

# Computational aspects of finite $p$ -groups

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ICTS, Bangalore 2016

Motivation

Hello!

Why  $p$ -groups?

Outline

Resources

## Welcome! And a bit about myself...



### University of Braunschweig (2000-2009)

- one of the four GAP centres
- PhD (on  $p$ -groups with maximal class)



### University of Auckland (2009-2011)

- work with Magma
- further research on  $p$ -groups



### University of Trento (2011-2013)

- more work with GAP



Monash  
University  
(since 2013)

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# Welcome!



In this lecture series we discuss

## Computational Aspects of Finite $p$ -Groups.

*A finite  $p$ -group is a group whose order is a positive power of the prime  $p$ .*

### Convention

Throughout,  $p$  is a prime; unless stated otherwise, all groups and sets are finite.

### Lecture Material

Slides etc will be uploaded at <http://users.monash.edu/~heikod/icts2016>

### Assumed knowledge

Some group theory... 😊

# Why $p$ -groups?

## There's an abundant supply of $p$ -groups

ord.	#	ord.	#	ord.	#	ord.	#	ord.	#
1	1	14	2	27	5	40	14	53	1
2	1	15	1	28	4	41	1	54	15
3	1	16	14	29	1	42	6	55	2
4	2	17	1	30	4	43	1	56	13
5	1	18	5	31	1	44	4	57	2
6	2	19	1	32	51	45	2	58	2
7	1	20	5	33	1	46	2	59	1
8	5	21	2	34	2	47	1	60	13
9	2	22	2	35	1	48	52	61	1
10	2	23	1	36	14	49	2	62	2
11	1	24	15	37	1	50	5	63	4
12	5	25	2	38	2	51	1	64	267
13	1	26	2	39	2	52	5	65	1

- there are  $p^{2n^3/27+O(n^{5/3})}$  groups of order  $p^n$   
proved and improved by Higman (1960), Sims (1965), Newman & Seeley (2007)
- conjecture: “almost all” groups are  $p$ -groups (2-groups)  
for example, 99% of all groups of order  $\leq 2000$  are 2-groups

## Important aspects of $p$ -groups

### Some comments on $p$ -groups

- Folklore conjecture: “almost all groups are  $p$ -groups”
- Sylow Theorem: every nontrivial group has  $p$ -groups as subgroups
- Nilpotent groups: direct products of  $p$ -groups
- Solvable groups: iterated extensions of  $p$ -groups
- Counterpart to theory of finite simple groups
- Challenge: classify  $p$ -groups...
- Many “reductions” to  $p$ -groups exist: Restricted Burnside Problem, cohomology, Schur multiplier,  $p$ -local subgroups, ...

$p$ -groups are fascinating – and accessible to computations! So let's do it...



# pc presentations

▶ [Go to Overview](#)

▶ [Go to  \$p\$ -Quotient Algorithm](#)

## Groups and computers

### How to describe groups in a computer?

For example, the dihedral group  $D_8$  can be defined as a ...

- ... permutation group

$$G = \langle (1, 2, 3, 4), (1, 3) \rangle;$$

- ... matrix group

$$G = \langle \begin{pmatrix} 0 & 1 \\ 2 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \rangle \leq \mathrm{GL}_2(3);$$

- ... finitely presented group

$$G = \langle r, m \mid r^4, m^2, r^m = r^3 \rangle.$$

**Best for  $p$ -groups:** (polycyclic) presentations!

## Group presentations

Let  $F$  be the free group on a set  $X \neq \emptyset$ ; let  $\mathcal{R}$  be a set of words in  $X \sqcup X^{-1}$ . If  $R = \mathcal{R}^F$  is the normal closure of  $\mathcal{R}$  in  $F$ , then

$$G = F/R$$

is the group defined by the **presentation**  $\{X \mid \mathcal{R}\}$  with **generators**  $X$  and **relators**  $\mathcal{R}$ ; we also write  $G = \langle X \mid \mathcal{R} \rangle$  and call  $\langle X \mid \mathcal{R} \rangle$  a presentation for  $G$ . Informally, it is the “largest” group generated by  $X$  and satisfying the relations  $R$ .

### Example 1

Let  $X = \{r, m\}$  and  $\mathcal{R} = \{r^4, m^2, \overbrace{m^{-1}r m r^{-3}}^{\text{relator}}\}$ , and

$$G = \langle X \mid \mathcal{R} \rangle = \langle r, m \mid r^4, m^2, \underbrace{r^m = r^3}_{\text{relation}} \rangle.$$

What can we say about  $G$ ? Well...  $r^m = r^3$  means  $rm = mr^3$ , so:

- $G = \{m^i r^j \mid i = 0, 1 \text{ and } j = 0, 1, 2, 3\}$ , so  $|G| \leq 8$ ;
- $D_8 = \langle r, m \rangle$  with  $r = (1, 2, 3, 4)$  and  $m = (1, 3)$  satisfies  $\mathcal{R}$ ; thus  $G \cong D_8$ .

## Group presentations

**Problem:** many questions are algorithmically undecidable in general; eg

- is  $\langle X \mid \mathcal{R} \rangle$  finite, trivial, or abelian?
- is a word in  $X$  trivial in  $\langle X \mid \mathcal{R} \rangle$ ?

**However:**

- group presentations are very compact definitions of groups;
- many groups from algebraic topology arise in this form;
- some efficient algorithms exist, eg so-called “quotient algorithms”; (see also C. C. Sims: “Computation with finitely presented groups”, 1994)
- many classes of groups can be studied via group presentations.

**Let's discuss how to define  $p$ -groups by a useful presentation!**

## Background: central series

### Center

If  $G$  is a  $p$ -group, then its center  $Z(G) = \{g \in G \mid \forall h \in G: gh = hg\}$  is non-trivial.

This leads to the **upper central series** of a  $p$ -group  $G$  defined as

$$1 = \zeta_0(G) < \zeta_1(G) < \dots < \zeta_c(G) = G$$

where  $\zeta_0(G) = 1$  and each  $\zeta_{i+1}(G)$  is defined by  $\zeta_{i+1}(G)/\zeta_i(G) = Z(G/\zeta_i(G))$ ; it is the fastest ascending series with central sections.

Related is the **lower central series**

$$G = \gamma_1(G) > \gamma_2(G) > \dots > \gamma_{c+1}(G) = 1$$

where  $\gamma_1(G) = G$  and each  $\gamma_{i+1}(G)$  is defined as<sup>1</sup>  $\gamma_{i+1}(G) = [G, \gamma_i(G)]$ ; it is the fastest descending series with central sections.

The number  $c$  is the same for both series; the **(nilpotency) class** of  $G$ .

<sup>1</sup>As usual,  $[A, B] = \langle [a, b] \mid a \in A, b \in B \rangle$  where  $[a, b] = a^{-1}b^{-1}ab = a^{-1}b^a$

## Example: central series

### Example 2

Let  $G = D_{16} = \langle r, m \rangle$  with  $r = (1, 2, 3, 4, 5, 6, 7, 8)$ ,  $m = (1, 3)(4, 8)(5, 7)$ . Then  $G$  has class  $c = 3$ ; its lower central series is

$$G > \langle r^2 \rangle > \langle r^4 \rangle > 1$$

and has sections<sup>2</sup>  $G/\gamma_2(G) \cong C_2 \times C_2$ ,  $\gamma_2(G)/\gamma_3(G) = C_2$ , and  $\gamma_3(G) = C_2$ . We can refine this series so that all sections are isomorphic to  $C_2$ :

$$G > \langle r \rangle > \langle r^2 \rangle > \langle r^4 \rangle > 1.$$

**In general:** every central series of a  $p$ -group  $G$  can be refined to a **composition series**

$$G = G_1 > G_2 > \dots > G_{n+1} = 1$$

where each  $G_i \trianglelefteq G$  and  $G_i/G_{i+1} \cong C_p$ ; thus  $G$  is a **polycyclic group**.

<sup>2</sup>If  $n$  is a positive integer, then  $C_n$  denotes a cyclic group of size  $n$ .

# Polycyclic groups

## Polycyclic group

The group  $G$  is **polycyclic** if it admits a **polycyclic series**, that is, a subgroup chain  $G = G_1 \geq \dots \geq G_{n+1} = 1$  in which each  $G_{i+1} \trianglelefteq G_i$  and  $G_i/G_{i+1}$  is cyclic.

**Polycyclic groups:** solvable groups whose subgroups are finitely generated.

### Example 3

The group  $G = \langle (2, 4, 3), (1, 3)(2, 4) \rangle \cong \text{Alt}(4)$  is polycyclic with series

$$G = G_1 > G_2 > G_3 > G_4 = 1$$

where

$$G_2 = \langle (1, 3)(2, 4), (1, 2)(3, 4) \rangle = V_4 \trianglelefteq G_1$$

$$G_3 = \langle (1, 2)(3, 4) \rangle \trianglelefteq G_2$$

Each  $G_i/G_{i+1}$  is cyclic, so there is  $g_i \in G_i \setminus G_{i+1}$  with  $G_i/G_{i+1} = \langle g_i G_{i+1} \rangle$ ; for example,  $g_1 = (2, 4, 3)$ ,  $g_2 = (1, 3)(2, 4)$ ,  $g_3 = (1, 2)(3, 4)$ .

# Polycyclic Sequence

## Polycyclic sequence

Let  $G = G_1 \geq \dots \geq G_{n+1} = 1$  be a polycyclic series.

A related **polycyclic sequence**  $X$  with **relative orders**  $R(X)$  is

$$X = [g_1, \dots, g_n] \quad \text{with} \quad R(X) = [r_1, \dots, r_n]$$

where each  $g_i \in G_i \setminus G_{i+1}$  and  $r_i = |g_i G_{i+1}| = |G_i/G_{i+1}|$ .

A polycyclic series is also called **pcgs** (polycyclic generating set).

**Important observation:** each  $G_i = \langle g_i, g_{i+1}, \dots, g_n \rangle$  and  $|G_i| = r_i \cdots r_n$ .

### Example 4

Let  $G = D_{16} = \langle r, m \rangle$  with  $r = (1, 2, 3, 4, 5, 6, 7, 8)$  and  $m = (1, 3)(4, 8)(5, 7)$ .

Examples of pcgs:

- $X = [m, r]$  with  $R(X) = [2, 8]$ :  $G = \langle m, r \rangle > \langle r \rangle > 1$ ;
- $X = [m, r, r^4]$  with  $R(X) = [2, 4, 2]$ :  $G = \langle m, r, r^4 \rangle > \langle r, r^4 \rangle > \langle r^4 \rangle > 1$ ;
- $X = [m, r, r^3, r^2]$  with  $R(X) = [2, 1, 2, 4]$ ; note that  $\langle r, r^3, r^2 \rangle = \langle r^3, r^2 \rangle$ .



## Normal Forms

### Lemma: Normal Form

Let  $X = [g_1, \dots, g_n]$  be a pcgs for  $G$  with  $R(X) = [r_1, \dots, r_n]$ .  
If  $g \in G$ , then  $g = g_1^{e_1} \cdots g_n^{e_n}$  for unique  $e_i \in \{0, \dots, r_i - 1\}$ .

We call  $g = g_1^{e_1} \cdots g_n^{e_n}$  the **normal form** with respect to  $X$ .

### Proof.

Let  $g \in G$  be given; we use induction on  $n$ .

- If  $n = 1$ , then  $G = \langle g_1 \rangle \cong C_{r_1}$  and the lemma holds; now let  $n \geq 2$ .
- Since  $G/G_2 = \langle g_1 G_2 \rangle \cong C_{r_1}$ , we can write  $gG_2 = g_1^{e_1} G_2$  for a unique  $e_1 \in \{0, \dots, r_1 - 1\}$ , that is,  $g' = g_1^{-e_1} g \in G_2$ .
- $X' = [g_2, \dots, g_n]$  is pcgs of  $G_2$  with  $R(X') = [r_2, \dots, r_n]$ , so by induction  $g' = g_1^{-e_1} g = g_2^{e_2} \cdots g_n^{e_n}$  for unique  $e_i \in \{0, \dots, r_i - 1\}$ .
- In conclusion,  $g = g_1^{e_1} \cdots g_n^{e_n}$  as claimed.

## Example: Normal Forms

### Example 5

A pcgs of  $G = \text{Alt}(4)$  with  $R(X) = [3, 2, 2]$  is  $X = [g_1, g_2, g_3]$  where

$$g_1 = (1, 2, 3), \quad g_2 = (1, 2)(3, 4), \quad g_3 = (1, 3)(2, 4).$$

This yields  $G = G_1 > G_2 > G_3 > G_4 = 1$  with each  $G_i = \langle g_i, \dots, g_3 \rangle$ .

Now consider  $g = (1, 2, 4) \in G$ .

First, we have  $gG_2 = g_1^2 G_2$ , so  $g' = g_1^{-2} g = (1, 4)(2, 3) \in G_2$ .

Second,  $g'G_3 = g_2 G_3$ , so  $g'' = g_2^{-1} g' = (1, 3)(2, 4) = g_3 \in G_3$ .

In conclusion,  $g = g_1^2 g' = g_1^2 g_2 g'' = g_1^2 g_2 g_3$ .

## Polycyclic group to presentation

Let  $G$  be group with pcgs  $X = [g_1, \dots, g_n]$  and  $R(X) = [r_1, \dots, r_n]$ ; define  $G_i = \langle g_i, \dots, g_n \rangle$ . There exist  $a_{*,j}, b_{*,*,j} \in \{0, 1, \dots, r_j - 1\}$  with:

- $g_i^{r_i} = g_{i+1}^{a_{i,i+1}} \dots g_n^{a_{i,n}}$  (for all  $i$ , since  $G_i/G_{i+1} = \langle g_i G_{i+1} \rangle \cong C_{r_i}$ )
- $g_i^{g_j} = g_{j+1}^{b_{i,j,j+1}} \dots g_n^{b_{i,j,n}}$  (for all  $j < i$ , since  $g_i \in G_{j+1} \trianglelefteq G_j$ ).

### A polycyclic presentation (PCP) for $G$

Let  $H = \langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  such  $\mathcal{R}$  contains exactly the above relations:

$$x_i^{r_i} = x_{i+1}^{a_{i,i+1}} \dots x_n^{a_{i,n}} \quad \text{and} \quad x_i^{x_j} = x_{j+1}^{b_{i,j,j+1}} \dots x_n^{b_{i,j,n}}.$$

Then  $H \cong G$  with pcgs  $X = [x_1, \dots, x_n]$  and  $R(X) = [r_1, \dots, r_n]$ .

### Proof.

Define  $\varphi: H \rightarrow G$  by  $x_i \mapsto g_i$ . The elements  $g_1, \dots, g_n$  satisfy the relations in  $\mathcal{R}$ , so  $\varphi$  is an epimorphism by **von Dyck's Theorem**. By construction,  $H$  is polycyclic with pcgs  $X$  and order at most  $|G|$ . Thus,  $\varphi$  is an isomorphism.

## Polycyclic group to presentation

### Example 6

Let  $G = \text{Alt}(4)$  with pcgs  $X = [g_1, g_2, g_3]$  and  $R(X) = [3, 2, 2]$  where

$$g_1 = (1, 2, 3), \quad g_2 = (1, 2)(3, 4), \quad g_3 = (1, 3)(2, 4).$$

Then  $g_1^3 = g_2^2 = g_3^2 = 1$ ,  $g_2^{g_1} = g_2 g_3$ ,  $g_3^{g_1} = g_2$ ,  $g_3^{g_2} = g_3$ , and so

$$G \cong \langle x_1, x_2, x_3 \mid x_1^3 = x_2^2 = x_3^2 = 1, x_2^{x_1} = x_2 x_3, x_3^{x_1} = x_2, x_3^{x_2} = x_3 \rangle.$$

### Theorem

Every pcgs determines a unique polycyclic presentation; every polycyclic group can be defined by a polycyclic presentation.

## Pc presentation to group

### Polycyclic presentation (pcp)

A presentation  $\langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  is a **polycyclic presentation** with **power exponents**  $s_1, \dots, s_n \in \mathbb{N}$  if the only relations in  $\mathcal{R}$  are

$$\begin{aligned} x_i^{s_i} &= x_{i+1}^{a_{i,i+1}} \cdots x_n^{a_{i,n}} & (\text{all } i, \text{ each } a_{i,k} \in \{0, \dots, s_k - 1\}) \\ x_i^{x_j} &= x_{j+1}^{b_{i,j,j+1}} \cdots x_n^{b_{i,j,n}} & (\text{all } j < i, \text{ each } b_{i,j,k} \in \{0, \dots, s_k - 1\}). \end{aligned}$$

We write  $\text{Pc}\langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  and **omit trivial commutator relations**  $x_i^{x_j} = x_i$ . The group defined by a pc-presentation is a **pc-group**.

### Theorem

If  $G = \text{Pc}\langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  with power exps  $[s_1, \dots, s_n]$ , then  $X = [x_1, \dots, x_n]$  is a pcgs of  $G$ . If  $g \in G$ , then  $g = x_1^{e_1} \cdots x_n^{e_n}$  for some  $e_i \in \{0, \dots, s_i - 1\}$ .

