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Last Name	First Name and Middle Initial	*Annual/Report		
FEINSTEIN	DIANNE	Celendar Year Covered by Report 2012	Senate Office / Agency in Which Employed UNITED STATES SENATE	=
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senste Office Telephone Number (Include Area Co		 	
331 Hart, Senate Bldg. Washington DC 20519	(2002) 224-3841	Termination Date (mm/dd/yy)	Prior Office / Agency in Which Employed	
AFTER READING THE INSTRUCT	IONS - ANSWER EACH O	F THESE QUESTIONS A	ND ATTACH THE RELEV	ANT PART
	YES NO	STATES AND STATES	(1) 10 10 10 10 10 10 10 10 10 10 10 10 10	YES NO
Did any individual or organization make a donation to paying you for a speech, appearance, or article in the If Yes, complete and attach PART I.	reporting period?	Did you, your spouse, or dependen reimbursements for travel in the rep \$350 from one source)? If Yes, complete and attach PART	at child receive any reportable travel or porting period (i.e., worth more than VI.	
Did you or your spouse have earned income (e.g., sale investment income of more than \$200 from any report reporting period? If Yes, complete and attach PART II.	able source in the	Did you, your spouse, or dependen (more than \$10,000) during the rep If Yes, complete and attach PART	at child have any reportable liability orting period?	\boxtimes
Did you, your spouse, or dependent child hold any rep more than \$1,000 at the end of the period, or receive to investment income of more than \$200 in the reporting If Yes, complete & attach PART IIIA and/or IIIB.	ineamed or	Did you hold any reportable positio current calendar year? If Yes, complete and attach PART	ns on or before the date of filing in the	
Did you, your spouse, or dependent child purchase, se reportable asset worth more than \$1,000 in the reportion of Yes, complete and attach PART IV.	ng period?	Do you have any reportable agreer entity? If Yes, complete and attach PART	ment or arrangement with an outside	
Did you, your spouse, or dependent child receive any reporting period (i.e., aggregating more than \$350 and exempt)? If Yes, complete and attach PART V.	reportable gift in the not otherwise	If this is your FIRST Report: Did you \$5,000 from a single source in the If Yes, complete and attach PART.	ou receive compensation of more than two prior years? X.	
Each guestion must b	e answered and the appro	priate PART attached fo	or each #YES" response.	
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Reporting Individual's Name	PART II. EARNED AND NON-INVESTMENT INCOME	Page Number
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Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, St	ate) Type of Income	Amount
Ехап	mple JP Computers	Wash., DC Example	Salary Example	\$15,000
_	MCI (Spouse)	Arlington, VA Example	Salary Example	Over \$1,000
1	City & County of San Francisco	San Francisco, CA	Pension	\$54,925
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Reporting Individual's Name
DIANNE FEINSTEIN

PART II. EARNED AND NON-INVESTMENT INCOME

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Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

		Name of Income Source	Address (City, State)	Type of Income	Amount
Exam	nple:	JP Computers	Wash., DC Example	Salary Example	\$15,000
	.,	MCI (Spouse)	Arlington, VA Example	Salary Example	Over \$1,000
1 B	Blum C	Capital Partners, L.P. (Spouse)	San Francisco, CA	Salary	Over \$1,000
2 P	Pacific	Life Insurance Company (Spouse)	Newport Beach, CA	Retirement Plan	Over \$1,000
3 D	Delta A	Air Lines, Inc. (Spouse)	Atlanta, GA	Travel Benefits Received	\$400
	_	Concord Egyptian Fund (Spouse)	St. Peter Port, Guernsey	Director's Fees	Over \$1,000
5 C	BRE former	Group, Inc. rly CB Richard Ellis Group, Inc.)	El Segundo, CA	Director's Fees	Over \$1,000
6 C	Coral C	Growth Investments Limited (Spouse)	St. Peter Port, Guernsey	Director's Fees	Over \$1,000
7 Fi	idelity	Investments (Spouse)	San Francisco, CA	Retirement Plan	Over \$1,000
8 Li	.incoln	Life Insurance	Lincoln, NE	Retirement Plan	\$340
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Reporting Individ	dual's Name FEINSTEIN	PA	RT	IIIA		PUB	LIC	LY	TF	AE									ARNE	ΞD	IN	CO	ME	S	οu	RC	ES		Page	Number 4
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Reporting Individual's Name PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number **DIANNE FEINSTEIN** (Assets owned by spouse through BK Capital Partners IV, L.P. (continuation)) 9 BLOCK A **BLOCK B** BLOCK C **Identity of Publicly Traded Assets** Valuation of Assets Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This If None, or less than \$1,001, Report the complete name of each publicly includes income received or accrued to the benefit of the individual. Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the Excepted Investment Fund less than \$1,001) \$25,000,001 - \$50,000,000 close of the reporting period; and/or \$5,000,001 - \$25,000,000 Actual \$500,0001 = \$1,000,000 \$1,000,001 - \$5,000,000 \$201) \$1,000,001 - \$5,000,000 Other (2) generated over \$200 in "unearned" Qualified Blind Trust Amount \$100,001 - \$1,000,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$15,001-\$50,000 income during the reporting period. \$50,001 - \$100,000 Over \$1,000,000*** \$50,001-\$100,000 Over \$1,000,000*** Dividends Over \$50,000,000 \$15,001 - \$50,000 Required \$1,001 - \$15,000 Include on this PART IIIA a complete \$5,001 - \$15,000 Over \$5,000,000 (Specify \$1,001=\$2,500 \$2,501 - \$5,000 **Excepted Trust** identification of each public bond, mutual \$201 - \$1,000 Capital Gains Type) "Other" Interest 🧦 fund, publicly traded partnership interest. Specified excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan. IBM Corp. (stock) X Example X Example Example: DC, (S) Keystone Fund X X X X Example Example Collective Brands, Inc. Common Stock X Avid Technology, Inc. Common Stock eResearch Technology, Inc. Common Stock Χ CBRE Group, Inc. Common Stock Electronics for Imaging, Inc. Common Stock Χ

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Reporting Individual's Name PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by spouse through Stinson Capital Partners (QP), L.P.) 15 BLOCK A **BLOCK B** BLOCK C **Identity of Publicly Traded Assets** Valuation of Assets Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. Report the complete name of each publicly Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: None (or less than \$1,001) (1) had a value exceeding \$1,000 at the \$500,001 - \$1,000,000 \$25,000,001 - \$50,000,000 Excepted Investment Fund \$1,000,001 - \$5,000,000 close of the reporting period; and/or \$5,000,001 - \$25,000,000 Actual 8 Other (2) generated over \$200 in "unearned" Amount \$100,001 - \$1,000,000 Qualified Blind Trust \$1,000,001 - \$5,000,0 Over \$5,000,000 \$250,001 - \$500,000 income during the reporting period. \$50,001 - \$100,000 Over \$1,000,000*** \$15,001 - \$50,000 \$50,001 - \$100,000 Over \$1,000,000*** Over \$50,000,000 None (or less than \$15,001 - \$50,000 Required Include on this PART IIIA a complete \$1,001 - \$15,000 \$5,001 - \$15,000 \$1,001 - \$2,500 Interest 🖺 🖀 💱 (Specify \$2,501 - \$5,000 **Excepted Trust** Identification of each public bond, mutual \$201 - \$1,000 Capital Gains Type) "Other" fund, publicly traded partnership interest. Dividends Specified excepted investment funds, bank accounts. excepted and qualified blind trusts, and publicly traded assets of a retirement plan. IBM Corp. (stock) Example Х Example Example: DC, X (S) Keystone Fund X X Example Example Stinson Capital Partners (QP), L.P.: (5.9% owned by Blum Capital Partners, L.P.) PRGX Global, Inc. Χ Common Stock ITT Educational Services, Inc. Common Stock **CBRE Group, Inc.** Common Stock

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Reporting Individual's Name PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number **DIANNE FEINSTEIN** (Assets owned by spouse through Blum Strategic GP II, L.L.C.(continuation)) 29 **BLOCK A BLOCK B** BLOCK C **Identity of Publicly Traded Assets** Valuation of Assets Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This If None, or less than \$1,001. Report the complete name of each publicly includes income received or accrued to the benefit of the individual. Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: Qualified Blind Trust 👙 🚅 🚰 (1) had a value exceeding \$1,000 at the None (or less than \$1,001) \$25,000,001 - \$50,000,000 Excepted Investment Fund close of the reporting period; and/or \$1,000,0011-\$5,000,000 \$5,000,001 - \$25,000,000 Actual \$500,001=\$1,000,000 None (or less than \$201) \$1,000,001 - \$5,000,000 (2) generated over \$200 in "unearned" Other Amount \$100,001 - \$1,000,000 \$100,001 - \$250,000 \$250,001 - \$500,000 income during the reporting period. \$50,001 - \$100,000 \$15,001 - \$50,000 Over \$1,000,000*** Over \$50,000,000 \$50,001-\$100,000 Over \$1,000,000*** \$15,001 - \$50,000 Required \$1,001 - \$15,000 Include on this PART IIIA a complete Over \$5,000,000 \$5,001 - \$15,000 (Specify \$1,001 - \$2,500 \$2,501 - \$5,000 **Excepted Trust** identification of each public bond, mutual \$201 - \$1,000 Capital Gains Type) "Other" fund, publicly traded partnership interest. Interest Specified excepted investment funds, bank accounts. excepted and qualified blind trusts, and publicly traded assets of a retirement plan. IBM Corp. (stock) Example Х Example S. Example: DC. X (S) Keystone Fund X X Example Example CBRE Group, Inc. Common Stock eResearch Technology, Inc. Χ Common Stock

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Reporting Individual's Name PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES **DIANNE FEINSTEIN** (Assets owned by spouse through Blum Strategic Equity II, L.L.C. (continuation)) 31 **BLOCK A** BLOCK B BLOCK C Identity of Publicly Traded Assets Valuation of Assets Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the complete name of each publicly If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the None (or less than \$1,001) \$25,000,001 - \$50,000,000 Excepted Investment Fund close of the reporting period; and/or \$1,000,001.-\$5,000,000 \$5,000,001.\$25,000,000 Actual \$500,001 -- \$1,000,000 \$1,000,001 - \$5,000,000 (2) generated over \$200 in "unearned" Other \$100,001 - \$250,000 \$250,001 - \$500,000 **Amount** \$100,001 - \$1,000,000 income during the reporting period. Qualified Blind Trust \$50,001 - \$100,000 \$15,001 = \$50,000 Over \$1,000,000*** \$50,001-\$100,000 Over \$1,000,000*** Over \$50,000,000 (or less than Required \$1,001 - \$15,000 \$15,001 - \$50,000 include on this PART IIIA a complete \$5,001 - \$15,000 Over \$5,000,000 (Specify \$1,001 - \$2,500 \$2,501 - \$5,000 **Excepted Trust** identification of each public bond, mutual \$201 - \$1,000 Capital Gains Туре) "Other" fund, publicly traded partnership interest. Dividends Interest Specified excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan. IBM Corp. (stock) Example Х S. Example Example: DC. (S) Keystone Fund Х Example Example **CBRE Group, Inc.** Χ Common Stock Х eResearch Technology, Inc. Х Common Stock Χ

