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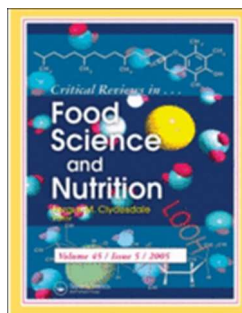
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Correlation between in vitro and in vivo data on food digestion. What can we predict with static in vitro digestion models?

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For Peer Review Only

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3 **Correlation between *in vitro* and *in vivo* data on food digestion. What can we**
4 **predict with static *in vitro* digestion models?**
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Abstract

During the last decade, there has been a growing interest in understanding the fate of food during digestion in the gastrointestinal tract in order to strengthen the possible effects of food on human health. Ideally, food digestion should be studied *in vivo* on humans but this is not always ethically and financially possible. Therefore simple static *in vitro* digestion models mimicking the gastrointestinal tract have been proposed as alternatives to *in vivo* experiments. On one hand, these models are extremely popular and widely used by the scientific community but, on the other hand, they are quite basic compares to the complexity of the digestive tract and it is essential before running experiments to know the type of information they can provide and perfectly understand their limitations. The objective of this article is to review a range of applications of *in vitro* digestion models, the parameters that were assessed and the physiological relevance of the data generated when compared to *in vivo* data. This is the result of a cooperative international effort made by some of the scientists involved in Infogest, an international network on food digestion.

Introduction

There has been a growing interest from the scientific community in getting more information on the effect of food on human health. One strategy to reduce knowledge gaps is to focus on the fate of food during digestion in the gastrointestinal tract.

The fate of food in the GIT can be studied using several methods or models including static and dynamic *in vitro* models, animals and humans. Static *in vitro* digestion methods are particularly popular because they are easy to use, cheap and do not require specific equipment. However, a huge number of protocols differing in the experimental conditions (pH and duration of the different steps, amount of digestive enzymes and bile etc) have been proposed making the comparison of results between studies impossible. Recently, an international consensus was reached within the COST Action Infogest (<http://www.cost-infogest.eu/>) and a protocol published (Minekus et al., 2014) that has since been widely used internationally. Another major drawback of static *in vitro* digestion models is that they are oversimplified and do not take into account the dynamic aspects of the digestive process. Therefore, these models have been used to compare the digestion of related foods under the same conditions (Dupont et al., 2010b), to study the digestion of pure compounds (Benede et al., 2014a; Benede et al., 2014b; Dupont et al., 2010a) or to unravel the interactions between constituents at the molecular level. For instance, using static digestion models, Mandalari et al. demonstrated that interactions were occurring between one of the main milk protein, i.e. β -lactoglobulin, and phosphatidylcholine released by the stomach wall, protecting the protein from the action of pepsin during the gastric phase (Mandalari et al., 2009).

However, one can question the limits of these static *in vitro* digestion models to predict other parameters and limit the use of animal or human models. The objective of this opinion paper is to review a range of applications of *in vitro* digestion models, the parameters that were assessed and the physiological relevance of the data generated when compared to *in vivo* data. This is the result of a cooperative international effort made by some of the Infogest participants.

1 Estimation of the digestion of starch

Starch is the most important digestible polysaccharide in human nutrition usually accounting for 20-50% of the total energy intake. It is made up of two types of glucose polymers, amylose (15-20%) and amylopectin (80-85%), and is predominantly derived from plant seeds such as wheat, maize, rice, oats and rye (Caballero et al., 2012). Given its composition and the predominant role played in the human diet, starch is a major source of glucose that appears at relatively high concentrations in blood circulation during digestion (Butterworth et al., 2011).

Starch digestion is initiated in the oral cavity by salivary α -amylase which starts hydrolyzing the glycosidic bonds of amylose and amylopectin (Bornhorst and Singh, 2012). Once the food bolus is swollen, starch hydrolysis by salivary α -amylase can continue in the stomach until the pH lowers sufficiently to inactivate the enzyme (Bornhorst and Singh, 2012; Gropper and Smith, 2013). As no amylase is secreted into the stomach, the last stage of starch digestion occurs in the small intestine where pancreatic α -amylase produces maltose, maltotriose and α -limit dextrins. These intermediate products are finally hydrolyzed into glucose by specific "brush border enzymes" at the gut wall. Glucose is the final product of starch digestion and is very efficiently absorbed into the bloodstream (Gropper and Smith, 2013). The digestive process of starch containing meals can hence easily be followed *in vivo* by monitoring the rise and subsequent decrease of blood glucose concentrations. Such measurements allow the determination of the postprandial blood glucose response (GR), which

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3 is defined as the change in blood glucose concentration in the 2h period that follows the start of a
4 meal (ISO, 2010). This type of data is at the origin of a number of metrics used to classify the *in vivo*
5 digestion of starch and other carbohydrate sources, the most popular of which is the Glycaemic
6 Index (GI) (Dona et al., 2010). GI is a kinetic parameter first proposed in 1981 (Jenkins et al., 1981). At
7 that time, nutritional recommendations for diabetes were based on food chemical composition only,
8 but concerns that this was not fully representative of physiological effects induced by foods had
9 started being raised. Jenkins et al. (1981) proposed the GI concept as a physiology related metric that
10 could supplement such recommendations. Since then the popularity of GI increased as experts
11 stressed the relevance of low GI diets to the prevention and management of diabetes and other
12 health conditions including coronary heart disease and possibly obesity (Augustin et al., 2015).
13 Nowadays, GI is defined as the incremental area under the blood GR curve (IAUC) after consumption
14 of a portion of food containing 50 g (or in some cases 25 g) of available carbohydrates expressed as a
15 percentage of the IAUC elicited by a portion of a reference food (white bread or glucose solution)
16 with equivalent amount of available carbohydrate (ISO, 2010). GI is not considered to be a
17 characteristic of the human being but rather a property of the food item itself, specifically, its ability
18 to raise blood glucose (ISO, 2010).
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23 The expensive (Dona et al., 2010) and time-consuming nature (Brand-Miller and Holt, 2004) of the *in*
24 *vivo* experiments poses obstacles to the GI use, and, along with other factors, these considerations
25 have fueled research on *in vitro* assays to predict GI values or an equivalent metric (Brand-Miller and
26 Holt, 2004; Dona et al., 2010). Indeed, shortly after proposing the GI concept, Jenkins and coworkers
27 showed that there was a high correlation ($r > 0.86$) between GIs of different foods and their *in vitro*
28 digestion kinetics, as estimated with a mixture of human saliva and jejunal juice in dialysis bags
29 (**Figure 1**) (Jenkins et al., 1982). Since then, several research teams have contributed to the
30 development of *in vitro* static assays with the goal of accurately predicting the glycaemic response of
31 foods. Such assays generally consist in mimicking the different phases of human digestion with
32 commercially available enzymes. Most protocols comprise a simulated gastric phase followed by a
33 small intestinal phase during which samples are collected to analyze the extent of carbohydrate
34 digestion (Bjorck et al., 1994; Englyst et al., 1992; Gibson et al., 2011; Goñi et al., 1997; Monro et al.,
35 2010). In certain cases, gastro-intestinal digestion can be preceded by an *in vivo* chewing phase
36 (Bjorck et al., 1994; Monro et al., 2010) or an *in vitro* treatment that often replicates mechanical but
37 not enzymatic oral conditions (Englyst et al., 1992; Gibson et al., 2011). An extensive review of the *in*
38 *vitro* protocols available to study starch digestion is outside the scope of the present review but can
39 be found elsewhere (Dona et al., 2010; Woolnough et al., 2008). Here we will focus on a limited
40 number of highly cited methodologies and their correlations with *in vivo* data.
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45 One of the pioneer *in vitro* approaches to classify food carbohydrates in a way that reflected their
46 digestion in the gut was performed by Englyst and coworkers (Englyst et al., 1992). The main
47 innovative character of their study was the collection of samples at two distinct time-points of the
48 intestinal phase of digestion (20 min and 120 min) to distinguish rapidly and slowly digestible starch
49 fractions, a characteristic of starchy food that is still extensively used nowadays. Indeed, subsequent
50 research carried out by the same team showed a significant correlation between the proportions of
51 rapidly digestible starch of 39 food items and the corresponding GI values obtained from the *in vivo*
52 literature ($r = 0.76$) (Englyst et al., 1996). The reliability of this method as an indicator of the
53 glycaemic response (GR) to foods was repeatedly confirmed (Araya et al., 2002; Ells et al., 2005; Seal
54 et al., 2003). In the same period, the team of Goñi et al. (1997) was among the firsts to resort to a
55 static *in vitro* digestion protocol, during which they monitored the time-course of *in vitro* starch
56 hydrolysis of a series of starch containing foods. They concluded that their 90 min measurement had
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3 the best correlation with *in vivo* GI values ($r = 0.91$) and derived an equation to allow GI values to be
4 predicted from this *in vitro* approach (Goñi et al., 1997). Other researchers have remarked that
5 although it seems to overestimate the GI, this methodology is sensible enough to reproduce the
6 overall trends observed *in vivo* (Ferrer-Mairal et al., 2012).
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9 Although some researchers have found a proportional relationship between the quantity of ingested
10 food and the glycaemic response (Englyst et al., 1999), most of *in vitro* protocols are standardized for
11 fixed amounts of carbohydrate or food. These fixed portions are particularly advantageous for
12 research purposes, yet they have an experimental character and differ from the food quantities that
13 are usually consumed. In order to guide consumer choices based on food or diet effects, it is arguable
14 that one should communicate the glycaemic response in grams per serving of food (Monro et al.,
15 2010). This has raised questions on the ability of *in vitro* assays to predict glycaemic responses to the
16 varying quantities and compositions of foods that are part of the human diet (Monro et al., 2010), *i.e.*
17 GR rather than GI. As a matter of course, *in vitro* experiments overlook the fact that glycaemic
18 responses to foods depend on the balance between blood glucose loading and disposal. As a matter
19 of course, typical blood GRs elicited by carbohydrate-rich foods or meals comprise an initial increase
20 of glucose concentration (loading) and is followed by a decrease to its initial level (disposal) which
21 contrasts with the plateau obtained at the end of *in vitro* digestion experiments. With the aim of
22 filling this gap, Monro et al. (2010) have proposed to estimate glucose disposal rates from *in vivo*
23 blood GR data obtained by (Venn et al., 2006) for different glycaemic loads, and to subtract the so-
24 estimated cumulative glucose disposal from the cumulated glucose release that is measured *in vitro*.
25 This enables the construction of digestion curves that mimic *in vivo* blood GR curves for a better
26 prediction of the *in vivo* glycaemic impact of foods (Monro et al., 2010). The correlation of the results
27 obtained with this “*in vitro* model-assisted” approach with corresponding *in vivo* data on more than
28 25 food items proved to be very good both when *in vivo* data were experimentally obtained as part
29 of the study ($r^2 = 0.88$, **Figure 2**) (Monro et al., 2010) or collected from the literature ($r^2 = 0.90$)
30 (Monro and Mishra, 2010).
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36 Given the increasing evidences of health benefits associated with a low GI diet, the International
37 Carbohydrate Quality Consortium has very recently reached a scientific consensus defining “an
38 urgent need to communicate information on GI [...] to the general public and health professionals,
39 through channels such as national dietary guidelines, food composition tables and food labels”
40 (Augustin et al., 2015). In parallel, Monro and coworkers concluded that “valid measurement of
41 glycaemic impact of foods may be obtained *in vitro*” (Monro et al., 2010) and can be applicable for
42 “consumer and industry use” (Monro and Mishra, 2010). Although some researchers have reported
43 discrepancies between *in vivo* and *in vitro* results (Berti et al., 2004; Brand-Miller and Holt, 2004;
44 Casiraghi et al., 1992), overall, *in vitro* protocols do appear to be reliable indicators of *in vivo* glucose
45 response (Araya et al., 2002; Ells et al., 2005; Englyst et al., 1996; Ferrer-Mairal et al., 2012; Goñi et
46 al., 1997; Jenkins et al., 1982; Monro and Mishra, 2010; Monro et al., 2010; Seal et al., 2003).
47 Employing *in vitro* digestion methods for characterizing the glycaemic impact of foods and labelling
48 purposes is a question that remains to be debated in a larger scientific community. In any case,
49 considering the practicality of *in vitro* assays, and their highly significant correlations with *in vivo*
50 data, it certainly seems wise to continue optimizing these techniques and take advantage of them in
51 research and early stages of product development.
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55 **2 Assessing protein digestion**