**Careful:**  $(x_i G_i)^{s_i} = 1$  only implies that  $r_i = |G_i/G_{i+1}|$  divides  $s_i$ , not  $r_i = s_i$ ; so in general

$$R(X) = [r_1, \dots, r_n] \neq [s_1, \dots, s_n].$$

## Consistent pc presentations

**Note:** Only power exponents (not relative orders) are visible in pc presentations.

### Example 7

Let  $G = \text{Pc}\langle x_1, x_2, x_3 \mid x_1^3 = x_3, x_2^2 = x_3, x_3^5 = 1, x_2^{x_1} = x_2 x_3 \rangle$ ; this is a pc-group with pcgs  $X = [x_1, x_2, x_3]$  and power exponents  $S = [3, 2, 5]$ .

We show  $R(X) = [3, 2, 1]$ , so  $|G| = 6$ :

First, note that  $x_2^{10} = x_3^5 = 1$ , so  $|x_2| \mid 10$ .

Second,  $x_2^{x_1} = x_2 x_3 = x_2^3$  so  $x_2^{27} = x_2^{(x_1^3)} = x_2^{x_3} = x_2^{(x_2^2)} = x_2$ , and thus  $|x_2| \mid 26$ .

This implies that  $5 \nmid |x_2|$ , and forces  $x_3 = 1$  in  $G$ .

Note that  $x_1^0 x_2^0 x_3^0 = 1 = x_1^0 x_2^0 x_3^1$  are two normal forms (wrt power exponents).

### Consistent pc presentation

A pc-presentation with power exponents  $S$  is **consistent** if and only if every group element has a unique normal form with respect to  $S$ ; otherwise it is **inconsistent**.

**How to check consistency?**  $\rightsquigarrow$  use **collection** and **consistency checks!**

## Collection

Let  $G = \text{Pc}\langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  with power exponents  $S = [s_1, \dots, s_n]$ .

Consider a reduced word  $w = x_{i_1}^{e_1} \cdots x_{i_r}^{e_r}$ , that is, each  $i_j \neq i_{j+1}$ ; we can assume  $e_j \in \mathbb{N}$ , otherwise eliminate using power relations.

### Collection

Let  $w = x_{i_1}^{e_1} \cdots x_{i_r}^{e_r}$  as above and use the previous notation:

- the word  $w$  is **collected** if  $w$  is the normal form wrt  $S$ , that is,  $i_1 < \dots < i_r$  and each  $e_j \in \{0, \dots, s_{i_j} - 1\}$ ;
- if  $w$  is not collected, then it has a **minimal non-normal subword** of  $w$ , that is, a subword  $u$  of the form

$$u = x_{i_j}^{e_j} x_{i_{j+1}} \quad \text{with } i_j > i_{j+1}, \quad \text{eg } u = x_3^2 x_1$$

or

$$u = x_{i_j}^{s_{i_j}} \quad \text{eg } u = x_2^5 \text{ with } s_2 = 5.$$

**Collection** is a method to obtain collected words.

## Collection algorithm

Let  $G = \text{Pc}\langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  with power exponents  $S = [s_1, \dots, s_n]$ .

Consider a reduced word  $w = x_{i_1}^{e_1} \cdots x_{i_r}^{e_r}$ , that is, each  $i_j \neq i_{j+1}$ ; we can assume  $e_j \in \mathbb{N}$ , otherwise eliminate using power relations.

### Collection algorithm

**Input:** polycyclic presentation  $\text{Pc}\langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  and word  $w$  in  $X$

**Output:** a collected word representing  $w$

Repeat the following until  $w$  has no minimal non-normal subword:

- choose minimal non-normal subword  $u = x_{i_j}^{s_{i_j}}$  or  $u = x_{i_j}^{e_j} x_{i_{j+1}}$ ;
- if  $u = x_{i_j}^{s_{i_j}}$ , then replace  $u$  by a suitable word in  $x_{i_j+1}, \dots, x_n$ ;  
if  $u = x_{i_j}^{e_j} x_{i_{j+1}}$ , then replace  $u$  by  $x_{i_{j+1}} u'$  with  $u'$  word in  $x_{i_j+1}, \dots, x_n$ .

### Theorem

The collection algorithm terminates.

## Collection algorithm

If  $w$  contains more than one minimal non-normal subword, a rule is used to determine which of the subwords is replaced (making the process well-defined).

- **Collection to the left:** move all occurrences of  $x_1$  to the beginning of the word; next, move all occurrences of  $x_2$  left until adjacent to the  $x_1$ 's, etc.
- **Collection from the right:** the minimal non-normal subword nearest to the end of a word is selected.
- **Collection from the left:** the minimal non-normal subword nearest to the beginning of a word is selected.

## Example: collection

Consider the group

$$D_{16} \cong \text{PC}\langle x_1, x_2, x_3, x_4 \mid x_1^2 = 1, x_2^2 = x_3x_4, x_3^2 = x_4, x_4^2 = 1, x_2^{x_1} = x_2x_3, x_3^{x_1} = x_3x_4 \rangle.$$

**Aim:** collect the word  $x_3x_2x_1$ .

Since power exponents are all "2", we only use generator indices:

"to the left"

$$\begin{aligned} \underline{3}21 &= \underline{3}123 \\ &= 13\underline{4}23 \\ &= 132\underline{4}3 \\ &= 123\underline{4}3 \\ &= 1233\underline{4} \\ &= 12\underline{4}4 \\ &= 12 \end{aligned}$$

"from the right"

$$\begin{aligned} 3\underline{2}1 &= \underline{3}123 \\ &= 134\underline{2}3 \\ &= 132\underline{4}3 \\ &= 1\underline{3}234 \\ &= 123\underline{3}4 \\ &= 12\underline{4}4 \\ &= 12 \end{aligned}$$

"from the left"

$$\begin{aligned} \underline{3}21 &= \underline{2}31 \\ &= \underline{2}134 \\ &= 12\underline{3}34 \\ &= 12\underline{4}4 \\ &= 12 \end{aligned}$$

## Consistency checks

### Theorem 8: consistency checks

$\text{Pc}\langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  with power exponents  $[s_1, \dots, s_n]$  is consistent if and only if the normal forms of the following pairs of words coincide

$$\begin{aligned} x_k(x_j x_i) \text{ and } (x_k x_j)x_i & \quad \text{for } 1 \leq i < j < k \leq n, \\ (x_j^{s_j})x_i \text{ and } x_j^{s_j-1}(x_j x_i) & \quad \text{for } 1 \leq i < j \leq n, \\ x_j(x_i^{s_i}) \text{ and } (x_j x_i)x_i^{s_i-1} & \quad \text{for } 1 \leq i < j \leq n, \\ x_j(x_j^{s_j}) \text{ and } (x_j^{s_j})x_j & \quad \text{for } 1 \leq j \leq n, \end{aligned}$$

where the subwords in brackets are to be collected first.

### Example 9

If  $G = \text{Pc}\langle x_1, x_2, x_3 \mid x_1^3 = x_3, x_2^2 = x_3, x_3^5 = 1, x_2^{x_1} = x_2 x_3 \rangle$ , then

$$(x_2^2)x_1 = x_3 x_1 = x_1 x_3 \quad \text{and} \quad x_2(x_2 x_1) = x_2 x_1 x_2 x_3 = x_1 x_2^2 x_3^2 = x_1 x_3^3.$$

Since  $x_1 x_3 = x_1 x_3^3$  are both normal forms, the presentation is *not* consistent. Indeed, we deduce that  $x_3 = 1$  in  $G$ .

## Weighted power-commutator presentation

So far we have seen that every  $p$ -group can be defined via a consistent polycyclic presentation.

However, the algorithms we discuss later require a special type of polycyclic presentations, namely, so-called **weighted power-commutator presentations**.

## Weighted power-commutator presentation

A **weighted power-commutator presentation** (wpcp) of a  $d$ -generator group  $G$  of order  $p^n$  is  $G = \text{Pc}\langle x_1, \dots, x_n \mid \mathcal{R} \rangle$  such that  $\{x_1, \dots, x_d\}$  is a minimal generating set  $G$  and the relations are

$$x_j^p = \prod_{k=j+1}^n x_k^{\alpha(j,k)} \quad (1 \leq j \leq n, \quad 0 \leq \alpha(j,k) < p)$$

$$[x_j, x_i] = \prod_{k=j+1}^n x_k^{\beta(i,j,k)} \quad (1 \leq i < j \leq n, \quad 0 \leq \beta(i,j,k) < p)$$

note that every  $G_i = \langle x_i, \dots, x_n \rangle$  is normal in  $G$ .

Moreover, each  $x_k \in \{x_{d+1}, \dots, x_n\}$  is the right side of some relation; choose one of these as the **definition** of  $x_k$ .

## Weighted power-commutator presentation

### Example 10

Consider

$$G = \text{Pc}\langle x_1, \dots, x_5 \mid x_1^2 = x_4, x_2^2 = x_3, x_3^2 = x_5, x_4^2 = x_5, x_5^2 = 1, \\ [x_2, x_1] = x_3, [x_3, x_1] = x_5 \rangle.$$

Here  $\{x_1, x_2\}$  is a minimal generating set of  $G$ , and we choose:

- $x_3$  has definition  $[x_2, x_1]$  and weight 2;
- $x_4$  has definition  $x_1^2$  and weight 2;
- $x_5$  has definition  $[x_3, x_1]$  and weight 3.

# Weighted power-commutator presentation

## Why are (w)pcp's useful?

- consistent pcp's allow us to solve the *word problem* for the group: given two words, compute their normal forms, and compare them
- the additional structure of wpcp's allows more efficient algorithms: for example: consistency checks,  $p$ -group generation (later)
- a wpcp exhibits a *normal series*  $G > G_1 > \dots > G_n = 1$ : many algorithms work down this series and use induction: first solve problem for  $G/G_k$ , and then extend to solve the problem for  $G/G_{k+1}$ , and so eventually for  $G = G/G_n$ .

... **how to compute wpcp's?**  $\rightsquigarrow$   $p$ -quotient algorithm (next lecture)

# Conclusion Lecture 1

## Things we have discussed in the first lecture:

- polycyclic groups, sequences, and series
- polycyclic generating sets (**pcgs**) and relative orders
- polycyclic presentations (**pcp**), power exponents, and consistency
- normal forms and collection
- consistency checks
- weighted polycyclic presentations (**wpcp**)



# $p$ -quotient algorithm

▶ [Go to Presentations](#)

▶ [Go to  \$p\$ -Group Generation](#)

## Conclusion Lecture 1

### Things we have discussed in the first lecture:

- polycyclic groups, sequences, and series
- polycyclic generating sets (**pcgs**) and relative orders
- polycyclic presentations (**pcp**), power exponents, and consistency
- normal forms and collection
- consistency checks
- weighted polycyclic presentations (**wpcp**)

# Conclusion Lecture 1

## weighted polycyclic presentation (wpcp):

- all relative orders  $p$
- induced polycyclic series is chief series
- relations are partitioned into definitions and non-definitions

### Example

Consider

$$G = \text{Pc} \langle x_1, \dots, x_5 \mid x_1^2 = x_4, x_2^2 = x_3, x_3^2 = x_5, x_4^2 = x_5, x_5^2 = 1 \\ [x_2, x_1] = x_3, [x_3, x_1] = x_5 \rangle.$$

Here  $\{x_1, x_2\}$  is a minimal generating set, and we choose  $[x_2, x_1] = x_3$  and  $x_1^2 = x_4$  and  $[x_3, x_1] = x_5$  as definitions for  $x_3$ ,  $x_4$ , and  $x_5$ , respectively.

**Lecture 2:** how to compute a wpcp?

# Lower exponent- $p$ series

## Lower exponent $p$ -series

The **lower exponent- $p$  series** of a  $p$ -group  $G$  is

$$G = P_0(G) > P_1(G) > \dots > P_c(G) = 1$$

where each  $P_{i+1}(G) = [G, P_i(G)]P_i(G)^p$ ; the  **$p$ -class** of  $G$  is  $c$ .

## Important properties

- each  $P_i(G)$  is characteristic in  $G$ ;
- $P_1(G) = [G, G]G^p = \Phi(G)$ , and  $G/P_1(G) \cong C_p^d$  with  $d = \text{rank}(G)$ ;
- each section  $P_i(G)/P_{i+1}(G)$  is  $G$ -central and elementary abelian;
- if  $G$  has  $p$ -class  $c$ , then its nilpotency class is at most  $c$ ;
- if  $\theta$  is a homomorphism, then  $\theta(P_i(G)) = P_i(\theta(G))$ ;
- $G/N$  has  $p$ -class  $c$  if and only if  $P_c(G) \leq N$ ;
- **weights:** any wpcp on  $\{a_1, \dots, a_n\}$  satisfies  $a_i \in P_{\omega(a_i)}(G) \setminus P_{\omega(a_i)+1}(G)$ .

## Lower exponent- $p$ series

### Example 11

Consider

$$G = D_{16} = \text{Pc}\langle a_1, a_2, a_3, a_4 \mid a_1^2 = 1, a_2^2 = a_3a_4, a_3^2 = a_4, a_4^2 = 1, [a_2, a_1] = a_3, [a_3, a_1] = a_4 \rangle.$$

Here we can read off:

- $P_0(G) = G$
- $P_1(G) = [G, G]G^2 = \langle a_3, a_4 \rangle$
- $P_2(G) = [G, P_1(G)]P_1(G)^2 = \langle a_4 \rangle$
- $P_3(G) = [G, P_2(G)]P_2(G)^2 = 1$

So  $G$  has 2-class 3.

## Computing a wpcp of a $p$ -group

### $p$ -quotient algorithm<sup>3</sup>

**Input:** a  $p$ -group  $G = F/R = \langle x_1, \dots, x_n \mid \mathcal{R} \rangle$

**Output:** a wpcp of  $G$

**Top-level outline:**

- ① compute wpcp of  $G/P_1(G)$  and epimorphism  $G \rightarrow G/P_1(G)$ , then iterate:
- ② given wpcp of  $G/P_k(G)$  and epimorphism  $G \rightarrow G/P_k(G)$ , compute wpcp of  $G/P_{k+1}(G)$  and epimorphism  $G \rightarrow G/P_{k+1}(G)$ ;

For the second step, we use the so-called  $p$ -cover of  $G/P_k(G)$ .

**More general:** a “ $p$ -quotient algorithm” computes a consistent wpcp of the largest  $p$ -class  $k$  quotient (if it exists) of any finitely presented group.

<sup>3</sup>Historically: MacDonald (1974), Havas & Newman (1980), Newman & O'Brien (1996)

## Computing a wpcp of $G/P_1(G)$

Note that  $G/P_1(G)$  is elementary abelian.

### Computing wpcp of $G/P_1(G)$

**Input:** a  $p$ -group  $G = F/R = \langle x_1, \dots, x_n \mid \mathcal{R} \rangle$

**Output:** a wpcp of  $G/P_1(G)$  and epimorphism  $\theta: G \rightarrow G/P_1(G)$

**Approach:**

- 1 abelianise relations, take exponents modulo  $p$ , write these in matrix  $M$
- 2 compute solution space of  $M$  over  $\text{GF}(p)$

**Then:**

- dimension  $d$  of solution space is rank of  $G$ , that is,  $G/P_1(G) \cong C_p^d$
- generating set of  $G/P_1(G)$  lifts to subset of given generators;  
set  $G/P_1(G) = \text{Pc}\langle a_1, \dots, a_d \mid a_1^p = \dots = a_d^p \rangle$  and define  $\theta$  by

$$\theta(x_i) = a_i \quad \text{for } i = 1, \dots, d;$$

images of  $\theta(x_j)$  with  $j > d$  are determined accordingly.

## Computing a wpcp of $G/P_1(G)$

### Example 12

$G = \langle x_1, \dots, x_6 \mid x_6^{10}, x_1x_2x_3, x_2x_3x_4, \dots, x_4x_5x_6, x_5x_6x_1, x_1x_6x_2 \rangle$  and  $p = 2$

Write coefficients of abelianised and mod-2 reduced equations as rows of matrix, use row-echelonisation, and determine that solution space has dimension 2:

$$\begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 \end{pmatrix} \rightsquigarrow \begin{pmatrix} 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix};$$

Modulo  $P_1(G)$ , this shows that  $x_1 = x_5x_6$ ,  $x_2 = x_5$ ,  $x_3 = x_6$ ,  $x_4 = x_5x_6$ , and **Burnside's Basis Theorem** implies that  $G = \langle x_5, x_6 \rangle$ . Lastly, set

$$G/P_1(G) = \text{Pc}\langle a_1, a_2 \mid a_1^2 = a_2^2 = 1 \rangle,$$

and define  $\theta: G \rightarrow G/P_1(G)$  via  $x_5 \mapsto a_1$  and  $x_6 \mapsto a_2$ .

This determines  $\theta(x_1) = a_1a_2$ ,  $\theta(x_2) = a_1$ ,  $\theta(x_3) = a_2$ , and  $\theta(x_4) = a_1a_2$ .

## Compute wpcp for $G/P_{k+1}(G)$ from that of $G/P_k(G)$

**Given:**

- wpcp of  $d$ -generator  $p$ -group  $G/P_k(G)$  and epimorphism  $\theta: G \rightarrow G/P_k(G)$

**Want:**

- wpcp of  $G/P_{k+1}(G)$  and epimorphism  $G \rightarrow G/P_{k+1}(G)$

**In the following:**

- $H = G/P_k(G)$  and  $K = G/P_{k+1}(G)$  and  $Z = P_k(G)/P_{k+1}(G)$
- note that  $Z$  is elementary abelian,  $K$ -central, and  $K/Z \cong H$

**Approach:** Construct a covering  $H^*$  of  $H$  such that every  $d$ -generator  $p$ -group  $L$  with  $L/M \cong H$  and  $M \leq L$  central elementary abelian, is a quotient of  $H^*$ .