Reporting Individual's Name PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by spouse through Blum Strategic GP III, L.L.C.) 32 BLOCK A BLOCK B BLOCK C Identity of Publicly Traded Assets Valuation of Assets Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the complete name of each publicly If None, or less than \$1,001, includes income received or accrued to the benefit of the individual. Check the first column traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the None (or less than \$1,001) \$25,000,001 - \$50,000,000 Excepted Investment Fund close of the reporting period; and/or \$5,000,001 - \$25,000,000 \$1,000,001 - \$5,000,000 Actual \$201) \$500,001 - \$1,000,000 (2) generated over \$200 in "unearned" Other \$1,000,001 - \$5,000,000 Amount \$100,001 - \$1,000,000 \$100,001;-\$250,000 \$250,001 - \$500,000 income during the reporting period. Qualified Blind Trust \$50,001 - \$100,000 \$15,001 - \$50,000 \$50,001-\$100,000 None (or less than Over \$1,000,000;** Over \$50,000,000 Include on this PART IIIA a complete \$15,001 - \$50,000 Required \$1,001 - \$15,000 \$5,001 - \$15,000 \$1,001 - \$2,500 Over \$5,000,000 (Specify \$2,501 - \$5,000 **Excepted Trust** identification of each public bond, mutual Capital Gains Type) "Other" fund, publicly traded partnership interest. Interest Specified Dividends excepted investment funds, bank accounts. excepted and qualified blind trusts, and publicly traded assets of a retirement plan. IBM Corp. (stock) х Example Example Example: DC, x (S) Keystone Fund X. X Example Example Blum Strategic GP III, L.L.C.: (22.86% owned by Richard C. Blum) Blum Strategic Partners III, L.P.: (1.0% owned by Blum Strategic GP III, L.L.C.) (.003% owned by Blum Capital Partners, L.P.) **CBRE Group, Inc.** Common Stock ij.

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Reporting Individual's Name PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by spouse through Blum Strategic Equity III, L.L.C.) 35 **BLOCK A** BLOCK B BLOCK C **Identity of Publicly Traded Assets** Valuation of Assets Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This If None, or less than \$1,001, Report the complete name of each publicly includes income received or accrued to the benefit of the individual. Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: None (or less than \$1,001) 🖦 (1) had a value exceeding \$1,000 at the Excepted Investment Fund \$25,000,001-\$50,000,000 close of the reporting period; and/or Actual \$1,000,001 - \$5,000,000 \$500,001 = \$1,000,000 \$1,000,001 - \$5,000,000 Other (2) generated over \$200 in "unearned" Amount \$100,001 - \$1,000,000 \$5,000,001 - \$25,000, \$100,001 - \$250,000 \$250,001 - \$500,000 \$50,001 - \$100,000 income during the reporting period. Qualified Blind Trust \$50,001 - \$100,000 \$15,001-\$50,000 Over \$1,000,000*** Over \$1,000,000*** None (or less than Over \$50,000,000 \$15,001 - \$50,000 \$1,001 - \$15,000 Required Include on this PART IIIA a complete \$5,001 - \$15,000 Over \$5,000,000 (Specify \$1,001 - \$2,500 **Excepted Trust** \$2,501 - \$5,000 identification of each public bond, mutual Capital Gains \$201 - \$1,000 Type) "Other" fund, publicly traded partnership interest. Dividends Specified Interest excepted investment funds, bank accounts, Rent excepted and qualified blind trusts, and publicly traded assets of a retirement plan. IBM Corp. (stock) Example Х Example Example: X DC, (S) Keystone Fund X X Example Example Blum Strategic Equity III, L.L.C.: (13.99% owned by Richard C. Blum) Blum Strategic Partners III, L.P.: (3.1% owned by Blum Strategic Equity III, L.L.C.) CBRE Group, Inc. X Common Stock

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Reporting Individual's Name Page Number PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES 45 DIANNE FEINSTEIN (Assets owned by spouse through Blum Strategic Equity IV, L.L.C. (continuation)) BLOCK C BLOCK B BLOCK A Valuation of Assets Type and Amount of Income **Identity of Publicly Traded Assets** And Unearned Income Sources If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This At the close of reporting period. includes income received or accrued to the benefit of the individual. If None, or less than \$1,001. Report the complete name of each publicly Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for \$25,000,001 \$50,000,000 production of income or investment which: (100) (1) had a value exceeding \$1,000 at the Actual close of the reporting period; and/or \$1,000,001 - \$5,000,000 \$1,000,001 - \$5,000,000 None (or less than \$201) Other Amount \$500,001-\$1,000,000 \$100,001 - \$1,000,000 (2) generated over \$200 in "unearned" Excepted Trust Qualified Blind Trust \$5,001-\$15,000 \$5,000,001 - \$25,000, Excepted Investment \$250,001 - \$500,000 \$15,001 - \$50,000 \$50,001,-\$100,000 income during the reporting period. \$50,001 - \$100,000 Over \$1,000,000*** Over \$1,000,000** Required \$15,001 - \$50,000 Over \$50,000,000 Over \$5,000,000 \$1,001 - \$15,000 Include on this PART IIIA a complete (Specify \$1,001-\$2,500 \$2,501 - \$5,000 \$201 - \$1,000 Capital Gains Type) "Other" identification of each public bond, mutual Interest Specified Dividends fund, publicly traded partnership interest, excepted investment funds, bank accounts. Rent excepted and qualified blind trusts, and publicly traded assets of a retirement plan. Example Example IBM Corp. (stock) S, Example: DC. X X (S) Keystone Fund Example Example eResearch Technology, Inc. Χ Common Stock Interxion Holding NV Х Common Stock

Reporting Individual's Name PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES DIANNE FEINSTEIN 45 A (Assets owned by spouse through Blum Strategic Holdings, L.P.) **BLOCK A** BLOCK B BLOCK C **Identity of Publicly Traded Assets** Valuation of Assets Type and Amount of Income **And Unearned Income Sources** At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. Report the complete name of each publicly Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the \$25,000,001 - \$50,000,000 Excepted Investment Fund None (or less than \$1,001) close of the reporting period; and/or Actual \$5,000,001 - \$25,000,000 \$1,000,001 - \$5,000,000 8 Other \$500,001 - \$1,000,000 Amount (2) generated over \$200 in "unearned" \$100,001 - \$1,000,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$15,001 - \$50,000 \$50,001 - \$100,000 Qualified Blind Trust \$1,000,001 - \$5,000, income during the reporting period. \$50,001 - \$100,000 Over \$1,000,000*** Over \$1,000,000*** \$15,001 - \$50,000 Required Over \$50,000,000 \$1,001 - \$15,000 \$5,001 - \$15,000 Include on this PART IIIA a complete Over \$5,000,000 (Specify \$1,001 - \$2,500 \$2,501 - \$5,000 Excepted Trust \$201 - \$1,000 identification of each public bond, mutual Capital Gains Type) "Other" fund, publicly traded partnership interest. Dividends Specified Interest excepted investment funds, bank accounts, Rent excepted and qualified blind trusts, and publicly traded assets of a retirement plan. IBM Corp. (stock) Х Example Х Example S. Example: DC. (S) Keystone Fund Х Х Example Example or Blum Strategic Holdings, L.P.: (67.8% owned by Richard C. Blum) (.1% owned by Blum Capital Partners, L.P.) **CBRE Group, Inc.** Common Stock Х Х

Reporting Individual's Name PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number **DIANNE FEINSTEIN** (Assets owned by spouse through Blum Family Partners, L.P.) 46 **BLOCK A** BLOCK B BLOCK C **Identity of Publicly Traded Assets** Valuation of Assets Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the complete name of each publicly If None, or less than \$1,001, includes income received or accrued to the benefit of the individual. traded asset held by you, your spouse, or Check the first column. Type of Income your dependent child, (See p.3, CONTENTS Amount of Income OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the None (or less than \$1,001). close of the reporting period; and/or \$25,000,001-\$50,000,000 Excepted Investment Fund \$1,000,0001 - \$5,000,000 Actual (2) generated over \$200 in "unearned" \$500,001 - \$1,000,000 Interest \$1,000,001 - \$5,000,000 Over \$5,000,000 Other Amount \$100,001 - \$1,000,000 \$100,001 - \$250,000 income during the reporting period. \$250,001 - \$500,000 \$50,001 - \$100,000 Over \$1,000,000+** \$50,001 - \$100,000 Over \$1,000,000*** Over \$50,000,000 Include on this PART IIIA a complete \$5,000,001 - \$25, \$15,001 - \$50,000 Required \$5,001 = \$15,000 Qualified Blind T Specify (\$1,001 - \$2,500 Excepted Trust \$2,501 - \$5,000 identification of each public bond, mutual Capital Gains None (or less \$201 - \$1,000 Type) "Other" fund, publicly traded partnership interest, Dividends Specified excepted investment funds, bank accounts. excepted and qualified blind trusts, and Rent publicly traded assets of a retirement plan. IBM Corp. (stock) Х Х Example Example Example: DC. (S) Keystone Fund Х X X Example Example Blum Family Partners, L.P.: (90.3% owned by Richard C. Blum) eResearch Technology, Inc. Common Stock **Realty Finance Corporation** Real Estate Investment Trust Х Χ CBRE Group, Inc. Common Stock

