

# 1 On Simple Back-Off in Unreliable Radio Networks\*

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## 14 — Abstract —

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15 In this paper, we study local and global broadcast in the dual graph model, which describes  
16 communication in a radio network with both reliable and unreliable links. Existing work proved  
17 that efficient solutions to these problems are impossible in the dual graph model under standard  
18 assumptions. In real networks, however, simple back-off strategies tend to perform well for solv-  
19 ing these basic communication tasks. We address this apparent paradox by introducing a new  
20 set of constraints to the dual graph model that better generalize the slow/fast fading behavior  
21 common in real networks. We prove that in the context of these new constraints, simple back-off  
22 strategies now provide efficient solutions to local and global broadcast in the dual graph model.  
23 We also precisely characterize how this efficiency degrades as the new constraints are reduced  
24 down to non-existent, and prove new lower bounds that establish this degradation as near opti-  
25 mal for a large class of natural algorithms. We conclude with an analysis of a more general model  
26 where we propose an enhanced back-off algorithm. These results provide theoretical foundations  
27 for the practical observation that simple back-off algorithms tend to work well even amid the  
28 complicated link dynamics of real radio networks.

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## 35 **1 Introduction**

36 In this paper, we study upper and lower bounds for efficient broadcast in the dual graph  
37 radio network model [4, 12, 13, 3, 6, 5, 8, 7, 15, 9], a dynamic network model that describes

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\* Definitions and preliminary results concerning the local broadcast problem appeared in the brief announcement [10], published in the Proceedings of 32nd International Symposium on DIStributed Computing (DISC) 2018.



38 wireless communication over both reliable and unreliable links. As argued in previous studies  
 39 of this setting, including unpredictable link behavior in theoretical wireless network models  
 40 is important because in real world deployments radio links are often quite dynamic.

41 **The Back-Off Paradox.** Existing papers [13, 8, 15] proved that it is impossible to solve  
 42 standard broadcast problems efficiently in the dual graph model without the addition of  
 43 strong extra assumptions (see related work). In real radio networks, however, which suf-  
 44 fer from the type of link dynamics abstracted by the dual graph model, simple back-off  
 45 strategies tend to perform quite well. These dueling realities seem to imply a dispiriting  
 46 gap between theory and practice: basic communication tasks that are easily solved in real  
 47 networks are impossible when studied in abstract models of these networks.

48 *What explains this paradox?* This paper tackles this fundamental question.

49 As detailed below, we focus our attention on the *adversary* entity that decides which  
 50 unreliable links to include in the network topology in each round of an execution in the dual  
 51 graph model. We introduce a new type of adversary with constraints that better generalize  
 52 the dynamic behavior of real radio links. We then reexamine simple back-off strategies  
 53 originally introduced in the standard radio network model [2] (which has only reliable links),  
 54 and prove that for reasonable parameters, these simple strategies *now do* guarantee efficient  
 55 communication in the dual graph model combined with our new, more realistic adversary.

56 We also detail how this performance degrades toward the existing dual graph lower  
 57 bounds as the new constraints are reduced toward non-existent, and prove lower bounds  
 58 that establish these bounds to be near tight for a large and natural class of back-off strate-  
 59 gies. Finally, we perform investigations of even more general (and therefore more difficult)  
 60 variations of this new style of adversary that continue to underscore the versatility of simple  
 61 back-off strategies.

62 We argue that these results help resolve the back-off paradox described above. When  
 63 unpredictable link behavior is modeled properly, predictable algorithms prove to work sur-  
 64 prisingly well.

65 **The Dual Graph Model.** The dual graph model describes a radio network topology with  
 66 two graphs,  $G = (V, E)$  and  $G' = (V, E')$ , where  $E \subseteq E'$ ,  $V$  corresponds to the wireless  
 67 devices,  $E$  corresponds to reliable (high quality) links, and  $E' \setminus E$  corresponds to unreliable  
 68 (quality varies over time) links. In each round, all edges from  $E$  are included in the network  
 69 topology. Also included is an additional subset of edges from  $E' \setminus E$ , chosen by an *adver-*  
 70 *sary*. This subset can change from round to round. Once the topology is set for the round,  
 71 the model implements the standard communication rules from the classical radio network  
 72 model: a node  $u$  receives a message broadcast by its neighbor  $v$  in the topology if and only  
 73 if  $u$  decides to receive and  $v$  is its only neighbor broadcasting in the round.

74 We emphasize that the abstract models used in the sizable literature studying distributed  
 75 algorithms in wireless settings do not claim to provide high fidelity representations of real  
 76 world radio signal communication. They instead each capture core dynamics of this setting,  
 77 enabling the investigation of fundamental algorithmic questions. The well-studied radio  
 78 network model, for example, provides a simple but instructive abstraction of message loss  
 79 due to collision. The dual graph model generalizes this abstraction to also include network  
 80 topology dynamics. Studying the gaps between these two models provides insight into the  
 81 hardness induced by the types of link quality changes common in real wireless networks.

82 **The Fading Adversary.** Existing studies of the dual graph model focused mainly on the  
 83 information about the algorithm known to the model adversary when it makes its edge  
 84 choices. In this paper, we place additional constraints on how these choices are generated.

Problem	Time	Prob.	Remarks	Ref.
Local broadcast	$O\left(\frac{\Delta^{1/\bar{\tau}} \cdot \bar{\tau}^2}{\log \Delta} \cdot \log(1/\epsilon)\right)$	$1 - \epsilon$	$\bar{\tau} = \min\{\tau, \log \Delta\}$	Thm 6
	$\Omega\left(\frac{\Delta^{1/\tau} \tau}{\log \Delta}\right)$	$\frac{1}{2}$	$\tau \in O(\log \Delta)$	Thm 7
	$\Omega\left(\frac{\Delta^{1/\tau} \tau^2}{\log \Delta}\right)$	$\frac{1}{2}$	$\tau \in O(\log \Delta / \log \log \Delta)$	Thm 8
Global broadcast	$O\left((D + \log(n/\epsilon)) \cdot \frac{\Delta^{1/\bar{\tau}} \bar{\tau}^2}{\log \Delta}\right)$	$1 - \epsilon$	$\bar{\tau} = \min\{\tau, \log \Delta\}$	Thm 9
	$\Omega\left(D \cdot \frac{\Delta^{1/\tau} \tau}{\log \Delta}\right)$	$\frac{1}{2}$	$\tau \in O(\log \Delta)$	Thm 10
	$\Omega\left(D \cdot \frac{\Delta^{1/\tau} \tau^2}{\log \Delta}\right)$	$\frac{1}{2}$	$\tau \in O(\log \Delta / \log \log \Delta)$	Thm 10

■ **Table 1** A summary of the upper and lower bounds proved in this paper, along with pointers to the corresponding theorems. In the following,  $n$  is the network size,  $\Delta \leq n$  is an upper bound on local neighborhood size,  $D$  is the (reliable link) network diameter, and  $\tau$  is the stability factor constraining the adversary.

85 In more detail, in each round, the adversary independently draws the set of edges from  
 86  $E' \setminus E$  to add to the topology from some probability distribution defined over this set. We  
 87 do not constrain the properties of the distributions selected by the adversary. Indeed, it  
 88 is perfectly valid for the adversary in a given round to use a point distribution that puts  
 89 the full probability mass on a single subset, giving it full control over its selection for the  
 90 round. We also assume the algorithm executing in the model has no advance knowledge of  
 91 the distributions used by the adversary.

92 We do, however, constrain how often the adversary can change the distribution from  
 93 which it selects these edge subsets. In more detail, we parameterize the model with a *sta-*  
 94 *bility factor*,  $\tau \geq 1$ , and restrict the adversary to changing the distribution it uses at most  
 95 once every  $\tau$  rounds. For  $\tau = 1$ , the adversary can change the distribution in every round,  
 96 and is therefore effectively unconstrained and behaves the same as in the existing dual graph  
 97 studies. On the other extreme, for  $\tau = \infty$ , the adversary is now quite constrained in that  
 98 it must draw edges independently from the same distribution for the entire execution. As  
 99 detailed below, we find  $\tau \approx \log \Delta$ , for local neighborhood size  $\Delta$ , to be a key threshold after  
 100 which efficient communication becomes tractable.