56 ***Assessment of protein digestibility***

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3 Evaluating the quality of a dietary protein consists in determining how extensively this protein is
4 digested and absorbed and its amino acids used by the human body. The most frequently used score
5 to express the quality of a protein is the PDCAAS (Protein Digestibility-Corrected AA Score) which
6 takes both the digestibility and the amino acid composition of the protein into account. Although the
7 determination of the amino acid content of a protein is simple nowadays, an accurate and precise
8 determination of its digestibility is still difficult. Digestibility of a protein is a key parameter of its
9 bioavailability (Fuller and Tome, 2005), because it provides some information about the extent of
10 digestion and absorption and the ability of the amino acids to be used. Digestibility (proportion of a
11 protein absorbed from the digestive tract into the bloodstream) has been shown to significantly
12 fluctuate according to the diet, especially between developed and developing countries (Gilani et al.,
13 2005). Protein digestibility is measured indirectly by the quantification of residual nitrogen at the
14 extremity of the digestive tract. Nevertheless, this residual nitrogen includes the non-digested
15 dietary nitrogen but also the endogenous nitrogen. Thus, the quantification of the total nitrogen
16 (exogenous + endogenous) corresponds to the apparent digestibility whereas the estimation of only
17 the exogenous nitrogen corresponds to the true digestibility (Fuller and Tome, 2005). Determination
18 of the true digestibility is more complicated to perform and requires the labelling of the dietary
19 proteins or of the host (to label endogenous proteins). Assessment of ileal digestibility is now
20 recognized as more relevant than determination of fecal digestibility (Darragh and Hodgkinson, 2000;
21 Fuller and Tome, 2005; Moughan, 2003) that can be modulated by the metabolic activity of the
22 intestinal microbiota.
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27 Several groups have tried to determine protein digestibility through *in vitro* approaches. One of the
28 advantages in using *in vitro* models to assess digestibility is that endogenous secretions are very
29 limited in these models so that the data generated should be comparable to the true digestibility. In
30 a pioneer work, Saunders et al. showed a good correlation between *in vitro* digestibility determined
31 on alfalfa protein concentrates with a pepsin-pancreatin or a pepsin-trypsin method and *in vivo* data
32 collected in a rat trial ($r^2=0.914$) (Saunders et al., 1973). Satisfactory results were also obtained on
33 soybean, lupine and rapeseed meal proteins. Indeed, true digestibility assessed on these proteins on
34 rats showed a significant correlation with the degree of hydrolysis ($r^2=0.663$, $p<0.001$) and nitrogen
35 digestibility ($r^2=0.776$, $p<0.001$) determined *in vitro* (Rozaan et al., 1997). Finally, Kitabatake and
36 Kinekawa found the same behavior of native and heat-denatured milk whey protein β -lactoglobulin
37 *in vitro* and in the stomach of rats (Kitabatake and Kinekawa, 1998). The protein was shown to be
38 fully resistant to proteolysis when native but extensively hydrolyzed when heat-denatured.
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42 However, using an *in vitro* models, other authors found the same ranking between the *in vitro*
43 digestibility of different protein sources and *in vivo* but the values between the 2 approaches
44 remained significantly different (Cho and Kim, 2011). These differences might be explained by an
45 inadequate selection of the parameters of the *in vitro* digestion model used in this experiment
46 making it physiologically irrelevant.
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50 ***In vitro/in vivo comparison of milk protein digestion***

51 One of the major goals of the Infogest COST Action was the harmonization of *in vitro* digestion
52 experiments. Towards this end, the consensus static protocol by Minekus et al (Minekus et al., 2014),
53 based on physiological *in vivo* data was developed. The success of the harmonization was
54 experimentally tested in several inter-laboratory trials, using skim milk powder (SMP) as standardized
55 food matrix. Thus, these efforts not only show the comparability of different digestion protocols, but
56 also serve as a basis for gathering information about milk protein digestion. The participants were
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3 asked to digest the SMP first with their in-house method and second with the consensus protocol.
4 Protein decomposition was analyzed at different levels of hydrolysis, from proteins, to peptides,
5 down to free amino acids. Gel electrophoresis, performed with samples after the gastric- and the
6 intestinal phase of *in vitro* digestion, showed a highly heterogeneous pattern, when the participants
7 applied their in-house methods (data not shown). In contrast to that, the protein patterns of the
8 samples digested with the harmonized protocol were much more homogeneous (**Figure 3**). Although,
9 in two of these samples, protein hydrolysis was decreased after the gastric phase (**Figure 3**, lines 6,
10 7), compared to the five other samples (**Figure 3**, lines 1-5). This difference was explained by a lower
11 pepsin activity, originating from problems with pepsin activity measurement prior to *in vitro*
12 digestion. However, at the end of the digestion, the protein patterns were very similar and no intact
13 milk proteins were detectable on gels with the harmonized protocol (**Figure 3**, intestinal phase),
14 whereas some of the samples digested with the in-house methods still had intact β -lactoglobulin
15 present (data not shown). Analysis of peptides by mass spectrometry showed that the in-house
16 protocols in general had a lower hydrolysis rate after the gastric phase compared to the harmonized
17 model. Moreover, at the end of the digestion, the peptide patterns were very similar in all the
18 samples digested with the harmonized protocol. The specific digestion patterns for the most
19 abundant milk proteins were visualized by an amino acid counting method, where for the frequency
20 of each amino acid, identified within a specific milk peptide, a color code was attributed and aligned
21 within the protein sequence e.g. β -casein (**Figure 4**). This method reveals that the different milk
22 proteins are not randomly but very specifically hydrolyzed during the digestion phases. Considering
23 the five most abundant milk proteins, (β -, α s1-, α s2-, κ -casein, and β -lactoglobulin), and using their
24 characteristic digestion data, a Spearman correlation was calculated and the improvement in sample
25 comparability could be confirmed at the peptide level as well in the harmonized protocol (Egger et
26 al., 2016), compared to the in-house methods.

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32 The major conclusion of this work was that the harmonized protocol indeed improved the
33 comparability of experimental results. However, if the *in vitro* process indeed was comparable to the
34 *in vivo* situation, was still an open question. To answer this second and important question, an *in vivo*
35 pig trial was performed, feeding pigs the exact same SMP that was previously digested with the *in*
36 *vitro* models. The pigs were fed three times, 6-, 3-, and 1.5h prior to sacrifice, respectively with the
37 SMP; the samples were collected at four different zones of the digestive tract. A gastric (stomach)-,
38 duodenal-, upper intestinal- (Int 1), and lower intestinal- (Int2) sample, was collected in a total of
39 eight pigs. Protein degradation, generation of peptides and free amino acids were analyzed with the
40 same methods as for the previous inter-laboratory *in vitro* studies. The similarity of the different
41 investigated digestion models was assessed in a partial least square analysis (PLS), considering the
42 peptide analysis. An average was calculated for each sampling zone (**Figure 5**, pig- stom, duodenum,
43 Int1, and Int2). The progression in pig *in vivo* digestion was clearly visible as indicated with the arrow.
44 The samples from the gastric phase of the harmonized protocol all cluster close to the pig stomach
45 sample (**Figure 5**, light blue) and the samples from the harmonized intestinal phase cluster below the
46 pig Int 2 average (**Figure 5**, dark blue), indicating that they are possibly to some extent further
47 digested than the pig Int 2 sample. The samples from the gastric- or intestinal- phase of the in-house
48 protocols are spread in two groups in the lower part of the PLS analysis, showing that their results
49 are on one hand very variable between each other and more importantly, different from the *in vivo*
50 samples. In conclusion, it can be stated, that by setting the harmonized protocol in operation, two
51 major goals were achieved. First, the comparability of experimental results between labs and
52 countries was improved and even more importantly, the two endpoints (gastric and intestinal phase)
53 indeed reflect the *in vivo* pig situation considering the digestion of proteins. Additional work is
54 needed to compare other nutrients in a similar way.

Comparison of bioactive sequences found in vitro and in vivo

The role of protein digestion in health is undeniable, not only from the nutritional point of view but due to the benefit of released bioactive peptides on health. In this context, it is generally observed that particular stable regions from milk proteins to gastrointestinal hydrolysis are precursors of sequences described as bioactive peptides. This raises the question of the physiological significance of these regions that, in some cases, have deserved to be considered as “food hormones” (Teschemacher et al., 1997). It is also worth considering that the peptide active form is sometimes released only after digestion. An example is fragment 169-175 from β -casein KVLVPVQ, that possessed a low *in vitro* ACE-inhibitory activity, but produced a significant antihypertensive effect after its oral administration to spontaneously hypertensive rats. This sequence was shown to lose the Gln at C-terminal end during simulated gastrointestinal digestion, giving rise to KVLVPV, which is presumably the *in vivo* active form (Maeno et al., 1996).

Some studies have been selected to determine whether bioactive sequences identified after *in vitro* digestion are illustrative of those found *in vivo*. In these studies, opioid, antihypertensive and caseinophosphopeptides (CPPs) appear as the best represented cases. Through the reviewed years, the level of evidence and technical advance has increased from finding immunoreactive materials to peptide sequencing.

Bovine β -casomorphins are opiate-like acting fragments from bovine β -casein comprising the 60-66 (β -casomorphin-7) or C-terminally shortened fragments thereof and were originally isolated from an enzymatic casein digest (Brantl et al., 1979). They show the common N-terminal amino acid sequence YFPF and behave preferentially as μ -type opioid agonists (Brantl et al., 1981). In adult volunteers after ingestion of milk, β -casomorphin-7 and reduced amounts β -casomorphin-4 or -6 immunoreactive materials occurred in the duodenal section of the small intestine. The main component of the immunoreactive material was larger than β -casomorphin-7 and was identified as its precursor (Svedberg et al., 1985). Indeed, this fragment was later isolated from the duodenal chyme of mini-pigs after feeding with the milk protein casein and was referred to as β -casomorphin-11 which presented four additional amino acids at the C-terminal side (Meisel, 1986). The low amount of this peptide in the duodenum was attributed to rapid brush border degradation and/or high absorption rate of the fragments. More recently, in duodenum of mini-pigs fed gelled skim milk, two additional precursors of β -casomorphin-7, β -casein f(58-72) and β -casein f(59-67), were found (Barbe et al., 2014). In human jejunum, upon casein ingestion, β -casomorphin-7, numerous precursors of this peptide, and the short form, β -casomorphin-5, were reported (Boutrou et al., 2013).

Jinsmaa and Yoshikawa examined the conditions necessary for the release of β -casomorphins during *in vitro* gastrointestinal digestion (Jinsmaa and Yoshikawa, 1999). Pepsin cleaves the Leu⁵⁸-Val⁵⁹ peptide bond and leucine aminopeptidase removes the Val⁵⁹, rendering the Tyr at the N-terminus. For the carboxyl terminus, pancreatic elastase was found responsible for the hydrolysis of the peptide bond Ile⁶⁶-His⁶⁷ giving rise to β -casomorphin-7 (YFPFGPI). This means that the inclusion of pancreatic enzymes other than trypsin and chymotrypsin in the *in vitro* protocol will determine the generation the specific and physiological cleavages releasing β -casomorphin-7 from its precursors. β -casomorphin-7 has been found upon sequential digestion of infant formulas with pepsin and Corolase PP® under conditions simulating infant digestion, with gastric pH 3.5 (Hernandez-Ledesma et al., 2004). De Noni, by hydrolysing β -casein under similar conditions, has also reported its occurrence; the highest amount being recovered when the peptic attack with pepsin was conducted at pH 2.0 (De Noni, 2008). Some precursors included in the β -casein 57-68 region were found when cheese or milk were digested with pepsin and pancreatin (Hernandez-Ledesma, Quiros et al. 2007;

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3 Sanchez-Rivera, Diezhandino et al. 2014) or with human gastrointestinal secretions (Qureshi,
4 Vegarud et al. 2013). Interestingly, an additional hydrolysis step with brush border peptidases
5 released β -casomorphin-7 (Picariello et al., 2015).
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7 Neocasomorphin-6, f(114-119) from β -casein (YPVEPF) was first identified in a gastrointestinal *in*
8 *vitro* digest (Jinsmaa and Yoshikawa, 1999) and more recently, it has been reported in human
9 jejunum after milk ingestion (Boutrou et al., 2013). Likewise, this sequence appeared in duodenal
10 effluents of mini-pigs after ingestion of liquid heated milk (Barbe et al., 2014). Neocasomorphin has
11 been also identified after *in vitro* digestion of milk and cheese (Sanchez-Rivera et al., 2014), and
12 under both adult and infant conditions employing physiologically relevant settings (Dupont et al.,
13 2010a; Hernandez-Ledesma et al., 2007). In contrast, other authors have reported the release of a
14 precursor, β -casein f(114-124), (Picariello et al., 2010).
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17 Antihypertensive peptides are probably the most numerous bioactive compounds of proteinaceous
18 nature, milk being the main source of peptides with this activity. The complete correspondence
19 between *in vitro* activity (inhibition of the angiotensin converting enzyme, ACE) and *in vivo* effect
20 (blood pressure lowering) has not been demonstrated, and it has been attributed to peptide
21 degradation during gastrointestinal digestion or to a poor bioavailability. Hence, the presence of
22 active sequences in digestion effluents marks the first step to know their bioaccessibility and
23 potential absorption (Martinez-Maqueda et al., 2012).
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26 **Table 1** shows some antihypertensive peptide sequences that have been reported along the different
27 parts of the intestinal tract in *in vivo* studies, after consumption of bovine milk or casein in the case
28 of adults, and human milk or infant formula in the case of newborns
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30 The sequences KVLPIQ and VVPYPQR from human milk, that correspond to the Ile- and Val-replaced
31 antihypertensive peptides β -casein f(169-175), KVLVPVQ and f(177-183), AVYPYQR, respectively,
32 have been identified in the stomach of newborns but also in the undigested milk, which suggested
33 that proteolysis begins during lactation or in the time before feeding (Dallas et al., 2014). In contrast,
34 many unique peptides absent in maternal milk have been found in gastric digests, which suggests
35 that extensive proteolysis also occurs in the term infant stomach. The β -casein sequence 133-138,
36 LHLPLP, was reported in the jejunum of volunteers ingesting a commercial casein (Boutrou et al.,
37 2013). Precursors of this sequence, f(132-140) and f(130-140), are found in the duodenum of pigs
38 upon ingestion of heated milk and acid gels prepared from milk (Barbe et al., 2014). This sequence
39 belongs to a highly conserved β -casein region in different mammals, resistant to digestion due to the
40 abundance of Pro residues. Numerous related peptides have been found after simulated
41 gastrointestinal digestion of human (Hernandez-Ledesma et al., 2007) and bovine milk proteins
42 (Benede et al., 2014b; Qureshi et al., 2013; Sanchez-Rivera et al., 2014). The inclusion of the brush
43 border hydrolases on the digestion model favored the release of different forms with Pro¹³⁸ at the C-
44 terminus (Picariello et al., 2015). From this sequence LHLPLP, various fragments HLPLP, HLPL, LPLP,
45 HLP, LPL and PLP have shown antihypertensive activity (Quiros et al., 2007; Sanchez-Rivera et al.,
46 2016).
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50 In the case of α_{s1} -casein f(143-149), AYFYPEL was found in stomach and duodenum of humans after
51 milk or yogurt ingestion (Chabance et al., 1998), while in duodenum the reported form was f(144-
52 149), YFYPEL. These peptides have been identified as antihypertensive in a casein hydrolysate
53 (Contreras et al., 2009; Sanchez-Rivera et al., 2014) but recently, its ability to interact with opioid
54 receptors and exert an agonist activity has been described (Fernandez-Tome et al., 2016). Different
55 peptide forms from this region have been found after gastric digestion of milk under dynamic
56 conditions (Sanchez-Rivera et al., 2015) and after *in vitro* digestion of milk (Dupont et al., 2010b),
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3 cheese (Sanchez-Rivera, Diezhandino et al. 2014) and infant formulas (Hernández-Ledesma et al.,
4 2007).