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Reporting Individual's Name Page Number PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES **DIANNE FEINSTEIN** 46 A (Assets owned by spouse through Blum Family Partners, L.P. (continuation)) **BLOCK B BLOCK A** BLOCK C **Identity of Publicly Traded Assets Valuation of Assets** Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This If None, or less than \$1,001, includes income received or accrued to the benefit of the individual. Report the complete name of each publicly Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for \$5,000,001 - \$25,000,000 \$25,000,001 | \$50,000,000 \$500,000f=\$1,000,000 production of income or investment which: None (or less (han \$1,001) Excepted Trust
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Reporting Individual's Name Page Number PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES **DIANNE FEINSTEIN** (Assets owned by spouse through Richard C. Blum & Associates, Inc. (continuation)) 50 **BLOCK A BLOCK B** BLOCK C **Identity of Publicly Traded Assets** Valuation of Assets Type and Amount of Income And Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. Report the complete name of each publicly Check the first column. traded asset held by you, your spouse, or Type of Income Amount of Income your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which: (1) had a value exceeding \$1,000 at the None (or less than \$1,001) \$25,000,001 - \$50,000,000 Excepted Investment Fund close of the reporting period; and/or \$5,000,001 - \$25,000,000 Actual Qualified Blind Trust \$1,000,001 - \$5,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 Other (2) generated over \$200 in "unearned" \$500,001 - \$1,000,000 Amount \$100,001 - \$1,000,000 \$50,001 - \$100,000 \$250,001 - \$500,000 income during the reporting period. \$50,001 - \$100,000 \$15,001-\$50,000 Over \$1,000,000** Required Over \$50,000,000 \$15,001 - \$50,000 \$1,001 - \$15,000 Over \$1,000,000* Include on this PART IIIA a complete <u>\$5,001 - \$15,000</u> None (or less tha (Specify \$1,001-\$2,500 \$2,501 - \$5,000 Excepted Trust identification of each public bond, mutual \$201 - \$1,000 Capital Gains Type) "Other" Interest fund, publicly traded partnership interest. Specified Dividends excepted investment funds, bank accounts. Rent excepted and qualified blind trusts, and publicly traded assets of a retirement plan. IBM Corp. (stock) Example Х Example S, Example: DC, (S) Keystone Fund X X. Example Example or Electronics for Imaging, Inc. Common Stock

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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name DIANNE FEINSTEIN	P	AR	T III	B.																ARNE				E	SO	UR	CE	S		P	age Number
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BLOCK A Identity of Non-Publicly Traded Assets and Unearned Income Source	es				Valua	tion		sset												Type a	nd A		int o								
Report the name, address (city, state and description) of each interest held by you, y spouse, or your dependent child (See p.3,	our		,	If No	one, d	or les	s tha	ting p in \$1, colum	,001,				L	IT TNO		ir		es inc	ome	Checked received					bene	efit o	f the	indi			em. This
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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name Page Number PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES **DIANNE FEINSTEIN** (Assets owned by Dianne Feinstein (continuation)) 53 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001, includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income **Amount of Income** CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or \$25,000,001 - \$50,000,000 Excepted Investment Fund None (or less than \$1,001) \$5,000,001 - \$25,000,000 Actual \$1,000,001 - \$5,000,000 \$1,000,001 - \$5,000,000 (2) generated over \$200 in "unearned" Other Amount \$500,001 - \$1,000,000 \$100,001 - \$1,000,000 income during the reporting period. \$100,001 - \$250,000 \$250,001 - \$500,000 Qualified Blind Trust \$50,001 - \$100,000 Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** Over \$1,000,000*** \$15,001 - \$50,000 None (or less than Required \$15,001 - \$50,000 \$1,001 - \$15,000 asset, which is not incidental to the trade or \$5,001 - \$15,000 Over \$5,000,000 \$2,501 - \$5,000 (Specify \$1,001 - \$2,500 **Excepted Trust** business. Publicly traded assets held by non-\$201 - \$1,000 Over \$50,000, Capital Gains Type) "Other" public entity may be listed on Part IIIA. Dividends Specified Interest Rent JP Computer, Wash DC Example Example Example: Undeveloped land, Dubuque, Iowa Example X Example Janus Aspen Overseas Janus Aspen Balanced Х Х Х SP Prudential US Emerging Х Х Growth AST PIMCO Total Return Х Х Х Х Х Dreyfus Opportunistic Small Cap Franklin JHVIT Global Х Х Northern Cross International Х Х Equity

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name DIANNE FEINSTEIN	P/	ART	. III	B.																ARNEI ntinua				ES	SO	URO	ES	}	T	Page Number
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EXEMPTION TEST (see instructions before marking box): If you crnitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filler or jointly held, use the other categories of value, as appropriate.



Reporting Individual's Name Page Number PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES DIANNE FEINSTEIN (Assets owned by Dianne Feinstein (continuation)) 55 BLOCK A BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001, includes income received or accrued to the benefit of the individual, description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business (1) had a value exceeding \$1,000 at the close \$25,000,001 - \$50,000,000 Over \$50,000,000 of the reporting period; and/or None (or less than \$1,001) **Excepted Investment Fund** \$5,000,001 - \$25,000,000 Actual \$1,000,001 - \$5,000,000 (2) generated over \$200 in "unearned" \$1,000,001 - \$5,000,000 Other Amount \$500,001 - \$1,000,000 \$100,001 - \$1,000,000 income during the reporting period. \$100,001 - \$250,000 \$250,001 - \$500,000 Qualified Blind Trust \$50,001 - \$100,000 Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** \$15,001 - \$50,000 None (or less than Required \$15,001 - \$50,000 \$1,001 - \$15,000 asset, which is not incidental to the trade or Over \$1,000,000* \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 Over \$5,000,000 (Specify **Excepted Trust** business. Publicly traded assets held by non-\$201 - \$1,000 Capital Gains Type) "Other" public entity may be listed on Part IIIA. Dividends Specified Interest Rent JP Computer, Wash DC Example Example Example: Undeveloped land, Dubuque, Iowa X Example Example Richard C. Blum Marital Trust of 1996 (Dianne Feinstein is primary beneficiary) Wellington Mid Cap Growth Fund Χ Х Х Frontier Capital Appreciation Х Franklin JHVIT International Value Х (formerly JHT International Value) Indexed Universal Life Policy Х John Hancock - (Cash Value)

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number **DIANNE FEINSTEIN** (Assets owned by Dianne Feinstein & Richard C. Blum (continuation) 58 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close None (or less than \$1,001): \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 of the reporting period; and/or Actual Qualified Blind Trust (2) generated over \$200 in "unearned" \$1,000,001 - \$5,000,000 Over \$5,000,000 Other \$500,0001=\$1,000,000 \$25,000,001,=\$50,000, Amount \$100,001 - \$1,000,000 income during the reporting period. \$5,000,001 - \$25,000, \$1,00,001 - \$250,000 Excepted investment \$250,001 - \$500,000 \$1,000,001 - \$5,000, \$50,001 - \$100,000 Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** Over \$1,000,000*** \$15,00(12.850,000 None (or less than Over \$50,000,000 Required \$1,001 - \$15,000 asset, which is not incidental to the trade or \$201 - \$1,000 \$1,001 : \$2,500 (Specify **Excepted Trust** business. Publicly traded assets held by non-Туре) "Other" public entity may be listed on Part IIIA. Dividends Specified Capital Rent JP Computer, Wash DC Example X Example Undeveloped land, Dubuque, lowa χ., Example Example Princeville, Kauai - Hawali Condominium

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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN Assets owned by Spouse through Blum Capital Partners T, L.P. 67 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Uneamed Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close None (or less than \$1 001) of the reporting period; and/or \$25,000,001 ±\$50,000,000 Excepted Investment Fund \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "unearned" \$1.000,003:-:\$5,000,000 \$201) \$1,000,001 - \$5,000,000 \$500,000; \$ 1,000,000 Other Amount \$100,001 - \$1,000,000 income during the reporting period. \$100,001 - \$250,000 \$250,001 - \$500,000 Qualified Blind Trust Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** \$50,001 - \$100,000 Over \$1,000,000*** \$15,001-\$50,000 None (or less than \$2,501 - \$5,000 \$5,001 | \$15,000 Required Over \$50,000,000 \$15,001 - \$50,000 asset, which is not incidental to the trade or \$1,001 - \$15,000 Over \$5,000,000 (Specify \$1,001 - \$2,500 Excepted Trust business. Publicly traded assets held by non-\$201 - \$1,000 Capital Gains Type) "Other" public entity may be listed on Part IIIA. Interest Specified JP Computer, Wash DC X Example Example Undeveloped land, Dubuque, lowa Example TPG Management V. L.P. Fort Worth, TX (owned through Blum Family Partners, L.P) (See page 102 for non-publicly traded assets) TPG Asia Equity V, L.P. Fort Worth, TX (owned through Blum Family Partners, L.P) (See page 102 for non-publicly traded assets)

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Richard C. Blum & Associates, Inc. (continuation)) 73 BLOCK A **BLOCK B** BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income CONTENTS OF REPORTS Part B of Amount of Income Instructions) for the production of income or investment in a non-public trade or business (1) had a value exceeding \$1,000 at the close None (or less than \$1,001) \$25,000,001 - \$50,000,000 of the reporting period; and/or \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "unearned" \$1,000,001 - \$5,000,000 \$1,000,001 - \$5,000,000 Other Amount income during the reporting period. \$100,001 - \$1,000,000 Qualified Blind Trust \$100,001 - \$250,000 Excepted Investment \$15,001 - \$50,000 \$50,001 - \$100,000 Over \$1 000 000**** Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** \$15,001 = \$50,000 Over \$50,000,000 \$5,001 - \$15,000 Required asset, which is not incidental to the trade or \$1,001 - \$15,000 \$1,001 - \$2,500 \$2,501 - \$5,000 Over \$5,000,000 (Specify business. Publicly traded assets held by non-**Excepted Trust** if Capital Gains Type) public entity may be listed on Part IIIA. "Other" interest 📜 Dividends Specified JP Computer, Wash DC Example x Example Undeveloped land, Dubuque, lowa Example Example Blum Capital Partners, L.P. San Francisco, CA Blum Capital Partners T, L.P. San Francisco, CA



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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Blum Strategic GP, L.L.C.) 74 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001 includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business (1) had a value exceeding \$1,000 at the close None (or less than \$1 001) of the reporting period; and/or \$25,000,001-\$50,000,000 \$5,000,001 - \$25,000,000 Actual \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,0001-\$5,000,000 (2) generated over \$200 in "unearned" \$1,000,001 - \$5,000,000 Over \$5,000,000 Other \$50,001-1 \$100,000 |-\$100,001 - \$1,000,000 Qualified Blind:Trust Amount income during the reporting period. \$100,001 - \$250,000 Excepted investment Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** Over \$1,000,000*** \$15,001 - \$50,000 \$5,001 - \$15,000 Over \$50,000,000 \$15,001 - \$50,000 asset, which is not incidental to the trade or Required \$1,001 - \$15,000 (Specify \$1,001.-\$2,500 \$2,501 - \$5,000 business. Publicly traded assets held by non-Excepted Trust Interest \$201 - \$1,000 Capital Gains Type) public entity may be listed on Part IIIA. "Other" Specified Dividends JP Computer, Wash DC Example: Example Example Undeveloped land, Dubuque, Iowa X X Example Example Blum Strategic GP, L.L.C. San Francisco, CA (32,24% owned by Richard Blum) (Blum Strategic GP L.L.C. owns 1.0% of Blum Strategic Partners, L.P.) (.0014% owned by Blum Capital Partners, L.P.) Thayer - Blum Funding III. L.L.C. Washington, D.C.