101 Notice, these constraints do not prevent the adversary from inducing large amounts of  
 102 changes to the network topology from round to round. For non-trivial  $\tau$  values, however,  
 103 they do require changes that are nearby in time to share some underlying stochastic struc-  
 104 ture. This property is inspired by the general way wireless network engineers think about  
 105 unreliability in radio links. In their analytical models of link behavior (used, for example, to  
 106 analyze modulation or rate selection schemes, or to model signal propagation in simulation),  
 107 engineers often assume that in the short term, changes to link quality come from sources  
 108 like noise and multi-path effects, which can be approximated by independent draws from an  
 109 underlying distribution (Gaussian distributions are common choices for this purpose). Long  
 110 term changes, by contrast, can come from modifications to the network environment itself,  
 111 such as devices moving, which do not necessarily have an obvious stochastic structure, but  
 112 unfold at a slower rate than short term fluctuations.

113 In our model, the distribution used in a given round captures short term changes, while  
 114 the adversary's arbitrary (but rate-limited) changes to these distributions over time capture  
 115 long term changes. Because these general types of changes are sometimes labeled *short/fast*  
 116 *fading* in the systems literature (e.g., [17]), we call our new adversary a *fading adversary*.

117 **Our Results and Related Work.** In this paper, we study both *local* and *global* broadcast.  
 118 The local version of this problems assumes some subset of devices in a dual graph network  
 119 are provided broadcast messages. The problem is solved once each receiver that neighbors a  
 120 broadcaster in  $E$  receives at least one message. The global version assumes a single broad-  
 121 caster starts with a message that it must disseminate to the entire network. Below we  
 122 summarize the relevant related work on these problems, and the new bounds proved in this  
 123 paper. We conclude with a discussion of the key ideas behind these new results.

124 *Related Work.* In the standard radio network model, which is equivalent to the dual graph  
 125 model with  $E = E'$ , Bar-Yehuda et al. [2] demonstrate that a simple randomized back-off  
 126 strategy called *Decay* solves local broadcast in  $O(\log^2 n)$  rounds and global broadcast in  
 127  $O(D \log n + \log^2 n)$  rounds, where  $n = |V|$  is the network size and  $D$  is the diameter of  $G$ .  
 128 Both results hold with high probability in  $n$ , and were subsequently proved to be optimal  
 129 or near optimal<sup>1</sup> [1, 14, 16].

130 In [12, 13], it is proved that global broadcast (with constant diameter), and local broad-  
 131 cast require  $\Omega(n)$  rounds to solve with reasonable probability in the dual graph model  
 132 with an offline adaptive adversary controlling the unreliable edge selection, while [8] proves  
 133 that  $\Omega(n/\log n)$  rounds are necessary for both problems with an online adaptive adversary.  
 134 As also proved in [8]: even with the weaker oblivious adversary, local broadcast requires  
 135  $\Omega(\sqrt{n}/\log n)$  rounds, whereas global broadcast *can* be solved in an efficient  $O(D \log(n/D) +$   
 136  $\log^2 n)$  rounds, but only if the broadcast message is sufficiently large to contain enough shared  
 137 random bits for all nodes to use throughout the execution. In [15], an efficient algorithm for  
 138 local broadcast with an oblivious adversary is provided given the assumption of geographic  
 139 constraints on the dual graphs, enabling complicated clustering strategies that allow nearby  
 140 devices to coordinate randomness.

141 *New Results.* In this paper, we turn our attention to local and global broadcast in the  
 142 dual graph model with a fading adversary constrained by some stability factor  $\tau$  (unknown  
 143 to the algorithm). We start by considering upper bounds for a simple back-off style strategy  
 144 inspired by the *decay* routine from [2]. This routine has broadcasters simply cycle through a  
 145 fixed set of broadcast probabilities in a synchronized manner (all broadcasters use the same  
 146 probability in the same round). We prove that this strategy solves local broadcast with  
 147 probability at least  $1 - \epsilon$ , in  $O\left(\frac{\Delta^{1/\bar{\tau}} \cdot \bar{\tau}^2}{\log \Delta} \cdot \log(1/\epsilon)\right)$  rounds, where  $\Delta$  is an upper bound on  
 148 local neighborhood size, and  $\bar{\tau} = \min\{\tau, \log \Delta\}$ .

149 Notice, for  $\tau \geq \log \Delta$  this bound simplifies to  $O(\log \Delta \log(1/\epsilon))$ , matching the optimal  
 150 results from the standard radio network model.<sup>2</sup> This performance, however, degrades to-  
 151 ward the polynomial lower bounds from the existing dual graph literature as  $\tau$  reduces from  
 152  $\log \Delta$  toward a minimum value of 1. We show this degradation to be near optimal by prov-  
 153 ing that *any* local broadcast algorithm that uses a fixed sequence of broadcast probabilities  
 154 requires  $\Omega(\Delta^{1/\tau} \tau / \log \Delta)$  rounds to solve the problem with probability  $1/2$  for a given  $\tau$ .  
 155 For  $\tau \in O(\log \Delta / \log \log \Delta)$ , we refine this bound further to  $\Omega(\Delta^{1/\tau} \tau^2 / \log \Delta)$ , matching  
 156 our upper bound within constant factors.

157 We next turn our attention to global broadcast. We consider a straightforward global  
 158 broadcast algorithm that uses our local broadcast strategy as a subroutine. We prove that  
 159 this algorithm solves global broadcast with probability at least  $1 - \epsilon$ , in  $O(D + \log(n/\epsilon))$ .

<sup>1</sup> The broadcast algorithm from [2] requires  $O(D \log n + \log^2 n)$  rounds, whereas the corresponding lower bound is  $\Omega(D \log(n/D) + \log^2 n)$ . This gap was subsequently closed by a tighter analysis of a natural variation of the simple *Decay* strategy used in [2]

<sup>2</sup> To make it match exactly, set  $\Delta = n$  and  $\epsilon = 1/n$ , as is often assumed in this prior work.

160  $\Delta^{1/\bar{\tau}} \bar{\tau}^2 / \log \Delta$  rounds, where  $D$  is the diameter of  $G$ , and  $\bar{\tau} = \min\{\tau, \log \Delta\}$ . Notice, for  
 161  $\tau \geq \log \Delta$  this bound reduces to  $O(D \log \Delta + \log \Delta \log(1/\epsilon))$ , matching the near optimal  
 162 result from the standard radio network model. As with local broadcast, we also prove the  
 163 degradation of this performance as  $\tau$  shrinks to be near optimal. (See Table 1 for a summary  
 164 of these results and pointers to where they are proved in this paper.)

165 Finally we consider the generalized model when we allow correlation between the dis-  
 166 tributions selected by the adversary within a given stable period of  $\tau$  rounds. It turns out  
 167 that in the case of arbitrary correlations any simple algorithm needs time  $\Omega(\sqrt{\Delta}/l)$  if it  
 168 uses only cycles of length  $l$ . In particular any our previous algorithms would require time  
 169  $\Omega(\sqrt{\Delta}/\log \Delta)$  in the model with arbitrary correlations. The adversary construction in this  
 170 lower bound requires large changes in the degree of a node in successive steps. Such changes  
 171 are unlikely in real networks thus we propose a restricted version of the adversary. We assume  
 172 that the expected change in the degree of any node can be at most  $\Delta^{1/(\bar{\tau}(1-o(1)))}$ . With such  
 173 restriction it is again possible to propose a simple, but slightly enhanced, back-off strategy  
 174 (with a short cycle of probabilities) that works efficiently in time  $O(\Delta^{1/\bar{\tau}} \cdot \bar{\tau} \cdot \log(1/\epsilon))$ .