**Thus, the next steps are:**

- 1 define  $p$ -cover  $H^*$  and determine a pcp of  $H^*$ ;
- 2 make this presentation consistent;
- 3 construct  $K$  as quotient of  $H^*$  by enforcing defining relations of  $G$ .

## $p$ -covering group: definition

### Theorem 13: $p$ -covering group

Let  $H$  be a  $d$ -generator  $p$ -group; there is a  $d$ -generator  $p$ -group  $H^*$  with:

- $H^*/M \cong H$  for some central elementary abelian  $M \trianglelefteq H^*$ ;
- if  $L$  is a  $d$ -generator  $p$ -group with  $L/Y \cong H$  for some central elementary abelian  $Y \leq L$ , then  $L$  is a quotient of  $H^*$ .

The group  $H^*$  is unique up to isomorphism.

**Proof.**

Let  $H = F/S$  with  $F$  free of rank  $d$ . Define  $H^* = F/S^*$  with  $S^* = [S, F]S^p$ .

Now  $S/S^*$  is elementary abelian  $p$ -group, so  $H^*$  is (finite)  $d$ -generator  $p$ -group.

Let  $L$  be as in the theorem, and let  $\psi: L \rightarrow H$  with kernel  $Y$ .

Let  $\theta: F \rightarrow H$  with kernel  $S$ . Since  $F$  is free,  $\theta$  factors through  $L$ , that is,

$\theta: F \xrightarrow{\varphi} L \xrightarrow{\psi} H$ , and so  $\varphi(S) \leq \ker \psi = Y$ . This implies that  $\varphi(S^*) = 1$ .

In conclusion,  $\varphi$  induces surjective map from  $H^* = F/S^*$  onto  $L$ .

If  $H^*$  and  $\tilde{H}^*$  are two such covers, then each is an image of the other.

## p-covering group: presentation

**Given:** a wpcp  $\text{Pc}\langle a_1, \dots, a_m \mid \mathcal{S} \rangle$  for  $H = G/P_k(G) \cong F/S$   
and epimorphism  $\theta: G \rightarrow H$  with  $\theta(x_i) = a_i$  for  $i = 1, \dots, d$

**Want:** a wpcp for  $H^* \cong F/S^*$  where  $S^* = [S, F]S^p$

**Recall:** each of  $a_{d+1}, \dots, a_m$  occurs as right hand side of one relation in  $\mathcal{S}$ ;  
write  $\mathcal{S} = \mathcal{S}_{\text{def}} \cup \mathcal{S}_{\text{nondef}}$  with  $\mathcal{S}_{\text{nondef}} = \{s_1, \dots, s_q\}$ .

### Theorem 14

Using the previous notation,  $H^* = \text{Pc}\langle a_1, \dots, a_m, b_1, \dots, b_q \mid \mathcal{S}^* \rangle$ , where

$$\mathcal{S}^* = \mathcal{S}_{\text{def}} \cup \{s_1 b_1, \dots, s_q b_q\} \cup \{b_1^p, \dots, b_q^p\}.$$

Note:  $M = \langle b_1, \dots, b_q \rangle \trianglelefteq H^*$  is elementary abelian, central, and  $H^*/M \cong H$ .

(see Newman, Nickel, Niemeier: "Descriptions of groups of prime-power order", 1998)

**In practice:** fewer new generators are introduced.

## p-covering group: example

### Example 15

If  $H = \text{Pc}\langle a_1, a_2 \mid a_1^2 = a_2^2 = 1 \rangle \cong C_2 \times C_2$ , then

$$H^* = \text{Pc}\langle a_1, a_2, b_1, b_2, b_3 \mid a_1^2 = b_1, a_2^2 = b_2, [a_1, a_2] = b_3, b_1^2 = b_2^2 = b_3^2 = 1 \rangle;$$

indeed,  $H^* \cong (C_4 \times C_2): C_4$ , thus we have found a consistent wpcp!

### Example 16

If  $H = \text{Pc}\langle a_1, a_2, a_3 \mid a_1^2 = a_3^2 = 1, a_2^2 = a_3, [a_2, a_1] = a_3 \rangle \cong D_8$ , then

$$H^* = \text{Pc}\langle a_1, a_2, a_3, b_1, \dots, b_5 \mid \mathcal{T} \cup \{b_1^2, \dots, b_5^2\} \rangle \quad \text{with}$$

$$\mathcal{T} = \{a_1^2 = b_1, a_2^2 = a_3 b_2, a_3^2 = b_3, [a_2, a_1] = a_3, [a_3, a_1] = b_4, [a_3, a_2] = b_5\};$$

this pcg has power exponents  $[2, 2, 2, 2, 2, 2, 2, 2]$ .

However,  $H^* \cong (C_8 \times C_2): C_4$ , so presentation is **not consistent!**

**Next step:** make the presentation of  $H^*$  consistent.

## p-covering group: consistency algorithm

By Theorem 8, the presentation  $H^* = \text{Pc}\langle u_1, \dots, u_{m+q} \mid \mathcal{S}^* \rangle$  with  $(u_1, \dots, u_{m+q}) = (a_1, \dots, a_m, b_1, \dots, b_q)$  is consistent if and only if

$$\begin{aligned} u_k(u_j u_i) &= (u_k u_j) u_i & (1 \leq i < j < k \leq m+q) \\ (u_j^p) u_i &= u_j^{p-1} (u_j u_i) \text{ and } u_j(u_i^p) = (u_j u_i) u_i^{p-1} & (1 \leq i < j \leq m+q) \\ u_j(u_j^p) &= (u_j^p) u_j & (1 \leq j \leq m+q). \end{aligned}$$

### Consistency Algorithm<sup>4</sup>: find consistent presentation for $H^*$

- If each pair of words in the above “consistency checks” collects to the same normal word, then the presentation is consistent.
- Otherwise, the quotient of the two different words obtained from one of these conditions is formed and equated to the identity word: this gives a new relation which holds in the group.
- The pcp for  $H$  is consistent, so any new relation is an equation in the elementary abelian subgroup  $M$  generated by the new generators  $\{b_1, \dots, b_q\}$ , which implies that one of these generators is redundant.

<sup>4</sup>Historically: Wamsley (1974), Vaughan-Lee (1984)

## p-covering group: consistency algorithm

By Theorem 8, the presentation  $H^* = \text{Pc}\langle u_1, \dots, u_{m+q} \mid \mathcal{S}^* \rangle$  with  $(u_1, \dots, u_{m+q}) = (a_1, \dots, a_m, b_1, \dots, b_q)$  is consistent if and only if

$$\begin{aligned} u_k(u_j u_i) &= (u_k u_j) u_i & (1 \leq i < j < k \leq m+q) \\ (u_j^p) u_i &= u_j^{p-1} (u_j u_i) \text{ and } u_j(u_i^p) = (u_j u_i) u_i^{p-1} & (1 \leq i < j \leq m+q) \\ u_j(u_j^p) &= (u_j^p) u_j & (1 \leq j \leq m+q). \end{aligned}$$

### Example 17

Consider  $G = \text{Pc}\langle u_1, u_2, u_3 \mid u_1^2 = u_2, u_2^2 = u_3, u_3^2 = 1, [u_2, u_1] = u_3 \rangle$ .  
The last test applied to  $u_1$  yields

$$u_1^3 = (u_1^2) u_1 = u_2 u_1 = u_1 u_2 u_3 \quad \text{and} \quad u_1^3 = u_1 (u_1^2) = u_1 u_2,$$

so  $u_3 = 1$  in  $G$ , hence  $G = \text{Pc}\langle u_1, u_2 \mid u_1^2 = u_2, u_2^2 = 1 \rangle \cong C_4$ .

## Construct $K$ from cover $H^*$ of $H$

### So what have we got so far...

- $p$ -group  $G = F/R = \langle x_1, \dots, x_n \mid \mathcal{R} \rangle$
- consistent wpcp of  $H = G/P_k(G) = \text{Pc}\langle a_1, \dots, a_m \mid \mathcal{S} \rangle$
- epimorphism  $\theta: G \rightarrow H$  with  $\theta(x_i) = a_i$  for  $i = 1, \dots, d$
- consistent wpcp of cover  $H^* = \text{Pc}\langle a_1, \dots, a_m, b_1, \dots, b_q \mid \mathcal{S}^* \rangle$ ;  
note that  $H^*/M \cong H$  where  $M = \langle b_1, \dots, b_q \rangle$

### Want:

- consistent wpcp of  $K = G/P_{k+1}(G)$  and epimorphism  $G \rightarrow G/P_{k+1}(G)$

### Know:

- $K/Z \cong H$  where  $Z = P_k(G)/P_{k+1}(G)$  is elementary abelian, central
- $K$  is quotient of  $H^*$

### Idea:

- construct  $K$  as quotient of  $H^*$ : add relations enforced by  $G$  to  $\mathcal{S}^*$

## Construct $K$ from cover $H^*$ of $H$

### So what have we got so far...

- $p$ -group  $G = F/R = \langle x_1, \dots, x_n \mid \mathcal{R} \rangle$
- consistent wpcp of  $H = G/P_k(G) = \text{Pc}\langle a_1, \dots, a_m \mid \mathcal{S} \rangle$
- epimorphism  $\theta: G \rightarrow H$  with  $\theta(x_i) = a_i$  for  $i = 1, \dots, d$
- consistent pcpc of cover  $H^* = \text{Pc}\langle a_1, \dots, a_m, b_1, \dots, b_q \mid \mathcal{S}^* \rangle$ ;  
note that  $H^*/M \cong H$  where  $M = \langle b_1, \dots, b_q \rangle$

### Enforcing relations of $G$ :

- know that  $K = G/P_{k+1}(G)$  is quotient of  $H^*$
- lift  $\theta: G \rightarrow H$  to  $\hat{\theta}: F \rightarrow H^*$  such that  $\hat{\theta}(x_i) = a_i$  for  $i = 1, \dots, d$
- for every relator  $r \in \mathcal{R}$  compute  $n_r = \hat{\theta}(r) \in M$ ;  
let  $L$  be the subgroup of  $M$  generated by all these  $n_r$
- by von Dyck's Theorem  $H^*/L \rightarrow K$  and  $G \rightarrow H^*/L$  are surjective;  
since  $K$  is the largest  $p$ -class  $k + 1$  quotient of  $G$ , we deduce  $K = H^*/L$

**Finally:** find consistent wpcp of  $K = H^*/L$  and get epimorphism  $G \rightarrow K$



## Big example: $p$ -quotient algorithm in action

Let  $G = \langle x, y \mid [[y, x], x] = x^2, (xyx)^4, x^4, y^4, (yx)^3y = x \rangle$  and  $p = 2$ .

### First round:

- compute  $G/P_1(G)$  using abelianisation and row-echelonisation:  
obtain  $H = G/P_1(G) \cong \text{Pc}\langle a_1, a_2 \mid a_1^2 = a_2^2 = 1 \rangle$   
and epimorphism  $\theta: G \rightarrow H$ , which is defined by  $(x, y) \rightarrow (a_1, a_2)$ .
- construct covering of  $H$  by adding new generators and tails:  
 $H^* = \text{Pc}\langle a_1, \dots, a_5 \mid a_1^2 = a_3, a_2^2 = a_4, [a_2, a_1] = a_5, a_3^2 = a_4^2 = a_5^2 = 1 \rangle$
- the consistency algorithm shows that this presentation is consistent
- evaluate relations of  $G$  in  $H^*$ :
  - $1 = [[a_2, a_1], a_1] = \hat{\theta}([[y, x], x]) = \hat{\theta}(x^2) = a_1^2 = a_3$  forces  $a_3 = 1$
  - $(xyx)^4, x^4, y^4$  impose no conditions
  - $a_1 a_3 = \hat{\theta}((yx)^3 y) = \hat{\theta}(x) = a_1$  also forces  $a_3 = 1$
- construct  $G/P_2(G)$  as  $H^*/\langle a_3 \rangle$ ; after renaming  $a_4, a_5$ :

$$G/P_2(G) \cong \text{Pc}\langle a_1, \dots, a_4 \mid a_1^2 = 1, a_2^2 = a_4, [a_2, a_1] = a_3, a_3^2 = a_4^2 = 1 \rangle$$

and epimorphism  $G \rightarrow G/P_2(G)$  defined by  $(x, y) \rightarrow (a_1, a_2)$ .

## Big example: $p$ -quotient algorithm in action

$$G/P_2(G) = \text{Pc}\langle a_1, \dots, a_4 \mid a_1^2 = 1, a_2^2 = a_4, [a_2, a_1] = a_3, a_3^2 = a_4^2 = 1 \rangle$$

### Second round:

- construct covering of  $H = G/P_2(G)$  by adding new generators and tails:  
 $H^* = \text{Pc}\langle a_1, \dots, a_{12} \mid a_1^2 = a_{12}, a_2^2 = a_4, a_3^2 = a_{11}, a_4^2 = a_{10},$   
 $[a_2, a_1] = a_3, [a_3, a_1] = a_5, [a_3, a_2] = a_6, [a_4, a_1] = a_7,$   
 $[a_4, a_2] = a_8, [a_4, a_3] = a_9, a_5^2 = \dots = a_{12}^2 = 1 \rangle$
- the consistency algorithm shows only the following inconsistencies:
  - $a_2(a_2 a_2) = a_2 a_4$  and  $(a_2 a_2) a_2 = a_4 a_2 = a_2 a_4 a_8 \implies \mathbf{a_8 = 1}$
  - $a_2(a_1 a_1) = a_2 a_{12}$  and  $(a_2 a_1) a_1 = a_1 a_2 a_3 a_1 = \dots = a_2 a_5 a_{11} a_{12} \implies \mathbf{a_5 a_{11} = 1}$
  - $a_2(a_2 a_1) = a_1 a_2^2 a_3^2 a_6 = a_1 a_4 a_6 a_{11}$  and  $(a_2 a_2) a_1 = a_1 a_4 a_7 \implies \mathbf{a_6 a_7 a_{11} = 1}$
  - $a_3(a_2 a_2) = a_3 a_4$  and  $(a_3 a_2) a_2 = a_2 a_3 a_6 a_2 = a_2^2 a_3 a_6^2 = a_3 a_4 a_9 \implies \mathbf{a_9 = 1}$
- removing redundant gens (and renaming), we obtain the consistent wpcp  
 $H^* = \text{Pc}\langle a_1, \dots, a_8 \mid a_1^2 = a_8, a_2^2 = a_4, a_3^2 = a_7, a_4^2 = a_6, a_5^2 = \dots = a_8^2 = 1$   
 $[a_2, a_1] = a_3, [a_3, a_1] = a_7, [a_3, a_2] = a_5 a_7, [a_4, a_1] = a_5 \rangle$

## Big example: $p$ -quotient algorithm in action

Still second round:

- $G = \langle x, y \mid [[y, x], x] = x^2, (xyx)^4, x^4, y^4, (yx)^3y = x \rangle$  and  $p = 2$ ;
- epimorphism  $\theta: G \rightarrow H$  onto  $H = G/P_2(H)$  defined by  $(x, y) \rightarrow (a_1, a_2)$
- $H^* = \text{Pc}\langle a_1, \dots, a_8 \mid a_1^2 = a_8, a_2^2 = a_4, a_3^2 = a_7, a_4^2 = a_6, a_5^2 = \dots = a_8^2 = 1$   
 $[a_2, a_1] = a_3, [a_3, a_1] = a_7, [a_3, a_2] = a_5a_7, [a_4, a_1] = a_5 \rangle$

Evaluate relations of  $G$  in  $H^*$ :

- $a_7 = [[a_2, a_1], a_1] = \hat{\theta}([[y, x], x]) = \hat{\theta}(x^2) = a_1^2 = a_8$  forces  $a_7 = a_8$
- $(xyx)^4$  forces  $a_6 = 1$ ;  $x^4$  and  $y^4$  impose no condition
- $\hat{\theta}((yx)^3y) = \hat{\theta}(x)$  forces  $a_7a_8 = 1$

Now construct  $G/P_3(G)$  as  $H^*/\langle a_7a_8, a_6 \rangle$ ; after renaming:

$$G/P_3(G) = \text{Pc}\langle a_1, \dots, a_6 \mid a_1^2 = a_6, a_2^2 = a_4, a_3^2 = a_6, a_4^2 = 1, a_5^2 = a_6^2 = 1,$$

$$[a_2, a_1] = a_3, [a_3, a_1] = a_6, [a_3, a_2] = a_5a_6, [a_4, a_1] = a_5 \rangle$$

and the epimorphism  $G \rightarrow G/P_3(G)$  is defined by  $(x, y) \rightarrow (a_1, a_2)$ .

## Big example: $p$ -quotient algorithm in action

**In conclusion:**

We started with

$$G = \langle x, y \mid [[y, x], x] = x^2, (xyx)^4, x^4, y^4, (yx)^3y = x \rangle$$

and computed  $G/P_3(G)$  as

$$\text{Pc}\langle a_1, \dots, a_6 \mid a_1^2 = a_6, a_2^2 = a_4, a_3^2 = a_6, a_4^2 = a_5^2 = a_6^2 = 1,$$

$$[a_2, a_1] = a_3, [a_3, a_1] = a_6, [a_3, a_2] = a_5a_6, [a_4, a_1] = a_5 \rangle$$

with epimorphism  $G \rightarrow G/P_3(G)$  defined by  $(x, y) \rightarrow (a_1, a_2)$ .