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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number **DIANNE FEINSTEIN** (Assets owned by Spouse through Blum Strategic Equity, L.L.C.) 75 **BLOCK A BLOCK B** BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: \$1,001) (1) had a value exceeding \$1,000 at the close of the reporting period; and/or \$25,000,001-\$50,000,000 Excepted Investment Fund \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "uneamed" \$1,000,0001-\$5,000,000 \$1,000,001 - \$5,000,000 Over \$5,000,000 \$500,001 - \$1,000,000 Other Over \$1,000,000*** Amount income during the reporting period. Qualified Blind Trust \$100,001 - \$1,000,000 \$100,001 \$250,000 \$250,001 - \$500,000 Include the above report for each underlying \$50,001 - \$100,000 \$50,001 -\$100,000 \$15,001-1\$50,000 Over \$1,000,000*** None (or less than Over \$50,000,000 asset, which is not incidental to the trade or \$15,001 - \$50,000 Required \$1,001 - \$15,000 \$1,001 - \$2,500 \$5,001 - \$15,000 (Specify \$2,501 - \$5,000 business. Publicly traded assets held by non-**Excepted Trust** \$201 - \$1,000 Capital Gains Type) public entity may be listed on Part IIIA. "Other" Dividends Specified Interest JP Computer, Wash DC X Example X Examole X Undeveloped land, Dubuque, lowa Example Example Blum Strategic Equity, L.L.C. San Francisco, CA (25.7% owned by Richard C. Blum) (.67% owned by Blum Capital Partners, L.P.) (Blurn Strategic Equity L.L.C. owned 3,69% of Blum Strategic Partners, L.P.) Thayer - Blum Funding III. L.L.C. Washington, D.C.

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name Page Number PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES DIANNE FEINSTEIN (Assets owned by Spouse through Blum Strategic Equity II, L.L.C.) 77 **BLOCK A BLOCK B** BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period, If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business Excepted Investment Fund (1) had a value exceeding \$1,000 at the close None (or less than \$1,001) \$25,000,001--\$50,000,000 of the reporting period; and/or Actual \$5,000,001 - \$25,000,000 \$1,000,001:-\$5,000,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 (2) generated over \$200 in "unearned" Qualified Blind Trust None (or less than \$201) \$1,000,001 - \$5,000,000 Other \$50,001 - \$100,000 - \$100,000 - \$100,001 - \$1,000,000 Amount income during the reporting period. \$100,001 - \$250,000 Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** Over \$1,000,000*** \$15,001 - \$50,000 Over \$50,000,000 \$15,001 - \$50,000 Required \$5,001-\$15,000 asset, which is not incidental to the trade or \$1,001 - \$15,000 Over \$5,000,000 (Specify \$1,001 - \$2,500 \$2,501 - \$5,000 Excepted Trust business. Publicly traded assets held by non-\$201 - \$1,000 Capital Gains Type) "Other" public entity may be listed on Part IIIA. Specified Interest JP Computer, Wash DC X Example Example Example X Undeveloped land, Dubuque, lowe Example Example Blum Strategic Equity II, L.L.C. San Francisco, CA (8.85% owned by Richard C. Blurn) (Blum Strategic Equity II, L.L.C. owns 1.77% of Blum Strategic Partners, II, L.P.) Current Media, L.L.C. San Francisco, CA



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This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Blum Strategic Equity III, L.L.C. (continuation)) 81 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This At the close of reporting period. Report the name; address (city, state and if None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business (1) had a value exceeding \$1,000 at the close None (or less than \$1,001) \$25,000,001 - \$50,000,000 of the reporting period; and/or \$5,000,001 - \$25,000,000 Actual \$1,000,001 - \$5,000,000 (2) generated over \$200 in "uneamed" \$1,000,001 - \$5,000,000 Other \$500,001 - \$1,000,000 Amount income during the reporting period. \$100,001 - \$1,000,000 Over \$1,000,000 Excepted Investment Qualified Blind Trust \$100,001 - \$250,000 \$250,001 - \$500,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$5,001 - \$15,000 Include the above report for each underlying \$50,001 - \$100,000 \$15,001,-\$50,000 Over \$1,000,000*** Required asset, which is not incidental to the trade or \$1,001 - \$15,000 \$1,001 - \$2,500 (Specify \$2,501 - \$5,000 Excepted Trust business. Publicly traded assets held by non-Capital Gains Type) \$201 - \$1,000 "Other" public entity may be listed on Part IIIA. Specified JP Computer, Wash DC Example: Example Undeveloped land, Dubuque, Iowa Example Colony HR Co - Investment Partners III, L.P. Los Angeles, CA TPG Midwest Co. Investments. L.L.C. Fort Worth, TX



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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Blum Strategic GP IV, L.L.C.) 82 **BLOCK A BLOCK B** BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001, includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business (1) had a value exceeding \$1,000 at the close None (or less than \$1,001) of the reporting period; and/or \$25,000,001 - \$50,000,000 Excepted investment Fund \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "unearned" \$1,000,001 - \$5,000,000 \$500,000 = \$1,000,000 Other Amount income during the reporting period. \$100,001 - \$1,000,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$1,000,001 - \$5,000, Qualified Blind Trust \$15,001 - \$50,000 \$50,001 - \$100,000 Include the above report for each underlying \$15,001-\$50,000 \$50,001 - \$100,000 Over \$1,000,000*** Over \$1,000,000 Over \$50,000,000 Required asset, which is not incidental to the trade or \$1,001 - \$15,000 \$5,001 - \$15,000 Over \$5,000,000 (Specify \$1,001 - \$2,500 \$2,501 - \$5,000 business. Publicly traded assets held by non-Excepted Trust \$201 - \$1,000 Capital Gains Type) public entity may be listed on Part IIIA. Interest "Other" Specified Rent JP Computer, Wash DC Example X Example Undeveloped land, Dubuque, Iowa Example Example Blum Strategic GP IV, L.L.C. San Francisco, CA (21.97% owned by Richard C. Blum) Blum Strategic Partners IV, L.P. (1% owned by Blum Strategic GP IV, L.L.C.) **Payless Holdings LLC** Preferred Stock Х

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Blum Strategic Equity IV, L.L.C. (continuation)) 85 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period, If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: None (or less than \$1 001) (1) had a value exceeding \$1,000 at the close of the reporting period; and/or \$25,000,001 \$50,000,000 \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "unearned" \$1,000,001 \$5,000,000 \$201) \$1,000,001 - \$5,000,000 \$500,001 - \$1,000,000 Other **Amount** income during the reporting period. \$100,001 - \$1,000,000 \$100,001 - \$250,000 \$250,001 - \$500,000 Excepted Investment Qualified Blind Trust \$15,001 - \$50,000 \$50;001 - \$100,000 Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** Over \$50,000,000 \$15,001 -\$50,000 Over \$1,000,000 Required asset, which is not incidental to the trade or \$1,001 - \$15,000 \$5,001 - \$15,000 Over \$5,000,000 (Specify \$1,001 - \$2,500 business. Publicly traded assets held by non-Excepted Trust \$2,501 - \$5,000 Capital Gains Type) public entity may be listed on Part IIIA. "Other" Specified Interest Rent JP Computer, Wash DC X X Example Example Undeveloped land, Dubuque, lowe Example Example Veracity Payment Solutions, Preferred Stock Χ **Xtralis Group Holdings Ltd** Preferred Stock



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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Blum GA III Equity, L.L.C.) 88 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: than \$1,001) (1) had a value exceeding \$1,000 at the close of the reporting period; and/or \$25,000,001 - \$50,000,000 \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "uneamed" None (or less than \$201) Qualified Blind Trust \$1,000,001 - \$5,000,000 \$500,001 = \$1,000,000 Other **Amount** income during the reporting period. \$100,001 - \$1,000,000 \$100,001 - \$250,000 \$250,001 - \$500,000 Excepted investment \$1,000,00012 \$5,000 Include the above report for each underlying \$50,001 - \$100,000 \$50,001 - \$100,000 Over \$1,000,000*** \$15,001 - \$50,000 Over \$50,000,000 Required asset, which is not incidental to the trade or Over \$1,000,000* - \$50,000 \$1,001 - \$15,000 \$5,001 - \$15,000 Over \$5,000,000 (Specify \$2,501 - \$5,000 business. Publicly traded assets held by non-Excepted Trust - \$1,000 Interest Capital Gains Type) "Other" public entity may be listed on Part IIIA. Dividends Specified \$15,001 JP Computer, Wash DC Example X Example: Example Undaveloped land, Dubuque, lowa Example Ехатріе Blum GA III Equity Partners, L.L.C. San Francisco, CA (99% owned by Blum Capital Partners T, LP.) (1% owned by Blum Investment Partners, Inc.) Newbridge Asia Equity III. L.P. Fort Worth, TX Χ



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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Blum Investment Partners, Inc. (continuation)) 90 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the None (or less than \$1 001) close of the reporting period; and/or \$25,000,001=\$50,000,000 Excepted Investment Fund \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "unearned" \$1,000,001 - \$5,000,000 \$201) \$1,000,001 - \$5,000,000 \$500,001 - \$1,000,000 Other Amount income during the reporting period. Qualified Blind Trust \$100,001-\$250,000 \$250,001 - \$500,000 Include the above report for each underlying \$50,001 - \$100,000 \$50,001 - \$100,000 Over \$1,000,000*** \$15,001=\$50,000 Over \$1,000,000*** None (or less than Over \$50,000,000 \$15,001 - \$50,000 \$100,001 - \$1,000, asset, which is not incidental to the trade or Required \$1,001 - \$15,000 \$5,001 - \$15,000 \$1,001-\$2,500 (Specify \$2,501 - \$5,000 business. Publicly traded assets held by nonif Excepted Trust \$201 - \$1,000 Capital Gains Type) public entity may be listed on Part IIIA. Dividends "Other" Specified JP Computer, Wash DC X Example: Example X Example Undeveloped land, Dubuque, lowa X Example Example Blum G.A. III Equity Partners, L.L.C. San Francisco, CA (See page 88 for non-publicly traded assets) Blum G.A. III, L.L.C. San Francisco, CA (See pages 87 for non-publicly traded assets)



