

# Use of the Japio Technical Field Dictionaries and Commercial Rule-based engine for NTCIR-PatentMT

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## ABSTRACT

Japio performs various patent-related translation businesses, and owns the original patent-document-derived bilingual technical term database (Japio Terminology Database) to be used by the translators. Currently the database contains more than 1,900,000 J-E bilingual technical terms.

The Japio Technical Field Dictionaries (technical-field-oriented machine translation dictionaries) are created from the Japio Terminology Database based on each entry's frequency in the bilingual patent document corpora which are also compiled by Japio.

Japio applied the Japio Technical Field Dictionaries to a commercial machine translation engine for the NTCIR9-PatentMT (JE and EJ subtasks).

## Categories and Subject Descriptors

I.2.7 [Artificial Intelligence]: Natural Language Processing – Machine Translation

## General Terms

Management, Performance, Experimentation, Standardization, Verification.

## Keywords

Japio Terminology Database, Japio Bilingual Sentence-alignment Corpora, Japio classification, Japio Technical Field Dictionaries, Frequency Index, Japio Common Dictionaries

**TeamName:** [Japio]

**Subtasks:** PatentMT / [Japanese-to-English], [English-to-Japanese]

## 1. INTRODUCTION

Japio (Japan Patent Information Organization) is a noncommercial organization serving economy and society through provision of high-quality patent information. One of its main activities is translation of various patent-related documents. Currently, the number of documents to be translated between Japanese and

English languages per year is about 420,000. Consequently, documents to be translated vary among widely-ranged domains including chemical, electrical, mechanical and physical.

Japio has engaged in translation business since 1971. There had been some attempts to introduce machine translation into the translation process in 1980s and 1990s, including compilation and use of the original patent-originated technical term dictionary. Then in the late 1990s, the Japanese Patent Office launched the IPDL, while the European Patent Office started the espacenet. Those services provide the translated Japanese patent document databases searchable in English language. In this context, unification of technical terms in patent document translation has become a priority subject. Consequently, Japio was required to provide a common technical term dictionary to be shared among the translators. To achieve this goal, Japio restarted enhancing its original technical term database in 2000. We call the dictionary ‘Japio Terminology database’ in this paper. Japio Terminology database has been serving for the translators by providing them translations of technical terms.

On the other hand, Japio has created a couple of sentence-aligned bilingual (JE) corpora by compiling the translations of patent documents produced via its translation activities and their originals, as well as by collecting available patent documents filed both in Japanese and English languages. We call these corpora ‘Japio Bilingual Sentence-Alignment Corpora’ in this paper.

## 2. MOTIVATIONS

Japio’s main motivation for participating in the “patent machine translation” (PatentMT) at NTCIR-10 is to evaluate the advantage/disadvantage of the Japio Terminology Database, the technical field-oriented machine translation dictionaries (the Japio Technical Field Dictionaries) extracted from the database, and the machine translation system based on these dictionaries. We also have interests in the correlation between automatic evaluation value and human rating, as well as the capability of latest alternative translation methods such as SMT and EBMT. It is a good opportunity for us to know the actual level of our database, dictionaries and machine translation system, to considering how we should further improve them.

## 3. JAPIO TERMINOLOGY DATABASE

Japio is not a developer of MT engine; the system we used for the PatentMT is based on a commercial MT engine. Difference is that

we applied our original patent-derived Japio Terminology Database to the system.

Each record of the Japio Terminology Database consists of Japanese term, English term, and the Japio classification. Most of the entries are nouns and noun compounds. Currently the dictionary contains more than 1.9 million records. All records of the database are extracted from patent-related source documents. See examples shown on Table 1.

The Japio classification is based on the International Patent Classifications (IPC) and it currently consists of 34 classes. Each class corresponds to a unit of the technical translators in charge, and this classification has been used for distributing patent documents to be translated to the appropriate translators. For example, the Japio Class ‘C01’ corresponds to the IPC classes A01 to A24 except for the subclasses A01N and A01P, as well as classes C12 and C13 except for the subclass C12N and covers the fields of agriculture, /forestry, fishery and the like.