One can check that  $|G| = |G/P_3(G)| = 2^6$ , hence  $G \cong G/P_3(G)$ .

**In particular, we have found a consistent wpcp for  $G$ .**

**In general:** if our input group is a finite  $p$ -group, then the  $p$ -quotient algorithm constructs a consistent wpcp of that group.

## Motivation and Application: Burnside problem

### Burnside Problems

- **Generalised Burnside Problem (GBP)**, 1902:  
Is every finitely generated torsion group finite?
- **Burnside Problem (BP)**, 1902:  
Let  $B(d, n)$  be the largest  $d$ -generator group with  $g^n = 1$  for all  $g \in G$ .  
Is this group finite? If so, what is its order?
- **Restricted Burnside Problem (RBP)**, ~1940:  
What is order of largest finite quotient  $R(d, n)$  of  $B(d, n)$ , if it exists?
- Golod-Šafarevič (1964): answer to GBP is “no”;  
(cf. Ol’shanskii’s Tarski monster)
- Various authors:  $B(d, n)$  is finite for  $n = 2, 3, 4, 6$ , but in no other cases with  $d > 1$  is it known to be finite; is  $B(2, 5)$  finite?
- Higman-Hall (1956): reduced (RBP) to prime-power  $n$ .
- Zel’manov (1990-91):  $R(d, n)$  always exists! (**Fields medal 1994**)

## Motivation and Application: Burnside problem

### Burnside groups:

- $B(d, n) = \langle x_1, \dots, x_d \mid g^n = 1 \text{ for all words } g \text{ in } x_1, \dots, x_n \rangle$
- $R(d, n)$  largest finite quotient of  $B(d, n)$ ; exists by Zel’manov

**Recall:** the  $p$ -quotient algorithm computes a consistent wpcp of the largest  $p$ -class  $k$  quotient (if it exists) of any finitely presented group.

Implementations of the  $p$ -quotient algorithm have been used to determine the order and compute pcps for various of these groups.

Group	Order	Authors
$B(3, 4)$	$2^{69}$	Bayes, Kautsky & Wamsley (1974)
$R(2, 5)$	$5^{34}$	Havas, Wall & Wamsley (1974)
$B(4, 4)$	$2^{422}$	Alford, Havas & Newman (1975)
$R(3, 5)$	$5^{2282}$	Vaughan-Lee (1988); Newman & O’Brien (1996)
$B(5, 4)$	$2^{2728}$	Newman & O’Brien (1996)
$R(2, 7)$	$7^{20416}$	O’Brien & Vaughan-Lee (2002)

# Conclusion Lecture 2

Things we have discussed in the second lecture:

- lower exponent- $p$  series,  $p$ -class
- $p$ -quotient algorithm
- $p$ -cover  $H^*$  (definition, pcp, consistent pcp)
- application: Burnside problems

# $p$ -group generation

[▶ Go to p-Quotient Algorithm](#)[▶ Go to Classification](#)

## Conclusion Lecture 2

### Things we have discussed in the second lecture:

- the lower exponent- $p$  series of a group  $G$  of  $p$ -class  $c$  is

$$G = P_0(G) > P_1(G) > \dots > P_c(G) = 1$$

where  $P_{i+1}(G) = [G, P_i(G)]P_i(G)^p$ ; in particular,  $P_1(G) = \Phi(G)$

- $p$ -quotient algorithm: construct consistent wpcp of largest  $p$ -class  $c$  quotient of a finitely presented group (if it exists)
- if  $H$  has rank  $d$  and  $H \cong F/R$  with  $F$  free of rank  $d$ , then the  $p$ -cover  $H^*$  is isomorphic to  $F/R^*$  where  $R^* = [F, R]R^p$
- application: Burnside problems

**Today:** the  $p$ -group generation algorithm!

## $p$ -group generation: descendants

**Idea:** Constructing new  $p$ -groups from old ones!

### Descendants of $p$ -groups

Let  $G$  be a  $d$ -generator  $p$ -group of  $p$ -class  $c$ .

A **descendant** of  $G$  is a  $d$ -generator  $p$ -group  $H$  with  $H/P_c(H) \cong G$ ; it is an **immediate descendant** if  $H$  has  $p$ -class  $c + 1$ , that is,  $P_c(H) > P_{c+1}(H) = 1$ .

### Example 18

The group  $G = C_2 \times C_2$  has 2-class  $c = 1$ .

The 2-class of  $D_8 = \langle x_1, x_2, x_3 \mid x_1^2, x_2^2 = x_3, x_3^2, [x_2, x_1] = x_3 \rangle$  is 2.

Since  $D_8/P_1(D_8) \cong G$ , the group  $D_8$  is an immediate descendant of  $G$ .

The group  $D_{16}$  has 2-class 3 and satisfies  $D_{16}/P_1(D_{16}) \cong C_2 \times C_2$ .

Thus  $D_{16}$  is a descendant of  $G$ , but not an immediate descendant.

Every  $p$ -group  $K$  of  $p$ -class  $c > 1$  is an immediate descendant of  $K/P_{c-1}(K)$ ; if  $c = 1$ , then  $K \cong C_p^d$  is elementary abelian.

## p-group generation: p-covering

**Given:** a  $d$ -generator  $p$ -group  $G$  of  $p$ -class  $c$ .

**Want:** list of all immediate descendants  $H$  of  $G$  (up to isomorphism)

**Fact:** each  $H/P_c(H) \cong G$  and  $P_c(H)$  is  $H$ -central elementary abelian.

**Recall Theorem 13:** If  $H$  is a  $d$ -generator  $p$ -group with  $H/Z \cong G$  for some central elementary abelian  $Z \leq H$ , then  $H$  is a quotient of the  $p$ -cover  $G^*$ .

### Theorem 19

Every immediate descendant of  $G$  is a quotient of the  $p$ -cover  $G^*$ .

In the following we discuss the **p-group generation algorithm**:

### p-group generation algorithm

**Input:** a  $p$ -group  $G$  and description of its automorphism group

**Output:** wpcp's of all immediate descendants of  $G$ , up to isomorphism, and a description of their automorphism groups

Descriptions of the algorithm in the literature: Newman (1977), O'Brien (1999)

## p-group generation: allowable subgroups

**In the following:**  $G = F/R$  with  $p$ -class  $c$ , and  $G^* = F/R^*$  with  $R^* = [R, F]R^p$ .

**Problem:** What quotients of  $G^*$  are immediate descendants of  $G$ ?

### Definition

- The  **$p$ -multiplier** of  $G$  is the kernel of  $G^* \rightarrow G$ , that is,  $R/R^*$ .
- The **nucleus** of  $G$  is  $P_c(G^*)$ ; note that  $P_c(G^*) \leq R/R^*$ .
- If  $H$  is an immediate descendant, then there is an epi  $G^* \rightarrow H$  whose kernel lies in  $R/R^*$ . An **allowable subgroup** is a subgroup  $Z < R/R^*$  such that  $G^*/Z$  is an immediate descendant of  $G$ .

The next lemma characterises allowable subgroups:

### Lemma 20

A subgroup  $Z < R/R^*$  is allowable if and only if  $ZP_c(G^*) = R/R^*$ .

**Thus:**  $Z < R/R^*$  is allowable if and only if it supplements the nucleus.

## p-group generation: allowable subgroups

**Recall:**  $G = F/R$  with  $p$ -class  $c$ , and  $G^* = F/R^*$  with  $R^* = [R, F]R^p$ .

### Lemma 20

A subgroup  $Z < R/R^*$  is allowable if and only if  $ZP_c(G^*) = R/R^*$ .

### Proof.

If  $Z = M/R^*$  is allowable, then  $F/M$  is an immediate descendant, and so  $G \cong (F/M)/(P_c(F)M/M)$ . We also know that  $G = F/R \cong (F/M)/(R/M)$  by the isomorphism theorem. Since  $P_c(G) = P_c(F)R/R = 1$ , we have  $P_c(F)M \leq R$ . Together, it follows that  $R = P_c(F)M$ , and so  $R/R^* = P_c(G^*)Z$ , as claimed.

Conversely, if  $Z = M/R^*$  satisfies  $R/R^* = ZP_c(G^*) = MP_c(F)/R^*$ , then  $R = MP_c(F)$ ; factoring out  $M$  yields  $R/M = P_c(F)M/M$ .

This shows that  $H = G^*/Z = F/M$  satisfies  $P_c(H) = P_c(F)M/M = R/M$ , so  $H/P_c(H) = F/R = G$  and  $H$  is immed. desc. since  $P_c(H) > P_{c+1}(H) = 1$ .

## p-group generation: allowable subgroups

### Example 21

The group  $G = D_{16}$  has  $p$ -class  $c = 3$  and 2-covering

$$G^* = \text{Pc}\langle a_1, \dots, a_7 \mid \begin{aligned} a_1^2 &= a_6, a_2^2 = a_3a_4a_7, a_3^2 = a_4a_5, a_4^2 = a_5, \\ [a_2, a_1] &= a_3, [a_3, a_1] = a_4, [a_4, a_1] = a_5, \\ a_5^2 &= a_6^2 = a_7^2 = 1 \rangle. \end{aligned}$$

The multiplier is  $\langle a_5, a_6, a_7 \rangle \cong C_2^3$ ; the nucleus is  $P_c(G^*) = \langle a_5 \rangle$ .

The subgroups  $\langle a_6, a_7 \rangle$ ,  $\langle a_5a_6, a_7 \rangle$ ,  $\langle a_6, a_5a_7 \rangle$  are allowable and the corresponding immediate descendants have order 32.

The subgroup  $\langle a_5a_6, a_5a_7 \rangle$  is also allowable, but the resulting quotient is isomorphic to the quotient of  $G^*$  by  $\langle a_6, a_5a_7 \rangle$ .

Considering the factor groups of  $G^*$  by all allowable subgroups, a *complete* list of immediate descendants is obtained; this list usually contains isomorphic groups.

## p-group generation: isomorphism problem

**Recall:**  $G = F/R$  with  $p$ -cover  $G^* = F/R^*$  and multiplier  $R/R^*$ .

### Equivalence of allowable subgroups

Two allowable subgroups  $U/R^*$  and  $V/R^*$  are **equivalent** if the corresponding immediate descendants  $F/U$  and  $F/V$  are isomorphic.

This definition of “equivalence” is useful . . .

. . . only because the equivalence relation can be given a different characterisation by using the automorphism group of  $G$ .

## p-group generation: isomorphism problem

### Extended automorphism

Let  $\alpha \in \text{Aut}(G)$ ; suppose  $G = F/R$  is generated by  $a_1, a_2, \dots, a_d$ .

For  $i = 1, \dots, d$ , let  $x_i, y_i \in F$  such that  $a_i = x_i R$  and  $\alpha(a_i) = y_i R$  for all  $i$ .

Define  $\alpha^*: G^* \rightarrow G^*$  by  $\alpha^*(x_i R^*) = y_i R^*$  for all  $i$ .

### Lemma 22

If  $\alpha \in \text{Aut}(G)$ , then  $\alpha^* \in \text{Aut}(G^*)$  is an **extended automorphism**.

It is not uniquely defined by  $\alpha$ , but its restriction to  $R/R^*$  is.

### Proof [Sketch].

First show that  $\alpha^*$  is a well-defined homomorphism; let  $g = w(x_1, \dots, x_d) \in F$ :

If  $g \in R$ , then  $1R = \alpha(gR) = w(y_1, \dots, y_d)R$ , so  $w(y_1, \dots, y_d) \in R$ .

So if  $g \in R^*$ , then  $w(y_1, \dots, y_d) \in R^*$ ; recall  $R^* = [F, R]R^p$ .

The hom  $\alpha^*$  is surjective:  $G^* = \langle y_1 R^*, \dots, y_d R^* \rangle$  since  $R/R^* \leq \Phi(G^*)$ .

Two extensions of  $\alpha$  differ only by elements in  $R/R^*$ , and words in  $R$  are products of  $p$ -th powers and commutators. Since  $R/R^*$  is elementary abelian and central, the restriction of  $\alpha^*$  to  $R/R^*$  is uniquely defined by  $\alpha$ .



## p-group generation: isomorphism problem

### Lemma 23

Let  $G = F/R$  be as before, and let  $U/R^*$  and  $V/R^*$  be allowable subgroups. Then  $F/U \cong F/V$  if and only if  $\alpha^*(U/R^*) = V/R^*$  for some  $\alpha \in \text{Aut}(G)$ .

### Proof [Sketch].

" $\Rightarrow$ ". Let  $\varphi: F/U \rightarrow F/V$  be an isomorphism. Since  $F/U$  is an immed. desc.,  $(F/U)/P_c(F/U) = G$ , and so  $P_c(F/U) = R/U$ ; similarly,  $P_c(F/V) = R/V$ , and so  $\varphi(R/U) = R/V$ . Thus  $\varphi$  induces  $\alpha \in \text{Aut}(G)$  with extension  $\alpha^* \in \text{Aut}(G^*)$ . Now we show that  $\alpha^*(U/R^*) = V/R^*$ : if  $g = w(x_1, \dots, x_d) \in U$ , then

$$1V = \varphi(gU) = w(\varphi(x_1U), \dots, \varphi(x_dU)) = w(y_1V, \dots, y_dV) = w(y_1, \dots, y_d)V,$$

which implies  $\alpha^*(gR^*) = w(y_1, \dots, y_d)R^* \in V/R^*$ , and so  $\alpha^*(U/R^*) = V/R^*$ .

" $\Leftarrow$ ". If  $H$  is a group,  $N \trianglelefteq H$ , and  $\gamma \in \text{Aut}(H)$ , then  $H/N \cong H/\gamma(N)$ . This shows that if  $\alpha^* \in \text{Aut}(G^*)$  maps  $U/R^*$  to  $V/R^*$ , then  $F/U \cong F/V$ .

Via  $\alpha^*$ , every  $\alpha \in \text{Aut}(G)$  yields a unique permutation  $\pi(\alpha)$  of allowable subgrps.

## p-group generation: automorphisms

**Given:**  $G = F/R$  and immediate desc.  $H = F/M$  for some allowable  $M/R^*$

**Want:** automorphisms of  $H$ , that is, *isomorphisms*  $F/M \rightarrow F/M$

**Recall:** every  $\alpha \in \text{Aut}(G)$  yields a permutation  $\pi(\alpha)$  of allowable subgrps.

Let  $\Sigma$  be the stabiliser of  $M/R^*$  under the action of  $\text{Aut}(G)$ , that is,

$$\Sigma = \langle \zeta \in \text{Aut}(G) \mid \pi(\zeta) \text{ stabilises } M/R^* \rangle.$$

Use  $\Sigma$  to compute

$$S = \langle \zeta^*|_{F/M} \mid \zeta \in \Sigma \rangle \leq \text{Aut}(H),$$

and determine a generating set for

$$T = \langle \beta \in \text{Aut}(H) \mid \beta|_G = \text{id}_G \rangle.$$

### Theorem 24

Using the previous notation,  $\text{Aut}(H) = \langle S, T, \text{Inn}(H) \rangle$ .

(see O'Brien, 1999)

## p-group generation: the algorithm

**p-group-generation**( $G, A, s$ )

**Input:** group  $G = F/R$  of order  $p^n$ , its automorphism group  $A$ , integer  $s \in \mathbb{N}$

**Output:** immediate descendants of  $G$ , up to isomorphism, of order  $p^{n+s}$ , and their automorphism groups

- 1 construct consistent wpcp of covering  $G^* = F/R^*$
- 2 **for** each generator  $\alpha$  of  $A$  **do**
- 3     compute extension  $\alpha^*$
- 4     compute permutation  $\pi(\alpha)$  of allowable subgroups of index  $p^s$  in  $R/R^*$
- 5     compute orbits of these allowable subgroups under the action of all  $\pi(\alpha)$
- 6 **for** each orbit representative  $Z = M/R^*$  **do**
- 7     compute a wpcp of the immediate descendant  $H = G^*/Z \cong F/M$
- 8     compute generators of the automorphism group of  $H$

## p-group generation: example

Consider  $G = \text{Pc}\langle a_1, a_2 \mid a_1^2 = a_2^2 = 1 \rangle$  with 2-covering

$$G^* = \text{Pc}\langle a_1, \dots, a_5 \mid a_1^2 = a_4, a_2^2 = a_5, [a_1, a_2] = a_3, a_3^2 = a_4^2 = a_5^2 = 1 \rangle.$$

The multiplier and nucleus coincide:  $M = \langle a_3, a_4, a_5 \rangle = P_1(G^*)$ .

