

## On a Problem of Oppenheim concerning "Factorisatio Numerorum"

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*Communicated by P. T. Bateman*

Received January 14, 1981

Let  $f(n)$  denote the number of factorizations of the natural number  $n$  into factors larger than 1 where the order of the factors does not count. We say  $n$  is "highly factorable" if  $f(m) < f(n)$  for all  $m < n$ . We prove that  $f(n) = n \cdot L(n)^{-1-o(1)}$  for  $n$  highly factorable, where  $L(n) = \exp\{\log n \log \log \log n / \log \log n\}$ . This result corrects the 1926 paper of Oppenheim where it is asserted that  $f(n) = n \cdot L(n)^{-2+o(1)}$ . Some results on the multiplicative structure of highly factorable numbers are proved and a table of them up to  $10^9$  is provided. Of independent interest, a new lower bound is established for the function  $\Psi(x, y)$ , the number of  $n \leq x$  free of prime factors exceeding  $y$ .

### 1. INTRODUCTION

Let  $f(n)$  denote the number of factorizations of the natural number  $n$  into factors larger than 1, where the order of the factors does not count. Also let  $f(1) = 1$ . Thus, for example,  $f(12) = 4$  since 12 has the factorizations

$$12, \quad 2 \cdot 6, \quad 3 \cdot 4, \quad 2 \cdot 2 \cdot 3.$$

\* Research supported in part by grants from the National Science Foundation.

In this paper we establish a rather accurate estimate for the maximal order of  $f(n)$ . Roughly, we show that this maximal order is  $n \cdot L(n)^{-1+o(1)}$ , where

$$L(n) = \exp(\log n \cdot \log_3 n / \log_2 n)$$

and  $\log_k n$  denotes the  $k$ -fold iteration of the natural logarithm. For a more explicit determination of the " $o(1)$ ," see our theorems in Sections 2, 4, and 5.

In [13], Oppenheim also considered the problem of the maximal order of  $f(n)$ , but he erroneously claimed that it was  $n \cdot L(n)^{-2+o(1)}$ . His error arose when he assumed uniformity in  $k$  for his estimation of the maximal order of the Piltz divisor function  $d_k(n)$ , the number of factorizations of  $n$  into exactly  $k$  positive factors with order counting.

We present two different proofs that there is an infinite set of  $n$  with  $f(n) \geq n \cdot L(n)^{-1+o(1)}$ . In the first proof (Theorem 2.1), we show that the average value of  $f(n)$  for  $n \leq x$  with  $n$  divisible by only very small prime factors is  $x \cdot L(x)^{-1+o(1)}$ . Our proof requires an accurate lower bound for the function  $\Psi(z, y)$  when  $y$  is about  $e^{\sqrt{\log z}}$ . Here

$$\Psi(z, y) = \#\{n: 1 \leq n \leq z, P(n) \leq y\},$$

where  $P(n)$  denotes the largest prime factor of  $n$  when  $n > 1$ ,  $P(1) = 1$ , and where  $\#A$  denotes the cardinality of the set  $A$ . Although there is a large literature on  $\Psi(z, y)$ , little is known about lower bounds when

$$e^{(\log z)^\epsilon} < y < e^{(\log z)^{5/8}}.$$

In Section 3 we establish a lower bound for  $\Psi(z, y)$  that agrees closely with the known upper bound if  $y > (\log z)^{1+\epsilon}$ .

In Section 4 we present a second proof that the maximal order of  $f(n)$  is at least  $n \cdot L(n)^{-1+o(1)}$ . We accomplish this by explicitly exhibiting integers with many factorizations. These integers have a somewhat prohibitive structure. More "natural" candidates, like the product of the primes up to  $k$ , or  $k!$ , or the least common multiple of the integers up to  $k$ , do not work. (We can show  $f(n) = n \cdot L(n)^{-2+o(1)}$  for the first and last sequences. For  $n = k!$ , we have  $f(n) = n \cdot L(n)^{(-1+o(1))\log_3 n}$ .) To get lower estimates for  $f(n)$ , we use the relationship, also exploited by Oppenheim, between  $f(n)$  and  $d_k(n)$ . While Theorem 4.1 has the advantage of being constructive, Theorem 2.1 has its own advantage in that the result holds for the smaller function  $f_0(n)$  which counts only factorizations of  $n$  into distinct factors.

In Section 5 we show that  $f(n) \leq n \cdot L(n)^{-1+o(1)}$  for all  $n$ . Our proof employs a common trick that Rankin [15] and de Bruijn [2, Part II] also used to study  $\Psi(x, y)$ . The proof also uses the formula

$$\sum_{P(n) < y} f(n) n^{-s} = \prod_{\substack{P(n) < y \\ n > 1}} (1 - n^{-s})^{-1}, \quad (1.1)$$

which is a generalization of a formula of McMahon [11] who had no restriction on  $P(n)$  on either side of the equation. Our formula is certainly valid for all  $s$  in the half plane  $\operatorname{Re} s > 0$ , but we shall only use it for  $s$  real and  $\frac{1}{2} < s < 1$ .

We say that a natural number  $n$  is *highly factorable* if  $f(m) < f(n)$  for all  $m$ ,  $1 \leq m < n$ . There is an obvious analogy with the highly composite numbers  $n$  of Ramanujan [14] which satisfies  $d(m) < d(n)$  for all  $m$ ,  $1 \leq m < n$ . It is obvious that if  $n > 1$  is highly factorable, then there is some  $t \geq 1$  with

$$n = p_1^{a_1} p_2^{a_2} \cdots p_t^{a_t}, \quad a_1 \geq a_2 \geq \cdots \geq a_t \geq 1,$$

where  $p_i$  denotes the  $i$ th prime. In Section 6 we show that  $p_t > (\log n)^{1-\delta}$  for any  $\delta > 0$  and all sufficiently large highly factorable  $n$ . It follows, of course, from the prime number theorem that  $p_t \leq (1 + o(1)) \log n$ . We also show that  $p_t^2 \nmid n$ , if  $n$  is sufficiently large.

It is not particularly easy to compute  $f(n)$ . For example, to find that  $f(1800) = 137$  takes some work. In Section 7 we present an algorithm for the computation of  $f(n)$ . We have used this algorithm (on a computer) to find all of the highly factorable numbers below  $10^9$ . These numbers are listed in Table I.

We are able to show that the number of values of  $f(n)$  that do not exceed  $x$  is  $x^{o(1)}$ , but we do not include the details here.

We now mention some related results. Oppenheim [13] also considered the average value of  $f(n)$ , showing

$$\frac{1}{x} \sum_{n \leq x} f(n) \sim \frac{e^{2\sqrt{\log x}}}{2\sqrt{\pi} (\log x)^{3/4}}.$$

This result was independently obtained by Szekeres and Turán [17].

There is a second function connected with the name "Factorisatio Numerorum," namely  $F(n)$ , the number of factorizations of  $n$  into factors larger than 1, where now different permutations of the same factorization are counted as different factorizations. Thus  $F(12) = 8$  since 12 has the factorizations

$$12, \quad 2 \cdot 6, \quad 3 \cdot 4, \quad 4 \cdot 3, \quad 6 \cdot 2, \quad 2 \cdot 2 \cdot 3, \quad 2 \cdot 3 \cdot 2, \quad 3 \cdot 2 \cdot 2.$$

Kalmár [9] showed that

$$\sum_{n \leq x} F(n) \sim \frac{x^\rho}{\rho \zeta'(\rho)},$$

where  $\zeta(s)$  is the Riemann zeta function and  $\rho > 1$  is such that  $\zeta(\rho) = 2$ . Other papers on  $F(n)$  are by Erdős [3], Evans [4], Hille [7], Ikehara [8], and Kalmár [9].