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6 Bovine caseins are also a source of caseino-phosphopeptides (CPPs) that have been proposed to
7 prevent the precipitation of metal ions at alkaline pH in the distal small intestine (Meisel and
8 FitzGerald, 2003). Interestingly, the phosphorylated regions are relatively resistant to hydrolysis
9 during gastrointestinal digestion. Boutrou et al. showed that in addition to phosphorylation, the
10 presence of bound minerals also prevents CPP from hydrolysis (Boutrou et al., 2010). The analysis of
11 intraluminal digests of Fe- β -casein f(1-25) in vascularized rat loop model evidenced that the
12 fragment, which contains the four phosphoserines, f(15-25), is resistant to proteinases. In the human
13 stomach, phosphorylated fragments from β -casein 29-41, 33-44, 30-41, 6-17 and 29-40 were
14 identified after milk ingestion. In human duodenum, related forms from these sequences were found
15 (Chabance et al., 1998). In pig duodenum, CPPs from β -casein together with monophosphorylated
16 sequences from α_{s1} - and α_{s2} -casein have been reported. However, other phosphorylated regions
17 exhibiting the cluster sequence S(P)S(P)S(P)EE could not be identified under the analysis conditions
18 due to the low ionization capacity of these multiple phosphorylated forms (Barbe et al., 2014). In
19 human jejunum and ileum, related sequences have been found, which implies that phosphopeptides
20 can survive the prolonged intestinal passage *in vivo* (Boutrou et al., 2013; Meisel et al., 2003).

21
22 Phosphopeptide formation and resistance has been followed during simulated gastrointestinal
23 digestion. The use of sequential hydrolysis with pepsin and pancreatin has been shown to release
24 phosphorylated sequences previously reported *in vivo*, also in infant formula employing suitable
25 conditions (Miquel et al., 2005). Moreover, isolation of the CPP fraction by selective precipitation or
26 TiO₂ chromatography allowed to identify several sequences containing the phosphorylated cluster
27 (Miquel et al., 2006; Picariello et al., 2010). Adt et al. compared the number of sites of
28 phosphorylation of CPPs in non-digested and digested Beaufort cheese samples, and found a
29 decrease in the number of polyphosphorylated peptides (Adt et al., 2011). Still, an important part of
30 the identified peptides contained the characteristic cluster sequence S(P)S(P)S(P)EE. The fact that the
31 analysis was performed on a selective precipitate highlights the importance of this step on the
32 thorough identification of all possible forms.

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34 Altogether, these results denote that *in vitro* digestion under different conditions is able to release
35 certain gastrointestinal resistant peptides found in human digests. In addition the above examples
36 highlight that not only the enzymes but their concentration, as well as, ion composition and pH of the
37 digestive juices greatly affect the observed results and highpoint the importance of employing
38 parameters deduced from human determinations to obtain physiologically relevant results.

39 ***What happens when proteins are cross-linked at the interface of an emulsion?***

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41 Recently, there were extensive studies carried out on how the digestion of interfacial protein in
42 emulsion could be controlled by targeted enzymatic modification of the adsorbed protein layer
43 (Juvonen et al., 2015; Macierzanka et al., 2011; Macierzanka et al., 2012). The main hypothesis was
44 that a change in the pattern of proteolysis might alter colloidal phase behaviour of the emulsion in
45 the stomach and the small intestine, which ultimately might influence both satiety and food intake.
46 Triglyceride oil-in-water emulsions were produced with the milk protein sodium caseinate (NaCN),
47 which was then modified after emulsification by enzymatic crosslinking with microbial
48 transglutaminase (TG). The enzyme was selected because of its common use in food and
49 pharmaceutical applications to strengthen or otherwise modify protein networks (Dickinson, 1997;
50 Fontana et al., 2008). The work on the effect of the TG crosslinking was divided into three parts: (i)
51 development of the emulsification and the crosslinking conditions (Macierzanka et al., 2011), (ii) *in*
52 *vitro* static digestion studies, including an interfacial characterisation of changes in the adsorbed
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3 protein layer during the digestion (Macierzanka et al., 2012), and (iii) *in vivo* human studies on
4 postprandial responses (Juvonen et al., 2015).

5 The *in vitro* studies (Macierzanka et al., 2012) focused on the effect of enzymatic structuring of NaCN
6 stabilised emulsion on the pattern of simulated gastro-duodenal digestion of the protein, the
7 interactions with physiologically relevant surfactants (phosphatidylcholine, PC; bile salts, BS), and the
8 resulting microstructural transformations of the emulsion as it passes through different stages of *in*
9 *vitro* digestion. By modifying the structure of the NaCN interfacial layer in emulsions, the aim was to
10 alter the pattern and/or the rate at which the protein was digested under *in vitro* conditions
11 mimicking physicochemical conditions of the human upper GIT. Significant differences were found
12 between the rates of digestion of NaCN crosslinked in emulsion (adsorbed protein) and in solution.
13 The crosslinking of interfacial protein delayed proteolysis and prevent the emulsion from
14 destabilising under simulated gastric conditions. In emulsion, the digestion of a population of
15 polypeptides of M_r ca. 50–100 kDa was significantly retarded through the gastric digestion. The
16 persistent interfacial polypeptides maintained the original emulsion droplet size and prevented the
17 system from phase separating. In contrast, a rapid pepsinolysis of adsorbed but non-crosslinked
18 NaCN and its displacement by PC led to emulsion destabilisation. The results suggested that the
19 changes in protein accessibility and structure induced by adsorption to the oil–water interface and
20 TG crosslinking might render the protein less susceptible to hydrolysis in the stomach. After passing
21 from the gastric to the duodenal conditions, the interfacial properties of emulsion droplets were
22 found to be almost exclusively governed by the BS. The interfacial BS also had a dominant role in
23 determining diffusion of emulsion droplets through the small intestine mucus.

24 In order to determine whether the TG-induced structuring of the interfacial protein layer in emulsion
25 plays a role in controlling postprandial physiological responses, a human clinical trial was carried out
26 using isoenergetic and isovolumic emulsions stabilised with either sodium caseinate (NaCN-E) or TG-
27 crosslinked sodium caseinate (TG-NaCN-E) (Juvonen et al., 2015). Blood samples were collected from
28 the participants at baseline and during the 6-h period postprandially for the determination of serum
29 TAG and plasma non-essential fatty acids (NEFA), cholecystokinin (CCK), glucagon-like peptide 1 (GLP-
30 1), glucose and insulin responses. It was found that the TG structuring of the emulsion interface
31 affected early postprandial metabolic and hormonal responses as reflected by the different
32 postprandial glucose, insulin and CCK profiles. However, the crosslinking did not have a significant
33 effect on the gastric emptying (GE) rate or the overall postprandial lipid digestion after the ingestion
34 of the test emulsions. The *in vitro* study (Macierzanka et al., 2012) showed that the microstructures
35 of NaCN-E and TG-NaCN-E produced with 1% (w/w) NaCN can be very similar to each other,
36 producing evenly sized oil droplets that are stable to coalescence throughout the course of the *in*
37 *vitro* digestion. This could suggest that the GE rate would not be significantly affected by the
38 crosslinking of the interfacial protein. Indeed, the *in vivo* Magnetic Resonance Imaging showed
39 comparable phase separation for the two emulsions in the stomach, which was probably the reason
40 for the similar GE rates observed (Juvonen et al., 2015). Glucose and insulin blood profiles were
41 lower after consuming TG-NaCN-E than NaCN-E, which suggested that protein was released from the
42 TG-NaCN-E with a lower rate during the early postprandial phase when compared to the NaCN-E.
43 Plasma glucose concentration decreased significantly more after the ingestion of TG-NaCN-E than
44 after the ingestion of its non-crosslinked counterpart. As the GE rates were comparable between the
45 test emulsions, the difference observed in the glucose and insulin profiles most probably resulted
46 from the different release and/or absorption rates of the nutrients during the small intestinal phase
47 due to the different initial digestion behaviours of the test emulsions. The postprandial secretion of
48 CCK and GLP-1 was stimulated after consuming the two emulsions, but only the CCK profile differed
49 significantly between the emulsions, showing a stronger increase after the ingestion of TG-NaCN-E
50 than NaCN-E. Those results suggest that even a subtle structural modification of the interfacial
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3 protein layer of fat droplets in emulsion can alter the early postprandial profiles of glucose, insulin
4 and CCK through decreased protein digestion without affecting significantly on GE or overall lipid
5 digestion.
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7 ***Effect of processing on protein digestion – the example of meat***

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9 The effect of cooking on meat protein digestion has been investigated using both *in vitro* and *in vivo*
10 approaches (Bax et al., 2013a; Bax et al., 2013b). Although, meat pieces and cooking conditions were
11 not the same, some interesting conclusions can be drawn from the comparison of the obtained
12 results. The *in vitro* approach consisted of a static digestion miming both gastric and intestinal
13 digestion. For both compartments the kinetics was described and interpreted using a modelling
14 approach allowing the evaluation of the maximal rate of digestion, the time at which it was observed,
15 and the maximal degradation. *In vivo*, true ileal digestibility of proteins was measured using ¹⁵N
16 labeled meat in cannulated mini-pigs, and digestion rate was evaluated from the kinetics of amino
17 acids appearance in blood. The main data of the 2 studies are presented in **Table 2**.
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21 Whatever the type of meat (beef, pork or poultry), the muscle composition is essentially driven by
22 contractile proteins (actin and myosin) and sarcoplasmic proteins (myoglobin), that are well
23 conserved across species. The cooking temperatures were also slightly different, but for both meats
24 it can be equated to medium and well-done meat. The comparison of the *in vitro* and *in vivo* data
25 thus makes sense. Both approaches showed a decrease in the digestion rate with the more drastic
26 cooking conditions. This is particularly true for pepsin digestion which is probably, with gastric
27 emptying, one of the main determinants of protein digestion rate. The *in vitro* static model used was
28 not adapted to measure intestinal protein digestibility. For that, instead of measuring OD increase,
29 the measurement of non-digested proteins would have been required. Furthermore, in order to
30 better mimic the *in vivo* intestinal digestion, *in vitro* intestinal digestion would have been conducted
31 on samples that underwent different pepsin digestion duration. Nevertheless, in agreement with the
32 true ileal digestibility observed *in vivo*, the maximal degradation observed *in vitro* after digestion with
33 pancreatic enzymes was not different according the cooking conditions. The effect of meat cooking
34 on peptides release from proteins during digestion has been investigated using both *in vitro* and *in*
35 *vivo* approaches (Sayd et al., 2016). For example, in the case of myoglobin, a total of 34 peptides
36 were identified *in vitro* and 14 *in vivo*, with 4 with a same amino acid sequence. Interestingly, the
37 protein presented the same area not degraded when using both approaches (**Figure 6**).
38

39 From this comparison, it can be concluded that the *in vitro* model properly ranks solid foods
40 according to their digestion rate. It should be noticed that this good correlation was observed with
41 minced meat. As the chewing efficiency greatly affects the *in vivo* meat protein digestion rate
42 (probably through modification in gastric emptying), the *in vitro* digestion rate should however be
43 considered as 'potential'. Regarding the overall digestion efficacy, the *in vitro* static approach proves
44 to be a useful tool to compare the effects of food processing, however it does not provide a
45 digestibility measurement as such.
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50 **3 Bioaccessibility & bioavailability of micronutrients and phytochemicals**

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52 Simulated in-vitro digestion has, in addition to macronutrients, also been carried out with a large
53 number of micronutrients and phytochemicals, i.e. compounds that may have beneficial health
54 effects but which are not strictly essential. Micronutrients comprise minerals, trace elements and
55 vitamins, while the group of phytochemicals or secondary plant compounds is much larger, including
56 e.g. phytosterols, carotenoids, polyphenols, glucosinolates, triterpenes, and many more. In vitro
57 digestion has been employed for many micronutrients and phytochemicals, including trace elements
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3 (iron, zinc), minerals (calcium, magnesium), carotenoids, a range of polyphenols, phytosterols
4 (Moran-Valero et al., 2012), vitamins including B6, B12, E, D, (Etcheverry et al., 2012), and other
5 dietary constituents such as cholesterol (Bohn et al., 2007). In the following section, we will focus on
6 the most prominently examined compounds for which sufficient data is available. For this purpose,
7 the focus will rest on iron as a trace element, carotenoids as vitamin A precursors and the most
8 prevalent lipo-soluble phytochemicals, and polyphenols as the most abundant water-soluble
9 phytochemicals. In addition, their dietary intake has been related to the prevention of micronutrient
10 deficiencies (vitamin A, iron), and chronic disease prevention (carotenoids, polyphenols).