**Thus:** every proper subgroup of  $M$  is allowable.

Note that  $\text{Aut}(G) \cong \text{GL}_2(2)$ , with generators and extensions

$$\begin{aligned} \alpha_1: (a_1, a_2) &\mapsto (a_1 a_2, a_2) & \alpha_1^*: (a_1, a_2, a_3, a_4, a_5) &\mapsto (a_1 a_2, a_2, a_3, a_3 a_4 a_5, a_5) \\ \alpha_2: (a_1, a_2) &\mapsto (a_2, a_1) & \alpha_2^*: (a_1, a_2, a_3, a_4, a_5) &\mapsto (a_2, a_1, a_3, a_5, a_4). \end{aligned}$$

For example, observe that

$$\begin{aligned} \alpha_1^*(a_3) &= \alpha_1^*([a_1, a_2]) = [a_1 a_2, a_2] = a_3 \\ \alpha_1^*(a_4) &= \alpha_1^*(a_1^2) = (a_1 a_2)^2 = a_1^2 a_2^2 a_3 = a_3 a_4 a_5 \\ \alpha_1^*(a_5) &= \alpha_1^*(a_2^2) = a_2^2 = a_5 \end{aligned}$$

## p-group generation: example

Consider  $G = \text{Pc}\langle a_1, a_2 \mid a_1^2 = a_2^2 = 1 \rangle$  with 2-covering

$$G^* = \text{Pc}\langle a_1, \dots, a_5 \mid a_1^2 = a_4, a_2^2 = a_5, [a_1, a_2] = a_3, a_3^2 = a_4^2 = a_5^2 = 1 \rangle.$$

The multiplier and nucleus coincide:  $M = \langle a_3, a_4, a_5 \rangle = P_1(G^*)$ .

**Thus:** every proper subgroup of  $M$  is allowable.

Note that  $\text{Aut}(G) \cong \text{GL}_2(2)$ , with generators and extensions

$$\begin{aligned} \alpha_1: (a_1, a_2) &\mapsto (a_1 a_2, a_2) & \alpha_1^*: (a_1, a_2, a_3, a_4, a_5) &\mapsto (a_1 a_2, a_2, a_3, a_3 a_4 a_5, a_5) \\ \alpha_2: (a_1, a_2) &\mapsto (a_2, a_1) & \alpha_2^*: (a_1, a_2, a_3, a_4, a_5) &\mapsto (a_2, a_1, a_3, a_5, a_4). \end{aligned}$$

### Immediate descendants of $G = C_2 \times C_2$ of order 8:

There are 7 allowable subgroups of index 2 in  $M$  (that is, of rank 2), namely

$$\langle a_4, a_5 \rangle, \langle a_4, a_3 a_5 \rangle, \langle a_3 a_4, a_5 \rangle, \langle a_3, a_5 \rangle, \langle a_3, a_4 a_5 \rangle, \langle a_3, a_4 \rangle, \langle a_3 a_4, a_3 a_5 \rangle$$

There are 3 orbits of allowable subgroups induced by  $\alpha_1^*$  and  $\alpha_2^*$ :

$$\{\langle a_4, a_5 \rangle, \langle a_4, a_3 a_5 \rangle, \langle a_3 a_4, a_5 \rangle\}, \{\langle a_3 a_4, a_3 a_5 \rangle\}, \{\langle a_3, a_5 \rangle, \langle a_3, a_4 a_5 \rangle, \langle a_3, a_4 \rangle\}$$

## p-group generation: example

### Immediate descendants of $G = C_2 \times C_2$ of order 8

Recall that

$$G^* = \text{Pc}\langle a_1, \dots, a_5 \mid a_1^2 = a_4, a_2^2 = a_5, [a_1, a_2] = a_3, a_3^2 = a_4^2 = a_5^2 = 1 \rangle$$

and allowable subgroups of rank 2 are

$$\{\langle a_4, a_5 \rangle, \langle a_4, a_3 a_5 \rangle, \langle a_3 a_4, a_5 \rangle\}, \{\langle a_3 a_4, a_3 a_5 \rangle\}, \{\langle a_3, a_5 \rangle, \langle a_3, a_4 a_5 \rangle, \langle a_3, a_4 \rangle\}.$$

Choose one rep from each orbit and factor it from  $G^*$  to obtain immediate descendants:

$$\text{Pc}\langle a_1, a_2, a_3 \mid a_1^2 = a_2^2 = a_3^2, [a_2, a_1] = a_3 \rangle \cong D_8$$

$$\text{Pc}\langle a_1, a_2, a_3 \mid a_1^2 = a_3, a_2^2 = a_3, a_3^2 = 1, [a_2, a_1] = a_3 \rangle \cong Q_8$$

$$\text{Pc}\langle a_1, a_2, a_4 \mid a_1^2 = a_4, a_2^2 = a_4^2 = 1 \rangle \cong C_2 \times C_4$$

## p-group generation: example

### Immediate descendants of $G = C_2 \times C_2$ of order 16

Recall that

$$G^* = \text{Pc}\langle a_1, \dots, a_5 \mid a_1^2 = a_4, a_2^2 = a_5, [a_1, a_2] = a_3, a_3^2 = a_4^2 = a_5^2 = 1 \rangle.$$

Allowable subgroups of index 4 are  $\langle a_3 \rangle$ ,  $\langle a_3^\delta a_4^\gamma a_5 \rangle$ ,  $\langle a_3^\zeta a_4 \rangle$ , with  $\delta, \gamma, \zeta \in \{0, 1\}$ .  
The orbits induced by  $\alpha_1^*$  and  $\alpha_2^*$  are

$$\{\langle a_3 \rangle\}, \{\langle a_5 \rangle, \langle a_3 a_4 a_5 \rangle, \langle a_4 \rangle\}, \{\langle a_4 a_5 \rangle, \langle a_3 a_5 \rangle, \langle a_3 a_4 \rangle\}.$$

Choose one rep from each orbit to obtain 3 immediate descendants of order 16.  
Get  $C_4 \times C_4$  and  $C_2 \times (C_2 \times C_4)$  and  $C_4 \times C_4$ , for example,

$$G^* / \langle a_3 \rangle = \text{Pc}\langle a_1, a_2, a_4, a_5 \mid a_1^2 = a_4, a_2^2 = a_5, a_4^2 = a_5^2 = 1 \rangle \cong C_4 \times C_4.$$

### Immediate descendants of $G = C_2 \times C_2$ of order 32

There is one immediate descendant of order  $2^5$ , namely  $G^*$ .

## p-group generation: practical issues

**Central problem:** number of allowable subspaces (and size of orbits)

**Example:** The immediate descendants of  $G = C_p^d$  of order  $p^{d+s}$  have  $p$ -class 2.  
For this group,  $M = R/R^* = P_1(G^*)$  has rank  $m = d(d+1)/2$ ;  
and each of the  $O(p^{(m-s)s})$  subspaces of dim  $m - s$  is allowable.

**Approach:** exploit characteristic structure.

Each  $\alpha \in \text{Aut}(G)$  acts on  $M \leq G^*$  via  $\alpha^* \in \text{Aut}(G^*)$ ; so  $M$  is  $\text{Aut}(G)$ -module.  
In the example,  $M = P_1(G^*) = (G^*)^2(G^*)'$  is a characteristic decomposition.  
In general, identify characteristic submodules, then process chain of submodules.

**More comments on practical issues:** see O'Brien (1999)

# Classifying $p$ -groups

▶ Go to  $p$ -Group Generation

▶ Go to Isomorphisms

## GNU: group number



### How many groups of order $p^n$ exist?

The number  $\text{gnu}(n)$  of groups of order  $n$  (up to isomorphism) has been studied in detail<sup>5</sup>; we recall a few bounds:

- **Pyber (1993):**  $\text{gnu}(n) \leq n^{(2/27+o(1))\mu(n)^2}$ ,  
where  $\mu(n)$  is largest exponent in the prime-power factorisation of  $n$ .  
**Idea:** count choices for Sylow subgroups, Fitting subgroup, quotients, extensions,...
- **Higman (1960):**  $\text{gnu}(p^n) \geq p^{2/27(n^3-6n^2)}$   
**Idea:** count groups of  $p$ -class 2
- **Sims (1965), Newman & Seeley (2007):**  $\text{gnu}(p^n) \leq p^{2n^3/27+O(n^{5/3})}$   
**Idea:** enumerate presentations which define groups of order  $p^n$   
**Trivial bound:**  $\text{gnu}(p^n) \leq p^{(n^3-n)/6}$

**In conclusion:**  $p^{(2/27)n^3-O(n^2)} \leq \text{gnu}(p^n) \leq p^{(2/27)n^3+O(n^{5/3})}$  as  $n \rightarrow \infty$ .

<sup>5</sup>Blackburn, Neuman, Venkataraman "Enumeration of finite groups", 2007

## GNU: some 2-groups

Besche, Eick & O'Brien (2001) used 2-group generation:

order	#	order	#
1	1	128	2,328
2	1	256	56,092
4	2	512	10,494,213
8	5	1024	49,487,365,422
16	14	2048	>1,774,274,116,992,170
32	51		
64	267		

Number of groups of order  $\leq 2000$ : 49,910,529,484

Number of groups of order  $2^{10}$ : 49,487,365,422

Number of groups of order  $2^{10}$  and class 2: 48,803,495,722

### Folklore Conjecture

Almost all groups are 2-groups of 2-class 2.

## GNU: $p$ -groups of small order

Number of groups of order  $p^k$ , for  $k = 1, 2, \dots, 6$ :

# \ $p$	2	3	$\geq 5$
$p$	1	1	1
$p^2$	2	2	2
$p^3$	5	5	5
$p^4$	14	15	15
$p^5$	51	67	$X$
$p^6$	267	504	$Y$

where

$$X = 2p + 61 + 2 \gcd(p - 1, 3) + \gcd(p - 1, 4)$$

$$Y = 3p^2 + 39p + 344 + 24 \gcd(p - 1, 3) + 11 \gcd(p - 1, 4) + 2 \gcd(p - 1, 5)$$

**Order dividing  $p^4$ :** Cole, Glover, Hölder, Young (all  $\sim 1893$ )

**Order  $p^5$ :** Bagnera, Miller, de Séguier, James (1898-1980)

**Order  $p^6$ :** many faulty classifications;  
eventually Newman, O'Brien, Vaughan-Lee (2004)

## GNU: $p$ -groups of small order

Number of groups of order  $p^7$ : O'Brien & Vaughan-Lee (2005) computed

$\# \setminus p$	2	3	5	$\geq 7$
$p^7$	2,328	9,310	34,297	$Z$

where

$$\begin{aligned}
 Z = & 3p^5 + 12p^4 + 44p^3 + 170p^2 + 707p + 2455 \\
 & + (4p^2 + 44p + 291) \gcd(p-1, 3) + (p^2 + 19p + 135) \gcd(p-1, 4) \\
 & + (3p + 31) \gcd(p-1, 5) + 4 \gcd(p-1, 7) + 5 \gcd(p-1, 8) + \gcd(p-1, 9)
 \end{aligned}$$

**Approach for  $n = 5, 6, 7$ :**

- For  $p < n$  use  $p$ -group generation.
- For  $p \geq n$  use Baker-Campbell-Hausdorff formula and Lazard correspondence between category of nilpotent Lie rings of order  $p^n$  and category of  $p$ -groups of order  $p^n$ . Use analogue of  $p$ -group generation algorithm to classify the Lie rings.

## GNU: PORC conjecture<sup>6</sup>



### PORC Conjecture (Higman 1960)

For  $n$  fixed,  $\text{gnu}(p^n)$  is Polynomial On Residue Classes.

That is, there exists  $m \in \mathbb{N}$  and polynomials  $f_0, f_1, \dots, f_{m-1}$  such that

$$\text{gnu}(p^n) = f_{p \bmod m}(n).$$

**Higman (1960):** # groups of order  $p^n$  and  $p$ -class 2 is PORC.

**Evseev (2008):** # groups of order  $p^n$  whose Frattini subgroup is central is PORC.

**Vaughan-Lee (2015):** # groups of order  $p^8$  and exponent  $p$  is PORC.

<sup>6</sup>For a survey see Vaughan-Lee "Graham Higman's PORC Conjecture" (2012)

# Conclusion Lecture 3

## Things we have discussed in the third lecture:

- (immediate) descendants
- $p$ -group generation algorithm
- $p$ -cover, nucleus, multiplier, allowable subgroups, extended auts
- automorphism groups of immediate descendants
- the group number  $gnu$  for group order  $p^5, p^6, p^7$
- PORC conjecture

# Isomorphism testing

[▶ Go to Classifications](#)[▶ Go to Automorphisms](#)



## Conclusion Lecture 3

### Things we have discussed in the third lecture:

- (immediate) descendants
- $p$ -group generation algorithm
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- automorphism groups of immediate descendants
- the group number  $gnu$  for group order  $p^5, p^6, p^7$
- PORC conjecture

## Resources

### Isomorphism testing for $p$ -groups

E. A. O'Brien

J. Symb. Comp. 17, 133-147 (1994)

*J. Symbolic Computation* (1993) 16, 305-320

### Isomorphism testing for $p$ -groups

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 (Received )

We describe the theoretical and practical details of an algorithm which can be used to decide whether two given presentations for finite  $p$ -groups present isomorphic groups. The approach adopted is to construct a canonical presentation for each group. A description of the automorphism group of the  $p$ -group is also constructed.

1991 Mathematics Subject Classification (Amer. Math. Soc.): 20D15.

#### 1. Introduction

... determining whether two given presentations present the same group was first formulated by Dehn in a 1911 paper. It is well known that the isomorphism problem for finitely presented groups is undecidable. However, Segal (1990) proves that the isomorphism problem for polycyclic-by-finite groups is decidable. In this paper we describe a particular class of polycyclic-by-finite groups for which the isomorphism problem is decidable. These groups are non-isomorphic by Boone (1958) and later by Boone (1968). It is difficult to find presentations for these groups which exhibit the isomorphism problem with a particular class of polycyclic-by-finite groups.

# Standard Presentations

**Problem:** Decide whether two  $p$ -groups are isomorphic.

## Standard presentation

For a  $p$ -group  $G$  use methods from the  $p$ -quotient and  $p$ -group generation algorithms to construct a **standard pcp** (std-pcp) for  $G$ , such that  $G \cong H$  if and only if  $G$  and  $H$  have the same std-pcp.

**Example:** For each  $j = 1, \dots, p-1$  the presentation

$$\text{Pc}\langle a_1, a_2 \mid a_1^p = a_2^j, a_2^p = 1 \rangle$$

is a wpcp describing  $C_{p^2}$ ; as a std-pcp one could choose

$$\text{Pc}\langle a_1, a_2 \mid a_1^p = a_2, a_2^p = 1 \rangle.$$

Similarly, a std-pcp for  $C_p^d$  is  $\text{Pc}\langle a_1, \dots, a_d \mid a_1^p = \dots = a_d^p = 1 \rangle$ .

## Isomorphism test: computing std-pcp's

Let  $G$  be  $d$ -generator  $p$ -group of  $p$ -class  $c$ .

Std-pcp of  $G/P_1(G)$  is  $\text{Pc}\langle a_1, \dots, a_d \mid a_1^p = \dots = a_d^p = 1 \rangle$ .

Suppose  $H \cong G/P_k(G)$  with  $k < c$  is defined by std-pcp; have  $\theta: G \rightarrow G/P_k(G)$ .

### Find std-pcp of $G/P_{k+1}(G)$ using $p$ -group generation:

The  $p$ -group generation algorithm constructs immediate descendants of  $H$ .

Among these immediate descendants is  $K \cong G/P_{k+1}(G)$ . Proceed as follows:

- let  $H \cong F/R$  (defined by std-pcp) and  $H^* \cong F/R^*$ ;
- *evaluate relations* in  $H^*$  to get allowable  $M/R^*$  with  $F/M \cong G/P_{k+1}(G)$ ;
- recall:  $\alpha \in \text{Aut}(H)$  acts as  $\alpha^* \in \text{Aut}(H^*)$  on allowable subgroups; two allowable  $U/R^*$  and  $V/R^*$  are in same  $\text{Aut}(H)$ -orbit iff  $F/U \cong F/V$ ; the choice of orbit rep determines the pcp obtained, and two elements from the same orbit determine different pcp's for isomorphic groups;
- associate with each allowable subgroup a unique *label*: a positive integer which runs from one to the number of allowable subgroups;
- let  $\overline{M}/R^*$  be the element in the  $\text{Aut}(H)$ -orbit of  $M/R^*$  with label 1.

Now  $K = F/\overline{M}$  is isomorphic to  $G/P_{k+1}(G)$ ; the pcp defining  $K$  is "standard".

## Isomorphism test: example of std-pcp

The group

$$G = \langle x, y \mid (xyx)^3, x^{27}, y^{27}, [x, y]^3, (xy)^{27}, [y, x^3], [y^3, x] \rangle;$$

has order  $3^7$ , rank 2, and 3-class 3; let  $\mathcal{S}_1$  be the set of relators.

- $G/P_1(G)$  has std-pcp  $H = \text{Pc}\langle a_1, a_2 \mid a_1^3 = a_2^3 = 1 \rangle$ ,  
and we have an epimorphism  $\theta: G \rightarrow H$  with  $x, y \mapsto a_1, a_2$ .
- use the  $p$ -quotient algorithm to construct covering

$$H^* = \text{Pc}\langle a_1, \dots, a_5 \mid [a_2, a_1] = a_3, a_1^3 = a_4, a_2^3 = a_5, a_3^3 = a_4^3 = a_5^3 = 1 \rangle.$$

- evaluate  $\mathcal{S}_1$  in  $H^*$  via  $\hat{\theta}$  to determine the allowable subgroup  $U/R^* = \langle a_4^2 a_5 \rangle$  which must be factored from  $H^*$  to obtain  $G/P_2(G)$ , that is,  $F/U$  is isomorphic to  $G/P_2(G)$  with wpcp

$$\text{Pc}\langle a_1, \dots, a_4 \mid [a_2, a_1] = a_3, a_1^3 = a_2^3 = a_4, a_3^3 = a_4^3 = 1 \rangle.$$

## Isomorphism test: example of std-pcp

Recall:

$$H = \text{Pc}\langle a_1, a_2 \mid a_1^3 = a_2^3 = 1 \rangle;$$

$$H^* = \text{Pc}\langle a_1, \dots, a_5 \mid [a_2, a_1] = a_3, a_1^3 = a_4, a_2^3 = a_5, a_3^3 = a_4^3 = a_5^3 = 1 \rangle,$$

with 3-multiplicator  $M = \langle a_3, a_4, a_5 \rangle$ .