175 *Technique Discussion.* Simple back-off strategies can be understood as experimenting  
 176 with different *guesses* at the amount of contention afflicting a given receiver. If the network  
 177 topology is static, this contention is fixed, therefore so is the *right* guess. A simple strategy  
 178 cycling through a reasonable set of guesses will soon arrive at this right guess—giving the  
 179 message a good chance of propagating.

180 The existing lower bounds in the dual graph setting deploy an adversary that changes  
 181 the topology in each round to specifically thwart that round's guess. In this way, the al-  
 182 gorithm never has the right guess for the current round so its probability of progress is  
 183 diminished. The fading adversary, by contrast, is prevented from adopting this degenerate  
 184 behavior because it is required to stick with the same distribution for  $\tau$  consecutive rounds.  
 185 An important analysis at the core of our upper bounds reveals that any fixed distribution  
 186 will be associated with a right guess defined with respect to the details of that distribution.  
 187 If  $\tau$  is sufficiently large, our algorithms are able to experiment with enough guesses to hit  
 188 on this right guess before the adversary is able to change the distribution.

189 More generally speaking, the difficulty of broadcast in the previous dual graph studies  
 190 was *not* due to the ability of the topology to change dramatically from round to round (which  
 191 can happen in practice), but instead due to the model's ability to precisely tune these changes  
 192 to thwart the algorithm (a behavior that is hard to motivate). The dual graph model with  
 193 the fading adversary preserves the former (realistic) behavior while minimizing the latter  
 194 (unrealistic) behavior.

## 195 2 Model and Problem

196 We study the dual graph model of unreliable radio networks. This model describes the net-  
 197 work topology with two graphs  $G = (V, E)$  and  $G' = (V, E')$ , where  $E \subseteq E'$ . The  $n = |V|$   
 198 vertices in  $V$  correspond to the wireless devices in the network, which we call *nodes* in the  
 199 following. The edge in  $E$  describe reliable links (which maintain a consistently high quality),  
 200 while the edges in  $E' \setminus E$  describe unreliable links (which have quality that can vary over  
 201 time). For a given dual graph, we use  $\Delta$  to describe the maximum degree in  $G'$ , and  $D$  to  
 202 describe the diameter of  $G$ .

203 Time proceeds in synchronous rounds that we label  $1, 2, 3, \dots$ . For each round  $r \geq 1$ , the  
 204 network topology is described by  $G_r = (V, E_r)$ , where  $E_r$  contains all edges in  $E$  plus a  
 205 subset of the edges in  $E' \setminus E$ . The subset of edges from  $E' \setminus E$  are selected by an *adversary*.  
 206 The graph  $G_r$  can be interpreted as describing the high quality links during round  $r$ . That

207 is, if  $\{u, v\} \in E_r$ , this mean the link between  $u$  and  $v$  is strong enough that  $u$  could deliver  
 208 a message to  $v$ , or garble another message being sent to  $v$  at the same time.

209 With the topology  $G_r$  established for the round, behavior proceeds as in the standard  
 210 radio network model. That is, each node  $u \in V$  can decide to transmit or receive. If  $u$   
 211 transmits, it learns nothing about other messages transmitted in the round (i.e., the radios  
 212 are half-duplex). If  $u$  receives and exactly one neighbor  $v$  of  $u$  in  $E_r$  transmits, then  $u$   
 213 receives  $v$ 's message. If  $u$  receives and two or more neighbors in  $E_r$  transmit,  $u$  receives  
 214 nothing as the messages are lost due to collision. If  $u$  receives and no neighbor transmits,  
 215  $u$  also receives nothing. We assume  $u$  does not have collision detection, meaning it cannot  
 216 distinguish between these last two cases.

217 **The Fading Adversary.** A key assumption in studying the dual graph model are the con-  
 218 straints placed on the adversary that selects the unreliable edges to include in the network  
 219 topology in each round. In this paper, we study a new set of constraints inspired by real  
 220 network behavior. In more detail, we parameterize the adversary with a *stability factor* that  
 221 we represent with an integer  $\tau \geq 1$ . In each round, the adversary must draw the subset  
 222 of edges (if any) from  $E' \setminus E$  to include in the topology from a distribution defined over  
 223 these edges. The adversary selects which distributions it uses. Indeed, we assume it is  
 224 *adaptive* in the sense that it can wait until the beginning of a given round before deciding  
 225 the distribution it will use in that round, basing its decision on the history of the nodes'  
 226 transmit/receive behavior up to this point, including the previous messages they send, but  
 227 not including knowledge of the nodes' private random bits.

228 The adversary is constrained, however, in that it can change this distribution at most  
 229 once every  $\tau$  rounds. On one extreme, if  $\tau = 1$ , it can change the distribution in every round  
 230 and is effectively unconstrained in its choices. On the other other extreme, if  $\tau = \infty$ , it must  
 231 stick with the same distribution for every round. For most of this paper, we assume the  
 232 draws from these distributions are independent in each round. Toward the end, however,  
 233 we briefly discuss what happens when we generalize the model to allow more correlations.

234 As detailed in the introduction, because these constraints roughly approximate the fast/s-  
 235 low fading behavior common in the study of real wireless networks, we call a dual graph  
 236 adversary constrained in this manner a *fading adversary*.

237 **Problem.** In this paper, we study both the *local* and *global* broadcast problems. The local  
 238 broadcast problem assumes a set  $B \subseteq V$  of nodes are provided with a message to broadcast.  
 239 Each node can receive a unique message. Let  $R \subseteq V$  be the set of nodes in  $V$  that neighbor  
 240 at least one node in  $B$  in  $E$ . The problem is solved once every node in  $R$  has received at least  
 241 one message from a node in  $B$ . We assume all nodes in  $B$  start the execution during round  
 242 1, but do not require that  $B$  and  $R$  are disjoint (i.e., broadcasters can also be receivers).  
 243 The global broadcast problem, by contrast, assumes a single source node in  $V$  is provided a  
 244 broadcast message during round 1. The problem is solved once all nodes have received this  
 245 message. Notice, local broadcast solutions are often used as subroutines to help solve global  
 246 broadcast.

247 **Uniform Algorithms.** The broadcast upper and lower bounds we study in this paper focus  
 248 on *uniform algorithms*, which require nodes to make their probabilistic transmission deci-  
 249 sions according to a predetermined sequence of broadcast probabilities that we express as a  
 250 repeating cycle,  $(p_1, p_2, \dots, p_k)$  of  $k$  probabilities in synchrony. In studying global broadcast,  
 251 we assume that on first receiving a message, a node can wait to start making probabilistic  
 252 transmission decisions until the cycle resets. We assume these probabilities can depend on  
 253  $n$ ,  $\Delta$  and  $\tau$  (or worst-case bounds on these values).

254 In uniform algorithms in the model with fading adversary an important parameter of any  
 255 node  $v$  is its *effective degree* in step  $t$  denoted by  $d_t(v)$  and defined as the number of nodes  
 256  $w$  such that  $(v, w) \in E_t$  and  $w$  has a message to transmit (i.e., will participate in step  $t$ ).

257 As mentioned in the introduction, uniform algorithms, such as the *decay* strategy from [2],  
 258 solve local and global broadcast with optimal efficiency in the standard radio network model.  
 259 A major focus of this paper is to prove that they work well in the dual graph model as well,  
 260 if we assume a fading adversary with a reasonable stability factor.

261 The fact that our lower bounds assume the algorithms are uniform technically weaken  
 262 the results, as there might be non-uniform strategies that work better. In the standard radio  
 263 network model, however, this does not prove to be the case: uniform algorithms for local and  
 264 global broadcast match lower bounds that hold for all algorithms (c.f., discussion in [16]).

### 265 **3 Local broadcast**

266 We begin by studying upper and lower bounds for the local broadcast problem. Our upper  
 267 bound performs efficiently once the stability factor  $\tau$  reaches a threshold of  $\log \Delta$ . As  $\tau$   
 268 decreases toward a minimum value of 1, this efficiency degrades rapidly. Our lower bounds  
 269 capture that this degradation for small  $\tau$  is unavoidable for uniform algorithms. In the fol-  
 270 lowing we use the notation  $\bar{\tau} = \min\{\tau, \lceil \log \Delta \rceil\}$ . By  $\log n$  we will always denote logarithm  
 271 at base 2 and by  $\ln n$  the natural logarithm.

