle of  $P_8$

Figure 1

We denote by  $l(a)$  the subset of elements of  $P_n$  on the same side of  $l$  as  $a$  and including also point  $c$ . Similarly, we define  $l(b)$ .

**Lemma 1** *Let  $a$  and  $b$  be two consecutive points in  $\text{Conv}(P_n)$ , and two integers  $r$  and  $s$  such that  $r + s = n - 3$ . Then there always exists a point  $c$  in  $P_n$  such that  $t(a, b, c)$  is an  $(r, s)$ -triangle of  $P_n$ .*

*Proof:* Consider all the points of  $P_n$  such that each of them together with  $a$  and  $b$  are the vertices of a triangle containing no point of  $P - n$  in its interior. Assume that these points are labeled  $u_1, \dots, u_k$  in the counter-clockwise direction around  $a$ . Associate to each  $u_i$  a weight  $w_i$  equal to the number of points in  $P_n - \{a, b, u_i\}$ , to the right of the line connecting  $a$  to  $u_i$ ,  $i = 1, \dots, k$ . See Figure 2.

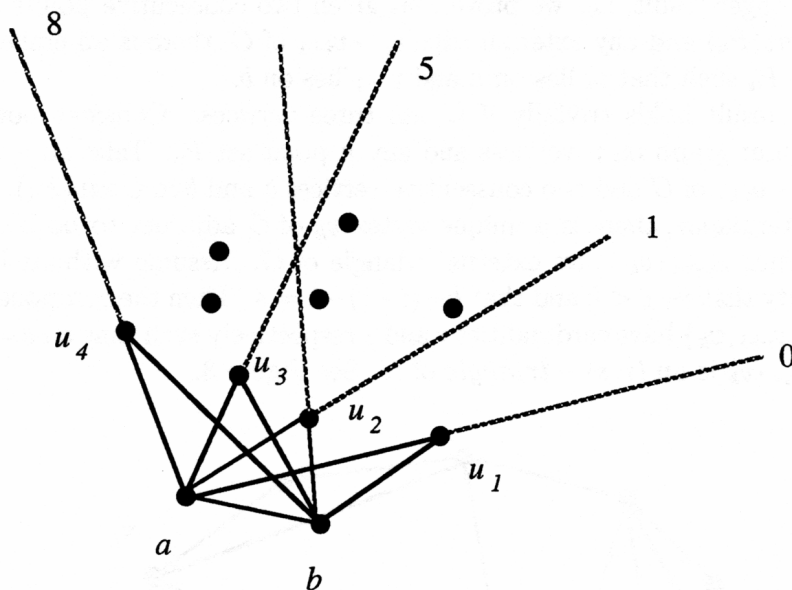


Figure 2

Let  $m$  be the index such that  $w_{m-1} < s$  and  $s \leq w_m$ . If  $w_m = s$  take  $c = u_m$  and let  $l$  be a line through  $u_m$  obtained by a slight counter-clockwise rotation around  $u_m$  of the line joining  $a$  to  $u_m$ .

Suppose then that  $s < w_m$ . We observe now that the number of points to the right of the line joining  $b$  to  $u_{m-1}$  is at least  $w_m - 1$ . Furthermore, the triangle bounded by the line segment  $\overline{ab}$  and the lines joining  $a$  and  $b$  to  $u_m$  contains no element of  $P_n$  in its interior, otherwise  $u_{m-1}$  and  $u_m$  would not be consecutive vertices that generate empty triangles with  $a$  and  $b$ ! It now follows that there is a line through  $u_{m-1}$  intersecting the interior of the triangle  $t(a, b, u_{m-1})$  leaving exactly  $s$  elements of  $P_n - \{a, b, u_{m-1}\}$  to its right. Our result now follows. In Figure 2 we show the case when  $s = 4$  and  $m = 3$ .

*Proof of Theorem 1.* Let  $G$  be a universal graph and  $P_n$  be the set of vertices of a convex polygon. Since  $G$  is universal,  $P_n$  supports  $G$ ; hence there is a planar straight-line embedding of  $G$  on  $P_n$  and  $G$  is outerplanar.

We now prove that any point set  $P_n$  supports any outerplanar graph  $G$ . Recall that the vertices of  $G$  are labeled  $\{v_0, \dots, v_{n-1}\}$  such that  $v_i$  is adjacent to  $v_{i+1}$ ,  $i = 0, \dots, n-1$  addition taken mod  $n$ . We will actually prove an even stronger result, i.e. we prove that given two consecutive points  $a$  and  $b$  in  $\text{Conv}(P_n)$  and any external edge  $v_i - v_{i+1}$  of  $G$ , there is an embedding of  $G$  on  $P_n$  such that  $v_i$  lies on  $a$  and  $v_{i+1}$  lies on  $b$ .

Our result holds trivially if  $G$  has three vertices. Consider now any outerplanar graph on  $n$  vertices and any  $n$  point set  $P_n$ . Take an external edge  $v_i - v_{i+1}$  of  $G$  and two consecutive vertices  $a$  and  $b$  in  $\text{Conv}(P_n)$ . Since  $G$  is outerplanar, there is a unique vertex  $v_k$  of  $G$  adjacent to both  $v_i$  and  $v_{i+1}$ . Thus  $v_i v_{i+1} v_k$  is an external triangle of  $G$ . Assume without loss of generality that  $i+1 < k$  and that  $k - (i+1) - 1 = s$ . Then the components of  $G - \{v_i, v_{i+1}, v_k\}$  have cardinalities  $r$  and  $s$  respectively such that  $r + s = n - 3$  and  $v_i v_{i+1} v_k$  is an  $(r, s)$ -triangle of  $G$ . See Figure 3.