- A generating set for the automorphism group  $\text{Aut}(H) \cong \text{GL}_2(3)$  is

$$\alpha_1 : \begin{array}{l} a_1 \mapsto a_1 a_2^2 \\ a_2 \mapsto a_1^2 a_2^2 \end{array}, \quad \alpha_2 : \begin{array}{l} a_1 \mapsto a_1 \\ a_2 \mapsto a_1^2 a_2 \end{array}, \quad \alpha_3 : \begin{array}{l} a_1 \mapsto a_1^2 \\ a_2 \mapsto a_2 \end{array}$$

- Note that

$$\alpha_1^*(a_3) = \alpha_1^*([a_2, a_1]) = [a_1^2 a_2^2, a_1 a_2^2] = \dots = a_3$$

$$\alpha_1^*(a_4) = \alpha_1^*(a_1^3) = (a_1 a_2^2)^3 = \dots = a_4 a_5^2$$

$$\alpha_1^*(a_5) = \alpha_1^*(a_2^3) = (a_1^2 a_2^2)^3 = \dots = a_4^2 a_5^2$$

so the matrices representing the action of  $\alpha_i^*$  on  $M$  are

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 2 \\ 0 & 2 & 2 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 2 & 1 \end{pmatrix}, \quad \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

## Isomorphism test: example of std-pcp

Recall that

$$H^* = \text{Pc}\langle a_1, \dots, a_5 \mid [a_2, a_1] = a_3, a_1^3 = a_4, a_2^3 = a_5, a_3^3 = a_4^3 = a_5^3 = 1 \rangle,$$

and  $G/P_2(G) \cong F/U$  for the subspace  $U/R^* = \langle a_4 a_5^2 \rangle$ , which is  $\langle (0, 1, 2) \rangle$

- The  $\text{Aut}(H)$ -orbit containing  $U/R^*$  is

$$\{\langle a_5 \rangle, \langle a_4 a_5 \rangle, \langle a_4^2 a_5 \rangle, \langle a_4 \rangle\}.$$

- The orbit rep with label 1 is  $\dots \bar{U}/R^* = \langle a_5 \rangle$ .
- Factor  $H^*$  by  $\langle a_5 \rangle$  to obtain the std-pcp for  $G/P_2(G)$  as

$$K = \text{Pc}\langle a_1, \dots, a_4 \mid [a_2, a_1] = a_3, a_1^3 = a_4, a_1^3 = \dots = a_4^3 = 1 \rangle.$$

Recall that  $U/R^*$  was found by evaluating the relations  $\mathcal{S}_1$  of  $G$ .

But: for the std-pcp we factored out  $\bar{U}/R^* = \delta(U/R^*)$  for some  $\delta \in \text{Aut}(H^*)$ .

For the next iteration we need to modify the set of relations  $\mathcal{S}_1$  accordingly.

## Isomorphism test: example of std-pcp

- An extended automorphism which maps  $U/R^* = \langle a_4 a_5^2 \rangle$  to  $\bar{U}/R^* = \langle a_5 \rangle$  is

$$\begin{aligned} \delta : \quad a_1 &\longmapsto a_1 a_2 a_3 a_4 = a_1 a_2 [a_2, a_1] a_1^3 \\ a_2 &\longmapsto a_1 a_2^2 \end{aligned}$$

- Apply  $\delta$  to  $\mathcal{S}_1 = \{(xyx)^3, x^{27}, y^{27}, [x, y]^3, \dots\}$  to obtain

$$\mathcal{S}_2 = \{(xy[y, x]x^3 xy^2 xy[y, x]x^3)^3, (xy[y, x]x^3)^{27}, (xy^2)^{27}, \dots\};$$

it follows that  $G = \langle x, y \mid \mathcal{S}_1 \rangle \cong \langle x, y \mid \mathcal{S}_2 \rangle$ , see O'Brien 1994.

- Now iterate with  $G \cong \langle x, y \mid \mathcal{S}_2 \rangle$  and the std-pcp of  $K \cong G/P_2(G)$  to compute the std-pcp of  $G/P_3(G) \cong G$ .

**Practical issues:** need *complete orbit* to identify element with smallest label. One idea is to exploit the characteristic structure of the  $p$ -multiplier (as before).

**Note:** The std-pcp is only "standard" because it has been computed by some deterministic rule. Std-pcps are a very efficient tool to partition sets of groups into isomorphism classes.

# Automorphism groups

▶ [Go to Isomorphisms](#)

▶ [Go to Coclass](#)

## Resources

### Constructing automorphism groups of $p$ -groups

B. Eick, C. R. Leedham-Green, E. A. O'Brien

Comm. Algebra 30, 2271-2295 (2002)

COMMUNICATIONS IN ALGEBRA, 30(5), 2271-2295 (2002)

#### CONSTRUCTING AUTOMORPHISM GROUPS OF $p$ -GROUPS

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#### ABSTRACT

We present an algorithm to construct the automorphism group of a finite  $p$ -group. The method works down each inductive step is a stabiliser problem. The various approaches designed to

## Computing automorphism groups

Let  $G$  be a  $d$ -generator  $p$ -group with lower  $p$ -central series

$$G = P_0(G) > P_1(G) > \dots > P_c(G) = 1.$$

In the following write  $G_i = G/P_i(G)$ .

**We want to construct  $\text{Aut}(G)$ .**

### Approach

Compute  $\text{Aut}(G) = \text{Aut}(G_c)$  by induction on that series:

- $\text{Aut}(G_1) = \text{Aut}(C_p^d) \cong \text{GL}_d(q)$
- construct  $\text{Aut}(G_{k+1})$  from  $\text{Aut}(G_k)$ .

For the induction step use ideas from  $p$ -group generation.

## Computing automorphism groups

Let  $H = G_k$  and  $K = G_{k+1}$ ; given  $\text{Aut}(H)$ , compute  $\text{Aut}(K)$ .

**Recall from  $p$ -group generation:**

- compute  $H^* = F/R^*$  and the multiplier  $M = R/R^*$ ;
- determine allowable subgroup  $U/R^* \leq M$  defining  $K$ , that is,  $K \cong F/U$ ;
- each  $\alpha \in \text{Aut}(H)$  extends to  $\alpha^* \in \text{Aut}(H^*)$  which leaves  $M$  invariant; via this construction,  $\text{Aut}(H)$  acts on the set of allowable subgroups;
- let  $\Sigma$  be the stabiliser of  $U/R^*$  in  $\text{Aut}(H)$  under this action;
- every  $\alpha \in \Sigma$  defines an automorphism of  $F/U \cong K$ ;  
let  $S \leq \text{Aut}(K)$  be the subgroup induced by  $\Sigma$ ;
- let  $T \leq \text{Aut}(K)$  be the kernel of  $\text{Aut}(K) \rightarrow \text{Aut}(H)$ .

### Theorem

With the previous notation,  $\text{Aut}(K) = \langle S, T, \text{Inn}(K) \rangle$ .

For a proof see O'Brien (1999).

## Computing automorphism groups

Recall from  $p$ -group generation:

- $H = G/P_k(G)$  and  $K = G/P_{k+1}(G)$ ; we have  $K/P_k(K) \cong H$ ;
- $K$  is quotient of  $H^*$  by allowable subgroup  $U/R^*$ ;
- $S \leq \text{Aut}(K)$  induced by stabiliser  $\Sigma$  of  $U/R^*$  in  $\text{Aut}(H)$
- $T \leq \text{Aut}(K)$  is kernel of  $\text{Aut}(K) \rightarrow \text{Aut}(H)$ ;
- $\text{Aut}(K) = \langle S, T, \text{Inn}(K) \rangle$ .

**Problem:** how to determine  $S$  and  $T$  efficiently?

### Lemma

Let  $\{g_1, \dots, g_d\}$  and  $\{x_1, \dots, x_l\}$  be minimal generating sets for  $K$  and  $P_k(K)$ , respectively. Define

$$\beta_{i,j}: K \rightarrow K, \quad \begin{cases} g_i \mapsto g_i x_j \\ g_n \mapsto g_n \quad (n \neq i). \end{cases}$$

Then  $T = \langle \{\beta_{i,j} : 1 \leq i \leq d, 1 \leq j \leq l\} \rangle$ , an elementary abelian  $p$ -group.

**Main problem:** Compute  $S$ , that is, the stabiliser  $\Sigma$  of  $U/R^*$  in  $\text{Aut}(H)$ .

## Induction step: example

Consider  $G = \text{Pc}\langle a_1, \dots, a_4 \mid [a_2, a_1] = a_3, a_1^5 = a_4, a_2^5 = a_3^5 = a_4^5 = 1 \rangle$ ;  
this group has 5-class 2 with  $P_1(G) = \langle a_3, a_4 \rangle$ .

Clearly,  $H = G/P_1(G) = \text{Pc}\langle a_1, a_2 \mid a_1^5 = a_2^5 = 1 \rangle$  with  $\text{Aut}(H) \cong \text{GL}_2(5)$ .

Now compute:

- $H^* = \text{Pc}\langle a_1, \dots, a_5 \mid [a_2, a_1] = a_3, a_1^5 = a_4, a_2^5 = a_5, a_3^5 = a_4^5 = a_5^5 = 1 \rangle$
- the allowable subgroup  $U/R^* = \langle a_5 \rangle$  yields  $G$  as a quotient of  $H^*$
- $\alpha_1: (a_1, a_2) \mapsto (a_1^2, a_2)$  and  $\alpha_2: (a_1, a_2) \mapsto (a_1^4 a_2, a_1^4)$  generate  $\text{Aut}(H)$ ;  
their extensions act on the multiplier  $\langle a_3, a_4, a_5 \rangle$  as

$$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & 4 & 1 \\ 0 & 4 & 0 \end{pmatrix}$$

- the stabiliser  $\Sigma$  of  $U/R^*$  is generated by the extensions of  $\alpha_1$  and  $\alpha_2 \alpha_1 \alpha_2^2$
- a generating set for  $T$  is  $\{\beta_{1,4}, \beta_{2,4}, \beta_{1,3}, \beta_{2,3}\}$

This yields indeed  $\text{Aut}(G) = \langle T, S, \text{Inn}(G) \rangle$ , where  $S$  is induced by  $\Sigma$

## Stabiliser problem

**To do:** Compute stabiliser of allowable subgroup  $U/R^*$  under action of  $\text{Aut}(H)$ .

**Our set-up is:**

- consider  $M = R/R^*$  as  $\text{GF}(p)$ -vectorspace and  $V = U/R^*$  as subspace;
- represent the action of  $\text{Aut}(H)$  on  $M$  as a subgroup  $A \leq \text{GL}_m(p)$ ;
- compute the stabiliser of  $V$  in  $A$ .

**Simple Approach:** Orbit-Stabiliser Algorithm – constructs the whole orbit!

**We'll briefly discuss the following ideas:**

- 1 exploiting structure of  $M$
- 2 exploiting structure of  $A$
- 3 exploiting structure of  $K$  (and  $G$ )

## Stabiliser problem: exploiting structure of $M$

**Task:** compute stabiliser of allowable subspace  $V \leq M$  under  $A$ .

**Idea:** exploit the fact that  $N = P_{k+1}(H^*) \leq M$  is characteristic in  $H^*$ , and that  $M = NV$  (since  $V$  is allowable)

**Use this to split stabiliser computation in two steps:**

- compute the stabiliser of  $V \cap N$  as subspace of  $N$ :  
use MeatAxe to compute composition series of  $N$  as  $A$ -module;  
then compute orbit and stabiliser of  $V \cap N$  stepwise<sup>7</sup>
- compute orbit of  $V/(V \cap N)$  as subspace of  $M/(V \cap N)$ :  
 $V/(V \cap N)$  is complement to  $N/(V \cap N)$  in  $M/(V \cap N)$ , and  $N/(V \cap N)$  is  $A$ -invariant; compute  $A$ -module composition series of  $M/N$  and  $N/(V \cap N)$  and break computation up in smaller steps

<sup>7</sup>see Eick, Leedham-Green, O'Brien (2002) for details



## Stabiliser problem: exploiting structure of $A$

**Task:** compute stabiliser of allowable subspace  $V \leq M$  under  $A$ .

**Idea:** Consider series  $A \supseteq S \supseteq P \supseteq 1$ , where

- $P$  induced by  $\ker(H \rightarrow \text{Aut}(H/P_1(H)))$ , a normal  $p$ -subgroup
- $S$  solvable radical, with  $S = S_1 \triangleright \dots \triangleright S_n \triangleright P$ , each section prime order.

### Schwingel Algorithm for stabiliser under $p$ -group $P$

One can compute a “canonical” representative of  $V^P$  and generators for  $\text{Stab}_P(V)$  **without** enumerating the orbit; see E-LG-O'B (2002).

Next, compute  $\text{Stab}_A(V)$  along  $S = S_1 \triangleright \dots \triangleright S_n \triangleright P$ , using the next lemma:

### Lemma

Let  $L$  be a group acting on  $\Omega$ ; let  $T \trianglelefteq L$  and let  $\omega \in \Omega$ .

Then  $\omega^T$  is an  $L$ -block in  $\Omega$ , and  $\text{Stab}_L(\omega^T) = T\text{Stab}_L(\omega)$ .

If  $l \in \text{Stab}_L(\omega^T)$ , then  $\omega^l = \omega^t$  for some  $t \in T$ , hence  $lt^{-1} \in \text{Stab}_L(\omega)$ .

## Stabiliser problem: exploiting structure of $A$

Compute  $\text{Stab}_A(V)$  along  $S = S_1 \triangleright \dots \triangleright S_n \triangleright P$ , using the next lemma:

### Lemma

Let  $L$  be a group acting on  $\Omega$ ; let  $T \trianglelefteq L$  and  $\omega \in \Omega$ .

Then  $\omega^T$  is an  $L$ -block in  $\Omega$ , and  $\text{Stab}_L(\omega^T) = T\text{Stab}_L(\omega)$ .

If orbit  $V^{S_i}$  and stabiliser  $\text{Stab}_{S_i}(V)$  are known, compute  $\text{Stab}_{S_{i-1}}(V^{S_i})$ , and extend each generator to an element in  $\text{Stab}_{S_{i-1}}(V)$ .

**Advantage:** Reduce the number of generators of  $\text{Stab}_S(V)$  substantially

## Stabiliser problem: exploiting structure of $K$ (and $G$ )

**Recall:** we aim to construct  $\text{Aut}(G)$  by induction on lower  $p$ -central series with terms  $G_i = G/P_i(G)$ ; initial step is  $\text{Aut}(G_1) \cong \text{GL}_d(p)$

**Idea:**  $\text{Aut}(G)$  induces a subgroup  $R \leq \text{Aut}(G_1)$ ; instead of starting with  $\text{Aut}(G_1)$ , start with  $L \leq \text{GL}_d(p)$  such that  $R \leq L$  and  $[L : R]$  is small.

### Approach:

- construct a collection of characteristic subgroups of  $G$ , such as: centre, derived group,  $\Omega$ , 2-step centralisers,...
- restrict this collection to  $G_1 = G/P_1(G)$
- Schwingel has developed an algorithm to construct the subgroup  $R \leq \text{Aut}(G_1) \cong \text{GL}_d(p)$  stabilising this lattice of subspaces of  $G_1$

This approach frequently reduces to small subgroups of  $\text{GL}_d(p)$  as initial group.

## Conclusion Lecture 4

### Things we have discussed in the forth lecture:

- std-pcp, isomorphism test for  $p$ -groups
- automorphism group computation

### Lecture 4 is also the last lecture on the ANUPQ algorithms:

ANUPQ (ANU- $p$ -Quotient program), 22,000 lines of C code developed by O'Brien; providing implementations of

- $p$ -quotient algorithm
- $p$ -group generation algorithm
- isomorphism test for  $p$ -groups
- automorphisms of  $p$ -groups

Implementations are also available in GAP and Magma; various papers discuss the theory and efficiency of these algorithms.

What's the Greek letter for "p" ... ?



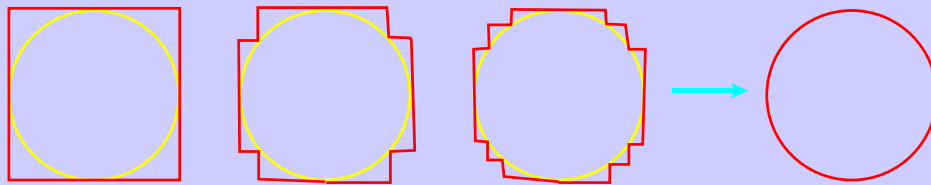
$\pi$

**“Theorem”**

We have  $\pi = 4$ .