11 ***Carotenoids – micronutrients and most abundant lipo-soluble phytochemicals***

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14 Carotenoids as lipophilic constituents differ in their digestion behavior from water-soluble
15 compounds as they require incorporation into mixed micelles, consisting of bile acids, partially
16 digested lipids (mono-, diglycerides), phospholipids, and other lipophilic/amphipathic constituents.
17 Thus, bioaccessibility is equivalent to the amount of carotenoids that are incorporated into mixed
18 micelles in the aqueous phase following oro-gastro-intestinal digestion. For assessment of
19 bioaccessibility, centrifugation and filtration (through 0.2 μm or even 0.02 μm filters) is typically
20 carried out (Corte-Real et al., 2014), to remove carotenoids trapped in oil or present in the
21 precipitate. Dialysis (through a semi-permeable cellulose membrane) may also be carried out but has
22 shown to produce lower results (Veda et al., 2006). As carotenoids are typically consumed in form of
23 fruits and vegetables, *in vitro* studies have focused on these food items, which are low in starch, and
24 thus the oral digestion phase has often been left out. It is likewise assumed that carotenoids are
25 mostly absorbed in the small intestine, and that colonic metabolism and uptake is negligible, though
26 very little data is available on this topic (Alminger et al., 2014; Bohn et al., 2015). Additional
27 endpoints that have been determined *in vitro* include total recovery (Failla et al., 2008), accounting
28 for the presence of non-degraded but not absorbable carotenoids, and carotenoid retention after
29 colonic fermentation (Goni et al., 2006; Kaulmann et al., 2015). Often, digesta have further been
30 investigated for cellular uptake, i.e. coupling *in vitro* digestion with cellular uptake or transport
31 models of the small intestinal epithelium, typically based on Caco-2 cell models (Biehler et al., 2011a;
32 Corte-Real et al., 2016), reflecting intestinal enterocytes, taking into account cellular uptake,
33 intracellular transport, and further sequestration, as well as potential metabolism/cleavage.

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As incorporation into micelles is paramount and depends on the presence of bile salts, pancreatic
lipase, and lipids during digestion (Bohn, 2008; Borel, 2003), it is important that *in vitro* methods take
these considerations into account, i.e. supplying sufficiently high enzyme and bile salt concentrations
(Biehler and Bohn, 2010). Even though additional factors influence the bioavailability of carotenoids,
e.g. cellular uptake, transport in the enterocyte, secretion to chylomicrons, re-distribution of
carotenoids in lipoproteins (Borel, 2012; Reboul and Borel, 2011), it is believed that bioaccessibility
constitutes the most influential factor. Thus, determination of bioaccessibility *in vitro* should
reasonably well reflect bioavailability *in vivo*.

Bioavailability of carotenoids *in vivo* is typically determined by analyzing the most recently absorbed
carotenoids that appear in the plasma, i.e. in the triacyl-glycerol rich lipoprotein (TRL) fraction, rich in
chylomicrons. Mostly, the area-under-time-curve (AUC, for 10-12 hours) above baseline (before test
meal intake) is determined as a marker for bioavailability, which can be translated into fractional
absorption (Bohn et al., 2013; O'Neill and Thurnham, 1998).

Only a few studies have attempted to compare results from *in vitro* to *in vivo* studies, with generally
promising conclusions, i.e. a reasonable correlation between the two. Reboul et al. (2006) compared
fractional incorporation into micelles of alpha-and beta-carotene as well as of lutein and lycopene

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3 from different test meals following *in vitro* digestion to earlier conducted *in vivo* incorporation into
4 micelles from similar test meals, obtained by the same group (Tyssandier et al., 2003). In general, a
5 high correlation ($R=0.90$, $P=0.038$) was encountered, suggesting that the bioaccessibility results *in*
6 *vitro* in tendency reflect *in vivo* bioaccessibility, pointing out that indeed intestinal solubility is a
7 crucial parameter for carotenoid bioavailability (**Figure 7**). Furthermore, the authors compared their
8 own bioaccessibility results for lycopene, beta-carotene and lutein with bioavailability results (TRL-
9 AUC values) from other authors, employing similar test meals, finding a high overall correlation of
10 $R=0.98$ ($P<0.001$, **Figure 8**), with a better correlation for beta-carotene ($R=0.998$) compared to
11 lycopene ($R=0.54$), perhaps due to the lower availability of lycopene in general. However, it should
12 also be noted that up to 8-fold differences occurred between bioavailability and bioaccessibility, and
13 that individual values can significantly deviate from an average correlation. These differences may be
14 due to factors related to absorption and further transport, which can greatly vary between
15 individuals. Similarly, in a study by Alminger et al. (2012), bioaccessibility of lycopene and beta-
16 carotene from soups containing tomato, broccoli and carrots, was compared to human bioavailability
17 (determined via plasma concentrations after several weeks of consumption), and found generally
18 comparable results for beta-carotene ($R=0.93$) and 5-cis lycopene ($R=0.87$) for various types of soups.
19 Interestingly, cellular uptake equally well correlated with *in vivo* data. Recent studies have shown
20 that inter-individual absorption of lutein (Borel et al., 2014), lycopene (Borel et al., 2015b) and beta-
21 carotene (Borel et al., 2015a) depended also on the presence of single nucleotide polymorphisms
22 (SNPs), related e.g. to carotenoid transporters or cleavage enzymes (Borel, 2012), which are not
23 accounted for by *in vitro* studies.

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28 As not all of the bioaccessible fraction may be taken up and further transported and absorbed by
29 intestinal lining, bioaccessibility, at least for the better soluble xanthophylls, may tend to
30 overestimate bioavailability, as also seen in dynamic models (Van Loo-Bouwman et al., 2014; Van
31 Loo-Bouwman et al., 2010). For example, Unlu et al. (2005) reported bioavailability of lutein from
32 spinach/mixed salad (when served with sufficient amounts of fat) of 28% while *in vitro*
33 bioaccessibility from another type of spinach was reported as 58% (Biehler et al., 2011b). For
34 lycopene from tomato juice, human bioavailability was calculated as 3.1% in one trial (Bohn et al.,
35 2013) while bioaccessibility was in a similar range (2.9% et al., Corte-Real and Bohn, unpublished),
36 though again, based on different juices.

37 38 39 40 41 **Polyphenols - water soluble phytochemicals**

42 Unlike carotenoids, most polyphenols (with few exceptions such as resveratrol and curcumin), are
43 reasonably well water-soluble. Thus, their bioaccessibility does not rely on micellization, but rather
44 on release from the matrix and their staying in solution in the aqueous phase, as some polyphenols
45 may be complexed with proteins (digestion enzymes) or minerals, and may precipitate (Bohn, 2014).

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48 Upon matrix release, polyphenols are partly taken up by the epithelium in the small and/or large
49 intestine. In order to be absorbed, it appears (apart for some anthocyanins) that glucosides must be
50 cleaved to liberate the respective aglycons (by e.g. cytosolic beta-glucosidase, phlorizin-hydrolase of
51 the brush-border, or, to a lesser extent, by the low pH in the stomach). These aglycons can then be
52 taken up via passive diffusion or via transporters. Many compounds are however re-excreted into the
53 gut via transporters, or are glucuronidated/sulfated, and can be further metabolized in other tissues
54 (e.g. the liver). Thus, compared to their native compounds, they may undergo substantial
55 metabolism, depending largely on the polyphenol type.

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3 Following *in vitro* digestion, bioaccessibility is either determined following centrifugation (Mandalari
4 et al., 2013) centrifugation/filtration (Kaulmann et al., 2015), or, alternatively, following diffusion
5 through semipermeable membranes (dialysis), with e.g. 10,000-12,000 Da cut-offs (Bouayed et al.,
6 2012; Bouayed et al., 2011; Gil-Izquierdo et al., 2002), simulating that only soluble, small molecules
7 can be taken up by the epithelium. The differences between these methods may be considerable,
8 and recoveries appear lowest for dialysis (Bouayed et al., 2012). In contrast, *in vivo* bioavailability of
9 polyphenols may either be compared by their (or their metabolites) urinary excretion (for water
10 soluble polyphenols such as isoflavones, (Bohn et al., 2013), shown to vary between 0.3-43%
11 (Manach et al., 2005), by their plasma appearance (Guo et al., 2014) over time (AUC), or via the
12 faecal balance method (reflecting absorption) when colonic metabolism can be neglected, such as in
13 ileostomists (Erk et al., 2014). The AUC following a single dose application is supposed to best reflect
14 bioavailability of polyphenols (Carbonell-Capella et al., 2014).
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17 Thus, factors effecting polyphenol matrix release are crucial and must be mimicked *in vitro*, possibly
18 including mastication, mechanical movements during gastric phase, pH (that could aid in liberation
19 via hydrolyzing reactions), influence of enzymes aiding in the degradation of the matrix and in
20 polyphenol release. For polyphenols however, there are at least 3 major concerns regarding *in vitro*
21 digestion that clearly limit the predictability of bioavailability. These are:
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- 24 1. The absence of a colonic fermentation step for most *in vitro* models, where polyphenols are
25 heavily metabolized. Many polyphenols reach the colon, where the microbiota can lead to
26 additional reactions, including ring fission, deglycosylation, hydrolysis, deglucuronidation,
27 and demethylation (Alminger et al., 2014; Bohn et al., 2015), resulting in products that may
28 be absorbable in the colon.
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- 30 2. Many polyphenols are bound in the non-extractable fraction (NEPP) that may not be
31 released in the gastric phase/small intestine (Bohn, 2014), but perhaps in the colon, such as
32 phenolic acids. A large proportion of polyphenols is covalently bound, and are not
33 extractable by chemical means (Arranz et al., 2010; Perez-Jimenez et al., 2013) or released in
34 the small intestine. This NEPP fraction may exceed the extractable fraction.
35
- 36 3. Bioavailability of polyphenols is altered by transporters in the gut, phase I/II metabolism
37 reactions, and rapid turnover/excretion. For example, polyphenols may interact in a way that
38 favours cellular uptake due to blocking certain efflux transporters into the gut lumen, or may
39 override certain phase I/II metabolizing enzymes (Bohn, 2014), processes that are typically
40 not studied *in vitro*, unless *in vitro* digestion is coupled to cellular models (Andre et al., 2015;
41 Kaulmann et al., 2015), though detection problems may then become a concern.
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43 These factors impede estimating polyphenol availability by *in vitro* methods compared to e.g. less
44 heavily metabolized compounds (e.g. carotenoids). Nevertheless, a few studies have compared their
45 *in vitro* bioaccessibility with *in vivo* human trials. Brown et al. investigated the polyphenol
46 composition of lingonberries following both *in vitro* (including faecal fermentation) and *in vivo*
47 digestion in ileostomists (Brown et al., 2014). Certain differences in polyphenol composition were
48 found between the ileal efflux and the bioaccessible fraction *in vitro*, and were explained by the
49 absence of brush-border enzymes, e.g. phlorizin-hydrolase, but also cytosolic beta-glucosidase
50 (cleaving sugar-moieties), from *in vitro* model. Nevertheless, general trends for *in vitro* and *in vivo*
51 digested polyphenols were similar (bioaccessibility *in vitro* 28% and 49% *in vivo*). The results
52 emphasize that the correlation between *in vitro* digestion with faecal fermentation and colonic
53 bioaccessibility *in vivo* (ileostomist model) can be reasonable. Vetrani et al. compared an *in vitro*
54 model including colonic digestion with human *in vivo* availability as determined via urinary excretion
55 (Vetrani et al., 2016), based on over 70 subjects consuming a polyphenol rich diet over 8 weeks. A
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3 significant though low correlation of $R=0.280$ ($P=0.04$) was found for 15 metabolites, suggesting that
4 when combined with colonic fermentation, the model may predict polyphenol uptake and excretion
5 to a certain extent.
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7 For compounds that are unstable (but absorbable) during the upper stages of digestion, *in vitro*
8 models may more accurately predict bioaccessibility. For example, anthocyanin (as parent
9 compounds) urinary excretion has been reported to be below 5.1% (range 0.004 – 5.1%, (Kalt et al.,
10 2014; Manach et al., 2005), while *in vitro* studies have suggested a bioaccessibility between zero in
11 apples (Bouayed et al., 2011) to 4.6% from mulberries (Liang et al., 2012). For compounds that are
12 absorbed to a high extent in the small intestine, such as isoflavones, it is also worth to compare *in*
13 *vitro* with *in vivo* results. While most *in vitro* studies of soy isoflavonoids suggest a higher
14 bioaccessibility of the glucosides (due to their higher water solubility), between 80-100% (**Table 3**),
15 aglycons (more apolar, perhaps requiring micellarization) range lower in bioaccessibility, approx. 30-
16 60%. However, *in vivo* differences between aglycons and glucosides appear negligible (Nielsen and
17 Williamson, 2007; Zubik and Meydani, 2003). It is assumed that glucosides require cleavage into
18 aglycons prior to uptake, reducing the initial advantage of higher solubility. However, bioaccessibility
19 may well predict relative absorption between various isoflavone aglycons, such as daidzein vs.
20 genistein (**Table 3**), as both *in vitro* and *in vivo* find higher potential uptake/absorption of the more
21 polar daidzein vs. genistein, when measured by urine excretion or by fractional absorption (faecal
22 balance method). When measured as AUC in plasma however (a more accurate measure of
23 bioavailability, accounting for uptake, biodistribution and excretion), genistein showed higher
24 bioavailability (Setchell et al., 2003), as daidzein more rapidly is distributed into other tissues (higher
25 volume of distribution (V_D)). It is therefore important to clearly define “bioavailability” – noting that
26 the latter is different from absorption or excretion.
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31 As measuring all individual polyphenols may be less practical, some *in vitro* methods have
32 investigated total polyphenol recovery following *in vitro* digestion by the Folin-Ciocalteu method
33 (**Table 3**), though not being specific for polyphenols (also detecting e.g. reducing sugars). When
34 compared to *in vivo* bioavailability (either estimated by urine appearance or by plasma AUC), results
35 appear to be in the same range of availability, though it is hard to compare the various test meals
36 and various measures of bioavailability. Unfortunately, the Folin-Ciocalteu method is not applicable
37 *in vivo*, where too many other factors influence anti-oxidant capacity of plasma.
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42 **Trace elements – example iron**