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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES DIANNE FEINSTEIN (Assets owned by Spouse through Blum Investment Partners, Inc. (continuation)) 92 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income CONTENTS OF REPORTS Part B of Amount of Income Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close \$1,000,0001--\$5,000,000 \$25,000,001 - \$50,000,000 9 of the reporting period; and/or Excepted Investment Fund \$500,000, = \$1,000,000. \$5,000,001 - \$25,000,000 (2) generated over \$200 in "unearned" Actual \$1,000,001 - \$5,000,000 Over\$5,000,000 Other income during the reporting period. Amount 'n \$100,001 - \$1,000,000 Qualified Blind Trust \$100,001 - \$250,000 \$250,001 - \$500,000 Include the above report for each underlying \$50,001 - \$100,000 \$15,001=\$50,000 Over \$1,000,000*** Over \$1,000,000*** None (or less than \$50,001-\$100,000 Over \$50,000,000 \$2,501 - \$5,000 \$5,001 | \$15,000 asset, which is not incidental to the trade or \$1,001 - \$15,000 \$15,001 - \$50,000 Required (Specify Type) business. Publicly traded assets held by non-\$1,001 - \$2,500 Excepted Trust Capital Gains \$201 - \$1,000 public entity may be listed on Part IIIA. "Other" Dividends Specified Interest JP Computer, Wash DC X Example: Example X Example Undeveloped land, Dubuque, Iowa Example Blum G.A. IV, L.P. X San Francisco, CA Х Ordinary \$1,167 Income BCP Investment A. LP San Francisco, CA Lucky Bluff, L.L.C. San Francisco, CA Richard C. Blum Family **Partnership** San Francisco, CA T,

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*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Blum Family Partners, L.P. (continuation)) 97 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income CONTENTS OF REPORTS Part B of Amount of Income Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or None (or less than \$1,001) \$25,000,001 - \$50,000,000 Excepted Investment Fund \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "unearned" \$1:000,001-\$5,000,000 \$201) \$500,001=,\$1,000,000 \$1,000,001 - \$5,000,000 Other income during the reporting period. Amount \$100,001 - \$1,000,000 \$100,001 - \$250,000 \$250,001 - \$500,000 Qualified Blind Trust Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** \$15,001 - \$50,000 \$50,001 - \$100,000 Over \$1,000,000*** Over \$50,000,000 asset, which is not incidental to the trade or \$1,001 - \$15,000 \$15,001 - \$50,000 Required \$5,001 - \$15,000 \$1,001 \$2,500 \$2,501 - \$5,000 Over \$5,000,000 (Specify business. Publicly traded assets held by non-Excepted Trust \$201 - \$1,000 Capital Gains Type) public entity may be listed on Part IIIA. Interest "Other" Specified. Rent JP Computer, Wash DC X Example X Example Undeveloped land, Dubuque, Iowa X Example Example Coral Growth Investments, Ltd. St. Peter Port, Guernsey Χ Francisco Partners, L.P. San Francisco, CA Х Greycroft Partners, L.P. New York, NY **Colony American Homes Holdings** New York, NY Х



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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse through Blum Family Partners, L.P. (continuation)) 99 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001, includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income CONTENTS OF REPORTS Part B of Amount of Income Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close 9 \$25,000,001 - \$50,000,000 of the reporting period; and/or \$500,001 - \$1,000,000 \$5,000,001 - \$25,000,000 (2) generated over \$200 in "unearned" \$1,000,001-\$5,000,000 \$201) Actual \$1,000,001 - \$5,000,000 Other income during the reporting period. None (or less than \$1; Amount \$100,001 - \$1,000,000 Over \$1,000,000*** \$15,001-\$50,000 \$100,001-\$250,000 Excepted Investment \$250,001 - \$500,000 Qualified Blind Trust Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** \$5,001 - \$15,000 || \$15,001 - \$50,000 \$50,001 - \$100,000 asset, which is not incidental to the trade or Over \$50,000,000 \$1,001 - \$15,000 Required Over \$5,000,000 \$1,001 - \$2,500 (Specify business. Publicly traded assets held by non-**Excepted Trust** Capital Gains Type) public entity may be listed on Part IIIA. nterest "Other" Dividends Specified \$2,501 -JP Computer, Wash DC Example: Example X Ехатріе Undeveloped land, Dubuque, Iowa Example OZ Fitness Holdings Corp. Spokane, WA **BMGH** Sacramento, CA Greysoft Partners Annex Fund. ð) 5.832 128 New York, NY Χ



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*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse) 105 **BLOCK A** BLOCK B BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of income Amount of Income CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or None (or less than \$1,001) \$25,000,001 - \$50,000,000 Fund \$5,000,001 - \$25,000,000 Actual \$1,000,001 - \$5,000,000 (2) generated over \$200 in "unearned" \$201) Over \$1,000,000*** \$1,000,001 - \$5,000,000 \$500,001 - \$1,000,000 Other Amount \$100,001 - \$1,000,000 income during the reporting period. Excepted Investment \$100,001 - \$250,000 \$250,001 - \$500,000 \$15,001 - \$50,000 \$50,001 - \$100,000 Qualified Blind Trust \$50,001 - \$100,000 Include the above report for each underlying Over \$1,000,000*** \$15,001 - \$50,000 None (or less than Over \$50,000,000 Required \$1,001 - \$15,000 asset, which is not incidental to the trade or \$1,001 - \$2,500 \$5,001 - \$15,000 Over \$5,000,000 Specify (Specify) **Excepted Trust** business. Publicly traded assets held by non-\$201 - \$1,000 Capital Gains Type) "Other" public entity may be listed on Part IIIA. Dividends Specified Interest Rent JP Computer, Wash DC Example Example Example: Undeveloped land, Dubuque, Iowa Example Example Peachtree Cable Holdings, Ltd. Fort Worth, TX Χ (.001% owned by Richard C. Blum) Peachtree Cable Associates, Ltd. Fort Worth, TX (.001% owned by Richard C. Blum) Blum Strategic Holdings, LP San Francisco, CA (see page 45A for publicly traded assets)



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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse (continuation)) 108 **BLOCK A BLOCK B** BLOCK C Identity of Non-Publicly Traded Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001, includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3, Type of Income CONTENTS OF REPORTS Part B of Amount of Income Instructions) for the production of income or investment in a non-public trade or business which: Excepted Investment Fund None (or less than \$1,001) (1) had a value exceeding \$1,000 at the close of the reporting period; and/or \$1,000,001 \$5,000,000 \$5,000,001 - \$25,000,000 Qualified Blind Trust 🕌 🕌 None (or less than \$201) (2) generated over \$200 in "unearned" Actual \$500,001 - \$1,000,000 \$1,000,001 - \$5,000,000 \$25,000,001 - \$50,000 Other income during the reporting period. Amount \$100,001 - \$250,000 \$250,001 - \$500,000 Include the above report for each underlying \$50,001 - \$100,000 \$15,001 - \$50,000 Over \$1,000,000*** \$50,001 - \$100,000 Over \$1,000,000*** Over \$50,000,000 \$100,001 - \$1,000, asset, which is not incidental to the trade or \$1,001 - \$15,000 \$15,001 - \$50,000 Required \$5,001 - \$15,000 \$1,001 - \$2,500 \$2,501 - \$5,000 (Specify business. Publicly traded assets held by non-Excepted Trust Capital Gains public entity may be listed on Part IIIA. Type) "Other" Over \$5,000 Dividends Specified Rent JP Computer, Wash DC x Example: Example Example DC. Undeveloped land, Dubuque, lowe Exemple Escrow Partners, L.P. Laguna Niguel, CA Х Х Bank of America, Inc. Deposit & Checking Account San Francisco, CA Х Χ 110



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Reporting Individual's Name PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES Page Number DIANNE FEINSTEIN (Assets owned by Spouse (continuation)) 111 **BLOCK A BLOCK B** BLOCK C **Identity of Non-Publicly Traded** Valuation of Assets Type and Amount of Income Assets and Unearned Income Sources At the close of reporting period. If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This Report the name, address (city, state and If None, or less than \$1,001. includes income received or accrued to the benefit of the individual. description) of each interest held by you, your Check the first column. spouse, or your dependent child (See p.3. Type of Income **Amount of Income** CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which: (1) had a value exceeding \$1,000 at the close \$25,000,001 - \$50,000,000 None (or less than \$1,001) of the reporting period; and/or \$5,000,001 - \$25,000,000 Actual (2) generated over \$200 in "unearned" \$100,001 = \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 \$1,000,0001_\$5,000,000 None (or less than \$204) \$1,000,001 - \$5,000,000 Other Amount income during the reporting period. Qualified Blind Trust \$100,001 - \$1,000,000 Excepted Investment \$15,001 - \$50,000 \$50,001 - \$100,000 -Over\$1,000,000*** Include the above report for each underlying \$50,001 - \$100,000 Over \$1,000,000*** \$15,001-\$50,000 Over \$50,000,000 Ordinar asset, which is not incidental to the trade or \$1,001 - \$15,000 Required \$5,001 - \$15,000 Over \$5,000,000 \$1.001=\$2,500 business. Publicly traded assets held by non-\$2,501 - \$5,000 Excepted Trust Capital Gains Income public entity may be listed on Part IIIA. "Other" Interest Specified JP Computer, Wash DC X Example X Example Undeveloped land, Dubuque, lowa "x X Exemple Example BTIG, L.L.C. Brokerage Account - Cash San Francisco, CA X Tahoe Tavern - Tahoe City Condominium Χ X JP Morgan New York, NY Χ

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate

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DIANNE FEINSTEIN CALIFORNIA SELECT COMMITTEE ON
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United States Senate Cretary of the Administration

WASHINGTON, DC 20510–0504 http://feinstein.senate.gov May 15, 2013

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Secretary of the Senate Office of Public Records Room 232 Hart Senate Building U. S. Senate Washington, DC 20510

Dear Secretary of the Senate:

Enclosed is the Senate Public Financial Disclosure Report for Senator Dianne Feinstein of California.