**Proof.**

We take a unit circle with diameter 1 and approximate its circumference (which is defined to be  $\pi$ ) by computing its arc-length. Remember how arc-length is defined? Use a polygonal approximation!



In every iteration: circumference is  $\pi$ , arc length of red curve is 4.  
So in the limit:  $\pi = 4$ , as claimed.

**Well ... obviously that is wrong!**

**Everyone knows that the following is true ...**

**“Theorem”**

We have  $\pi = 0$ .

**Proof.**

We start with Euler's Identity  $1 = e^{2\pi i}$ , which yields  $e = e^{2\pi i + 1}$ . Now observe:

$$e = e^{2\pi i + 1} = (e^{2\pi i + 1})^{2\pi i + 1} = e^{(2\pi i + 1)^2} = e^{-4\pi^2} e^{4\pi i}.$$

Since  $e^{4\pi i} = 1$ , this yields  $1 = e^{-4\pi^2}$ . Since  $-4\pi^2 \in \mathbb{R}$ , this forces  $0 = -4\pi^2$ . Since  $-4 \neq 0$ , we must have  $\pi = 0$ , as claimed.



# Coclass theory

▶ [Go to Automorphisms](#)

▶ [Go to End](#)

## Resources

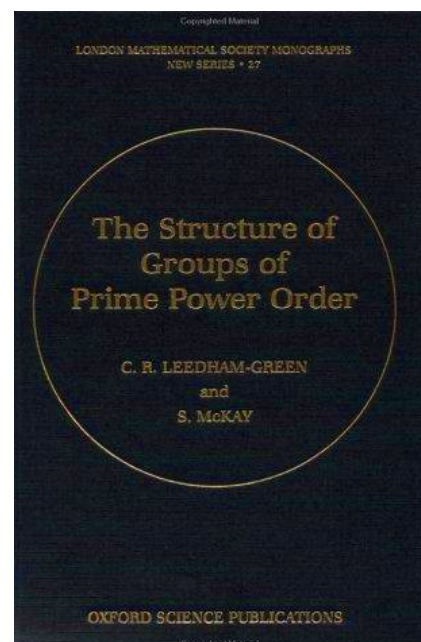
### **The structure of groups of prime-power order**

C. R. Leedham-Green, S. McKay

Oxford Science Publications (2002)

*and some recent papers on coclass graphs*

(Eick, Leedham-Green, Newman, O'Brien, D.)



# Classifying $p$ -groups by order

Recall:

order	#	order	#
1	1	128	2,328
2	1	256	56,092
4	2	512	10,494,213
8	5	1024	49,487,365,422
16	14	2048	>1,774,274,116,992,170
32	51		
64	267		

“The precise structure of  $p$ -groups is too complex for the human intellect.”  
(Leedham-Green & McKay 2002)

## Maximal class

### Maximal class

A  $p$ -group  $G$  of order  $p^n$  has **maximal class** if it has nilpotency class  $n - 1$ .

- Groups of maximal class have been investigated in detail.  
(Wiman 1954, Blackburn 1958, Leedham-Green & McKay 1976–1984, Fernández-Alcober 1995, Vera-López et al. 1995–2008)
- The 2- and 3-groups of maximal class are classified.  
(Blackburn: Description by finitely many *parametrised presentations*.)
- The 5-groups of maximal class are investigated in detail.  
(Leedham-Green & McKay, Newman 1990, D., Eick & Feichtenschlager 2007)
- For  $p \geq 7$  such a classification is open.

# Coclass

Maximal class is an important special case in **coclass theory**:

## Coclass

A  $p$ -group  $G$  of order  $p^n$  and nilpotency class  $c$  has **coclass**  $n - c$ .

**Thus:**

- the  $p$ -groups of maximal class are the  $p$ -groups of coclass 1,
- coclass is an isomorphism invariant.

**Strategy:** Investigate the  $p$ -groups of a fixed coclass.

(Leedham-Green & Newman 1980)

Leedham-Green & Newman proposed five **Coclass Conjectures A–E** on the structure of the  $p$ -groups of a fixed coclass. Their proof was a first milestone in **coclass theory** and provided a deep insight in the structure of  $p$ -groups.

# Coclass

## Coclass Conjectures

**Theorem A:** There is a function  $f(p, r)$  such that every  $p$ -group of coclass  $r$  has a normal subgroup of nilpotency class 2 and index at most  $f(p, r)$ .

**Theorem B:** There is a function  $g(p, r)$  such that every  $p$ -group of coclass  $r$  has derived length at most  $g(p, r)$ .

**Theorem C:** Every pro- $p$  group of coclass  $r$  is solvable.  
(= inverse limit of finite  $p$ -groups of coclass  $r$ .)

**Theorem D:** There are only finitely many isomorphism types of infinite pro- $p$  groups of coclass  $r$ .

**Theorem E:** There are only finitely many isomorphism types of solvable infinite pro- $p$  groups of coclass  $r$ .

(Leedham-Green 1994, Shalev 1994)

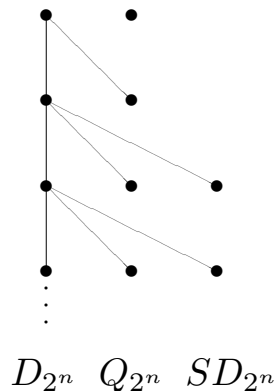
## Coclass graph

Main approach since 1999: analyse **the coclass graph**  $\mathcal{G}(p, r)$ .

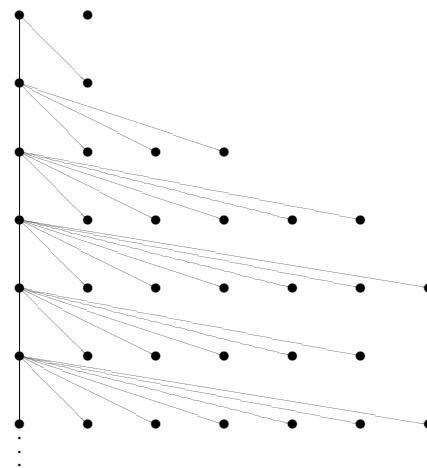
**Vertices:** Isomorphism type reps of finite  $p$ -groups of coclass  $r$ .

**Edges:**  $G \rightarrow H$  if and only if  $G \cong H/\gamma_{\text{cl}(H)}(H)$ ; then  $|H| = p|G|$ .

**Examples:**  $\mathcal{G}(2, 1)$



$\mathcal{G}(3, 1)$



## Coclass graph

**The infinite paths in  $\mathcal{G}(p, r)$ :**

- There is 1-to-1 correspondence between the **infinite pro- $p$  groups** of coclass  $r$  (up to isom.) and the *maximal* infinite paths in  $\mathcal{G}(p, r)$ .

**It follows from the Coclass Theorems:**

- The infinite paths are *well-understood* and finite in number!
- Only finitely many groups are not connected to an infinite path.

**Number of infinite paths in  $\mathcal{G}(p, r)$ :**

- $p$  arbitrary and  $r = 1$  (Blackburn): 1
- $p = 2$  and  $r = 2, 3$  (Newman & O'Brien): 5, 54
- $p = 3$  and  $r = 2, 3, 4$  (Eick): 16,  $\geq 1271$ ,  $\geq 137299952383$



# Sorry!

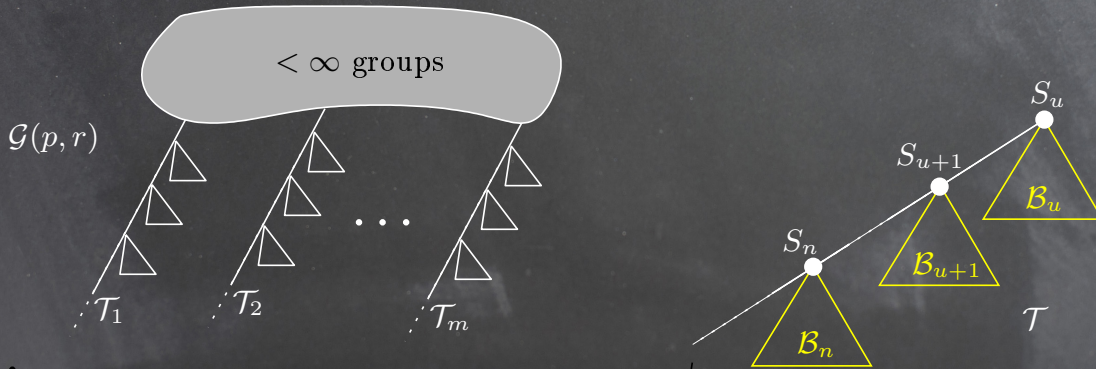
We have to switch to the black board style because some figure are prepared for that...

# Sorry!

We have to switch to the black board style because some figure are prepared for that...

### General structure of coclass graphs

$\mathcal{G}(p, r)$  can be partitioned into a finite subgraph and finitely many infinite trees each having a unique infinite path starting at its root. These trees are the **coclass trees** of  $\mathcal{G}(p, r)$ .



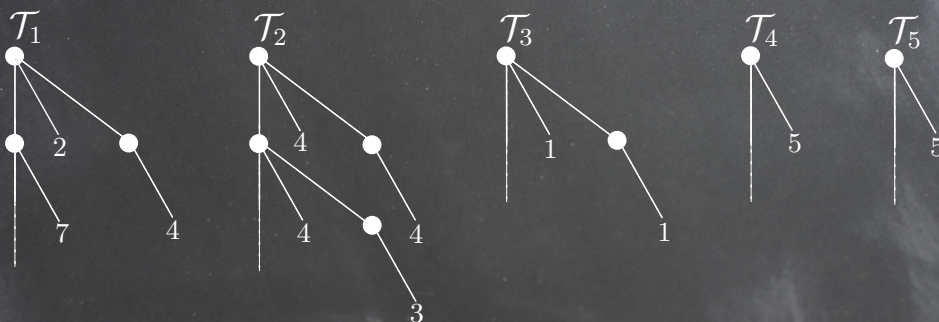
Let  $\mathcal{T}$  be a coclass tree in  $\mathcal{G}(p, r)$  with corresponding pro- $p$  group  $S$ :

- The groups  $S_n = S/\gamma_n(S)$  with  $n \geq u$  form the **mainline** of  $\mathcal{T}$ .
- The finite subtrees  $\mathcal{B}_n$  are the **branches** of  $\mathcal{T}$ .

### The graph $\mathcal{G}(2, 2)$

The five coclass trees of  $\mathcal{G}(2, 2)$ :

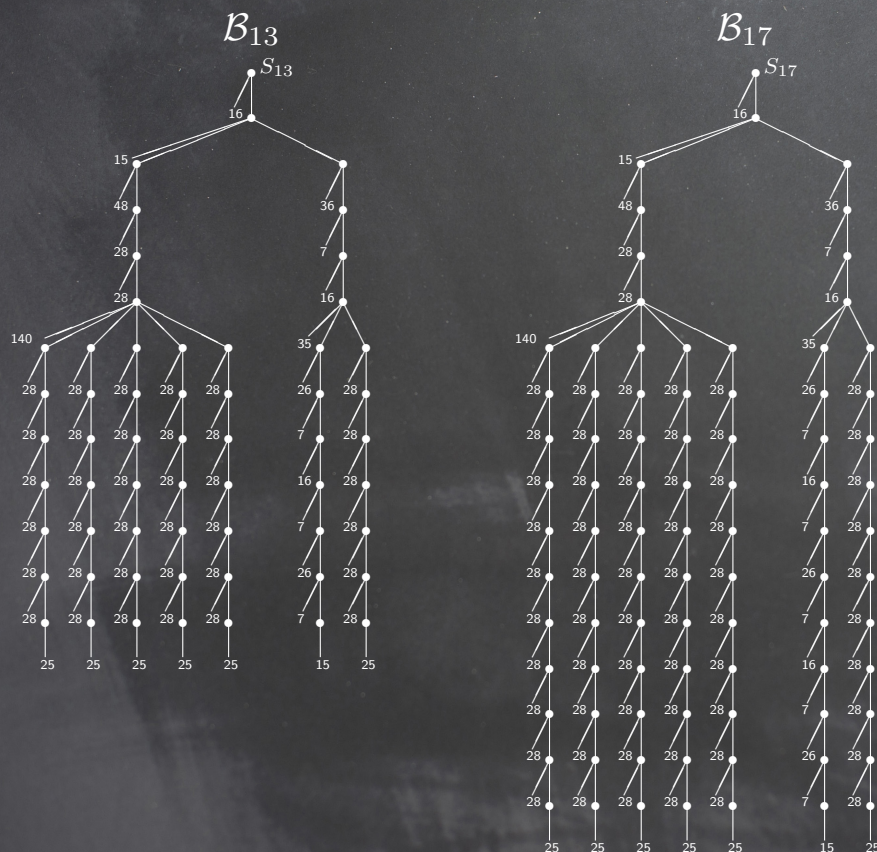
(Newman & O'Brien 1996)



- The branches are isomorphic with periodicity 1 and 2, respectively.
- The roots have order  $2^6, 2^6, 2^4, 2^4$ , and  $2^5$ , respectively.
- There are 19 groups which do not lie in any of these trees.

For arbitrary  $r$ : branches of trees in  $\mathcal{G}(2, r)$  have *bounded depths*.

This does not hold for odd primes, except  $(p, r) = (3, 1)$ .

Two branches in  $\mathcal{G}(5, 1)$ 

Based on significant computation with the  $p$ -group generation algorithm:

### Central Conjecture

- $\mathcal{G}(p, r)$  can be described by a finite subgraph and *periodic patterns*.
- The  $p$ -groups of coclass  $r$  can be *classified*.  
( $\rightsquigarrow$  description by finitely many *parametrised presentations*)

### Example: the groups in $\mathcal{G}(2, 1)$ of order $2^n \geq 16$

$$D_{2^n} = \text{Pc}\langle a, b \mid a^{2^{n-1}} = b^2 = 1, a^b = a^{-1} \rangle,$$

$$SD_{2^n} = \text{Pc}\langle a, b \mid a^{2^{n-1}} = b^2 = 1, a^b = a^{2^{n-2}-1} \rangle,$$

$$Q_{2^n} = \text{Pc}\langle a, b \mid a^{2^{n-1}} = 1, b^2 = a^{2^{n-2}}, a^b = a^{-1} \rangle.$$

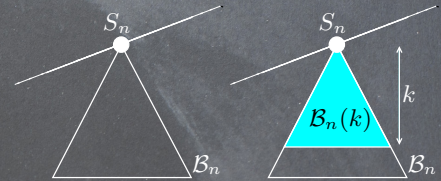
### Known results:

- The Central Conjecture is proved for  $p = 2$ .  
(Newman & O'Brien 1999, du Sautoy 2001, Eick & Leedham-Green 2008)
- Applications for  $p = 2$ : Some invariants of the groups can be described in a uniform way. (Eick 2006, 2008)
- For odd primes: Only partial results are known.

## Periodicity I

$\mathcal{T}$  coclass tree with branches  $\mathcal{B}_u, \mathcal{B}_{u+1}, \dots$

The **pruned branch**  $\mathcal{B}_n(k)$  is the subtree of  $\mathcal{B}_n$  induced by groups of depth at most  $k$  in  $\mathcal{B}_n$ .



### Theorem (du Sautoy 2001, Eick & Leedham-Green 2008)

There exist integers  $f = f(\mathcal{T}, k)$  and  $d = d(\mathcal{T})$  such that for all  $n \geq f$

$$\mathcal{B}_n(k) \cong \mathcal{B}_{n+d}(k).$$

Eick & Leedham-Green determined  $d$ , an upper bound for  $f$ , and proved:

### Theorem (Eick & Leedham-Green 2008)

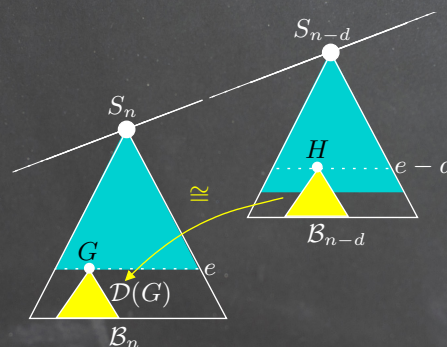
The infinitely many groups in  $\mathcal{B}_n(k)$ ,  $n \geq u$ , can be described by finitely many parametrised presentations.

These theorems prove the Central Conjecture for  $p = 2$ ; they are **not** sufficient to prove it for odd primes.

## Periodicity II

**For odd primes:** Some coclass trees contain sequences of branches  $\mathcal{B}_i, \mathcal{B}_{i+d}, \mathcal{B}_{i+2d}, \dots$  with strictly increasing depths.