43 One of the first digestion methods that has latterly been transferred to many other applications was
44 described by Miller et al. (1981), set up originally to study iron bioaccessibility. Unlike organic
45 micronutrients (vitamins) and phytochemicals, minerals do not undergo significant metabolism
46 during digestion. However, oxidation/reduction may take place that can influence mineral
47 bioavailability, and especially divalent minerals may undergo complexation during digestion,
48 impinging on their availability. In order to be available, minerals/trace elements are believed to
49 require solubilization (except perhaps, in the form of nanoparticles), which depends on the pH,
50 concentration of the mineral, and the presence of other complexing agents. For example, Fe^{2+} may
51 be oxidized into the non-absorbable Fe^{3+} , or it may be complexed by organic acids such as phytic
52 acid, oxalic acid or polyphenols, greatly reducing availability, similarly for other minerals (Bohn et al.,
53 2004a; Bohn et al., 2004b; Hurrell, 2007). Higher pH generally limits the availability of divalent
54 minerals/trace elements, as solubility decreases with higher pH (>7), as insoluble oxides/hydroxides
55 may form.
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3 As for most minerals, iron is believed to be primarily absorbed in the upper intestine, though also
4 colonic absorption, especially at lower pH (improved solubility), such as following fermentation of
5 probiotic fiber, cannot be excluded (Scholz-Ahrens et al., 2007). An additional consideration is that
6 for certain minerals there may be several non-exchangeable sources, termed “pools”, with different
7 availability, such as for iron, zinc, and selenium. There are two main dietary sources of iron which do
8 not mix during digestion, i.e. iron in hemoglobin in meat/fish and products (heme-iron), and non-
9 heme iron from plant sources, which are absorbed in a different way, with heme-iron having a 5-10
10 times higher bioavailability. This is because iron bound to heme is taken up as an entire peptide
11 (possibly via endocytosis), while non-heme iron is absorbed in the intestine as Fe^{2+} via divalent metal
12 ion transporter 1 (Fuqua et al., 2012), susceptible to oxidation and complexation. As iron, unlike
13 other minerals, where bioavailability may be best determined via plasma measurements, is mostly
14 incorporated into erythrocytes (hemoglobin), measuring iron in red blood cells, such as several
15 weeks following the intake of isotopically labelled iron, is the gold standard for iron absorption
16 studies. However serum appearance curves have shown to correlate well with erythrocyte
17 incorporation (Conway et al., 2006). In vitro, many studies have either focused on the bioaccessible
18 fraction as measured via dialysis, to exclude iron bound/complexed to macromolecules (proteins,
19 high molecular weight polyphenols) and not being bioavailable (Miller et al., 1981), or following
20 prolonged centrifugation and filtration (Kulkarni et al., 2007), resulting in generally higher values
21 than dialysis. As heme-iron absorption cannot be studied *in vitro*, *in vitro* digestion does not allow to
22 compare between heme-iron and non-heme iron availability.
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27 Many studies have compared *in vitro* available iron with bioavailable iron in human trials. For
28 example, iron availability has been studied from different iron salts with and without the addition of
29 sodium EDTA (ethylene diamine tetra-acetic acid) from corn masa flour tortillas. A high correlation
30 was found between *in vitro* bioaccessibility (after dialysis) and the amount recovered in erythrocytes
31 ($R=0.89$, $P<0.001$, **Figure 9**). However, bioavailability was higher (by a factor 2) than bioaccessibility
32 (Walter et al., 2003). It appears that for other minerals, which are usually absorbed to a higher
33 degree, such as Mg and Ca (absorption approx. 20-40%), bioaccessibility and bioavailability values
34 show less discrepancy. However, comparable results were obtained when correlating *in vitro* results
35 by Aragon et al. (2012) with those of Davidsson et al. (2002), contrasting *in vitro* dialysability of
36 various iron salts with and without EDTA, and erythrocyte incorporation of stable iron labelled test
37 meals with the same iron compounds ($n=6$, $R=0.80$). In another comparison, various concentrations
38 of ascorbic acid were added to test meals to investigate the effect on iron absorption. When
39 comparing results obtained from this study, i.e. dialyzable iron fraction (Aragón et al., 2012) with
40 earlier results from a similar study *in vivo* (erythrocyte incorporation (Cook and Mosen, 1977), a
41 similar high correlation was obtained ($R=0.98$). In addition to iron salts, also different food items have
42 been compared. Sandberg et al. (2005) presented data from various test meals, comparing *in vitro*
43 solubility with human absorption studies (erythrocyte incorporation), and found a very high
44 correlation ($R=0.97$), though with solubility being approx. twice as high as iron absorption (**Figure 9**).
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48 General limitations of the dialysis technique are that large molecules such as heme-iron or ferritin
49 would be bioavailable, but not dialyzable, while some smaller compounds that are dialyzable such as
50 phenolic complexes or complexes with organic acids can diffuse through the semipermeable
51 membrane, but would generally not be bioavailable. As transporters may play important roles,
52 especially between different mineral pools (e.g. heme iron and non-heme iron), predictability across
53 those different forms is not possible by *in vitro* techniques. Some of these limitations may be
54 overcome by coupling *in vitro* digestion with other techniques, i.e. based on cell culture. Correlations
55 were high when comparing cellular uptake (a Caco-2 based model) following *in vitro* digestion and
56 human bioavailability (erythrocyte uptake) following the addition of ascorbic acid or tannic acid (a
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polyphenol) to a semi-synthetic meal (R=0.934, P= 0.012 and R=0.927, P=0.007, respectively), though iron uptake was (as mostly done for cellular studies) determined via ferritin expression (Yun et al., 2004).

In summary, while the tendency, that is the direction of bioavailability (higher, lower, equally well) appears to be generally predictable by methods incorporating *in vitro* digestion, this does mostly not predict the magnitude of bioavailability in humans, as too many processes, namely transport, phase I/II metabolism, colonic changes (especially for polyphenols), biodistribution and (renal) excretion affect bioavailability of micronutrients and phytochemicals in many different manners, which cannot be predicted by this method. A more accurate method is the coupling of *in vitro* digestion to cell models of the epithelium, such as Caco-2 cells, which may simulate, to a certain extent, transport and secretion to the basolateral side, as well as model some aspects of further metabolism. It should further be noted that though bioaccessibility often appears to be reasonably correlated with absorption (and urinary excretion), this is not necessarily true for bioavailability as determined via the AUC in plasma, which depends on further biodistribution. Generally, bioaccessibility tends to overestimate bioavailability, due to the involvement of limiting transporters *in vivo*. Despite these limitations, *in vitro* models have developed into very useful tools for hypothesis building and investigating a large number of potential influential factors governing digestion of micronutrients and phytochemicals.

4 Lipid digestion

The use of *in vitro* models in the study of food materials during digestion invariably requires the progression of findings from simulation to human studies. Ideally, observations made as part of human trials should be able to be correlated with findings from *in vitro* analysis, thus demonstrating the physiological relevance of the model. A particular challenge with this approach, beyond ensuring that any model provides sufficient representation of human digestive physiology, is the identification and characterisation of complementary markers across both *in vitro* and *in vivo* analysis that can be used to establish particular correlations between simulated and human studies. The uptake of dietary lipids involves luminal hydrolysis of triglycerides by gastric and intestinal lipases followed by absorption across the epithelium and re-assimilation into triglycerides in the enterocytes. Biomarkers most commonly used to follow lipid digestion are fatty acid release (*in vitro*) and triglyceride appearance in blood, plasma-TAG (*in vivo*). Good *in vitro/in vivo* correlations are thus often impaired by the fact that *in vitro* data mostly reflect the intraluminal step of lipid digestion while *in vivo* data reflect the overall process of digestion and intestinal absorption without any information on rate limiting steps. A first challenge is therefore to find *in vitro* and *in vivo* data that reflect similar processes. Another challenge when creating *in vitro* models to assess lipolysis is ensuring accurate representation of both the biochemistry and biophysics of the gastrointestinal tract.

When considering replication of the biochemistry of lipolysis, good *in vivo-in vitro* correlations were obtained during the gastrointestinal lipolysis of complete test meals and O/W emulsions, using a two-step static *in vitro* digestion model. This model was initially developed based on *in vivo* data recorded during the digestion of a liquid test meal in healthy volunteers and the arbitrary choice of conditions existing in the stomach and small intestine at 50% gastric emptying (Carriere et al., 2000; Carrière et al., 2001). The mean pH values of 5.5 and 6.25 measured in gastric and duodenal contents, respectively, were chosen for the gastric and duodenal steps of *in vitro* digestion. Gastric lipase concentration was 17 µg/mL (20 U/mL on tributyrin; (Gargouri et al., 1986)) for the gastric step

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3 and the solution mimicking human gastric juice was prepared using either purified human gastric
4 lipase (HGL), recombinant dog gastric lipase (rDGL) or a rabbit gastric extract (RGE) containing rabbit
5 gastric lipase (RGL) because these enzymes have similar lipase activity and specificity. For the
6 duodenal step, a 1.7-fold dilution of gastric mixture was applied, resulting in a gastric lipase
7 concentration of 10 µg/mL, while pancreatic lipase and bile salt concentrations were set at 250
8 µg/mL (2,000 U/mL on tributyrin; Carrière et al., 1993) and 4 mM, respectively, using either purified
9 human pancreatic lipase (HPL) or porcine pancreatic extracts (PPE) as a source of pancreatic lipase,
10 and either human or bovine bile (Sigma B3883) as a source of bile salts. The choice of incubation
11 times (30 min for the gastric step + 60 min for the duodenal step) was also arbitrary.
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14 Nevertheless, the choice of these conditions allowed the measurement of gastric (t=30min) and
15 gastric + duodenal (t=90min) lipolysis levels (% of fatty acids released from triglycerides) that were in
16 the same range as the lipolysis levels measured *in vivo* during the whole meal digestion in the
17 stomach and duodenum (**Table 4**). In this later case, gastric lipolysis was deduced from the total
18 output of lipolysis products passing through the pylorus, while gastric + duodenal lipolysis was
19 deduced from the output of lipolysis products collected by continuous aspiration at the Angle of
20 Treitz (junction between duodenum and jejunum). Both *in vivo* and *in vitro* studies revealed a faster
21 lipolysis of the liquid test meal compared to the solid-liquid test meal (**Table 4**). This was attributed
22 to the fact that triglycerides in the homogenized liquid test meal were finely emulsified with lecithin,
23 thus providing a good and accessible substrate for lipases, while triglycerides in the solid-liquid meal
24 had various origins and reduced accessibilities (butter, cooking oil, meat fat). Further experiments
25 with the lipase inhibitor Orlistat confirmed that the two-step static *in vitro* digestion model was
26 predictive of *in vivo* gastric and duodenal lipolysis levels, as well as of undigested fat excretion in
27 feces when gastrointestinal lipolysis was impaired (Carrière et al., 2001).
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31 The same two-step static *in vitro* digestion model was used to study the effects of various emulsifiers
32 on the bioavailability of α -linolenic acid (ALA) from flaxseed oil, in combination with an *in vivo* study
33 of ALA lymphatic secretion in rats (Couedelo et al., 2015). *In vitro* data showed that the
34 emulsification of flaxseed oil with soya lecithin led to a higher level of gastric lipolysis compared to
35 the emulsification with sodium caseinate (**Figure 10; Table 4**). Similarly, duodenal lipolysis of flaxseed
36 oil was also higher with lecithin than with caseinate (**Figure 10; Table 4**). The *in vivo* data obtained
37 with the same emulsions and lymph collection in rats showed significant changes ($p < 0.05$) in the
38 kinetics and overall absorption (AUC) of ALA over the 6 hours post-feeding. The resulting lymphatic
39 secretion of ALA was 3-fold higher with soya lecithin ($C_{max} = 24 \pm 3$ mg/mL; $AUC = 60 \pm 32$ mg/ml \times h)
40 than with caseinate ($C_{max} = 7 \pm 4$ mg/mL; $AUC = 24 \pm 14$ mg/ml \times h). In addition, the synthesized
41 chylomicrons were notably larger and more numerous with soya lecithin whereas they were smaller
42 in the presence of caseinate. These results suggest that the intestinal bioavailability of ALA is
43 increased by the emulsification of flaxseed oil with soya lecithin via an improved lipolysis, favouring
44 the intestinal absorption of ALA and the secretion of many large chylomicrons in lymph. *In vitro*
45 lipolysis rate of flaxseed emulsions therefore appears as predictive of intestinal absorption rate,
46 probably because lipolysis is the limiting step in the overall bioavailability of fatty acids. Using the
47 same two-step static *in vitro* digestion model, similar differences in the effects of lecithin and
48 caseinate on lipolysis rates were observed with rapeseed oil and milk fat olein emulsions (**Table 4**;
49 (Vors et al., 2012)).
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55 Since lipid digestion is an interfacial process its speed is controlled by droplet surface area
56 (Benzonana and Desnuelle, 1965) and the nature of the surfactants which can impair enzyme
57 accessibility at the surface (Delorme et al., 2011), hence the structural transformations that an
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3 emulsion might undergo as a result of gastrointestinal biophysical processes need to be considered
4 during *in vitro* testing. This consideration is highlighted in a series of studies progressing from *in vitro*
5 to *in vivo* undertaken on “gastric structured” emulsions (Day et al., 2014; Golding et al., 2011; Keogh
6 et al., 2011; Steingoetter et al., 2015; Wooster et al., 2014). The study design was based on the
7 hypothesis that the rate and possibly extent of lipid uptake could be controlled by manipulating the
8 surface area of fat to which digestive lipases could adsorb (such that lower surface areas would
9 present fewer binding sites, leading to a reduction in the relative rate of digestion). To test this
10 hypothesis, model emulsions were formulated to undergo a number of structural transitions when
11 exposed to the biophysical conditions in the stomach (noting that all emulsions were initially stable
12 and had comparable particle size distributions and viscosities). Dependent on composition,
13 emulsions could be tailored to remain stable, flocculate, coalesce, partially coalesce (due to the
14 presence of solid fat within the droplets at 37 °C), and fully break upon prolonged exposure to gastric
15 environment (**Figure 11**).