TABLE I: HIGHLY FACTORABLE INTEGERS BELOW  $10^9$ 

| n     | number of factorizations of n | exponents in the prime decomposition of n |
|-------|-------------------------------|---|
| 1     | 1                             | none                                      |
| 4     | 2                             | 2   |
| 8     | 3                             | 3   |
| 12    | 4                             | 2 1                                       |
| 16    | 5                             | 4   |
| 24    | 7                             | 3 1                                       |
| 36    | 9                             | 2 2                                       |
| 48    | 12                            | 4 1                                       |
| 72    | 16                            | 3 2                                       |
| 96    | 19                            | 5 1                                       |
| 120   | 21                            | 3 1 1                                     |
| 144   | 29                            | 4 2                                       |
| 192   | 30                            | 6 1                                       |
| 216   | 31                            | 3 3                                       |
| 240   | 38                            | 4 1 1                                     |
| 288   | 47                            | 5 2                                       |
| 360   | 52                            | 3 2 1                                     |
| 432   | 57                            | 4 3                                       |
| 480   | 64                            | 5 1 1                                     |
| 576   | 77                            | 6 2                                       |
| 720   | 98                            | 4 2 1                                     |
| 960   | 105                           | 6 1 1                                     |
| 1080  | 109                           | 3 3 1                                     |
| 1152  | 118                           | 7 2                                       |
| 1440  | 171                           | 5 2 1                                     |
| 2160  | 212                           | 4 3 1                                     |
| 2880  | 289                           | 6 2 1                                     |
| 4320  | 382                           | 5 3 1                                     |
| 5040  | 392                           | 4 2 1 1                                   |
| 5760  | 467                           | 7 2 1                                     |
| 7200  | 484                           | 5 2 2                                     |
| 8640  | 662                           | 6 3 1                                     |
| 10080 | 719                           | 5 2 1 1                                   |
| 11520 | 737                           | 8 2 1                                     |
| 12960 | 783                           | 5 4 1                                     |
| 14400 | 843                           | 6 2 2                                     |
| 15120 | 907                           | 4 3 1 1                                   |
| 17280 | 1097                          | 7 3 1                                     |
| 20160 | 1261                          | 6 2 1 1                                   |
| 25920 | 1386                          | 6 4 1                                     |
| 28800 | 1397                          | 7 2 2                                     |
| 30240 | 1713                          | 5 3 1 1                                   |
| 34560 | 1768                          | 8 3 1                                     |

TABLE I: HIGHLY FACTORABLE INTEGERS BELOW  $10^9$ 

| n        | number of<br>factorizations<br>of n | exponents in<br>the prime decomposition<br>of n |
|----------|-------------------------------------|---|
| 40320    | 2116                                | 7 2 1 1   |
| 50400    | 2179                                | 5 2 2 1   |
| 51840    | 2343                                | 7 4 1   |
| 60480    | 3079                                | 6 3 1 1   |
| 80640    | 3444                                | 8 2 1 1   |
| 90720    | 3681                                | 5 4 1 1   |
| 100800   | 3930                                | 6 2 2 1   |
| 120960   | 5288                                | 7 3 1 1   |
| 151200   | 5413                                | 5 3 2 1   |
| 161280   | 5447                                | 9 2 1 1   |
| 172800   | 5653                                | 8 3 2   |
| 181440   | 6756                                | 6 4 1 1   |
| 201600   | 6767                                | 7 2 2 1   |
| 241920   | 8785                                | 8 3 1 1   |
| 302400   | 10001                               | 6 3 2 1   |
| 362880   | 11830                               | 7 4 1 1   |
| 453600   | 12042                               | 5 4 2 1   |
| 483840   | 14166                               | 9 3 1 1   |
| 604800   | 17617                               | 7 3 2 1   |
| 725760   | 20003                               | 8 4 1 1   |
| 907200   | 22711                               | 6 4 2 1   |
| 1088640  | 24270                               | 7 5 1 1   |
| 1209600  | 29945                               | 8 3 2 1   |
| 1451520  | 32789                               | 9 4 1 1   |
| 1814400  | 40774                               | 7 4 2 1   |
| 2177280  | 41702                               | 8 5 1 1   |
| 2419200  | 49320                               | 9 3 2 1   |
| 2903040  | 52412                               | 10 4 1 1  |
| 3326400  | 54613                               | 6 3 2 1 1                                       |
| 3628800  | 70520                               | 8 4 2 1   |
| 4838400  | 79177                               | 10 3 2 1  |
| 5322240  | 79459                               | 9 3 1 1 1                                       |
| 5443200  | 86222                               | 7 5 2 1   |
| 6652800  | 99235                               | 7 3 2 1 1                                       |
| 7257600  | 118041                              | 9 4 2 1   |
| 9676800  | 124207                              | 11 3 2 1  |
| 9979200  | 129296                              | 6 4 2 1 1                                       |
| 10886400 | 151500                              | 8 5 2 1   |
| 13305600 | 173377                              | 8 3 2 1 1                                       |
| 14515200 | 192371                              | 10 4 2 1  |
| 18144000 | 199668                              | 8 4 3 1   |
| 19958400 | 239312                              | 7 4 2 1 1                                       |
| 21772800 | 257381                              | 9 5 2 1   |
| 25401600 | 259906                              | 8 4 2 2   |

TABLE I: HIGHLY FACTORABLE INTEGERS BELOW  $10^9$ 

| $n$       | number of factorizations of $n$ | exponents in the prime decomposition of $n$ |
|-----------|---------------------------------|---|
| 26611200  | 292951                          | 9 3 2 1 1                                   |
| 29030400  | 306091                          | 11 4 2 1                                    |
| 31933440  | 313907                          | 10 4 1 1 1                                  |
| 36288000  | 340413                          | 9 4 3 1                                     |
| 39916800  | 425240                          | 8 4 2 1 1                                   |
| 43545600  | 425254                          | 10 5 2 1                                    |
| 50803200  | 443995                          | 9 4 2 2                                     |
| 53222400  | 481392                          | 10 3 2 1 1                                  |
| 59875200  | 525030                          | 7 5 2 1 1                                   |
| 72576000  | 564234                          | 10 4 3 1                                    |
| 76204800  | 574761                          | 8 5 2 2                                     |
| 79833600  | 729916                          | 9 4 2 1 1                                   |
| 101606400 | 737393                          | 10 4 2 2                                    |
| 106444800 | 771932                          | 11 3 2 1 1                                  |
| 119750400 | 947375                          | 8 5 2 1 1                                   |
| 152409600 | 996347                          | 9 5 2 2                                     |
| 159667200 | 1217160                         | 10 4 2 1 1                                  |
| 199584000 | 1262260                         | 8 4 3 1 1                                   |
| 217728000 | 1279554                         | 10 5 3 1                                    |
| 239500800 | 1649624                         | 9 5 2 1 1                                   |
| 279417600 | 1653287                         | 8 4 2 2 1                                   |
| 304819200 | 1677259                         | 10 5 2 2                                    |
| 319334400 | 1978932                         | 11 4 2 1 1                                  |
| 399168000 | 2205059                         | 9 4 3 1 1                                   |
| 479001600 | 2787810                         | 10 5 2 1 1                                  |
| 558835200 | 2894057                         | 9 4 2 2 1                                   |
| 638668800 | 3148035                         | 12 4 2 1 1                                  |
| 718502400 | 3470553                         | 9 6 2 1 1                                   |
| 798336000 | 3737489                         | 10 4 3 1 1                                  |
| 838252800 | 3786089                         | 8 5 2 2 1                                   |
| 958003200 | 4590111                         | 11 5 2 1 1                                  |

The function  $f(n)$  is related to the concept of partitions of a multiset (or multipartite partitions). For example,  $f(2^n) = p(n)$ , the number of numerical partitions of  $n$ , and  $f(p_1 p_2 \dots p_n) = B_n$ , the  $n$ th Bell number, that is, the number of partitions of an  $n$ -element set. In general  $f(p_1^{a_1} p_2^{a_2} \dots p_n^{a_n})$  is the number of partitions of the multiset which has  $a_i$  copies of  $p_i$  for each  $i$  (or equivalently, the number of partitions of the vector  $(a_1, \dots, a_n)$  into lattice point summands  $(b_1, \dots, b_n)$  with each  $b_i \geq 0$ ). There is a large literature on the subject of partitions of a multiset. The interested reader is referred to Section P64 of W. J. Leveque's "Reviews in Number Theory." Our algorithm in Section 7 for the computation of  $f(n)$  appears to be the first practical algorithm for computing the number of partitions of a multiset.

Throughout the paper the letters  $p$  and  $q$  always denote primes. Also we shall let  $\log_k^j x$  denote  $(\log_k x)^j$ , where  $\log_k$  represents the  $k$ -fold iteration of the natural logarithm. We shall continue to let  $P(n)$  denote the largest prime factor of  $n$  if  $n > 1$  and  $P(1) = 1$ .

## 2. A LOWER BOUND FOR THE MAXIMAL ORDER OF $f_0(n)$

Recall that  $f_0(n)$  denotes the number of factorizations of  $n$  into distinct factors greater than 1, order of factors not counting.