Period Covered

The report has been prepared based on assets and liabilities as of December 31, 2012, and includes income for the period January 1, 2012 through December 31, 2012. Valuations of assets are presented as of December 31, 2012.

Items Covered

The report covers assets and related income owned by the Senator and her spouse either directly or through a living trust.

Ownership Designation

Senator Feinstein's assets, which are held directly or through the Bertram Feinstein Trust as separate property, consist of her interest in Carlton Hotel Properties, a pension from the City of San Francisco, deposit accounts at Bank of America, First Republic Bank, Vanguard Life Strategy Conservative Growth Fund and Vanguard Life Strategy Income Fund. In addition, Senator Feinstein has a Qualified Blind Trust that was established in 1991 and qualified in 1993, and she is a beneficiary of the Richard C. Blum Marital Trusts of 1994 and 1996. (For details of Senator Feinstein's income and assets, see pages 2, 4, 5, 51 through 56 in the report.)

Senator Feinstein and her spouse jointly own a condominium in Princeville, Kauai, Hawaii and have a deposit and checking accounts at Bank of America. (For details of the Senator's and Mr. Blum's jointly held assets, see pages 57 and 58

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The remaining assets listed herein are the separate property of Senator Feinstein's spouse, Richard C. Blum.

Assets and liabilities as listed in Sections III A, III B, and VII have been identified as follows:

- "M" owned directly or indirectly by the Senator, Dianne Feinstein
- "S" owned directly or indirectly by the Senator's spouse, Richard C. Blum
- "J" owned jointly by the Senator and her spouse (directly or indirectly)

The "J" (jointly owned) designation is used for all assets owned by both the Senator and her spouse. These include assets held in formal tenancy in common or joint tenancy.

Investment Partnerships Managed By Blum Capital Partners, L.P. (Separate Property Of Richard C. Blum)

The spouse of the Senator, Richard C. Blum, is the Chairman of an investment management firm, Blum Capital Partners, L.P. (BCP L.P.). The general partner of BCP L.P. is Richard C. Blum & Associates, Inc. (RCBA Inc.). Richard C. Blum's ownership interest in BCP L.P. is held through RCBA Inc. BCP L.P. is the general partner of several investment partnerships. Mr. Blum has an ownership interest in RCBA Inc. and in several of the investment partnerships. Additionally, the investment management firm has ownership interests in the investment partnerships and some of the partnerships have investments in other partnerships. This results in a tiered ownership structure. BCP L.P.'s investment entities include BK Capital Partners IV. L.P., Stinson Capital Partners, L.P., Stinson Capital Partners (QP) L.P. liquidated as of October 12, 2012, Stinson Capital Partners C, L.P., and Stinson Capital Partners L, L.P. liquidated as of March 31, 2012. As a result of the liquidation of Stinson Capital Partners (QP) L.P. and Stinson Capital Partners L, L.P., various securities were distributed in-kind to the partners. In addition, Blum Capital Partners, LP also distributed stocks held by the partnership to its' partners. The inkind distribution of common stocks (eResearch Technology, Inc., ITT Educational Services, Inc. Avid Technology, Inc., Career Education Corporation, Moneygram International, Inc., Electronics for Imaging, Inc., PRGX Global, Inc., Collective Brands, Inc.) are being reported through Blum Family Partners, LP, Richard C Blum & Associates, Inc and Richard C. Blum (page 46 through 50).

There are other investment entities that were formed in 1998, 2000, 2005 and 2007 that are structured slightly different than the entities noted above. These are Blum Strategic GP, L.L.C., Blum Strategic Equity, L.L.C., L.L.C. and Blum Strategic Partners, L.P. Blum Strategic Partners, L.P is a private equity fund. Blum Strategic GP, L.L.C. manages and is the general partner of Blum Strategic Partners, L.P. Richard C. Blum is a managing member of Blum Strategic GP, L.L.C. He is also a member of Blum Strategic Equity, L.L.C. that is a limited partner of Blum Strategic Partners, L.P. Blum GA III, L.L.C. and Blum GA III Equity Partners, L.L.C. was



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formed to invest in Newbridge Asia III, L.P. BCP L.P. is also the managing member of Blum Strategic Equity L.L.C.

During 2001, 2005 and 2007, Blum Strategic Partners II, L.P., Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P., respectively were formed similarly to Blum Strategic Partners, L.P. Blum Strategic GP II, L.L.C. manages and is the general partner of Blum Strategic Partners II, L.P. Blum Strategic GP III, L.L.C. manages and is the general partner of Blum Strategic Partners III, L.P. Blum Strategic GP IV, L.L.C. manages and is the general partner of Blum Strategic Partners IV, L.P. Richard C. Blum is a managing member of Blum Strategic GP II, L.L.C., Blum Strategic GP IV, L.L.C. He is also a member of Blum Strategic Equity II, L.L.C., Blum Strategic Equity IV, L.L.C., and Blum Strategic Equity IV, L.L.C. which is a limited partner of Blum Strategic Partners II, L.P. Blum Strategic Partners III, L.P., and Blum Strategic Partners IV, L.P., respectively.

In addition, during 2002 Blum Strategic Partners II GmbH & Co. KG was formed as a parallel vehicle to Blum Strategic Partners II, L.P. Blum Strategic Partners Verwaltungs GmbH is the General Partner and Blum Strategic GP II, L.L.C. is the Managing Limited Partner.

In addition, during 2005 and 2007 Blum Strategic GP III, L.P. and Blum Strategic GP IV, L.P., respectively were formed as the General Partner of Blum Strategic Partners III, L.P. and Blum Strategic Partners IV, L.P. Blum Strategic GP III, L.L.C and Blum Strategic GP IV, L.L.C. are the General Partner of Blum Strategic GP III, L.P. and Blum Strategic GP IV, L.P., respectively.

The Blum Strategic funds as described above hold public as well as private investments. The private investments are reported under Part IIIB. Blum Strategic Partners II, L.P. holds a direct investment in Current Media, L.L.C. and indirectly through BCP AIV, Inc. Current Media is located in San Francisco, CA provides media content through the internet and cable television (See pages 76 and 77).

Blum Strategic III, L.P. holds or held the following private investments: Colony HR Co – Investment Partners III, L.P. a partnership formed to invest in the Fairmont Raffles Hotels International and TPG Midwest Co-Investors, L.L.C., a partnership formed to invest in Midwest Air Group. (See pages 78 through 81).

Blum Strategic IV, L.P. private investments include Veracity Payment Solutions, Inc located in Atlanta, GA provides payment and transaction services to the credit card and banking industry. Veracity was liquidated during 2012. Xtralis, is a Bahamian Company that sells fire and security prevention equipment. Collective Brands, Inc a publicly traded corporation was acquired in a leverage buyout in 2012. Payless Holdings, LLC, the surviving entity is now reported as a non-publicly traded asset (See pages 82 through 85).

During 2007 Lind Family Partnership V, L.P. was formed to invest in TPG Management V, L.P. Blum Capital Partners, L.P. is the general partner. Lind Family Partnership V was sold during 2012.



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<u>Peachtree Cable Associates, Ltd. and Peachtree Cable Holdings, Ltd.</u> (page 105)

Managing Partner:

Bill Hallman

Address:

201 Main Street, Suite 3100

Fort Worth, TX 76102

Business Description: The partnerships, through tiered partnership structures, owned and operated cable television services in Atlanta, Georgia, and in other parts of the United States. This entity was liquidated in 2012.

TPG Associates III, L.P.

(page 68)

Address:

301 Commerce Street, Suite 3300

Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership investments include, , Quintiles Transactional Corporation, Iasis Healthcare Corp, SunGard Data Systems, Inc. The Neiman Marcus Group, Inc. and LifeSync Corporation.

TPG Equity IV, L.P.

(page 69)

Address:

301 Commerce Street, Suite 3300

Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership investments include several private and public investments including Graphic Packaging, Arden Holdings LTD (formerly Ariel Holdings, LTD), Freescale Semiconductor, Inc., TDFUnivision Communications, Inc., Sabre Holdings, Biomet, Inc., Petco Animal Supplies, Inc., Grohe AG, Iasis Healthcare, LLC, Isola AG, Vita Grormerly British Vita), , LPL Holding, Inc., , SunGard Data Systems, Inc., The Neiman Marcus Group, Inc, Energy Future Holdings, Incand Telefusion de France International SA.

Intergraph Corporation, Fenwal Blood Technologies and Taishin Financial Holding Co, Ltd. were sold during the year.



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In 2012, Blum Strategic Holdings, LP was formed to hold shares of CBRE distributed from Blum Strategic II, LP. (See page 45A and 105)

A separate page of Part III A & III B information has been included for RCBA Inc., BCP L.P. and for each investment partnership managed by BCP L.P. The estimated valuation and income for BCP L.P. have been reported separately in Section III B starting on page 64 at Mr. Blum's ownership percentage, which has been indicated. The value and income of Mr. Blum's direct and indirect holdings in the investment partnerships are reported based on his direct and indirect ownership percentages. These percentages may change from year to year depending on the activities (contributions by new or existing partners and distributions to withdrawing partners) of the partners. A separate reporting of value and income of BCP L.P.'s interest in the investment partnerships has not been reported, as this would cause Mr. Blum's interests to be double reported. Where applicable, BCP L.P.'s holdings of the investment partnerships have been referenced to the applicable schedules in sections III A and III B where the investment partnerships are reported. BCP L.P. and the investment partnerships it manages incidental to their operations also hold cash and cash equivalents, accounts receivable, pre-paids and other assets as well as accounts payable, margin borrowings and other liabilities that have not been listed. A pro rata share of the value of these assets and liabilities has been incorporated in the determination of value reported for Mr. Blum's direct holdings in RCBA Inc. and in his direct and indirect (through RCBA Inc. and BCP L.P.) ownership of the investment partnerships managed by BCP L.P.

In the normal course of business, amounts due to or from Mr. Blum arise at RCBA Inc. and BCP L.P.; e.g., reimbursable business expenses. The valuation of his investment in RCBA Inc. is stated net of these amounts. In any case, this does not affect the category of value whether or not such amounts are considered.

Investment Partnerships Not Managed By Richard C. Blum, RCBA Inc. or BCP L.P.

Mr. Blum, RCBA Inc., and his investment management firm own investments in a number of investment partnerships that are not managed by Mr. Blum, RCBA Inc. or BCP, L.P. They are composed of the following:

<u>FWHY-88 Investors, L.P.</u> (page 72)

General Partner: Group Holdings, Inc.