**Table 1. Examples of Japio Terminology Database entries**

Japanese	English	Japio class
2サイクルエンジン用化油器	carburetor for two-cycle engine	M09
2サイクルエンジン用軸受付きコンロッド	connecting rod with bearing for two-cycle engine	M10
2サイクルエンジン用鋳造軽金属ピストン	cast light metal piston for a two-stroke engine	M09
2サイクルディーゼルエンジン	two-cycle diesel engine	M09
2サイクル火花点火エンジン	two-stroke spark ignition engine	M09
2サイクル内燃エンジン	two cycle internal combustion engine	M09

\*Only the Japanese and English terms are copied to the Japio Technical Field Dictionaries.

In each of the JE/EJ PatentMT subtasks we used 34 machine translation dictionaries that were created based on the Japio classification. We call those dictionaries ‘Japio Technical Field Dictionaries.’ Entries other than nouns or noun compounds were excluded from those dictionaries. Please note that Japio classification information stored in each record of the Japio Terminology Database is used for selecting the entries of those dictionaries, but the classification information itself is deleted when the record is copied to those dictionaries, thus the records of those dictionaries do not contain any classification information.

#### 4. MEASURES FOR TRANSLATIONAL VARIATIONS

One Japanese term may correspond to multiple English translations. Such overlapping of terms often happens in our Japio Technical Field Dictionaries. To cope with this problem, Japio takes three countermeasures. The first measure is associated with Japio’s terminology collection policy. That is, we give priority in registering long compound words to the Japio Terminology Database as they are, rather than breaking them down to more short, versatile terms. It is because long compound words tend to

have less translational variations, and thus have less possibility of overlapping each other. Currently, the average length of Japanese terms in the Japio Terminology Database is 7.41 characters.

As the second measure, Japio also introduce the concept of ‘Frequency Index’ to set priorities between the overlapping terms. The Frequency Index of each term is determined by the frequency in the Japio Bilingual Sentence-Alignment Corpora. As aforementioned, Japio owns some sentence-aligned parallel corpora of the Japanese and English patent documents. Each of those corpora is subdivided into 34 subsets based on Japio classification, and each subset is used for calculating the Frequency Index of a Japio Technical Field Dictionary of the same Japio class. The index of a term is determined by the number of the English-translated documents which include the English term among the ones which have corresponding Japanese documents that contains the Japanese term. For example, if there were 100 Japanese documents which include the Japanese term “表示” in a certain subset and among them 25 corresponding English documents include the corresponding English term “indication,” the Frequency Index of the term “表示/indication” is 0.25. We use this index to rank the overlapping terms in a Japio Technical Field Dictionary, as well as to exclude minor translations from the dictionary by setting threshold.

The third measure we took for this task was to make the best use of the dictionaries built in the commercial MT software we used. The concept of this measure was, “if the identical term exists both in a Japio Technical Field Dictionary and a dictionary built in the software, it is better to leave it to the built-in dictionary.” It is because the built-in dictionary very often has more detailed information on the term, such as its attributes and countability. We deleted all the terms from each Japio Technical Field Dictionary which were identical to the ones existed in built-in dictionaries to be used with the Technical Field dictionary. We took this measure only for the JE PatentMT subtask this time.

#### 5. JAPIO TECHNICAL FIELD DICTIONARIES

As aforementioned, the Japio Technical Field Dictionaries, 34 dictionaries for each of JE and EJ, are created from the Japio Terminology Database using the Japio Bilingual Sentence-alignment Corpus, to be used in the machine translation selectively according to the technical field of the source document. Extraction of dictionary terms stands on the Frequency Index of the term regarding the target technical field. When more than one record which have the same Japanese term exist in the Japio Terminology Database, the one with the highest Frequency Index value in the target technical field is selected. If the Frequency Index is less than 0.1, the record is regarded as ‘minor translation,’ thus excluded. Also in case the Frequency Index is not calculable (namely, there are no appearance of the Japanese term in the parallel corpus), the record is excluded.