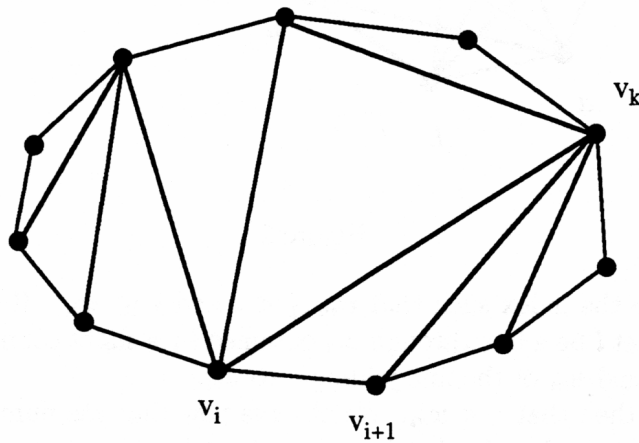


Figure 3

By Lemma 1, there is an  $(r, s)$ -triangle  $t(a, b, c)$  of  $P_n$ . Let  $l$  be a line through  $c$  as in ii) and consider the subsets  $l(a)$  and  $l(b)$  of  $P_n$  as defined above. Notice that  $a$  and  $c$  lie on  $\text{Conv}(l(a))$  and  $b$  and  $c$  lie on  $\text{Conv}(l(b))$ . Let  $H_1$  and  $H_2$  be the subgraphs of  $G$  induced by  $\{v_k, \dots, v_i\}$  and  $\{v_{i+1}, \dots, v_k\}$  respectively, addition taken mod  $n$ . Clearly,  $v_i - v_k$  and  $v_k - v_{i+1}$  are external edges of  $H_1$  and  $H_2$  respectively. Thus by induction there is an embedding of  $H_1$  on  $l(a)$  such that  $v_i$  lies on  $a$  and  $v_k$  lies on  $c$ . Similarly there is an embedding of  $H_2$  on  $l(b)$  such that  $v_{i+1}$  lies on  $b$  and

$v_k$  lies on  $c$ . Combining these embeddings of  $H_1$  and  $H_2$  we can obtain an embedding of  $G$  on  $P_n$  such that the edge  $v_i - v_{i+1}$  lies on the line segment joining  $a$  and  $b$ . Our result now follows.

We now present an algorithm that given a maximal outerplanar graph  $G$  and a point set  $P_n$ , obtains a rectilinear embedding of  $G$  on  $P_n$  in  $O(n)^2$  time.

In our initial step, for each element  $a$  of  $P_n$  we sort the slopes of all the edges connecting  $a$  to all other elements of  $P_n$ . This can be done in  $O(n)^2$  using well known techniques in computational geometry [2]. Assume then that these orders are available at all the elements of  $P_n$ . We now show that having these orders available, we can implement the construction of Lemma 1 in linear time. This will prove our result.

As in the proof of Lemma 1, let  $\{u_1, \dots, u_k\}$  be the elements of  $P_n - \{a, b\}$  that form empty triangles with  $a$  and  $b$ . We now prove:

**Lemma 2** *Given two consecutive points  $a$  and  $b$  in  $\text{Conv}(P_n)$ , and two integers  $r$  and  $s$  such that  $r + s = n - 3$  we can find an  $(r, s)$ -triangle  $t(a, b, c)$  of  $P_n$  in linear time.*

*Proof:* We show first that  $\{u_1, \dots, u_k\}$  and  $\{w_1, \dots, w_n\}$  as described in Lemma 1 can be found in linear time. Let  $p$  be any element of  $P_n - \{a, b\}$ . Assign to  $p$  two integer coordinates, corresponding to the position of  $p$  when sorting the elements of  $P_n - \{a, b\}$  around  $a$  and  $b$  in the counter-clockwise and clockwise direction respectively. For example point  $u_2$  in Figure 2 would receive coordinates  $(2, 5)$ . This maps the points of  $P_n - \{a, b\}$  to points on the plane with integer coordinates, and points generating empty triangles correspond to minimal elements under vector dominance. Using techniques in [5] it follows that  $\{u_1, \dots, u_k\}$  can be found in linear time. We now notice that if  $u_i$  is in position  $k$  in the sorted order of the elements of  $P_n - \{a, b\}$  around  $a$ ,  $w_i$  is exactly  $k - 1$ . Using this, we can now easily determine  $c$ ,  $l$ ,  $l(a)$  and  $l(b)$  as in Lemma 1 in linear time. Our result follows.

We now have:

**Theorem 2** *Given a maximal outerplanar graph  $G$  and a point set  $P_n$  we can find an embedding of  $G$  on  $P_n$  in  $O(n)^2$  time.*

## References

- [1] P. Bose, M. McAllister, and J. Snoeyink, Optimal algorithms to embed trees in a point set, *In Graph Drawing* 1995.

- [2] H. Edelsbrunner and L. Guibas, Topologically sweeping an arrangement. *Proc. 4th ACM Symposium. Computational Geometry* 1988, 44-55.
- [3] Y. Ibeke, M. Perles, A. Tamura, and S. Tokunaga, The rooted tree embedding problem into points on the plane. *Discrete and Computational Geometry*, 11:51-63, 1994.
- [4] J. Pach and J. Torocsik, Layout of rooted trees. Technical report CS-TR-369-92, Princeton, Feb. 1992.
- [5] F. P. Preparata and M. I. Shamos. *Computational Geometry: An introduction*. Springer-Verlag, New York 1985.