#### 272 **3.1 Upper Bound**

273 All uniform local broadcast algorithms behave in the same manner: the nodes in  $B$  repeat-  
 274 edly broadcast according to some fixed cycle of  $k$  broadcast probabilities. We formalize  
 275 this strategy with algorithm RLB (Robust Local Broadcast) described below (we break out  
 276 **Uniform** into its own procedure as we later use it in our improved FRLB local broadcast algo-  
 277 rithm as well):

<pre> 1 <b>Procedure:</b> Uniform(<math>k, p_1, p_2, \dots, p_k</math>) 2 <b>for</b> <math>i = 1, 2, \dots, k</math> <b>do</b> 3   <b>if</b> <i>has message</i> <b>then</b> 4       with probability <math>p_i</math> <b>Transmit</b> otherwise <b>Listen</b> 5   <b>else</b> 6       <b>Listen</b> // without a message always listen </pre>	<pre> 1 <b>Algorithm:</b> RLB(<math>r, \bar{\tau}</math>) 2 <b>for</b> <math>i \leftarrow 1</math> <b>to</b> <math>\bar{\tau}</math> <b>do</b> <math>p_i \leftarrow \Delta^{-i/\bar{\tau}}</math> 3 <b>repeat</b> <math>r</math> <b>times</b> 4     <b>Uniform</b> (<math>\bar{\tau}, p_1, p_2, \dots, p_{\bar{\tau}}</math>) </pre>
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278 Before we prove the complexity of RLB we will show two useful properties of any uniform  
 279 algorithm. Let  $R_t^{(v)}$  denote the event that node  $v$  receives a message from some neighbor in  
 280 step  $t$ .

281 ► **Lemma 1.** *For any uniform algorithm and any node  $v$  and step  $t$  if  $d_t(v) > 0$  and the*  
 282 *algorithm uses in step  $t$  probability  $p \leq 1/2$ , then  $\Pr[R_t^{(v)}] \geq \frac{p \cdot d_t(v)}{(2e)^{p \cdot d_t(v)}}$ .*

283 **Proof.** For this to happen exactly one among  $d_t(v)$  neighbors of  $v$  has to transmit and  $v$   
 284 must not transmit. Node  $v$  does not transmit with probability  $1 - p$  if it has the message  
 285 and clearly with probability 1 if it has the message. Denote by  $\alpha = p \cdot d_t(v)$ . We have

$$\begin{aligned}
 \Pr[R_t^{(v)}] &\geq p d_t(v) \cdot (1 - p)^{d_t(v)} = \alpha \cdot \left(1 - \frac{\alpha}{d_t(v)}\right)^{d_t(v)} \\
 &= \alpha \left( \left(1 - \frac{\alpha}{d_t(v)}\right)^{d_t(v)/\alpha - 1} \cdot (1 - p) \right)^\alpha \geq \alpha (e^{-1}(1 - p))^\alpha \geq \frac{\alpha}{(2e)^\alpha}.
 \end{aligned}$$

288  
289

290 ▶ **Lemma 2.** For any uniform algorithm, node  $v$  and step  $t$  if  $d_t(v) > 0$ :

$$291 \quad \Pr\left[R_t^{(v)} \mid d_t(v) \in [d_1, d_2]\right] \geq \min\left\{\Pr\left[R_t^{(v)} \mid d_t(v) = d_1\right], \Pr\left[R_t^{(v)} \mid d_t(v) = d_2\right]\right\}.$$

292 **Proof.** If the algorithm uses probability  $p$  in step  $t$  then  $\Pr\left[R_t^{(v)}\right] = pd_t(v)(1-p)^{d_t(v)}$ .  
 293 Seeing this expression as a function of  $d_t(v)$  we can compute the derivative and obtain that  
 294 this function has a single maximum in  $d_t(v) = 1/(\ln(1/(1-p)))$ . Hence if we restrict  $d_t(v)$   
 295 to be within a certain interval, then value of the function is lower bounded by the minimum  
 296 at the endpoints of the interval. ◀

297 Our upper bound analysis leverages the following useful lemma which can be shown by  
 298 induction on  $n$  (the left side is also known as the Weierstrass Product Inequality):

299 ▶ **Lemma 3.** For any  $x_1, x_2, \dots, x_n$  such that  $0 \leq x_i \leq 1$ :

$$300 \quad 1 - \sum_{i=1}^n x_i \leq \prod_{i=1}^n (1 - x_i) \leq 1 - \sum_{i=1}^n x_i + \sum_{1 \leq i < j \leq n} x_i x_j.$$

301 To begin our analysis, we focus on the behavior of our algorithm with respect to a  
 302 single receiver when we use the transmit probability sequence  $p_1, p_2, \dots, p_{\bar{\tau}}$ , where  $\bar{\tau} =$   
 303  $\min\{\tau, \lceil \log \Delta \rceil\}$ , and  $p_i = \Delta^{-i/\bar{\tau}}$ .

304 ▶ **Lemma 4.** Fix any receiver  $u \in R$  and error bound  $\epsilon > 0$ . It follows:  $RLB(2\lceil \ln(1/\epsilon) \rceil \cdot \lceil 4e \cdot$   
 305  $\Delta^{1/\bar{\tau}} \rceil, \bar{\tau})$  delivers a message to  $u$  with probability at least  $1 - \epsilon$  in time  $O(\Delta^{1/\bar{\tau}} \bar{\tau} \log(1/\epsilon))$ .

306 **Proof.** It is sufficient to prove the claim for  $\tau \leq \log \Delta$ . For  $\tau > \log \Delta$  we use the algorithm  
 307 for  $\tau = \log \Delta$ . Note that any algorithm that is correct for some  $\tau$  must also work for any  
 308 larger  $\tau$  because the adversary may not choose to change the distribution as frequently as  
 309 it is permitted to. In the case where  $\tau \leq \log \Delta$  we get that  $\Delta^{1/\tau} \geq 2$ .

310 We want to show that if the nodes from  $N_u \cap B$  execute procedure **Uniform**( $\tau, p_1, \dots, p_\tau$ )  
 311 twice, then  $u$  receives some message with probability at least  $\log \Delta / (2e\Delta^{1/\tau}\tau)$ . Every time  
 312 we execute **Uniform** twice, we have a total of  $2\tau$  consecutive time slots out of which, by  
 313 the definition of our model, at least  $\tau$  consecutive slots have the same distribution of the  
 314 additional edges and moreover stations try all the probabilities  $p_1, p_2, \dots, p_\tau$  (not necessarily  
 315 in this order). Let  $T$  denote the set of these  $\tau$  time slots and for  $i = 1, 2, \dots, \tau$  let  $t_i \in T$  be  
 316 the step in which probability  $p_i$  is used. We also denote the distribution used in steps from  
 317 set  $T$  by  $\mathcal{E}^{(T)}$ . Hence we can denote the edges between  $u$  and its neighbors that have some  
 318 message by  $E_{part} = \{(u, b) : b \in B\} \cap E'$ . We know that the edge sets are chosen independ-  
 319 ently from the same distribution:  $E_t \sim \mathcal{E}^{(T)}$  for  $t \in T$ . Let us denote by  $X_t = |E_t \cap E_{part}|$   
 320 the random variable being the number of neighbors that are connected to  $u$  in step  $t$  and  
 321 belong to  $B$ . For each  $i$  from 1 to  $\tau$  we define  $q_i = \Pr\left[\Delta^{(i-1)/\tau} < X_t \leq \Delta^{i/\tau}\right]$ , for any  
 322  $t \in T$ . Observe that probabilities  $q_i$  do not depend on  $t$  during the considered  $\tau$  rounds.  
 323 Moreover since  $u \in R$  then  $u$  is connected via a reliable edge to at least one node in  $B$ , thus  
 324  $E \cap E_{part} \neq \emptyset$ , hence  $\Pr[X_t = 0] = 0$  thus:

$$325 \quad \sum_{i=1}^{\tau} q_i = 1, \tag{1}$$

326 Let  $S_i$  denote the indicator random variable being 1 if in  $t_i$ -th round if exactly one neighbor  
 327 of  $u$  transmits and  $u$  is not transmitting in round  $t$  and 0 otherwise. Clearly if  $S_i = 1$  in  
 328 some round  $t$ , then  $u$  receives some message in round  $t$ . Then we would like to show for  
 329 each  $i = 1, 2, \dots, \tau$  that:

$$330 \quad \Pr[S_i = 1] \geq \frac{q_i}{2e\Delta^{1/\tau}}. \tag{2}$$



331 In  $t_i$ -th slot the transmission probability is  $p_i = \Delta^{-i/\tau}$  and the transmission choices done  
 332 by the stations are independent from the choice of edges  $E_{t_i}$  active in round  $t_i$ . Note that  
 333  $u$  might also belong  $R$  and try to transmit. But since  $p_i \leq 1/2$  then  $u$  is not transmitting  
 334 with probability at least  $1/2$ . If  $Q_i$  denotes the event that  $\Delta^{(i-1)/\tau} < X_{t_i} \leq \Delta^{i/\tau}$  then:

$$\begin{aligned}
 335 \quad \Pr[S_i = 1] &\geq \Pr[S_i = 1|Q_i] \cdot \Pr[Q_i] \\
 336 &\geq p_i(\Delta^{(i-1)/\tau} + 1) \cdot (1 - p_i)^{\Delta^{(i-1)/\tau}} \cdot \frac{1}{2} \cdot q_i \\
 337 &\geq p_i \Delta^{(i-1)/\tau} \cdot (1 - p_i)^{\Delta^{i/\tau} - 1} \cdot \frac{1}{2} \cdot q_i \\
 338 &\geq \Delta^{-1/\tau} \cdot \left(1 - \frac{1}{\Delta^{i/\tau}}\right)^{\Delta^{i/\tau} - 1} \cdot \frac{q_i}{2} \geq \frac{q_i}{2e\Delta^{1/\tau}},
 \end{aligned}$$

339 because inequality  $(1 - 1/x)^{x-1} \geq e^{-1}$  holds for all  $x > 0$ . Since the edge sets are chosen  
 340 independently in each step and the random choices of the stations whether to transmit or  
 341 not are also independent from each other we have:

$$\begin{aligned}
 343 \quad \Pr\left[\bigwedge_{i=1}^{\tau} (S_i = 0)\right] &= \prod_{i=1}^{\tau} \Pr[S_i = 0] \leq \prod_{i=1}^{\tau} \left(1 - \frac{q_i}{2e\Delta^{1/\tau}}\right) \quad \text{by Equation (2)} \\
 344 &\leq 1 - \sum_{i=1}^{\tau} \frac{q_i}{2e\Delta^{1/\tau}} + \sum_{1 \leq i < j \leq \tau} \frac{q_i q_j}{4e^2 \Delta^{2/\tau}} \quad \text{by Lemma 3} \\
 345 &\leq 1 - \frac{\sum_{i=1}^{\tau} q_i}{2e\Delta^{1/\tau}} + \frac{(\sum_{i=1}^{\tau} q_i)^2}{4e^2 \Delta^{2/\tau}} \\
 346 &\leq 1 - \frac{1}{2e\Delta^{1/\tau}} + \frac{1}{4e^2 \Delta^{2/\tau}} \leq 1 - \frac{1}{4e\Delta^{1/\tau}} \quad \text{by Equation (1)}
 \end{aligned}$$

348 Hence if we execute the procedure for  $2\tau \lceil \ln(1/\epsilon) \rceil \cdot \lceil 4e \cdot \Delta^{1/\tau} \rceil$  time steps, we have at least  
 349  $\lceil \ln(1/\epsilon) \rceil \cdot \lceil 4e \cdot \Delta^{1/\tau} \rceil$  sequences of  $\tau$  consecutive time steps in which the distribution over  
 350 the unreliable edges is the same and the algorithm tries all the probabilities  $\{p_1, p_2, \dots, p_\tau\}$ .  
 351 Each of these procedures fails independently with probability at most  $1 - 1/(4e\Delta^{1/\tau})$  hence  
 352 the probability that all the procedures fail is at most:  $(1 - \frac{1}{4e\Delta^{1/\tau}})^{\lceil \ln(1/\epsilon) \rceil \cdot \lceil 4e\Delta^{1/\tau} \rceil} \leq$   
 353  $e^{-\lceil \ln(1/\epsilon) \rceil} < \epsilon$   $\blacktriangleleft$

354 On closer inspection of the analysis of Lemma 4, it becomes clear that if we tweak slightly  
 355 the probabilities used in our algorithm, we require fewer iterations. In more detail, the prob-  
 356 ability of a successful transmission in the case where each of the  $x$  transmitters broadcasts  
 357 independently with probability  $\alpha/x$  is approximately  $\alpha/(2e)^\alpha$ . In the previous algorithm we  
 358 were transmitting in successive steps with probabilities  $\Delta^{-1/\tau}, \Delta^{-2/\tau}, \dots$ . Thus if  $x = 1$  we  
 359 would get in  $i$ -th step  $\alpha = \Delta^{-i/\tau}$  and approximately the sum of probabilities of success in  $\tau$   
 360 consecutive steps would be  $\Delta^{-1/\tau}$ . The formula  $\alpha/(2e)^\alpha$  shows that the success probability  
 361 depends on  $\alpha$  linearly if  $\alpha < 1$  ("too small" probability) and depends exponentially on  $\alpha$  if  
 362  $\alpha > 1$  ("too large" probability). In the previous theorem we intuitively only use the linear  
 363 term. In the next one we would like to also use, to some extent, the exponential term. If  
 364 we shift all the probabilities by multiplying them by a factor of  $\beta > 1$ , the total success  
 365 probability would be approximately  $\beta\Delta^{-1/\tau}$  if  $x = 1$  and  $\beta(2e)^{-\beta}$  if  $x = \Delta$ . Thus by setting  
 366  $\beta = \log_{2e} \Delta/\tau$  we maximize both these values.

367 The following lemma makes this above intuition precise and gains a log-factor in per-  
 368 formance in algorithm FRLB (Fast Robust Local Broadcast) compared to RLB. As part of  
 369 this analysis, we add a second statement to our lemma that will prove useful during our  
 370 subsequent analysis of global broadcast. The correctness of this second lemma is a straight-  
 371 forward consequence of the analysis.

```

1 Algorithm: FRLB( $r, \bar{\tau}$ )
2 for  $i \leftarrow 1$  to  $\bar{\tau}$  do  $p_i \leftarrow \Delta^{-i/\bar{\tau}} \cdot \log_{2e} \Delta / \bar{\tau}$ 
3 repeat  $r$  times
4    $\lfloor$  Uniform ( $\bar{\tau}, p_1, p_2, \dots, p_{\bar{\tau}}$ )

```

372

373 ► **Lemma 5.** Fix any receiver  $u \in R$  and error bound  $\epsilon > 0$ . It follows:

- 374 1.  $\text{FRLB}(2 \lceil \ln(1/\epsilon) \rceil \cdot \lceil 4\Delta^{1/\bar{\tau}} \bar{\tau} / \log_{2e} \Delta \rceil, \bar{\tau})$  completes local broadcast with a single receiver in  
375 time  
376  $O\left(\frac{\Delta^{1/\bar{\tau}} \cdot \bar{\tau}^2}{\log \Delta} \cdot \log(1/\epsilon)\right)$  with probability at least  $1 - \epsilon$ , for any  $\epsilon > 0$ ,
- 377 2.  $\text{FRLB}(2, \bar{\tau})$  completes local broadcast with a single receiver with probability at least  $\frac{\log_{2e} \Delta}{4\Delta^{1/\bar{\tau}} \bar{\tau}}$ .