**THEOREM 2.1.** *There is a constant  $C$  such that for infinitely many  $n$ ,*

$$f_0(n) \geq n \cdot \exp \left\{ -\frac{\log n}{\log_2 n} \left( \log_3 n + \log_4 n + \frac{\log_4 n - 1}{\log_3 n} + C \frac{\log_4^2 n}{\log_3^2 n} \right) \right\}.$$

*Proof.* Let  $x$  be large and let  $A$  denote the set of integers  $a$ ,  $1 < a \leq \exp(\log_2^2 x)$  with  $P(a) \leq \log x / \log_2 x$ . Then from the Corollary to Theorem 3.1 we have

$$\begin{aligned} \#A &= \Psi(\exp(\log_2^2 x), \log x / \log_2 x) - 1 \\ &= \exp \left\{ \log_2^2 x - \log_2 x \left( \log_3 x + \log_4 x - 1 + \frac{\log_4 x - 1}{\log_3 x} + O\left(\frac{\log_4^2 x}{\log_3^2 x}\right) \right) \right\}. \end{aligned}$$

Let  $k = [\log x / \log_2^2 x]$  and let  $B$  denote the set of  $k$ -element subsets of  $A$ . Then

$$\begin{aligned} \#B &= \binom{\#A}{k} \geq \left(\frac{\#A}{k}\right)^k \\ &> \frac{1}{\#A} \left(\frac{\#A}{\log x / \log_2^2 x}\right)^{\log x / \log_2^2 x} \\ &= x \cdot \exp \left\{ -\frac{\log x}{\log_2 x} \left( \log_3 x + \log_4 x + \frac{\log_4 x - 1}{\log_3 x} + O\left(\frac{\log_4^2 x}{\log_3^2 x}\right) \right) \right\}. \end{aligned}$$

Consider the mapping  $\Pi: B \rightarrow \mathbb{Z}$ , where if  $S \in B$ , then  $\Pi(S)$  is the product of the members of  $S$ . Note that

$$0 < \Pi(S) \leq x \quad \text{and} \quad P(\Pi(S)) \leq \log x / \log_2 x.$$

Moreover  $S$  corresponds to a factorization of  $\Pi(S)$  into exactly  $k$  distinct factors. Thus

$$\sum_{\substack{n \leq x \\ P(n) \leq \log x / \log_2 x}} f_0(n) \geq \sum_{n \in \Pi(B)} f_0(n) \geq \#B.$$

We conclude that there is an  $n \leq x$  with

$$f_0(n) \geq \#B / \Psi(x, \log x / \log_2 x).$$

But Theorem 1 in de Bruijn [2, Part II] contains the assertion that

$$\Psi(x, \log x / \log_2 x) = \exp\{(1 + o(1)) \log x \cdot \log_3 x / \log_2^2 x\}.$$

Thus there is an  $n \leq x$  with

$$f_0(n) \geq x \cdot \exp \left\{ -\frac{\log x}{\log_2 x} \left( \log_3 x + \log_4 x + \frac{\log_4 x - 1}{\log_3 x} + O\left(\frac{\log_4^2 x}{\log_3^2 x}\right) \right) \right\}, \quad (2.1)$$

which proves the theorem.

### 3. INTEGERS FREE OF LARGE PRIME FACTORS

If  $u \geq 1$  is fixed, it is well known that

$$\lim_{x \rightarrow \infty} \frac{1}{x} \Psi(x, x^{1/u}) = \rho(u) > 0, \quad (3.1)$$

where  $\rho(u)$  is the Dickman–de Bruijn function. The best result in this direction is that if  $x^2 + u^2 \rightarrow \infty$  subject to the constraint  $1 \leq u \leq (\log x)^{3/8 - \epsilon}$ , then  $\Psi(x, x^{1/u}) \sim x\rho(u)$  (de Bruijn [2, Part I] plus the best known results on the error term in the prime number theorem). From de Bruijn [1] we have for  $u \geq 3$

$$\rho(u) = \exp \left\{ -u \left( \log u + \log_2 u - 1 + \frac{\log_2 u - 1}{\log u} + O\left(\frac{\log_2^2 u}{\log^2 u}\right) \right) \right\}. \quad (3.2)$$



For each  $u \geq 1$ , let

$$D(u) = \inf_{x \geq 1} \frac{1}{x} \Psi(x, x^{1/u}).$$

Thus from (3.1) it follows that  $0 < D(u) \leq \rho(u)$ . We shall show in this section that the right side of (3.2) is also a valid estimation for  $D(u)$ .

There are at least two other papers where a lower bound for  $\Psi(x, x^{1/u})$  is established. In [5], Fainleib shows that

$$\frac{1}{x} \Psi(x, x^{1/u}) \geq \exp \left\{ -u \left( \log u + \log_2 u - 1 + c \frac{\log_2 u}{\log u} \right) \right\}$$

for some absolute constant  $c$  and for  $3 \leq u < \log x / \log_2 x$ . His method is to use an asymptotic result (stated without proof) for certain differential delay equations that are similar to equations studied by Levin. In [6], Halberstam uses the Buchstab identity and an induction argument to show that for  $3 \leq u < u_0(x)$

$$\frac{1}{x} \Psi(x, x^{1/u}) \geq 2e^{-10} \cdot \exp\{-u(\log u + \log_2 u + \eta(u))\},$$

where  $\eta(u)$  is an explicit function that is asymptotic to  $\log_2 u / \log u$ . The function  $u_0(x)$  is not explicitly given, but tracing it through the proof, we find that the Halberstam inequality is claimed only for a region where the asymptotic relation (3.1) is already known. However, it is possible to tighten the estimates in Halberstam's proof and establish his inequality for the larger region  $3 \leq u \leq c \log x / (\log_2 x)^{5/3 + \epsilon}$ .

Our method of proof is to produce a succession of increasingly sharp estimates for  $D(u)$  using the inequality

$$\Psi(x, x^{1/u}) \geq \sum_i \Psi(x/m_i, w),$$

where the  $m_i$  run over certain integers composed solely of primes in the interval  $(w, x^{1/u}]$  and where  $w \approx x^{(1-\epsilon)/u}$ . We begin with a crude estimate that is essentially implicit in de Bruijn [2, Part II].

**LEMMA.** *There is a constant  $c_1$  such that if  $u \geq c_1$  and  $x \geq 1$ , then*

$$\Psi(x, x^{1/u}) > x/u^{3u}.$$

*Proof.* Since  $\Psi(x, x^{1/u}) \geq 1$ , the result is trivial if  $u^{3u} > x$ . So assume  $x \geq u^{3u}$ . From what we have said above, we also may assume  $u > (\log x)^{3/8 - \epsilon}$  (if  $u$  is sufficiently large).

Thus, we suppose  $c_1 \leq u$ ,  $(\log x)^{3/8-\varepsilon} < u$ ,  $u^{3u} \leq x$ . Then  $x^{1/u} \geq c_1^3$ , so that

$$\pi(x^{1/u}) > ux^{1/u}/(2 \log x),$$

if  $c_1$  is large enough. Let  $\pi'(y)$  denote  $\pi(y)$  if  $y \geq 2$  and  $\pi'(y) = 1$  otherwise. Let  $u = m + \theta$ , where  $m = [u]$ . We evidently have

$$\begin{aligned} \Psi(x, x^{1/u}) &\geq \pi(x^{1/u})^m \pi'(x^{\theta/u})/(m+1)! \\ &> \left(\frac{ux^{1/u}}{2 \log x}\right)^m \left(\frac{x^{\theta/u}}{2 \log x}\right)/u^m \\ &= x/(2 \log x)^{m+1} \\ &\geq x \cdot \exp\{-(u+1)(\log_2 x + \log 2)\} \\ &> x \cdot \exp\{-3u \log u\} = x/u^{3u}, \end{aligned}$$

where the last inequality is valid for  $u > (\log x)^{3/8-\varepsilon}$  and  $u$  sufficiently large.

**THEOREM 3.1.** *If  $x \geq 1$  and  $u \geq 3$ , we have*

$$\Psi(x, x^{1/u}) \geq x \cdot \exp \left\{ -u \left( \log u + \log_2 u - 1 + \frac{\log_2 u - 1}{\log u} + C \frac{\log_2^2 u}{\log^2 u} \right) \right\},$$

where  $C$  is an absolute constant.

*Proof.* It suffices to show the theorem for all  $u \geq c_2$ , where  $c_2$  is an arbitrary absolute constant. Since  $\Psi(x, x^{1/u}) \geq 1$ , we may assume

$$x > u^u. \quad (3.3)$$

Consider the intervals

$$I_j = (x^{(1/u)(1-(k+1-j)/\log^2 u)}, x^{(1/u)(1-(k-j)/\log^2 u)})$$

for  $j = 1, \dots, k$ , where  $k = [\log^2 u \log_2 u]$ . Let

$$\alpha_j = \frac{\exp(1/\log^2 u) - 1}{\exp(k/\log^2 u) - 1} \exp((j-1)/\log^2 u)$$

for  $j = 1, \dots, k$ . Note that

$$\begin{aligned} \exp(k/\log^2 u) &= \exp(\log_2 u + O(1/\log^2 u)) \\ &= \log u + O(1/\log u). \end{aligned} \quad (3.4)$$

Let  $m_{j,1}, m_{j,2}, \dots$ , denote the integers composed of exactly  $[\alpha_j u]$  primes (not

necessarily distinct) from  $I_j$ . Let  $m_1, m_2, \dots$ , denote the integers of the form  $m_{1,i_1} m_{2,i_2} \dots m_{k,i_k}$ . Then we evidently have

$$\Psi(x, x^{1/u}) \geq \sum_i \Psi(x/m_i, w), \quad w = x^{(1/u)(1-(k/\log^3 u))}. \quad (3.5)$$

Note that for each  $m_i$ ,

$$\frac{\log m_i}{\log x} \geq \sum_{j=1}^k \frac{[a_j u]}{u} \left(1 - \frac{k+1-j}{\log^3 u}\right) = \sum_j \alpha_j \left(1 - \frac{k+1-j}{\log^3 u}\right) + O\left(\frac{k}{u}\right). \quad (3.6)$$