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19 The study design initially involved the use of static *in vitro* gastric and small intestinal models to
20 assess microstructural changes and extent of lipid digestion. Incubation of emulsion samples within
21 the *in vitro* models, coupled with confocal microscopy, provided evidence of how conditions of pH,
22 presence of enzymes and bile, and the application of shear at physiological temperatures impacted
23 on emulsion structure during simulated digestion (Golding et al., 2011). In this respect, the static *in*
24 *vitro* model was able to demonstrate the responsiveness of emulsion structures to particular
25 physiological variables, enabling high throughput determination of structure dynamics based on
26 iterative formulation design (**Figure 11a**). The *in vitro* model also enabled the extent of lipolysis to be
27 measured for these emulsions during simulated small intestinal digestion, using a pH-stat
28 methodology to determine the rate of fatty acid synthesis arising from triglyceride hydrolysis. This
29 approach was able to confirm that low surface area emulsions that had undergone coalescence,
30 partial coalescence or breaking during gastric incubation were significantly more slowly hydrolyzed
31 compared to structurally stable or flocculated emulsions that retained high surface area.

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35 Based on *in vitro* findings, selected emulsion systems were studied *in vivo* using conventional dietary
36 interventions and studies using MRI (Golding et al., 2011; Keogh et al., 2011; Steingoetter et al.,
37 2015). Upon consumption, the different emulsions were isocaloric, isoviscous and had the same
38 initial droplet size distribution, but were again designed to undergo particular gastric structuring
39 mechanisms based on earlier *in vitro* findings. Identification and development of complementary
40 measurement techniques between *in vitro* and *in vivo* study methodologies was an important
41 consideration in allowing correlations (of both structural dynamics and digestive properties) between
42 the two approaches to be established. In the case of emulsions undergoing dynamic gastric
43 structuring, information on gastric structure (MRI Imaging), emptying (Paracetamol absorption & MRI
44 stomach volume) and triglyceride absorption (Plasma-TAG) were key biomarkers of interest.

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47 Variations in plasma-TAG onset time, peak and area under the curve provided information on the
48 relative rate and extent of fat digestion taking place, which could in turn be correlated to rate of fatty
49 acid release during *in vitro* digestion (**Figure 11b**). Intralipid was used as a (stable, high surface area)
50 control emulsion for these studies, thereby providing initial comparison between *in vitro* and *in vivo*
51 measurements. Comparison of a sample's plasma-TAG profile to Intralipid's could accordingly be used
52 as an indicator of whether changes to *in vitro* fatty acid release arising from emulsion structuring
53 were similarly represented by changes *in vivo*. In this respect, specific (and reproducible) *in vitro-in*
54 *vivo* correlations were able to be established. Notably, partially coalescing emulsions, for which *in*
55 *vitro* fatty acid release was found to be greatly diminished when compared to Intralipid, showed a
56 markedly suppressed plasma-TAG response when compared to the same control. However, a poor *in*
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3 in vitro - in vivo correlation was found when comparing emulsions that broke under gastric conditions.
4 In vitro digestion experiments predicted a slow intestinal uptake, however in vivo plasma-TAG curves
5 showed a delayed but fast intestinal lipid uptake. It was proposed (and later confirmed by MRI) that
6 the main source of this discrepancy arose from delayed gastric fat emptying with concurrent re-
7 dispersion during passage through the antrum-pylorus (Steingoetter et al., 2015). This highlights the
8 widely held belief that a key limitation of static in vitro models is accurate representation of digestion
9 biophysics (gastric mixing and emptying), such limitations have vastly been improved in the TNO
10 intestinal model and IFR Model Gut dynamic digestion models (Minekus et al., 1999; Wickham and
11 Faulks, 2007).

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14 In summary, when considering lipid emulsion digestion, detailed understanding of digestion
15 biochemistry has allowed in vitro models to become a relatively good predictor of in vivo behavior.
16 This is especially true when considering simple/stable emulsions where the extent of gastric and/or
17 gastric + duodenal lipolysis measured in vitro were in the same range as the lipolysis levels measured
18 in vivo. As for the digestion of emulsions whose structure changes as a result of interaction with the
19 biophysics of the digestive environment, there are moderately good in vitro-in vivo correlations, but
20 they can be hampered by a lack of representation of gastric mixing and emptying in static in vitro
21 models.
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24 25 26 **General conclusion**

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28 Food digestion is a dynamic process that is characterized by a flow of food in the different
29 compartments of the gastrointestinal tract, the evolution of pH in the different compartments, the
30 continuous production and release of digestive enzymes and bile. Therefore, simulating digestion
31 with rather basic static in vitro digestion models appears difficult and questions arise whether these
32 simple models can predict and/or correlate with in vivo experiments.
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34 Nevertheless, this exhaustive review of the recent knowledge acquired on the ability of static in vitro
35 digestion models to mimic the in vivo reality clearly shows that, in some cases, static models can be
36 physiologically relevant. One of the best examples is the excellent correlation that has been observed
37 by several groups between in vitro and in vivo data for assessing the digestion of starch. There are
38 indeed strong evidences that in vitro protocols do appear to be reliable indicators of the glucose
39 response in vivo and could even be of great interest for the design of new foods with specific
40 carbohydrate digestion rates.
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43 For protein digestion, in vitro models appear as potential predictor of true digestibility or, at least,
44 are useful to rank different protein sources according to their digestibility. Similar patterns of
45 bioactive peptides have been observed when comparing in vitro and in vivo models, thus in vitro
46 models could be used to predict the release of bioactive sequences in the gastrointestinal tract.
47 However, more work is needed to assess whether in vitro models could evaluate concentrations of
48 bioactive sequences in the lumen.
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50 For carotenoids, in vitro bioaccessibility reasonably predicts in vivo bioavailability, especially on the
51 greater average. While absolute bioavailability is harder to predict, the relative availability, e.g. when
52 comparing availability of carotenoids from 2 test meals, appears to be quite well predictable.
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55 The case of polyphenols is rather different. If fecal fermentation is not included in the model for
56 predicting the bioavailability of individual compounds, results do not appear meaningful, except for
57 compounds mostly absorbed in the upper part of the intestine such as for certain flavonoids. When
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3 estimating global bioavailability from a product, i.e. the sum of total phenolics, without focusing on
4 individual compounds, the *in vitro* method appears to perform reasonably well, when compared to
5 absorption and/or excretion. It also appears that colonic bioaccessibility *in vitro* vs. *in vivo* (in short-
6 bowel subjects) is well correlated, though differences due to brush-border enzyme activities exist.
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8 Furthermore, when *in vitro* digestion is coupled to faecal fermentation, reasonable correlations to
9 the *in vivo* situation (uptake, excretion) may be reached, though more data is required in this
10 respect.
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12 Therefore, although *in vitro* static models are over-simplistic and, as such, do not reproduce all the
13 dynamic aspects of the gastrointestinal tract, they are extremely useful to predict *in vivo* digestion in
14 some cases detailed in this review, offering a first step in screening the digestibility of food and
15 understanding the effect of processing conditions on the digestive fate of certain food constituents.
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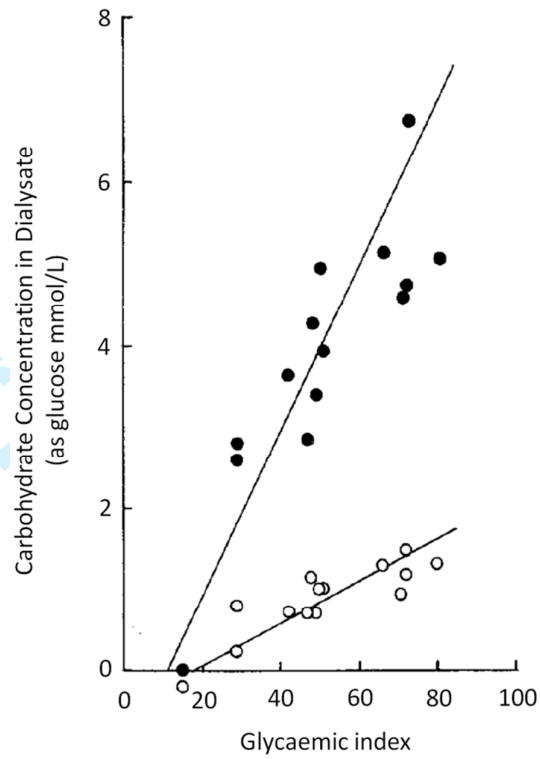


Figure 1

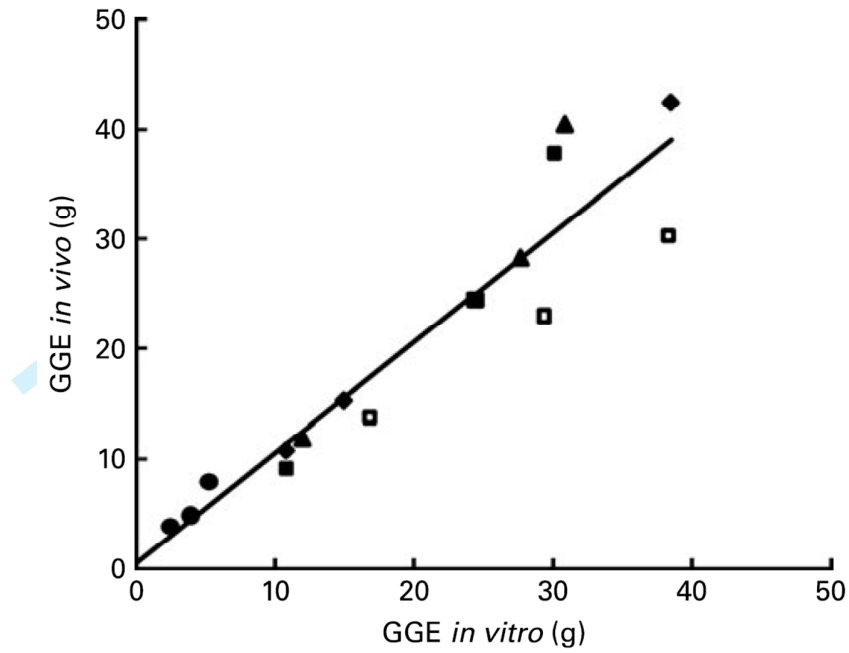


Figure 2

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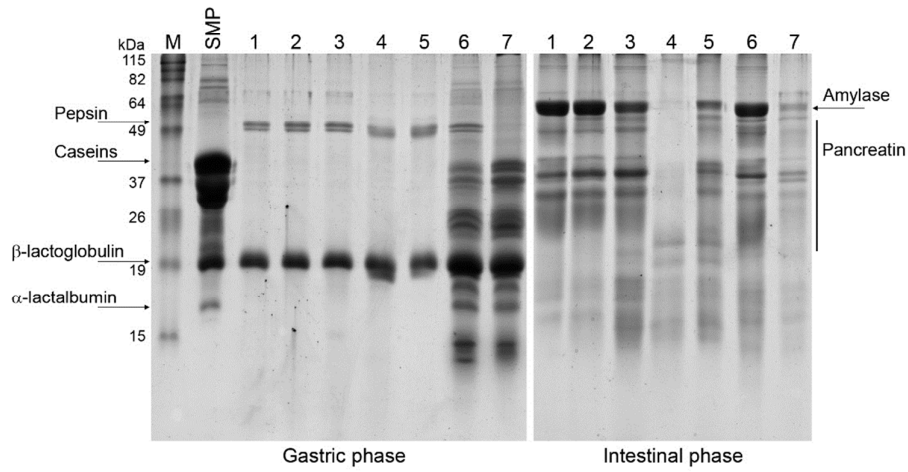