378 **Proof Idea.** The proof is similar to the one of Lemma 4. We define the probabilities  $q_i$   
379 and events  $Q_i$  in the same way. The key difference is in the evaluation of the probability  
380 of success in round  $t_i$  conditioned on  $Q_i$  ( $\Pr[S_i = 1 \mid Q_i]$ ). Event  $Q_i$  restricts the num-  
381 ber of neighbors connected to  $u$  to some interval. We prove that the success probability  
382  $\Pr[S_i = 1 \mid Q_i]$  is lower bounded by the minimum of the values at the endpoints of this  
383 interval. This is true because when  $x$  stations transmit with probability  $p$  to a common  
384 neighbor then the probability of a successful transmission seen as a function of  $x$  has a sin-  
385 gle maximum at  $x = 1/p$  hence its value at any point of some fixed interval is lower bounded  
386 by the minimum of the values at the endpoints. ◀

387 In Lemmas 4 and 5 we studied the fate of a single receiver in  $R$  during an execution of  
388 algorithms RLB and FRLB. Here we apply this result to bound the time for all nodes in  $R$   
389 to receive a message, therefore solving the local broadcast problem. In particular, for a desired  
390 error bound  $\epsilon$ , if we apply these lemmas with error bound  $\epsilon' = \epsilon/n$ , then we end up solving  
391 the single node problem with a failure probability upper bounded by  $\epsilon/n$ . Applying a union  
392 bound, it follows that the probability that any node from  $R$  fails to receive a message is less  
393 than  $\epsilon$ . Formally:

394 ► **Theorem 6.** Fix an error bound  $\epsilon > 0$ . It follows that algorithm  $\text{FRLB}(2 \lceil \ln(n/\epsilon) \rceil \cdot$   
395  $\lceil 4\Delta^{1/\bar{\tau}} \bar{\tau} / \log \Delta \rceil)$  solves local broadcast in  $O\left(\frac{\Delta^{1/\bar{\tau}} \cdot \bar{\tau}^2}{\log_{2e} \Delta} \cdot \log(n/\epsilon)\right)$  rounds, with probability  
396 at least  $1 - \epsilon$ .

### 397 3.2 Lower bound

398 Observe that for  $\tau = \Omega(\log \Delta)$ , FRLB has a time complexity of  $O(\log \Delta \log n)$  rounds for  
399  $\epsilon = 1/n$ , which matches the performance of the optimal algorithms for this problem in  
400 the standard radio model. This emphasizes the perhaps surprising result that even large  
401 amounts of topology changes do not impede simple uniform broadcast strategies, so long as  
402 there is independence between nearby changes.

403 Once  $\tau$  drops below  $\log \Delta$ , however, a significant gap opens between our model and the  
404 standard radio network model. Here we prove that gap is fundamental for any uniform  
405 algorithm in our model.

406 In the local broadcast problem, a receiver from set  $R$  can have between 1 and  $\Delta$  neigh-  
407 bors in set  $B$ . The neighbors should optimally use probabilities close to the inverse of their  
408 number. But since the number of neighbors is unknown, the algorithm has to check all the

409 values. If we look at the logarithm of the inverse of the probabilities (call them *log-estimates*)  
 410 used in Lemma 4 we get  $i \log \Delta / \tau$ , for  $i = 1, 2, \dots, \tau$ —which are spaced equidistantly on the  
 411 interval  $[0, \log \Delta]$ . The goal of the algorithm is to minimize the maximum gap between two  
 412 adjacent log-estimates placed on this interval since this maximizes the success probability  
 413 in the worst case. With this in mind, in the proof of the following lower bound, we look  
 414 at the dual problem. Given a predetermined sequence of probabilities used by an arbitrary  
 415 uniform algorithm, we seek the largest gap between adjacent log-estimates, and then select  
 416 edge distributions that take advantage of this weakness.

417 ► **Theorem 7.** *Fix a maximum degree  $\Delta \geq 10$ , stability factor  $\tau \leq \log(\Delta - 1)/16$ , and*  
 418 *uniform local broadcast algorithm  $\mathcal{A}$ . Assume that  $\mathcal{A}$  guarantees with probability at least*  
 419  *$1/2$  to solve local broadcast in  $f(\Delta, \tau)$  rounds when executed in any dual graph network*  
 420 *with maximum degree  $\Delta$  and fading adversary with stability  $\tau$ . It follows that  $f(\Delta, \tau) \in$*   
 421  *$\Omega(\Delta^{1/\tau} \tau / \log \Delta)$ .*

422 **Proof Idea.** In this proof we use a star with  $\Delta$  arms out of which only one is reliable – all  
 423 other arms are controlled by the adversary. The single receiver  $u$  is the center of the star.  
 424 For any uniform algorithm we divide the probabilities  $p_i$  into sequences of length  $\tau$  and find  
 425 a distribution in which the degree of  $u$  is “hard” for each sequence. The algorithm places  $\tau$   
 426 log-estimates on interval  $[0, \log \Delta]$  we, as an adversary, can clearly find a largest gap between  
 427 adjacent log-estimates of length approximately  $\log \Delta / \tau$ . We choose the degree  $d$  of  $u$  such  
 428 that its logarithm is inside this gap (in correct distances from both its endpoints). With this  
 429 choice we can upper bound the probability of a successful transmission in any step during  
 430 these  $\tau$  steps, because the distance between the log-estimate and the logarithm of the degree  
 431 of  $u$  gives us lower bound on  $dp_i$  if  $p_i > 1/d$  or of  $1/(dp_i)$  if  $p_i < 1/d$  which in turn upper  
 432 bounds the probability of a successful transmission. ◀

433 In our next theorem, we refine the argument used in Theorem 7 for the case where  $\tau$  is a  
 434 non-trivial amount smaller than the  $\log \Delta$  threshold. We will argue that for smaller  $\tau$ , the  
 435 complexity is  $\Omega(\Delta^{1/\tau} \tau^2 / \log \Delta)$ , which more exactly matches our best upper bound. We are  
 436 able to trade this small amount of extra wiggle room in  $\tau$  for a stronger lower bound because  
 437 it simplifies certain probabilistic obstacles in our argument. Combined with our previous  
 438 theorem, the below result shows our upper bound performance is asymptotically optimal for  
 439 uniform algorithms for all but a narrow range of stability factors, for which it is near tight.

440 ► **Theorem 8.** *Fix a maximum degree  $\Delta \geq 10$ , stability factor  $\tau \leq \ln(\Delta - 1)/(12 \log \log(\Delta -$   
 441  $1))$ , and uniform local broadcast algorithm  $\mathcal{A}$ . Assume that  $\mathcal{A}$  guarantees with probability  
 442 at least  $1/2$  to solve local broadcast in  $f(\Delta, \tau)$  rounds when executed in any dual graph  
 443 network with maximum degree  $\Delta$  and fading adversary with stability  $\tau$ . It follows that  
 444  $f(\Delta, \tau) \in \Omega(\Delta^{1/\tau} \tau^2 / \log \Delta)$ .*

445 **Proof Idea.** The proof is similar to proof of Theorem 7. Here we also find a gap of length  
 446  $\log \Delta / \tau$  and then we argue that in a “proximity” of each such a large gap there has to exist  
 447 a large number of log-estimates. The proximity is defined so that all log-estimates outside of  
 448 it are (almost) irrelevant, give a very small probability of success, if we choose the logarithm  
 449 of the degree of  $u$  to be inside the considered gap. This in turn implies that in the remaining  
 450 part of the interval the “density” of log-estimates is lower hence there must exist another  
 451 large gap. By repeating this argument we can derive a contradiction with the assumed time  
 452 complexity. The reason why we need to restrict  $\tau$  is that our defined proximity must be of  
 453 the same order as  $\log \Delta / \tau$  which is no longer true for  $\tau$  being close to  $\log \Delta$ . ◀

454 **4 Global Broadcast**

455 We now turn our attention to the global broadcast problem. Our upper bound will use the  
 456 same broadcast probability sequence as our best local broadcast algorithm from before. As  
 457 with local broadcast, for  $\tau \geq \log \Delta$ , our performance nearly matches the optimal perfor-  
 458 mance in the standard radio network model, and then degrades as  $\tau$  shrinks toward 1. Our  
 459 lower bound will establish that this degradation is near optimal for uniform algorithms in  
 460 this setting. In this section we also use the notation  $\bar{\tau} = \min\{\tau, \lceil \log \Delta \rceil\}$ .