Now

$$\sum_j \alpha_j = 1 \quad (3.7)$$

and from (3.4),

$$\begin{aligned} \sum_j \alpha_j (k+1-j) &= \alpha_1 \sum_j (k+1-j) \exp((j-1)/\log^2 u) \\ &= \alpha_1 \left\{ \exp\left(\frac{1}{\log^2 u}\right) \cdot \left( \exp\left(\frac{k}{\log^2 u}\right) - 1 \right) \right. \\ &\quad \left. - k \left( \exp\left(\frac{1}{\log^2 u}\right) - 1 \right) \right\} \left\{ \exp\left(\frac{1}{\log^2 u}\right) - 1 \right\}^2 \\ &= \frac{\exp(1/\log^2 u)}{\exp(1/\log^2 u) - 1} - \frac{k}{\exp(k/\log^2 u) - 1} \\ &= \log^2 u \cdot \left(1 + O\left(\frac{1}{\log^2 u}\right)\right) - \frac{\log^2 u \log_2 u}{\log u - 1 + O(1/\log u)} \\ &= \log^2 u - \log u \log_2 u + O(\log_2 u). \end{aligned} \quad (3.8)$$

Thus from (3.6)–(3.8) we have

$$\frac{\log m_i}{\log x} \geq 1 - \frac{1}{\log u} + \frac{\log_2 u}{\log^2 u} + O\left(\frac{\log_2 u}{\log^3 u}\right).$$

Since  $x/m_i > 1$ , we may define  $v_i$  so that  $w = (x/m_i)^{1/v_i}$ , that is,

$$\begin{aligned} v_i &= \frac{\log(x/m_i)}{\log w} \\ &\leq \left\{ \frac{1}{\log u} - \frac{\log_2 u}{\log^2 u} + O\left(\frac{\log_2 u}{\log^3 u}\right) \right\} \left\{ \frac{1}{u} \left(1 - \frac{\log_2 u}{\log u} + O\left(\frac{1}{\log^3 u}\right)\right) \right\} \end{aligned}$$

$$\begin{aligned}
&= \frac{u}{\log u} \left\{ 1 - \frac{\log_2 u}{\log u} + O\left(\frac{\log_2 u}{\log^2 u}\right) \right\} \cdot \left\{ 1 + \frac{\log_2 u}{\log u} + O\left(\frac{\log_2^2 u}{\log^2 u}\right) \right\} \\
&= \frac{u}{\log u} \left( 1 + O\left(\frac{\log_2^2 u}{\log^2 u}\right) \right).
\end{aligned}$$

Thus if we let  $v = \max\{v_i\}$ , we have

$$v \leq \frac{u}{\log u} \left( 1 + O\left(\frac{\log_2^2 u}{\log^2 u}\right) \right). \quad (3.9)$$

Since  $w \geq (x/m_i)^{1/v}$ , we have from (3.5) that

$$\Psi(x, x^{1/u}) \geq \sum_i \Psi(x/m_i, (x/m_i)^{1/v}) \geq xD(v) \sum_i 1/m_i. \quad (3.10)$$

It remains to estimate  $D(v)$  and  $\sum 1/m_i$ . For the latter, note that

$$\sum_i \frac{1}{m_i} = \prod_{j=1}^k \sum_i \frac{1}{m_{j,i}} \geq \prod_j \left( \left( \sum_{p \in I_j} \frac{1}{p} \right)^{|\alpha_j u|} / |\alpha_j u|! \right). \quad (3.11)$$

Now from (3.3)

$$\begin{aligned}
\sum_{p \in I_j} \frac{1}{p} &= \log \log x^{(1/u)(1-(k-j)/\log^3 u)} - \log \log x^{(1/u)(1-(k+1-j)/\log^3 u)} \\
&\quad + O\left(\left(\frac{u}{\log x}\right)^{10}\right) \\
&= \log\left(1 - \frac{k-j}{\log^3 u}\right) - \log\left(1 - \frac{k+1-j}{\log^3 u}\right) + O\left(\frac{1}{\log^{10} u}\right) \\
&= \log\left\{\left(1 - \frac{k+1-j}{\log^3 u} + \frac{1}{\log^3 u}\right) / \left(1 - \frac{k+1-j}{\log^3 u}\right)\right\} \\
&\quad + O\left(\frac{1}{\log^{10} u}\right) \\
&= \frac{1}{\log^3 u} \left(1 + \frac{k+1-j}{\log^3 u}\right) + O\left(\frac{\log_2^2 u}{\log^5 u}\right).
\end{aligned}$$

Then using (3.7) and (3.8) we have

$$\begin{aligned}
& \log \left( \prod_j \left( \sum_{\rho \in I_j} \frac{1}{p} \right)^{|\alpha_j u|} \right) \\
&= \sum_j [\alpha_j u] \log \left( \sum_{\rho \in I_j} \frac{1}{p} \right) \\
&= \sum_j [\alpha_j u] \left( -3 \log_2 u + \frac{k+1-j}{\log^3 u} + O \left( \frac{\log_2^2 u}{\log^2 u} \right) \right) \\
&= u \sum_j \alpha_j \left( -3 \log_2 u + \frac{k+1-j}{\log^3 u} + O \left( \frac{\log_2^2 u}{\log^2 u} \right) \right) + O(k \log_2 u) \\
&= u \left( -3 \log_2 u + \frac{1}{\log u} + O \left( \frac{\log_2^2 u}{\log^2 u} \right) \right). \tag{3.12}
\end{aligned}$$

From (3.7) and Stirling's formula, we have

$$\begin{aligned}
\log \left( \prod_j [\alpha_j u]! \right) &= \sum_j [\alpha_j u] (\log |\alpha_j u| - 1) + O(k \log u) \\
&= \sum_j \alpha_j u (\log(\alpha_j u) - 1) + O(k \log u) \\
&= u \left( \log u - 1 + \sum_j \alpha_j \log \alpha_j \right) + O(k \log u). \tag{3.13}
\end{aligned}$$

To estimate this last sum, we use (3.4), (3.7), (3.8) to get

$$\begin{aligned}
\sum_j \alpha_j \log \alpha_j &= \sum_j \alpha_j \left( \log \alpha_1 + \frac{j-1}{\log^2 u} \right) \\
&= \log \alpha_1 - \frac{1}{\log^2 u} \sum_j \alpha_j (k+1-j) + \frac{k}{\log^2 u} \sum_j \alpha_j \\
&= \log \alpha_1 - 1 + \frac{\log_2 u}{\log u} + O \left( \frac{\log_2 u}{\log^2 u} \right) + \frac{k}{\log^2 u} \\
&= \log \left( \exp \left( \frac{1}{\log^2 u} \right) - 1 \right) - \log \left( \exp \left( \frac{k}{\log^2 u} \right) - 1 \right) \\
&\quad - 1 + \frac{\log_2 u}{\log u} + \log_2 u + O \left( \frac{\log_2 u}{\log^2 u} \right) \\
&= -2 \log_2 u - \log_2 u + \frac{1}{\log u} - 1 + \frac{\log_2 u}{\log u} + \log_2 u + O \left( \frac{\log_2 u}{\log^2 u} \right) \\
&= -2 \log_2 u - 1 + \frac{\log_2 u + 1}{\log u} + O \left( \frac{\log_2 u}{\log^2 u} \right).
\end{aligned}$$

With this result and (3.13), we have

$$\log \left( \prod_j [\alpha_j u]! \right) = u \left( \log u - 2 \log_2 u - 2 + \frac{\log_2 u + 1}{\log u} + O \left( \frac{\log_2 u}{\log^2 u} \right) \right). \quad (3.14)$$

Thus following from (3.10)–(3.12) and (3.14) we have

$$\Psi(x, x^{1/u}) \geq x D(v) \cdot \exp \left\{ -u \left( \log u + \log_2 u - 2 + \frac{\log_2 u}{\log u} + O \left( \frac{\log_2^2 u}{\log^2 u} \right) \right) \right\}. \quad (3.15)$$

From the lemma and (3.9) we have for large  $u$

$$\log D(v) \geq -3v \log v \geq -3u,$$

so that (3.15) becomes

$$\Psi(x, x^{1/u}) \geq x \cdot \exp \{ -u (\log u + \log_2 u + O(1)) \}.$$

Using this result with (3.9) we have

$$\begin{aligned} \log D(v) &\geq -v(\log v + \log_2 v + O(1)) \\ &\geq -\frac{u}{\log u} \left( 1 + O \left( \frac{\log_2^2 u}{\log^2 u} \right) \right) (\log u + O(1)) \\ &= -u \left( 1 + O \left( \frac{1}{\log u} \right) \right), \end{aligned}$$

so that from (3.15) we now obtain

$$\Psi(x, x^{1/u}) \geq x \cdot \exp \left\{ -u \left( \log u + \log_2 u - 1 + \frac{\log_2 u}{\log u} + O \left( \frac{1}{\log u} \right) \right) \right\}.$$

We iterate our procedure one more time using this last result with (3.9) to get

$$\begin{aligned} \log D(v) &\geq -v \left( \log v + \log_2 v - 1 + O \left( \frac{\log_2 v}{\log v} \right) \right) \\ &\geq -\frac{u}{\log u} \left( 1 + O \left( \frac{\log_2^2 u}{\log^2 u} \right) \right) \left( \log u - 1 + O \left( \frac{\log_2 u}{\log u} \right) \right) \\ &= -u \left( 1 - \frac{1}{\log u} + O \left( \frac{\log_2^2 u}{\log^2 u} \right) \right), \end{aligned}$$

so that (3.15) at last gives

$$\Psi(x, x^{1/u}) \geq x \cdot \exp \left\{ -u \left( \log u + \log_2 u - 1 + \frac{\log_2 u - 1}{\log u} + O \left( \frac{\log_2^2 u}{\log^2 u} \right) \right) \right\},$$

which was to be shown.