Through this process, the most-frequently used English translation is selected based on the certain class’s subset of the Japio Bilingual Sentence-alignment Corpus, and contained in the JE Japio Technical Field Dictionary of the certain class. Of course vice-versa can be said for the process of the EJ dictionaries.

The Japio bilingual Sentence-alignment Corpus we used for calculating the Frequency Index of each term consisted of

36,676,751 sentence pairs. It means that about 1,078,728 sentence pairs were included in each class's subset of the corpus on average.

In such manner we created 34 JE Japio Technical Field Dictionaries as well as 34 EJ ones each of which corresponds to 34 classes of Japio classification.

The average number of records in the 34 JE Japio Technical Field Dictionaries is therefore narrowed down to 40,188, while the total number of unique records extracted in those dictionaries is 659,516 and that of unique Japanese terms is 624,257. As for the EJ dictionaries, their average number of records is 69,803, the total number of unique records is 939,012, and that of unique Japanese terms is 853,938.

## 6. JAPIO COMMON DICTIONARIES

As aforementioned, all the Japio Technical Field Dictionaries consist only of nouns and noun compounds. It is mainly because of their handleability. However, there are many patent jargons other than nouns or noun compounds which are not correctly translated and should be taken care of. In addition, there are many terms which should be uniformly translated. To name a few, “**当業者**: a person skilled in the art” and “**本願**: this application” are ones of them.

To cope with them, we created JE and EJ common dictionaries and applied each to the JE and EJ PatentMT subtasks as the common (i.e. applicable to all technical fields) and topmost (the highest hierarchy) dictionary. They are called Japio Common Dictionaries. The numbers of entries were about 5,000 for JE dictionary and 10,000 for EJ dictionary.

## 7. TRANSLATION PROCESS

In each of the JE/EJ Patent Translation Tasks, Japio used a commercial machine translation engine and added the Japio Technical Field Dictionaries to it. We divided the set of source documents into 34 subsets according to the Japio classification in advance, and we switched the Japio Technical Field Dictionaries as well as the technical dictionaries of the engine manually according to the Japio class to which the source document subset belongs. The translation process consists of the following 3 steps:

1. We divide the set of source documents into 34 subsets according to the Japio classification. Japio class of each source documents is determined based on its initial IPC code which is obtained using its document number.
2. We translate each subset using a commercial machine translation engine. The Japio Technical Field Dictionary which corresponds to the Japio class of the subset is added to the technical dictionaries of the engine manually. Combination of the technical dictionaries of the engine is also determined preliminarily according to the Japio classification. The Japio Technical Field Dictionary and the set of the technical dictionaries of the engine are switched manually for every subset.
3. We merge all the translated subsets and sort back the documents to original order.

Combination and order of the technical dictionaries of the engine are determined experimentally. Word selection among dictionaries

totally depends on the undisclosed mechanism of the machine translation engine used, although we assume that it is governed by the hierarchy of the dictionaries.

## 8. RESULTS

Japio submitted the translations for JE and EJ PatentMT subtasks. Tables 2 and 3 shows the parameters of each JE/EJ submissions and their evaluations returned from the organizers.

**Table 2. Parameters and evaluations of JE subtask**

SYSTEM-ID	JAPIO	
DIRECTION	JE	
PRIORITY	1	Only 1 submission
TYPE	RBMT	A commercial machine translation engine was used.
RESOURCE_BILINGUAL	NO	No bilingual training data was used.
RESOURCE_MONOLINGUAL	NO	No monolingual training data was used.
RESOURCE_EXTERNAL	YES	JE Japio Technical Field Dictionaries (34 fields. avg. 40,188 entries) were used. * avg. entries in NTCIR9 was 17,925
RESOURCE	NO	No training data was used.
EXTERNAL	YES	JE Japio Technical Field Dictionaries (avg. 17,925 terms) were used.
CONTENT	YES	Japio class was used via IPC code and document number.
ONLINE-TIME	10min	Approximate estimate
MACHINE-SPEC		Intel® Core™2 Duo E8500 @3.16GHzCPU 3.21GB memory
BLEU	0.2288	*0.2035 in NTCIR9
NIST	7.1710	*6.6180 in NTCIR9
RIBES	0.7214	*0.7146 in NTCIR9
ADEQUACY	3.6666	Average *3.6666 in NTCIR9
ACCEPTABILITY	0.6295	Average *0.7117 in NTCIR9