Figure 3

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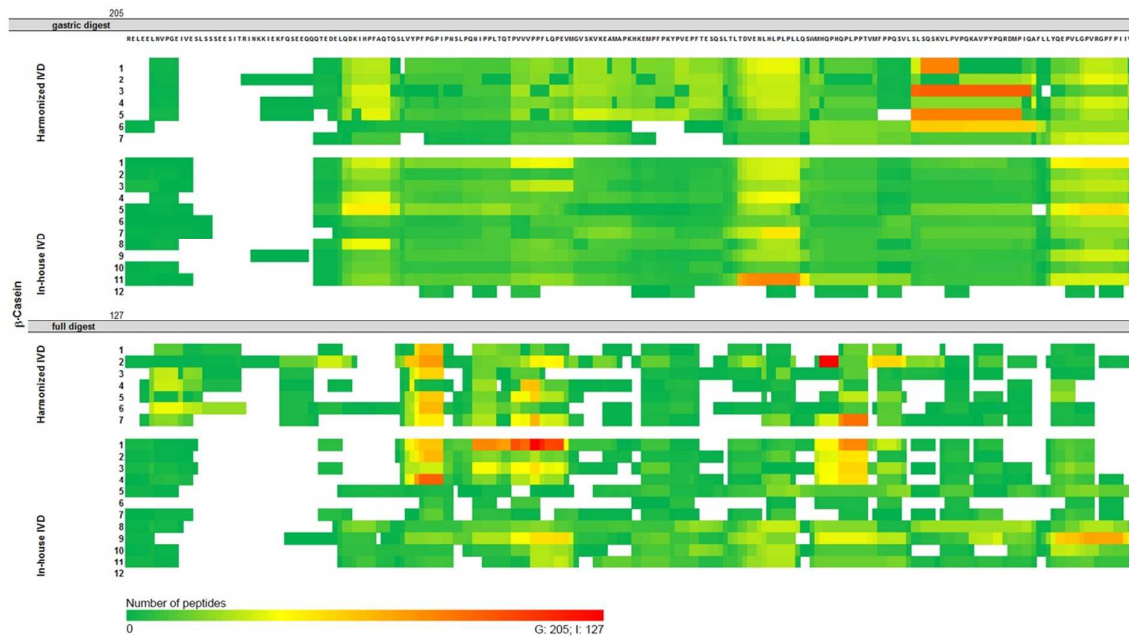


Figure 4

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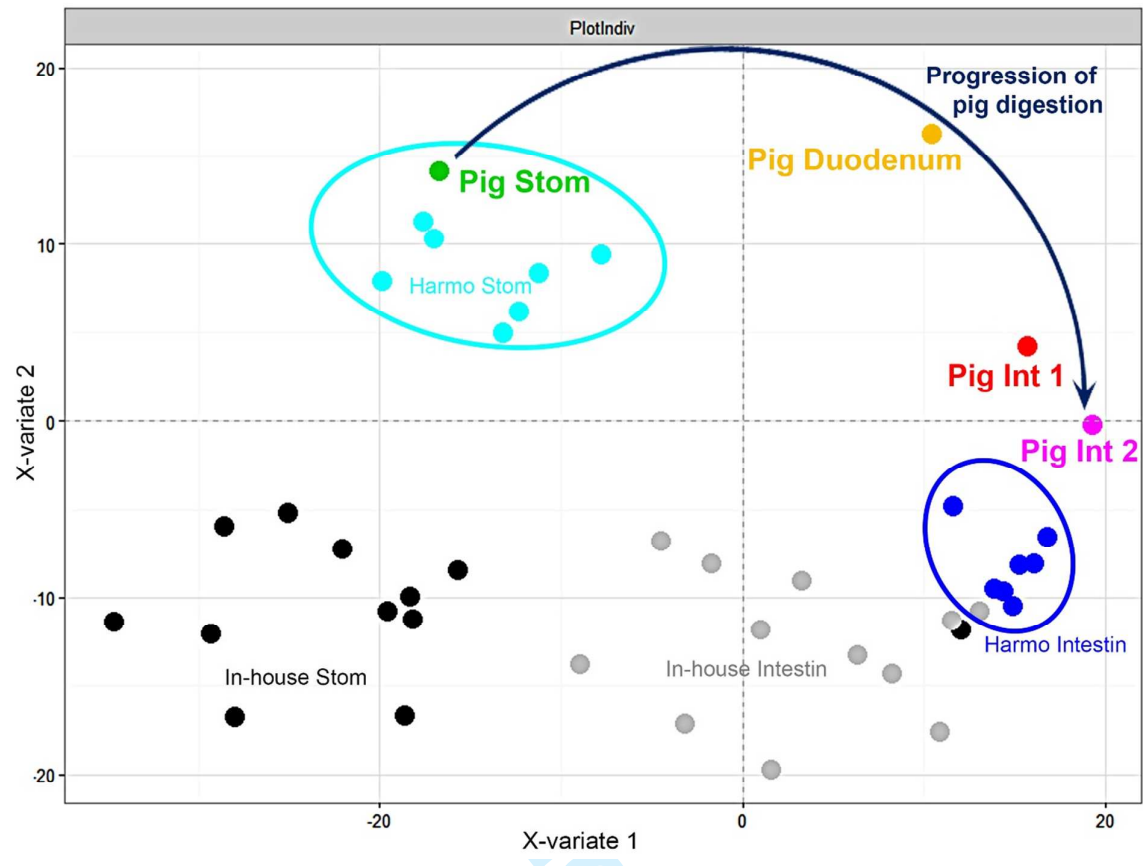
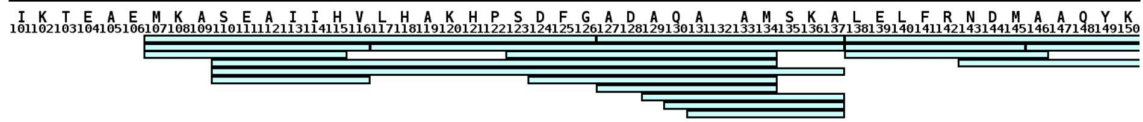
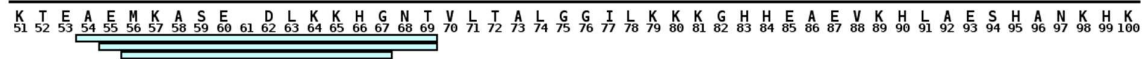
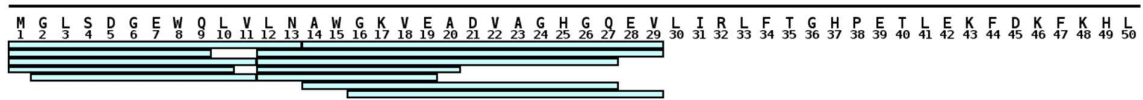
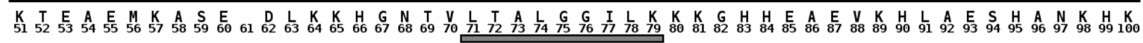
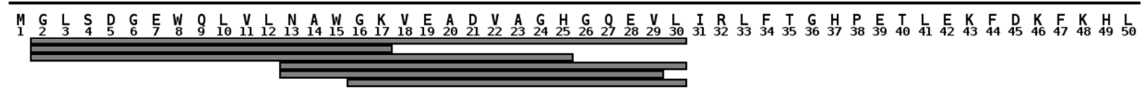


Figure 5



V L G F H G
 151 152 153 154 155 156

in: 92 of 156 ~ 59%
 Total: 92 of 156 ~ 59%



V L G F H G
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in: 85 of 156 ~ 54%
 Total: 85 of 156 ~ 54%

Figure 6

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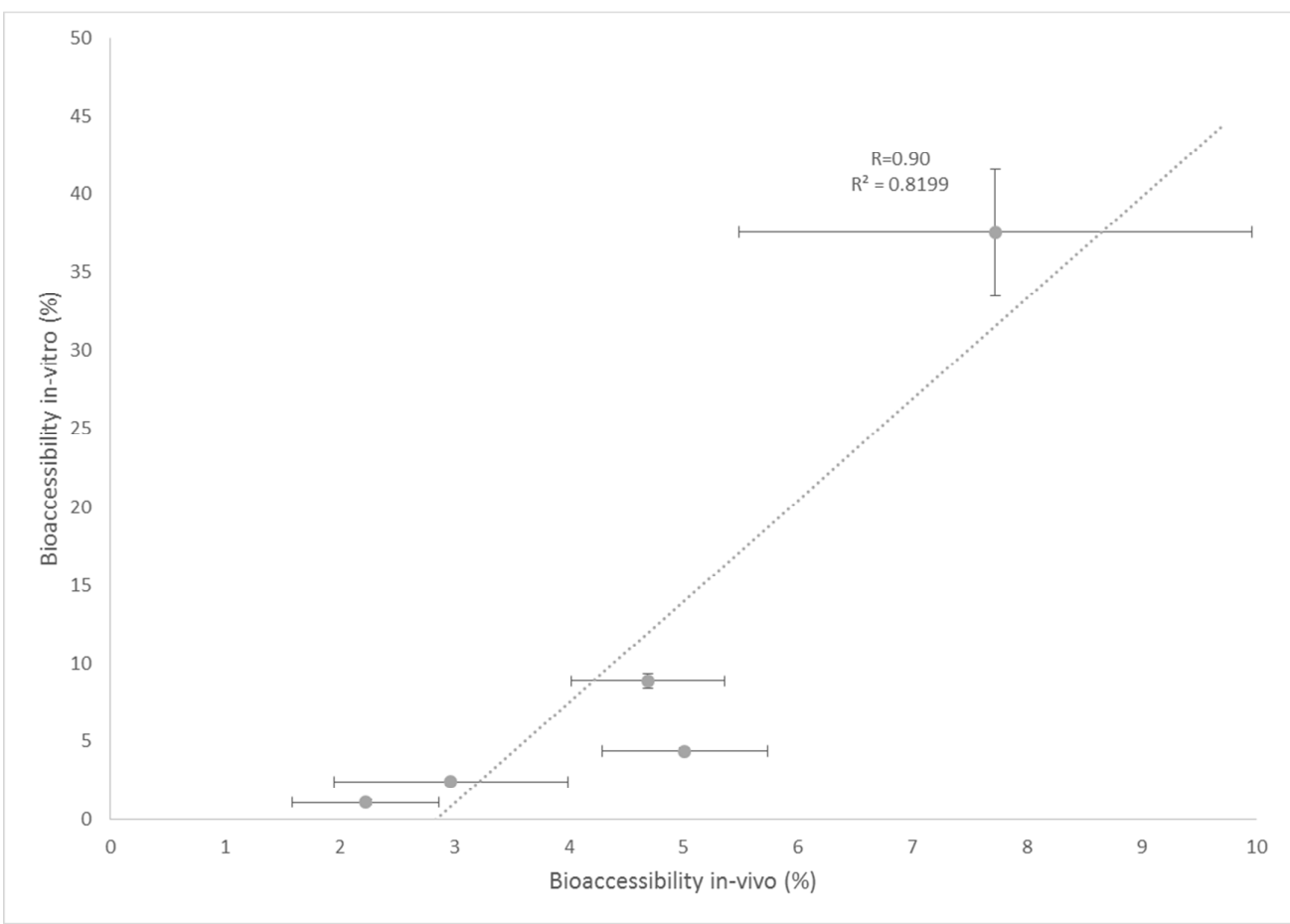