COROLLARY. *If  $\varepsilon > 0$  is arbitrary and  $3 \leq u \leq (1 - \varepsilon) \log x / \log_2 x$ , then*

$$\Psi(x, x^{1/u}) = x \cdot \exp \left\{ -u \left( \log u + \log_2 u - 1 + \frac{\log_2 u - 1}{\log u} + E(x, u) \right) \right\},$$

where

$$|E(x, u)| \leq c_\varepsilon \frac{\log_2^2 u}{\log^2 u},$$

where  $c_\varepsilon$  is a constant that depends only on the choice of  $\varepsilon$ .

*Proof.* Theorem 3.1 is half of the corollary. The other half follows from Theorem 2 in de Bruijn [2, Part II].

#### 4. AN EXPLICIT EXAMPLE

In this section we explicitly describe an infinite set of integers, each of which has many factorizations.

THEOREM 4.1. *Let  $x$  be large and let*

$$\varepsilon = \frac{1}{\log_2 x} \left( \log_3 x + \log_4 x + \frac{\log_4 x}{\log_3 x} \right),$$

$$t = (1 + \varepsilon \log_2^2 x)^{1/\varepsilon}, \quad k = \log x / \log_2^2 x,$$

$$n = \prod_{p < t} p^{1kp^{\varepsilon-1}}.$$

Then there is an absolute constant  $C$  such that

$$f(n) \geq n \cdot \exp \left\{ -\frac{\log n}{\log_2 n} \left( \log_3 n + \log_4 n + \frac{\log_4 n - 1}{\log_3 n} + C \frac{\log_4 n}{\log_3^2 n} \right) \right\}.$$

*Proof.* We first show that  $\log n$  cannot be too much bigger than  $\log x$ . In fact, we show

$$\log n \leq \log x + O(\log x / \log_2^2 x). \quad (4.1)$$

To see this, note that

$$\log n \leq \sum_{p \leq t} kp^{\varepsilon-1} \log p. \quad (4.2)$$

Now if we let  $\pi(s) = li(s) + \Delta(s)$ , then

$$\begin{aligned} \sum_{p \leq t} p^{\varepsilon-1} \log p &= \int_{2^-}^t s^{\varepsilon-1} \log s \, d\pi(s) \\ &= \int_2^t s^{\varepsilon-1} \, ds + \int_{2^-}^t s^{\varepsilon-1} \log s \, d\Delta(s). \end{aligned} \quad (4.3)$$

We shall show that the last integral in (4.3) is  $O(1)$ . First note that

$$\begin{aligned} \log t &= \frac{1}{\varepsilon} \left( \log \varepsilon + 2 \log_3 x + O \left( \frac{1}{\log_2 x \log_3 x} \right) \right) \\ &= \frac{\log_2 x}{\log_3 x + \log_4 x + \log_4 x / \log_3 x} \\ &\quad \times \left( \log_3 x + \log_4 x + \frac{\log_4 x}{\log_3 x} - \frac{\log_4^2 x}{2 \log_3^2 x} + O \left( \frac{\log_4 x}{\log_3^2 x} \right) \right) \\ &= \log_2 x \left( 1 - \frac{\log_4^2 x}{2 \log_3^2 x} + O \left( \frac{\log_4 x}{\log_3^2 x} \right) \right). \end{aligned} \quad (4.4)$$

With this estimate and the fact that  $t^\varepsilon \sim \log_2 x \log_3 x$ , we have for  $2 \leq s \leq t$  and  $t$  large,

$$s^\varepsilon = (\log s)^{\varepsilon \log s / \log \log s} \leq (\log s)^{\varepsilon \log t / \log \log t} = (\log s)^{1+o(1)}.$$

Also using  $|\Delta(s)| \leq s / \log^4 s$ , we have

$$\begin{aligned} \int_{2^-}^t s^{\varepsilon-1} \log s \, d\Delta(s) &= t^{\varepsilon-1} \log t \, \Delta(t) - 2^{\varepsilon-1} \log 2 \, \Delta(2) \\ &\quad - \int_2^t s^{\varepsilon-2} ((\varepsilon-1) \log s + 1) \Delta(s) \, ds \\ &= O(1) + O \left( \int_2^t \frac{s^\varepsilon}{s \log^3 s} \, ds \right) \\ &= O \left( \int_2^t \frac{1}{s \log^{3/2} s} \, ds \right) \\ &= O(1). \end{aligned} \quad (4.5)$$



Using (4.5) in (4.3) we have

$$\begin{aligned}
 \sum_{p \leq t} p^{\varepsilon-1} \log p &= \int_2^t s^{\varepsilon-1} ds + O(1) \\
 &= \frac{1}{\varepsilon} t^{\varepsilon} - \frac{1}{\varepsilon} 2^{\varepsilon} + O(1) \\
 &= \frac{1}{\varepsilon} (t^{\varepsilon} - 1) + O(1) \\
 &= \log_2^2 x + O(1).
 \end{aligned} \tag{4.6}$$

Thus (4.1) follows from (4.2) and (4.6).

Recall that the Piltz divisor function  $d_l(n)$  counts the number of factorizations of  $n$  into exactly  $l$  positive factors, where 1 is allowed as a factor and different permutations of a single factorization count separately. It is easily shown that  $d_l(n)$  is multiplicative and that

$$d_l(p^a) = \binom{l+a-1}{a-1}.$$

Moreover, we evidently have for any choice of  $l$  that

$$f(n) \geq d_l(n)/l!.$$

Thus

$$\begin{aligned}
 \log f(n) &\geq \log d_{|k|}(n) - \log[k]! \\
 &= \sum_{p \leq t} \log \binom{[k] + [kp^{\varepsilon-1}] - 1}{[kp^{\varepsilon-1}] - 1} - \log[k]!.
 \end{aligned} \tag{4.7}$$

Now if  $a, b \geq 2$ , then

$$\log \binom{[a] + [b] - 1}{[b] - 1} = (a+b) \log(a+b) - a \log a - b \log b + O(\log(a+b))$$

so that

$$\begin{aligned}
 &\log \binom{[k] + [kp^{\varepsilon-1}] - 1}{[kp^{\varepsilon-1}] - 1} \\
 &= k(1 + p^{\varepsilon-1})(\log k + \log(1 + p^{\varepsilon-1})) - k \log k \\
 &\quad - kp^{\varepsilon-1}(\log k + (\varepsilon - 1) \log p) + O(\log k) \\
 &= k(1 + p^{\varepsilon-1}) \log(1 + p^{\varepsilon-1}) + k(1 - \varepsilon) p^{\varepsilon-1} \log p + O(\log k).
 \end{aligned} \tag{4.8}$$

Now

$$\begin{aligned}
\sum_{p < t} (1 + p^{\varepsilon-1}) \log(1 + p^{\varepsilon-1}) &= \sum_{p < t} p^{\varepsilon-1} + O(1) \\
&= \int_{2^-}^t s^{\varepsilon-1} d\pi(s) + O(1) \\
&= \int_2^t \frac{s^{\varepsilon-1}}{\log s} ds + \int_{2^-}^t s^{\varepsilon-1} d\Delta(s) + O(1). \quad (4.9)
\end{aligned}$$

The last integral is

$$\begin{aligned}
t^{\varepsilon-1} \Delta(t) - 2^{\varepsilon-1} \Delta(2) - \int_2^t (\varepsilon - 1) s^{\varepsilon-2} \Delta(s) ds \\
= O(1) + O\left(\int_2^t \frac{s^\varepsilon}{s \log^4 s} ds\right) = O(1)
\end{aligned}$$

by (4.5). Also

$$\begin{aligned}
\int_2^t \frac{s^{\varepsilon-1}}{\log s} ds &= \int_{2^\varepsilon}^{t^\varepsilon} \frac{du}{\log u} - \text{li}(t^\varepsilon) + O\left(\int_{2^\varepsilon}^2 \frac{du}{\log u}\right) \\
&= \frac{t^\varepsilon}{\varepsilon \log t - 1} \left(1 + O\left(\frac{1}{\varepsilon^2 \log^2 t}\right)\right) + O(|\log \varepsilon|).
\end{aligned}$$