461 **4.1 Upper Bound**

462 A uniform global broadcast algorithm requires each node to cycle through a predetermined  
 463 sequence of broadcast probabilities once it becomes *active* (i.e., has received the broadcast  
 464 message). The only slight twist in our algorithm's presentation is that we assume that once  
 465 a node becomes active, it waits until the start of the next probability cycle to start broad-  
 466 casting. To implement this logic in pseudocode, we use the variable *Time* to indicate the  
 467 current global round count. We detail this algorithm below (notice, the FRLB(2) is the local  
 broadcast algorithm analyzed in Lemma 5).

1 **Algorithm:** RGB( $\epsilon$ )  
 2 Wait until receiving the message  
 3 Wait until  $(Time \bmod 2\bar{\tau}) = 0$   
 4 **repeat**  $\lceil \ln(2n/\epsilon) \rceil \cdot \lceil 4\Delta^{1/\bar{\tau}}\bar{\tau}/\log \Delta \rceil$  times  
 5    └ FRLB(2)

468  
 469 ► **Theorem 9.** Fix an error bound  $\epsilon > 0$ . It follows that algorithm RGB( $\epsilon$ ) completes global  
 470 broadcast in time  $O\left((D + \log(n/\epsilon)) \cdot \frac{\Delta^{1/\bar{\tau}}\bar{\tau}^2}{\log \Delta}\right)$ , with probability at least  $1 - \epsilon$ .

471 **Proof Idea.** Here we use the same idea as in the proof of [2, Theorem 4]. There a local  
 472 broadcast algorithm (*Decay*) is used as a black box in a global broadcast algorithm. We use  
 473 a different local broadcast algorithm (FRLB) but the same analysis applies. ◀

474 **4.2 Lower Bound**

475 The global broadcast lower bound of  $\Omega(D \log(n/D))$ , proved by Kushilevitz and Mansour [14]  
 476 for the standard radio network model, clearly still holds in our setting, as the radio network  
 477 model is a special case of the dual graph model where  $E' = E$ . Similarly, the  $\Omega(\log n \log \Delta)$   
 478 lower bound proved by Alon *et al.* [1] also applies.<sup>3</sup> It follows that for  $\tau \geq \log \Delta$ , we almost  
 479 match the optimal bound for the standard radio network model, and do match the time of  
 480 the seminal algorithm of Bar-Yehuda *et al.* [2].

481 For smaller  $\tau$ , this performance degrades rapidly. Here we prove this degradation is  
 482 near optimal for uniform global broadcast algorithms in our model. We apply the obvious  
 483 approach of breaking the problem of global broadcast into multiple sequential instances of  
 484 local broadcast (though there are some non-obvious obstacles that arise in implementing  
 485 this idea). As with our local broadcast lower bounds, we separate out the case where  $\tau$  is

<sup>3</sup> This bound is actually stated as  $\Omega(\log^2 n)$ , but  $\Delta = \Theta(n)$  in the lower bound network, so it can be expressed in terms of  $\Delta$  as well for our purposes here.

486 at least a  $1/\log \log \Delta$  factor smaller than our  $\log \Delta$  threshold, as we can obtain a slightly  
 487 stronger bound under this assumption.

488 ► **Theorem 10.** *Fix a maximum degree  $\Delta \geq 10$ , stability factor  $\tau$ , diameter  $D \geq 24$  and*  
 489 *uniform global broadcast algorithm  $\mathcal{A}$ . Assume that  $\mathcal{A}$  solves global broadcast in expected*  
 490 *time  $f(\Delta, D, \tau)$  in all graphs with diameter  $D$ , maximum degree  $\Delta$  and fading adversary*  
 491 *with stability  $\tau$ . It follows that:*

- 492 1. *if  $\tau < \ln(\Delta - 1)/(12 \log \log(\Delta - 1))$  then  $f(\Delta, D, \tau) \in \Omega(D\Delta^{1/\tau}\tau^2/\log \Delta)$ ,*
- 493 2. *if  $\tau < \ln(\Delta - 1)/16$  then  $f(\Delta, D, \tau) \in \Omega(D\Delta^{1/\tau}\tau/\log \Delta)$ .*

494 **Proof Idea.** In this proof we connect together  $\Omega(D)$  gadgets used in the proof of Theorem 7  
 495 (and 8) and lower bound the time the message spends in each of the gadgets. The only  
 496 problem in this approach is that after the message enters to the next gadget, the adversary  
 497 might not be allowed to change the distribution for some number of steps. We solve this by  
 498 keeping a distribution that is “hard” for the first  $\tau$  probabilities of the algorithm in each of  
 499 the gadgets that has not been reached by the message yet. ◀

## 500 5 Correlations

501 Here we explore a promising direction for the study of broadcast in realistic radio network  
 502 models. In particular, the fading adversary studied above assumes that the distribution  
 503 draws are independent. As we will show, interesting results are still possible when con-  
 504 sidering the even more general case where the marginal distributions in each step are not  
 505 necessarily independent in each round. More precisely, in this case, the adversary chooses a  
 506 distribution over sequences of length at least  $\tau$  of the sets of unreliable edges. A sequence  
 507 from this distribution is used to determine which unreliable edges are active in successive  
 508 steps. The adversary after a least  $\tau$  steps can decide to change the distribution. In this  
 509 model, we first show a simple lower bound that any uniform algorithm using a short list  
 510 of probabilities of length  $l$  (our algorithms in previous sections always used list of length  
 511  $\min\{\tau, \log \Delta\}$ ) needs time  $\Omega(\sqrt{n}/l)$  for some graphs. Our lower bound uses distributions  
 512 over sequences of graphs in which the degrees of nodes change by a large number in suc-  
 513 cessive steps. Such large changes in degree turn out to be crucial as we show that if in the  
 514 sequence taken from the distribution chosen by the adversary, in every step in expectancy  
 515 only  $O(\Delta^{1/(\tau-o(\tau))})$  edges adjacent to each node can be changed then we can get an algo-  
 516 rithm working in time  $O(\Delta^{1/\tau}\tau \log(1/\epsilon))$  with probability at least  $1 - \epsilon$  and using list of  
 517 probabilities of length  $O(\min\{\tau, \log \Delta\})$ .

### 518 5.1 A Lower Bound for Correlated Distributions

519 The following lower bound shows that any simple back-off algorithm, similar to the ones  
 520 presented in Section 3, that uses at most  $\log \Delta$  probabilities requires time  $\Omega(\sqrt{\Delta}/\log \Delta)$  if  
 521 arbitrary correlations are permitted.

522 ► **Proposition 1.** Any uniform local broadcast algorithm that repeats a procedure consisting  
 523 of  $l$  probabilities requires expected time  $\Omega(\sqrt{\Delta}/l)$  in some graph with  $\Delta = n - 2$  even if  
 524  $\tau = \infty$ .

525 **Proof.** Denote the procedure that is being used by the algorithm by  $\mathcal{P}$ . Assume for simplic-  
 526 ity that  $\sqrt{\Delta}$  is a natural number. We take as a graph a connected pair of stars (a similar  
 527 graph was used in Theorem 7).

528 The first star has arms  $v_1, v_2, \dots, v_\Delta$  and center at  $u$ . In the first star, arms  $v_1, v_2, \dots, v_\Delta$   
 529 are connected to center  $u$  by reliable edges. The second star has arms  $v_1, v_2, \dots, v_\Delta$  and

center at  $v$ . In the second star, connection from  $v_1$  to  $v$  is reliable and all other connections are unreliable. Note that by such construction, graph  $G$  is connected. All nodes, except  $v$ , are initially holding a message.