**Problem:** Describe the *growth* of these branches.

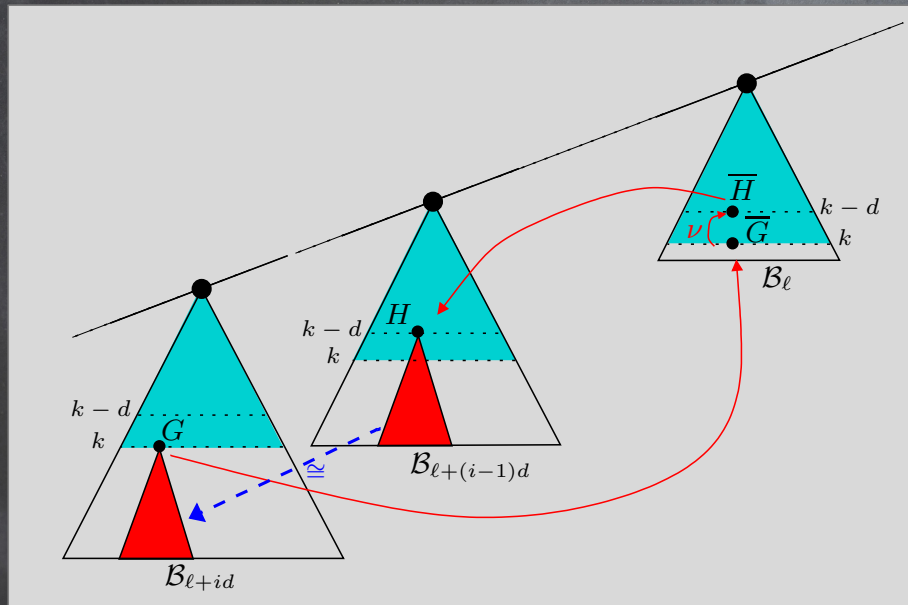


### Conjecture (based on experiments for $\mathcal{G}(5, 1)$ and $\mathcal{G}(3, 2)$ )

If  $e$  and  $n$  are large enough, then for every group  $G$  at depth  $e$  in  $\mathcal{B}_n$  there exists a group  $H$  at depth  $e - d$  in  $\mathcal{B}_{n-d}$  such that  $\mathcal{D}(G) \cong \mathcal{D}(H)$ .

This conjecture is rather *vague* and only very little is known; some important results for  $\mathcal{G}(p, 1)$  exist.

## Conjecture W



### Conjecture W (Eick, Leedham-Green, Newman, O'Brien 2013)

Fix  $k$  and  $\ell$  such that  $\mathcal{B}_\ell(k) \cong \mathcal{B}_{\ell+jd}(k)$  for all  $j$ .

Let  $\bar{K} \in \mathcal{B}_\ell$  be the group corresponding to  $K \in \mathcal{B}_{\ell+jd}$ .

There is a map  $\nu$  from the groups at depth  $k$  in  $\mathcal{B}_\ell$  to the groups at depth  $k-d$  in  $\mathcal{B}_\ell$  such that the picture holds... in particular,  $\mathcal{D}(G) \cong \mathcal{D}(H)$

## Important subtree: skeleton groups

Let  $\mathcal{T}$  be a coclass tree in  $\mathcal{G}(p, r)$ , with associated pro- $p$  group  $S$ .

**Problem:** the branches of  $\mathcal{T}$  are usually pretty “thick” and “wide”.

### Skeleton groups (for split pro- $p$ groups)

Let  $S = P \rtimes T$  with  $T \cong (\mathbb{Z}_p^d, +)$  and uniserial series  $T = T_0 > T_1 > T_2 > \dots$

Let  $\gamma: T \wedge T \rightarrow T_n$  be  $P$ -module hom and  $m \geq n$  such that  $\gamma(T_n \wedge T) \leq T_m$ .

Let  $T_{\gamma, m} = (T/T_m, \circ)$  with  $(a + T_m) \circ (b + T_m) = a + b + \frac{1}{2}\gamma(a \wedge b) + T_m$ ; then  $C_{\gamma, m} = P \rtimes T_{\gamma, m}$  is the skeleton group defined by  $\gamma$  and  $m$ .

### Theorem (Leedham-Green 1994)

If  $G$  is in  $\mathcal{T}$ , then there is  $N \trianglelefteq G$  with order bounded by  $r$  and  $p$ , such that  $G/N$  is a “skeleton group”; the structure of skeleton groups is easier to understand, and the “skeleton of  $\mathcal{T}$ ” is a significant subtree of  $\mathcal{T}$ .

# The graph $\mathcal{G}(5, 1)$

Shalev (“Problem 3”, 1994): Classify the 5-groups of maximal class.

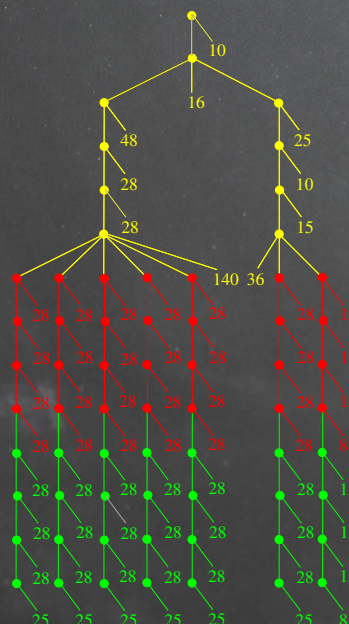
The graph  $\mathcal{G}(5, 1)$  has a unique coclass tree  $\mathcal{T}(5)$ ; write  $\mathcal{T}_k = \mathcal{B}_k(k - 4)$ .

## Theorem (D. 2010)

The pruned branches  $\mathcal{T}_k$  of  $\mathcal{T}(5)$  can be described by a finite subgraph and the periodicities of type I & II. The groups in these pruned branches can be classified by finitely many parametrised presentations with  $\leq 2$  integer parameters.

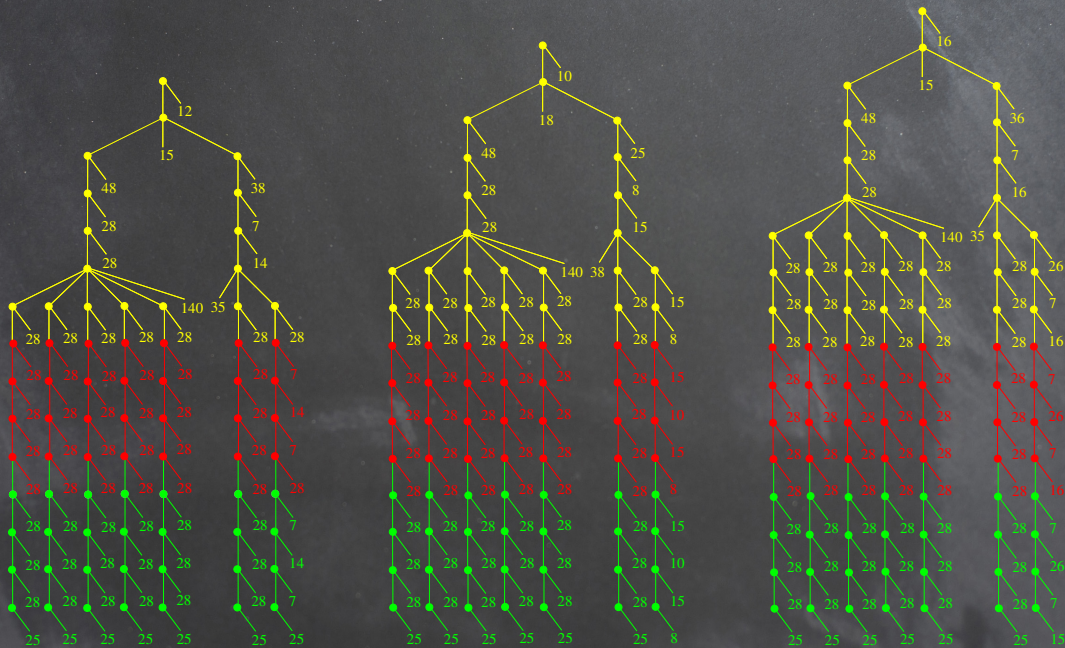
# $\mathcal{G}(5, 1)$ : the trees $\mathcal{T}_{10+4x}$ with $x \geq 1$

Proved:  $\mathcal{T}_{10+4x}$  consists of the yellow part and  $x$  copies of the red part:



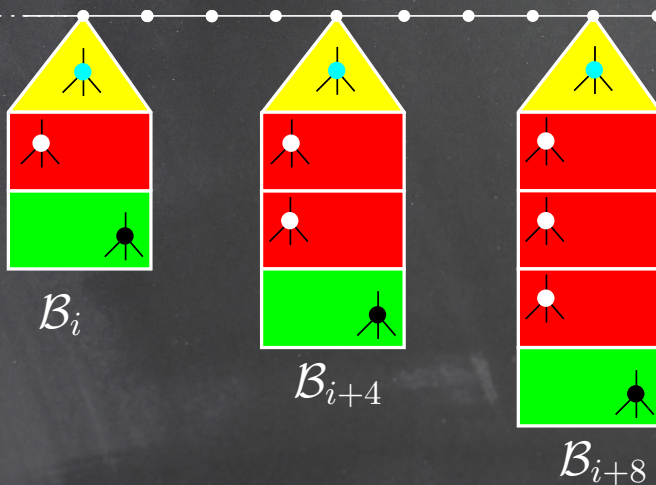
Conjecture: The difference  $\mathcal{B}_{10+4x} \setminus \mathcal{T}_{10+4x}$  is the green part.

# $\mathcal{G}(5, 1)$ : the trees $\mathcal{T}_{11+4x}$ , $\mathcal{T}_{12+4x}$ , and $\mathcal{T}_{13+4x}$



# $\mathcal{G}(5, 1)$ : Periodicity classes

The origins of the periodicity classes in  $\mathcal{T}_i$  with  $14 \leq i \leq 17$ :



- “Cyan”: 1 Parameter
- “White”: 2 Parameters
- “Black”: 1 Parameter (conjectured!)

# The graph $\mathcal{G}(3, 2)$

**Theorem (Eick, Leedham-Green, Newman, O'Brien 2013)**

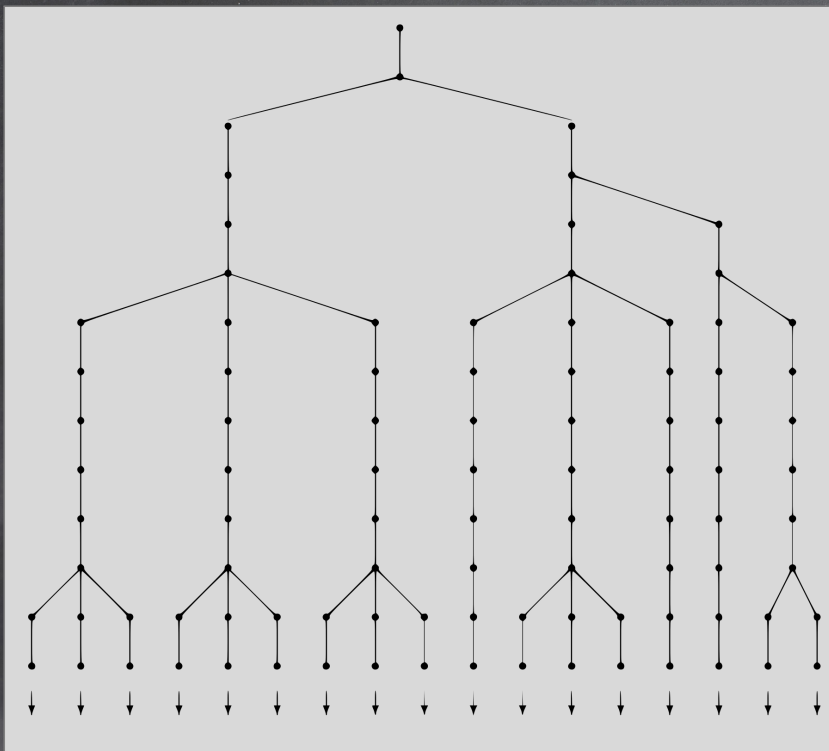
Conjecture W holds for the skeletons in  $\mathcal{G}(3, 2)$ .

Moreover:

- $\mathcal{G}(3, 2)$  has 16 coclass trees, but only 4 have unbounded depths
- some coclass trees admit both, subsequences of branches of bounded depths and subsequences of branches of unbounded depths
- occurrence of “exceptional isomorphisms” between skeleton groups
- the “twigs” are described conjecturally

# $\mathcal{G}(3, 2)$ : skeletons

Skeletons of the split pro-3 group:

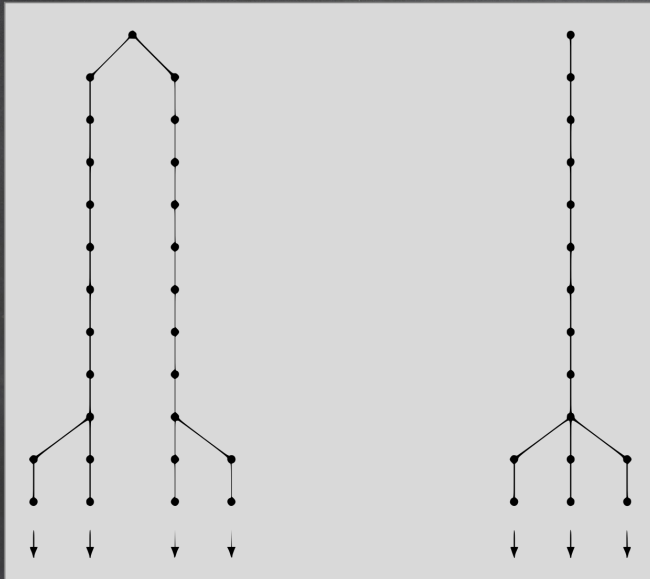


Conjectural description of twigs: usually depth 3 and up to 20,000 vertices



## $\mathcal{G}(3, 2)$ : skeletons

Skeletons of the three non-split pro-3 groups;  
skeleton only exists if class of root is congruent 0 modulo 3:



Conjectural description of twigs: up to depth 6 and 20,000 vertices

## Know periodicity results

Most results and conjectures are motivated by **computer experiments**, in particular, with the  $p$ -group generation algorithm.

What is known so far:

- periodicity of type I for all graphs  $\mathcal{G}(p, r)$ ,
- significant *local* results on periodicity of type II for the graphs  $\mathcal{G}(p, 1)$ ,
- most of  $\mathcal{G}(5, 1)$  and the skeleton structure of  $\mathcal{G}(3, 2)$

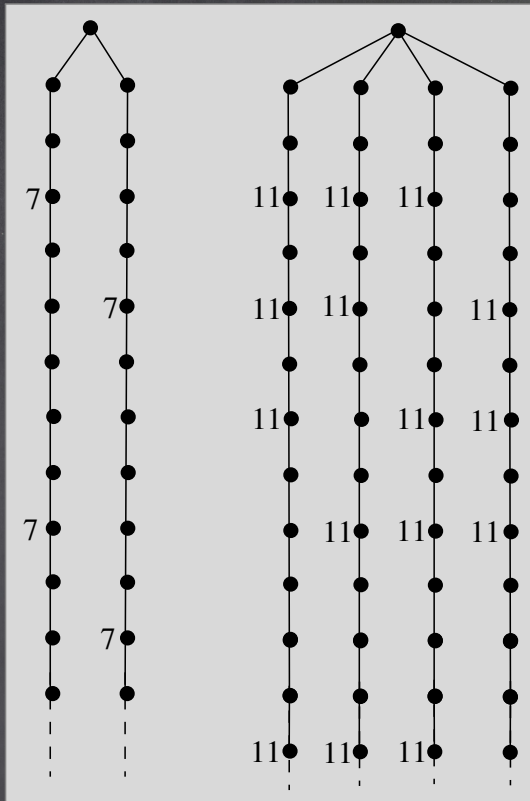
Comments on periodicity of type II:

- all known results consider pruned branches
- most results consider only skeleton groups
- $\mathcal{G}(5, 1)$  and  $\mathcal{G}(3, 2)$  only have branches of finite width
- D. & Eick recently considered  $\mathcal{G}(p, 1)$  in more detail (2016)

There is still a lot to do – we're working on it ... 😊



## Conjectured structure of $\mathcal{S}_j^*$ for $p = 7, 11$



For  $p = 7$ :

- depth  $j - 6$
- 2 groups  $G_{j,1}, G_{j,2}$  at depth 1
- 7-fold ramifications at levels
  - $2 + 6\mathbb{N}$  in path of  $G_{j,1}$
  - $4 + 6\mathbb{N}$  in path of  $G_{j,2}$

For  $p = 11$ :

- depth  $j - 14$
- 4 groups  $G_{j,1}, \dots, G_{j,4}$  at depth 1
- 11-fold ramifications at levels
  - $\{2, 4, 6\} + 10\mathbb{N}$  in path of  $G_{j,1}$
  - $\{2, 4, 8\} + 10\mathbb{N}$  in path of  $G_{j,2}$
  - $\{2, 6, 8\} + 10\mathbb{N}$  in path of  $G_{j,3}$
  - $\{4, 6, 8\} + 10\mathbb{N}$  in path of  $G_{j,4}$

## $p$ -groups of maximal class with 'large' aut-group

Let  $d = p - 1$  and  $\ell = (p - 3)/2$ .

### Theorem (2016)

- The skeleton  $\mathcal{S}_n^*$  has  $\ell$  groups  $G_{n,1}, \dots, G_{n,\ell}$  at depth 1.
- Ramifications are always  $p$ -fold and occur exactly at depth

$$\{2, 4, \dots, d - 2\} \setminus \{d - 2i\} + d\mathbb{N}$$

in the path of  $G_{n,i}$ , for  $i = 1, \dots, \ell$ .

The proof is heavily based on number theory and existing results for maximal class groups (19 pages, submitted 2016).

### Conjectural description of twigs:

structure of twigs depends only on  $i$ , on  $(e \bmod d)$ , and on  $(n \bmod d)$ .

This is the first periodicity result supporting Conjecture W in the context of coclass trees with unbounded width.