Thus using (4.4), we have

$$\begin{aligned}
\sum_{p < t} (1 + p^{\varepsilon-1}) \log(1 + p^{\varepsilon-1}) \\
&= \frac{\log_2 x (\log_3 x + \log_4 x + \log_4 x / \log_3 x) (1 + O(1/\log_3^2 x))}{\log_3 x + \log_4 x + \log_4 x / \log_3 x - 1 + O(\log_4^2 x / \log_3^2 x)} \\
&= \log_2 x \left(1 + \frac{1}{\log_3 x} + O\left(\frac{\log_4 x}{\log_3^2 x}\right)\right) \left(1 + O\left(\frac{1}{\log_3^2 x}\right)\right) \\
&= \log_2 x \left(1 + \frac{1}{\log_3 x} + O\left(\frac{\log_4 x}{\log_3^2 x}\right)\right). \quad (4.10)
\end{aligned}$$

Thus from (4.1), (4.6)–(4.8), and (4.10), we have

$$\begin{aligned}
\log f(n) &\geq \frac{\log x}{\log_2 x} \left(1 + \frac{1}{\log_3 x} + O\left(\frac{\log_4 x}{\log_3^2 x}\right)\right) \\
&\quad + \log x - \frac{\log x}{\log_2 x} \left(\log_3 x + \log_4 x + \frac{\log_4 x}{\log_3 x}\right) + O\left(\frac{\log x}{\log_2^2 x}\right)
\end{aligned}$$

$$\begin{aligned}
& -\frac{\log x}{\log_2^2 x} (\log_2 x + O(\log_3 x)) \\
&= \log x - \frac{\log x}{\log_2 x} \left( \log_3 x + \log_4 x + \frac{\log_4 x - 1}{\log_3 x} + O\left(\frac{\log_4 x}{\log_3^2 x}\right) \right) \\
&\geq \log n - \frac{\log n}{\log_2 n} \left( \log_3 n + \log_4 n + \frac{\log_4 n - 1}{\log_3 n} + O\left(\frac{\log_4 n}{\log_3^2 n}\right) \right),
\end{aligned}$$

which proves the theorem.

### 5. AN UPPER BOUND FOR $f(n)$

In this section, to get an upper bound for  $f(n)$ , we employ a formula of MacMahon and a method that Rankin and de Bruijn used to get upper bounds for  $\Psi(x, y)$ .

**THEOREM 5.1.** *There is a constant  $C$  such that for all large  $n$*

$$f(n) \leq n \cdot \exp \left\{ -\frac{\log n}{\log_2 n} \left( \log_3 n + \log_4 n + \frac{\log_4 n - 1}{\log_3 n} + C \frac{\log_4^2 n}{\log_3^2 n} \right) \right\}.$$

*Proof.* Since  $f(n)$  depends only on the array of exponents in the prime factorization of  $n$  and not on the choice of the primes themselves, to prove the theorem it is sufficient to consider only integers  $n$  that are divisible by all the primes up to some point. Let  $l(n) = \log n + \log n / \log_2^{10} n$ . Since

$$\sum_{p \leq l(n)} \log p > \log n$$

for all large  $n$ , we may assume  $P(n) \leq l(n)$ . From (1.1) we have for any choice of  $c > 0$ ,

$$f(n) \leq n^c \sum_{P(m) \leq l(n)} f(m) / m^c = n^c \prod_{\substack{P(m) \leq l(n) \\ m > 1}} (1 - m^{-c})^{-1}. \quad (5.1)$$

We shall choose

$$c = 1 - \frac{1}{\log_2 n} \left( \log_3 n + \log_4 n + \frac{\log_4 n - 1}{\log_3 n} - \frac{\log_4^2 n}{\log_3^2 n} \right).$$

Thus to prove the theorem it is sufficient to show that

$$A \stackrel{\text{def}}{=} \log \prod_{\substack{P(m) \leq l(n) \\ m > 1}} (1 - m^{-c})^{-1} = O\left(\frac{\log n}{\log_2 n} \cdot \frac{\log_4^2 n}{\log_3^2 n}\right). \quad (5.2)$$

Now

$$A = \sum_{P(m) \leq l(n)} m^{-c} + O(1) = \prod_{p \leq l(n)} (1 - p^{-c})^{-1} + O(1),$$

and

$$B \stackrel{\text{def}}{=} \log \prod_{p \leq l(n)} (1 - p^{-c})^{-1} = \sum_{p \leq l(n)} p^{-c} + O(1).$$

By an argument similar to (4.9) and the subsequent calculations we have

$$\begin{aligned} B &= \frac{l(n)^{1-c}}{(1-c) \log l(n) - 1} \{1 + O((1-c)^{-2} \log^{-2} l(n))\} + O(|\log(1-c)|) \\ &= \frac{\exp\{\log_3 n + \log_4 n + ((\log_4 n - 1)/\log_3 n) - (\log_4^2 n / \log_3^2 n)\}}{\log_3 n + \log_4 n - 1 + ((\log_4 n - 1)/\log_3 n) - (\log_4^2 n / \log_3^2 n)} \\ &\quad \times \left\{1 + O\left(\frac{1}{\log_3^2 n}\right)\right\} \\ &= \log_2 n \left[ \exp\left\{\frac{\log_4 n - 1}{\log_3 n} - \frac{\log_4^2 n}{\log_3^2 n}\right\} / \left(1 + \frac{\log_4 n - 1}{\log_3 n} + O\left(\frac{\log_4 n}{\log_3^2 n}\right)\right) \right] \\ &= \log_2 n \left\{1 - \frac{\log_4^2 n}{2 \log_3^2 n} + O\left(\frac{\log_4 n}{\log_3^2 n}\right)\right\} \\ &< \log_2 n - \log_2^{1/2} n, \end{aligned}$$

for all large  $n$ . Thus

$$A = e^B + O(1) \leq (\log n) e^{-\log_2^{1/2} n} + O(1) = o\left(\frac{\log n \log_4^2 n}{\log_2 n \log_3^2 n}\right)$$

which establishes (5.2) and thus the theorem.

## 6. THE LARGEST PRIME FACTOR OF A HIGHLY FACTORABLE NUMBER AND OTHER PROBLEMS

If  $n$  is highly factorable (that is,  $f(m) < f(n)$  for all  $m$ ,  $1 \leq m < n$ ) and  $n$  is large, then we saw in the proof of Theorem 5.1 that  $P(n) < \log n +$

$\log n / \log_2^{10} n$ . In this section we use Theorem 2.1 and the method of Theorem 5.1 to show that for each  $\delta > 0$  we have  $P(n) > (\log n)^{1-\delta}$  for all sufficiently large highly factorable numbers  $n$ .

**THEOREM 6.1.** *For all large highly factorable numbers  $n$  we have*

$$P(n) > (\log n)^{1-(\log_3 n)^{-2}} \quad (6.1)$$

*Proof.* Our strategy is to get an upper bound result for  $f(n)$  for those  $n$  which do not satisfy (6.1). This upper bound will be smaller than our lower bound result for highly factorable numbers (Theorem 2.1). We then conclude that these  $n$  are not highly factorable.

Let  $l(n) = (\log n)^{1-(\log_3 n)^{-2}}$ . If  $P(n) < l(n)$ , then the argument of (5.1) shows that

$$f(n) \leq n^c \prod_{\substack{P(m) \leq l(n) \\ m > 1}} (1 - m^{-c})^{-1}$$

for any  $c > 0$ . We shall choose

$$c = 1 - \frac{1}{\log_2 n} \left( \log_3 n + \log_4 n + \frac{\log_4 n}{\log_3 n} - \frac{1}{2 \log_3 n} \right).$$

It thus follows from the proof of Theorem 2.1 (by applying (2.1) with  $x$  replaced by  $n$ ) that  $n$  will not be highly factorable if

$$A \stackrel{\text{def}}{=} \log \prod_{\substack{P(m) \leq l(n) \\ m > 1}} (1 - m^{-c})^{-1} = o \left( \frac{\log n}{\log_2 n \log_3 n} \right). \quad (6.2)$$

As in Section 5 we may argue that

$$\log A = \frac{l(n)^{1-c}}{(1-c) \log l(n) - 1} (1 + O((1-c)^{-2} \log^{-2} l(n))) + O(|\log(1-c)|). \quad (6.3)$$

Now

$$(1-c) \log l(n) = \left( 1 - \frac{1}{\log_3^2 n} \right) \left( \log_3 n + \log_4 n + \frac{\log_4 n}{\log_3 n} - \frac{1}{2 \log_3 n} \right),$$

so that

$$l(n)^{1-c} = \frac{\log_2 n \log_3 n (1 + \log_4 n / \log_3 n + 1/2 \log_3 n + O(\log_4^2 n / \log_3^2 n))}{(1 + 1/\log_3 n + O(\log_4 n / \log_3^2 n))}.$$

Thus from (6.3) we have

$$\begin{aligned} \log A &= \frac{\log_2 n (\log_3 n + \log_4 n - \frac{1}{2} + O(\log_4^2 n / \log_3 n))}{\log_3 n + \log_4 n + O(\log_4 n / \log_3 n)} \left( 1 + O\left(\frac{1}{\log_3^2 n}\right) \right) \\ &= \log_2 n \left( 1 - \frac{1}{2 \log_3 n} + O\left(\frac{\log_4 n}{\log_3^2 n}\right) \right), \end{aligned}$$

which gives (6.2).