The single distribution is defined in the following way. Let  $e_i = \min\{1/p_i, \Delta\}$  for  $i = 1, 2, \dots, l$  be the estimates used by procedure  $\mathcal{P}$ . Let

$$\bar{e}_i = \begin{cases} 1 & \text{if } e_i \geq \sqrt{\Delta}, \\ n & \text{if } e_i < \sqrt{\Delta}. \end{cases}$$

Let  $s$  be a number chosen uniformly at random from  $\{1, 2, \dots, l\}$ . In our distribution, the degree of  $v$  in step  $t$  is  $d_t = \bar{e}_{1+r_t}$ , where  $r_t$  is the remainder of  $t+s$  modulo  $l$ . More precisely, in step  $t$  in the distribution exactly  $d_t - 1$  edges chosen at random among edges between  $v$  and  $v_2, v_3, \dots, v_\Delta$  are activated. Observe that before the algorithm starts, the distribution of the degree of node  $v$  in each step is simply a uniform number from multiset  $\{\bar{e}_1, \bar{e}_2, \dots, \bar{e}_l\}$ . But after step 1 the sequence of degrees of  $v$  becomes deterministic and depends only on the value  $s$  of the shift. The dependencies are designed in such a way that if  $s = l$  (which happens with probability  $1/l$ ) then in any step  $t$  of the algorithm, the probability  $p_t$  used by the algorithm satisfies either  $p_t \cdot d_t \geq \sqrt{\Delta}$  or  $p_t \cdot d_t < 1/\sqrt{\Delta}$ . This means by Lemma 1 that the success probability is at most  $1/\sqrt{\Delta}$  in each step and hence by the union bound the success probability in the whole procedure is at most  $l/\sqrt{\Delta}$ . Thus with probability at least  $1/l$  the algorithm has to repeat procedure  $\mathcal{P}$  at least  $\sqrt{\Delta}/(2l)$  times to get a constant probability of success. Hence the expected time is  $\Omega(\sqrt{\Delta}/l)$ . ◀

## 5.2 Locally Limited Changes

The previous section shows that under an adversary that is allowed to use arbitrary correlations then any simple procedure need polynomial time in the worst case.

In this section we want to consider the adversary that can use correlations but cannot change the degree too much in successive steps. Of course once every at most  $\tau$  steps the adversary is allowed to define a completely new distribution over the unreliable edges. We want to argue that it is possible to build a simple algorithm resistant to such an adversary. Intuitively the changes of the degree are problematic only if the changes are by a large (non-constant) factor. Note by Lemma 1 that if we perturb the effective degree by only a constant factor then the bound also changes only by a constant factor. Hence in order to design an algorithm that is immune to such changes we should add more “coverage” to the small-degree nodes. We do this by enhancing each phase of algorithm RLB with additional steps in which we assume that the effective degree of a node is small. The adversary may try to avoid the successful transmission in these steps by changing the degree (the adversary knows the probabilities used by the algorithm). But having the restriction on the distance the adversary can move the degree allows us to define overlapping “zones” such that in two consecutive steps we are sure to find the degree in one of the zones. We also have to make sure that the whole phase of the new algorithm fits into  $\tau$  steps.

Now we present algorithm RLBC (Robust Local Broadcast with Correlations). We first show that the algorithm works under  $(l, \tau)$ -deterministic adversary that can change at most  $l$  edges adjacent to each node per round and all the edges from  $E' \setminus E$  once every at most  $\tau$  rounds. Our algorithm will be resistant to deterministic adversary that can change at most  $\tau \Delta^{1/(\tau - o(\tau))}$  edges adjacent to each node in every step.

Then we show that it also works under restricted fading adversary with parameters  $\tau$  and  $l$ . Restricted fading adversary can change the distribution arbitrarily once every at most  $\tau$  steps, if the distribution is not changed then the expected change of the degree of any node

572 can be at most  $l$ . Under these restrictions, the adversary can design arbitrary correlations  
 573 between successive steps. We show that RLBC works with restricted fading adversary with  $l$   
 of at most  $\Delta^{1/(\tau-o(\tau))}$ .

```

1 Algorithm: RLBC( $r, \tau$ )
2  $\bar{\tau} = \min\{\lceil \log_{2e} \Delta/2 \rceil, \tau\}$ 
3  $a \leftarrow \lceil \bar{\tau} / \log_{2e} \bar{\tau} \rceil$ 
4  $k \leftarrow \lceil \Delta^{1/(\tau-2a)} \rceil$ 
5  $e_1 \leftarrow k \cdot a$ 
6  $e_2 \leftarrow k^2 \cdot \tau \cdot a$ 
7 repeat  $2r$  times
8   RLB( $1, \bar{\tau} - 2a$ )
9   repeat  $a$  times
10    Uniform ( $1, 1/e_1$ )
11    Uniform ( $1, 1/e_2$ )
  
```

574

575 ► **Theorem 11.** *If  $\tau \geq 1000$  Algorithm RLBC( $8e \lceil \ln(1/\epsilon) \Delta^{1/\tau} \rceil, \tau$ ) solves local broadcast in*  
 576 *the presence of  $\left( \left\lfloor \Delta^{\frac{1}{\tau-2\lceil \tau / \log_{2e} \bar{\tau} \rceil}} \right\rfloor \tau/2, \tau \right)$ -deterministic adversary in time  $O(\Delta^{1/\tau} \tau \log(1/\epsilon))$*   
 577 *with probability at least  $1 - \epsilon$ .*

578 **Proof Idea.** For a fixed receiver  $v$  we want to show that the probability that  $v$  receives the  
 579 message in one of the  $r$  cycles (each 2 iterations of loop in Lines 7 – 11 is one cycle) is at  
 580 least  $p_s = \frac{1}{8ek}$ . We do it by separately considering two cases depending on degree  $d_t(v)$ ,  
 581 where  $t$  is the first step of the considered cycle. If  $d_t(v) \geq 2l^2$  we can show that the degree  
 582 cannot change in total in this cycle by more than a factor of 2 (here we use the restriction  
 583 on the adversary) in which case we can show that in one of the steps of procedure RLB the  
 584 probability of success is at least  $p_s$ . For smaller degrees  $d_t(v) < 2l^2$  we pick  $a$  pairs of steps  
 585 such that in the first step of the pair the algorithm uses probability  $1/e_1$  and in the second  
 586 it uses  $1/e_2$ . Then we observe that either in the first step of the pair the degree is at most  
 587  $2l$  in which case broadcasting with probability  $1/e_1$  gives probability  $p_s/a$  of success. In the  
 588 opposite case the degree is at least  $l$  (here we use the restriction on the adversary) in the  
 589 second step and broadcasting with probability  $1/e_2$  gives probability  $p_s/a$  of success. Since  
 590 we have  $a$  such pairs the claim follows. ◀

591 The case with deterministic adversary can be generalized to stochastic restricted adversary.

592 ► **Theorem 12.** *If  $\tau \geq 1000$  Algorithm RLBC( $16e \lceil \ln(1/\epsilon) \Delta^{1/\tau} \rceil, \tau$ ) solves local broadcast in*  
 593 *the presence of  $l$ -restricted fading adversary using correlations with  $l = \left\lfloor \Delta^{\frac{1}{\tau(1-1/\log_{2e} \tau)}} \right\rfloor / 4$*   
 594 *in time  $O(\Delta^{1/\tau} \tau \log(1/\epsilon))$  with probability at least  $1 - \epsilon$ .*

595 **Proof Idea.** We show that if an algorithm works with  $2l\tau$ -deterministic adversary then it  
 596 also works with  $l$ -stochastic adversary with correlations. We note that by Markov's inequality  
 597 with probability at least  $1/(2\tau)$  the degree of the receiver changes by at most  $2l\tau$ . By  
 598 the union bound with probability at least  $1/2$ , the degree does not change by more than  $2l\tau$   
 599 throughout the whole cycle of length  $\tau$ . For such cycles, the analysis of the deterministic  
 600 case gives us probability  $p_s$  of success. Thus in the stochastic case the probability of success  
 601 in each cycle is at least  $p_s/2$ . ◀

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