**Table 3. Parameters and evaluations of EJ subtask**

<b>SYSTEM-ID</b>	JAPIO	
<b>DIRECTION</b>	EJ	
<b>PRIORITY</b>	1	Only 1 submission
<b>TYPE</b>	RBMT	A commercial machine translation engine was used.
<b>RESOURCE_BILINGUAL</b>	NO	No bilingual training data was used.
<b>RESOURCE_MONOLINGUAL</b>	NO	No monolingual training data was used.
<b>RESOURCE_EXTERNAL</b>	YES	JE Japio Technical Field Dictionaries (34 fields, avg. 69,803 entries) were used. * avg. entries in NTCIR9 was 28,696
<b>RESOURCE</b>	NO	No training data was used.
<b>EXTERNAL</b>	YES	EJ Japio Technical Field Dictionaries (avg. 28,696 entries) were used.
<b>CONTENT</b>	YES	Japio class was used via IPC code and document number.
<b>ONLINE-TIME</b>	10min	Approximate estimate
<b>MACHINE-SPEC</b>		Intel® Core™2 Duo E8500 @3.16GHzCPU 3.21GB memory
<b>BLEU</b>	0.2736	*0.2272 in NTCIR9
<b>NIST</b>	7.1004	*6.2892 in NTCIR9
<b>RIBES</b>	0.7281	*0.7088 in NTCIR9
<b>ADEQUACY</b>	3.5333	Average *3.4633 in NTCIR9
<b>ACCEPTABILITY</b>	0.5600	Average *0.6515 in NTCIR9

## 9. REVIEW OF THE RESULTS

The translations submitted by Japio gained quite high evaluation scores in either of the JE and EJ subtasks. As for the JE subtask, the evaluation scores of Japio's translation outgo all the other ones in both adequacy and acceptability. As for the EJ subtask, Japio's translation is ranked fourth in both adequacy and acceptability.

Japio has conducted extensive scale enhancement and revision of JE Japio Technical Field Dictionaries during the period between NTCIR-9 and 10. About 900,000 terms are added to the source database (Japio Terminology Database), while many problems

caused by the entries of those dictionaries were detected and corrected by proofreading the actual MT outputs. The scale enhancement was directly reflected to the average number of terms included in the Japio Technical Field Dictionaries. Those of JE and EJ dictionaries were increased from 17,925 and 28,696 in NTCIR9 to 40,188 and 69,803 in NTCIR10.

The advantage of the enhanced NTCIR-10 dictionaries can be checked by translating the test data used in NTCIR-9 using these new dictionaries and then comparing the results with those of NTCIR-9. So far we have just checked them by sampling and found some improvements in NTCIR-10 results. A couple of examples in JE subtask are shown in Table.4 below:

**Table 4. Translation improvement of EJ subtask**

JAPANESE	NTCIR-9 ENGLISH	NTCIR-10 ENGLISH
共役面	conjugate surface	conjugate plane
熱酸化膜	oxidizing film	thermal oxidation film
極性信号	polar signal	polarity signal

However, we are aware of the fact that the evaluation scores and ranks of our translation results were not quite as improved as we had expected from the scale of dictionary enhancement. We are not sure if it is appropriate to directly compare the scores of NTCIR-9 and NTCIR-10, but it might suggest that the quality improvement by dictionary enhancement had already been saturated at the time of NTCIR-9. At least we must admit that the enhancement of dictionaries alone could not outclass other teams. We regard it is time we should review our current policies and perspective to find the most effective way, including the introduction of new methods other than RBMT, to obtain the best quality machine translation results.

## 10. ACKNOWLEDGMENTS

We would like to thank the NTCIR-10 organizers for giving us a precious opportunity to get objective and comparative evaluations of our machine translation system outputs.

## 11. REFERENCES

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