The following lemma will help us prove that  $P(n) \parallel n$  if  $n$  is a large highly factorable number.

**LEMMA.** *Suppose  $p, q$  are primes and  $n$  is an integer with  $p^2 \mid n$ ,  $p^2 \neq n$ ,  $q \nmid n$ . Then  $f(qn/p) \geq \frac{5}{3}f(n)$ .*

*Proof.* Let  $\mathcal{F}(n)$  denote the set of factorizations of  $n$ . Thus an element  $\varphi \in \mathcal{F}(n)$  is a multiset of integers exceeding 1 whose product is  $n$ . If  $\varphi \in \mathcal{F}(n)$ , let  $|\varphi|_p$  denote the number of unequal factors in  $\varphi$  which are multiples of  $p$ . For example, if  $p = 2$  and  $\varphi = \{4, 4, 6, 11\}$  is a factorization of 1056, then  $|\varphi|_2 = 2$ .

Given  $\varphi \in \mathcal{F}(n)$  we can transform  $\varphi$  into a factorization of  $qn/p$  by changing one  $p$  to a  $q$ . Thus  $\varphi$  corresponds to  $|\varphi|_p$  different factorizations of  $qn/p$ . Moreover, every factorization of  $qn/p$  arises in exactly one way in this fashion. Thus

$$f(qn/p) = \sum_{\varphi \in \mathcal{F}(n)} |\varphi|_p.$$

Let  $f_p(n) = \#\{\varphi \in \mathcal{F}(n) : |\varphi|_p = 1\}$ . Thus

$$\begin{aligned} f(qn/p) &= f_p(n) + \sum_{\substack{\varphi \in \mathcal{F}(n) \\ |\varphi|_p \geq 2}} |\varphi|_p \geq f_p(n) + \sum_{\substack{\varphi \in \mathcal{F}(n) \\ |\varphi|_p \geq 2}} 2 \\ &= 2f(n) - f_p(n). \end{aligned} \tag{6.4}$$

Hence to prove the lemma it suffices to show  $f_p(n) \leq \frac{1}{3}f(n)$ .

Say  $p^k \parallel n$ . If  $\varphi \in \mathcal{F}(n)$  and  $|\varphi|_p = 1$ , then for some  $j \mid k$  and some  $d$ ,  $\varphi$  contains  $k/j$  copies of the factor  $p^j d$ . Let  $A, B, C, D$  respectively denote the number of  $\varphi \in \mathcal{F}(n)$  with  $|\varphi|_p = 1$  and

- for  $A$ :  $\varphi$  contains  $k/j$  copies of  $p^j d$ , where  $j > 1$  and  $p^k d \neq p^2$ ,
- for  $B$ :  $\varphi$  contains  $k$  copies of  $pd$ , where  $p^k d \neq p^2$ ,
- for  $C$ :  $\varphi$  contains  $p^2$ ,
- for  $D$ :  $\varphi$  contains two copies of  $p$ .

Then  $A + B + C + D = f_p(n)$ . Note that  $C = D = 0$  unless  $k = 2$ . We now show that each of  $B, C, D$  is at most  $A$ .

If  $\varphi \in \mathcal{E}(n)$  is counted by  $B$ , we can let  $\varphi' \in \mathcal{E}(n)$  be the same factorization except that the  $pd$ 's are consolidated into one factor  $p^k d^k$ . Then  $\varphi'$  is counted by  $A$  and the mapping  $\varphi \rightarrow \varphi'$  is one to one, so  $B \leq A$ .

Suppose now  $k = 2$  so that  $C, D > 0$ . Each type  $C$  factorization can have the  $p^2$  consolidated with one of the other factors in  $\varphi$  (using  $n \neq p^2$ ) to form a type  $A$  factorization. Thus  $C \leq A$ . Obviously  $C = D$ , so  $D \leq A$  as well.

We now show that  $A \leq f(n) - f_p(n)$ . Indeed, if  $\varphi \in \mathcal{E}(n)$  is counted by  $A$ , we let  $\varphi' \in \mathcal{E}(n)$  be the same factorization except that one of the factors  $p^j d$  is split into  $p, p^{j-1}d$ . It is evident that the mapping  $\varphi \rightarrow \varphi'$  is one to one. Moreover,  $|\varphi'|_p \geq 2$ . For if  $p = p^{j-1}d$ , then  $p^j d = p^2$  occurs at least twice in  $\varphi$  (if not, then  $\varphi$  would be a type  $C$  factorization), so that  $p^2$  occurs at least once in  $\varphi'$ .

Thus

$$f_p(n) = A + B + C + D \leq 4A \leq 4f(n) - 4f_p(n),$$

so that  $f_p(n) \leq \frac{4}{3}f(n)$  and  $f(qn/p) \geq \frac{5}{3}f(n)$  from (6.4).

**THEOREM 6.2.** *There is an  $\varepsilon > 0$  such that if  $n$  is a large highly factorable number and  $(1 - \varepsilon)P(n) < p \leq P(n)$ , then  $p \parallel n$ .*

*Proof.* Say  $n$  is a large highly factorable number with the prime factorization

$$n = p_1^{a_1} p_2^{a_2} \cdots p_t^{a_t}.$$

Say for some  $p_s, (1 - \varepsilon)p_t < p_s \leq p_t$ , we have  $a_s \geq 2$ . Let  $k = [6 \log_2 n]$  and let

$$\gamma_k = \frac{p_{t+1} p_{t+2} \cdots p_{t+k}}{p_s p_{s-1} \cdots p_{s-k+1}}.$$

We now estimate  $\gamma_k$ . From Theorem 6.1, we have  $p_t > (\log n)^{1-\delta}$ , where  $\delta > 0$  is small. Thus from the prime number theorem with error term, we have

$$p_{t+k} < p_t(1 + 1/\log_2 n), \quad p_{s-k} > (1 - \varepsilon - 1/\log_2 n)p_t.$$

Thus

$$\begin{aligned} \log \gamma_k &< k(\log(1 + 1/\log_2 n) - \log(1 - \varepsilon - 1/\log_2 n)) \\ &= k(-\log(1 - \varepsilon) + O(1/\log_2 n)) \\ &\leq -6 \log(1 - \varepsilon) \log_2 n + O(1). \end{aligned}$$

We now choose  $\varepsilon = \frac{1}{7}$ . Thus for large  $n$  we have

$$\gamma_k < (\log n)^{13/14} < (1 - \varepsilon) p_t < p_s.$$

Thus the integer  $n' = n\gamma_k/p_s$  is smaller than  $n$ .

We now show  $f(n') > f(n)$ , thus contradicting the choice of  $n$  as a highly factorable number. Indeed, using the lemma  $k$  times we have

$$f(n\gamma_k) \geq \left(\frac{6}{5}\right)^k f(n).$$

Also, if  $|\varphi|$  denotes the number of unequal factors in the factorization  $\varphi$ , we have, using the notation of the lemma,

$$\begin{aligned} f(n\gamma_k) &\leq \sum_{\varphi \in \mathcal{E}(n')} (|\varphi| + 1) \\ &\leq f(n') \cdot \max\{|\varphi| + 1 : \varphi \in \mathcal{E}(n')\} \\ &< f(n') \cdot \left(\frac{\log n}{\log 2} + 1\right). \end{aligned}$$

Thus

$$f(n') > \left(\frac{\log n}{\log 2} + 1\right)^{-1} f(n\gamma_k) \geq \left(\frac{\log n}{\log 2} + 1\right)^{-1} \left(\frac{6}{5}\right)^{6 \log_2 n} f(n) > f(n).$$

This contradiction proves the theorem.

*Remark.* Our proof has us taking  $\varepsilon = \frac{1}{7}$ . Being a little more careful, we could actually choose any  $\varepsilon < \frac{1}{6}$ . Proving a better lemma will allow even larger choices for  $\varepsilon$ . Indeed, with more effort it is possible to replace the  $\frac{6}{5}$  of the lemma with  $2 - \delta$ , where  $\delta > 0$  is arbitrarily small, provided we assume  $m$  has many prime factors. With such an improved lemma, we could then prove Theorem 6.2 for any  $\varepsilon < \frac{1}{2}$ . We conjecture that this result is best possible, that is, that asymptotically 50% of the primes in a highly factorable number appear with exponent one.