Address: 201 Main Street, Suite 3100

Fort Worth, TX 76102

Business Description: The partnership invested through a tiered partnership structure in a portfolio of securities. The partnership held an interest in PTJ-MB Partnership, L.P.



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TPG LAF Equity Partners, L.P.

(page 68)

Address:

301 Commerce Street, Suite 3300

Fort Worth, TX 76102

Business Description: TPG LAF Equity, L.P. is a limited partner of LatAm G.P., L.P.

LatAm G.P., L.P.

(page 68)

Address:

301 Commerce Street, Suite 3300

Fort Worth, TX 76102

Business Description: Latam G.P., L.P. is the general partner of Newbridge Latin America, L.P. (NLA). NLA was formed to invest in business ventures throughout Latin America, which may provide significant opportunities for capital appreciation.

Tarrant Venture Partners, L.P.

(page 68)

Address:

301 Commerce Street, Suite 3300

Fort Worth, TX 76102

Business Description: This partnership was formed to invest in various public and private debt or equity securities and venture investments.

TPG Management V, L.P.

(page 67)

Address:

301 Commerce Street, Suite 3300

Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership investments are in several private investments including Graphic Packaging., Freescale Semiconductor, Petco Animal Supplies, Inc., Sabre, Univision, Direct General, Surgical Care Affiliates, Biomet, Energy Future Holdings, Avaya, Norwegian Cruise Line, Quintiles Transnational Corporation, Aptalis Pharma Strauss Coffee BV, American Beacon, Armstrong World Industries, , IMS Health, Lenta, ST Residential, Graphic Packaging Holding Company, Telefusion de France Internation SA, Aptina Imaging, TPG CW, LP, American Tire Distributors Holdings, Inc.,



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Vertafore Holding Corp. Republic Airways (formerly Midwest Airlines), and Valerus Compression Services.

Fenwal Holdings, Inc was sold during 2012.

TPG Asia Equity V, L.P. (page 67)

Address: 301 Commerce Street, Suite 3300

Fort Worth, TX 76102

Business Description: The partnership was formed to invest in various business ventures which may provide significant opportunities for capital appreciation. The partnership investments are in several private investments including United Test and Assembly Center, NorthStar Equity Partners II Limited, Shriram City Union Finance, Unitrust Finance and Leasing Corp. and Daphne International Holdings Limited, Healthscope, CICC, Avon Japan, Delta Dunia, alinta, Vishal Megamart, Shiram Capital, Shiram Properties, Comtec Solar, PT BFI Finance Wumart Stores, Inc., Li Ning, Alinta Energy, HCP Packaging and Joint Corporation.

Faber Street, L.L.C. (page 102)

Address: 909 Montgomery Street

San Francisco, CA 94133

Business Description: The limited liability company was formed to invest in rental real estate of a commercial building in Union City, CA.

The above investments are valued at Mr. Blum's or his firm's pro rata share of estimated fair value at December 31, 2012, (or the year-end valuation obtained on form K-1). Income from these investments is reported based on partnership distributions received.

Investment in RCBA Inc.

Mr. Blum's investment in RCBA Inc. is valued at his pro rata share of the business' equity as of December 31, 2012.

BCP L.P. is in some cases, entitled to receive performance fees based on income including realized and unrealized capital gains of investments under its management. These fees are generally recorded as of the date when the firm is entitled to bill its customers. The firm also is entitled to disproportionate allocation of income in the partnerships that it manages. As a limited partner of BCP L.P., Mr. Blum may be allocated a portion of such amounts, as reflected on Part III B.



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Investment income earned by Mr. Blum on his investment in RCBA Inc. has been reported based on dividends received.

Richard C. Blum Marital Trust of 1994

Dianne Feinstein is a beneficiary of the Richard C. Blum Marital Trust of 1994. The trust's assets are composed of universal life insurance policies on the life of Richard C. Blum. The insurance policy was restructured in 2012 to a universal life policy. The value of the trust is composed principally of the cash surrender value of the life insurance policies. No distributions have been made by the trust see pages 52 through 54.

The Richard C. Blum Marital Trust of 1996

Dianne Feinstein is a beneficiary of The Richard C. Blum Marital Trust of 1996. The trust's assets are composed of an insurance policy on the life of Richard C. Blum. The insurance policy was restructured in 2012 to a universal life policy. The value of the trust is composed principally of the cash surrender value of the life insurance policies. No distributions have been made by the trust see page 55 and 56.

Investment in The Carlton Hotel

The Senator and her spouse own interests in a partnership, Carlton Hotel Properties, which owns and operates a hotel in San Francisco. The ownership of the partnership is as follows:

Bertram Feinstein Trust	
(Dianne Feinstein beneficiary)	50.05%
Richard C. Blum through Blum Family Partners, LP (spouse)	47.95%
Richard C. Blum through Blum Investment Partners, Inc. (spouse)	1.00%
Dianne Feinstein	1.0%

The value of each of 1) the combined interest of Dianne Feinstein and her spouse and 2) Dianne Feinstein's interest through the Bertram Feinstein Trust in the Hotel Carlton exceeds \$1,000,000. Income from the investment has been reported based on partnership distributions received see page 57.

Investment in Blum Family Partners, L.P. (BFP)

BFP was formed to invest in various business ventures which provide opportunities for capital appreciation see pages 94 through 102.



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Dianne Feinstein 1991 Trust

In September 1991, the Senator transferred her securities to a blind trust. The trust became qualified by the Senate Ethics Committee on December 29, 1993. The Trust's value as of December 31, 2012, is reported on a separate schedule in section IIIA see page 5.

Information On Non-Publicly Traded Assets

Several of the investments listed in Part III are not publicly traded. The following provides additional information about these investments, which are not managed or controlled by Richard C. Blum, RCBA, Inc. or BCP, L.P.

Second City Capital Partners I, L.P. (page 101)

Address:

1075 West Georgia Street Suite 2600

Vancouver, BC V6E 3C9

Business Description: The partnership is a provider of mezzanine debt and equity finance to mid-market companies. The partnership investments in publicly traded stocks include, BENEV Capital Inc. (formerly Bennett Environmental Inc. The following investments were sold in 2012 Cinch Energy Corporation, Fortress Energy, Force 10 Networks, Inc. and Primoris Services Corporation.

The Strategic Recovery Fund II, L.P. (page 101)

Address:

Burleigh House, 357 Strand

London WC2R 0HS

Business Description: The principal purpose of the Partnership is to invest directly or indirectly in equity and near equity investments in a portfolio of companies publicly listed in the U.K. The investments include RPC Group, KCOM Group (formerly Kingston Communications), Journey Group (formerly Watermark Group), 4imprint Group, E2V Technologies, Lavendon Group and RPC Group. During 2012, the following investments were sold: Entertainment Rights, Thorntons, Renold, and Intec Telecom Systems.

Thayer-Blum Funding III, LLC

(page 74 and 75)

Address:

1455 Pennsylvania Avenue, N.W., Suite 350

Washington, D.C. 20004



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Business Description: A limited liability company formed to invest in Suntron Corporation, Phoenix, AZ. Suntron Corporation provides vertically integrated electronic manufacturing services.

Thayer Hotel Investment III, LLC

(page 101)

Address: 1455 Pennsylvania Avenue, N.W., Suite 350

Washington, D.C. 20004

Business Description: A limited partnership formed to invest in resort hotel operations. The partnership was liquidated in 2012.

AEOW 2000 LP

(page 94)

Address: 909 Montgomery Street

San Francisco, CA 94133

Business Description: A limited partnership formed to pursue private placement investments in business ventures, which may provide significant opportunities for capital appreciation in technology or health technology companies. The securities held by the partnership are not disclosed.

Astar USA LLC (formerly Astar Air Cargo Holdings, L.L.C.)

(page 110)

Address: Two South Biscayne Blvd. Suite 3663

Miami, FL 33131

Business Description: Airline cargo service.

Francisco Partners, L.P.

(page 97)

Address: 2882 Sand Hill Road, Suite 280

Menlo Park, CA 94025

Business Description: A limited partnership formed to invest in technology companies, both domestically and internationally for long-term capital appreciation, using a wide range of transaction structures. The partnership has over 400 limited partners and holds private and



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public investments. The investments include Barracuda, C-MAC, Foundation 9, FrontRange Solutions, GXS. During 2012 Electrical Components International, Primavera, RedPrairie, Wizard Parent and WebTrends were sold.

Lucky Bluff, LLC

(page 98)

Address: 909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Raw land in Coachella Valley and a single family property in Lake Tahoe, CA.

Neospire, Inc.

(page 110)

Address: 1911 N. Lamar Street, 2nd Floor

Dallas, TX 75202

Business Description: A corporation that provides managed hosting, dedicated services and colocation. This investment was sold in 2011, however, additional proceeds were received in 2012 per the purchase and sales agreement.

ChrysCapital II, L.L.C.

(page 96)

Address: Les Cascades, 3rd Floor

Edith Cavell Street Port Louis, Mauritius

Business Description: A venture capital fund which makes equity and equity-related investments in companies with significant operations in the Indian subcontinent. The 2 remaining private investments were sold in 2012.

Central Station Land, L.L.C.

(page 95)

Address: 1500 Park Avenue # 200

Emeryville, CA 94608



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Business Description: Real estate development in Oakland, California.

<u>Cevian Capital II, LLC</u> (page 104)

Address: Channel Islands

Business Description: A limited partnership that invests and trades in securities in business ventures which may provide significant opportunities for capital appreciation. The partnership has invested in securities in Finland and Sweden including, Volvo, Metso, Old Mutual PLC, Tieto, Panalpina, Wolsey Plc, Danske Bank, Cookson, PLC Bilfinger SE, Vesuvius Plc, Alent plc and Demag Cranes. The investment is owned by Richard C. Blum through a tiered partnership structure. Richard C. Blum contributed cash to Blum Family Partners, L.P. (Blum Family) which contributed cash to Blum Capital Partners, L.P. Blum Capital Partners, L.P. (Blum Capital) made an investment in Cevian Capital II, LLC on behalf of Blum Family and other partners of Blum Capital. The value for 2012 is disclosed through Blum Family, rather than Blum Capital.