Figure 7

Review Only

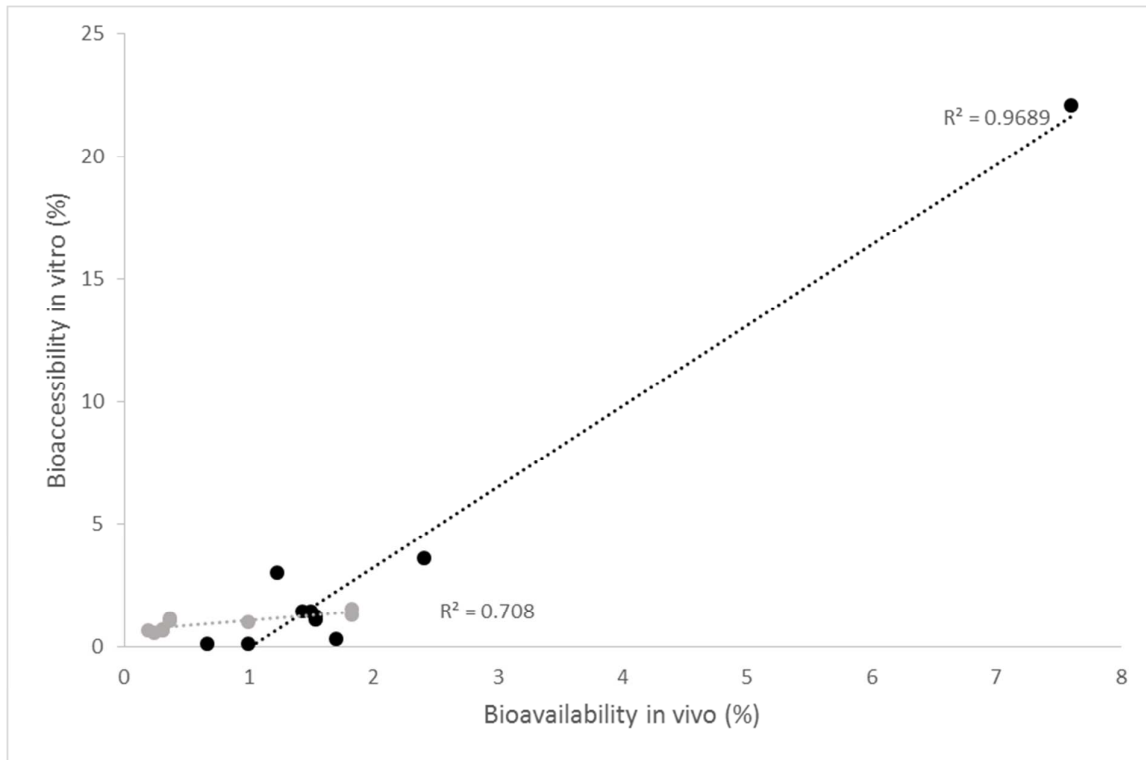


Figure 8

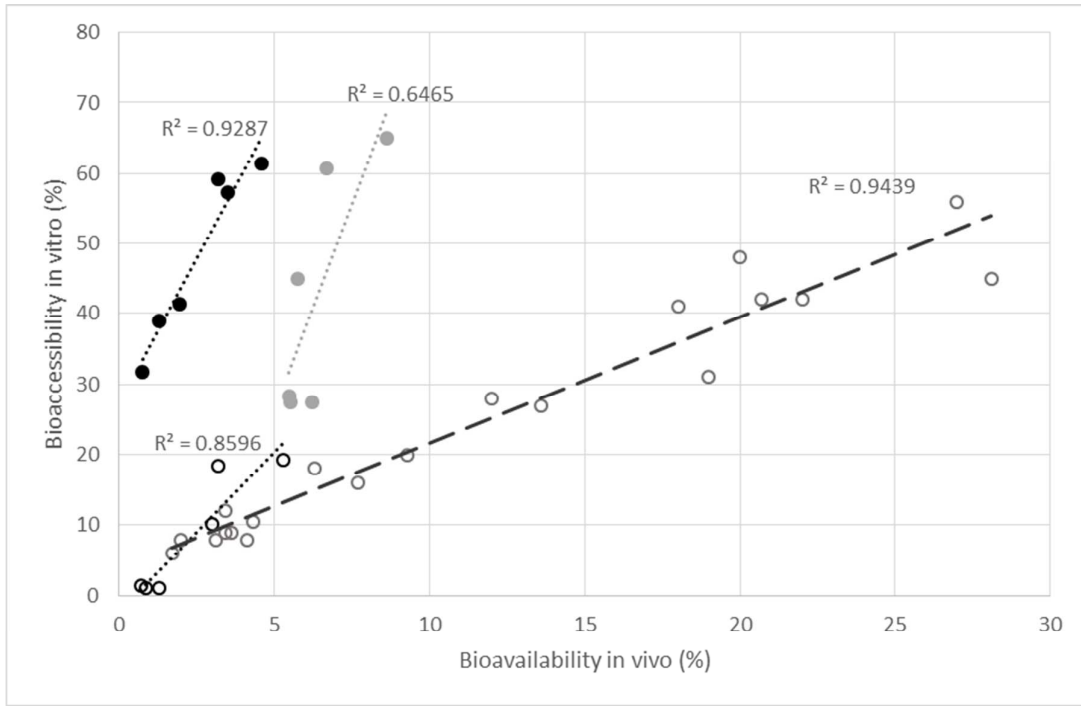


Figure 9

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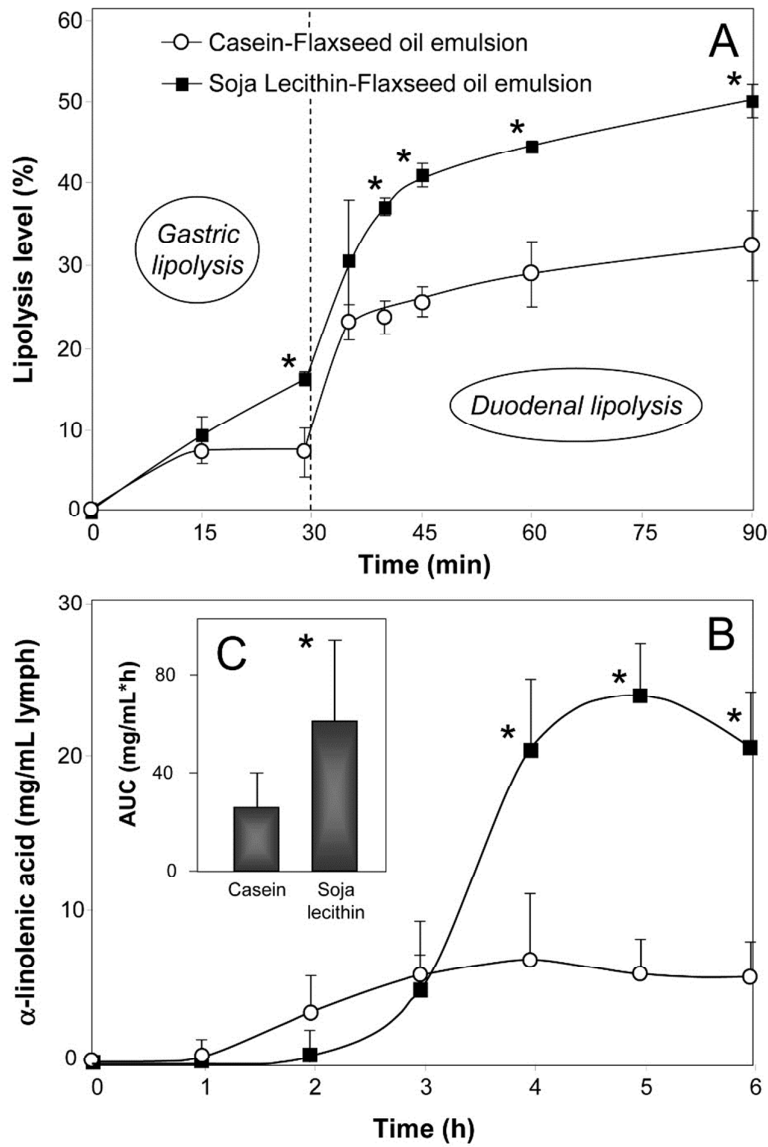


Figure 10

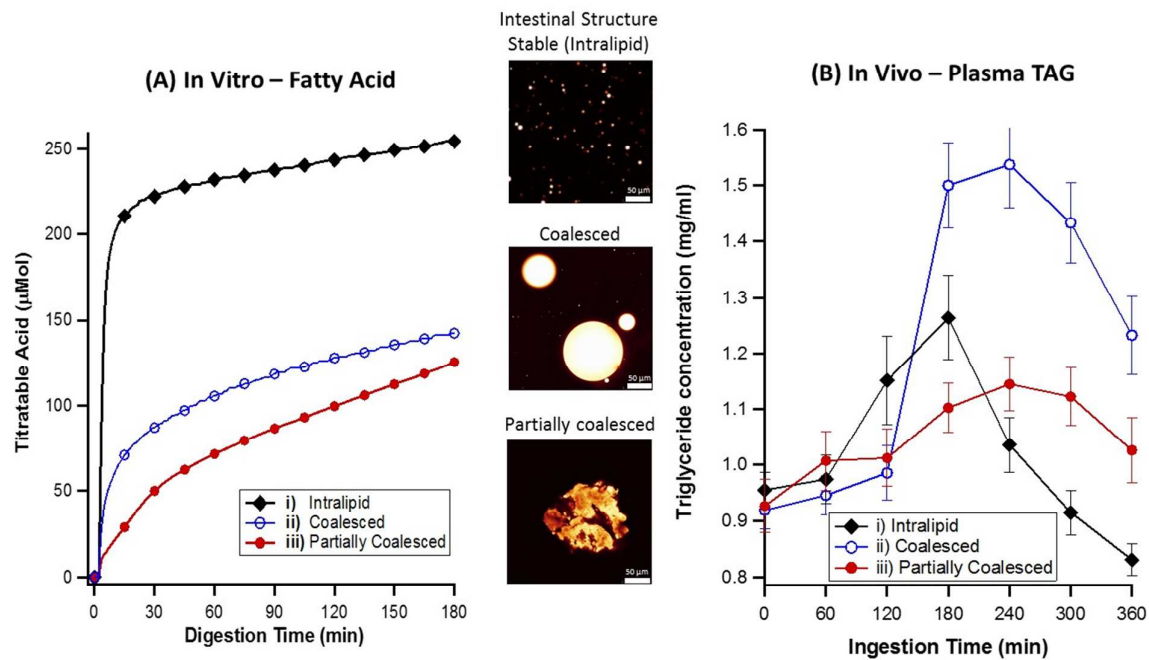


Figure 11

Table 1

Bioactive peptide (protein fragment) (reference)	Ingested food	Intestinal tract part	Species	Reference
KVLPVPQ (β -CN 169-175) (Maeno et al., 1996)	Human milk	Stomach	Human (newborn)	Dallas et al., 2014
AVPYPQR (β -CN 177-183) (Karaki et al., 1990)	Human milk	Stomach	Human (newborn)	Dallas et al., 2014
AYFYPEL (α_{s1} -CN 143-149) (Contreras et al., 2009)	Milk or yogurt	Stomach and duodenum	Human	Chabance et al., 1998
YFYPEL (α_{s1} -CN 144-149) (Sánchez-Rivera et al., 2014)	Milk	Duodenum	Human	Chabance et al., 1998
MKPWIQPK (α_{s2} 190-197) (Maeno et al., 1996)	Milk	Duodenum	Pig	Barbé et al., 2014
FFVAPFPEVFGK (α_{s1} -CN 23-34) (Karaki et al., 1990)	Milk	Duodenum	Pig	Barbé et al., 2014
YFPFGPIP (N (β -CN 60-68) (Saito et al., 2000)	Casein	Jejunum	Human	Boutrou et al., 2013
LHLPLP (β -CN 133-138) (Quirós et al., 2007)	Casein	Jejunum	Human	Boutrou et al., 2013
TPVVVPPFLQP (β -CN 80-90) (Abubakar et al., 1998)	Infant formula	Jejunum	Pig (newborn)	Bouzerzour et al., 2012

^a Fragment comprising antihypertensive sequence

Table 2

	Meat		P
<i>In vitro</i>	Pork, 30 min at 70°C	Pork, 30 min at 140°C	
Pepsin digestion			
Maximal degradation (ODmax)	0.106	0.122	***
Maximal rate of digestion (Δ OD/h)	0.163	0.126	***
Half-life time (min)	31	45	***
Trypsin –chymotrypsin digestion			
Maximal degradation (ODmax)	0.17	0.21	NS
Maximal rate of digestion (Δ OD/h)	0.88	0.82	*
Half-life time (min)	9	13	NS
<i>In vivo</i>	Veal, 30 min at 75°C	Veal, 30 min at 95°C	
True ileal digestibility, %	95.6	95.3	NS
Maximal rate of IAA appearance in plasma (Δ [IAA]/min)	22.8	13.0	**
Time of maximal plasma IAA concentration (min)	165	290	*

Table 3

Type of study	Polyphenols studied	Bioaccessibility/ Bioavailability (%)	Studied by	Reference
In vitro	Apple pp, FC*	55% mean bioaccessibility	GI digestion and dializability	(Bouayed et al., 2011)
In vitro	Apple polyphenols, HPLC	24.7% overall dializability, 49.2% overall bioaccessibility 31-56% intestinal recovery (0.5-21% dialysis) for chlorogenic acids	GI digestion and dializability	(Bouayed et al., 2012)
In vitro	Apple pp	Procyanidins: 55% Rutin: 47% Phloridzin: 45%	GI digestion and bioaccessibility	(Tenore et al., 2013)
In vitro	Cashew apple juice pp, FC	39% overall bioaccessibility	GI digestion and bioaccessibility	(de Lima et al., 2014)
In vitro	Isoflavones from bread	Daidzein: 59% Genistein: 33% Daidzein-genistein ratio: 1.8 Glycitein: 75% Glucosides: mostly >80%	GI digestion and bioaccessibility	(Walsh et al., 2003)
In vitro	Isoflavones from pretzels	Total isoflavones: 78-85% Aglycons (genistein, daidzein, glycitein): 40-60%	GI digestion and bioaccessibility	(Simmons et al., 2012)
In vivo	Apple pp in ileostomists,	<5.3% recovery for 5-caffeoylquinic acid, 4-p-coumaroylquinic acid, caffeic acid, (-)-epicatechin, phloretin, quercetin	AUC in plasma (8h)	(Kahle et al., 2011)
In vivo	Apple smoothie pp in ileostomists	63% recovery overall	Recovery in ileostomist fluids	(Hagl et al., 2011)
In vivo	Isoflavones	Daidzein: 29.5% (low dose) Genistein: 8.9% (low dose) Ratio daidzein: genistein: 3.3	Faecal balance based on stable isotope administration	(Setchell et al., 2003)
In vivo	Isoflavones from a soy-tomato juice, healthy subjects	49.3% recovery (after cleaving of glucuronides/sulfates) Daidzein: 70% Genistein: 15% Daidzein-genistein ratio: 4.7 Glycitein: 31%	Urinary excretion (24 h pool)	(Bohn et al., 2013)

*pp= polyphenols, FC= Folin Ciocalteu method.

Table 4

<i>In vivo data / Digestion of test meals in healthy volunteers</i>	<i>Gastric lipolysis (%)^a</i>	<i>Lipolysis (%) at the angle of Treitz (gastric + duodenal lipolysis)^b</i>
Liquid test meal (Carrière et al., 1993)	10.0 ± 1.0	nd
Liquid test meal (Carrière et al., 2001)	24.4 ± 5.7	59.4 ± 5.6
Solid-Liquid test meal (Carrière et al., 2001)	9.3 ± 6.3	27.7 ± 6.8
Solid-Liquid test meal (Carrière et al., 2005)	7.3 ± 5.9	20.8 ± 11.2
<i>In vitro data / Digestion of test meals and O/W emulsions</i>	<i>Gastric lipolysis at t=30min (%)</i>	<i>Gastric + duodenal lipolysis at t=90min (%)</i>
Liquid test meal (Carrière et al., 2001)	7.4 ± 0.3	72.3 ± 0.9
Solid-Liquid test meal (Carrière et al., 2001)	3.0 ± 0.5	53.7 ± 0.3
Rapeseed oil/lecithin emulsion (Vors et al., 2012)	9.5 ± 2.0	43.2 ± 3.6
Rapeseed oil/caseinate emulsion (Vors et al., 2012)	4.0 ± 4.0	29.1 ± 2.2
Milk fat olein/lecithin emulsion (Vors et al., 2012)	6.2 ± 0.6	42.0 ± 2.3
Milk fat olein/caseinate emulsion (Vors et al., 2012)	4.8 ± 1.6	20.9 ± 2.6
Flaxseed oil/lecithin emulsion (Couedelo et al., 2015)	16.3 ± 0.8	50.3 ± 2.0
Flaxseed oil/caseinate emulsion (Couedelo et al., 2015)	7.3 ± 2.9	32.6 ± 4.3