We next might ask how many primes, if any, appear with exponent 2, 3, etc. We can prove that if  $p^2 | m$ ,  $q | m$ , then  $f(qm) > (\frac{3}{2} - \delta) f(pm)$  provided  $m$  has many prime factors. If our conjecture that asymptotically  $\frac{1}{2}$  of the exponents are 1 is correct, then we can argue similarly as in Theorem 6.2 to show that there are asymptotically at least (and we conjecture at most)  $\frac{1}{6}$  of the exponents equal to 2. Continuing with such a chain of conjectures, we conjecture that for each fixed  $k$  there are asymptotically exactly  $1/k(k+1)$  of the exponents equal to  $k$ . Note that numbers of the form  $n!$  also have this property. Also note that in Table I there are many numbers of the form  $n!$  which are highly factorable, namely for  $n = 1, 4, 5, 6, 7, 8, 9, 10, 11, 12$ .



However, this is only a temporary phenomenon; that is, if  $n$  is sufficiently large, then  $n!$  is not highly factorable. We know this, since we can show, using inequality (1.52) in Oppenheim [9], that

$$\log f(n!) = n \log n - (1 + o(1)) n \log_2^2 n,$$

while if  $n!$  were highly factorable, then we would have

$$\log f(n!) = n \log n - (1 + o(1)) n \log_2 n.$$

It is somewhat a mystery to us why  $n!$  has so few factorizations. Indeed if  $m$  is the product of the primes up to  $n \log n - 2n$ , then  $m < n!$ ,  $m$  is of course square-free, and yet  $m$  has far more factorizations than  $n!$  (if  $n$  is large). Probably the "fault" with  $n!$  is that the exponents on the small primes are wastefully large. Another possibility is that our conjecture above that a large highly factorable number has asymptotically  $1/k(k+1)$  of the exponents equal to  $k$  is wrong.

We now mention a few additional problems.

(1) From Table I we see that if  $n$  is highly factorable and  $4 < n < 10^9$ , then there is a prime  $p$  with  $n/p$  highly factorable. Does this remain true for all highly factorable numbers  $n > 4$ ? For infinitely many? See Robin [16] for examples of highly composite numbers  $n$  such that  $n/p$  is not highly composite for all primes  $p$ .

(2) Let  $N(x)$  denote the number of highly factorable numbers  $n \leq x$ . It is easy to see that  $N(x) \gg \log x$  since if  $n > 1$  is highly factorable and if  $n'$  is the next highly factorable number, then  $n' \leq 2n$ . Does  $\log N(x)/\log \log x$  tend to a limit larger than 1? Can it at least be shown that there are quantities  $\alpha, \beta$  with  $1 < \alpha \leq \beta < \infty$  such that

$$\alpha < \log N(x)/\log \log x < \beta$$

for all large  $x$ ?

(3) If  $n, n'$  are consecutive highly factorable numbers, does  $n'/n \rightarrow 1$ ? Does  $f(n')/f(n) \rightarrow 1$ ?

(4) Find asymptotic formulas for the exponents on the small primes of a highly factorable number.

(5) A highly factorable number is a "champion" for the function  $f(n)$ . What do the champions for  $f_0(n)$  or  $F(n)$  look like? What is the maximal order of  $F(n)$ ? Some work has been done on this: see Erdős [3], Evans [4], Hille [7], and Kalmár [9].

## 7. CALCULATION OF TABLE I.

In this section, we describe the algorithm used to determine the values displayed in Table I. If  $n = \prod p_i^{a_i}$  (with  $p_1 = 2$ ,  $p_2 = 3$ , etc.) is highly factorable, then we must have  $a_1 \geq a_2 \geq \dots$ . We calculated (by computer)  $f(n)$  for each of the 1274 values of  $n \leq 10^9$  whose prime exponents are monotone nonincreasing. Table I shows the 118 numbers found to be highly factorable. We have suppressed the values of  $f(n)$  for  $n$  not highly factorable. We shall gladly send these values to any interested reader. (Knowing  $f(n)$  for  $n$  satisfying  $a_1 \geq a_2 \geq \dots$  and  $n \leq 10^9$  allows one to readily determine  $f(n)$  for any  $n \leq 10^9$  and for infinitely many other  $n$ .)

The computational problem then is how to determine the number of partitions of a multiset  $\mathcal{M}$  having  $a_i$  copies of  $i$ , for  $1 \leq i \leq k$ . Our solution is to systematically generate each such partition, and count them in the process. To make the generation process systematic, we impose the structure of a rooted tree on the collection of all partitions of  $\mathcal{M}$ . The partitions are then enumerated by a standard tree-traversal algorithm of computer science called "preorder traversal"; for a description of this algorithm, see, for example, [10, p. 334]. Thus, our algorithm is specified by describing how the tree structure is imposed.

First, if  $B_1$  and  $B_2$  are submultisets of  $\mathcal{M}$  let us write " $B_1 \geq B_2$ " to mean that  $B_1$  is lexicographically larger than  $B_2$ , where  $B_1$  and  $B_2$  themselves are written with their elements in decreasing order. We agree to always write a partition  $\pi$  of  $\mathcal{M}$  with the blocks in order

$$\pi = (B_1, B_2, \dots, B_l), \quad B_1 \geq B_2 \geq \dots \geq B_l.$$

In the case where  $\mathcal{M}$  contains simply  $a_1$  copies of 1, a partition is the usual notion of "numerical partition of the integer  $a_1$ ," and the above convention agrees with the traditional way of writing numerical partitions.

Now let  $\pi = (B_1, B_2, \dots, B_l)$  and  $\pi' = (B'_1, B'_2, \dots, B'_{l-1})$  be two partitions of  $\mathcal{M}$  with  $l$  and  $l-1$  blocks, respectively. Let us say that  $\pi'$  is an immediate offspring of  $\pi$  (or that  $\pi$  is the parent of  $\pi'$ ) provided these conditions are met: for some  $j < l$ ,

- (i)  $B'_i = B_i$  for all  $i < j$ ,
- (ii) each of  $B'_i$  and  $B_i$  contains exactly one element for all  $i > j$ ,
- (iii)  $B'_j = B_j \cup B_k$  for some  $k > j$ , and the unique element of  $B_k$  is the smallest element of  $B'_j$ .

We check that with this definition every partition has a unique parent with one exception, namely the partition whose every block contains one element. This latter partition is the root of our tree. Finding a partition's parent is simple: with the blocks written in lexicographically decreasing order, remove

the smallest element from the rightmost nonsingleton block and let it become a singleton. Thus, for example, with  $\mathcal{A} = \{3, 3, 3, 3, 2, 2, 2, 1, 1, 1\}$  the unique path from  $\pi$  to the root is given as follows:

$$\begin{aligned} \pi &= \{3, 3, 1\} \{3, 2, 1\} \{3, 2\} \{2, 1\} \\ &\quad \{3, 3, 1\} \{3, 2, 1\} \{3, 2\} \{2\} \{1\} \\ &\quad \{3, 3, 1\} \{3, 2, 1\} \{3\} \{2\} \{2\} \{1\} \\ &\quad \{3, 3, 1\} \{3, 2\} \{3\} \{2\} \{2\} \{1\} \{1\} \\ &\quad \{3, 3, 1\} \{3\} \{3\} \{2\} \{2\} \{2\} \{1\} \{1\} \\ &\quad \{3, 3\} \{3\} \{3\} \{2\} \{2\} \{2\} \{1\} \{1\} \{1\} \\ &\quad \{3\} \{3\} \{3\} \{3\} \{2\} \{2\} \{2\} \{1\} \{1\} \{1\}. \end{aligned}$$

In [12], MacMahon presents a table of values of  $f(n)$  for those  $n$  which divide one of  $2^{10} \cdot 3^8$ ,  $2^{10} \cdot 3 \cdot 5$ ,  $2^9 \cdot 3^2 \cdot 5$ ,  $2^8 \cdot 3^3 \cdot 5$ ,  $2^6 \cdot 3^2 \cdot 5^2$ ,  $2^5 \cdot 3^3 \cdot 5^2$ . There are four values of  $f(n)$  which disagree with our computations. We double-checked our computations for these numbers by a different algorithm and have come to the conclusion that MacMahon's figures are in error. Specifically

$$\begin{aligned} f(2^{10} \cdot 3^5) &= 3804, & \text{not } 3737, \\ f(2^9 \cdot 3^8) &= 13715, & \text{not } 13748, \\ f(2^{10} \cdot 3^8) &= 21893, & \text{not } 21938, \\ f(2^4 \cdot 3 \cdot 5) &= 38, & \text{not } 28. \end{aligned}$$

The latter two discrepancies could have been typographical errors. MacMahon does not state how he prepared his table. He states as his "Cardinal Theorem" a formula for the generating function

$$\sum_{n_1=0}^{\infty} f(2^{n_1} 3^{n_2} \dots p_s^{n_s}) x^{n_1}$$

(the exponent on 2 is variable, all others fixed). The formula involves a summation over all factorizations of  $3^{n_2} \dots p_s^{n_s}$ , so it is probably not a better means of enumeration than what we have done.

#### ACKNOWLEDGMENTS

We take this opportunity to thank P. T. Bateman for his numerous suggested improvements and K. K. Norton who informed us of [5].

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