<u>Greycroft Partners, LP</u> (page 97)

Address: New York, NY

Business Description: A limited partnership that invests and trades in securities in business ventures which may provide significant opportunities for capital appreciation. The partnership has invested in 33 Across, Inc., Adly, Inc., Collective Media, Inc., Ceros Inc.(formerly Crowd Fusion, Inc.), Extreme Reach, Inc., Fanhattan Holding Corp (formerly Vuze, Inc.), Glam Media (formerly Sportgenic, Inc.), Health Plan One, Inc., Hip Digital Media, Inc., LicenseStream, Inc., LocalResponse, Inc.(formerly Buzzd, Inc.,) Oggifinogi, Inc., Joynet, Inc., K2 Network, Inc., ShoreTell (formerly M5 Networks, Inc.), MDX Medical, Inc., OnePIN, Inc., Salesforce (formerly Buddy Media, Inc.), and United Sample, Inc.

During 2012 Babble Media, Inc., Intent Media Works, Inc., Ladies Who Launch, Inc., Marketbrightm Inc., Panvidea, Inc., Sometrics, Inc., Tynt Multimedia Inc., Vizu Corporation, WideOrbit, Inc., and WorldWide Biggies, Inc. were sold.

Coral Growth Investments Limited (page 97)

Address: St. Peter Port, Guernsey



Business Description: A limited partnership that invests and trades in securities in business ventures which may provide significant opportunities for capital appreciation. The partnership has invested in Egyptian Treasury Notes, Arab Republic of Egypt Bond, Amoun Pharmaceutical Company and Lecico Egypt SAE.

Escrow Partners, L.P.

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Laguna Niguel, CA

Business Description: A limited partnership that invests and owns rights to the Washington Mutual litigation shares (American Savings Bank Escrow) in dispute with the US government.

Information On Non-Publicly Traded Assets

Several of the investments listed in Part III are not publicly traded. The following provides additional information about these investments, which were made or are owned by Richard C. Blum, Blum Family Partners, L.P. RCBA, Inc. or BCP, L.P.

Blum Investment Partners, Inc. (page 89 to 92)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Blum Investment Partners, Inc. is a corporation whose business is to invest in investment partnerships which invest funds in various countries. The entity owns a 1% or less interest in Blum G.C., L.P., Blum G.A., L.L.C., Blum GA Equity III Partners, L.L.C., Blum GA III, L.L.C., Blum Holdings Spokane, L.P., Rattlesnake Funding, L.L.C., Blum GA IV, LP, Richard C. Blum Family Partnership, L.P. and BCP Investment, L.P. Richard C Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity. Lucky Bluff, L.L.C. was transferred to Blum Investment Partners II, Inc. during 2012.

Blum Investment Partners II, Inc. (page 93)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

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Business Description: Blum Investment Partners II, Inc. is a corporation whose business is to invest in investment partnerships which invest funds in various countries. The entity owns a 1% or less interest in Lucky Bluff, L.L.C., and Blum Family Partners, L.P.

Blum G.C., L.P.

(page 86)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Blum G.C. is a limited partnership whose business is to invest in investment partnerships which invest funds in various Asian countries. Blum G.C., L.P. holds interests directly or indirectly in Newbridge Genpar Partnership, L.P., and Golden BCP, Inc. The Newbridge entities and Golden BCP were formed to manage, advise and invest in securities or entities conducting business in China and other countries or territories of Asia. Richard C Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

Blum G.A., L.L.C.

(page 71)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Blum G.A., LLC is a limited partnership whose business is to invest in investment partnerships which invest funds in various countries. Blum G.A., LLC does not hold any investments.

Blum GA III, L.L.C.

(page 87)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Blum GA III, L.L.C. is a limited liability company whose business is to invest in investment partnerships through Newbridge Asia Genpar III, L.P. Newbridge Advisors III, Inc. and Newbridge Asia Genpar AIV III, L.P. was formed to manage the investment Newbridge Asia III, L.P. (NBA III). NBA III was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.



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Blum GA III Equity Partners, LLC (page 88)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Blum GA III Equity Partners, LLC is a limited liability company whose business is to invest in investment partnerships through Newbridge Asia Equity III, L.P. (NBAE III LP). NBAE III LP was formed to invest in Newbridge Asia III, L.P. (NBA III). NBA III was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

Blum GA IV, LP (page 96)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Blum GA IV, LP is a limited partnership whose business is to invest in investment partnerships through Newbridge Asia Equity IV, L.P. (NBAE IV LP), TPG/NB Associates, LLC, TPG 2006 VSP, LP, TPG 2007 VSP, LP, TPG 2008 VSP, LP, TPG 2009 VSP, LP and TPG Holdings, LP. NBAE IV LP was formed to invest in Newbridge Asia IV, L.P. (NBA IV). NBA IV and TPG Asia V, LP was formed to invest in securities or entities conducting business in the countries and territories of Asia. Richard C Blum does not hold a capital investment interest in any investment made in the People's Republic of China by this entity.

RCBA LAF ADVISORS L.L.C. (page 110)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: RCBA LAF Advisors L.L.C. is a limited liability corporation whose business is to invest in investment partnerships which invest funds in various countries. Its only investment is in LAF Advisors LLC whose only investment is in LATAM GP, L.P. (which serves as general partner of Newbridge Latin America, L.P.).



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RCBA Newbridge LAF, L.L.C.

(page 70)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: RCBA Newbridge LAF, L.L.C. is a limited liability corporation whose business is to invest in entities which invest funds in various Latin American countries. RCBA Newbridge LAF, LLC holds interests directly or indirectly in LATAM GP, L.P., Newbridge Latin America, L.P. and Newbridge Andean Partners, L.P.

RCBA LAF Equity Partners L.L.C.

(page 69)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: RCBA LAF Equity Partners L.L.C. is a limited liability corporation whose business is to invest in investment partnerships which invest funds in various countries. It holds an interest directly in Newbridge Latin American L.P. (NLA) and indirectly in Newbridge Andean Partners, L.P. (NAP) NLA and NAP were formed to invest in various business ventures in Latin American which provide significant opportunities for capital appreciation.

Blum (K*Tec) Coinvestment Partners, L.P.

(page 66)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: A limited partnership formed to invest in Suntron Corporation, Phoenix, AZ. Suntron Corporation provides vertically integrated electronic manufacturing services.

Blum Holdings Spokane, L.P.

(page 91 and 96)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Blum Holdings Spokane, L.P. owns a commercial rental building in Spokane, WA.



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OZ Fitness Holdings Corp.

(page 99)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Fitness centers located in OR, WA, ID and MT.

BBA Foresight, L.L.C.

(page 94)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Real estate investment in undeveloped land in Arizona.

BBA Foresight II, L.L.C.

(page 94)

Address:

909 Montgomery Street, Suite 400

San Francisco, CA 94133

Business Description: Real estate investment in undeveloped land in New Mexico.

Bill Press Partners, L.L.C.

(page 96)

Address:

217 – 8th Street SE

Washington, D.C. 2003

Business Description: Investment in Bill Press' syndicated radio program.

CB Richard Ellis Partners U.S. IV, L.L.C.

(page 95)

Address:

865 South Figueroa Street, Suite 3500

Los Angeles, CA 90017

Business Description: Investment in real estate, loans and other securities.



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Elevance Renewable Sciences, Inc.

Address:

175 E Crossroads Parkway, Suite F

Bolingbrook, IL

Business Description: Creates a range of valued specialty chemicals from natural oils using technology called olefin metathesis. This investment is held by BCP Investments, LP through Blum Family Partners, LP. See page 95.

Kite Pharma, Inc.

Address:

10924 Le Conte Avenue

Los Angeles, CA 90024

Business Description: is a privately held development stage biotechnology company engaged in the design and development of pioneering immune-based targeted therapies for multiple cancer indications. This investment is held by BCP Investments, LP through Blum Family Partners, LP. See page 95.

Filmyard Holdings, LLC

(page 100)

Address:

2450 Broadway, 6th Floor

Santa Monica, CA 90404

Business Description: Motion picture film and film rights library.

RST, Inc.

(page 100)

Address:

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3791 Rocky Knoll Way

Santa Rosa, CA

Business Description: Premier internet source of fine wine and related services.

BDK Holdings, LLC

(page 103)

Address:

Two South Biscayne Blvd. Suite 3663

Miami, FL 33131



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Business Description: To explore investments in airline cargo services.

<u>Tensile Capital Management</u> (page 100)

Address:

Two Embarcadero Center

San Francisco, CA

Business Description: Value oriented investment hedge fund.

<u>Colony American Homes Holdings</u> (page 97)

Address:

100 Pine Street

San Francisco, CA

Business Description: a leading owner and provider of high quality single family residences for rental across the United States.

Pension

The Senator receives a pension from the City of San Francisco. The pension has been valued in the category of \$500,000 to \$1,000,000 based on a valuation provided by the Retirement Division of the City of San Francisco.

Reporting Convention For Compensation Income From Investments

Mr. Blum receives a salary from BCP L.P. He also receives dividend income from RCBA Inc. The category indicated under "Amount of Income" is based on dividends received. The column "Actual Amount Only if 'Other' Specified" indicates salary compensation.

As reported in Part II, Mr. Blum received fees from Coral Growth Investments Limited, CB Richard Ellis Group Inc., and has investments in these corporations as well. In addition, Mr. Blum receives fees from CDP- Concord Egyptian Fund, but does not have an investment in this Fund. The fees from CB Richard Ellis were paid by Mr. Blum to investment management funds of Blum Capital.



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Valuation Methods

Traded securities are valued at quoted market value or at fair market value.

Non-traded securities are valued at fair market value based on factors such as cost, projected profits, projected cash flows and comparisons with similar businesses.

Real estate investments are valued at cost or at estimated value.

Partnership interests are valued based on a computation of pro rata share of the estimated net fair value of the partnership as recorded for financial statement reporting purposes.

Assets held as nominee for others are excluded from the report.

Income Determination Methods

Dividends, interest and salary are reported in the period received. In the case of interest earned on notes receivable, interest is reported as the amount accrued.

Capital gains are reported in the period realized. For individual securities, capital gains are reported net of offsetting losses realized during the reporting period. Capital losses are not otherwise offset against other sources of income. For instance, income relating to an investment that produced dividend income and capital losses during the period is reported at the amount of the dividend income only. Changes in unrealized capital gains are not included.

Income from S-Corporations is reported as the amount of dividends received, which differs from taxable income reportable on the Internal Revenue Service's form Schedule K-1. Income from partnerships is reported as the amount of distributions received from the partnership, which differs from taxable income reportable on Internal Revenue Service's form Schedule K-1.

Nanne Feinstein

United States Senator

